Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:
9/10/2007
2. Agency:
Social Security Administration
3. Bureau:
Systems
4. Name of this Capital Asset:
DDS Automation
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)
016-00-01-02-01-2125-00
6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?
FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:
The Social Security Act mandates that a Disability Determination Service (DDS) office in each State perform determinations of disability for residents of that State who file for disability benefits. Although they are agencies of State governments, the DDSs are entirely federally funded. DDS automation investments are necessary for hardware and software maintenance and enhancements, integration services and other support services required to maintain the goal of providing equitable service across the nation. The initial step to improve disability claims processing was the migration to a standard hardware platform. This was completed in 2004 with all offices now using IBM iSeries hardware.

The DDS legacy systems software has been incrementally enhanced to keep pace with headquarters systems modifications. The overarching intent of the modifications is to provide a common interface to an electronic folder that can be shared nationwide and to improve the efficiency of claims processing. Modifications and enhancements are rolled out as version releases on a regional basis.

Most recently, enhancements were put in place in the Boston Region to use automation tools to screen cases. The "Quick Disability Determination" (QDD) initiative has been successful. To date, 97% of these cases have been decided within the required 21 days and they have an average decision time of 11 days. This promises to have a significant impact on reducing backlogs. Currently, QDD cases constitute only 2.6% of the disability caseload but the Social Security Administration (SSA) is committed to pushing the number of cases that can be decided through the model as high as possible while maintaining accuracy. SSA recently published a proposed rule to expand QDD nationwide.

This is consistent with the President's Management Agenda for investments in Federal Agency IT initiatives and office operations. This investment specifically supports the Agency's goal to deliver high quality "citizen centered" service to the American Public. This approach provides State DDS offices with state of the art technology required to collect, decision, process, maintain, share, transmit, disseminate, store and retrieve claimant information in an efficient and effective electronic process.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
    a. If "yes," what was the date of this approval?
7/23/2007
10. Did the Project Manager review this Exhibit?
Yes
11. Removed
a. What is the current FAC-P/PM certification level of the project/program manager?

TBD

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

a. Will this investment include electronic assets (including computers)?

Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives?

Yes

If "yes," check all that apply:

Expanded E-Government

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Consistent with the President's Management Agenda this project enhances the Agency's service delivery to the disabled public by ensuring that State DDSs have up-to-date IT systems required to track and manage workloads; initiate, track and manage evidence of record and consultations. The project maintains the electronic foundation on which SSA is building a completely electronic disability claims process that reduces paperwork significantly and supports the Government Paperwork Elimination Act.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes

a. If "yes," does this investment address a weakness found during a PART review?

Yes

b. If "yes," what is the name of the PARTed program?

See FY 05 DI PART (e-Dib reference) Q 3.4, 3.7;
See FY 06 SSI PART (e-Dib reference) Q 2.1, 2.6, 3.4, 3.7

c. If "yes," what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology?

Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

a. If "yes," does this investment address a FFMIA compliance area?

1. If "yes," which compliance area:

2. If "no," what does it address?

   b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)
Hardware
5.620000
Software
0.826500
Services
76.110000
Other
17.436500
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?
N/A
22. Removed

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
Yes
Question 24 must be answered by all Investments:
24. Does this investment directly support one of the GAO High Risk Areas?
No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)				
	PY-1 and earlier	**PY 2007**	**CY 2008**	**BY 2009**
Planning:	0	0	0	0
Acquisition:	76.494	22.262	18.391	20.28
Subtotal Planning & Acquisition:	76.494	22.262	18.391	20.28
Operations & Maintenance:	10.461	9.541	9.903	10.92
TOTAL:	86.955	31.803	28.294	31.20
Government FTE Costs	9.66	3.717	3.575	6.645
Number of FTE represented by Costs:	91	31	28	52

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

    a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

The difference in funding between the BY08 submission for FY08 and the BY09 submission for FY08 may be attributed to three factors, an increase in the monies made directly available to States for peripheral procurements required to support their activities, the inclusion of funds required for server maintenance which were accounted for in the infrastructure investment last year, and increased costs for the vendors contracts that support the legacy systems.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)
Versa - SS00-06-60144	Firm Fixed Price	Yes	9/29/2006	9/29/2006	9/28/2011	3.521	No	Yes	No	NA	No	Yes
Levy - SS00-06-60143	Firm Fixed Price	Yes	9/30/2006	9/30/2006	9/29/2011	14.107	No	Yes	No	NA	No	Yes
IBM - 0440-03-42000	Blanket Purchase Agreement (BPA)	Yes	10/1/2002	10/1/2002	9/30/2007	33.989	No	No	Yes	NA	No	Yes
LM - SS00-05-60011 Task Order 3-822	Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2006	9/30/2006	9/29/2007	0.298	No	Yes	Yes	NA	No	Yes
LM - SS00-05-60011 Task Order 3-882	Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2006	9/30/2006	9/29/2007	0.058	No	Yes	Yes	NA	No	Yes
LM - SS00-05-60011 Task Order 3-890	Indefinite Delivery/Indefinite Quantity	Yes	9/30/2006	9/30/2006	9/29/2007	0.174	No	Yes	Yes	NA	No	Yes

Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)
	(ID/IQ) Time & Materials (T&M) Task Order											
LM - SS00-05-60011 Task Order 3-891	Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2006	9/30/2006	9/29/2007	0.138	No	Yes	Yes	NA	No	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's earned value management (EVM) policy and implementation has been reviewed by OMB, OIG and others and deemed consistent with OMB guidance and the ANSI standards defining a compliant EVM. SSA performs the vast majority of our work in-house, and conducts EVM and program management at the total program level including both Government costs and support contracts. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. When applicable, earned value management requirements are applied to SSA contractors in two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS). The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

Examples of the second case applicable to DDS Automation are the Lockheed Martin Task Order, Versa and Levy contracts where SSA realizes efficiency advantages by mandating that all required data be provided in accordance with Agency EVM requirements and fiscal schedules for direct inclusion in SSA's earned value management system

3. Do the contracts ensure Section 508 compliance?

Yes

   a. Explain why:

SSA ensures that any applicable IT requirements comply with Section 508 standards. The SSA includes Section 508 contract clauses and evaluation criteria in its solicitations and contracts as appropriate and ensures during the review of technical proposals that offerors are fully compliant or as compliant as possible based on the state of the technology in the marketplace. This is accomplished through review of technical documentation as well as through actual testing of the product.

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

   a. If "yes," what is the date?

9/7/2007

   b. If "no," will an acquisition plan be developed?

      1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"	FY 2006 Actual - 82%	83%	81%
2007	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	Service Efficiency	Minimize average processing time for initial disability claims to provide timely decisions	FY 2006 Actual – 116 days	116 days	TBD
2007	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	Service Efficiency	Percent of initial disability claims receipts processed by the Disability Determination Services up to the budgeted level	FY 2006 Actual (2,532,264)	100% (2,530,000)	100% (2,530,000)
2007	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Disability Determination Services net accuracy rate for combined	FY 2006 Actual - 96%	97%	97%

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					initial disability allowances and denials.			
2007	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Disability Determination Services net accuracy rate for combined initial disability allowances and denials for decisions based on Quick Disability Determination (QDD)	99%	99%	TBD
2007	Service - To deliver high-quality, citizen-centered service	Mission and Business Results	Controls and Oversight	Corrective Action	Disability Determination Services net accuracy rate for combined initial disability allowances and denials.	FY 2006 Actual - 96%	97%	97%
2007	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Controls and Oversight	Corrective Action	Number of periodic continuing disability reviews processed to determine continuing entitlement based on disability to help ensure payment accuracy	FY 2006 Actual - 1,337,638	729,000	764,852
2007	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Minimize average processing time for initial disability claims to provide timely decisions	FY 2006 Actual – 116 days	116 days	TBD
2007	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Productivity and Efficiency	Efficiency	Percent of initial disability claims receipts processed by the Disability Determination Services up to the budgeted level	FY 2006 Actual (2,532,264)	100% (2,530,000)	100% (2,530,000)
2007	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Errors	Disability Determination Services net accuracy rate for combinted initial disability allowances and denials.	FY 2006 Actual - 96%	97%	97%
2007	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Errors	Disability Determination Services net accuracy rate (allowances and denials combined) for decisions based on Quick Disability Determination (QDD)	99%	99%	TBD
2007	Stewardship - To ensure superior stewardship of Social Security programs and resource	Technology	Reliability and Availability	Availability	Availability of core applications	Ensure core applications are available at least 99% of the time during the business day.	Ensure core applications are available at least 99% of the time during the business day.	TBD
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"	FY 2007Actual - 81%	83%	TBD

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	Service Efficiency	Minimize average processing time for initial disability claims to provide timely decisions	FY 2006 Actual – 116 days	107 days	TBD
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	Service Efficiency	Percent of initial disability claims receipts processed by the Disability Determination Services up to the budgeted level	FY 2007 Actual (2,530,000)	100% (2,582,000)	TBD
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Disability Determination Services net accuracy rate for combined initial disability allowances and denials.	FY 2007 Actual - 97%	97%	TBD
2008	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Disability Determination Services net accuracy rate (allowances and denials combined) for decisions based on Quick Disability Determination (QDD)	99%	99%	TBD
2008	Service - To deliver high-quality, citizen-centered service	Mission and Business Results	Controls and Oversight	Corrective Action	Disability Determination Services net accuracy rate for combined initial disability allowances and denials.	FY 2007 Actual – 97%	97%	TBD
2008	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Controls and Oversight	Corrective Action	Number of periodic continuing disability reviews processed to determine continuing entitlement based on disability to help ensure payment accuracy	FY 2007 Actual – 764,852	1,065,000	TBD
2008	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Minimize average processing time for initial disability claims to provide timely decisions	FY 2006 Actual - 116 days	107 days	TBD
2008	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Productivity and Efficiency	Efficiency	Percent of initial disability claims receipts processed by the Disability Determination Services up to the budgeted level	FY 2007 Actual (2,530,000) 100%	100% (2,582,000)	TBD
2008	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Errors	Disability Determination Services net accuracy rate for combined initial disability allowances and denials.	FY 2007 Actual - 97%	97%	TBD
2008	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Errors	Disability Determination Services net accuracy rate (allowances and denials	99%	99%	TBD

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					combined) for decisions based on Quick Disability Determination (QDD)			
2008	Stewardship - To ensure superior stewardship of Social Security programs and resource	Technology	Reliability and Availability	Availability	Availability of core applications	Ensure core applications are available at least 99% of the time during the business day.	Ensure core applications are available at least 99% of the time during the business day.	TBD
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Customer Benefit	Customer Satisfaction	Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"	FY 2008 target - 83%	83%	TBD
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	Service Efficiency	Minimize average processing time for initial disability claims to provide timely decisions	FY 2008 target 107 days	103 days	TBD
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Coverage	Service Efficiency	Percent of initial disability claims receipts processed by the Disability Determination Services up to the budgeted level	100% FY 2008 target (2,582,000)	100% (2,600,000)	TBD
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Disability Determination Services net accuracy rate for combined initial disability allowances and denials.	FY 2008 target - 97%	97%	TBD
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Service Quality	Accuracy of Service or Product Delivered	Disability Determination Services net accuracy rate (allowances and denials combined) for decisions based on Quick Disability Determination (QDD)	99%	99%	TBD
2009	Service - To deliver high-quality, citizen-centered service	Mission and Business Results	Controls and Oversight	Corrective Action	Disability Determination Services net accuracy rate rate for combined initial disability allowances and denials.	FY 2008 target - 97%	97%	TBD
2009	Stewardship - To ensure superior stewardship of Social Security programs and resource	Mission and Business Results	Controls and Oversight	Corrective Action	Number of periodic continuing disability reviews processed to determine continuing entitlement based on disability to help ensure payment accuracy	FY 2008 target 1,065,000	1,149,000	TBD
2009	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Minimize average processing time for initial disability claims to provide timely decisions	FY 2008 target 107 days	103 days	TBD
2009	Service - To	Processes and	Productivity and	Efficiency	Percent of initial	100% FY 2008	100%	TBD

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	deliver high-quality, citizen-centered service	Activities	Efficiency		disability claims receipts processed by the Disability Determination Services up to the budgeted level	target (2,582,000)	(2,600,000)	
2009	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Errors	Disability Determination Services net accuracy rate for combined initial disability allowances and denials.	FY 2008 target 97%	97%	TBD
2009	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Errors	Disability Determination Services net accuracy rate (allowances and denials combined) for decisions based on Quick Disability Determination (QDD)	99%	99%	TBD
2009	Stewardship - To ensure superior stewardship of Social Security programs and resource	Technology	Reliability and Availability	Availability	Availability of core applications	Ensure core applications are available at least 99% of the time during the business day.	Ensure core applications are available at least 99% of the time during the business day.	TBD

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:
Yes
   a. If "yes," provide the "Percentage IT Security" for the budget year:
removed
2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.
Yes

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
Electronic Disability System	Government Only	1/31/2008	1/31/2008

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)	Date Complete(d): Security Control Testing	Date the contingency plan tested
Electronic Disability System	Government Only	Moderate	Yes	7/18/2006	FIPS 200 / NIST 800-53	6/25/2007	1/17/2007

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?
No
    a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?
No
    a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?
This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
	Yes	Yes		Yes	
Electronic Disability System	No	Yes	http://www.ssa.gov/foia/piadocuments/FY07/Accelerated%20eDib%20FY07.htm	Yes	http://a257.g.akamaitech.net/7/257/2422/14mar20010800/edocket.access.gpo.gov/2003/pdf/03-31432.pdf [SOR 60-0320 - Electronic Disability Claim File; 68 F.R 71214, Dec. 22, 2003]

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?
Yes
    a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?
Yes
    a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.
DDS Automation
    b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?
Yes
    a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.
Make the Right DIB Decision

4. Service Component Reference Model (SRM) Table:

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Connect:Direct	Connect:Direct automates the secure movement of large volumes of data between distributed applications within and between enterprises.	Back Office Services	Data Management	Data Exchange	Data Exchange	016-00-02-00-01-2210-00	Internal	0
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases of the Software Life Cycle. The DRMS is used to maintain data integrity. It supports programmers working with both CICS and Data Base Architecture applications.	Back Office Services	Data Management	Meta Data Management	Meta Data Management	016-00-01-04-02-2132-00	Internal	0
eView	eView is an application that enables users involved in case processing to view and/or print the disability information contained in the Electronic Folder.	Back Office Services	Development and Integration	Data Integration	Data Integration	016-00-01-02-02-2130-00	Internal	0
AIF	The AIF (Application Interface Facility) is a common interface between SSA's application programs and various Database Management Systems such as IDMS and MADAM.	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-01-04-02-2132-00	Internal	0
JWICS	Java Webshere Initiated CICS Servers (JWICS) is a set of Java Classes and resources files which allow remote procedure call communication between a Java Websphere Application	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	Server Cient and a CICS Cobol Server program.							
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Business Analytical Services	Visualization	Imagery	Imagery	016-00-02-00-01-2210-00	Internal	0
FECS	The Front-End Capture System (FECS) is the software used to provide the front-end capture capabilities needed to process unstructured data.	Digital Asset Services	Document Management	Document Imaging and OCR	Document Imaging and OCR	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Document Revisions	Document Revisions	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Indexing	Indexing	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Library / Storage	Library / Storage	016-00-02-00-01-2210-00	Internal	0
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases of the Software Life Cycle. The	Digital Asset Services	Knowledge Management	Categorization	Categorization	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	DRMS is used to maintain data integrity. It supports programmers working with both CICS and Data Base Architecture applications.							
eView	eView is an application that enables users involved in case processing to view and/or print the disability information contained in the Electronic Folder.	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-02-02-2130-00	Internal	0
Electronic Records Express	Electronic Records Express is the method by which medical providers submit medical records electronically. Once electronically submitted, medical records become a part of the Electronic Folder. DDS examiners have the ability to view medical records online along with disability data collected by the Field Office.	Process Automation Services	Routing and Scheduling	Inbound Correspondence Management	Inbound Correspondence Management	016-00-01-02-02-2130-00	Internal	0
CFRMS	The Claim File Records Management System (CFRMS) provides a consolidated view of the electronic claims file for the purpose of records and content management.	Process Automation Services	Tracking and Workflow	Case Management	Case Management	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Support Services	Collaboration	Document Library	Document Library	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in	Support Services	Search	Classification	Classification	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	paper folders.							
S/MIME, Top Secret	S/MIME is a public key encryption protocol for securely sending Multi-purpose Internet Mail Extension (MIME) attachments. TOP SECRET is the security software running on all of SSA's mainframe systems.	Support Services	Security Management	Access Control	Access Control	016-00-02-00-01-2210-00	Internal	0
ATS	The purpose of the Audit Trail System (ATS) is to provide an effective tool to deter, detect, investigate and prosecute instances of fraud and abuse.	Support Services	Security Management	Audit Trail Capture and Analysis	Audit Trail Capture and Analysis	016-00-01-02-02-2130-00	Internal	0
Top Secret	TOP SECRET is the security software running on all of SSA's mainframe systems.	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0
iESI	iESI is Internet/Intranet Enterprise Security Interface.	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Audit Trail Capture and Analysis	Component Framework	Business Logic	Platform Dependent	COBOL 3
Inbound Correspondence Management	Component Framework	Business Logic	Platform Independent	Enterprise Java Beans (EJB)
Inbound Correspondence Management	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Legacy Integration	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Information Retrieval	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Data Integration	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Data Exchange	Component Framework	Data Interchange	Data Exchange	Resource Description Framework (RDF)
Inbound Correspondence Management	Component Framework	Data Interchange	Data Exchange	Web Services User Interface (WSUI)
Library / Storage	Component Framework	Data Management	Database Connectivity	DB2 Connector
Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Indexing	Component Framework	Data Management	Database Connectivity	DB2 Connector
Meta Data Management	Component Framework	Data Management	Database Connectivity	DB2 Connector
Categorization	Component Framework	Data Management	Database Connectivity	DB2 Connector

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Indexing	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Imagery	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Revisions	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Library / Storage	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Case Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Inbound Correspondence Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extensions (S/MIME)
Access Control	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extensions (S/MIME)
Meta Data Management	Component Framework	Security	Supporting Security Services	TopSecret
Categorization	Component Framework	Security	Supporting Security Services	TopSecret
Access Control	Component Framework	Security	Supporting Security Services	TopSecret
Identification and Authentication	Component Framework	Security	Supporting Security Services	TopSecret
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Legacy Integration	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Facsimile (Fax)
Case Management	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Imagery	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Document Revisions	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Case Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Internet	
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	
Classification	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Imagery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Revisions	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Indexing	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Library / Storage	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Library	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Imaging and OCR	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Information Retrieval	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Document Imaging and OCR	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Inbound Correspondence Management	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Inbound Correspondence Management	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Multipurpose Internet Mail Extensions (MIME)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Audit Trail Capture and Analysis	Service Interface and Integration	Integration	Middleware	CICS
Access Control	Service Interface and Integration	Integration	Middleware	CICS
Identification and Authentication	Service Interface and Integration	Integration	Middleware	CICS
Inbound Correspondence Management	Service Interface and Integration	Integration	Middleware	Message-Oriented Middleware (MOM): IBM Websphere MQ

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Inbound Correspondence Management	Service Interface and Integration	Interface	Service Description / Interface	Web Services Description Language (WSDL)
Imagery	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Revisions	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Indexing	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Meta Data Management	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Categorization	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Case Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Indexing	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Imagery	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Meta Data Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Categorization	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Scanner
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Indexing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Support Platforms	Platform Dependent	COBOL 3
Case Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows.Net
Imagery	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Legacy Integration	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

    a. If "yes," please describe.

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.
In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?
Yes
   a. If "yes," provide the date the analysis was completed?
8/10/2007
   b. If "no," what is the anticipated date this analysis will be completed?

   c. If no analysis is planned, please briefly explain why:
2. Removed

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?
Alternative 2 was selected because, while it continues with current development efforts, it also allows SSA to make an informed decision about implementing a National Disability System, which would set the stage for a consolidated, efficient approach to disability case processing.
A completed benefits estimate for each alternative will be available by September 2008.
SSA currently spends millions of dollars in support of the DDS Case processing system applications in software contracts and ancillary equipment required for specific vendor functionality. Consolidating the various applications could result in a substantial savings to the Agency, and further the electronic processing of cases, in-line with the "Expanding E-Government" PMA Initiative.
Currently SSA's state of disability case processing is fractured. Out of the 55 Disability Determination Services (DDS) nationwide, 30 use the I. Levy & Associates (ILA) software, 17 use the Versa Management Systems software (VERSA), 6 use in-house software called MIDAS all running on an IBM iSeries/400 service, and 2 use independently developed disability case processing systems. Consolidating to a National Disability System will reduce operational risk and increase efficiencies, allowing for more effective case processing software in a uniform, cost-effective manner as well as a baseline for functionality.
These cost savings and increased efficiencies will ultimately result in improved quality allowing SSA to better meet workload goals and improve processing time. This system will also help to decrease allowance rate variances between DDSs and enable more consistent case processing among the DDSs.
Ultimately, this alternative could help SSA reach its strategic goals of "delivering high-quality, citizen-centered service" and "ensuring superior stewardship of Social Security programs and resources."

4. What specific qualitative benefits will be realized?
Alternative 2 will allow SSA to meet the PMA initiative of "Expanding E-Government" by maintaining the electronic foundation for a completely electronic disability claims process. Additionally it will enable SSA staff to better meet workload goals and improve processing time and thus support SSA's strategic goals of "delivering high quality, citizen-centered service" and "ensuring superior stewardship of Social Security programs and resources". Additional benefits include more functionality, further integration to the Electronic Folder, and greater access to Management Information (MI). A completed benefits estimate for each alternative will be available by September 2008. In addition to the obvious cost savings that would result from a consolidated system, Alternative 2 has types of qualitative benefits that fall in two basic categories:

1. Increased efficiencies:

• Provide more effective case processing software in a uniform, cost-effective manner.
• A single source, web-enabled application can lead to a less costly case processing system for the DDSs and much faster implementation of interfaces with SSA systems, since all DDSs can receive the same SSA code at the same time.
• Single versions of code to support, maintain, and control releases increases efficiency.
• A standard, consolidated system with fewer touch-points would enable quicker problem resolution.
• Standard set of test cases would be available to DDSs.
• Faster implementation of changes.

• National training of the system would be available reducing time spent preparing training.
• More opportunity for users to request systems enhancements.
• Provides enhanced acceptance testing with reduced risk of production down time.

2. Improved quality:

• Allow SSA to better meet workload goals and improve processing time.
• The DDS turnover rate is very high. Currently, a considerable % of examiners have 5 years or less experience. The national system would provide a single interface which would reduce the training time and increase the quality and productivity of new employees.
• A national system may decrease allowance rate variances and enable more consistent case processing among the DDSs.
• Facilitate use of medical consultants between DDS offices.
• Software would be certified by internal SSA components, thus improving the quality of software delivered.
• Standard base code.
• Rollout of systems changes should be less problematic.

5. Will the selected alternative replace a legacy system in-part or in-whole?
No
    a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment.

    b. If "yes," please provide the following information:

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.
1. Does the investment have a Risk Management Plan?
Yes
    a. If "yes," what is the date of the plan?
8/22/2007
    b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
No
c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

    a. If "yes," what is the planned completion date?

    b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event they are required.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?

Yes

2. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

No

    a. If "yes," was it the CV or SV or both?

    b. If "yes," explain the causes of the variance:

    c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year?

No

    a. If "yes," when was it approved by the agency head?

4. Removed