

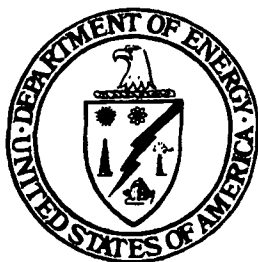
**May 1996**

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# **Materials Sciences Programs**

## **Fiscal Year 1995**

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**U.S. Department of Energy**  
**Office of Energy Research**  
**Office of Basic Energy Sciences**  
**Division of Materials Sciences**  
**Germantown Building**  
**19901 Germantown Road**  
**Germantown, MD 20874-1290**

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## FOREWORD

The Division of Materials Sciences is located within the Department of Energy (DOE) in the Office of Basic Energy Sciences which is under the Office of Energy Research. The Director of the Office of Energy Research is appointed by the President and confirmed by the Senate. The Director of the Office of Energy Research is responsible for oversight of, and providing advice to, the Secretary of Energy on the Department's research portfolio and on the management of all of the Laboratories that it owns, except for those that are designated as having a primary role in nuclear weaponry.

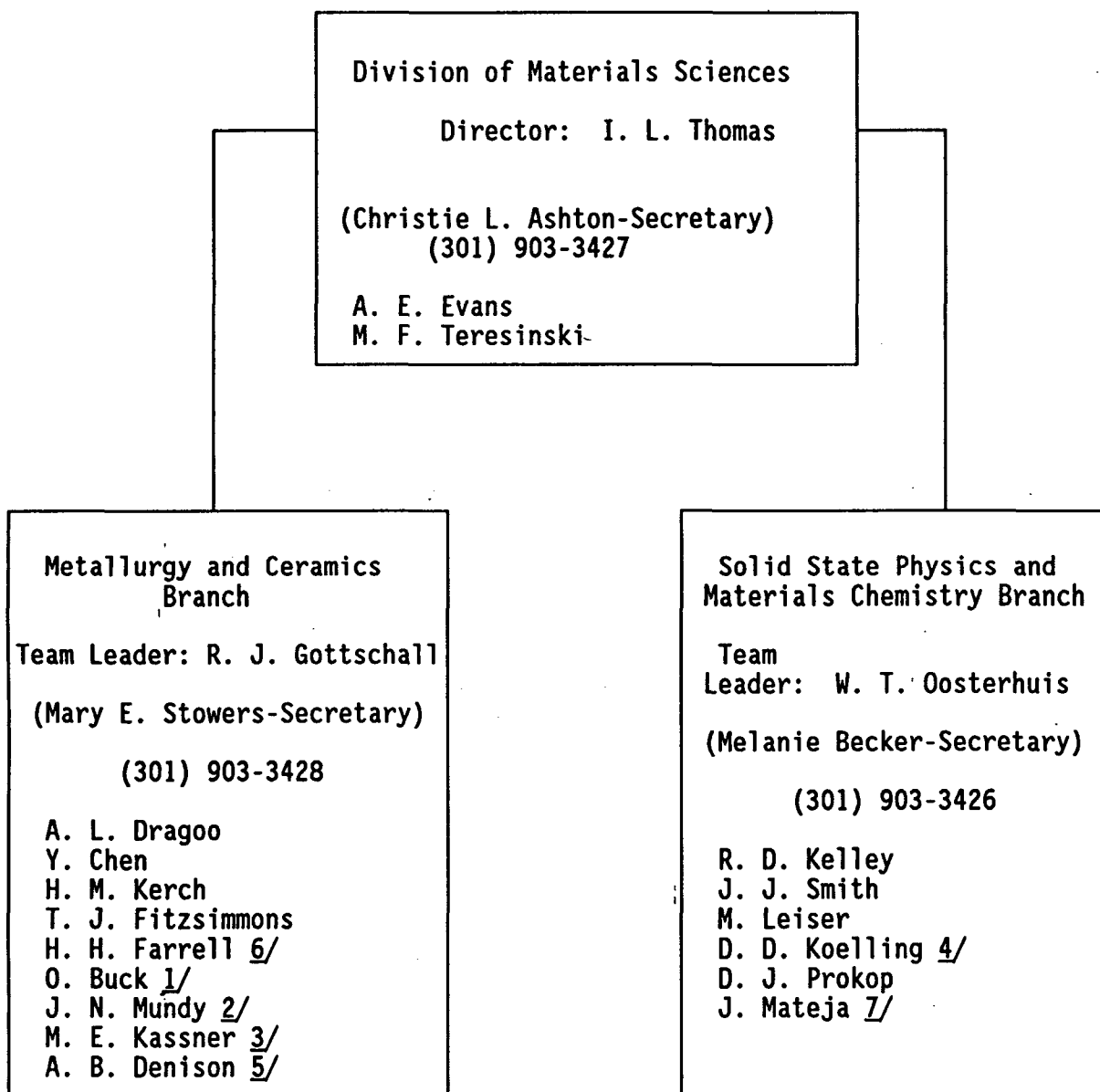
The Division of Materials Sciences is responsible for basic research and research facilities in strategic materials science topics of critical importance to the mission of the Department and its Strategic Plan. Other programmatic divisions under the Office of Basic Energy Sciences are Chemical Sciences, Engineering and Geosciences, and Energy Biosciences; information for them is contained on page 157.

Materials Science is an enabling technology. The performance parameters, economics, environmental acceptability and safety of all energy generation, conversion, transmission and conservation technologies are limited by the properties and behavior of materials. The Materials Sciences programs develop scientific understanding of the synergistic relationship amongst the synthesis, processing, structure, properties, behavior, performance and other characteristics of materials. Emphasis is placed on the development of the capability to discover technologically, economically, and environmentally desirable new materials and processes, and the instruments and national user facilities necessary for achieving such progress. Materials Sciences sub-fields include physical metallurgy, ceramics, polymers, solid state and condensed matter physics, materials chemistry, surface science and related disciplines where the emphasis is on the science of materials.

This report includes program descriptions for 438 research programs including 219 at 14 DOE National Laboratories, 219 research grants (211 of which are at universities), and 8 Small Business Innovation Research Grants. Five cross-cutting indices located at the rear of this book identify all 458 programs according to principal investigator(s), materials, techniques, phenomena, and environment. Other contents include identification of our Staff structure and expertise on pages ii-iii; a bibliographical listing of 50 scientific workshop, topical, descriptive, Research Assistance Task Force and research facilities reports on select topics that identify materials science research needs and opportunities on pages iv - viii; a descriptive introduction on page ix; a descriptive summary of the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials is on pages 93-98; and a descriptive summary and access information on 14 national research user facilities including synchrotron light sources, neutron beam sources, electron beam microcharacterization instruments, materials preparation, surface modification, and combustion research is on pages 100-129.

Iran L. Thomas, Director  
Division of Materials Sciences  
Office of Basic Energy Sciences

OFFICE OF BASIC ENERGY SCIENCES  
Division of Materials Sciences



- 1/ On quarter-time assignment from Ames Laboratory  
2/ On assignment from Argonne National Laboratory  
3/ On quarter-time assignment from Lawrence Livermore National Laboratory  
4/ On assignment from Argonne National Laboratory  
5/ On quarter-time assignment from Idaho National Engineering Laboratory  
6/ On assignment from the University of Illinois  
7/ On assignment from Argonne National Laboratory

**DIVISION OF MATERIALS SCIENCES**

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Michael F. Teresinski

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Alan L. Dragoo	Ceramics
Otto Buck	Mechanical Behavior, NDE
John N. Mundy	Physical Behavior, Irradiation Effects
Yok Chen	Physical Behavior, Irradiation Effects
Timothy J. Fitzsimmons	Ceramics, High Temperature Superconductors, High Temperature Materials
Michael E. Kassner	Mechanical Behavior
Helen M. Kerch	Microstructure, Processing
Arthur B. Denison	Magnetic Materials, Condensed Matter Physics
Helen H. Farrell	Surface Science, Chemical Physics, Solid State Physics

Solid State Physics and Materials Chemistry Team, ER-132

<u>Name</u>	<u>Program Area</u>
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Richard D. Kelley	Materials Chemistry, Polymers, Surface Science
Jerry J. Smith	Solid State Physics, Surface Science
Manfred Leiser	Solid State Theory
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## WORKSHOP AND REPORT REFERENCES

The Materials Sciences program has sponsored various workshops, topical and descriptive reports and co-sponsored Research Assistance Task Forces on select topics over the past 15 years. The contributions to them come from scientists drawn from universities, national laboratories, and industry, and represent a diverse mixture as well as a balance of sub-disciplines within materials science. It is our intention to make the proceedings of these activities publicly available through publication in open literature scientific journals, bulletins, or other archival forms. Many of these publications identify the authors perceptions of emerging or existing generic materials science research needs and opportunities. Their primary purpose is to stimulate creative thinking and new ideas by scientists within their respective topical fields. None of these is intended to be all inclusive or to encompass with thoroughness any given topic, and none of them represents Department of Energy (DOE) policy or opinion. No pretense is made to have covered every topic of interest in this listing, and the fact that there is no publication corresponding to a particular materials science topic does not, of itself, carry any implication whatsoever with respect to DOE interest or lack thereof.

"Basic Research Needs for Vehicles of the Future." The proceedings of this Basic Energy Sciences and National Science Foundation sponsored workshop, which was held on 5-7 January 1995 are to be published.

"Surface Modification and Processing as Applied to Electrochemical Energy Storage and Conversion Devices." (Workshop jointly sponsored with DOE Office of Transportation Technologies, 22-24 February 1995).

"Electrical Breakdown of Insulating Ceramics in a High-Radiation Field," Y. Chen, F. W. Clinnard, B. D. Evans, E. H. Farnum, R. H. French, R. Gonzalez, J. J. O'Dwyer, F. W. Wiffen, X. F. Zong, *Journal of Nuclear Materials*, 217, 1994, pp. 32-47.

"Modelling of Fundamental Phenomena in Welds," being publication by the Institute of Physics Publishing Bristol UK, Thomas Zacharia, J. M. Vitek, J. A. Goldak (Carlton Univ.), T. A. DebRoy (Penn. St.), M. Ratpaz (Ecole Polytechnique), H. K. D. H. Bhadeshia (Cambridge Univ.) Volume 3, 1995, *Modelling and Simul. Mater. Sci. Eng.*

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"Needed: Verified Models to Predict the Fracture of Weldments," D. W. Keefer, W. G. Reuter, J. A. Johnson, and S. A. David, *Welding Journal*, 72, 9, 1993, pp 73-79.

"Grain Boundary and Interface Phenomena in the High-Temperature Plasticity of Solids," M. E. Kassner and T. G. Langdon, editors, *Materials Science and Engineering*, 166, pp 1-246, 1993 (23 paper dedicated issue).

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## Workshop and Report References

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"Fundamental Issues in Heteroepitaxy - A Department of Energy Council on Materials Science Panel Report," P. S. Peercy, et al., *J. Mater. Res.*, 5, 4, (1990), 852-894.

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"Hydrogen Interaction with Defects in Crystalline Solids," S. M. Myers, et al., *Rev. of Modern Physics*, 64 (2), April 1992, 559-617.

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"Proceedings of the First Meeting of the International Group on Research Reactors," C. D. West, (1990), ORNL Report CONF-9002100.

"Research Needs and Opportunities in Highly Conducting Electroceramics," W. J. Weber, H. L. Tuller, T. O. Mason, and A. N. Cormack, *Materials Science and Engineering*, B18, 1993, pp 52-71.

"Radiation Effects on Materials in High Radiation Environments - A Workshop Summary," W. J. Weber, L. K. Mansur, F. W. Clinard, Jr., and D. M. Parkin, *J. Nuclear Materials*, 184, (1991), 1-21.

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## Workshop and Report References

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"Organic Superconductivity," (International Workshop), V. Z. Kresin and W. A. Little (eds), Plenum Press, New York, 1990, (jointly sponsored with Office of Naval Research).

"Surface, Interface, and Thin-Film Magnetism," L. M. Falicov, D. T. Pierce, et al., *J. Materials Research*, 5, 6, (1990), 1299-1340.

"Research Needs and Opportunities in Magnetic Materials," G. Thomas, *Materials Science and Engineering*, B105, 3, (1990), 409-412.

"Basic Research in Superconductor, Ceramic and Semiconductor Sciences at Selected Japanese Laboratories," R. J. Gottschall, DOE/ER-0410, (1989), (jointly sponsored with Office of Naval Research, U.S. Department of Commerce, and the U.S. Congress Office of Technology and Assessment)<sup>abc</sup>.

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"Novel Methods for Materials Synthesis," L. R. Testardi, T. D. Coyle, et al., (1984)<sup>a</sup>.

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"Materials Preparation and Characterization Capabilities," DOE/CONF-821120, February (1983)<sup>a,b</sup>.

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"Scientific Needs of the Technology of Nuclear Waste Containment," D. Turnbull, et al., (1982)<sup>a</sup>.

"Basic Research Needs and Opportunities on Interfaces in Solar Materials," A. W. Czanderna, R. J. Gottschall, et al., *Materials Science and Engineering*, 53, (1982), 1-162.

"The Effects of Irradiation on the Structure and Properties of Materials," C. Peter Flynn, et al., (1981)<sup>a</sup>.

"Condensed Matter Theory and the Role and Support of Computation," J. D. Joannopoulos, A. N. Berkner, et al., (1981)<sup>a</sup>.

"Research Opportunities in New Energy-Related Materials," J. L. Warren, T. W. Geballe, et al., *Materials Science and Engineering*, 50, (1981), 48-198.

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"High Temperature Corrosion in Energy Systems," R. A. Rapp, et al., *Materials Science and Engineering*, 50, (1981), 1-17.

"Basic Research Needs on High Temperature Ceramics for Energy Applications," H. K. Bowen, et al., *Materials Science and Engineering*, 44, (1980), 1-56.

"Materials Property Measurements: Identification of Technology Gaps," O. Buck, T. Taylor, and G. Alers, *J. of Non-Destructive Evaluation* (Submitted).

## **Description of Research Facilities, Plans, and Associated Programs**

"Using Federal X-ray, Electron, and Neutron Facilities," S. Spooner, *Journal of Metals*, 44, 10, 1992, 72-76 and 44, 11, 1992, 67.

"Scientific User Facilities, A National Resource"<sup>a</sup>.



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## Workshop and Report References

"Special Instrumentation Research Opportunities for Fundamental Ceramic Science at DOE," R. J. Gottschall, *Ceramic Bulletin*, 67, (1988), 1333-1339.

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- a Available in limited quantities from the Division of Materials Sciences by calling (301) 903-3426, -3427, or -3428
  - b Available from National Technical Information Service, U.S. Department of Commerce, Springfield, VA 22161
  - c Available from Pro Books, Inc., P.O. Box 193, 5 Smith Street, Rockport, MA 01966 (phone: 800-783-9590 or 508-546-9590)

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## INTRODUCTION

The purpose of this report is to provide a convenient compilation and index of the DOE Materials Sciences Division programs. This compilation is primarily intended for use by administrators, managers, and scientists to help coordinate research.

The report is divided into eight sections. Section A contains all Laboratory projects, Section B has all contract research projects, Section C has projects funded under the Small Business Innovation Research Program, Section D describes the Center of Excellence for the Synthesis and Processing of Advanced Materials and E has information on major user facilities. F describes other user facilities, G as a summary of funding levels and H has indices characterizing research projects.

The FY 1995 funding level, title, personnel, budget activity number (e.g., 01-2) and key words and phrases accompany the project number. The first two digits of the budget number refer to either Metallurgy and Ceramics (01), Solid State Physics (02), Materials Chemistry (03), or Facility Operations (04). The budget numbers carry the following titles:

01-1 - Structure of Materials	02-1 - Neutron Scattering
01-2 - Mechanical Properties	02-2 - Experimental Research
01-3 - Physical Properties	02-3 - Theoretical Research
01-4 - Radiation Effects	02-4 - Particle-Solid Interactions
01-5 - Engineering Materials	02-5 - Engineering Physics
03-1 - Synthesis & Chemical Structure	04-1 - Facility Operation
03-2 - Polymer & Engineering Chemistry	
03-3 - High Temperature & Surface Chemistry	

For more detailed information call (301) 903-3428 for the Metallurgy and Ceramics topics; (301) 903-3426 for the Solid State Physics and Materials Chemistry topics.

Sections E and F contain information on special DOE centers that are operated for collaborative research with outside participation. Section G summarizes the total funding level. In Section H provides cross-cutting references are to the project numbers appearing in Sections A, B, and C and are grouped by (1) investigators, (2) materials, (3) techniques, (4) phenomena, and (5) environment.

It is impossible to include in this report all the technical data available for the program in the succinct form of this Summary. To obtain more detailed information about a given research project, please contact directly the investigators listed.

Preparation of this FY 1995 summary report was coordinated by Iran L. Thomas. The effort required time by every member of the Division. Much of the work was done by Christie Ashton.

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## **SECTION A**

### Laboratories

The information in this section was provided by the Laboratories. Most projects are of a continuing nature. However, some projects were concluded and others initiated this fiscal year.



**AMES LABORATORY**

Iowa State University  
Ames, IA 50011

R. B. Thompson - (515) 294-9649

Fax: (515) 294-4456

**Metallurgy and Ceramics - 01 -**

O. Buck - (515) 294-4446

Fax: (515) 294-8727

**1. CONTROLLED MICROSTRUCTURES**

I. E. Anderson

(515) 294-8252 01-1 \$248,000

Studies to improve the performance of materials by achieving enhanced control of composition and microstructure during processing that involves diffusion and deformation with emphasis on powder-based materials. Perform hot isostatic pressing (HIP) experiments on high pressure gas atomized (HPGA) quasicrystalline Al-Cu-Fe powder to develop fabrication process for monolithic and composite forms of this novel material. Investigate consolidation by HIP and vacuum sintering of unique Al powders that contain high supersaturations of reactive gas resulting from gas atomization reaction synthesis (GARS) processing in the HPGA to form refractory dispersed reinforced composites. Study consolidation of intermetallic powders to produce bulk shapes with technologically useful levels of toughness and strength.

**2. SOLIDIFICATION MICROSTRUCTURES**

R. K. Trivedi, L. S. Chumbley, R. W. McCallum

(515) 294-5869 01-1 \$668,000

Studies of solidification processes and their applications to technologically important materials. Theoretical modeling of microstructural evolution and correlation between microstructures and processing conditions. Rapid solidification processing by the laser treatment of materials and by highly undercooled fine droplets. Development of microstructure/processing maps. Study of interface kinetics and the effect of crystalline anisotropy on the microstructure evolution. Dissociation/recombination phenomena in intermetallic compounds exposed to inductively coupled plasma. Solidification processing of (Dy,Tb)Fe<sup>2</sup> magnetostrictive alloys, Nd-Fe-B permanent magnet materials, and intermetallic compounds, and analysis of their magnetic and mechanical properties.

**3. MECHANICAL BEHAVIOR OF MATERIALS**

O. Buck, B. Biner, J. Kameda, O. Unal

(515) 294-4446 01-2 \$467,000

Studies of the effects of environment and stress on the mechanical properties and corrosion of ultra-high temperature materials. High-temperature-induced intergranular cracking in Ni base alloys. Description of three dimensional arrays of defects and relationship of arrangement to ductility and CREEP. Correlation between defect structure and nondestructive measurement. Effects of post-irradiation annealing on mechanical properties.

**4. MARTENSITIC PHASE TRANSFORMATIONS**

C. T. Chan, B. N. Harmon, K. M. Ho

(515) 294-7712 01-2 \$102,000

First principles calculations of electronic structure and total energies to study the order parameters, transformation paths, activation energies, and basic physics leading to analysis and control of the transformation. Detailed study of anharmonic couplings and their manifestation in phonon spectra preceding the transformation. Modeling pseudoelastic and thermoelastic behaviors of shape-memory alloys. Investigation of twin formation and its effects on ductility in hcp metals. Application of molecular dynamics using realistic interatomic potentials. Study of prototypical systems: Na, NiTi, NiAl, Ba, Zr, TiPd, etc.

**5. PHOTONIC BAND GAP MATERIALS**

K.-M. Ho, R. Biswas, C. T. Chan, C. M. Soukoulis

(515) 294-1960 01-2 \$250,000

Fabrication and design of materials with periodically varying dielectric constants. Enhancement and suppression of radiative transition rates. Antennas, Resonant Filters and Detectors.

**6. RARE EARTH AND RELATED MATERIALS**

K. A. Gschneidner, Jr.

(515) 294-7931 01-3 \$271,000

Study the behavior of rare earth materials in the extreme regime of low temperatures (down to 0.5 K) and high magnetic fields (up to 10T). This includes heat capacity, magnetic properties, electrical resistivity measurements. Examine the systematics of phase formation, or the variation of physical properties to understand various physical phenomena, such as bonding, alloy theory, structure of materials. Exploitation of materials with large magnetocaloric effects for refrigeration materials.

**7. ADVANCED MATERIALS AND PROCESSES**

I. E. Anderson, M. Akinc, L. L. Jones,  
T. A. Lograsso, D. J. Sordelet, R. K. Trivedi  
(515) 294-8252 01-5 \$1,052,000

Development of advanced processes for preparing special metals. Development of new melting procedures for preparing metal matrix composites. New thermite reduction process for preparing rare earth-iron alloys and for producing permanent magnet and magnetostrictive alloys. Processing of stoichiometric and non-stoichiometric materials by an inductively coupled plasma. Electrotransport and zone melting for maximum purification of rare earth and refractory metals. Processing of single crystals of congruent melting and peritectic materials by levitation zone melting, free-standing vertical zone melting, Bridgman, Czochralski and strain-anneal recrystallization. High pressure gas atomization for production of fine powders of metals and mixed metal oxides. Specialized coatings by plasma-arc spraying. Ceramic-ceramic bonding. Above research supports directly the Materials Preparation Center described in the Section-Collaborative Research Centers.

**8. NDE MEASUREMENT TECHNIQUES**

O. Buck, D. C. Jiles, C. H. Schilling,  
R. B. Thompson  
(515) 294-4446 01-5 \$348,000

Techniques to measure failure-related material properties to improve understanding of failure mechanisms and inspection reliability. Ultrasonic measurement of internal stresses, texture, and porosity. Ultrasonic scattering and harmonic generation studies of fatigue cracks to provide information about crack tip shielding and its influence on crack growth rate and detectability. X-ray microfocus techniques for high resolution studies of grain microstructure and defects. Effects of fatigue damage, stress and microstructure on magnetic properties, particularly Bloch wall motion.

**9. SCIENTIFIC AND TECHNOLOGICAL INFORMATION EXCHANGE**

L. L. Jones, T. A. Lograsso, S. Mitra  
(515) 294-5236 01-5 \$180,000

Dissemination of information to the scientific and industrial communities. Publication of *High-T<sub>c</sub> Update* for rapid dissemination of up-to-date information on high-temperature superconductivity research. Operation of Materials Referral System and Hotline to accumulate information from all known National Laboratory sources regarding the preparation and characterization of materials and to make this information available to the scientific community.

**10. FUNDAMENTALS OF PROCESSING OF BULK HIGH-T<sub>c</sub> SUPERCONDUCTORS**

R. W. McCallum, J. R. Clem, D. K. Finnemore,  
D. C. Johnson, M. J. Kramer  
(515) 294-4736 01-5 \$657,000

Investigation of the role of microstructure in the bulk superconducting properties of high-T<sub>c</sub> oxides. Control of microstructure using information obtained from phase diagram studies. Phase diagram dependence on rare earth and oxygen partial pressure. Interaction of materials with CO<sub>2</sub>. Study of fine grained dense polycrystalline materials. Effects of processing induced defects on the bulk superconducting properties. Thermal and quantum fluctuations of vortices.

**Solid State Physics - 02 -**

B. N. Harmon - (515) 294-7712  
Fax: (515) 294-7712

**11. NEUTRON SCATTERING**

C. Stassis, A. Goldman, D. Vaknin, J. Zarestky  
(515) 294-4224 02-1 \$441,000

Study of the magnetic properties of high temperature superconductors and related compounds by polarized and unpolarized neutron scattering techniques (La<sub>2</sub>CuO<sub>4</sub>, LaNiO<sub>4</sub>, La<sub>2-x</sub>Sr<sub>x</sub>CuO<sub>4</sub>, La<sub>2-x</sub>Sr<sub>x</sub>NiO<sub>4</sub>, Sr<sub>2</sub>Cl<sub>2</sub>, Ca<sub>2</sub>Cl<sub>2</sub>CuO<sub>2</sub>, and BaCuO<sub>2</sub>). Study of magnetism and superconductivity in the RENi<sub>2</sub>C<sub>2</sub>B systems. Experimental investigation of the lattice dynamics of metals and alloys undergoing martensitic transformations (bcc La, Cu-Al-Be, Cu-Al-Ni, and Cu-Zn-Al); study of the Verwey transition in magnetite. Electronic structure and phonon spectra of mixed valence compounds (CePd<sub>3</sub>, γ-Ce). Lattice dynamics of quasicrystals. Study of organic films on aqueous and solid surfaces by neutron and X-ray reflectivity techniques.

**12. NEW MATERIALS AND PHASES**

F. Borsa, D. C. Johnston, L. Miller, C. A. Swenson,  
D. R. Torgeson  
(515) 294-5435 02-2 \$520,000

Synthesis and characterization of new high-T<sub>c</sub> superconductors and related oxides. Study of the physical properties of these new materials, such as phase equilibria and high temperature behavior. Properties of new phases including magnetic susceptibility, transport properties, heat capacity, crystallographic phase transformations, coexistence and/or competition of superconductivity and magnetic order. Modeling and analysis of the data using appropriate theories. High pressure equations of state of new materials, elementary solids (ternary compounds and alloys, and alkaline earth metals),

low temperature expansivity and heat capacity of materials (Lu) containing hydrogen. Applications of NMR to high- $T_c$  superconductors, low dimensional magnetic systems and phase transitions. NMR studies of hydrogen embrittlement of refractory metals and alloys including martensitic phase transformations, superionic conductors, and quasicrystals.

### 13. MAGNETO OPTIC MATERIAL

P. Canfield, A. I. Goldman, K. A. Gschneidner, B. N. Harmon, D. W. Lynch, C. Stassis, S. Zollner  
(515) 294-7712 02-2 \$422,000

Synthesis and detailed characterization of new magnetic materials. Investigation of the correlation, spin-orbit, and exchange interactions leading to novel or large magneto-optical properties. Kerr angle spectroscopy development and use of circular magnetic X-ray dichroism as a new tool for studying local magnetic properties. Theoretical modeling, first principles calculations, and predictions in close collaboration with the experimental effort.

### 14. SUPERCONDUCTIVITY

D. K. Finnemore, J. E. Ostenson  
(515) 294-3455 02-2 \$220,000

Preparation, characterization, and study of the fundamental properties of copper oxide superconductors; search for new superconducting materials; current transfer and the proximity effect near superconductor normal metal interfaces, fundamental studies of vortex motion; development of superconducting composites for large scale magnets. Fundamental studies of superconductivity in metal-metal composites, use of Josephson junctions to study flux pinning of isolated vortices, development of materials with very low pinning, development of superconducting composites with very strong pinning suitable for large scale magnets in the 8 to 16 Tesla range, practical studies to improve wire fabrication techniques.

### 15. X-RAY DIFFRACTION PHYSICS

A. Goldman  
(515) 294-3585 02-2 \$260,000

X-ray measurements on icosahedral Phase alloys, high- $T_c$  ceramic superconductors, magnetic structures and phase transitions, and solids at high pressure. Magnetic X-ray scattering and spectroscopy. Study of magnetism and superconductivity in the  $RENi_2C_2B$  systems. Development of beamlines at APS.

### 16. OPTICAL, SPECTROSCOPIC, AND SURFACE PROPERTIES OF SOLIDS

D. W. Lynch, C. G. Olson, M. Tringides, S. Zollner  
(515) 294-3476 02-2 \$620,000

Electron photoemission, inverse photoemission, and optical properties (transmission, reflection, ellipsometry) of solids in the visible, vacuum ultraviolet and soft X-ray region using synchrotron radiation; low energy electron diffraction, scanning tunnelling microscopy. Ce and Ce-compounds (e.g.,  $CeSb_3$ ), copper-oxide-based superconductors, O on W. Epitaxial growth on metal and semiconductor surfaces, surface diffusion, ultrathin film morphology, LEED (Low Energy Electron Diffraction), RHEED (Reflection, High Energy Electron Diffraction), STM (Scanning Tunneling Microscopy) are used for structural characterization and growth measurements. Ultrafast laser studies of electron spin dynamics in magnetic materials.

### 17. SEMICONDUCTOR PHYSICS

J. Shinar  
(515) 294-8706 02-2 \$205,000

(I) Fabrication and electronic and structural dynamics studies of hydrogenated amorphous Si-based thin films and devices, using UV-Vis-NIR-IR absorption spectroscopies, photoconductivity, SAXS, and SIMS. (II) Processing and studies of fullerenes, using luminescence and optically-detected magnetic resonance spectroscopies. (III) Fabrication and characterization of thin diamond and porous Si films and devices.

### 18. SUPERCONDUCTIVITY THEORY

J. R. Clem, V. Kogan  
(515) 294-4223 02-3 \$200,000

Electrodynamic behavior of the high-temperature copper-oxide superconductors, especially while carrying electrical currents in magnetic fields. Anisotropy of critical fields, internal magnetic field distributions, and magnetization in bulk samples, tapes, and films. Granularity effects using Josephson-coupled-grain models. Flux pinning, critical currents, thermally activated flux flow, noise, ac and high-frequency losses. Surface-barrier, interface, grain-boundary, proximity effects, and vortex fluctuations.

### 19. OPTICAL AND SURFACE PHYSICS THEORY

R. Fuchs, C. T. Chan, K.-M. Ho  
(515) 294-1960 02-3 \$120,000

Optical properties of metals, semiconductors, and insulators, studies of surfaces, thin films, layered systems, small particles, and powders. Differential surface reflectance spectroscopy. Electron energy-loss spectroscopy of inhomogeneous systems.

First principles calculation of lattice relaxation, reconstruction and phonons at single crystal surfaces (Al, Au, W, Mo, Ag and Au on Si). Chemisorption. Determination of growth modes via first principles calculations.

## 20. ELECTRONIC AND MAGNETIC PROPERTIES

B. N. Harmon, C. T. Chan, K.-M. Ho, M. Luban,  
C. Soukoulis  
(515) 294-7712 02-3 \$424,000

Theoretical studies of bulk and lattice dynamical properties of materials using first principles total energy calculations. Magnetic properties of new high- $T_c$  superconductors. Inharmonic interactions, lattice instabilities, phase transformations, electron-phonon interaction, and superconductivity. Equations of state (pressure and temperature). Hydrogen-metal interactions. Electron localization in quasi-periodic and disordered materials. Magnetism in spin glasses and ternary compounds. Electronic structure of rare earth compounds and transition metal sulfides and hydrides. Theory of amorphous semiconductors; and nuclear magnetic ordering in metals. Localization of light in dielectrics, photonics. Theoretical modeling of quantum dot nanostructures and Bloch oscillations. Buckyballs.

## Materials Chemistry - 03 -

P. A. Thiel - (515) 294-8985  
Fax: (515) 294-4709

## 21. SYNTHESIS AND CHEMICAL STRUCTURE

J. D. Corbett, J. W. Anderegg, H. F. Franzen,  
R. A. Jacobson, R. E. McCarley  
(515) 294-3086 03-1 \$885,000

Synthesis, structure and bonding in polar intermetallic systems. Interstitial derivatives of intermetallic phases - the systematic variation of electronic, conduction, and magnetic properties and corrosion resistance. Influence of common impurities (O, N, H) on stability of intermetallic compounds. Homoatomic clusters of main-group metals in condensed phases; electronic regularities. Zintl phases, criteria and property relationships. Synthesis, bonding, structure and properties of new reduced ternary oxide and chalcogenide phases containing heavy transition elements, especially metal-metal bonded structures stable at high temperatures. Low temperature routes to new metal oxide, sulfide and nitride compounds, especially metastable crystalline and amorphous phases. Structure and properties of new higher valent transition metal nitrides. Electronic band structure calculations. Study of refractory metal-rich binary and ternary sulfides and oxides by both experimental and theoretical techniques to understand the relationships among crystal structure,

chemical bonding, and electronic structure as they affect high temperature stability, phase equilibria, and order-disorder transitions. Development of diffraction techniques for single crystal and non-single crystal specimens, techniques for pulsed-neutron and synchrotron radiation facilities, and use of Patterson superposition methods. Experimental methods: X-ray and electron diffraction, X-ray and UV photoelectron spectroscopy, resistivity and magnetic susceptibility measurements, computer automated mass-loss-mass-spectrometry for high-temperature vaporation reactions.

## 22. POLYMER AND ENGINEERING CHEMISTRY

T. J. Barton, M. Akinc, S. Ijadi-Maghsoodi  
(515) 294-2770 03-2 \$383,000

Synthesis of highly-strained, unsaturated, organometallic rings for ring-opening polymerizations. Study of controlled thermal decomposition of preceramic polymers. Development of thermal and photo-chemical routes to transient compounds containing silicon multiple bonds as route to preceramic materials. Design and synthesis of polymers containing alternating silicon and unsaturated carbon units. Such polymers are evaluated as ceramic precursors, as electrical conductors, and as nonlinear optical materials. Synthesis and characterization of ceramic powders including oxides, sulfides and carbides. Characterization and processing of novel intermetallics for high temperature structural applications. Processing and evaluation of novel ternary silicides.

## 23. HIGH TEMPERATURE AND SURFACE CHEMISTRY

P. A. Thiel, S.-L. Chang, J. Feng, D. C. Johnson  
(515) 294-8985 03-3 \$382,000

Surface phenomena in quasicrystals. Mechanisms of oxidation of metals and alloys, and properties of oxide overlayers (composition, stability, structure). Chemistry of electrode reactions, including electrocatalysis, electrochemical incineration, and corrosion reactions. Characterization of electrocatalytic materials by modulated hydrodynamic voltammetry. Reactivity of oxidized and doped electrode surfaces, including characterization of oxygen mobility and defect density at such electrodes. Equilibrium and dynamic properties of adsorbed films. Techniques used include low energy electron diffraction, Auger electron spectroscopy, electron energy loss spectroscopy, temperature programmed desorption, electron-stimulated desorption, X-ray photoelectron spectroscopy, scanning tunneling microscopy, ring-disk and modulated hydrodynamic voltammetry.

**Facility Operations - 04 -**

L. L. Jones - (515) 294-9809  
Fax: (515) 294-8727

**24. MUCAT SECTOR AT THE ADVANCED PHOTON SOURCE**

A. I. Goldman, T. Gu, D. W. Lynch, D. Robinson  
(515) 294-8700 04-1 \$187,000

Preliminary design of the undulator beamline at the Advanced Photon Source (APS). Design of optical elements of modifying the polarization of the undulator radiation and polarization analysis of the scattered beam. Testing and certification of components constructed for use at the APS. Preparation of the Preliminary Design Report.

**ARGONNE NATIONAL LABORATORY**

9700 South Cass Avenue  
Argonne, IL 60439

F. Y. Fradin - (708) 252-3504  
Fax: (708) 252-6720

**Metallurgy and Ceramics - 01 -**

B. D. Dunlap - (708) 252-4925  
Fax: (708) 252-4798

**25. ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH**

M. A. Kirk, C. W. Allen  
(708) 252-4998 01-1 \$1,384,000

Development and use of high-voltage, high-spatial resolution and advanced analytical microscopy for materials research. Operation and development of the Center's HVEM-Tandem Facility with in situ high voltage and intermediate voltage electron microscope capability for direct observation of ion-solid interactions. The HVEM is currently being utilized for research programs in irradiation effects advanced materials and mechanical properties. HVEM specimen holders are available for heating (to 1100 K), cooling (to 10 K), straining and resistivity. Ion-beam interfaces with 650 kV ion accelerator and 2 MV tandem accelerator available for in situ implantations and irradiations into the HVEM or IVEM. Approximately 50 percent of HVEM usage is by non-ANL scientists for research proposals approved by the Steering Committee for the Center. A state-of-the-art, medium-voltage, ultra-high vacuum, field-emission gun, Analytical Electron Microscope has recently been installed. Its design is directed toward the attainment of the highest microanalytical resolution and sensitivity. Fundamental studies of electron-solid interactions and microcharacterization

of materials, using TEM, STEM, HREM, CBED, XEDS, and EELS are conducted at present on conventional transmission electron microscopes (JEOL 4000 EXII, JEOL 100CX, Phillips EM420, and Phillips CM30).

**26. INTERFACES IN ADVANCED CERAMICS**

D. Wolf, J. A. Eastman, Z. Li, K. L. Merkle,  
S. R. Phillpot  
(708) 252-5205 01-3 \$1,616,000

Coordinated experimental and theoretical program focused on the synthesis, characterization and interfacially controlled properties of oxide ceramics, with particular emphasis on severely constrained microstructures (in the form of nanocrystalline compacts, thin MOCVD-grown films and multilayers) in which a significant fraction of the atoms is situated in the interfaces. Experimental synthesis and characterization methods are combined with atomistic computer simulations to address fundamental issues relevant to the processing, thermodynamic and mechanical behavior as a function of the microstructure. Among these are: (a) the relationship between the microstructure and the atomic structure of the interfaces, (b) point-defect properties and interfacial chemistry (including non-stoichiometry) as a function of the microstructure, and (c) the effect of interfacial phases (including amorphous interface layers) on the thermodynamic and mechanical behavior. The program draws heavily on three major ANL facilities, the Electron Microscopy Center (HREM, AEM) and, in the near future, the Advanced Photon Source, as well as Argonne's expertise in massively parallel computing architectures.

**27. IRRADIATION AND KINETIC EFFECTS**

L. E. Rehn, D. E. Alexander, R. C. Birtcher,  
S. K. Chan, N. Q. Lam, P. R. Okamoto, N. J. Zaluzec  
(708) 252-5021 01-4 \$1,799,000

Investigations of mechanisms leading to the formation of defect aggregates, precipitates, and other inhomogeneous distributions of atoms in solids during irradiation. Studies of neutron and gamma irradiation effects on alloy microstructure and embrittlement. Freely-migrating and cascade defects. Irradiation performance of advanced nuclear fuels. Effects of amorphization on dimensional stability. Radiation-induced segregation to internal and external defect sinks. Radiation-enhanced diffusion. Inert-gases in solids. Surface modification of alloys by ion bombardment. Ion channeling in High-T<sub>c</sub> materials and magnetic superlattices. In situ studies of irradiation effects in the Intermediate and High-Voltage Electron Microscopes. Neutron and dual-beam ion irradiation. Computer modeling of

irradiation-induced microstructural changes. Ion-beam analysis. Radiation sources include HVEM-2MV Tandem facility (electrons and ions), 650kV ion accelerator, and IPNS.

#### 28. CERAMIC MATERIALS DEVELOPMENT

K. E. Gray, K. C. Goretta, D. J. Miller,  
A. P. Paulikas, B. W. Veal, Jr.  
(708) 252-5525 01-5 \$746,000

This program studies oxide ceramic materials, with the primary emphases on high- $T_c$  superconductors and coatings. Synergistic efforts incorporating synthesis, characterization, fabrication are coupled to a wide range of fundamental electronic and structural properties. Materials engineering issues that limit performance and processing flexibility are also studied. The properties of ceramic protective coatings for use in high temperature corrosive environments (e.g., for high-temperature gas turbines) are studied. The single thallium layer HTS compounds are studied for use in powder-in-tube and coatings, because of their superior flux pinning.

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#### Solid State Physics - 02 -

B. D. Dunlap - (708) 252-4925  
Fax: (708) 252-4798

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#### 29. NEUTRON AND X-RAY SCATTERING

J. D. Jorgensen, G. P. Felcher, R. Kleb,  
R. Osborn, D. L. Price  
(708) 252-5513 02-1 \$1,438,000

Exploitation of neutron and X-ray scattering techniques in the study of the properties of condensed matter. Instrument development and interactions with university and industrial users at IPNS. Investigations of the structure and defects of intermetallic and oxide superconductors, structure and dynamics of chalcogenide and oxide glasses, liquid alloys and molten salts, surface magnetism, polymer interfaces, distributions with deep inelastic scattering, and fast ion transport in solids.

#### 30. MAGNETIC FILMS

S. D. Bader, E. E. Fullerton, M. Grimsditch  
(708) 252-4960 02-2 \$870,000

Research on the growth and physical properties of novel ultra-thin, epitaxial films, wedges, metallic sandwiches, superlattices and multilayers. Thin-film and surface-science preparation techniques include molecular beam epitaxy, and sputtering. Monolayer growth phenomena and interfacial structure characterization methods include electron (RHEED and LEED) and X-ray diffraction. Electronic properties studied via electron spectroscopies (photoemission and Auger), band-structure theory, and

low-temperature transport, magnetic and magneto-optic Kerr effect measurements. Elastic, magnetic and vibrational properties using Brillouin and Raman scattering, and spin polarized photoemission.

#### 31. TAILORED PERMANENT MAGNETS

S. D. Bader, E. E. Fullerton  
(708) 252-4960 02-2 \$491,000

This new program involves exploration for new and improved permanent magnets with high energy products. The approach is to utilize thin-film deposition techniques for fabrication, and magnetometry and electron microscopy for magnetic and structural characterizations, respectively. Attempts are underway to grow the recently discovered Sm-Fe-N ternaries via sputtering, and Nd-Fe-B via molecular beam epitaxy. Efforts will also be taken to interleave hard and soft ferromagnets on the nanometer-scale in order to test the new concepts of "exchange hardening" permanent magnets. This should reduce rare-earth content, and therefore, improve corrosion resistance and lower materials costs. Ultimately, revolutionary advances are possible technologically with new permanent magnets for energy applications.

#### 32. SUPERCONDUCTIVITY AND MAGNETISM

G. W. Crabtree, W. K. Kwok, V. Vinokur, U. Welp  
(708) 252-5509 02-2 \$974,000

Experimental and theoretical investigations of the magnetic and superconducting properties of materials. Strong emphasis is being placed on studies of high- $T_c$  oxide superconductors, especially on the physics of vortices in the mixed state. Other programs include studies of the electronic properties of organic superconductors, heavy fermion and other narrow-band materials containing rare-earth and actinide elements. Experimental techniques include the de Haas-van Alphen effect, transport and magnetic measurements, electron tunneling, materials preparation and characterization.

#### 33. SYNCHROTRON X-RAY SCATTERING

P. A. Montano, M. Bedzyk, M. Beno,  
J. C. Campuzano, G. S. Knapp, G. B. Stephen,  
H. You  
(708) 252-6239 02-2 \$585,000

X-ray scattering techniques, glancing incidence fluorescence and X-ray absorption spectroscopy has been used to characterize the structure and composition profile of multilayers. X-ray scattering has been utilized to characterize in situ the growth mode of metallic thin films on difference substrates. X-ray standing waves are being used to investigate surface, thin film and interface structures. Angle resolved photoemission has been employed to measure the electronic structure of high temperature

superconductors. A new beamline for energy dispersive X-ray absorption measurements is being utilized for the study of transition metals magnetic alloys. X-ray absorption technique was used to study the structure of photoexcited states in molecules and crystals.

#### 34. ADVANCED MATERIALS CHARACTERIZATION

P. A. Montano, M. A. Beno, G. Jennings,  
G. S. Knapp  
(708) 252-6239 02-2 \$396,000

Development of beamlines and instrumentation for the Basic Energy Sciences Synchrotron Radiation Center (BESSRC) at the Advanced Photon Source. Energy dispersive data collection of multiple X-ray wavelength anomalous scattering effects. Very high energy X-ray scattering. Time domain X-ray scattering measurements. Inelastic X-ray scattering. Cryogenic cooling for high heat-load components. Elliptical multipole wiggler for polarized beam studies.

#### 35. CERAMIC EPITAXY FILMS AND COMPOSITES

D. Wolf, H. L. Chang, C. Foster  
(708) 252-5205 02-2 \$382,000

Experimental research program on the processing, characterization, and property determination of single-crystal epitaxial ceramic-oxide films and layered composites prepared by metal-organic chemical vapor deposition (MOCVD) techniques. The main objectives are twofold, namely (a) to enhance our fundamental understanding of the processing-structure-property relationship of thin ceramic films and multilayers synthesized by MOCVD and (b) to measure tensor properties in single-crystalline films, thus elucidating the performance of these materials. In the past, devices using these materials have been made almost exclusively in polycrystalline form. Here the main emphasis is on electro-ceramic materials, such as  $\text{TiO}_2$ ,  $\text{SnO}_2$ ,  $\text{PbTiO}_3$ ,  $\text{SrTiO}_3$ ,  $\text{BaTiO}_3$ ,  $\text{PbZrO}_3$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{LiTaO}_3$ . Properties of interest involve their dielectric, piezoelectric, electro-optic, acousto-optic, and elastic behavior.

#### 36. EMERGING MATERIALS

K. E. Gray, D. G. Hinks, R. T. Kampwirth, D. J. Miller  
(708) 252-5525 02-5 \$515,000

This program includes materials engineering research and fundamental studies of new materials with a primary emphasis presently on superconductors. Sample fabrication includes single crystals and film depositions. Microcharacterizations, including electron microscopy and in-situ X-ray probes, are used as crucial links between physical properties and syntheses/processing. Studies seek to identify intrinsic potential of important new materials and the effects of extrinsic defects.

#### Materials Chemistry - 03 -

B. D. Dunlap - (708) 252-4924  
Fax: (708) 252-4798

#### 37. CHEMICAL AND ELECTRONIC STRUCTURE

J. M. Williams, U. Geiser, A. M. Kini,  
J. S. Schlueter, H. H. Wang  
(708) 252-3464 03-1 \$1,258,000

New materials synthesis and characterization focusing on synthetic organic metals and superconductors based on BEDT-TTF bis(ethylenedithiotetrathio-fulvalene), the fullerenes ( $\text{C}_{60}$ ), and various newly-synthesized organic electron-donor and newly synthesized electron-acceptor molecules. Development of structure-property relationships coupled with electrical and superconducting properties measurements. Development of improved crystal growth techniques. Phase transition and crystal structure studies as a function of temperature (10-30 K) by use of the IPNS-single crystal diffractometer and a low-temperature (10 K) single crystal X-ray diffraction instrument. Co-development arrangements with Lake Shore Cryotronics, ("beta" test site for prototype low-temperature (1.2 K -> 298 K) AC susceptometer for magnetic properties measurements in applied magnetic fields) and Siemens International (Center of Excellence in X-ray scattering studies at ANL) by use of the new Siemens SMART System.

#### 38. INTERFACIAL MATERIALS CHEMISTRY

V. A. Maroni, L. A. Curtiss, L. Iton, S. A. Johnson,  
A. R. Krauss  
(708) 252-4547 03-2 \$391,000

Basic research on interfacial phenomena is being carried out in two forefront scientific fields of materials science: (1) molecular sieve materials and their application in heterogeneous catalysis and (2) novel techniques for the preparation and characterization of high-critical-temperature ( $T_c$ ) superconductors in thin-film form. The role of organic template molecules in the crystallization mechanisms of aluminosilicate zeolites. The application of modified zeolites and metallaluminumophosphate materials as catalysts in hydrocarbon oxidation reactions. Use of molecular sieve materials as matrices for the generation of intercrystalline particles and polymers, constrained in size and dimensionality. Computer simulations of framework and adsorbate molecular dynamics, as well as ab initio molecular orbital calculations of chemical properties of zeolite catalysts and template effects in

microporous structure development. Production of nanocrystalline diamond thin films grown from C60 and CH4 plasmas. Development of diamond films for tribological applications and for use as a large area electron emitting surface.

### 39. AQUEOUS CORROSION

V. A. Maroni, L. A. Curtiss, C. A. Melendres,  
Z. Nagy, R. M. Yonco  
(708) 252-4547 03-2 \$562,000

Basic research aimed at elucidating fundamental aspects of interfacial phenomena that occur on the surface of metals immersed in aqueous media under conditions relevant to light water fission reactors, nuclear waste storage, environments, and the operation of batteries. Investigations of the mechanisms responsible for passivation on iron, copper, and nickel-based alloys and for crack and pit propagation in these same alloys. Studies of the details that connect surface adsorption, electron transfer, and electrolyte chemistry with passive film structure using a combination transient electrochemical techniques and in situ synchrotron adsorption radiation scattering methods. In situ characterization of electrochemical interfaces using synchrotron radiation techniques (X-ray and far infrared). Investigations of the key features of the interfacial chemistry associated with passivation processes (including charge transfer kinetics) using pulsed galvanostatic, potentiostatic, dc polarization, and ac impedance. A parallel computational effort seeks to simulate solid/liquid interface phenomena through the application of molecular dynamics methods in combination with ab initio molecular orbital theory.

### 40. DYNAMICS, ENERGETICS AND STRUCTURE OF ORDERED AND METASTABLE MATERIALS

M.-L. Saboungi, L. A. Curtiss  
(708) 252-4341 03-2 \$321,000

Experimental and theoretical investigations of important thermodynamic and structural properties of ordered and associated solutions and amorphous (metastable) materials. Thermodynamic and structural measurements (e.g., emf, vapor pressure, neutron diffraction) are combined with theoretical calculations (e.g., molecular dynamics, quantum chemical) to determine the fundamental characteristics of ordered and associated solutions (e.g., room temperature melts, semiconducting alloys, alkali-germanate glasses. Other techniques such as visible/uv and Raman spectroscopy neutron and anomalous wide angle X-ray scattering, and inelastic neutron scattering are used to obtain data relating to valence states, ordering and clustering of atoms and ions in solution. New quantum chemical methods are being developed for use in these studies.

### 41. PARTICLE AND PHOTON INTERACTIONS WITH SURFACES

D. M. Gruen, W. F. Calaway, A. R. Krauss,  
M. J. Pellin  
(708) 252-3513 03-3 \$913,000

Development of multiphoton resonance and femtosecond short pulse ionization methods combined with sophisticated time-of-flight mass spectroscopy for ultrasensitive detection of sputtered species. Application of this technique to studies of (1) fundamental problems in surface science (depth of origin of sputtered species; sputtering of metal clusters; adsorbate structures; strong metal support interactions; mechanisms of oxidation; surface segregation), (2) sub-micron imaging mass spectrometry using both laser desorption and sputtering, (3) trace analysis for selected systems of special significance such as impurities in semiconductors, (4) isotopic studies of naturally occurring materials for study of environmentally-important problems anomalies. The composition and structure of thin films and solid surfaces are being studied by means of ion beam scattering and direct recoil spectroscopy methods as well as conventional surface analysis methods such as Auger, UV and X-ray photoelectron spectroscopies, and secondary ion mass spectroscopy. The ion beam scattering and direct recoil methods permit characterization of thin film surfaces during deposition in ambient hydrogen, oxygen or nitrogen background gases. The system is being applied to the study of growth mechanisms in ferroelectric materials, high temperature superconductors and diamond thin films, where it has been used to study transient and kinetically-dependent phenomena during deposition.

### 42. MOLECULAR IDENTIFICATION FOR SURFACE ANALYSIS

D. M. Gruen, K. R. Lykke, M. J. Pellin  
(708) 252-3513 03-3 \$383,000

Surface analysis of the molecular composition of complex solids using Fourier transform ion cyclotron resonance spectroscopy coupled with resonant, ponderomotive, and "soft" laser ionization methods. The solid surfaces to be investigated include conducting polymers, plastics, fullerenes, and other high molecular weight materials. One aspect of the study involves the diffusion and fate of additives such as plasticizers and UV stabilizers in polymers. Another aspect includes the characterization of fullerene (C<sub>60</sub>)-type compounds.



**Facility Operations - 04 -**

D. Moncton - (708) 252-7950  
 Fax: (708) 252-4599

**43. APS USER TECHNICAL AND ADMINISTRATIVE INTERFACE**

S. Barr, S. Davey, G. K. Shenoy  
 (708) 252-5537 04-1 \$2,000,000

The user technical and administrative interface will provide the point of contact between the APS and the APS users during the design, construction, and operation of users' experimental beamlines. This program will provide for the integration of user technical and administrative requirements with APS Experimental Facilities Division activities and for the oversight and support during development of these beamlines.

**44. APS ACCELERATOR R&D**

M. Borland, E. Crosbie, R. Damm, G. Decker,  
 H. Friedsam, J. Galayda, G. Goepfner, R. Kustom,  
 A. Lumpkin, G. Mavrogenes, D. McGhee, F. Mills,  
 S. Milton, J. Noonan, S. Sharma, L. Teng, M. White  
 (708) 252-7796 04-1 \$1,000,000

This research supports construction of the Advanced Photon Source, a 7-GeV storage ring complex capable of facilitating wide ranges (1-200 keV) of X-ray tunability of insertion devices and operating with 35 insertion device beamlines. Accelerator component prototypes are developed to evaluate and refine performance characteristics of the accelerator and storage ring systems. Theoretical methods are developed and applied to predict accelerator physics performance parameters. Facility Title II design activities began in FY 1990, accelerator commissioning was initiated in FY 1993 and completion is scheduled for FY 1997.

**45. INTENSE PULSED NEUTRON SOURCE PROGRAM**

B. S. Brown, F. R. Brumwell, J. M. Carpenter,  
 W. D. Ruzicka  
 (708) 252-4999 04-1 \$6,800,000

Operation and development of IPNS, a pulsed spallation neutron source for condensed matter research with neutron scattering techniques. The facility is equipped with 12 instruments which are regularly scheduled for users and one instrument under construction. The facility has been run since 1981 as a national facility in which experiments are selected on the basis of scientific merit by a nationally constituted Program Committee. Approximately 300 experiments, involving about 180 outside visitors from universities and other institutions are performed annually. Industrial Research on a

proprietary basis, which allows the company to retain full patent rights, has been initiated with a number of companies (e.g., ALCAN, Texaco, Allied-Signal, Corning, General Electric, Amoco, British Petroleum Chemicals) and is encouraged. Relevant Argonne research programs appear under the neutron activities of the Materials Science Division of Argonne National Laboratory.

**46. APS COMMISSIONING AND START-UP**

Y. Cho, J. Galayda, G. Shenoy  
 (708) 252-6616 04-1 \$16,400,000

To establish a smooth transition between the construction phase and the operations phase, operations groups have been established and will grow in size until they take full responsibility for operations, maintenance and troubleshooting of all systems. Maximum use will be made of computerized documentation and document control procedures to assure repeatable, safe operations. A unified approach will be developed to create and control command sequences defining operation, associated documentation, routine maintenance record keeping and system troubleshooting. Beam stability is one of the prime measures of performance of APS. Three systems are proposed to detect three principal causes of instability in the orbit of the stored positron beam. The undulators and wigglers of APS produce the X-ray beams and are also capable of disturbing the beam stability if not adjusted correctly. The APS staff will preview and test, among other things, the performance limits of state-of-the-art undulators. Operation of the APS relies on a long lifetime for the stored beam which depends critically on vacuum conditions. Vacuum systems and procedures will be optimized to achieve desired performance.

**47. ASD R&D IN SUPPORT OF OPERATIONS**

E. Crosbie, R. Damm, J. Galayda, M. Knott,  
 R. Kustom, A. Lumpkin, G. Mavrogenes, L. Teng,  
 M. White  
 (708) 252-7796 04-1 \$12,000,000

To further develop the operations of the APS, R&D support is needed to optimize accelerator systems, controls and X-ray source capabilities. These studies will examine the operating characteristics of APS systems with the goal of improving them. Activities include accelerator physics studies of the linacs, PAR, synchrotron storage ring, and transport lines to increase injected currents, increase circulating current, and improve beam lifetime and stability. There is also an effort towards developing new diagnostic devices and control techniques to support accelerator physics activities and to improve integrated performance of the circulating positron beam, insertion devices and X-ray beamlines. New storage ring operating techniques are studied and devices will be developed with the goal of

enhancing the ability to use the facility for synchrotron radiation research.

#### 48. APS BEAMLINE AND INSERTION DEVICE R&D

E. Gluskin, T. Kuzay, D. M. Mills, G. K. Shenoy  
(708) 252-5537 04-1 \$11,500,000

Experimental Facilities R&D supports the construction of various APS components such as the insertion devices, mechanical components of the beamline front ends and transport, X-ray optics, detectors, and synchrotron instruments. This R&D, including the construction and testing of prototypes, is needed to assure that the detailed designs meet or exceed the desired performance goals of the APS construction project and to assure that the APS user community can perform their research.

#### 49. XFD R&D IN SUPPORT OF OPERATIONS

E. Gluskin, T. Kuzay, D. M. Mills, G. K. Shenoy  
(708) 252-5537 04-1 \$3,000,000

To prepare in advance for the operational phase of the APS facility, R&D needs have been identified that have direct bearing on the success of APS user programs. R&D items are based on user collaboration proposals, while others support the beamline instrumentation. In order to enhance dissemination of the best beamline designs to the users, a Design Exchange has been established. This exchange maintains all the updated design drawings of the user beamlines from the conceptual stage to the as-built stage. Furthermore, these CAD drawings and corresponding specifications and descriptions are available to all the users on communication links. There is an additional effort to design, develop and test software to operate all the beamlines and experimental instruments so as to enhance performance and safety of operation. In addition, insertion device diagnostics will be carried out using a positron beam from the linac.

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#### Metallurgy and Ceramics - 01 -

K. G. Lynn - (516) 344-3501  
Fax: (516) 344-4071

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#### 50. SUBMICROSCOPIC DEFECTS IN LAYERED MATERIALS

B. Nielsen, V. J. Ghosh  
(516) 344-3525 01-1 \$214,000

The main goal of this program is to elucidate the role played by submicroscopic defects in determining the

properties (mainly physical properties) of layered structures. This also includes studies of the mechanisms by which defects are incorporated into layered materials, as well as defect evolution and migration during processing. The layered structures are formed by deposition, thermal growth of thin layers, or by ion implantation. The technique used primarily to detect defects is depth-resolved positron annihilation spectroscopy. To complement this work other techniques are also used. Theoretical studies or computer simulations are done where they are necessary to extract defect information or to get a better understanding of the mechanisms involved in defect generation, evolution, or migration.

#### 51. FIRST PRINCIPLES THEORY OF HIGH AND LOW TEMPERATURE PHASES

M. Weinert, P. Allen (SUNY-Stony Brook),  
R. E. Watson  
(516) 344-2684 01-1 \$417,000

First principles techniques for determining the electronic and structural properties of metals, complex crystalline structures, liquids and amorphous materials. Calculations of phase diagrams.

#### 52. MECHANISMS OF METAL-ENVIRONMENT INTERACTIONS

H. S. Isaacs, A. J. Davenport  
(516) 344-4516 01-2 \$557,000

Studies of the properties, formation, and breakdown of passive and anodically grown oxide films on metals and alloys. Surface morphology and atomic structure using atomic force and tunnelling microscopy. Concentrations and valency of elements in surface oxides using X-ray absorption. Studies of the role of corrosion-inhibitors and the mobility of inhibiting anions under existing high electric fields. Kinetics of the early stages of formation of oxide films. Breakdown of oxide films followed by localized corrosion. Propagation of voltage transients along metal surfaces. Dissolution kinetics of metals in highly concentrated electrolytes simulating localized corrosion. Structure of the electrolytes, salt film formation, and electromigration.

#### 53. SUPERCONDUCTING MATERIALS

M. Suenaga, Z.-X. Cai, D. O. Welch, Y. Zhu  
(516) 344-3517 01-3 \$1,070,000

Fundamental properties of high critical temperature and critical field superconductors, mechanical properties, theoretical models of interatomic forces, lattice defects, and diffusion kinetics in superconducting oxides, studies by electron microscopy of lattice defects in superconducting compounds, flux pinning, properties of composite superconductors.

#### 54. BASIC MATERIALS SCIENCE OF HIGH $T_c$ CONDUCTOR FABRICATION

M. Suenaga, Q. Li, Y. Zhu  
(516) 344-3518 01-5 \$575,000

The purpose of this program is to perform basic studies of problems which are associated with the fabrication of conductors for magnets and transmission of power utilizing high- $T_c$  superconductors. The main focus of this program currently is on characterization of microstructural and electromagnetic properties of grain boundaries in  $YBa_2Cu_3O_7$  and  $Bi_2Sr_2Ca_2Cu_3O_8$  in order to gain increased understanding of the nature of the coupling. A second aspect of the program is the development of fabrication techniques for  $YBa_2Cu_3O_7$  and  $Bi_2Sr_2Ca_2Cu_3O_8$  to strengthen the coupling of the boundaries by various methods.

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#### Solid State Physics - 02 -

J. W. Davenport - (516) 344-3789  
Fax: (516) 344-2739

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#### 55. MAGNETIC AND STRUCTURAL PHASE TRANSITIONS

J. D. Axe, W. Bao, K. Hirota, G. Shirane,  
J. M. Tranquada  
(516) 344-3821 02-1 \$1,060,000

The principal objective of this program is the fundamental study of phase transitions and magnetism by elastic and inelastic neutron scattering. At present, a concentrated effort directed towards the characterization and understanding of the high-temperature superconductors complements work on a wide-range of other condensed matter systems. Within the area of phase transitions, measurements of both structural rearrangements and dynamical fluctuations in order parameters are applied to martensitic alloys as well as to the copper-oxide superconductors. Antiferromagnetic correlations are proving to be especially important in the copper-oxide perovskite systems and other highly correlated electron systems such as nickelates. The unique attributes of the neutron are exploited in both the static and dynamical studies of critical phenomena in magnetic materials. The primary interest is in the study of collective magnetic excitations and short-range correlations in a wide variety of magnetic materials. Recent activity involves substitutionally disordered or frustrated magnetic materials, spin glasses, and low-dimensional systems. The facilities at the High Flux Beam Reactor (HFBR) are operated as a Participating Research Team and are available to the outside scientific community.

#### 56. ELEMENTARY EXCITATIONS AND NEW TECHNIQUES

J. D. Axe, L. Passell, S. M. Shapiro, B. Sternlieb,  
P. Wochner, A. Zheludev  
(516) 344-3821 02-1 \$1,290,000

This program is directed to the study of elementary excitations in condensed matter and to the development of new neutron scattering techniques to further these investigations. Currently, experimental interest focuses on structure and excitations in heavy fermion, mixed valent and paramagnetic systems, spin glasses, superconductors, magnetic and intermetallic alloys, and the combined use of X-rays and neutrons to study the structure and interactions in solids. The objective in all these experiments is to obtain a better understanding of the fundamental interactions which determine the unique properties of these systems. In the area of instrumentation the U.S.-Japan Collaborative Research Program has begun to put increased emphasis on high pressure techniques, improved monochromators and 2-D neutron detectors. These activities will be focussed around a newly developed optical bench at the HFBR. Part of the effort in new instrument development will focus on planning for reactor vessel replacement.

#### 57. STRUCTURAL CHARACTERIZATION OF MATERIALS USING POWDER DIFFRACTION TECHNIQUES

D. E. Cox, Q. Zhu  
(516) 344-3818 02-2 \$276,000

Application of synchrotron X-ray and neutron powder diffraction techniques to structural analysis of materials, including mixed metal oxides, zeolites, high- $T_c$  superconductors and fullerenes. Phase transition studies at high and low temperatures, including magnetic ordering. High pressure studies in diamond-anvil cells by synchrotron X-ray diffraction techniques with monochromatic radiation. Development of instrumentation and software for powder diffraction analysis, including the application of maximum Entropy methods. Preparation and characterization of bulk samples of inorganic materials, especially high- $T_c$  metal oxide superconductors, including  $T_{c_0}$  measurements. Ab-initio structure determination from powder data. Application of X-ray anomalous scattering to probe cation distribution and selective oxidation states.

#### 58. EXPERIMENTAL RESEARCH - X-RAY SCATTERING

L. D. Gibbs, J. P. Hill, O. Magnussen, B. Ocko,  
T. Thurston, A. Vigilante, G. Watson  
(516) 344-4608 02-2 \$1,067,000

The objective of this program is to exploit the techniques of synchrotron X-ray scattering to study the structural, electronic, and magnetic properties of condensed matter systems. The X-ray scattering group, as part of three participating research teams,

operates and maintains three X-ray beamlines at the National Synchrotron Light Source (X22A, X22B, and X22C) and is involved in the development and use of two new insertion device beamlines (X21 and X25). Particular emphasis is placed on investigations of surface and interfacial phenomena and on the structure and magnetic spectroscopy of magnetically ordered crystals. Current examples of projects include: 1) the study of metal surface phase transformations in UHV, 2) the study of electrochemically driven surface reconstructions at metal/electrolyte interfaces, 3) the study of fluctuations at liquid surfaces and interfaces, 4) X-ray magnetic scattering studies of bulk and thin film rare earths, transition elements, and actinides, and 5) inelastic X-ray scattering studies of plasmons in light metals. The X-ray group is also an active member of the Complex Materials CAT constructing beamlines at the Advanced Photon Source.

#### 59. LOW ENERGY PARTICLE INVESTIGATIONS OF SOLIDS

K. G. Lynn, P. Asoka-Kumar, S. Jovanovic, C. Szeles  
(516) 344-3710 02-2 \$887,000

Perfect and imperfect solids, solid heterostructures and interfaces, and their surfaces are investigated using variable energy positron beam (0.1 eV-3MeV). A high intensity positron beam that utilizes a copper isotope produced with the high flux beam reactor has become fully operational, and can deliver a beam of peak intensity of  $1 \times 10^8 e^+/s$ . The beam has been used by several research groups studying a wide range of topics: positron-hydrogen scattering, positron remission microscope, and positron-induced Auger electron spectroscopy. The 2D-ACAR study provided the first electronic image of a buried interface ( $SiO_2-Si$ ), while the positron-hydrogen scattering provided an accurate value for the positronium formation cross section. The research activity connected with the defects over the past years has spanned different topics, like, role of defects in producing a saturation conductivity behavior at high doping concentrations in Si, 2D-ACAR images of As vacancies in GaAs, characterization of diamond-like nanocrystalline films, voiding in Al(Cu) lines due to thermal stress, and vacancy concentration saturation due to electromigration. The 3 MeV positron beam was used for a high resolution study of planar channeling in thin single crystal Si. The beam transmitted in the forward direction reveals many features associated with the dynamical diffraction effects and long coherence lengths in agreement with theoretical predictions. Based on these studies, it is concluded that positron channeling can be developed into a new solid state probe (electron and spin densities in the channel) via annihilation in flight of the positrons. New techniques (thermo electric effect spectroscopy, thermally stimulated current spectroscopy,  $1/f$  noise using an

Ac-cross-correlational technique) were developed to obtain complementary defect-related information in a large variety of materials.

#### 60. THEORETICAL RESEARCH

V. J. Emery, P. Bak, G. Castilla, M. Pacuski,  
P. Thomas, R. E. Watson, M. Weinert  
(516) 344-3765 02-3 \$793,000

Solid state theory including self-organized criticality, nonlinear systems, theory of correlated electron systems, especially superconductivity in oxides. Theory of alloys including heats of formation, using local density functional theory. Electronic structure of metallic surfaces. Applications to X-ray and neutron scattering, and to photoemission.

#### 61. SURFACE PHYSICS RESEARCH

P. D. Johnson, D. J. Huang, C. Reilsfeld, M. Strongin  
(516) 344-3705 02-5 \$887,000

Various surface sensitive techniques are used to study the physical and chemical properties of surfaces and thin films. These techniques include Low Energy Electron Diffraction (LEED), Auger Electron Spectroscopy, Low Energy Ion Scattering (LEIS), Photoemission, Inverse Photoemission, and Spin Polarized Photoemission. The major part of the program is supported by beamlines at the NSLS. These include both conventional monochromators and the more advanced spherical grating monochromators used on the undulator sources. The latter devices are dedicated to the spin polarized photoemission components of the program. Ongoing research includes: (a) photoemission and inverse photoemission studies of the electronic structure of metal overlayers, clean metal surfaces, and adsorbate covered surfaces; (b) studies of surface magnetism in thin films and the effect of adsorption on surface magnetism; (c) catalytic and electronic properties of metal overlayers; (d) surface metallurgy and surface compounds; and (e) studies of charge transfer and metastable species formed in low temperature reactions; and (f) formation of surface coating using cryogenic techniques and synchrotron radiation.

#### 62. STRUCTURE-SENSITIVE PROPERTIES OF ADVANCED PERMANENT MAGNET MATERIALS: EXPERIMENT AND THEORY

D. O. Welch, L. H. Lewis  
(516) 344-3517 02-5 \$250,000

It is the task of this program to study the basic relationships between crystal lattice defects and the microstructure of advanced high-coercivity permanent magnet materials and their macroscopic magnetic properties, such as coercivity, remanence, and maximum energy product, which are relevant to their energy related technological application. The research features both theory and experiment,

Including the use of the High Flux Beam Reactor (HFBR) and the National Synchrotron Light Source (NSLS), and features a collaboration between researchers from Brookhaven National Laboratory (BNL), industry (primarily the General Motors Research Laboratories (GM), DelcoRemy/Magnequench, and International Business Machines (IBM)-San Jose) another national laboratory (Idaho National Engineering Laboratory (INEL), and universities (primarily Lehigh University and Carnegie-Mellon University). This program is part of the focused project on Tailored Microstructures in Hard Magnets of the Department of Energy (DOE) Center of Excellence for the Synthesis and Processing of Advanced Materials.

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### Materials Chemistry - 03 -

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#### 63. NEUTRON SCATTERING - SYNTHESIS AND STRUCTURE

J. Z. Laresse  
(516) 344-4349 03-1 \$539,000

A variety of neutron scattering techniques are employed to study phase transitions and critical phenomena of atomic and molecular films adsorbed on surfaces. Primary emphasis is focussed on the structure and dynamics of hydrocarbon and rare gas films adsorbed on graphite and magnesium oxide surfaces. Other areas of study include the imaging of Rayleigh-Bernard convection in liquid helium mixtures, the synthesis of high-quality single-crystal materials with unique physical properties, and molecular dynamics simulations of surface films. This effort is also responsible for the operation of a multiuse neutron beam port through a participating research team. A medium resolution, 15-detector powder diffractometer, a high-resolution two-dimensional area detector, and a triple-axis diffractometer are available for use by the outside scientific community.

#### 64. SYNTHESIS AND STRUCTURES OF NEW CONDUCTING POLYMERS

J. McBreen  
(516) 344-4513 03-2 \$475,000

Development of a fundamental understanding of ionically and electronically conducting polymers and development of techniques for tailoring the materials with highly specific electrical and optical properties. Research consists of the synthesis of new conducting polymers and the exploration of their physical and chemical properties with a number of spectroscopic techniques, including electrochemistry, X-ray absorption spectroscopy, X-ray diffraction, positron annihilation, Fourier transform infrared spectroscopy, Raman spectroscopy and electrical resistivity measurements. The materials of interest are linear

polyethers, polysiloxanes, polypyrroles and polythiophenes. The materials are chemically modified by the covalent attachment of electrically active side groups or by introducing polar plasticizers on anion complexing agents. A second category of materials consists of organo-disulfide redox polymers. This is a collaborative program between Brookhaven National Laboratory, Polytechnic University, and Power Conversion, Inc.

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### Metallurgy and Ceramics - 01 -

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#### 65. STRESS DISTRIBUTION IN GRADED MICROSTRUCTURES

B. H. Rabin  
(208) 526-0058 01-5 \$218,000

Develop fundamental understanding of the effects of microstructure, processing conditions, and specimen geometry on the thermomechanical behavior of graded materials. Fabrication of two-phase coatings and bulk materials with controlled microstructural gradients and varying geometries by ion-beam assisted deposition (IBAD) and powder metallurgy techniques. Focus on model materials systems in which significant property mismatch exists between components, e.g.,  $Al_2O_3/Ni$ . Thermophysical and mechanical property characterization of graded composites. Mapping of residual stresses by X-ray and neutron diffraction methods, and fluorescence spectroscopy. Comparison of experimental results with predictions from elastic-plastic finite element method (FEM) modeling of stress distributions. Use of FEM models to design gradient material microstructures for severe service conditions.

#### 66. ROLE OF IMPURITIES IN MICROSTRUCTURAL EVOLUTION OF RAPIDLY SOLIDIFIED MATERIAL

R. N. Wright  
(208) 526-6127 01-5 \$132,000

Examination of phenomena associated with the interaction of low levels of impurities with quenched-in defects in rapidly solidified metals. Interactions studies in simple systems to determine fundamental mechanisms. Initial studies of high-purity aluminum and aluminum doped with ppm levels of lead or indium containing ion-implanted helium have shown accelerated helium bubble growth when liquid precipitates are attached to bubbles. Rapidly quenched, high-purity aluminum

and dilute aluminum alloys containing substitutional elements with different vacancy binding energies, as well as carbon as an interstitial impurity, have been examined. Experimental techniques include positron annihilation and TEM. The transformation from a dendritic as-solidified structure to equiaxed grains during isothermal annealing and with superimposed plastic strain is being studied in detail for a Ag-2% Al alloy.

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**Metallurgy and Ceramics - 01 -**

**67. TRANSPORT PROCESSES IN LOCALIZED CORROSION**

R. C. Alkire  
(217) 333-3640 01-1 \$216,071

Corrosion of passivating systems. Transport, reaction, and convective diffusion at localized corrosion sites. Initiation at inclusions; corrosion pit growth; corrosion of cracks in static and dynamically loaded systems; corrosion inhibition.

**68. DEFECTS, DIFFUSION, AND NON-EQUILIBRIUM PROCESSING OF MATERIALS**

R. S. Averback  
(217) 333-4302 01-1 \$238,911

Ion beam studies of interfaces and diffusion; Rutherford backscattering studies of ion beam effects in solids; crystalline and amorphous transitions; formal properties of nanophase metals and alloys; radiation damage due to ion beams. Development of nanophase ceramics and studies of their physical and mechanical properties. Transport properties and structures of nanophase ceramics are being studied.

**69. DEVELOPMENT OF X-RAY SYNCHROTRON INSTRUMENTS**

H. K. Birnbaum  
(217) 333-1370 01-1 \$450,000

Design, development and fabrication of X-ray beamline equipment for the UniCat sector at the Advanced Photon Source located at Argonne National Laboratory. Program is interactive with Oak Ridge National Laboratory, National Institute of Science and Technology, and UOP Corporation.

**70. MOLECULAR SPECTROSCOPY OF THE SOLID-LIQUID INTERFACE**

P. W. Bohn  
(217) 333-0676 01-1 \$82,949

In situ molecular spectroscopic probes used to study the structural chemistry of corrosion inhibitors on metal and metal-oxide surfaces. Raman spectroscopy of the liquid-solid interface will be used to determine adsorbate-substrate binding and linear dichroism to probe the supermolecular structure and molecular orientation. Correlation with the solution chemistry and corrosion response will be made.

**71. CENTER FOR MICROANALYSIS OF MATERIALS**

J. A. Eades  
(217) 333-8396 01-1 \$0

Chemical, physical and structural characterization of materials. Surface and bulk microanalysis. Electron microscopy, X-ray diffraction, Auger spectroscopy, SIMS and other techniques. Collaborative research programs.

**72. MICROANALYSIS OF DEFECTS AND INTERFACES**

J. A. Eades  
(217) 333-8396 01-1 \$131,699

Defects, interfaces, segregation are studied by cathodoluminescence and X-ray microanalysis in the transmission electron microscope and by Rutherford backscattering and channeling. Surface convergent-beam diffraction is developed as an analytical technique. An environmental cell for transmission electron microscopy is under construction.

**73. ATOMISTICS OF GROWTH AND TRANSPORT AT METAL AND SEMICONDUCTOR INTERFACES**

G. Ehrlich  
(217) 333-6448 01-1 \$139,642

Atomic processes important in the growth of crystals and thin films are being characterized on the atomic level using field ion microscopic methods. The diffusivity of single metal atoms will be explored on different planes of the same crystal, as well as on different substrates, in order to establish the importance of structure and chemistry in affecting atomic transport and incorporation.

**74. ATOMIC RESOLUTION ELECTROCHEMISTRY OF CORROSION AND DEPOSITION PROCESSES**

A. A. Gewirth  
(217) 333-8329 01-1 \$143,805

Scanning Tunneling Microscopy and Atomic Force Microscopy is applied to understanding the atomic processes of corrosion and deposition in electrochemical environments.

**75. TRANSMISSION ELECTRON MICROSCOPY OF SURFACES AND INTERFACES**

J. M. Gibson  
(217) 333-2997 01-1 \$194,862

Elucidation of surface and interface structure using quantitative transmission electron microscopy. TEM studies of surface reactions and in situ epitaxial growth using image formation using surface related diffracted intensities. Quantitative atomic resolution microscopy is being applied to interface structure and chemistry.

**76. CRYSTAL GROWTH AND PHYSICAL PROPERTIES OF METASTABLE SEMICONDUCTING, CERAMIC AND METALLIC ALLOYS**

J. E. Greene  
(217) 333-0747 01-1 \$342,456

Mechanisms and kinetics of crystal growth. Metastable single crystal alloys for solar and optical applications. Ion-beam sputtering, molecular-beam epitaxy, laser heating and low-energy ion bombardment methods applied to III-V based compounds and III-IV-V<sub>2</sub> chalcopyrite systems.

**77. STRUCTURE AND STABILITY OF SMALL METAL CLUSTERS**

J. M. Mochel  
(217) 333-4292 01-1 \$44,620

Experimental study of small metallic clusters of interest for their catalytic properties. Use of scanning transmission electron microscopy to determine their crystal structure and stability.

**78. SURFACE AND INTERFACE X-RAY DIFFRACTION**

I. K. Robinson  
(217) 244-2949 01-1 \$117,269

Use of X-ray scattering methods to study the structure and chemistry of surfaces. Development of methods to study the structure of surfaces during MBE growth and during corrosion. Studies of the solid-liquid interface.

**79. TIME RESOLVED, NONLINEAR, AND NOVEL OPTICAL SPECTROSCOPY OF MATERIALS**

D. R. Wake  
(217) 333-8876 01-1 \$73,693

Development of optical spectroscopies to study the electronic and optical properties of materials. Electronic structure of superconductors studied using resonant Raman spectroscopy to determine the phonon modes.

**80. ORGANIZATION OF THE SINGLE-CRYSTAL SOLID-LIQUID INTERFACE: ENERGIES, STRUCTURES AND ELECTRONIC SYNERGISM**

A. Wieckowski  
(217) 333-7943 01-1 \$130,099

Structure and properties of the solid-liquid interface. Atomic level studies of the structure/energy characteristics of adsorbates in electrochemical systems. Electrocatalysis.

**81. MICROSTRUCTURE EVOLUTION, INTERFACES AND PROPERTIES IN STRUCTURAL CERAMIC COMPOSITES**

A. Zangvil  
(217) 333-6829 01-1 \$194,311

Phase and microstructural evolution in structural ceramics and ceramic matrix composites; SiC-based solid solutions; nitride, boride and mullite-based systems; interfaces and fracture toughness. Oxidation mechanisms of ceramic matrix composites; theoretical model of particle oxidation in an oxide matrix.

**82. SOLUTE EFFECTS ON MECHANICAL PROPERTIES OF GRAIN BOUNDARIES**

H. K. Birnbaum, I. Robertson  
(217) 333-1370 01-2 \$193,026

Hydrogen effects on deformation and fracture; effects of hydrogen on dislocation mobilities; theoretical model of hydrogen embrittlement; interaction of dislocations with grain boundaries; solute effects on the response of grain boundaries to stress.

**83. COUNCIL ON MATERIALS SCIENCE**

C. P. Flynn  
(217) 333-1370 01-2 \$54,646

Study and analysis of current and proposed basic research programs on materials and assessment of their relevance to problems of energy utilization. Consideration of national facilities needs. Convening of panel studies on selected topics.

**84. CHEMISTRY OF NEW TRANSITION METAL CERAMIC COMPOUNDS SYNTHESIZED BY MOCVD**

G. S. Girolami  
(217) 333-2729 01-2 \$85,300

Synthesis of thin film ceramics by chemical vapor deposition method. Studies of the chemistry of precursor compounds at solid surfaces. Preparation of transition metal carbides, borides, and nitrides using MOCVD methods. Characterization of the microstructures, chemistry, electronic structure, physical properties of the films using a variety of methods. Use of MOCVD methods to develop high-T<sub>c</sub> superconductor films.

**85. HIGH TEMPERATURE MECHANICAL BEHAVIOR OF CERAMICS**

D. F. Socie  
(217) 333-7630 01-2 \$89,482

Behavior of engineering materials subjected to complex loading involving high temperatures, multiaxial state of stress, and time dependent state of stress. Macroscopic damage models are being developed on the basis of microscopic studies of defects accumulated in the materials. High temperature mechanical properties of ceramics under uniaxial, multiaxial, and fatigue conditions.

**86. MICROSTRUCTURE BASED CONTINUUM MODELING OF THE MECHANICAL BEHAVIOR OF MATERIALS**

P. Sofronis  
(217) 333-2636 01-2 \$67,724

Theoretical modeling of mechanical properties such as hydrogen interactions with dislocations, high temperature creep of nanophase materials, and sintering of ceramic compacts. Development of algorithms for describing mechanical behavior including time dependence and mass flow.

**87. SUBCRITICAL CRACK GROWTH IN STRUCTURAL CERAMICS**

J. F. Stubbs  
(217) 333-6474 01-2 \$54,902

Micromechanisms of failure at elevated temperatures under creep, fatigue and aggressive environmental conditions. Role of oxide films on crack initiation and propagation. Microstructural examination of regions in front of cracks and of the dislocation structures are related to micromechanics of failure. Crack propagation kinetics in ceramics at high temperatures and in aggressive atmospheres. Subcritical crack growth in ceramics.

**88. STRUCTURE AND KINETICS OF ORDERING TRANSFORMATIONS IN METAL ALLOYS AND SILICIDE THIN FILMS**

H. Chen  
(217) 333-7636 01-3 \$145,762

Investigation of the kinetics and mechanisms of thermally induced structural transformation in amorphous silicate glasses and crystalline silicide thin films. Emphasis is placed on the devitrification behavior and silicide layer growth kinetics and interface characterization using X-ray diffraction techniques in an in situ manner.

**89. MATERIALS CHEMISTRY OF OXIDES CERAMICS; FIELD RESPONSIVE ORGANIC INCLUSION COMPLEXES**

W. F. Klemperer  
(217) 333-2995 01-3 \$180,825

Low-temperature synthesis of oxide gels and glasses using a step-wise approach. Polynuclear molecular building-blocks are first assembled and then polymerized into solid materials using sol-gel methods. Silicate cage, ring, and chain alkoxides and their polymerization reactions are studied using multinuclear NMR spectroscopic and gas chromatographic techniques.

**90. SYNTHESIS AND PROPERTIES OF ELECTRICAL CERAMICS**

D. A. Payne  
(217) 333-2937 01-3 \$252,096

Synthesis, powder preparation, crystal growth, forming methods, materials characterization and property measurements on electrical and structural ceramics. Sol-gel processing of thermal barriers and mechanical coatings. Chemical, electrical and mechanical boundary conditions in polarizable deformable solids, twin and domain structures, ferroelasticity and crack propagation. Amorphous ferroelectrics. Synthesis methods and properties of high- $T_c$  superconductors.

**91. ATOMIC SCALE MECHANISMS OF VAPOR PHASE CRYSTAL GROWTH**

A. Rockett  
(217) 333-0417 01-3 \$158,675

Theoretical studies of the atomic scale processes which determine the surface structures of crystals during vapor phase growth. Monte Carlo emulations of the crystal surfaces including structure and reconstruction of planes with low indices as well as those with high indices. Experimental determination of the surface structure during MBE crystal growth using LEED and RHEED oscillations.

**92. MAGNETIC BEHAVIOR OF NANOPHASE MATERIALS**

M. B. Salamon  
(217) 333-6186 01-3 \$100,039

Experimental and theoretical studies of the magnetic properties of nanophase metals and mixtures of metals. Interfacial effects of magnetic particles embedded in non-magnetic matrices. Investigation of spin waves, quantum tunneling of the macroscopic magnetization of particles and macroscopic quantum coherence effects.



**93. PROCESSING OF MONODISPERSE CERAMIC POWDERS**

C. Zukoski  
(217) 333-7379 01-3 \$192,405

Low temperature processing of ceramics including precipitation of monodisperse oxide powders, rheology of monodisperse powders and mixtures, and studies of forces in colloidal suspensions, for the purpose of forming low flaw density, high performance ceramics.

**94. MICROSCOPIC PROCESSES IN IRRADIATED CRYSTALS**

R. S. Averback, C. P. Flynn  
(217) 333-4302 01-4 \$181,489

Fundamental processes of irradiation induced defects in crystalline solids. Use of high resolution analytical methods such as TEM, SIMS, RBS, to explore the atomic processes at the size scale of the defect events. Thermal spike behavior, radiation induced diffusion, radiation sputtering and sink behavior are being studied. Experimental efforts are complemented by molecular dynamic computer simulations.

**95. RADIATION EFFECTS IN METALS AND SEMICONDUCTORS**

I. M. Robertson  
(217) 333-6776 01-4 \$136,511

Investigations of vacancy dislocation loop formation and displacement cascades in Fe, Ni, Cu with irradiations and high voltage electron microscopy (at ANL) at 10K to 800K; and of amorphous zones produced in Si, GaAs, and GaP by heavy ion irradiation.

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**Solid State Physics - 02 -**

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**96. MICROSCOPIC MECHANISMS OF CRYSTAL GROWTH**

D. Cahill  
(217) 333-6753 02-2 \$57,376

Development and use of microanalytic tools to study vapor phase crystal growth. Use of STM imaging combined with low energy ion energy transfer to surface atoms to study the mechanisms of growth of pure elements and alloys. Study of the effects of surface chemistry on the incorporation of adatoms into the crystal structure.

**97. ELECTRONIC PROPERTIES OF SEMICONDUCTOR SURFACES AND INTERFACES**

T.-C. Chiang  
(217) 333-2593 02-2 \$185,648

Synchrotron radiation photoemission studies of electronic properties and growth behaviors of semiconductor surfaces and interfaces prepared in situ by molecular beam epitaxy; properties and atomic structure of alloy surfaces. XPS studies of the band structure of high-T<sub>c</sub> superconductors.

**98. GROWTH AND PROPERTIES OF NOVEL MBE MATERIALS**

C. P. Flynn  
(217) 244-6297 02-2 \$158,293

Determination of the mechanisms of epitaxial growth of metals and oxides. Development of a predictive framework for understanding the growth of metastable and stable structures accessible by MBE methods. Growth of multilayer systems of interest for technological applications.

**99. THEORY OF SOLIDS, SURFACES AND HETEROSTRUCTURES**

R. M. Martin  
(217) 333-4229 02-2 \$86,016

Theoretical studies of the properties of materials using ab-initio calculations in a unified manner. Development of technique applied to known materials and extension of these methods to new materials. Focus on problems involving many bodied correlations of electrons such as high-T<sub>c</sub> superconductors, surfaces, heterostructures and interfaces.

**100. SEMICONDUCTOR/INSULATOR STRUCTURES**

H. Morkoc  
(217) 333-0722 02-2 \$708,833

Development of novel techniques of crystal growth based on MBE, Gas Beam, and MOCVD methods. Application of methods to growth of controlled interfaces and multilayers involving semiconductors and insulators. Understanding the electronic and optical properties of these structures.

**101. DESIGN AND SYNTHESIS OF NEW ORGANOMETALLIC MATERIALS**

T. B. Rauchfuss  
(217) 333-7355 02-2 \$106,211

A research program for the synthesis of organometallic polymers. The program emphasizes fundamental synthetic chemistry as it applies to the design of monomers suited for polymerization. Solids containing dynamic metal-metal bonds, i.e., mobile charge density waves. Synthesis of metal clusters containing reactive ester groups will be developed

for the applications to organometallic polyesters. The reactivity inherent in main group vortices of metal clusters will be used to generate clusters-of-clusters. Synthetic studies will focus on charge transfer salts containing organometallic donors and acceptors.

**102. MICROSCOPIC THEORIES OF THE STRUCTURE AND PHASE TRANSITIONS OF POLYMERIC MATERIALS**

K. S. Schweizer  
(217) 333-6440 02-2 \$38,532

Development of novel molecular scale statistical mechanical theories of the equilibrium properties of polymers. Applications to the structural, thermodynamic, and phase transition behavior of polymer blends, copolymers, and melts. Development of a chemically realistic predictive theory of behavior as a design tool for synthetic chemists.

**103. PROPERTIES OF CRYSTALLINE AND LIQUID CONDENSED GASES**

R. O. Simmons  
(217) 333-4170 02-2 \$190,100

Measurement and theory of momentum density in bcc, hcp, and liquid helium, pulsed neutron scattering, phase transitions and structure determination in solid hydrogen by neutron diffraction, isotopic phase separation in solid helium, thermal and isotopic defects in helium crystals, quantum effects in diffusion.

**104. NUCLEAR MAGNETIC RESONANCE IN SOLIDS**

C. P. Slichter  
(217) 333-3834 02-2 \$229,101

Investigations of layered materials and one dimensional conductors with charge density waves, of Group VIII metal-alumina catalysts, and of spin glasses using nuclear magnetic resonance methods. Use of resonance methods to study the role of Cu and O in high- $T_c$  superconductivity.

**105. ELECTRO-ACTIVE AND NONLINEAR OPTICAL POLYMERS**

S. I. Stupp  
(217) 333-4436 02-2 \$163,577

Synthesis and physical property determination of self ordering chiral polymers that order in response to external fields. Fields of interest are electric, stress and flow, and optical responses. Properties of interest in these polymers are ferroelectricity, ferromagnetism and nonlinear optical properties.

**106. METALLOPORPHYRINS AS FIELD RESPONSIVE MATERIALS**

K. S. Suslick  
(217) 333-2794 02-2 \$57,076

The synthesis and characterization of porphyrinic materials with ferroelectric and nonlinear optical properties are being studied. Metalloporphyrin polymers, linked by direct metal-porphyrin chains via lanthanide metals or bridging, non-symmetric bifunctional ligands are being developed. Asymmetric assemblies with large molecular species having large dipole moments are being studied.

**107. CARRIER TRANSPORT IN QUANTUM WELLS - PICOSECOND IMAGING**

J. P. Wolfe  
(217) 333-2374 02-2 \$103,625

Development of picosecond imaging techniques applied to measure the lateral transport of photoexcited carriers in semiconductor quantum wells. Optical-pulse-probe methods and spatial imaging techniques applied to GaAs/AlGaAs multilayers. Energy distribution of photoexcited carriers measured with high resolution luminescence imaging methods used to study the scattering processes of carriers and surfaces, interfaces, impurities and phonons.

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**Materials Chemistry - 03 -**

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**108. HIGH PRESSURE STUDIES OF MOLECULAR AND ELECTRONIC PHENOMENA**

H. G. Drickamer  
(217) 333-0025 03-1 \$180,877

Studies of the pressure tuning of electronic energy levels with emphasis on optical absorption measurements including absorption edges, metal cluster compounds and charge transfer phenomena, as well as semiconductor-metal interfaces.

**109. MECHANISTIC AND SYNTHETIC STUDIES IN CHEMICAL VAPOR DEPOSITION**

R. G. Nuzzo  
(217) 244-0809 03-1 \$172,126

In situ surface analysis techniques are directed towards understanding the atomic mechanisms of chemical vapor deposition growth of surface films and surface modified structures. Reactive gas-solid interactions studied with XPS, EELS, LEED, and other surface methods.

### 110. OPTICAL SPECTROSCOPY OF SURFACE PROCESSES IN THIN FILM DEPOSITION

E. G. Seebauer  
(217) 333-4402 03-3 \$105,997

Surface chemistry during the deposition of GaAs films using LEED, temperature programmed desorption, photoreflection and surface second harmonic generation. The chemistry of the adsorption process and surface diffusion are being probed.

### LAWRENCE BERKELEY NATIONAL LABORATORY

1 Cyclotron Road  
Berkeley, CA 94720

Daniel S. Chemla - (510) 486-4999  
Fax: (510) 486-7768

### Metallurgy and Ceramics - 01 -

### 111. NATIONAL CENTER FOR ELECTRON MICROSCOPY

U. Dahmen  
(510) 486-4627 01-1 \$2,031,000

Organization and operation of a national, user-oriented resource for transmission electron microscopy. Maintenance, development, and application of specialized instrumentation including an Atomic Resolution Microscope 1.6A point-to-point (ARM) for ultrahigh-resolution imaging a 1.5-MeV High Voltage Electron Microscope (HVEM) with capabilities for dynamic in situ observations, analytical electron microscopes for microchemical analysis, and support facilities for specimen preparation, computer image analysis, simulation, and processing.

### 112. CRYSTALLOGRAPHY OF MICROSTRUCTURES

U. Dahmen  
(510) 486-4627 01-1 \$221,000

Investigation of fundamental features underlying the evolution of microstructures in solids by application of crystallographic techniques to the analysis of topology and defects in crystalline materials. Crystallographic relationships of precursor or parent phases and their use in analysis of defect structures and synthesis of new and unique microstructures with defect configurations reflecting composite symmetries. Electron microscopy investigation of the structure and distribution of defects such as inclusions, grain boundaries, domain walls and dislocations. Detailed characterization of the atomic structure of interfaces by conventional, in situ and atomic resolution microscopy in tandem with computer image simulations.

### 113. ALLOY PHASE STABILITY

D. De Fontaine  
(510) 642-8177 01-1 \$119,000

Calculate temperature - composition phase diagrams from first principles. Combine total energy electronic structure computational procedures with statistical methods (cluster variation method) to calculate alloy phase equilibria without the use of empirical parameters. Phenomena of current interest are the oxygen ordering in high-temperature superconductors and the prediction of phase stability in metallic alloys, particularly high-temperature superalloys.

### 114. STRUCTURE AND PROPERTIES OF TRANSFORMATION INTERFACES

R. Gronsky  
(510) 486-5674 01-1 \$129,000

Relationship between atomic structure of homophase or heterophase boundaries and their properties, with attention to the solid state reactions that they either initiate, catalyze or propagate. Atomic resolution imaging, spatially-resolved diffraction, and spatially-resolved spectroscopy for location and identity of atomic species. Electron microscopy. Computer simulation of microstructural development and characterization methodologies for enhanced interpretation of results. Object-oriented code development. Engineering of new materials through control of atomic structure.

### 115. THIN FILM STRUCTURES AND COATINGS

K. Krishnan  
(510) 486-4614 01-1 \$129,000

The goals of this research are the synthesis and characterization of atomically-engineered thin films with novel magnetic, optical, and electrical properties. Focus is on magnetic ultrathin multilayers and films and low-pressure deposition of diamond. Fundamental investigations of new phenomena as well as the development, control and optimization of microstructures to achieve enhanced properties will be stressed. In addition to synthesis and property measurement, development of nanoscale spectroscopic, imaging and diffraction methods at the appropriate level of resolution, with either electron or photon probes, will be critical to the success of these investigations and hence will be an integral part of these research projects. Of current interest in this program are the synthesis and understanding of ultrathin magnetic nanostructures with novel anisotropy, coupling hysteretic and transport behavior, evolution and control of microstructures to optimize these properties, electronic structure changes associated with magnetic and chemical transitions in binary transition metal alloys and the electron emissivity of diamond thin films.

**116. CAM HIGH PERFORMANCE METALS PROGRAM**

J. W. Morris, Jr., R. O. Ritchie, G. Thomas  
(510) 486-6482 01-2 \$645,000

This CAM program focuses on advanced metallic materials of interest to American industry. It includes fundamental research on microstructure and mechanical behavior and specific investigations of interesting metallic systems. It is organized in three projects: (1) Mechanical Behavior, which addresses the mechanisms of creep, fatigue and fracture, friction and wear. (2) Advanced Metals, which concentrates on the understanding and use of functional instabilities in the understanding and development of modern alloys, such as eutectic alloys for low-temperature bonding, controlled elongation alloys for formability, and electromagnetic field effects, and (3) Hard Magnets, which attempts to predict magnetic properties based on microstructural parameters such as grain size, phase distribution and texture, and design processing schemes to achieve superior microstructure and properties.

**117. CAM CERAMIC SCIENCE PROGRAM**

Lutgard C. DeJonghe, R. Cannon, A. Glaeser,  
W. Moberlychan, R. Ritchie, G. Thomas, A. Tomsia  
(510) 486-6138 01-3 \$1,342,000

The CAM Ceramic Science Program has three linked objectives: the development of predictive, quantitative theories of densification and microstructure development in heterogeneous powder compacts, the application of these theories to produce advanced structural ceramics with improved performance beyond 1900K, and the evaluation of the mechanical properties of these ceramics, at temperatures above 1700K. It develops model experiments that facilitate investigation of fundamental aspects of microstructural development and processing, and their application of model ceramic systems. It develops models and means for initial powder compact structural control including the production and use of coated powders; it examines the microstructural evolution and control during densification in relation to interface properties; it produces particulate ceramic composites based on SiC, and it tests mechanical properties of such ceramics in particular high temperature creep and fatigue; it characterized micro- and nano-chemistry and structure in relation to high temperature mechanical and environmental performance; it addresses issues in ceramic/ceramic and ceramic/metal joining.

**118. CAM ELECTRONIC MATERIALS PROGRAM**

E. Haller, E. Bourret, Z. Liliental,  
W. Walukiewicz, J. Washburn, E. Weber, K. M. Yu  
(510) 486-5294 01-3 \$1,077,000

Research in this program focuses on an improved understanding of the materials science of artificially structured semiconductor and semiconductor-metal systems. Basic studies concentrate on the relationships between synthesis and processing conditions and the properties of semiconductor materials, as modified by the resulting structural and electronic imperfections. Growth of compound semiconductors by metalorganic epitaxial layers is combined with detail studies of structural and electronic properties of thin films and interfaces. Extensive transmission electron microscopy investigations of the nature and origin of defects at interfaces and within epitaxial layers closely correlated with electrical measurements on the same specimens provide feedback to the crystal growth synthesis and processing work at Berkeley and at other National Laboratories. Optical spectroscopies ranging from the near UV to the far infrared region of the electromagnetic spectrum, electron paramagnetic resonance spectroscopy and electrical transport measurements give the complementary electronic properties. Theoretical and experimental work on the effects of atomic scale diffusion and the differences between solid solubility limits of dopants and the maximum concentration of free carriers is pursued. Novel types of processing methods including annealing under large hydrostatic pressures and with tunable synchrotron radiation, to increase the electrically active fraction of dopants, are explored. Progress in this area is applicable to the design of advanced photovoltaic energy conversion devices and of a large variety of sensors used in energy conversion processes.

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**Solid State Physics - 02 -**

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**119. QUANTUM SIZE EFFECTS IN SEMICONDUCTOR NANOSTRUCTURES**

D. S. Chemla  
(510) 486-4999 02-2 \$219,000

The objective of this program is to explore the physical properties of material systems whose sizes, of the order of a few nanometers, are intermediate between that of atoms/molecules and that of bulk solids. Because of quantum size effects, the properties of such systems are size and shape dependent and neither like those of atoms or those of macroscopic solids. Because of the ultrasmall size of these systems the dynamics of their electronic, vibronic and energy excitations is ultrafast, in the femtosecond regime. These properties opens new

avenues for unprecedented experiments testing the limits of our understanding of the physics of novel materials and have numerous applications (DOE Council on Material Science Panel Report, J. Mater. Res. Vol. 4 No. 3, 704, 1989). The research emphasizes the study of the nature and dynamics of electronic excitations in ultra-thin, quasi-2D layers, as well as the effects of dimensionality on the light-matter interaction. Unique spectroscopic instrumentation with femtosecond temporal resolution, determination of both amplitude and phase of optical emission, high magnetic field, nanometer-spatial resolution and millivolt-energy resolution are developed.

#### 120. SUPERCONDUCTIVITY, SUPERCONDUCTING DEVICES, AND 1/F NOISE

J. Clarke  
(510) 642-3069 02-2 \$199,000

DC Superconducting Quantum Interference Devices (SQUIDS) have been developed and used in a wide variety of applications. An ultrasensitive SQUID spectrometer is used to detect nuclear magnetic and nuclear quadrupole resonance in molecular solids at frequencies up to 1 MHz; the enhancement of the resonance signal by Fermi exchange with an optically pumped alkali metal is being studied. Origins of low frequency magnetic noise, mechanisms of flux pinning and dissipation, the effects of proton and heavy ion irradiation, and the distribution of flux pinning energies in high transition temperature superconductors are investigated. Novel experiments to study one-electron and single-Cooper pair effects in submicron junction arrays at millikelvin temperatures, including Coulomb blockade, resonant tunneling and effects of microwaves, are in progress.

#### 121. SURFACE, INTERFACE, AND NANOSTRUCTURE STUDIES USING SYNCHROTRON RADIATION IN COMBINATION WITH OTHER PROBES

C. S. Fadley  
(510) 486-5774 02-2 \$383,000

We are developing new synchrotron-radiation-based instrumentation and methods for studying solid surfaces, interfaces, and nanostructures and applying these methods together with other techniques such as scanning tunneling microscopy to systems of fundamental and technological interest. A principal interest is photoelectron spectroscopy, diffraction, and holography with ultrahigh resolutions in energy and angle. During the current year, a photoelectron spectrometer/diffractometer with unique capabilities for use at the Advanced Light Source also was completed, and first experiments performed with it. This system provides the highest combined resolutions in energy (1 in 10<sup>4</sup>) and angle ( $\pm 1^\circ$ ) currently available at the ALS. The beamline on which it is situated also covers a broad range of energies from 30 eV to 1500 eV, and is the only one

so far to permit varying the polarization from linear to circular. Some of the first studies carried out with this system were full-solid-angle photoelectron angular distributions from oxide and element atoms for O on W(110), and spin-polarized photoelectron diffraction from antiferromagnetic MnO(001). Parallel theoretical work on spin-polarized photoelectron diffraction, circular dichroism in both non-magnetic and magnetic systems, and photoelectron holography also is continuing, with successful interpretations of experimental data for both spin-polarized photoelectron spectra and circular dichroism in photoelectron angular distributions.

#### 122. ELECTRON TRANSPORT IN NANOSTRUCTURES

P. McEuen  
(510) 486-6817 02-2 \$60,000

We will create novel nanostructures using a combination of lithography and chemical synthesis, and probe their properties using local electrical measurements. Current work focuses on the electrical measurement of single metal and semiconductor clusters fabricated by colloidal synthesis. In one approach, and atomic force microscope (AFM) with a conducting tip will be employed to probe clusters bound surfaces. In a second approach, clusters will be used to bridge lithography patterned electrodes. DC transport measurements of these systems will directly probe the single electron charging energies and quantum level spacing of these clusters. In addition to DC transport, photocurrent and ultrafast spectroscopy will also be performed. This work will be performed in collaboration with the research groups of Paul Alivisatos, Peter Schultz, John Clarke, Joe Orenstein, and Daniel Chemla. The goal is to use a multidisciplinary approach to probe and control the properties of materials on a nanometer scale.

#### 123. TERAHERTZ AND FAR-INFRARED SPECTROSCOPY OF SEMICONDUCTORS AND SUPERCONDUCTORS

J. Orenstein  
(510) 486-5880 02-2 \$119,000

In the high-transition temperature (high- $T_c$ ) cuprate superconductors the binding energy of electron pairs occurs at roughly eight times the thermal energy at  $T_c$ , corresponding to a frequency of  $\sim 10$  THz. In addition, the momentum relaxation time for quasiparticles in the superconducting state, which determines the microwave surface resistance of high- $T_c$  thin films, lies in the frequency range from 0.1 to 1 THz. Despite its importance, terahertz spectroscopy has been hampered by the lack of suitable sources and detectors. Recently, entirely new types of sources and detectors have been developed, based on pulsed-laser excitation of fast-response photoconductors. These elements enable a new technique, "coherent time-domain spectroscopy," which is sensitive to the amplitude

and phase of terahertz and far-infrared radiation. Coherent spectroscopy is a dramatic development in the ability to characterize electronic, optical, and magnetic materials.

#### 124. FAR-INFRARED SPECTROSCOPY

P. L. Richards  
(510) 486-3027 02-2 \$170,000

Improvements in infrared technology are making possible increases in the sensitivity of many types of infrared and millimeter wave measurements. In this project, improved types of infrared sources, spectrometers, and detectors are being developed. Also, improved infrared techniques are being used to do experiments in areas of fundamental and applied infrared physics where their impact is expected to be large. Infrared experiments in progress include: measurements of the far-infrared absorptivity of the new high- $T_c$  superconductors, measurements of hopping conductivity in Ge doped near the metal-insulator transition, and measurements of the heat capacity of monolayers of adsorbates on metal films. Improvements in infrared technology include: development of thin-film high- $T_c$  superconducting bolometers for detecting X-ray, infrared, and microwave radiation, and development of low- $T_c$  superconducting thin-film quasiparticle detectors and mixers for near-millimeter wavelengths.

#### 125. UNENHANCED RAMAN SPECTROSCOPY OF MATERIALS AND SURFACES

G. Rosenblatt  
(510) 486-6606 02-2 \$19,000

Raman spectroscopy probes the atomic vibrations of a material and can yield important information about chemical, physical, and mechanical properties. We have developed unique Raman instrumentation that has high sensitivity, a profiling and mapping capability with a spatial resolution of 5  $\mu\text{m}$ , and the ability to study adsorbed molecules and films (as thin as a monolayer) in-situ in an ultrahigh vacuum chamber. We are investigating a variety of technologically important materials systems - including amorphous carbon films, chemically synthesized diamond films, reinforced and phase stabilized ceramics, semiconductors, fullerenes, and high  $T_c$  superconductors. Collaborative work with researchers in industry is leading to an improved understanding of the relationship between structure and mechanical performance of amorphous, "diamond-like" carbon films. Raman and photoluminescence are being used to obtain unique maps of strain distributions in carbon-based films and in ceramic composites.

#### 126. STUDIES OF THE METAL/SOLUTION INTERFACE WITH X-RAYS

P. N. Ross  
(510) 486-6226 02-2 \$174,000

Development of a new method to determine the in situ structure at metal/solution interfaces using total reflection of X-rays from metal surfaces at glancing incidence and analysis of Bragg reflection parallel and perpendicular to the reflecting plane to obtain complete structural characterization of the interfacial region. Initial experiments directed towards the study of the electrolytic reconstruction of metal surfaces and the understanding of solvated ion-metal interaction that causes this phenomenon (related to the more familiar reconstruction of the (100) faces of Au, Pt, and Ir in UHV). Recent experiments include determining lattice expansion accompanying hydrogen atom adsorption (from solution) on Pt, Ir and Pd surfaces, the 2D structure of halide ions on Pt, and the 2D structure of metals in the first stages of electrodeposition. Future experiments planned for the Advanced Light Source, where the unique high brightness of this source is very advantageous for the glancing incidence geometry in these experiments.

#### 127. FEMTOSECOND DYNAMICS IN CONDENSED MATTER

C. V. Shank  
(510) 486-6557 02-2 \$262,000

The goal of this research program is to further the basic understanding of ultrafast dynamic processes in condensed matter. Research efforts are directed in two areas: development of new femtosecond optical pulse generation and measurement techniques, and application of these techniques to investigate ultrafast phenomena in condensed matter and novel material systems. In the course of this work we have developed measurement techniques which allow us to resolve rapid events with the unprecedented time resolution of a few femtoseconds. The generation and compression of femtosecond pulses has been extended to cover the entire visible spectrum from 400 to 800 nm, providing the capability to investigate a large variety of important materials. Recent work has focused on ultrafast electron-hole dynamics in highly confined semiconductor structures (CdSe and InP nanocrystals). Experimental results show clear evidence of coherent vibrational oscillations which modulate the dynamic dephasing of the optically excited electron-hole pairs on a 10 fs time scale. We have developed a novel three-pulse photon echo technique which allows us to separate the vibrational dynamics from the polarization dephasing process. Three-pulse photon echo measurements in CdSe indicate that electronic dephasing occurs on a 100 fs time scale at 15 K, with significant contributions from an acoustic phonon heatbath. Contributions from acoustic phonons dominate the dephasing at room

temperature. Furthermore, we observe a strong correlation between the particle size and the electron-phonon coupling strength. This is a direct result of the quantum confinement. Recent experimental results have shown the importance of carrier trapping to surface states on a 100 fs time scale. Surface passivation techniques are used to effectively control the surface trapping dynamics. Time-resolved anisotropy measurements have been used to elucidate the symmetry of the initially excited states. Three-pulse photon echo techniques are being applied to studies of electronic dephasing of oxazine molecules in solution. This will provide a foundation for studying solvent-solute interactions. Results indicate a clear dependence of the dephasing rate on the solvent alcohol chain length. These studies of ultrafast processes in condensed matter will provide new information about the fundamental properties of materials. This knowledge will be useful for evaluating novel materials for future energy applications.

#### 128. EXPERIMENTAL SOLID-STATE PHYSICS AND QUANTUM ELECTRONICS

Y. R. Shen  
(510) 486-4856 02-2 \$205,000

Development of linear and nonlinear optical methods for material studies and applications of these methods to probe properties of gases, liquids, and solids. Theoretical and experimental investigation of various aspects of laser interaction with matter are pursued. New nonlinear optical techniques are applied to the studies of surfaces and interfaces of all types.

#### 129. SURFACE INSTRUMENTATION

Y. R. Shen  
(510) 642-4856 02-2 \$202,000

The surface instrumentation project develops new experimental techniques for the atomic and molecular scale characterization of surfaces. These include the scanning tunneling and atomic force microscopes (STM, AFM), nonlinear optical techniques of sum frequency and second harmonic generation (SFG, SHG), and surface crystallography by LEED. (This project is part of the CAM Surface Science and Catalysis Program; this summary is duplicated in #139, 03-3).

#### 130. TIME-RESOLVED SPECTROSCOPIES IN SOLIDS

P. Y. Yu  
(510) 486-8087 02-2 \$126,000

The main objective of this project is to utilize picosecond and subpicosecond laser sources to study the ultrafast relaxation processes that occur in semiconductors. The processes under investigation include electron-phonon interactions, trapping of defects, phonon-phonon interactions, and

electron-electron interactions. The experiments involve exciting dense electron-hole plasmas in bulk or nanostructures of semiconductors and monitoring the time evolution of the electron and phonon distribution functions by Raman scattering and photoluminescence. Another area of investigation involves the study of properties of solids under high pressure.

#### 131. QUANTUM THEORY OF MATERIALS

M. L. Cohen, S. G. Louie  
(510) 486-4753 02-3 \$337,000

Research to further basic understanding of the physical properties of materials and materials systems such as surfaces and interfaces. Emphasis on carrying out quantum-mechanical calculations on realistic systems so that a microscopic understanding may be obtained from first principles. Model systems are also examined, and new theoretical techniques are developed. Studies include bulk materials, high- $T_c$  superconductors, fullerenes, surface and chemisorbed systems, interfaces, materials under high pressure, clusters, and defects in solids. Close collaboration with experimentalists is maintained with comparisons with experiment showing that the calculations are accurate and of predictive power. Bulk materials research is focused on: electronic, magnetic, structural, and vibrational properties; crystal-structure determination; solid-solid phase transformations at high pressure; and defect properties. Surface and interface research focused on atomic, electronic, and magnetic structures. Superconductivity research is focused on mechanisms for high transition temperature and possibilities of superconductivity at high pressures.

#### 132. CENTER FOR X-RAY OPTICS

D. Attwood  
(510) 486-4463 02-4 \$1,932,000

The Center for X-ray Optics (CXRO) continues to pursue advances in science and technology based on advances in X-ray optics and the use of modern sources of radiation. Emphasis is placed on the use of soft X-rays and extreme ultraviolet (EUV) radiation, a spectral region characterized by photon energies extending from below 100 eV to as high as 10 keV, and wavelengths extending from 0.1 nm to 20 nm. Activities in the past year have included soft X-ray microscopy for the physical and life sciences, with spatial resolution well below 100 nm (nanometers), a microprobe for X-ray fluorescence and diffraction studies of widely varying samples, generally permitting subprogram elemental analysis on a 1 micron spatial scale in the presence of a high-Z host. New polarization sensitive studies of materials have yielded first results based on the polarizing properties of multilayer mirrors, and several projects related to future industrial capabilities have seen substantial progress. With ARPA support for the microelectronics

industry, a unique at-wavelength EUV interferometer has been constructed, using coherent radiation from the Advanced Light Source (ALS), to measure the surface figure of multilayer coated optics for eventual use in a potential nanoelectronic manufacturing plant. Deep etch X-ray lithography (LIGA) was also used for the first time at the ALS to fabricate micron sized mechanical parts for use in precision manufacturing. New capabilities for absolute metrology and calibrations at EUV and soft X-ray wavelengths were also completed, and newly designed beamline monochromators, which combine high resolution, efficiency, low cost and ease of use, were completed and installed at BESSY (in Berlin) and at the ALS. In addition to completing five beamlines at the ALS for the above pursuits, significant progress was made towards the long sought goal of building a state-of-the-art electron beam pattern writer, the Nanowriter, the centerpiece of a new nanofabrication facility. The facility, including the Nanowriter, is expected to be operational in early 1996, enabling the fabrication of significantly improved diffractive X-ray optics, structures for surface materials science, quantum electronic devices, and using its unique stitching accuracy, complex mask structures for nanoelectronic lithography applications. The Nanowriter instrument was also supported by ARPA.

### 133. CAM HIGH- $T_c$ SUPERCONDUCTIVITY PROGRAM

A. Zettl, J. Clarke, N. E. Phillips, P. Richards  
(510) 642-4939 02-5 \$563,000

Studies in three areas: basic science, thin films and their applications, and electron microscopy. Basic science activities are directed at developing an understanding of the known high- $T_c$  materials in the expectation that it will lead to other materials with superior properties. It includes theoretical work, the synthesis of new materials, growth of single crystals, and the measurement of physical properties (including magnetic susceptibility, transport properties, specific heat, isotope effects, mechanical properties, nonlinear electrodynamics, microwave absorption, terahertz spectroscopy, electron tunneling, and infrared absorption). Theoretical studies include first principles calculations and model-based interpretations of measured properties. Thin films and applications research includes fabrication and processing. Investigation of physical and electrical properties, development of thin-film devices, including SQUIDS and other applications of Josephson devices, and bolometric radiation sensors. The electron microscopy research features atomic resolution imaging of cations, which enables defects, grain boundary structure, interface epitaxy, and composition to be analyzed and related to synthesis conditions and to physical properties. Fullerene materials are also synthesized and explored by electron microscopy and transport measurements and theory. The program benefits from

collaborations with M. L. Cohen, U. Dahmen, D. de Fontaine, R. Gronsky, E. Haller, L. DeJonghe, V. Kresin, S. G. Louie, D. Olander, A. Portis, J. Reimer, M. Rubin, R. Russo, G. Thomas, J. Washburn, and P. Y. Yu

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## Materials Chemistry - 03 -

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### 134. LOW-TEMPERATURE PROPERTIES OF MATERIALS

N. E. Phillips  
(510) 486-4855 03-1 \$135,000

Measurements of low-temperature properties of materials, particularly superconductors, to contribute to the general understanding of materials properties and structure-property relations. The emphasis is on specific heat measurements (5mK to 130K; pressures to 20kbar; magnetic fields to 10T), but the electrical resistivity and magnetic susceptibility are also measured in cases of interest. Current investigations are mainly on high- $T_c$  oxide superconductors and heavy-fermion compounds. The measurements on oxide superconductors give fundamental information on the nature and mechanism of the superconductivity, and technically useful information on the volume fraction of superconductivity and its relation to synthetic procedures; those on heavy-fermion compounds give information on the interrelation of superconductivity and magnetism.

### 135. CAM BIOMOLECULAR MATERIALS PROGRAM

M. D. Alper, D. Charych, J. Kemling, J. F. Kirsch, D. E. Koshland, Jr., H. Rappaport, P.G. Schultz, R. Stevens, F. Trapper, C.-H. Wong  
(510) 486-6581 03-2 \$549,000

The goal of this research is the use of natural biological processes and molecular or variants of them in the synthesis of new materials. One component focuses on the use of natural, engineered and "created" enzymes to synthesize new materials. The unique stereochemical control exerted by enzymes and their ability to catalyze reactions at low temperature will allow the synthesis of materials with structures and therefore properties that cannot be achieved using conventional synthetic routes. Efforts are focused on the design of reaction conditions for the enzymatic synthesis of polymeric materials; engineering of enzyme structure and activity to allow the binding and polymerization of novel monomers; generation of catalytic antibodies for materials synthesis; characterization and processing of the polymer products of these reactions and understanding the structure/function relationships of this new class of materials. Work is also progressing on the synthesis of organic thin films which mimic the biological membrane to alter interfacial and surface properties, to fabricate sensor



devices for pathogenic organisms and substance of environmental interest. The films are also being used to direct the fully ordered crystallization of a variety of inorganic materials. In addition the synthesis, through chemical means of novel polymers whose design is based on that of biological polymers is being pursued. \*Funded jointly with the Division of Energy Biosciences, (DOE). Energy Biosciences supports the more biological aspects of the program. Materials Sciences supports the aspects focused on materials.

### 136. CAM POLYMERS AND COMPOSITES PROGRAM

M. M. Denn, A. Bell, A. Chakraborty, D. Gin,  
S. Muller, B. Novak, J. Reimer, D. Theodorou  
(510) 486-0176 03-2 \$595,000

Development and synthesis of high performance polymeric materials. Currently the program consists of two projects: anisotropic polymeric materials, polymer/substrate interactions. Both are focused on the prediction and control of microstructure during the processing of polymeric materials. The first (M. M. Denn) looks primarily at liquid crystal polymers, using rheology, NMR, thermal analysis, and structural theory to elucidate how orientation and stress develop during shaping. The way in which the multi-phasic nature of the polymer melt affects macroscopic orientation and orientation rates is of particular concern. The second project (D. Theodorou) emphasizes the theory of polymer conformation and stress state near a solid interface as a means of defining the influence of surface interactions on bulk orientation and stress, and hence on properties and adhesion. Polymer synthesis and the development of computational methods for predicting structure development and the onset of dynamical instabilities are integral components of both project areas.

### 137. "NEW INITIATIVE" ATOMIC LEVEL STUDIES OF TRIBOLOGICAL PROPERTIES OF SURFACES AND LUBRICANTS

M. Salmeron  
(510) 486-6230 03-2 \$461,000

The purpose of this program is to understand the basic physical and chemical processes that govern the tribological properties of surfaces (adhesion, friction and wear) and to determine the role of surface films of lubricants in modifying these tribological properties. The atomic structure of surfaces and the mechanical properties of adhesion and friction at point contacts are studied with the Scanning Tunneling Microscopy (STM) and the Atomic Force Microscope (AFM). These techniques allow us to study the substrate atomic structure and that of the adsorbate before and after contact. A Surface Force Apparatus (SFA) is used in combination with Second Harmonic and Sum Frequency Generation to study the conformation

(orientation) and vibrational properties of monomolecular films in situ, during compressive and shear stresses. Studies employ simple model lubricants including atomic adsorbates (O, C, S, etc.), simple organic molecules, and long chain hydrocarbons (alkylsilanes, perfluorinated hydrocarbons) that can form self-assembled monolayers covalently bonded to various surfaces.

### 138. SEMICONDUCTOR THIN FILMS USING NANOCRYSTAL PRECURSORS

P. Alivisatos  
(510) 643-7371 03-3 \$147,000

Methods have been developed to prepare monodisperse, high quality, nanometer size crystallites of many common semiconductors. We are investigating the phase diagram of these nanocrystals. We find that they melt at lower temperatures than the bulk solid, and that they transform to denser phases at higher pressures than the bulk. These nanocrystals can be bound to metal surfaces using self-assembled monolayers. We are investigating the use of these surface-bound nanocrystals as low temperature precursors to thin films.

### 139. NUCLEAR MAGNETIC RESONANCE

A. Pines  
(510) 486-6097 03-3 \$761,000

The Nuclear Magnetic Resonance (NMR) program has two complementary directions. The first is the development of new concepts and techniques in NMR in order to extend its applicability to a wide range of problems and materials. Such an undertaking involves the development of new theoretical and experimental approaches. Some developments currently underway in this direction are iterative and multiple-pulse sequences, geometric quantum phase, multiple-quantum NMR, zero-field and SQUID-NMR, double-rotation NMR, NMR imaging of structure and flow, optical pumping and surface-enhanced NMR. The second direction involves the application of novel NMR methods and instrumentation to materials research. The developments above are being used, for example, to study surfaces, clusters and nanostructures, semiconductors, superconductors, silicates, zeolites, catalysts, liquid crystals, polymers, copolymers and glasses.

### 140. BURIED INTERFACES

P. N. Ross, D. Loretto, C. A. Lucas  
(510) 486-6171 03-3 \$314,000

Study of growth mechanisms, structure and phase transitions in thin film heterostructures where there is a large change in electronic structure across the interface. Determination of relationship between growth mechanism and electronic and atomic

structure by application of transmission electron microscopy, synchrotron X-ray diffraction, electron diffraction and X-ray photoelectron spectroscopy to thin films grown by molecular beam epitaxy. Emphasis on combining information from in situ and ex situ studies. Synthesis of novel thin film structures of potential interest for technological applications.

#### 141. CAM SURFACE SCIENCE AND CATALYSIS PROGRAM

G. A. Somorjai, M. B. Salmeron, Y. R. Shen,  
M. A. Van Hove  
(510) 486-4831 03-3 \$1,173,000

The Surface Science and Catalysis program emphasizes atomic level surface characterization and the relationship between macroscopic chemical and mechanical properties and properties on the molecular scale. The Surface instrumentation development is an important part of the project. The Surface Science effort includes studies of atomic scale surface structure of solids and adsorbed monolayers; the chemical (bonding reactivity) and mechanical (adhesion, friction, lubrication) properties are investigated. Hard coatings, oxide films and oxide-metal, metal-metal, and metal-polymer interfaces are prepared by vapor, plasma or sputter deposition. Catalysis research is focused on correlating macroscopic catalytic properties of microporous crystalline materials and model single crystal surfaces with their atomic surface structure, chemical bonding and composition. The catalytic materials investigated include transition metals, zeolites and other oxides, sulfides and carbides. The roles of additives that are surface structure or bonding modifiers are explored. Catalyzed reactions of interest include selective hydrocarbon conversion to produce clean fuels, nitrogen oxide reduction, hydrogenation and methanol synthesis. The scanning tunneling microscopy (STM) and related techniques (AFM, SFA), digital low energy electron diffraction (LEED) and nonlinear laser optics (SFG and SHG) are the focus of surface instrumentation development.

#### 142. SYNTHESIS OF NOVEL SOLIDS

A. M. Stacy  
(510) 642-3450 03-3 \$128,000

Research on new synthetic procedures for the preparation of advanced materials with potentially useful electronic and/or magnetic properties. Current research is focused in three project areas: 1) Precipitation of oxide superconductors from ionic liquids; 2) Preparation and characterization of new layered niobium oxide superconductors; and 3) Investigation of cooperative interactions in rare earth transition metal phosphides. The structure and properties of the materials that are synthesized are determined in order to correlate synthesis and properties, as well as structure and properties.

#### 143. SURFACE THEORY

M. Van Hove  
(510) 486-6160 03-3 \$57,000

This project develops theoretical methods for the analysis of surfaces and interfaces, in particular for structure determination by various electron scattering, diffraction and tunneling techniques. The project operates in particularly close collaboration with experimental programs at LBNL. Many of the theoretical methods developed in this project are of particular importance for a host of experimental techniques that will be employed by users of LBNL's Advanced Light Source. The project also manages a database of solved surface structures. The Surface Structure Database (SSD) is marketed world-wide to provide the detailed atomic-scale structures of surfaces determined from experiment.

#### 144. STIMULATED DESORPTION OF HALOGENS

J. A. Yarnoff  
(909) 787-5336 03-3 \$44,000

The interaction of radiation with surfaces is employing desorption induced via electronic transitions (DIET) techniques, which monitor the ions produced by core-level excitation. Of particular interest are the types of chemical systems that are important in the processing of semiconductor devices. Synchrotron radiation-based techniques, e.g., soft X-ray photoelectron spectroscopy (SXPS) and photon stimulated desorption (PSD), are performed at the National Synchrotron Light Source, Brookhaven National Laboratory, and at MAX-LAB in Lund, Sweden. In addition, at the University of California, Riverside, studies of surface damage induced via electron stimulated desorption (DSD) are performed. A number of halogen-semiconductor systems have been investigated, including  $\text{XeF}_2/\text{Si}$ ,  $\text{XeF}_2/\text{GaAs}$ ,  $\text{Cl}_2/\text{GaAs}$ ,  $\text{I}_2/\text{Si}$ , and  $\text{I}_2/\text{GaAs}$ . From this work, a model of the halogen etching process of semiconductor surfaces, based on the electronic structure of the near-surface region, has been developed. DIET studies of the  $\text{CaF}_2/\text{Si}(111)$  interface have provided information on the formation of F-center defects in ionic solids.

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#### Facility Operations - 04 -

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#### 145. 1-2 GEV SYNCHROTRON LIGHT SOURCE R&D

B. M. Kincaid  
(510) 486-4810 04-1 \$21,200,000

The Advanced Light Source (ALS) at the Lawrence Berkeley National Laboratory (LBNL) is delivering X-rays of unprecedented brilliance to a range of

users from industry, academia and government laboratories. The construction of this project was completed in March 1993. First light was seen in October 1993, and new beamlines have been installed at a steady pace since then. Three undulator beamlines are now operational and three bend-magnet beamlines. Here are a few highlights from recent research. (1) The technique of soft X-ray fluorescence spectroscopy has been elevated to a powerful method for the investigation of the electronic structure of buried interfaces and other systems of importance to the microelectronics industry. (2) Photoemission measurements have been performed on exceedingly small samples of radioactive plutonium and curium. This opens up the possibility of looking at trace quantities of toxic or radioactive samples without need for elaborate protection safeguards. We foresee applications to nuclear nonproliferation and environmental remediation. (3) Several groups have successfully demonstrated X-ray "spectromicroscopes" at the ALS. The ability to perform X-ray spectroscopy on tiny features such as those on semiconductor microchips and magnetic disk-drives for high-density information storage is expected to be the mainstream new activity at the ALS. In addition to research activities, the ALS has a vigorous outreach program to local industry. Intent to perform Experiments has been performed for Intel, Chevron, Hewlett-Packard, and SEMATECH. IBM already has a strong presence.

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#### Metallurgy and Ceramics - 01 -

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#### 146. EFFECT OF IMPURITIES, FLAWS AND INCLUSIONS ON ADHESION AND BONDING AT INTERNAL INTERFACES

W. E. King, G. Campbell, S. M. Foiles  
(510) 423-6547 01-2 \$506,000

Experimental and theoretical investigations of the effects of impurities, flaws and inclusions on adhesion and bonding at internal interfaces. Specifically, structure and properties of grain boundaries in Nb, Mo, Ni<sub>3</sub>, Al, and Al and the effect of impurities such as B. Interface structure calculations using the embedded atom method and model generalized pseudo-potential theory. Bicrystals for experimental studies fabricated using ultra high vacuum diffusion

bonding. Determination of interface atomic structure using quantitative high resolution electron microscopy. Property measurements include grain boundary energy and grain boundary diffusion.

#### 147. ROLES OF INTERFACES AND INTERPHASES ON SUPERPLASTICITY IN CERAMICS

T. G. Nieh, A. Schwartz, J. Wang  
(510) 423-9802 01-5 \$559,000

Research program focused on developing a basic understanding of the effects of interfacial chemistry, structure, and the presence of different phases, in particular thin films, on the sliding properties of an interface. Fabrication of metallic and ceramic bicrystals with controlled orientations and interfaces using the LLNL diffusion bonding machine. Characterization of interfacial cohesion, structure, and other mechanical properties. Effects of liquid film to be studied using quartz bicrystal interleaved with a B<sub>2</sub>O<sub>3</sub> layer. Study of superplasticity in composites. Theoretical approach to incorporate ab initio total energy methods and molecular dynamics simulations.

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#### Solid State Physics - 02 -

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#### 148. SCIENCE OF THIN FILMS AND CLUSTERS

L. L. Chase, A. V. Hamza, J. G. Tobin  
(510) 422-6151 02-2 \$337,000

The electronic and geometric structures of surfaces, interfaces and ultrathin films constructed from nanocrystalline clusters are investigated. A combination of unique synthesis methods and powerful characterization techniques are used to study nanoscale properties, such as quantum confinement, and to address issues like grain boundary effects and structure-property relationships in nanophase systems. Characterization methods include photoelectron spectroscopies, EXAFS, X-ray diffraction, scanning tunneling and force microscopy, TEM, and small angle electron scattering. The evolution of properties as a function of particle size from the nanoscopic to macroscopic scale will be used to develop a strategy for the preparation and utilization of novel assemblies of clusters. Materials and processes studied include oxidation of Si and other semiconductors deposition of insulating or semiconducting thin films and ion-implanted layers. A novel approach to the preparation of patterned SiC layers through the use of "buckyballs" is being investigated.

**149. OPTICAL MATERIALS RESEARCH**

S. A. Payne, C. D. Marshall, R. H. Page,  
K. Shaffers  
(510) 423-0570 02-2 \$232,000

Linear and nonlinear optical properties of optical materials are investigated including behavior at high laser intensities and during ultrashort pulses of light. Properties measured and modeled include absorption and emission spectra and cross sections, lifetimes of optical excitations, and nonlinear transmission and propagation effects. Coherence properties of optical excitation are investigated with subpicosecond time resolution. Spectroscopic properties of laser ions in crystals and glasses are investigated using linear and nonlinear spectroscopic techniques. In support of this work new optical materials are prepared and characterized.

**Materials Chemistry - 03 -****150. INVESTIGATION OF NANOSCALE MAGNETICS**

J. G. Tobin  
(510) 422-7247 03-1 \$248,000

Integrated experimental-theoretical investigation of magnetic structure-property relationships at the nanometer level. Focuses on restricted dimensionality systems such as surfaces, interfaces, and ultrathin films in arrangements such as epitaxially-deposited monolayer, bi-layer, and tri-layer films and multilayers. Experiments focus on the use of novel magnetic double polarization measurements utilizing circularly polarized synchrotron radiation combined with spin polarized electron detection. Theory applies spin-specific multiple scattering simulations. Investigations will permit the direct determination of atomically-local magnetic and geometric structure.

**151. GROWTH AND FORMATION OF ADVANCED HETEROINTERFACES**

L. J. Terminello, J. Carlisle, R. Hill,  
J. Klepels, E. Shirley  
(510) 423-7956 03-2 \$480,000

Microscopic investigation of solid heterointerfacial growth and formation. Experimental determination of evolution of the atomic geometry and electronic structure during initial stages of interface formation. Studying the in situ detection and formation of sub nanometer buried interfaces such as BN in graphite. Utilizes synchrotron-based probes of in situ prepared materials. Utilizes photoelectron holography, X-ray standing wave, valence-band and core-level

photoemission, and near-edge photoabsorption to investigate heterojunction. Theoretical modeling using ab initio molecular dynamic simulation from self consistent interatomic forces.

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**152. UNIFIED THEORY OF EVOLVING MICROSTRUCTURES**

R. Lesar, E. A. Holm, A. D. Rollett, D. J. Srolovitz  
(505) 665-0420 01-1 \$459,000

Fundamental theory and modeling of microstructural evolution, combining materials modeling techniques to bridge length scales from the atomistic to the microstructural. Atomistic simulations are being used to examine grain-boundary mobility, dislocation interactions with grain boundaries, etc. Dislocation-dynamics simulations will be used to examine the role of dislocation microstructural evolution in the presence of moving grain boundaries. Information from the atomistic and dislocation dynamics are being incorporated into more accurate, three-dimensional, Potts model simulations of grain growth, recrystallization, and other dynamic phenomena. Application of the modeling is being applied to aluminum and other materials for which there is data on dislocation dynamics, annealing of dislocation structures, dynamic recrystallization, etc.

**153. PROCESSED DIAMOND AND DIAMOND-LIKE COATED MATERIALS**

C. Maggiore, M. Hawley, H. Kung, K. Walter  
(505) 667-6133 01-5 \$165,000

The purpose of this project is to develop a fundamental understanding of enhanced electron emission that has been observed from diamond and diamond coated materials. The fundamental understanding is directed to controlling and optimizing the properties of emissivity, stability, uniformity, and lifetime by varying the processing for specific devices and applications. The separate contributions of substrate, surface, defects, and microstructure are investigated using atomic scale probes on well-characterized materials including beam processed materials, bulk diamond, coated graphite, and other carbon substrates. Combined surface analytical measurements and in situ emission measurements are performed before and after processing of materials as a function of time and

operating history to determine surface chemistry, stability, and the effects of surface contamination. Electron emission measured as a function of time to determine the nature of the noise spectrum and stability. Theoretical modeling focuses on both the underlying physics of the enhanced electron emission and the surface processing mechanisms.

#### 154. STRUCTURAL CERAMICS: INTERFACIAL EFFECTS AND VERY HIGH TEMPERATURE MECHANICAL BEHAVIOR

T. E. Mitchell, W. R. Blumenthal, S. P. Chen,  
S. A. Marloy, J. J. Petrovic, A. F. Voter  
(505) 667-0938 01-5 \$729,000

Our goal is to investigate the mechanical behavior of advanced structural ceramic materials. This presently involves two research programs. The first is associated with deformation and fracture studies of single crystals of oxide and non-oxide ceramics at very high temperatures. The second involves fundamental investigations of the nature and properties of interfaces important to structural ceramic composite systems. Modeling efforts are associated with both programs. Materials currently being studied include complex oxides, silicon-based ceramics, and silicides. Our emphasis is on the mechanical behavior of structural ceramics, including composites, at very high temperatures. The fundamental nature of interfaces and their role in determining mechanical behavior is an important aspect of the research. Investigations being pursued on the deformation behavior of single crystals of  $\text{Si}_3\text{N}_4$ ,  $\text{MoSi}_2$ , and YAG will be extended to perovskites such as  $\text{LaAlO}_3$ , spinels such as  $\text{Mg}_2\text{CrO}_4$ , and other complex oxides and silicides. We have established melting fabrication facilities for the growth of such crystals and also for eutectic systems. Modeling aspects will emphasize fracture and plasticity effects and atomistic simulations of defects such as dislocations in the very high temperature ceramics, with interatomic potentials developed for these materials which will allow atomistic calculations of features such as dislocation core structures.

#### 155. METASTABLE PHASES AND MICROSTRUCTURES

R. B. Schwarz  
(505) 667-8454 01-5 \$260,000

Fundamental research on the theory, synthesis, microstructures, and properties of materials with metastable phases. The research includes: (a) the synthesis of amorphous alloys by mechanical alloying and interdiffusion; (b) the study of phase equilibria and transformation kinetics in solid-state transformations; (c) the characterization of microstructures at atomic level of resolution developed during solid-state transformations; (d) the relationship between microstructures and properties in metastable and transformed materials; and (e) the application to material properties such as

mechanical strength, magnetic behavior, catalysis, and superconductivity.

#### 156. MECHANICAL PROPERTIES

M. G. Stout, U. F. Kocks  
(505) 667-4665 01-5 \$440,000

Response of metals to multiaxial loading and large strains, yield surfaces, multiaxial stress-strain relationships, stress path changes, Bauschinger effects. Characteristics of mechanisms controlling the large strain deformation of aluminum, nickel, iron, copper, brass, tantalum, zirconium and titanium. Sub-structural and textural evolution with strain, strain state, and strain rate. Predictions of texture evolution using crystal plasticity and strain-rate sensitivity. Kinetics of plastic flow at room and elevated temperatures. Phenomenology and mechanisms of dynamic and static recrystallization.

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#### Solid State Physics - 02 -

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#### 157. CONDENSED MATTER RESEARCH WITH THE LANSCE FACILITY

J. A. Goldstone  
(505) 667-6069 02-1 \$1,785,000

Research in condensed-matter science using the pulsed spallation neutron source, the Manuel Lujan Jr., Neutron Scattering Center, at Los Alamos National Laboratory. Topics of current interest include the structure of polymers, polymer blends, colloids, and other macromolecular systems in the bulk and at surfaces and interfaces; the vibration spectra of organometallics; atomic arrangements of high-temperature superconductors, actinides, and metal hydrides; crystallography at high pressures; texture and preferred orientation in metallurgical and geological samples; the structure of magnetic multilayers; and residual and applied stress in engineering materials. Extensive collaborations are in place with researchers working on other programs at Los Alamos, as well as with staff at various outside institutions. These interactions cover a broad range of applications of neutron scattering to materials science, chemical physics, crystallography, structural biology, and support science-based stockpile stewardship.

#### 158. INTEGRATED MODELING OF NOVEL MATERIALS

K. S. Bedell, A. R. Bishop, A. F. Voter  
(505) 667-6491 02-2 \$535,000

This is a core program in condensed matter and materials theory aimed at extending the theory base available for modeling novel electronic and structural materials. Such an integrated theory base is essential to the challenges of controlling and

utilizing the unusual properties of such materials for applications in device and other technologies. A combination of techniques are represented, drawn from solid state and many body physics and quantum chemistry, including state-of-the-art analytical and numerical approaches. This theoretical technology base is used to develop new techniques and to couple them with integrated synthesis-characterization-modelling programs at Los Alamos and elsewhere. The modelling is aimed at both the basic electronic structure of strongly correlated materials, and the development of interatomic potentials for directionally bonded materials.

**159. PHOTOELECTRON SPECTROSCOPY OF TRANSURANICS UTILIZING A TUNABLE ULTRAVIOLET LABORATORY LIGHT SOURCE**

A. J. Arko, R. J. Bartlett, J. J. Joyce,  
D. D. Koelling, J. Lawrence, M. Norman,  
P. Riseborough  
(505) 665-0758 02-5 \$455,000

Photoelectron spectroscopy, with photons from the new laser-plasma tunable light source, for exploring the electronic structure of the 5f electrons in the actinide series; including an investigation of the localization-delocalization mechanism for f-electrons. The transition to localized f-states for the actinides will be microscopically probed and correlated with parameters such as Coulomb correlation energy, band width, hybridization strength, dispersion, anisotropy, and lifetimes; which are readily obtained from photoemission data. Emphasis will be placed on heavy Fermion compounds forming the boundary between localized and band states. The ultraviolet laboratory light source has tunability in the VUV range (30 eV to 200 eV) allowing full use of the powerful resonance photoemission technique to separate out the 5f as well as other orbital features in the spectra. The unique time structure of the laser pulses allows the utilization of pump and probe experiments to study empty 5f states just above the Fermi energy and fully complement the standard photoemission investigation of filled states.

**160. HIGH TEMPERATURE SUPERCONDUCTIVITY AND CORRELATED ELECTRON MATERIALS**

Z. Fisk, P. C. Hammel, R. H. Heffner, J. L. Smith,  
J. D. Thompson  
(505) 667-6416 02-5 \$807,000

Effort focuses on developing a fundamental understanding of correlated electron materials by investigating the interplay among structural, magnetic and electronic properties of high- $T_c$  and heavy fermion compounds in addition to other related narrow-band materials exhibiting valence and spin fluctuations and unconventional magnetism and superconductivity. A broad range of experimental techniques is used in these studies,

including resistivity, magnetic susceptibility, specific heat, nuclear magnetic resonance, neutron diffraction and scattering, muon spin rotation, X-ray absorption fine structure, ultrasound, thermal expansion, Mossbauer spectroscopy, chemical analysis, and new materials synthesis. Many of the measurements are made at extremes of very high pressures, high magnetic fields, and very low temperatures. The approach taken to understanding electronic correlations in f-electron systems and applying this knowledge to the more complicated and technologically important d-electron materials provides a broad perspective on the physics of these materials.

**161. THERMAL PHYSICS**

G. W. Swift, R. E. Ecke  
(505) 665-0640 02-5 \$275,000

Experimental investigations of pattern formation and nonlinear dynamics in fluid systems: thermal convection involving nonlinear traveling waves, spatial and dynamic scaling, pattern dynamics; liquid-solid dissolution, mass transfer, turbulence and solid morphology. Experimental and theoretical studies of novel engines: acoustic engines (both heat pumps and prime movers) using liquids and gases; acoustic turbulence; Stirling engines using liquids and superfluids; regenerators, heat exchangers, mechanicals, seals. Superposition of steady flow and oscillatory thermodynamics.

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**Materials Chemistry - 03 -**

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**162. LOW-DIMENSIONAL MIXED-VALENCE SOLIDS**

B. I. Swanson, A. R. Bishop  
(505) 667-5814 03-2 \$302,000

This is a theoretical and experimental effort to characterize the model low-dimensional mixed-valence solids as they are tuned, with pressure and chemistry, from a charge-density-wave (CDW) ground state towards a valence delocalized state. The systems of interest are comprised of alternating transition metal complexes and bridging groups that form linear chains with strong electron-electron and electron-phonon coupling down the chain axis. The ground and local gap states (polarons, bipolarons, excitons, and kinks) are characterized using structural, spectroscopic and transport measurements and this information is correlated with theoretical predictions. The theoretical effort includes quantum chemistry, band structure, and many-body methods to span from the isolated transition metal complexes to the extended interactions present in the solid state.

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**Metallurgy and Ceramics - 01 -**

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**163. GROWTH AND PROPERTIES OF NOVEL ORDERED  
II-VI AND III-V SEMICONDUCTOR ALLOYS**

A. Mascarenhas, J. Olson, A. Zunger  
(303) 384-6608 01-1 \$500,000

The primary focus of this project is a combined experimental-theoretical effort aimed at understanding spontaneous long-range order in isovalent III-V/III-V and II-VI/II-VI semiconductor alloys. It includes: (i) MOCVD and MBE growth of III-V alloys such as GaP/InP, AlP/GaP, AlP/InP, AlAs/InAs, and GaAs/GaP, (ii) MBE growth of II-VI alloys such as ZnTe/MnTe, ZnTe/CdTe, and ZnSe/ZnTe (Professor J. Furdyna, Notre Dame), (iii) Raman, modulation reflectance photoluminescence, spectroscopic ellipsometry and reflectance difference spectroscopy studies of ordering in the above systems, and (iv) first-principles theoretical studies of surface-induced, epitaxially-induced and bulk ordering in these systems, as well as prediction of optical consequences of ordering (polarization, band gap narrowing, crystal field splitting).

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**Solid State Physics - 02 -**

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**164. SEMICONDUCTOR THEORY**

A. Zunger  
(303) 384-6672 02-3 \$208,000

First-principles band structure, total energy, and statistical mechanical methods are used to predict electronic and structural properties of bulk and epitaxial semiconductors superlattices, surfaces, alloys and nanostructures emphasizing chemical trends and properties of new, energy-related materials. Current work includes: (1) prediction of optical and dielectric properties of semiconductor quantum dots, wires, and films; (2) electronic structure of "random superlattices"; (3) first-principles prediction of alloy thermodynamic quantities (e.g., phase-diagrams) for bulk  $A_xB_{1-x}C$  semiconductor alloys including order/disorder transitions, miscibility gaps, and ordered stoichiometric compounds. These methods are also applied to metallic cases, e.g., NiV, PdV, CuPd; (4) calculation of valence band offsets in II-VI, III-V, and II-VI semiconductors; (5) prediction

of properties of unusual ternary materials, e.g., ordered vacancy compounds  $A^mB_nC_4^m$  (e.g.,  $CdIn_2Se_4$ ). Theoretical tools include (a) the total energy non-local pseudopotential method and full-potential linearized augmented plane wave (LAPW) method, (b) the cluster variation approach to the Ising program, applied to binary and pseudobinary phase diagrams, and (c) Monte-Carlo and simulated-annealing calculations of Ising models derived from first-principles.

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**Metallurgy and Ceramics - 01 -**

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**165. SHARED RESEARCH EQUIPMENT PROGRAM**

N. D. Evans, E. A. Kenik  
(423) 576-4427 01-1 \$144,000

Microanalysis facilities within the Metals and Ceramics Division of Oak Ridge National Laboratory (ORNL) are available for collaborations in materials science between researchers at universities, industries, or other government laboratories and ORNL staff members. Facilities are available for state-of-the-art analytical transmission electron microscopy, scanning electron microscopy, atom probe/field ion microscopy, irradiation studies, ion beam treatments, nuclear microanalysis, and mechanical property measurements at high spatial resolution. Analytical electron microscopy capabilities include energy dispersive X-ray spectroscopy (EDXS), parallel-detection electron energy loss spectrometry (PEELS), post-column energy filtering (EFTEM), and convergent beam electron diffraction (CBED). High resolution electron microscopy, low temperature (100 K), high temperature (1500 K), in situ deformation, and video recording facilities are available. Surface analysis facilities include three Auger electron spectroscopy (AES) systems and three (0.4, 2.5, and 4.0 MeV) Van de Graaff accelerators for radiation effects studies and ion beam modification treatments. A mechanical properties microprobe with high lateral (0.3  $\mu\text{m}$ ) and depth (0.16 nm) resolution, can characterize elastic/plastic behavior in thin films, layers, interfaces, and other sub-micron features at either ambient or elevated (300°C) temperatures. An atomic force microscope is available and

equipped with an optical-based position-sensing system to obtain accurate quantitative measurements. This AFM can operate in either the repulsive or attractive mode.

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#### Metallurgy and Ceramics - 01 -

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#### 166. MICROSCOPY AND MICROANALYSIS

K. B. Alexander, J. Bentley, E. A. Kenik,  
M. K. Miller, K. F. Russell  
(423) 574-0631 01-1 \$1,145,000

Development and application of analytical electron microscopy (AEM), atom-probe field-ion microscopy (APFIM), and mechanical properties microprobes (MPM) to determine the microstructure, microchemistry and mechanical properties of materials at high spatial resolution. Maintenance of SHARE User facilities and collaborative research with ORNL and non-ORNL users. Equilibrium and radiation-induced segregation at grain boundaries and interfaces by APFIM/AEM, correlation of GB structure and segregation. Applications of advanced EDS, EELS, energy-filtered imaging spectrum imaging and reflection electron microscopy techniques. High-resolution scanning electron microscopy and automated electron back-scattered pattern (EBSP) texture mapping. APFIM characterization of intermetallics, spinodals, early stages of phase transformations, and irradiated pressure vessel steels. AEM of structural ceramics, thin film ceramics, oxide scales, and intermetallics.

#### 167. THEORETICAL STUDIES OF METALS AND ALLOYS

W. H. Butler, C. L. Fu, G. S. Painter,  
G. M. Stocks  
(423) 574-4845 01-1 \$744,000

Use of density functional theory and other techniques to calculate the properties of materials. Development of new techniques for calculating properties of materials. Use of KKR-CPA to calculate such properties of alloys as phase diagrams, thermodynamic properties, magnetic properties, lattice constants, short-range order parameters, electrical and thermal resistivities. Use of high-speed band theory (FLAPW, pseudopotential, LMTO, LKKR)

to calculate total energies of metals and intermetallic compounds. Calculation of the elastic constants, and the energetics of planar and point defects of metals and intermetallic alloys, and the use of these quantities to understand their mechanical properties. Theory of electronic, magnetic, and transport properties of layered materials. Use of density functional theory and LCAO method to calculate the properties of clusters of atoms. Application of cluster calculations to materials problems such as trace element effects on metallic cohesion.

#### 168. ATOMISTIC MECHANISMS IN INTERFACE SCIENCE-DIRECT IMAGING AND THEORETICAL MODELING

S. J. Pennycook, K. Chen, M. F. Chisholm,  
D. E. Jesson, T. Kaplan, A. Maitl  
(423) 574-5504 01-1 \$406,000

Direct imaging of atomic structure and chemistry of interfaces by high-resolution Z-contrast scanning transmission electron microscopy, static and dynamic ab initio pseudopotential calculations of interface structures and atomistic mechanisms of epitaxial growth, molecular beam epitaxial growth of semiconductors, evolution of surface morphology, strain relaxation, dislocation nucleation, role of surfactants on growth, kinetic ordering, grain boundaries in ceramics and high-temperature superconductors, atomic resolution chemical analysis by electron energy loss spectroscopy, segregation to dislocations, hole concentration mapping in high-temperature superconductors, correlation of microstructure to transport properties, and metal/ceramic interfaces.

#### 169. RADIATION EFFECTS

L. K. Mansur, S. W. Cook, K. Farrell, E. H. Lee,  
R. E. Stoller  
(423) 574-4797 01-4 \$11,497,000

Theoretical and experimental research on defects and microstructures produced by neutron irradiation, by ion beam treatment and by related processes. Principles for design of improved materials. Neutron damage in metals and alloys irradiated in HFIR and other reactors. Evaluation of spallation neutron radiation damage and high energy proton damage, in connection with an initiative to develop a spallation neutron source. Effect of alloying additions; effect of type of irradiation, energy spectrum, and damage rate; radiation-induced embrittlement, creep and swelling; phase stability under irradiation; relationships between ion and neutron damage; effect of helium and other impurities on microstructure and microcomposition; theory of microstructural evolution based on defect reactions; electrical property changes in insulators caused by displacement and ionization. Studies using multiple simultaneous ion beams. Ion beam



modification of surface mechanical and physical properties of metallic, polymeric and ceramic materials; new materials by ion beam processing.

#### 170. MICROSTRUCTURAL DESIGN OF STRUCTURAL CERAMICS

P. F. Becher, K. B. Alexander, C.-H. Hsueh,  
K. P. Plucknett, E. Y. Sun  
(423) 574-5157 01-5 \$982,000

Experimental and theoretical approaches are being developed to provide new insights into mechanisms which improve the toughness, strength, and elevated temperature mechanical performance of ceramics with companion studies in ceramic processing to control densification and resultant microstructure and composition in such toughened systems. These micro- and (macro-) scopic characteristics are directly related to phenomena that are controlled during powder synthesis, powder processing, and densification. These are directly coupled with studies of the role of microstructure, composition, and defects in the mechanical behavior of ceramics and descriptions of toughening-strengthening and creep mechanisms. A primary consideration of these studies is to provide the fundamental basis for the design and fabrication of advanced ceramics and ceramic composites for use in elevated temperatures.

#### 171. FUNDAMENTALS OF WELDING AND JOINING

S. A. David, J. M. Vitek, T. Zacharia  
(423) 574-4804 01-5 \$657,000

Correlation between solidification parameters and weld microstructure; formation; distribution and stability of microphases and inclusions microstructure of laser-produced welds; single crystal welds; hot cracking; modeling of transport and solidification phenomena in welds; structure-property correlations, austenitic stainless steels, low alloy steels; aluminum alloys; electron beam welding; and university collaborations.

#### 172. HIGH TEMPERATURE ALLOY DESIGN

C. T. Liu, E. P. George, J. A. Horton,  
C. G. McKamey, J. H. Schneibel, M. H. Yoo  
(423) 574-4459 01-5 \$1,229,000

Formulation of scientific principles and design of ordered intermetallic alloys based on  $Ni_3Al$ ,  $Ni_3Si$ ,  $FeAl$ ,  $NiAl$ ,  $FeCo$ ,  $Nd_2Fe_{14}B$ , and other aluminides (e.g.,  $TiAl_3$ ) and silicides. Study of the effect of alloy stoichiometry on structure and properties of grain boundaries, nature and effects of point defects, and microalloying and grain-boundary segregation. Study of superlattice dislocation structure, solid-solution hardening, mechanistic modeling of anomalous temperature dependence of yield stress, impact resistance and crack growth, and deformation and fracture behavior of aluminides in

controlled environments at ambient and elevated temperatures. Study of the effect of electron structure and atomic bonding on both intergranular and transgranular fracture (e.g., cleavage). Experimental work on structure and properties of aluminide and silicide materials prepared by conventional methods and innovative processing techniques. Establishment of correlations between mechanical properties, microstructural features, and defect structures in aluminides. Study of processing parameters on reaction kinetics and microstructural evolution of aluminides and silicides processed by reaction synthesis (combustion synthesis).

#### Solid State Physics - 02 -

Jim B. Roberto - (423) 574-6151  
Fax: (423) 574-4143

#### 173. STRUCTURES OF ANISOTROPIC COLLOIDAL MATERIALS

J. B. Hayter, W. A. Hamilton  
(423) 574-5239 02-1 \$399,000

Small-angle neutron scattering and neutron reflectometry studies of colloidal systems. Objectives of this research are to understand the role of anisotropies imposed by geometry, shearing flow, or external fields on the structure and dynamics of liquid-phase colloidal dispersions. Major goals are to determine how anisotropic features in such systems are preserved or modified in processing to form nanoscale materials and how tuning of this behavior may be directed toward the control of the properties of final structures. In collaboration with L. Magid, the University of Tennessee, and R. Pynn, Los Alamos National Laboratory.

#### 174. INTERATOMIC INTERACTIONS IN CONDENSED SYSTEMS

H. A. Mook, B. C. Chakoumakos,  
J. Fernandez-Baca, S. Nagler, M. Yethiraj  
(423) 574-5234 02-1 \$783,000

Inelastic neutron scattering studies of phonons, magnons, and single-particle excitations in condensed matter; elastic and inelastic scattering of polarized and unpolarized neutrons by magnetic materials superconductors; lattice dynamics; magnetic excitations in high-temperature and phase transitions, nuclear spin ordering, momentum distributions in quantum fluids. New research directions will include more emphasis on materials properties under extreme environments of high pressures, high temperatures, or ultralow temperatures.

**175. STRUCTURE AND DYNAMICS OF ENERGY-RELATED MATERIALS**

H. A. Mook, S. Spooner, G. D. Wignall,  
M. Yethiraj  
(423) 574-5234 02-1 \$1,098,000

Elastic, inelastic, and small-angle scattering of neutrons by superconductors and metal hydrides, phase transitions, heavy fermion superconductors, high- $T_c$  superconductors and reentrant superconductors; small-angle neutron scattering from ferrofluids, polymers and polymer blends, metal alloys, liquid crystals and biological systems, kinetics of first-order phase transitions. Residual stress determinations of ceramic and metal components.

**176. PROPERTIES OF ADVANCED CERAMICS**

J. B. Bates, N. J. Dudley, D. C. Lubben,  
F. A. Modine  
(423) 574-6280 02-2 \$453,000

Physical and chemical properties of advanced ceramics including single-phase thin-film, layered, and surface-modified structures prepared by novel techniques. Materials investigated include, thin films of amorphous and crystalline metal oxide, and oxynitride lithium intercalation compounds and oxynitride ionic conductors. Films prepared by magnetron sputtering, and evaporation. Studies include ion and electron transport in thin-film electrolytes, electrodes, and electrode-electrolyte interfaces; electrical, dielectric, and optical properties of thin-film materials. Techniques include impedance spectroscopy, transient signal analysis, Raman scattering, infrared reflectance-absorption, optical spectroscopy, and scanning electron microscopy.

**177. MATERIALS FOR HIGH-POWER RECHARGEABLE SOLID STATE LITHIUM BATTERIES**

J. B. Bates, N. J. Dudley, D. C. Lubben,  
F. A. Modine  
(423) 574-6280 02-2 \$490,000

Synthesis and processing of thin and thick films of lithium intercalation cathode materials. Present emphasis on the spinel phase of lithium manganese oxide,  $\text{LiMn}_2\text{O}_4$ . Methods for thin-film deposition include rf magnetron sputtering and electron beam evaporation. Single-phase and composite thick films are fabricated by tape casting methods. Films are characterized by X-ray diffraction, infrared and optical spectroscopy, Rutherford backscattering, electron microscopy, and impedance spectroscopy. Cathodes are also investigated in solid state lithium cells. Constant current cycling of the cells is used to evaluate further the electrochemical properties of the cathode films.

**178. SYNTHESIS AND PROPERTIES OF NOVEL MATERIALS**

L. A. Boatner, H. M. Christen, L. Gea,  
D. G. Mandrus, J. O. Ramey, B. C. Sales  
(423) 574-5492 02-2 \$1,032,000

Synthesis and characterization of advanced materials including single crystal growth and the development of new crystal growth techniques; development of new materials through the application of enriched stable isotopes; investigations of the physical, chemical, and thermal properties of novel materials using the techniques of thermal analysis, X-ray diffraction, Mossbauer spectroscopy, ion implantation and RBS ion channeling, optical absorption, high-performance liquid chromatography, EPR, atomic force microscopy, and X-ray or neutron scattering; application of materials science techniques to the resolution of basic research problems; preparation and characterization of high- $T_c$  superconducting oxides; synthesis and structural characterization of phosphate glasses; development and characterization of advanced ceramics and textured materials; solid state epitaxial regrowth; growth of perovskite-structure oxides, high-temperature materials ( $\text{MgO}$ ,  $\text{CaO}$ ,  $\text{Y}_2\text{O}_3$ ), refractory metal single crystals ( $\text{Nb}$ ,  $\text{Ta}$ ,  $\text{V}$ ), fast-ion conductors, actinide-doped single crystals, stainless steels, rapid solidification and solidification microstructures; new scintillator and thermophosphor materials; photonic materials; new fiber optic materials; and new detector materials.

**179. PHYSICAL PROPERTIES OF SUPERCONDUCTORS**

D. K. Christen, R. Feenstra, H. R. Kerchner,  
C. E. Klabunde, J. R. Thompson  
(423) 574-6269 02-2 \$510,000

Physical properties of superconductors, particularly high- $T_c$  materials, in various thin-film, single-crystal, melt processed, magnetically aligned sintered, and composite forms. Configurations of thin films include epitaxial single-, multilayer, and superlattices. Irradiation of thin films and single crystals with energetic particles for the systematic introduction of flux pinning defect structures. Studies of flux pinning, defect arrays. Related investigations include fundamental superconducting properties such as upper and lower critical fields, magnetic penetration depths, and superconducting coherence lengths. Techniques and facilities include electrical transport by ac, dc, and pulsed current, with variable orientation of applied magnetic fields to 8T; dc magnetization using a SQUID-based instrument with 7-T capability; vibrating sample magnetometry to 9T; and ac susceptibility in superimposed dc fields to 5T.

**180. X-RAY RESEARCH USING SYNCHROTRON RADIATION**

G. E. Ice, E. D. Specht  
(423) 574-2744 02-2 \$427,000

Research focuses on the use of synchrotron radiation as a probe for the study of metal alloys, ceramics, and interfaces, emphasizing the ability to select a particular X-ray energy from the synchrotron radiation spectrum to highlight atomic arrangements of specific elements. Thus, the atomic arrangements among the various elements forming the materials can be unraveled and related to the materials' physical and chemical properties. The task includes operation of an X-ray beamline on the National Synchrotron Light Source at Brookhaven National Laboratory. Staff are also involved in the design and construction of two X-ray beamlines on the Advanced Photon Source. Important materials' problems under study include: (1) effects of short-range order among atoms on mechanical, chemical and magnetic behavior and on radiation swelling; (2) effects of atomic displacements, caused by bonding and size difference, on energetics of phase stability and materials properties; (3) studies of site substitution on alloying and other defects associated with nonstoichiometry in long-range ordered alloys which affect ductility, ordering temperature and phase stability, and (4) role of atomic-scale structure and chemistry of interfaces in controlling heteroepitaxy.

**181. SEMICONDUCTOR PHYSICS, THIN FILMS, AND PHOTOVOLTAIC MATERIALS**

D. H. Lowndes, G. Eres, D. B. Geohegan,  
G. E. Jellison, D. P. Norton  
(423) 574-6306 02-2 \$987,000

Fabrication of superconducting, semiconducting, and optical thin films by pulsed-laser ablation and epitaxial growth of modulated layered structures and superlattices; time-resolved measurements of pulsed-laser-generated plasmas using optical emission, absorption, ion probe, and gated photographic methods; time-resolved and scanning ellipsometric measurements; studies of semiconductor film-growth reactions and growth and defect formation mechanisms; pulsed-laser bonding of metals to ceramics; thermal and laser annealing of lattice damage in semiconductors; fabrication of solar cells by laser, thick-film, and thin-film techniques; effects of point defects and impurities on electrical and optical properties of elemental and compound semiconductors; current-voltage and resistance-temperature measurements of superconducting transitions and dissipation. Scanning tunneling microscopy, transmission electron microscopy, X-ray scattering, secondary ion mass spectrometry, and Rutherford ion backscattering measurements; dopant concentration profiles, deep-level transient spectroscopy, and solar cell

electrical characteristics; and spectral response absolute quantum efficiency measurements.

**182. BULK SHIELDING REACTOR SHUTDOWN**

R. L. Stover, R. D. Childs  
(423) 574-8544 02-2 \$507,000

Funds for surveillance, maintenance and shutdown of the BSR. Although the reactor core is defueled, there are 73 fuel assemblies stored in the reactor pool. Shutdown of the reactor requires removal of the fuel and other hazardous materials prior to transfer to the Environmental Restoration Program (ERP). Until transfer occurs, surveillance and maintenance are required to meet ES&H requirements, protect the fuel, and keep the facility and systems structurally sound.

**183. SMALL-ANGLE X-RAY SCATTERING**

G. D. Wignall, J. S. Lin, S. Spooner  
(423) 574-5237 02-2 \$197,000

Small-angle X-ray scattering of metals, metallic glasses, precipitates, alloys, ceramics, polymers, surfactants, fractal structures in polymers and oxide sols, domain structures in composites, dynamic deformation studies of polymers, time-slicing studies of phase transformation. Facilities are available to users at no charge for research published in the open literature or under contract for proprietary research.

**184. THEORY OF CONDENSED MATTER**

J. F. Cooke, R. S. Fisham, T. Kaplan, G. D. Mahan,  
M. E. Mostoller, M. T. Robinson, R. F. Wood,  
Z. Zhang  
(423) 574-5787 02-3 \$997,000

Theory of nonequilibrium solidification in semiconductors, lattice vibrations in metals and alloys, lattice dynamics and potential energy calculations of ionic crystals, computer simulation of radiation damage, sputtering, molecular dynamics and total energy studies of surfaces and interfaces, development of LEED theory and interpretation of LEED data, surface vibrations and relaxation, electronic structure of metal surfaces, magnetism in transition metals and local moment systems, neutron scattering at high energies, electronic properties of mixed-valent and heavy fermion systems, high-temperature superconductivity, diffusion and elastic vibrations of fractal systems, studies of thermoelectric and varistor-related phenomena, computer modeling of the laser ablation technique, ab initio calculations of the dynamic properties of metallic systems, and nonequilibrium growth phenomena.

**185. STRUCTURAL PROPERTIES OF MATERIALS - X-RAY DIFFRACTION**

B. C. Larson, J. D. Budal, J. Z. Tischler  
(423) 574-5506 02-4 \$400,000

Microstructure and properties of defects in solids, synchrotron X-ray scattering, time-resolved X-ray scattering, inelastic X-ray scattering, X-ray diffuse scattering, Mossbauer scattering spectroscopy, X-ray topography, ion irradiation induced defect clusters in metals, pulsed-laser-induced melting and crystal growth, defects associated with laser and thermal processing of pure and ion-implanted semiconductors, structure of pulsed-laser and MBE-grown semiconductor films, microstructural characterization of high-temperature superconducting films, calculation of diffuse scattering from dislocation loops and solute precipitates, phase transformations, theory of scattering of X-rays from defects in solids.

**186. ELECTRON MICROSCOPY OF MATERIALS**

S. J. Pennycook, M. F. Chisholm, D. E. Jesson,  
P. D. Nellist  
(423) 574-5504 02-4 \$666,000

Atomic resolution scanning transmission electron microscopy and electron energy loss spectroscopy; growth and relaxation phenomena in epitaxial thin films; interface structure/property relations in semiconductors and superconductors; morphological stability; molecular beam epitaxial growth; structure of catalysts; ion implantation; solid-phase recrystallization; segregation phenomena; theory of elastic, inelastic, and diffuse scattering of electrons from crystals and defects; Z-contrast image simulation.

**187. INVESTIGATIONS OF SUPERCONDUCTORS WITH HIGH CRITICAL TEMPERATURES**

D. K. Christen, J. D. Budal, H. R. Kerchner,  
S. J. Pennycook, B. C. Sales  
(423) 574-6269 02-5 \$502,000

Studies of superconducting materials with high transition temperatures. Synthesis, characterization, and analysis of thin films, thin-film heterostructures, new substrate materials, single crystals and melt-processed bulk materials, and high-current conductors and composite structures. Magnetic and electrical transport properties, microstructural characterization by electron microscopy. Collaborative research with scientists at IBM Watson Research Center, General Electric Research, AT&T Bell Laboratories, American Superconductor Corporation, Intermagnetics General Corporation, The University of Tennessee, and other U.S. universities.

**188. SURFACE MODIFICATION AND CHARACTERIZATION FACILITY AND RESEARCH CENTER**

D. B. Poker, J. M. Williams, S. P. Withrow  
(423) 576-8827 02-5 \$1,282,000

The SMAC Collaborative Research Center provides facilities for materials alteration and characterization in a UHV environment. Methods which can be used for alteration include ion implantation, ion beam mixing, and low-energy ion deposition using ions and energies that span the range from 30 eV to ~5 MeV. In situ characterization methods include Rutherford backscattering, ion channeling, low-energy nuclear reaction analysis, and surface analysis techniques. The facility supports research in the Ion Beam Analysis and Ion Implantation Program and research carried out by other ORNL divisions. These facilities are available to scientists from industrial laboratories, universities, other national laboratories, and foreign institutions for collaborative research projects.

**189. ION BEAM ANALYSIS AND ION IMPLANTATION**

C. W. White, T. E. Haynes, O. W. Holland,  
R. A. Zuhr  
(423) 574-6295 02-5 \$769,000

Studies of ion implantation damage and annealing in a variety of crystalline materials (semiconductors, metals, superconductors, insulators, etc.); formation of unique morphologies such as buried amorphous or insulating layers by high dose ion implantation; formation of nanocrystals in a wide variety of substrates by ion implantation the use of high-energy ion beams to reduce the temperature of various thermally activated processes such as damage removal, alloying, and phase transformations; formation of buried compounds, studies of dose and dose rate dependence of damage accumulation during irradiation, characterization of superconducting thin films; fundamental studies of ion beam mixing in metal/semiconductor, metal/metal, and metal/insulator systems; applications of ion beam mixing and ion implantation to corrosion/catalysis studies, to reduction of friction and wear of metal surfaces, to changes in mechanical and optical properties of ceramics and insulators, to the formation of nonlinear optical materials and to reduction of corrosive wear of surgical alloys; studies of ion channeling phenomena; direct ion beam deposition (IBD) of isotopically pure thin films, epitaxial layers, and layered structures on metal and semiconductor substrates using decelerated, mass-analyzed ion beams.

**190. SURFACE PHYSICS**

D. M. Zehner, A. P. Baddorf, A. K. Swan,  
J. F. Wendelken  
(423) 574-6291 02-5 \$888,000

Studies of crystallographic and electronic structure of clean and adsorbate-covered metallic, intermetallic compound, carbide, and semiconductor surfaces; combined techniques of low-energy electron diffraction (LEED), photoelectron spectroscopy (PES) using synchrotron radiation, scanning tunneling microscopy (STM), and computer simulations for surface crystallography studies with emphasis on surfaces which either reconstruct or have interplanar spacings different from those of the bulk, LEED, Auger Electron Spectroscopy (AES) and X-ray photoelectron spectroscopy (XPS) studies of both clean and adsorbate-covered surfaces; determination of effects of intrinsic and extrinsic surface defects on surface properties and surface and thin-film growth morphology using high-resolution LEED and STM; vibronic structure of surfaces and adsorbates examined by high-resolution electron energy loss spectroscopy (EELS); examination of surface electronic and geometric structures with respect to solid state aspects of heterogeneous catalysis.

**Materials Chemistry - 03 -**

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Fax: (423) 576-5235

**191. CHEMISTRY OF ADVANCED INORGANIC MATERIALS**

D. B. Beach, C. E. Bamberger, L. Maya,  
M. Paranthaman, C. E. Vallet  
(423) 574-5024 03-1 \$960,000

Synthesis of solid-state inorganic materials using non-traditional method of synthesis, including aerosol pyrolysis, sol-gel, reactive sputtering, and metal organic chemical vapor deposition (MOCVD). These methods overcome the limitations of solid-solid diffusion and thus produce materials at reduced time and temperature when compared to traditional solid-state preparations. Materials currently being synthesized include high dielectric constant lead lanthanum titanate insulators using sol-gel and MOCVD techniques. These materials have applications in semiconductor memory and decoupling capacitors. High- $T_c$  superconductors are synthesized using aerosol pyrolysis, sol-gel techniques and novel techniques for the incorporation of volatile elements such as thallium and mercury. In addition to superconductor synthesis, barrier layers for superconductors using sol-gel techniques, required for the commercial application of superconductors on polycrystalline metal substrates, are being

synthesized. Nanocomposites of metals in an insulating matrix are being synthesized using reactive sputtering. These materials have applications in electrooptics, capacitors, and for magnetic storage. Analytical techniques include atomic force microscopy (AFM), scanning tunneling microscopy (STM), Rutherford backscattering spectroscopy, (RBS), and a variety of electrical and magnetic measurement techniques.

**192. STRUCTURE AND DYNAMICS OF ADVANCED POLYMERIC MATERIALS**

B. K. Anns, A. Habenschuss, D. W. Noid,  
B. G. Sumpter, B. Wunderlich  
(423) 574-6018 03-2 \$885,000

Characterization of polymers and composites at the molecular level by small-angle and wide-angle neutron and X-ray scattering, thermal and mechanical analysis, atomic force microscopy, NMR spectroscopy, and statistical mechanical calculations. Structural relationships between crystalline, partially ordered, and amorphous regions. Simulation of polymer chain dynamics in large-scale molecular dynamics calculations. Improvement of the basic understanding of local molecular structure, the packing of chains in semicrystalline polymers, and the dynamics of materials ranging from oriented fibers to isotropic materials. Materials studied include high-performance crystalline fibers and composites, liquid crystalline, and plastic crystalline mesophases. Development of methods of predicting polymer properties resulting from various processing methods.

**193. NUCLEATION, GROWTH, AND TRANSPORT PHENOMENA IN HOMOGENEOUS PRECIPITATION**

M. T. Harris, O. A. Basaran, C. H. Byers  
(423) 574-1275 03-2 \$274,000

Fundamental laser light-scattering spectroscopic studies are conducted on and a theoretical framework is developed for liquid-phase homogeneous nucleation and growth of pure component and composite monodisperse metal oxide particles which are precursor materials in ultra fine processing for the production of a new generation of ceramic materials. The focus of the program entails investigation of metal alkoxide/metal salt reactions and reactants-solvent interactions (i.e., short-range bonding) which affect the characteristics of the particles formed. Methods and instrument development (including alternative methods for metal oxide powder synthesis, optical spectroscopic measurements, low angle-light scattering spectrometer design, flow through SAXS, dispersion stabilization, and NMR spectroscopy mathematical analysis) are important features of this research.

#### 194. THERMODYNAMICS AND KINETICS OF ENERGY-RELATED MATERIALS

T. B. Lindemer  
(423) 574-6850 03-2 \$579,000

The objective here is the determination and chemical thermodynamic modeling of nonstoichiometry, phase equilibria, and other thermochemical data for energy-related ceramic systems. Our new adaptation of solid-solution thermodynamics is used to represent the chemical thermodynamic interrelationship of temperature, oxygen partial pressure, and nonstoichiometry in oxide compounds having extensively variable oxygen-to-metal ratios. Presently, these interrelationships are being measured and modeled for superconducting oxides in the (Y, lanthanide) barium-copper-oxygen systems. These efforts are providing a heretofore unavailable description of these oxides.

#### 195. BLENDS OF MACROMOLECULES WITH NANOPHASE SEPARATION

G. D. Wignall, B. K. Annis, J. G. Curro (SNL/A),  
A. Habenschuss, K. S. Schweizer (Univ. of ILL)  
(423) 574-5237 03-2 \$375,000

Development of a scientific basis for the molecular design of polymer blends in order to optimize physical and end-use properties. Prediction of molten blend structure, miscibility, phase diagrams and other thermodynamic properties from integral equation theories. Testing of theoretical predictions by neutron and X-ray scattering. Focus on multicomponent polymer systems where mixing occurs on molecular length scales in contrast to conventional composites and filled polymers.

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#### Metallurgy and Ceramics - 01 -

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#### 196. MICROSTRUCTURAL MODIFICATION IN CERAMIC PROCESSING USING INORGANIC POLYMER DISPERSANTS

G. J. Exarhos, J. Liu, W. D. Samuels, L.-Q. Wang  
(509) 375-2440 01-1 \$299,000

Fundamental research focused on particle compaction phenomena in colloidal suspensions, molecular directed assembly of three-dimensional

ceramic networks, and synthesis of polymer-ceramic molecular composites. Control of interfacial interactions through modification to processing chemistry. Modeling studies undertaken to simulate the magnitude of these interactions and how they are perturbed through derivitization of surfactants, choice of solvent, and alteration to colloid surface sites. Characterization of molecular interactions between the respective phases by means of in situ magnetic resonance and vibrational spectroscopy measurements at all stages during processing. Evaluation of physical properties and microstructure derived from electron and atomic force microscopes in order to understand how processing routes alter materials properties. Research in this area supports the polymers thrust area within the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials.

#### 197. INTERFACIAL DYNAMICS DURING HETEROGENEOUS DEFORMATION

S. M. Bruemmer, C. H. (Wash. St.) Hamilton,  
C. H. Henager, Jr., J. S. Vetrano  
(509) 376-0636 01-2 \$483,000

The purpose of this research is to elucidate mechanisms controlling heterogeneous, interfacial deformation processes through a combination of high-resolution measurement and atomistic modeling techniques. Emphasis is placed on characterizing, modifying, and simulating dynamic events occurring at grain boundary and particle-matrix interfaces. Specific interfacial processes such as dislocation emission and accommodation, boundary migration, sliding, diffusion, solute segregation, and cavitation will be isolated and evaluated. Initial research focuses on the interfacial dynamics limiting the superplastic deformation of fine-grained metallic materials. Synergistic effects of stress, strain, strain rate, and temperature on grain boundary composition, dislocation activity, and properties are being examined in controlled purity alloys. Fundamental relationships and understanding will be established to give mechanistic insight into empirical continuum equations of interfacial deformation processes.

#### 198. FUNDAMENTAL STUDIES OF STRESS CORROSION AND CORROSION FATIGUE MECHANISMS

R. H. Jones, C. H. Henager Jr., E. P. Simonen,  
C. F. Windisch, Jr.  
(509) 376-4276 01-2 \$360,000

Investigations of the mechanisms controlling intergranular and transgranular stress corrosion and corrosion fatigue cracking of iron, iron-chromium nickel, nickel-based alloys, and ceramic matrix composites in gaseous and aqueous environments. Relationships between interfacial and grain boundary chemistry, hydrogen embrittlement, and

Intergranular stress corrosion cracking investigated with surface analytical tools, electrochemical polarization, straining electrode tests, subcritical crack growth tests, and crack-tip and fracture surface analysis. Modeling of the electrochemical conditions at the tip of a growing crack and evaluation of the electrochemical behavior of sulfur and phosphorus in the grain boundaries of nickel and iron. Differential, reversed dc potential drop analysis of stress corrosion initiation and cracking processes. Effect of surface chemistry on gas phase adsorption and aqueous corrosion using transient electrochemical analysis.

#### 199. CHEMISTRY AND PHYSICS OF CERAMIC SURFACES

B. C. Bunker, K. F. Ferris  
(509) 375-5969 01-3 \$425,000

Study of the chemistry and physics of specific crystalline oxide bonding configurations with an emphasis on the properties of defects. Colloid chemistry, surface science, and theoretical methods are coupled to generate a comprehensive understanding of oxide surface chemistry. Model surfaces of metal oxides are created by cleavage of single crystals. Hydration/solvation, ion adsorption, acid/base chemistry, and site stabilities/reconstruction of these model surfaces are investigated. Surfaces are characterized using electron and vibrational spectroscopies; electron diffraction; scanning tunneling microscopy; electron, photon, and thermal desorption methods; and microcalorimetry. Molecular modelling activities emphasize ab initio electronic structure and molecular dynamics approaches, and include the development of methodologies for large-scale assemblies.

#### 200. IRRADIATION-ASSISTED STRESS CORROSION CRACKING

S. M. Bruemmer, E. P. Simonen,  
G. S. Was (University of Michigan)  
(509) 376-0636 01-4 \$474,000

The mechanisms controlling irradiation-assisted stress corrosion cracking under neutron and charged-particle irradiation are evaluated through a combination of experiments and modeling. Research includes examination of radiation effects on grain boundary composition, matrix and interfacial deformation processes, crack-tip phenomena, and material electrochemical behavior. Radiation-induced grain boundary segregation is measured and modeled as a function of material and irradiation parameters. Specific grain boundary compositions and matrix microstructures are simulated by thermomechanical treatments, and their influence on corrosion and stress corrosion assessed by tests in low- and high-temperature aqueous environments. Crack-tip models are being evolved so that radiation effects

on local material microstructure, microchemistry, deformation and electrochemistry can be assessed in relation to crack propagation mechanisms.

#### 201. IRRADIATION EFFECTS IN CERAMICS

W. J. Weber, N. J. Hess  
(509) 375-2299 01-4 \$237,000

Multidisciplinary research on the production, nature, and accumulation of irradiation-induced defects, microstructures, and solid-state transformations in ceramics. Irradiations with neutrons, ions, and electrons to study point defect production and associated effects from both single displacement events and high-energy displacement cascades. Develop understanding of structural stability and irradiation-induced amorphization in ceramics. Computer simulations of defect production, stability, and migration. The investigations utilize X-ray and neutron diffraction, electron microscopy, EXAFS, laser spectroscopies, ion-beam techniques, and electrical property measurements to characterize the defects, microstructures, and transformations introduced by irradiation in simple and complex oxides, carbides, and nitrides. Work includes the development of techniques for in situ characterization during neutron and ion-beam irradiations.

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#### Solid State Physics - 02 -

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#### 202. THIN FILM OPTICAL MATERIALS

G. J. Exarhos, C. A. Coronado, K. F. Ferris,  
N. J. Hess  
(509) 375-2440 02-2 \$216,000

Integrated experimental and theoretical studies designed to understand how materials properties including residual stress, surface morphology and phase homogeneity correlate with the attendance linear and nonlinear optical response of dielectric films prepared using both vacuum and solution-based deposition methods. Issues addressed relate to phase stability, stress homogeneity, the resident microstructure which evolves during deposition, and the associated perturbation to film optical properties when subjected to variations in temperature, pressure, electric field or chemical environment. Finite element modeling approaches provide insight into structure/property relationships. Development of innovative deposition techniques for the manipulation of film microstructure in order to attain a specific optical response. Application of laser spectroscopic and ellipsometric methods for film characterization. This program supports the photovoltaics task are within the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials.

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**Materials Chemistry - 03 -**


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**203. CERAMIC COMPOSITE SYNTHESIS UTILIZING BIOLOGICAL PROCESSES**

P. C. Rieke, S. Baskaran, B. C. Bunker,  
A. A. Campbell, G. E. Fryxell, G. L. Graff,  
J. Liu, B. J. Tarasevich  
(509) 375-2833 03-1 \$546,000

Processing routes have been developed to make ceramic thin films or composites via controlled nucleation and growth from aqueous solutions onto functionalized interfaces. The techniques, called biomimetic processing, stimulate nucleation and growth on substrates by using functional groups that mimic the behavior of biomimetic proteins. This program has demonstrated that high-quality ceramic films can be grown on plastics and other materials at temperatures below 100°C. Conformal coatings with unique oriented and/or nanocrystalline microstructures can be produced. The current emphasis of the program is to establish mechanisms for the surface nucleation and growth processes controlling biomimetic depositions using studies on self-assembling monolayers, Langmuir-Blodgett films, and colloidal particles as substrates.

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**SANDIA NATIONAL LABORATORIES-NEW MEXICO**

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Albuquerque, NM 87185

George A. Samara - (505) 844-6653  
Fax: (505) 844-4045

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**Metallurgy and Ceramics - 01 -**


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**204. PHYSICS AND CHEMISTRY OF CERAMICS**

A. J. Hurd, R. A. Assink, C. J. Brinker,  
R. K. Brow, P. F. Green, P. R. Schunk,  
R. W. Schwarz, J. A. Voigt  
(505) 845-8629 01-2 \$967,000

The goal of our program is to understand the processes that link precursors to the properties of ceramics; our niche has evolved from a solid base in chemically derived ceramics, grounded in needs for the nuclear weapons stockpile, to the dynamics of glasses and the science of porous ceramics. We seek to measure and model the effects of processing on molecular structure, network topology, pore formation, crystallite growth and sintering. We use a battery of tools including NMR, small-angle neutron scattering, chemical imaging, inelastic neutron scattering, quasielastic light scattering, dynamic

mechanical analysis, dielectric relaxation, and imaging ellipsometry to establish structure from 1 Å to 10 m in solutions, films and monoliths. We exploit nonequilibrium kinetic processes that lead to disordered fractal structures. We also exploit concepts from polymer physics to provide a new perspective on both solution precursors and the dynamics of glasses.

**205. ATOMIC LEVEL SCIENCE OF INTERFACIAL ADHESION**

T. A. Michalske, A. Burns, P. J. Feibelman,  
J. E. Houston, R. C. Thomas  
(505) 844-5829 01-2 \$481,000

The goal of this program is to understand, in atomic detail, the nature of the physical and chemical interactions that bind solid surfaces together. This study includes atomic scale measurements of interfacial bonding forces, theoretical calculations of interfacial bonding, surface science measurements of interfacial bonding and structure, and macroscopic adhesion measurements that will be used to relate the results of fundamental theory and experiment to more conventional measures of adhesion. Key to our approach is the ability to make detailed measurements of interfacial force profiles on well controlled and characterized interfaces. These measurements provide a common point for investigations ranging from first principles theory to practical adhesion and provide fundamental insight into the factors controlling interfacial adhesion.

**206. WETTING AND FLOW OF LIQUID METALS AND AMORPHOUS CERAMICS AT SOLID INTERFACES**

N. D. Shinn, U. Landman (Georgia Tech.),  
T. A. Michalske  
(505) 844-5829 01-2 \$96,000

The objective of this program is to provide a scientific basis to understand the nanometer-scale structure, chemistry and flow properties of liquid metals and amorphous ceramics at solid interfaces. We will develop a fundamental understanding of the wetting and flow properties of interfacial liquids that combines: (1) new atomic scale methods for measuring the wetting and flow of liquids near well characterized interfaces, (2) theoretical simulations for liquid flow and stability, and (3) macroscopic wetting, spreading, and creep measurements that can be used to relate the results of fundamental experiment and theory to practical materials response. Key to our unique approach is the ability to make detailed measurements of the wetting and flow of atomically thin, well characterized liquid metal and amorphous ceramic interfacial layers. These measurements will provide a common point that will permit interactions extending from



atomic-level theory to practical wetting and flow measurements and will provide fundamental insight into the factors controlling the wetting and flow of thin liquid layers.

#### 207. ENERGETIC-PARTICLE SYNTHESIS AND SCIENCE OF MATERIALS

S. M. Myers, J. C. Barbour, M. T. Dugger,  
D. M. Follstaedt, J. A. Knapp, C. H. Seager,  
W. R. Wampler  
(505) 844-6076 01-3 \$775,000

Basic research is conducted on the interactions of ion, laser, electron, and plasma beams with metals, semiconductors and dielectric materials. The synthesis of new or novel metastable and equilibrium microstructures in solids with energetic ions, remote plasma sources and pulsed laser deposition is studied. Ion beams are used in conjunction with such techniques as TEM, X-ray scattering, IR spectroscopy, AES, capacitance-voltage analysis, DLTS, and mechanical testing to explore the properties of beam-synthesized materials and to illuminate a wide range of fundamental atomic processes in solids. Representative areas of research include ion-beam synthesis of nanostructures with novel chemical and electrical properties in semiconductors, ECR-plasma growth of superior new dielectrics, the formation by ion implantation, ECR plasmas and pulsed-laser deposition of new Al alloys with very high strengths, and fundamental studies of the interactions of H with semiconductors.

#### 208. ADVANCED GROWTH TECHNIQUES FOR IMPROVED SEMICONDUCTOR STRUCTURES

S. T. Picraux, E. Chason, J. A. Floro,  
B. Swartzentruber, J. Y. Tsao  
(505) 844-7681 01-3 \$340,000

Advanced growth techniques are studied for the synthesis of new and improved epitaxial semiconductor heterostructures. In situ diagnostics and new growth techniques are used in conjunction with molecular beam epitaxy (MBE) to grow new semiconductor structures. By combining energetic beams with MBE, new approaches to controlling the growth process as well as new understanding of the defect-mediated mechanisms controlling growth are developed. Studies concentrate on Ge and Si, as well as layered III-V compounds and SiGeC strained layer structures. A primary purpose of this research is to provide new understanding of fundamental epitaxial growth mechanisms and new methods and diagnostics for the growth of improved epitaxial layered structures. Advanced in situ techniques yield surface structure, composition and chemical reactivity information and correlation with growth parameters. Theoretical studies model the growth processes and address growth mechanisms in order to interpret and guide the experimental studies.

#### 209. ARTIFICIALLY STRUCTURED SEMICONDUCTORS

P. L. Gourley, E. D. Jones, S. K. Lyo, J. S. Nelson,  
M. B. Sinclair  
(505) 844-5806 01-5 \$370,000

Study and application of compound semiconductor heterostructures, quantum wells and surface-structured materials to explore solutions to new and existing semiconductor materials problems. The program coordinates semiconductor physics and materials science to produce new semiconductor materials with useful electronic properties not available in bulk compound semiconductor crystals. This program investigates fundamental material properties including band structure, electronic transport, crystal stability, optical transitions, and nonlinear optical properties. Both theoretical and experimental understanding are emphasized. The materials under study have a wide range of applications for high speed switching, photovoltaics, optical detectors, lasers, and efficient high generators.

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#### Solid State Physics - 02 -

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#### 210. TAILORED SURFACES AND INTERFACES FOR MATERIALS APPLICATIONS

G. L. Kellogg, P. J. Feibelman, T. M. Mayer,  
N. D. Shinn, B. Swartzentruber  
(505) 844-2079 02-2 \$562,000

The overall goal of this program is to identify and understand the microscopic mechanisms that control the growth of thin surface films and use this knowledge to develop predictive models for materials synthesis. Atomic-scale processes involving adatoms, vacancies, steps, and impurities play a key role in how a crystal or epitaxial film grows. We are conducting experimental and modeling studies to address the fundamental interactions associated with these defects. Our current emphasis is on the initial stages of nucleation and cluster formation in the epitaxial growth of single-component, mixed-component, and compositionally modulated overlayers and on establishing those factors which control the growth, the electronic structure, and the chemical properties of the resulting surfaces and interfaces. Thin surface films and engineered interfacial structures are currently used to tailor the properties of materials for improved mechanical performance, chemical reactivity, corrosion resistance, and the fabrication of novel magnetic and electronic devices.

## 211. PHYSICS AND CHEMISTRY OF NOVEL SUPERCONDUCTORS

E. L. Venturini, T. L. Aselage, N. Missert,  
B. Morosin, J. E. Schirber, M. P. Siegal  
(505) 844-7055 02-2 \$539,000

The fundamental physical properties of the cuprate based high-temperature superconductors with emphasis on the thallium system. Directed toward understanding the detailed electronic band structure hole doping, vortex motion and pinning, and carrier transport in these materials, especially as they pertain to understanding metal-insulator transitions, superconductivity, and the role of oxygen stoichiometry in determining physical properties. Some effort is also devoted to collaborative studies of organic superconductors. Unique and specialized instrumental capabilities including conductivity, magnetization, ESR, magnetotransport, de Haas van Alphen, thermopower and tunneling. Experiments at temperatures as low as 0.05 K, magnetic fields up to 120 kOe and hydrostatic pressure to 10 kbar in various combinations. An active in-house synthesis program; unique processing capabilities including high pressure, high-temperature oxygen.

## 212. BORON-RICH SOLIDS

D. Emin, T. L. Aselage, G. A. Samara, D. R. Tallant  
(505) 844-3431 02-5 \$490,000

This program investigates the unusual properties of boron-rich solids that result from boron atoms' capacity to bond in an unusual manner, assesses the potential of borides for applications that exploit their unusual properties, and applies the insights learned from studying borides in understanding other sides. These investigations have primarily focused on semiconducting borides (e.g., boron-carbides and elemental boron), wide-gap boron-rich pnictides (e.g.,  $B_{12}P_2$ ) and superconducting diborides (e.g.,  $NbB_2$ ). Samples are synthesized with a variety of techniques, and measurements are made over a very wide range of temperatures (0.1 K to 1200 K). The structural, electronic, transport (conductivity, Seebeck coefficient, Hall effect), magnetic, dielectric, optical, acoustic, vibrational and thermal properties are being studied. The potential for use of borides as efficient high-temperature thermoelectrics, as solid-state neutron detectors, and as novel energy-conversion devices are all being assessed. The results of these studies continue to be applied to understanding bipolaron formation and motion in oxides and polymers.

## Materials Chemistry - 03 -

## 213. CHEMICAL VAPOR DEPOSITION SCIENCES

E. Esherick, M. E. Bartram, W. G. Brelland,  
M. E. Coltrin, J. R. Creighton, P. Ho, R. J. Kee,  
K. P. Killeen, H. K. Moffat, J. E. Parmeter  
(505) 844-5857 03-3 \$651,000

Studies of important vapor-phase and surface reactions during CVD deposition under conditions used to fabricate photovoltaic cells, wear- and corrosion-resistant coatings, and semiconductor devices. Measurements of major and minor species densities, gas temperatures, fluid flows, and gas-phase particulate distributions using laser Raman and Mie scattering and laser induced fluorescence. Development of predictive numerical models that include chemical kinetics and fluid dynamics. Application of a wide array of laser-based measurement capabilities to the study of vapor phase and surface reactions of these processing techniques and application of surface measurement techniques to study the product materials.

## 214. SYNTHESIS AND PROCESSING OF NANOCLUSTERS FOR ENERGY APPLICATIONS

J. P. Wilcoxon, J. E. Martin, J. Melenkewitz,  
T. Thurston  
(505) 844-3939 03-3 \$232,000

The work exploits a unique micellar synthesis method to create new size-controlled metal and semiconductor nanoclusters and investigate those physical properties germane to energy applications. The most promising applications are in catalysis and photocatalysis, so emphasis is on materials for these applications. Initially, metal clusters from base metals are being examined as candidates for replacing precious transition metals for coal liquefaction and other reactions. The catalytic activity of these clusters will be evaluated in model hydrogenation reactions in collaboration with DOE technology programs on coal. The work next investigates the use of semiconductor nanoclusters to efficiently create electron-hole pairs for photocatalysis and then bind reducing and oxidizing nanoclusters to the semiconductors to create cluster assemblies that can convert sunlight to chemical fuels.

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**Metallurgy and Ceramics - 01 -**

William Wolfer - (510) 294-2307  
Fax: (510) 294-3231

**215. SURFACE, INTERFACE, AND BULK PROPERTIES OF  
ADVANCED CERAMICS**

W. G. Wolfer, K. F. McCarty  
(510) 294-2307 01-1 \$250,000

The major focus of this project is the synthesis and characterization of novel, thin-film structures of ultrahard and wide-bandgap ceramics. We emphasize ion-assisted deposition, the technique of pulsed laser deposition, and the use of In-situ diagnostics during film growth. The project focuses on nitride ceramics, including the boron nitride system. We strive for a fundamental understanding and quantitative models of ion-assisted film growth, including the microscopic mechanisms controlling selective formation of, for example, (diamond-like) cubic boron nitride over the stable (graphite-like) phase. Multilayer ceramic structures will be synthesized and characterized with the goal being engineered mechanical and electronic properties. We study the microstructure, phonon structure, and electronic defect structure of the thin films using Raman spectroscopy, infrared spectroscopy, photoluminescence, and transmission electron microscopy. Mechanical-property measurements are performed to evaluate both fundamental properties and technological viability.

**216. DEFECTS AND IMPURITIES IN  
SOLIDS/COMPUTATIONAL MATERIALS  
SCIENCE/VISITING SCIENTIST PROGRAM**

W. G. Wolfer, M. Asta, N. C. Bartelt, S. M. Folles,  
J. C. Hamilton, D. A. Hughes, R. Q. Hwang,  
D. L. Medlin, A. A. Quong, A. K. Schmid  
(510) 294-2307 01-2 \$1,165,000

The overall objective of the three programs is to enhance our understanding, in quantitative terms, of defects in solid materials. This understanding is to span length scales from the atomic to the microstructural, and is to relate to macroscopic properties and the performance of materials in various applications. The quantitative aspects of this understanding are to be captured both in

mathematical relationships and in computational methods and tools suitable for wide applications in materials science and technology. Furthermore, the methods and tools are to compliment each other so that all length as well as time scales (from the period of atomic vibrations to the lifetime of an engineering component) can be joined and covered. The approach is to support both experimental and theoretical research on the same, or closely related, topics in an environment that induces communication and close collaboration between experimentalists and theoreticians. The Visiting Scientist Program facilitates collaborations with researchers at other institutions. Presently, the experimental program "Defects and Impurities in Solids" focuses on STM, AFM, and LEED investigations of multi-elemental surface layers, films and coatings; on HRTEM studies of the structure of dislocation cores, grain boundaries, and interfaces; and on the dislocation cell structure in plastically deformed metals. The major activities in the "Computational Materials Science" program include the following thrusts: electronic structure methods including a LDA/pseudopotential code with a mixed-basis set and a linear response code to compute the dynamical properties; atomistic simulation methods based on an order-N tight binding method as well as empirical potentials; statistical analysis of ordering based on the cluster variational method; and studies of kinetics based on dynamic Monte Carlo schemes and mean-field rate theories. Each development is carried out in the context of one or more specific applications in materials science and technology.

**217. ALLOY THEORY**

D. D. Johnson, J. D. Althoff, F. J. Pinski  
(Univ. of Cinn.)  
(510) 294-2751 01-3 \$473,000

A "first-principle" theory for alloys is developed in which electronic, size, charge-transfer, and magnetic effects (which are responsible for the effective interactions between the alloy constituents) play an essential role in determining the phase diagrams and the ordering tendencies in disordered alloys. Correlation functions for compositional and magnetic short-range ordering are derived from the theory and utilized to interpret experimental results from diffuse X-ray and neutron scattering experiments, and to further plan and guide such experiments. The combined theoretical and experimental efforts elucidate the underlying electronic forces for intermetallic interactions and their influence on the thermodynamics of alloys including ordering in multicomponent alloys, such as ternaries. Finally, the theory will be used to explore and discover new metal alloys, and the electronic origin for their ordering properties and for their ordered phases.

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**Solid State Physics - 02 -**

R. Stulen - (510) 294-2307  
 Fax: (510) 294-3231

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**218. MATERIALS CHARACTERIZATION USING ULTRAFAST OPTICAL TECHNIQUES**

W. G. Wolfer, R. J. Anderson  
 (510) 294-2307 02-2 \$188,000

Develop, evaluate, and apply advanced, nonperturbing diagnostic techniques for studying the structure and dynamics of advanced materials. The scope includes studies of bulk, interface, and surface properties using spectroscopic techniques. We emphasize the use of these techniques to characterize electronic structure, ultrafast dynamics, and the chemistry of surfaces and interfaces formed during thin film growth. The approach includes the use of 1) ultrashort laser pulses, extending to the femtosecond regime, to examine excited state dynamics, 2) photoluminescence spectroscopy to probe electronic structure and defects of bulk materials and thin films, and 3) impulsively stimulated scattering to study mechanical properties and thermal conductivity of thin films. Materials under investigation include semiconductors, nonlinear optical materials, and large bandgap systems, and their interfaces with metals.

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**STANFORD SYNCHROTRON RADIATION LABORATORY**

Stanford University  
 Stanford, CA 94309-0210

Arthur I. Bienenstock - (415) 926-3153  
 Fax: (415) 926-4100

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**Facility Operations - 04 -**

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**219. RESEARCH AND DEVELOPMENT OF SYNCHROTRON RADIATION FACILITIES**

A. I. Bienenstock, H. Winick  
 (415) 926-3153 04-1 \$3,525,000

Support of materials research utilizing synchrotron radiation, as well as operations and development of the Stanford Synchrotron Radiation Laboratory (SSRL). Development and utilization of new methods for determining atomic arrangement in amorphous materials, and on surfaces, time-resolved studies of thin film growth, studies of highly perfect semiconductor crystals using X-ray topography, analysis of ultra-trace contamination on silicon wafer surfaces, photoemission studies of superconductors and semiconductor interfaces (e.g., heterojunctions and Schottky barriers), photoemission studies of highly correlated materials including magnetic systems, metal surfaces (especially catalytic reactions on surfaces) and development of techniques such as surface EXAFS, photoelectron diffraction, and interface studies using core level spectroscopy. Photoelectron and X-ray absorption spectroscopic studies of catalysts. R&D related to, and improvement of, accelerators and insertion devices for synchrotron radiation production including shorter wavelength free electron lasers. Development of Laue diffraction for time-resolved protein crystallography. Development of X-ray absorption spectroscopy methods to study the speciation of environmentally important materials and contaminants. Research in utilization of X-ray absorption edges to determine electronic structure of metal complexes.

## **SECTION B**

### Grant Research (Primarily Universities)

The information in this Section was prepared by the DOE project monitors of the Division of Materials Sciences. There is considerable turnover in the Grant Research program, and some of the projects will not be continued beyond the current period.

**ALFRED UNIVERSITY**

2 Pine Street  
Alfred, NY 14802

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**220. STRUCTURE, STOICHIOMETRY AND STABILITY IN  
MAGNETOPLUMBITE AND  $\alpha$ -ALUMINA TYPE  
CERAMICS**

A. N. Cormack, Department of Ceramic Science  
and Engineering  
(607) 871-2422 01-1 \$57,370

Atomistic simulation of defect structures and energies for defect clusters in mirror planes of magnetoplumbite and beta-alumina structures; defect cluster interaction. Born model with Buckingham potential and shell model treatment of atomic polarizations; atomic relaxation treated by Mott-Littleton approximation. Barium, strontium, calcium and magnesium magnetoplumbite structure, site-preferences for Mg; stability of alkaline earth -aluminas; computation of nonconfigurational entropy, thermal stability of  $\text{SrAl}_{12}\text{O}_{19}$ , origin of instability of barium magnetoplumbite.

**ARIZONA STATE UNIVERSITY**

Tempe, AZ 85287

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**221. POLYMER-IN-SALT ("IONIC RUBBER") FAST ION  
ELECTROLYTES AND RELATED MATERIALS**

C. A. Angell, Department of Chemistry  
(602) 965-7217 01-1 \$91,738

Investigation of polymer-in-salt compounds for use as solid electrolytes in high energy density batteries. A variety of ambient temperature molten salts, rubberized by the addition of polymers will be examined in order to establish materials with a single ion conductivity high enough to serve as a battery electrolyte and with a sufficiently low glass transition temperature that the material remains amorphous. The work will require improvements in both the salt constitution and polymer type; modifications of the materials with solid particulates; materials having a polymer matrix forming network that supports an ionic phase; and the necessary physical measurements to characterize these materials.

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**222. HIGH RESOLUTION INTERFACE NANO-CHEMISTRY  
AND STRUCTURE**

R. W. Carpenter, Center for Solid State Science  
(602) 965-4549

S. H. Lin, Department of Chemistry  
(602) 965-3715 01-1 \$127,578

High spatial resolution analytical electron microscopy investigation of compositional gradients and solute segregation at interfaces and grain boundaries in ceramic/ceramic and ceramic/metal systems. Relationships between chemical and structural width of interfaces and boundaries studied as functions of material system and temperature. Theoretical analysis of interfaces and boundaries using quantum molecular dynamic computational methods.

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**223. DE-ALLOYING AND STRESS-CORROSION  
CRACKING**

K. Sieradzki, Department of Mechanical  
and Aerospace Engineering  
(602) 965-3291 01-5 \$69,725

Two major areas of focus are: (1) alloy corrosion and the roughening transition and (2) the role of selective dissolution in the stress corrosion cracking of alloy systems. Alloy corrosion processes are studied on Ag-Au and Cu-Au using electrochemical techniques, in-situ scanning tunneling microscopy (STM), and X-ray scattering and reflectivity. The STM and X-ray work address the roughening transition known to occur in alloy systems undergoing corrosion at electrochemical potentials greater than the "critical potential." Molecular dynamic and Monte Carlo simulation techniques are being used to examine various aspects to the roughening transition.

**UNIVERSITY OF ARIZONA**

Tucson, AZ 85721

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**224. EARLY STAGES OF NUCLEATION**

M.C. Weinberg, Department of Materials Science  
and Engineering  
(602) 621-6909 01-1 \$104,655

Nucleation of glass-in-glass phase separation. Electron microscopy, Raman spectroscopy, and small angle X-ray scattering techniques will be used to study the nucleation rates and compare them with the predictions of Classical Nucleation Theory.

**225. ARTIFICIALLY STRUCTURED MAGNETIC MATERIALS**

C. M. Falco, Department of Physics  
(602) 621-6771

B. N. Engel, Department of Physics  
(602) 621-6771 02-2 \$90,000

Emphasis on the measurement of magnetic properties of well characterized, artificially structured, metallic monolayers, multilayers and superlattices, with a major thrust being a study of those systems where experimental data will contribute to an understanding of interface magnetic anisotropy. Fabrication of experimental samples by molecular beam epitaxy (MBE) and multi-target sputtering. Sample characterization by use of X-ray diffraction, reflected high-energy and low-energy electron diffraction (RHEED and LEED), scanning tunneling and atomic force microscopy (STM and AFM), Rutherford backscattering (RBS), scanning and transmission electron microscopy (SEM and TEM), and X-ray photoelectron spectroscopy (XPS). Determination of magnetic properties by surface magneto-optic Kerr effect (SMOKE), variable-temperature vibrating sample magnetometry (VSM), Brillouin light scattering, neutron scattering, and synchrotron photoemission studies. Efforts in developing artificially structured magnetic materials with improved properties.

**BOSTON UNIVERSITY**

590 Commonwealth Avenue  
Boston, MA 02215

**226. INVESTIGATION OF THE STRUCTURE AND DYNAMICAL TRENDS IN THE GROWTH OF TRANSITION METAL OVERLAYERS AND SURFACE MAGNETIC STRUCTURE OF INSULATORS BY HE BEAM SCATTERING SPECTROSCOPIES**

M. M. El-Batanouny, Department of Physics  
(617) 353-4721 02-4 \$97,800

Use of scattered spin-polarized metastable He( $2^3S$ ) atoms from surfaces both elastically and inelastically, to study the structural, dynamic and magnetic trends of the 3D transition metal overlayers-Cu, Au, Ag and Cr on Pd(111) and Pd(110) substrates; and Pd and Cu on Nb(110) substrate. Magnetic properties will be studied in the newly constructed Spin-Polarized Metastable He (SMPH) facility. Spin-ordering in NiO, MnO and CoO will be investigated. Large-scale canonical molecular dynamics simulations combining a hybrid Nose-Hoover thermostat and Anderson's constant pressure algorithms will parallel the experiments.

**BRANDEIS UNIVERSITY**

Waltham, MA 02254

**227. ORDERING IN CRYSTALLINE AND QUASICRYSTALLINE ALLOYS: AN ATOMISTIC APPROACH**

B. Chakraborty, Department of Physics  
(617) 736-2835 01-1 \$78,500

Theoretical effort based on Effective Medium Theory (EMT). Study of phase stability and kinetics of ordering in crystalline and quasicrystalline alloys. Comparison with the KKR-CPA approach. Applied to Cu-Au alloys and intermetallics exhibiting quasicrystalline order.

**228. ELECTRIC FIELD-INDUCED INTERACTIONS IN COLLOIDAL SUSPENSIONS AND THE STRUCTURE OF ELECTORRHEOLOGICAL FLUIDS**

S. Fraden, Department of Physics  
(617) 736-2835 01-3 \$101,008

Electric field-induced interactions between colloidal particles and structure of electro-rheological fluids, spatial organization of colloids in external electric fields and shear flows. Neutral colloids in insulating solvents, silica spheres in organic solvents such as chloroform; charged colloids in conducting solvents, polystyrene spheres in aqueous suspensions. Colloidal interaction and structure in electric field and no shear flow; effects of field strength and frequency; field-induced interparticle potential; test of model of electro-hydrodynamic stability; liquid-to-crystal phase transitions as function field strength and particle concentration; electric field-induced ordering of concentrated colloidal suspensions. Structure of colloids in shear flow in absence of electric fields; simultaneous direct visualization and light scattering. Structure of colloids in combined shear flow and electric fields.

**BROWN UNIVERSITY**

Providence, RI 02912

**229. IN SITU TRANSMISSION ELECTRON MICROSCOPY INVESTIGATION OF SINTERING AND RELATED PHENOMENA IN CERAMIC PARTICLES**

J. Rankin, Department of Engineering  
(401) 863-2637 01-1 \$112,010

In-situ TEM study of sintering and related phenomena in ceramic oxides. Sintering of nanosized single-crystal cubes of MgO for the determination of neck stability and coalescence criteria. Sintering of constrained and unconstrained systems for the study of particle reorientation during heating. Chemical

reactions during sintering and the role of chemical modification for the suppression of grain growth. In-situ observations of the growth of atoms or clusters on ceramic surfaces.

**230. SURFACES AND THIN FILMS STUDIED BY PICOSECOND ULTRASONICS**

H. J. Maris, Department of Physics  
(401) 863-2185

J. Tauc, Division of Engineering  
and Department of Physics  
(401) 863-2318 02-2 \$144,000

Investigation of thin films, interfaces, coatings and other surface layers by the use of very high frequency (10-500 GHz) sound; studies of nanostructures and liquids are also included. Production of the ultrasound by laser excitation with pulses of less than one picosecond duration. Fundamental studies of electron-phonon interactions, the propagation of sound across interfaces, and aspects of sound damping in materials. Development of non-destructive testing techniques for the mechanical properties of films, interfaces, and fibers.

**UNIVERSITY OF CALIFORNIA AT IRVINE**  
Irvine, CA 92717

**231. MECHANISMS OF HIGH TEMPERATURE CRACK GROWTH UNDER MIXED-MODE LOADING CONDITIONS**

J. C. Earthman, Department of Mechanical  
and Aerospace Engineering  
(714) 856-5018

F. A. Mohamed, Department of Mechanical  
Engineering  
(714) 856-5807 01-2 \$82,704

Mechanisms of high temperature crack growth under different multiaxial stress states in 304 SS and TiAl. Examination of cavity density, cavity distribution, cavitating grain boundary facet size and orientation, and rupture surface topography for three states of stress. Evaluation of effect of multiaxial stresses on the role of intergranular particles. Analysis of crack tip stress states using finite element techniques.

**232. THEORETICAL STUDIES OF ELECTRON SCATTERING SPECTROSCOPIES OF MAGNETIC SURFACES AND ULTRA THIN FILMS**

D. L. Mills, Department of Physics  
(714) 856-5148 02-3 \$104,000

Theory of the inelastic scattering of electrons, ions, and neutral atoms from elementary excitations at surfaces, and the development of theoretical descriptions of these excitations. Emphasis on electron energy loss from surface phonons at both clean and adsorbate-covered surfaces. Studies of spin-flip scattering of low energy electrons from magnetic excitations at surfaces, and excitation of surface phonons by helium atoms. Strong emphasis on the quantitative comparison between the results of this program and experimental data. Tightly coupled effort between Professor Mills and Professor Tong at the University of Wisconsin at Milwaukee.

**UNIVERSITY OF CALIFORNIA AT LOS ANGELES**  
5732 Boelter Hall  
Los Angeles, CA 90024

**233. FRACTURE TOUGHNESS OF ORDERED INTERMETALLIC COMPOUNDS EXHIBITING LIMITED DUCTILITY AND MECHANICAL PROPERTIES OF ION-IRRADIATED POLYCRYSTALLINE NiAl**

A. J. Ardell, Department of Materials Science  
and Engineering  
(310) 825-7011 01-4 \$60,000

Correlation between mechanical behavior and microstructure of ion irradiated intermetallic compounds. Specimens tested by miniaturized disk-bend test (MDBT) apparatus. Hardness and modulus measured as functions of irradiation dose. Effect of irradiation-induced disordering on grain boundary cohesive strength and cleavage. Development of a miniaturized disk-bend fatigue apparatus.

**234. UNIVERSAL RELATION BETWEEN LONGITUDINAL AND TRANSVERSE CONDUCTIVITIES AND VARIATIONAL ANALYSIS OF WIGNER CRYSTAL STATES IN QUANTUM HALL EFFECT**

S. Feng, Physics Department  
(310) 825-8530 02-3 \$57,000

The relation of the Hall conductance  $\sigma_{xy}$  and the longitudinal conductance  $\sigma_{xx}$  in the inter-plateau region will be investigated. The usual assumption that all dynamic effects occur at the edges of the material will be checked by using a model that incorporates electron-electron interactions. The importance of residual effects in the bulk of the material will be investigated.



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**UNIVERSITY OF CALIFORNIA AT SAN DIEGO**  
La Jolla, CA 92093-0319

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**235. GROWTH INDUCED MAGNETIC ANISOTROPY IN AMORPHOUS THIN FILMS**

F. Hellman, Department of Physics  
(619) 534-5533 02-2 \$85,000

The relationships among macroscopic magnetic anisotropy, structural anisotropy and the vapor deposition growth process in amorphous thin films of rare earth transition metal alloys are being examined. Experimental observations are compared to models describing the effects of growth parameters on film properties. Magnetic, thermodynamic and structural measurements are used to determine magnetic phase diagrams and to test theoretical predictions for random magnetic materials in the presence of controlled macroscopic anisotropy.

**236. SUPERCONDUCTIVITY AND MAGNETISM IN D- AND F-ELECTRON MATERIALS**

M. B. Maple, Department of Physics  
(619) 534-3968 02-2 \$300,000

Research on superconductivity, magnetism, and the mutual interaction of these two phenomena in d- and f-electron materials will be carried out. The emphasis of the research will be on: 1) high  $T_c$  copper oxide superconductors and other novel superconducting materials such as the recently discovered lanthanide-transition metal-boride-carbide superconductors, and 2) investigation of the anisotropic normal and superconducting state properties of these materials as a function of doping, oxygen vacancy concentration, pressure and magnetic field. The goals are to elucidate the type of electron pairing involved in the superconductivity, to characterize the properties important to technological applications, and to explore new methods of fabricating high  $T_c$  superconducting composites.

**237. PREPARATION AND CHARACTERIZATION OF SUPERLATTICES**

I. K. Schuller, Department of Physics  
(619) 534-2540 02-2 \$90,000

Preparation and characterization of superlattices that have atomic constituents which do not usually form solid solutions. Search for new superlattices; studies of relationships between epitaxial and superlattice growth; comparison of samples prepared by sputtering and thermal evaporation. Preparation of some samples by molecular beam epitaxy (MBE). Characterization of superlattice samples by X-ray diffraction, scanning tunneling microscopy, electron microscopy, and in situ

relatively high energy electron diffraction (RHEED). Other properties, such as transport, magnetic, optical, and superconducting, are measured in collaboration with other investigators. Some of the specific superlattices studied are Pb/Ge, Fe/Cr, Ni/NiO, Co/CoO, FeMn/FeNi alloys, and some transition metal/rare earth systems.

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**UNIVERSITY OF CALIFORNIA AT SANTA BARBARA**

Santa Barbara, CA 93106

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**238. THE INTER-RELATIONSHIP BETWEEN GRAIN BOUNDARY PROPERTIES AND THE POLYCRYSTALLINE PROPERTIES OF ZINC OXIDE BASED ELECTRONIC CERAMICS**

D. R. Clarke, Materials Department  
(805) 893-8275 01-1 \$61,970

Relationships between properties of individual grain boundaries and macroscopic properties of polyphase, polycrystalline materials. Measurement of electrical properties and plastic deformation of grain boundaries in bicrystals as a function of bicrystallography determined by electron channeling and high resolution transmission electron microscopy. Results will be compared to those obtained from polycrystalline thin films and compared to simulation results.

**239. THEORIES OF PATTERN FORMATION AND NONEQUILIBRIUM PHENOMENA IN MATERIALS**

J. S. Langer, Department of Physics  
(805) 893-2280 02-3 \$93,000

Theoretical investigations of phenomena that occur in systems far from thermodynamic or mechanical equilibrium. Dendritic solidification with emphasis on the prediction of microstructural pattern formation in alloys. Statistical theories of nonequilibrium phenomena in complex systems. Dynamics of systems driven persistently toward the threshold of instability.

**240. NUMERICAL SIMULATION OF QUANTUM MANY-BODY SYSTEMS**

D. J. Scalapino, Department of Physics  
(805) 893-2871

R. J. Sugar, Department of Physics  
(805) 893-3469 02-3 \$87,300

Development of stochastic numerical techniques for simulating many-body systems containing particles that obey Fermi statistics, and application of these techniques to problems of strongly interacting fermions. One-dimensional and quasi-one-dimensional systems, arrays of these and

extensions to higher dimensions. Investigations with various electron-phonon interactions to further the fundamental understanding of conducting polymers, spin glasses, pseudo-random spin systems such as CeNIF. Non-phonon pairing models (e.g., excitonic, and frequency dependent transport to test the validity of theoretical approximations. Investigations of many-fermion systems in two and higher dimensions.

#### 241. MOLECULAR PROPERTIES OF THIN ORGANIC INTERFACIAL FILMS

J. Israelachvili, Department of Chemical and Nuclear Engineering  
(805) 893-3412 03-1 \$180,000

Fundamental measurements of structural, adhesive and tribological properties of thin organic films on solid surfaces. Film deposition by Langmuir-Blodgett method. Measurements emphasize the use of a Surface Forces Apparatus (SFA) for measuring directly the forces acting between solid surfaces as a function of separation with a distance resolution of 0.1nm. Adhesion and surface energy of metals coated with surfactant and polymer films are measured by SFA in both gaseous and liquid environments. New measurements of dynamic forces acting on two laterally moving surfaces, recording the normal (compressive) and tangential (frictional) forces while simultaneously monitoring the plastic deformation.

#### 242. INTERFACIAL PROPERTIES OF HYDROSOLUBLE POLYMERS

P. A. Pincus, Materials Department  
(805) 893-4685 03-2 \$90,000

Theoretical research on the interaction of polymers with surfaces. Effects of long rearrangement times leading to quasi-irreversibility and hysteresis in the force between polymer clad surfaces. Polymer adsorbed on fluid-fluid interfaces. Dispersion stability of suspended colloids with adsorbed polymers. Interaction of charged polymers with surfaces, where Coulombic forces are central to the interactions which control the physical behavior.

### UNIVERSITY OF CALIFORNIA AT SANTA CRUZ Santa Cruz, CA 95064

#### 243. STATICS AND DYNAMICS IN SYSTEMS WITH FRUSTRATION AND/OR RANDOMNESS

D. Belanger, Department of Physics  
(408) 459-2871 02-1 \$88,000

Neutron scattering, light scattering and pulsed specific heat techniques are applied to magnetic systems with frustrated interactions, random

interactions, or both. Systems being investigated include dilute antiferromagnets such as  $\text{Fe}_2\text{Zn}_{1-x}\text{F}_2$ , which exhibits random-exchange behavior in zero applied field and random-field behavior in an applied field, and structural systems such as  $\text{Dy}(\text{As}_x\text{V}_{1-x})\text{O}_4$ . Thin epitaxial films are being examined; magnetic x-ray scattering in thin films is being investigated.

### CALIFORNIA INSTITUTE OF TECHNOLOGY Pasadena, CA 91125

#### 244. VIBRATIONAL ENTROPY OF ALLOY PHASES

B. T. Fultz, Materials Science, 138-78  
(818) 395-2170 01-1 \$50,000

Study of kinetic of disorder  $\rightarrow$  order transformations in rapidly quenched alloys. Alloys studied include  $\text{Fe}_3\text{Al}$ ,  $\text{Fe}_3\text{Si}$ , and  $\text{Ni}_3\text{Al}$ . Measurement of long-range order (LRO) by X-ray diffractometry, and short-range order (SRO) by  $^{57}\text{Fe}$  Mossbauer spectrometry and extended electron energy loss fine structure (EXELFS) spectrometry. Kinetic path of ordering obtained through the two-dimensional space spanned by the SRO and LRO parameters. Measurement of difference in vibrational entropy of disordered and ordered alloys by low temperature calorimetry and temperature-dependent EXELFS spectrometry.

#### 245. METASTABLE ALLOY STRUCTURES AND PROPERTIES

W. L. Johnson, Department of Material Science  
(818) 395-4433 01-1 \$406,105

Development of alloy compositions which favor formation of bulk metallic glasses, and the design and construction of new equipment for the production of high quality bulk metallic glass samples. Thermodynamic and kinetic studies of these new materials using a combination of various processing methods (levitation melting, etc.) together with calorimetry studies and microstructural analysis. Work focuses on the thermodynamic functions such as heat capacity and on studies on atomic diffusion, viscosity, and the glass transition. Mechanical property studies include measurements of elastic constants, studies of the dynamic deformation behavior using such methods as Hopkinson bar tests. Techniques include electron microscopy, x-ray diffraction, small angle x-ray diffraction, and neutron and electron diffraction. Molecular dynamic methods will be used to carry out atomistic simulations of the properties of metallic glasses.

**246. IRRADIATION INDUCED PHASE TRANSFORMATIONS**

H. A. Atwater, Department of Applied Physics  
(818) 356-2197 01-4 \$82,121

Investigation of irradiation induced phase transformations in semiconductor thin films. Role of irradiation in microstructural evolution of very small semiconductor crystals near the critical size for crystal stability. In-situ microscopy of Ge using HVEM-Tandem facility at Argonne National Laboratory to measure crystal nucleation rate, rate of amorphous-crystal interface motion, and incubation time before the onset of crystal nucleation. Crystal evolution and optical properties of Ge and Si nanocrystals prepared by precipitation of ion-implanted supersaturated solid solutions in an amorphous SiO<sub>2</sub> matrix. Effect of hydrogen reduction in Ge-Si oxides. Optical and transport studies of nanocrystals including absorption spectra and photoluminescence lifetime as a function of temperature.

**247. MELTING IN ADSORBED FILMS**

D. L. Goodstein, Department of Physics  
and Applied Physics  
(818) 395-4319 02-2 \$0 (0 months)

This program involves thermodynamic studies of adsorbed films. Heat capacity and vapor pressure measurements are being made on a systematic grid of points in the coverage versus temperature plane. Detailed phase diagrams for methane argon, and krypton adsorbed on graphite have been developed from the thermodynamic data. These data are being used to investigate the nature of melting at the crossover between 2 and 3 dimensions.

**CARNEGIE MELLON UNIVERSITY**

Pittsburgh, PA 15213-3890

**248. PRESSURE-INDUCED AMORPHIZATIONS OF SILICA ANALOGUES: A PROBE OF THE RELATIONSHIP BETWEEN ORDER AND DISORDER**

W. S. Hammack, Department of Chemical Engineering  
(412) 268-2227 01-1 \$91,893

*Pressure induced amorphization of silica analogues.* Determination of medium range order in amorphous solids. In-situ Raman and x-ray diffraction at high pressure and post transformation HRTEM. The role of topology, ionicity and packing in the crystalline to amorphous transformation.

**249. THE ROLE OF MICROSTRUCTURAL PHENOMENA IN MAGNETIC MATERIALS**

D. E. Laughlin, Department of Materials Science and Engineering  
(412) 268-2706

D. N. Lambeth, Department of Electrical and Computer Engineering  
(412) 268-3674 01-1 \$85,358

Effects of microstructure of thin magnetic films on extrinsic magnetic properties. Systematic variation of important microstructural features, such as grain size and crystallographic texture, by control of variables used during processing. Interrelationship of microstructure, magnetic domain structure and extrinsic magnetic properties of magnetic thin films.

**250. INTERFACIAL ENERGY AND ITS CONTROL BY SEGREGATION PHENOMENA AT METAL/METAL AND METAL/CERAMIC INTERFACES**

P. Wynblatt, Department of Materials Science and Engineering  
(412) 268-8711 01-1 \$97,106

A fundamental understanding of interphase boundary segregation and its effects on interfacial energy are of great importance in a number of scientific and technological areas. The proposed research intends to extend previous knowledge gained on the behavior of interphase boundaries in alloy systems to metal/non-metal interfaces. Interfacial energy, and its control by means of segregation phenomena, at copper/graphite interfaces will be studied through the addition of nickel, and at copper/silicon carbide interfaces by silicon additions. These systems have been selected so as to avoid the possibility of interfacial reactions which could lead to the formation of brittle reaction products. In addition, earlier results of the effects of Au segregation on Pb/Cu interfacial energy will be used demonstrate a possible means of controlling coarsening rates in two-phase alloys.

**251. MECHANISMS OF DEFORMATION IN B2 ALUMINIDES**

T. M. Pollock, Department of Materials Science and Engineering  
(412) 268-2973 01-2 \$106,146

Study of the fundamental deformation mechanisms in three B2 aluminide systems; NiAl, FeAl and RuAl. Measurement of strain rate sensitivities, activation volumes and activation energies. Dislocation dynamics at low temperature; compression experiments; effect of solute additions; TEM observations of dislocation microstructures for mechanical property correlations.

**CASE WESTERN RESERVE UNIVERSITY**

10900 Euclid Avenue  
Cleveland, OH 44106

**252. DISLOCATIONS AND POLYTPIC TRANSFORMATIONS IN SiC**

P. Pirouz, Department of Materials Science and Engineering  
(216) 368-6486 01-1 \$103,931

Experimental and theoretical study of mechanisms for polytypic transformations of  $\alpha$ -SiC. Compressive deformation of 6H SiC single crystals at temperatures up to 1700°, inert atmospheres and nitrogen environments; TEM observation of deformation modes and polytype development. Annealing experiments on 6H-SiC single crystals and 3C-SiC films in inert gas and nitrogen environments; effects of dislocations introduced by surface scratches investigated; TEM determination of polytype development. Determination of the presence of residual dislocations on cross-slip planes following polytypic transformation; thick sections examined by HVEM. Theoretical analysis of formation of Frank-Read dislocation loops and cross-slip of dissociated screw dislocations, effects of stress and temperature; quantitative analysis of mechanism of cross-slip; determination of the activation energy for the motion of partial dislocations.

**UNIVERSITY OF CHICAGO**

5640 Ellis Avenue  
Chicago, IL 60637

**253. HIGH-TEMPERATURE THERMOCHEMISTRY OF TRANSITION METAL BORIDES, SILICIDES AND RELATED COMPOUNDS**

O. J. Kleppa, The James Franck Institute  
(312) 702-7198 01-3 \$80,019

Studies of the enthalpies of formation of the lanthanum borides, lanthanum silicides, and lanthanum germanides. The investigations based on the application of direct synthesis calorimetry and will also include studies of europium and ytterbium lanthanides. Direct synthesis of  $\text{LnB}_4$  will be examined and thermochemical studies of  $\text{LnB}_5$  will be made with solute-solvent drop calorimetry.

**UNIVERSITY OF CINCINNATI**

P.O. Box 210012  
Cincinnati, OH 45221-0012

**254. ROLE OF INTERFACIAL PROPERTIES ON THE MATRIX CRACKING AND CREEP BEHAVIORS IN CERAMIC-MATRIX COMPOSITES**

R. N. Singh, Department of Materials Science  
(513) 556-5172 01-05 \$71,881

Mechanical properties of fiber-reinforced ceramic composites at elevated temperatures. Matrix cracking and creep behaviors of composites. Analytical models of mechanical response to composites. Fabrication of composites with tailored microstructure, flaw size, fiber architecture, and interfacial properties. Role of interfacial properties and flaw size on the first-matrix cracking and creep behaviors at elevated temperatures.

**CITY UNIVERSITY OF NEW YORK (LEHMAN COLLEGE)**

Bedford Park Blvd West  
Bronx, NY 10468-1589

**255. STATICS AND DYNAMICS OF THE MAGNETIC FLUX IN HIGH TEMPERATURE SUPERCONDUCTORS**

E. M. Chudnovsky, Department of Physics and Astronomy  
(718) 960-8770 02-3 \$33,830

Theoretical investigation of the static and dynamic behavior of magnetic flux lines in high temperature superconductors. Static behavior interpreted via a comprehensive theory of a Hexatic Vortex Glass to represent the vortex lattice of the flux lines, and use of numerical simulations to study the vortex lattice with extended orientational order but only limited translational order. Investigation of the dynamics of magnetic relaxation in two-dimensional, layered superconductors and its relationship to recent experimental results. Attention given to the high temperature depinning of vortices due to their annihilation with antivortices, with tests to determine if this effect is responsible for the irreversibility line in high temperature superconductors. Study of quantum tunneling of vortices through pinning barriers, and their diffusion due to quantum unbinding of vortex pairs.

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**CITY UNIVERSITY OF NEW YORK AT CITY COLLEGE**  
New York, NY 10031

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**256. NONLINEAR DYNAMICS AND PATTERN SELECTION AT THE CRYSTAL - MELT INTERFACE**

H. Z. Cummins, Department of Physics  
(212) 650-6921 02-2 \$108,000

Dynamics and pattern formation at the crystal-melt interface during the free solidification of pure materials and the directional solidification of binary alloys. Special attention given to instabilities, growth of small fluctuations, steady-state dendritic growth, dendritic sidebranching by perturbations, and parity breaking tilt bifurcations. Investigations conducted by use of light scattering and videomicroscopy techniques. Thermal perturbations on the crystal growth process introduced by laser pulses.

**257. TRANSPORT STUDIES ON BOTH SIDES OF THE METAL-INSULATOR TRANSITION IN DOPED SEMICONDUCTORS**

M. P. Sarachik, Department of Physics  
(212) 650-5618 02-2 \$85,000

Investigation of the transport and dielectric properties of doped semiconductors which undergo a transition from insulating to metallic behavior with increasing dopant concentration. Examination of the role of disorder and correlations on the transition. Uniaxial stress will be used to tune materials through the transition; determination of the effect of spin-orbit scattering, spin-flip scattering, magnetic field, quantum interference phenomena, and Coulomb correlations and exchange. Experiments will include measurements of resistivity, Hall coefficient and dielectric constant of n-type CdSe and of uncompensated and compensated Si:B.

**258. OPTICAL INTERACTIONS IN MICROSTRUCTURES**

M. Lax, Department of Physics  
(212) 650-6864 02-3 \$0 (0 months)

Theoretical investigations of electrons confined by a potential barrier in two dimensions interacting with freely propagating phonons. Time dependent transport effects in the femto-second regime. Inelastic tunneling through barriers, including screening and 3-D effects. Ultra fast relaxation of photo-excited electrons study of the phonon mediated nonlinear optical response of quasi 2-D polymeric systems including homogeneously and inhomogeneously broadened systems. Study of the influence of semiconductor laser design on

information transmission. Investigation of the ability to use scattered light to detect the presence of encapsulated aerosols. This work involves decision theory, and both inverse scattering and pattern recognition problems.

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**CLARK ATLANTA UNIVERSITY**

223 J.P. Brawley Dr., SW  
Atlanta, GA 30314-4381

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**259. SYNTHESIS, CHARACTERIZATION AND FORMATION CHEMISTRY OF SI-N-C-(O)-M CERAMIC POWDERS AND COMPOSITES**

Y. H. Mariam, Department of Chemistry  
(404) 880-8593

A. Rodriguez, Department of Chemistry  
(404) 880-8750 01-3 \$73,755

Synthesis of metal-containing (Al, Ti, Zr, B, etc.) preceramic polymers, detailed studies of conversion chemistry, characterization of resulting Si-N-C-(O)-M ceramic and composite powders; magic angle spinning (MAS) nuclear magnetic resonance spectroscopy, x-ray photoelectron spectroscopy of in-situ fractured surfaces, transmission electron microscopy, powder x-ray diffraction, Fourier transform infrared spectroscopy, Raman spectroscopy, elemental analysis, thermogravimetric analysis; semiempirical molecular orbital calculation of model reactions.

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**CLEMSON UNIVERSITY**

Clemson, SC 29634

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**260. CHARACTERIZATION AND THERMOPHYSICAL PROPERTIES OF BI-BASED CERAMIC SUPERCONDUCTORS, PART B**

M. V. Nevitt, Department of Physics and Astronomy  
(803) 656-5323 01-3 \$167,300

The measurement of the heat capacity of YBCO and BiSCCO single crystal superconductors is being performed. Because available single crystals are small, microcalorimetry techniques, suitable for measuring submilligram specimens are used. Thermophysical measurements are expected to provide insight into the origin of the superconductivity state, and characterizing electron-phonon interaction.

**COLUMBIA UNIVERSITY**

1106 Mudd Building  
New York, NY 10027

**261. ISOTHERMAL NUCLEATION KINETICS OF SOLIDS IN SUPERCOOLED LIQUID SI**

J. S. Im, Department of Metallurgy  
and Materials Science  
(212) 854-8341 01-3 \$73,632

Experimental verification of Classical Nucleation Theory; laser melting and quenching, thin Si films on SiO<sub>2</sub>, photolithography-isolated and SiO<sub>2</sub> encapsulation of Si films, supercooling, In-situ detection of liquid-to-solid transformation via reflectivity measurements; isothermal nucleation and nucleation rates; tests of Turnbull's empirical generalization of proportionality between surface energy and heat of fusion and of Spaepen's theoretical prediction of temperature dependence of the liquid-solid interfacial energy.

**262. PROTONS AND LATTICE DEFECTS IN PEROVSKITE-RELATED OXIDES**

A. S. Nowick, Henry Krumb School of Mines  
(212) 854-2921 01-3 \$119,502

Defect chemistry of pure and doped perovskite-related oxides that include KTaO<sub>3</sub>, BaCeO<sub>3</sub>, SrZrO<sub>3</sub>, and mixed order/disorder type perovskites. Utilization of internal friction, EPR and IR techniques, in addition to electrical conductivity and dielectric relaxation measurements. Computer simulation techniques to study and predict defect-dopant behavior. Study of the Jonscher "universal" relaxation effect in simple ionic materials over a wide temperature range.

**CORNELL UNIVERSITY**

120 Day Hall  
Ithaca, NY 14853-2501

**263. DISORDER AND NONLINEARITY IN MATERIAL SCIENCE: MARTENSITIC, CRACKS AND HYSTERESIS**

J. A. Krumhansl, Department of Physics  
(607) 255-5132

J. P. Sethna, Department of Physics  
(607) 255-2704 01-1 \$141,748

Development of a general theoretical framework for analyzing displacive changes and application to a few selected martensitic transformations. Physics of transformation, mesostructure, and cracking by large lattice distortion. "Tweed" precursor textures in martensitic materials several hundred degrees above

their bulk transformation temperatures. Continuum theory for brittle crack growth in three dimensions. Broad search for giant elastic softening, glassy low temperature properties, and nucleation and nucleation dynamics.

**264. STUDIES OF THE III-V COMPOUNDS IN THE MEGABAR RANGE**

A. L. Ruoff, Department of Materials Science  
and Engineering  
(607) 255-4161 01-1 \$96,712 (10 months)

Crystal structure changes in Group IV elements and III-IV compounds as a function of pressure; transformations from four-fold to six-fold, eight-fold and twelve-fold coordination. Loading and unloading experiments; EDXD and angle-dispersive studies, optical studies, TEM, XAFS and Raman spectroscopy; visible and near infrared reflectivity of high pressure metallic phases of specific III-IV compounds to characterize spacing determined over a broad range of pressure to verify and evaluate theoretical models.

**265. EXPERIMENTAL STUDIES OF THE STRUCTURE, CHEMISTRY, AND BONDING AT GRAIN BOUNDARIES**

S. L. Sass, Department of Materials Science  
and Engineering  
(607) 255-5239 01-1 \$84,707 (10 months)

Investigation of the structure and chemistry of grain boundaries in Ni<sub>3</sub>Al and NiAl in the presence and absence of boron. Influence of solute-induced changes in the structure of grain boundaries on their mechanical properties. Study of the possibility of control of mechanical properties of ceramic grain boundaries. Techniques include transmission electron microscopy, Auger electron spectroscopy, electron diffraction, and x-ray diffraction techniques.

**266. UHV-STEM STUDIES OF MATERIALS**

J. Silcox, School of Applied  
and Engineering Physics  
(607) 255-3332

E. J. Kirkland, School of Applied  
and Engineering Physics  
(607) 255-3332 01-1 \$116,056

Extension of the present capabilities of quantitative microscopy with atomic resolution and application to an analytical study of Ni<sub>3</sub>Al and NiAl. The studies of the electron scattering processes from perfect crystal studies will be extended to include defects (dislocations and point defects) and grain boundaries. These studies will provide the key to the interpretation of annular dark field imaging, x-ray spectroscopy and EELS experiments.

**267. DEFECT FORMATION IN LOW MISMATCH SYSTEMS AND THE GROWTH OF GAP ON SI FOR SOLAR CELLS**

D. G. Ast, Department of Materials Science and Engineering  
(607) 255-4140 01-3 \$97,228 from prior year

Fabrication, structural and electrical characterization of II-V semiconductor materials with low mismatch lattice strain including AlGaAs/GaAs, InGaAs/GaAs, InGaAs/InP, and GaInP/GaAs. Development of a "dislocation filter" to propagate single dislocation type to investigate the applicability of dislocated structures in anisotropic conduction devices.

**268. SURFACE PHASES, SURFACE DEFECTS AND INITIAL STAGES OF OXIDATION**

J. M. Blakely, Department of Materials Sciences and Engineering  
(607) 255-5149 01-3 \$101,318

Determination of phase diagrams for binary 2-dimensional adsorbed systems, such as S + O, on transition metals and effect of adsorbed phases on growth and morphological stability of oxide layers on these materials. Determination of long range order and transitions in the adsorbate phases by LEED and surface x-ray diffraction. Composition and bonding information from Auger and photoemission spectroscopy. Spectroscopic ellipsometry for oxide thickness determination and scanning tunneling microscopy for the study of surface phase morphology, interphase boundaries, and heterogeneous oxide-adsorbate surfaces.

**269. DEFECTS AND TRANSPORT IN MIXED OXIDES**

R. Dieckmann, Department of Materials Science and Engineering  
(607) 255-4315 01-3 \$100,184

Systematic thermogravimetric study of magnetite based spinel solid solutions,  $(\text{Ti}_x\text{Fe}_{1-x})_{3-\delta}\text{O}_4$  and  $(\text{Cr}_x\text{Fe}_{1-x})_{3-\delta}\text{O}_4$ , to determine defect concentration. Thermogravimetric work on the influence of boundaries on the oxygen content of polycrystalline, nonstoichiometric oxides. Radioactive cation tracer diffusion work on  $(\text{Fe,Ti})_{3-\delta}\text{O}_4$  and  $(\text{Fe,Cr})_{3-\delta}\text{O}_4$ . Electrical conductivity studies of ternary and quaternary systems, beginning with the system Co-Fe-Mn-O to understand influence of space charges on observed electrical conductivity minimum.

**270. THE GEOMETRY OF DISORDER: THEORETICAL INVESTIGATIONS OF QUASICRYSTALS AND FRUSTRATED MAGNETS**

C. L. Henley, Department of Physics  
(607) 255-5056 02-3 \$60,450

Investigate quasicrystal geometry to compute phason elastic constants, investigate quasicrystal atomic structure fitting data to atomic model of a decorated cell and cluster packing, and develop structure models for all decagonal phases. Determine in randomly frustrated spin systems, with carrier spin interactions the "spin-glass" insulating phase of high- $T_c$ 's, the excited states of the hole-spin and in classical Cu:Mn spin glasses propose new experimental tests for "spin-density wave" and "Fermi-liquid" pictures. In percolation and nonlinear dynamics, determine analytically the exponents of the self-organized percolation model in one dimension and in mean field theory.

**271. SYNTHESIS AND PROPERTIES OF NOVEL METAL CLUSTER AND NETWORK PHASES**

F. J. DiSalvo, Department of Chemistry  
(607) 255-7238 03-1 \$162,106

Synthesis of new cluster compounds, Chevrel phases, containing the metals, Nb, Ta, Mo, W, and Re. Compounds are usually halides, chalcogenides, oxides or pnictides. Examination of solid state synthesis and properties of new metal cluster chalcogenide phases to be emphasized. Synthesis to exploit some of the known solution chemistry of halide compounds to obtain novel kinds of compounds. Properties such as: superionic conductivity, very high superconducting magnetic behavior and thermally induced valence transitions of post-transition elements to be determined. Study of  $\text{Mo}_3\text{X}_3$  infinite chain clusters and polymer blends of these inorganic polymers with organic polymers. Synthesis of complexes of  $\text{Nb}_6\text{I}_6$  with bifunctional ligands or with square planar metal organic or coordination complexes. Characterization by X-ray diffraction, Faraday balance for magnetic measurements, four probe resistance for conductivity, Hall effect, and magneto-resistance measurements.

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**DARTMOUTH COLLEGE**  
 Hanover, NH 03755
 

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**272. THE RELATIONSHIP BETWEEN INTERGRANULAR FRACTURE AND GRAIN BOUNDARY STRUCTURE/CHEMISTRY IN B2 INTERMETALLICS**

 I. Baker, Thayer School of Engineering  
 (603) 646-2184 01-2 \$84,164

An investigation of the relationship between the parameter  $K$ , in the Hall-Petch Relationship,  $\sigma_y = \sigma_0 + Kd^{-1/2}$ , and grain boundary structure/chemistry in a number of B2 compounds. Grain boundary structure and chemistry determined by scanning transmission electron microscopy and Auger electron microscopy. Grain boundary dislocation structures examined by transmission electron microscopy including in-situ straining experiments. Slip trace analysis of polished surfaces to examine planarity of slip. Fracture modes determined by scanning electron microscopy. Extent of plastic deformation on fracture surfaces determined by selected area channelling patterns.

**273. ON THE NOTCH SENSITIVITY OF Ni3Al, PART II: THE ROLE OF A RANDOM SOLID SOLUTION, AND THE DEFORMATION OF NOTCHED BICRYSTALS**

 E. M. Schulson, Thayer School of Engineering  
 (603) 646-2888 01-2 \$116,113

Intermetallic compounds; notch sensitivity and relationship to work hardening; B-doped Ni-rich Ni<sub>3</sub>Al, Zr<sub>3</sub>Al, Ni<sub>3</sub>Fe and B-doped single crystals of Ni<sub>3</sub>Al; effects of triaxiality of stress state, strain rate, temperature, environment, prestrain and orientation of single crystals; near-notch tip deformation field through microhardness and through optical, transmission and scanning electron microscopy.

**274. EXCITONS AND PLASMAS IN SEMICONDUCTING MICROSTRUCTURES AND TERNARY ALLOYS**

 M. D. Sturge, Department of Physics  
 (603) 646-2528 02-2 \$100,000

Spectroscopic investigations on three types of semiconductor systems: Type II indirect gap superlattices, strain confined quantum structures and partially ordered ternary semiconductors to improve the understanding of optically excited states of such structures. Time-resolved tunable laser spectroscopy, magneto-spectroscopy and spatially resolved spectroscopy, with and without external perturbations such as magnetic field, electric field and uniaxial stress, will be employed as experimental tools.

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**UNIVERSITY OF DELAWARE**  
 Newark, DE 19716
 

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**275. FUNDAMENTAL STUDIES OF NOVEL PERMANENT MAGNET MATERIALS**

 G. C. Hadjipanayls, Department of Physics  
 (302) 831-2661 02-2 \$45,800

Research to advance the understanding of the magnetic rare earth - transition metal compounds and alloys that have high Curie temperatures and large magnetization. The materials studied usually are based on iron and light rare earths, and are generally ternary or higher order alloys with unusually complex, anisotropic structures. Investigated are Fe-rich phases which have been nitrogenated or carbonated to enhance their magnetic properties, new phases reached by intermediate metastable phases via melt spinning, materials produced by mechanical alloying in a high energy ball mill under inert atmosphere, and nanostructured and nanocomposite films made by sputtering techniques. Extensive characterization of the materials by comprehensive experiments which include x-ray and neutron diffraction, electron microscopy, dc and ac magnetic susceptibility, Fe<sup>57</sup> Mossbauer, and photoemission. Spin-polarized, self-consistent electronic structure calculations performed to compare with the experimental results. Research performed in close collaboration with work at the University of Nebraska (Seilmyer).

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**FISK UNIVERSITY**  
 Nashville, TN 37208
 

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**276. METAL COLLOIDS AND SEMICONDUCTOR QUANTUM DOTS: LINEAR AND NONLINEAR OPTICAL PROPERTIES**

 D. O. Henderson, Physics Department  
 (615) 329-8622 01-3 \$98,176

Synthesis of nanocomposite materials consisting of metal colloids and semiconductor (II-IV and III-V) quantum dots by ion implantation. Laser and thermal post-processing to control and modify optical characteristics. Spectroscopic examination of optical properties and host-guest interactions. Size and morphology information of colloids via TEM and AFM examination. Relationship between materials and fabrication routes on nonlinear optical response.



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**FLORIDA STATE UNIVERSITY**  
 SCR/406A SCL B-186  
 Tallahassee, FL 32306

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**277. THEORETICAL STUDIES OF MAGNETIC SYSTEMS**

L. P. Gorkov  
 (904) 644-1010

M. A. Novotny  
 (904) 644-0848

J. R. Schrieffer  
 (904) 644-2032 02-3 \$0 (0 months)

Theoretical investigation of many body effects in low dimensionality magnetic systems using a mixture of analytic and numerical techniques. Some specific physical systems investigated are: (1) thin ferromagnetic films separated by paramagnetic metals, (2) various type of defects in one- and two-dimensional antiferromagnetic systems, (3) ferromagnetic materials in one and two dimensions, and (4) spin compensation and Kondo insulators. Techniques used in the investigations are diagrammatic expansions as well as non-perturbative methods, exact-diagonalization of matrices corresponding to small systems, quantum Monte Carlo calculations, and numerical transfer-matrix type calculations. Both zero-temperature and finite-temperature behavior of the physical systems are considered, and the theoretical results are compared with available experimental information.

**278. HEAVY FERMIONS AND OTHER HIGHLY CORRELATED ELECTRON SYSTEMS**

P. U. Schlottmann, Department of Physics  
 (904) 644-0055 02-3 \$55,852

Theoretical investigation of highly correlated fermion systems. The Bethe-ansatz is used to solve the orbitally degenerate Anderson impurity model with finite Coulomb repulsion. The dynamics of the n-channel Kondo problem is investigated within a  $1/n$  expansion. The thermodynamic Bethe-ansatz equations of the n-channel Kondo problem are solved numerically in a magnetic field. The low temperature and small field magnetoresistivity of heavy-fermion alloys is studied. The properties of the spin-one Heisenberg chain with anisotropies induced by crystal fields and the generalized t-J model in one and two dimensions are investigated.

**279. HE-ATOM SURFACE SCATTERING: SURFACE DYNAMICS OF INSULATORS, OVERLAYERS AND CRYSTAL GROWTH**

J. G. Skofronick, Department of Physics  
 (904) 644-5497

S. A. Safran, Department of Chemistry  
 (904) 644-5239 02-4 \$118,000

Application of high-resolution He-atom scattering to the investigation of surface dynamics of insulators, which include MgO, NiO, and  $MgF_2$ , perovskites such as  $KTaO_3$  and  $BaTiO_3$ , and compounds with internal structure such as KCN and  $NH_4Cl$ . Studies of homo- and heteroepitaxial growth of oxides; e.g., NiO/NiO(001), NiO/Mg(001), and  $BiTiO_3$  on  $KTaO_3$ . Elastic and inelastic He scattering experiments for self-assembling monolayers of organic materials (alkane thiols) on the noble metals gold, silver and copper. Exploratory studies to develop a  $^3He$  nozzle beam source which would be useful to perform elastic and inelastic scattering, and, if successful, subsequent use of  $^3He$  beam to investigate the magnetic properties of various surfaces.

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**UNIVERSITY OF FLORIDA**  
 Gainesville, FL 32611

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**280. QUANTUM-CONFINEMENT EFFECTS AND OPTICAL BEHAVIOR OF SEMICONDUCTOR CLUSTERS IN GLASS**

J. H. Simmons, Department of Materials Science and Engineering  
 (904) 392-6679

P. H. Kumar, Department of Physics  
 (904) 392-6679 01-1 \$117,975

Studies performed on the quantum confinement effects and optical behavior of semiconductor clusters in glass. Preparation and study of CdTe, Si, Ge, GaAs, and GaN clusters in silica, titanium silicate, ITO (indium-tin-oxide), and several heavy metal oxides including  $In_2O_3$ , and a complex glass developed by the  $PbO-B_2O_3-Ga_2O_3-In_2O_3$ . Structural studies will include TEM and photoemission. Optical behavior to be afforded by absorption spectroscopy, Raman, transient absorption, and photoluminescence. Loading studies will determine the effect of tunneling. Carrier diffusion and conductivity to be determined as well as Hall Effect in conducting matrices. Studies of the nature and energy of quantum states which originate from bands other than the gamma point.

**281. SCATTERING STUDIES OF ORDERING PROCESSES AND QUANTUM EXCITATIONS**

S. E. Nagler, Department of Physics  
(904) 392-8842 02-2 \$51,000

Experimental research using x-ray and neutron scattering to investigate the kinetics of first order phase transitions and elementary excitations in materials where low dimensional quantum models apply. Time resolved scattering is used to study, in real time, various materials with first order phase transitions that can be rapidly quenched through the transition by the variation of temperature or pressure. Examples of transitions studied are the phase separation in solid  $^3\text{He}/^4\text{He}$ , charge density waves in  $2\text{H-TaSe}_2$ , the thinning process in water-surfactant films, and crystallization processes in metals. Investigation of ordering kinetics in model magnetic systems; e.g.,  $\text{K}_2\text{ReCl}_6$ . Excitations are studied in magnetic chain materials such as  $\text{KCuF}_3$  and  $\text{CsCoCl}_3$ .

**282. STUDIES OF HIGHLY CORRELATED ELECTRON MATERIALS: SUPERCONDUCTIVITY**

G. R. Stewart, Department of Physics  
(904) 392-9263/0521 02-2 \$90,000

Experimental investigations will be made on highly correlated electron "heavy fermion" materials to understand what parameters control the nature of the normal and/or superconducting ground state formed. Focus will be on further hydrogen doping; extending the scope of specific heat-resistivity correlations; fully characterizing ultra-pure  $\text{UBe13}$  samples already produced; superconductivity suppression due to ligand doping of  $\text{UBe} \langle \text{MV} \rangle 13 \langle \text{D} \rangle$  with magnetic ions. Complementary cerium based work will be continued. Compounds will be obtained or prepared and characterized by x-ray and neutron diffraction, resistivity, dc and ac susceptibility, and specific heat (often with applied magnetic field) measurements.

**GEORGIA INSTITUTE OF TECHNOLOGY**  
Atlanta, GA 30332-0430**283. FIRST-PRINCIPLES STUDIES OF PHASE STABILITY AND THE STRUCTURAL AND DYNAMICAL PROPERTIES OF HYDROGEN-METAL SYSTEMS**

M. -Y. Chou, Department of Physics  
(404) 894-4688 02-2 \$42,500

Problems to be investigated include: disorder-disorder, disorder-order and order-order phase transitions found in the temperature-composition diagrams; preferential interstitial sites of hydrogen in different metals, the

change of optimal sites under hydrogen in different metals, the change of optimal sites under static pressure or uniaxial stress; the vibrational spectra, diffusion barrier and migration path of hydrogen in metals. Structural and electronic properties will be examined by total-energy calculations for a series of metal hydrides by the local-density-functional approximation and the pseudopotential method. Various hydrogen concentrations and configurations will use the supercell method. Within the framework of cluster expansions, the multibody interaction energies among hydrogen atoms as extracted from the total energies of related ordered structures are used to investigate the thermodynamic properties and phase diagrams by the cluster variational method.

**GEORGIA TECH RESEARCH CORPORATION**  
Atlanta, GA 30332-0430**284. STRUCTURE AND DYNAMICS OF MATERIAL SURFACES, INTERPHASE-INTERFACES AND FINITE AGGREGATES**

U. Landman, School of Physics  
(404) 894-3368 02-3 \$220,000

Numerical simulations/molecular dynamics investigations of the fundamental processes that determine the structure, transformations, growth, electronic properties and reactivity of materials and material surfaces. Focus on (1) surfaces, interfaces and interphase-interfaces under equilibrium and nonequilibrium conditions and (2) finite material aggregates. Modeling uses molecular dynamical and quantum mechanical path-integral numerical methods.

**285. EPITAXIAL PHENOMENA**

A. Zangwill, Department of Physics  
(404) 894-7333 02-3 \$65,000

Investigate growth, structure and stability of epitaxial overlayers. Morphology of MBE and CVD films by use of continuum models. Long-term evolution of morphological instability. Epitaxial stabilization of metastable phases. Development of a general theory of structural phases and phase transitions in superlattices and multilayers. Time dependent pattern formation in cases where misfit locations are pinned at the epitaxial interface.

**286. THE ORGANIC CHEMISTRY OF CONDUCTING POLYMERS**

L. M. Tolbert, Department of Chemistry  
(404) 894-4043 03-1 \$75,000

The phenomena of charge transport in conducting polymers, materials which are ordinarily insulators, is basically a problem in mechanistic organic chemistry. Fundamental studies in the mechanistic organic chemistry of conducting polymers are being conducted. Oligomers of defined length have been synthesized, and a comparison of their spectroscopic properties as they converge with those of the associated polymers is being carried out. This approach has allowed a validation of solid state theory. New alternating heteropolymers which have enhanced stability and processability, while retaining the desirable characteristics of more well-known polymers such as polythiophene, are being synthesized. This novel class of heteropolymers is characterized by strong charge-transfer characteristics and significantly smaller band gaps than the homopolymers.

**UNIVERSITY OF GEORGIA**

Athens, GA 30602-2451

**287. OPTICAL STUDIES OF DYNAMICAL PROCESSES IN DISORDERED MATERIALS**

W. M. Yen, Department of Physics and Astronomy  
(706) 542-2491 02-2 \$75,000 (18 months)

Investigation of relaxation, transfer and quenching of the excited states of disordered materials; nonlinear optical properties and structure of activated glass fibers and their elementary excitations; and extremely diluted and single ions in disordered systems. Application of advanced laser techniques, including fluorescence line narrowing (FLN) and time-resolved FLN, Dilution Narrowed Laser Spectroscopy (DNLS), Saturation Resolved Fluorescence Spectroscopy (SRF), measurement of coherent optical transients, photoacoustic and photocaloric methods, and far infrared free electron laser.

**HARVARD UNIVERSITY**

29 Oxford Street  
Cambridge, MA 02138

**288. CRYSTAL GROWTH KINETICS AT EXTREME DEVIATIONS FROM EQUILIBRIUM**

M. J. Aziz, Division of Applied Science  
(617) 495-9884 01-1 \$80,866

Fundamental study of materials growth during Pulsed Laser Deposition. Ballistic aspects of impingement and deposition. Effects of surface relaxation, roughening, adsorption and incorporation.

**289. FUNDAMENTAL PROPERTIES OF LIGHT ATOMS AND SPIN-POLARIZED QUANTUM SYSTEMS**

I. F. Silvera, Lyman Laboratory of Physics  
(617) 495-9075 02-2 \$150,000

Investigation of light bosonic atoms at low temperature and high density to observe the effects of quantum degeneracy in condensed systems of identical particles. Attempt to reach sufficient densities and low temperatures that these unusual gases will undergo Bose-Einstein condensation using either a hybrid trap consisting of a static magnetic trap and a microwave trap or the formation of a two-dimensional gas of spin polarized hydrogen on a helium surface in a magnetic field gradient. Investigation of helium at microkelvin temperatures by evaporation of helium films from low temperature surfaces to achieve extremely low temperatures and high densities so that quantum degenerate effects and the possible formation of extremely weakly bound helium dimers in equilibrium with the gas can be studied.

**290. SYNCHROTRON STUDIES OF LIQUID METAL SURFACES**

P. S. Pershan, Division of Applied Sciences  
(617) 495-3214 03-3 \$55,000 (8 months)

Experimental study using glancing angle X-ray scattering to determine surface and near surface structure and density profiles. Pure liquid metals and alloys with melting temperatures no higher than lead (327C) will be examined in the initial phase. Ultra high vacuum equipment will be used to maintain clean surfaces. In addition, specular reflectivity of X-rays will be used to investigate the physical processes by which liquids deposit on solid surfaces.

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**UNIVERSITY OF HOUSTON**  
Houston, TX 77204-5506

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**291. DIFFRACTION STUDIES OF THE STRUCTURE OF GLASSES AND LIQUIDS**

S. C. Moss, Department of Physics  
(713) 743-3539 02-1 \$105,600

Operation of a dedicated glass and liquid neutron diffractometer (GLAD) at the Intense Pulsed Neutron Source (IPNS) of Argonne National Laboratory with collaborative support from Argonne personnel. Determination of the atomic structure of glasses and/or amorphous materials by the utilization of x-ray and neutron scattering methods closely coupled with model computer calculations. Examples of materials investigated are sputtered  $\text{IrO}_2$  films, molten  $\text{FeCl}_3$ , amorphized silicon and its annealing behavior, and nanophases of zeolites and related molecular sieves. Some studies of laser light scattering from colloidal and polymeric systems.

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**HOWARD UNIVERSITY**  
Washington, DC 20059

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**292. A REAL-TIME X-RAY DIFFRACTION STUDY OF KINETICS IN STRAINED OVERLAYERS**

W. P. Lowe, Department of Physics and Astronomy  
(202) 806-4351 02-2 \$181,883

High intensity x-ray studies of the strain relief in overlayers and interfaces will be undertaken in order to understand the fundamental mechanism of strain relief and to determine possible methods of preparing materials less subject to the detrimental effects of this strain relief. The work involves the preparation of strained overlayer samples, detailed time-resolved x-ray studies of the strain relief as a function of temperature, and other diagnostic measurements needed to compare the data with models such as the pin-slip model.

**293. ENGINEERING, DESIGN, AND CONSTRUCTION OF THE MHAT-CAT SECTOR AT THE ADVANCED PHOTON SOURCE**

W. P. Lowe, Department of Physics and Astronomy  
(202) 806-4351 02-2 \$800,000 (6 months)

Design, construction and operation of the University of Michigan, Howard University and AT&T Bell Laboratories Collaborative Access Team (MHAT-CAT) beamlines at the Advanced Photon Source will be performed. Research includes time-resolved studies of materials under dynamic

conditions: the microscopies of physical and chemical processing, behavior under stress and structural relaxation, and the kinetic mechanisms of growth.

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**IBM**

650 Harry Road  
San Jose, CA 95120-6099

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**294. SEGMENTAL INTERPENETRATION AT POLYMER-POLYMER INTERFACES**

T. P. Russell, Almaden Research Center  
(408) 927-1638 03-2 \$150,000

The behavior of block copolymers at interfaces will be studied with the use of neutron and X-ray reflectivity, XPS, DSIMS, and FRES. The subjects of investigation will include the behavior of diblock copolymer in confined geometries, the interfacial behavior of P(S-b-MMA) at the interface between PS and PMMA homopolymers, the interfacial behavior of multiblock copolymers, and the behavior of diblock copolymers at the interface of dissimilar homopolymers. The combined use of the four techniques mentioned above, coupled with small angle X-ray and neutron scattering, will permit a quantitative evaluation of the segment density profiles of block copolymers at interfaces and will allow a critical assessment of current theoretical treatments of the interfacial behavior of block copolymers.

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**INDIANA UNIVERSITY**  
Bloomington, IN 47405

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**295. HIGH-RESOLUTION ELECTRON ENERGY LOSS STUDIES OF SURFACE VIBRATIONS**

L. Kesmodel, Department of Physics  
(912) 855-0776 02-2 \$40,000

Experimental investigation of surface vibrational properties on clean transition-metal and high-temperature superconductor surfaces. Adsorbed layers including ultrathin metal films are also studied. The principal experimental technique utilized is high-resolution electron energy loss spectroscopy (EELS) with 3 to 5 meV energy resolution. The usual end results of the experimental measurements are phonon dispersion relations for various directions in the Brillouin zone of the investigated surface. Examples of materials investigated are the surfaces of Pd(100) and Ag(111); adsorbed hydrogen, oxygen and carbon monoxide on Pd(100) and Pd(110); and surfaces of the high-temperature superconductors  $\text{YBa}_2\text{Cu}_3\text{O}_7$  and  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ , both clean and with adsorbed  $\text{H}_2\text{O}$ .

CO<sub>2</sub> and O<sub>2</sub>. Results of the experimental measurements are usually compared with realistic theoretical models of surface lattice dynamics.

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**JOHNS HOPKINS UNIVERSITY**  
Baltimore, MD 21218

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**296. IN SEARCH OF THEORETICALLY-PREDICTED MAGIC CLUSTERS: TOWARD THE DEVELOPMENT OF A NEW CLASS OF MATERIALS**

K. H. Bowen, Department of Chemistry  
(410) 516-8425 01-1 \$130,000

Systematic experimental search for theoretically-predicted magic clusters. Formation of magic clusters by several methods. Detection by mass spectrometry. Synthesis of macroscopic samples of clusters.

**297. INVESTIGATION OF THE PROCESSES CONTROLLING THE FLAME GENERATION OF REFRACTORY MATERIALS**

J. L. Katz, Department of Chemical Engineering  
(410) 516-8484 01-3 \$33,222

Formation of oxide and mixed oxide nanostructured materials by flame synthesis. Focus is on the production of oxide particles, with new structures and compositions, and on the formation of mixed oxides for use as catalysts. Modeling will be performed of the formation, processes, including correlations between particle melting temperature and particle morphology, and the molecular transport and thermodynamics of the counterflow diffusion flame.

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**LEHIGH UNIVERSITY**  
5 East Packer Avenue  
Bethlehem, PA 18015

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**298. DETERMINATION OF STRUCTURE AROUND LIGHT ATOMS IN INORGANIC GLASSES BY EXELFS**

H. Jain, Department of Materials Science and Engineering  
(610) 758-4217 01-1 \$120,282

Structure of inorganic glasses, especially short range order around glass modifier alkali ions and the network forming oxygen atoms. An experimental study of the validity of the modified network model (MRN), using extended energy loss fine structure (EXELFS) with energy-filtered electron diffraction. The local structure around both alkali and oxygen ions, measured by this technique, will be used with simulation studies to establish fundamental glass structure, and in turn physical properties of glasses.

**299. ANALYTICAL ELECTRON MICROSCOPY OF BIMETALLIC CATALYSTS**

C. E. Lyman, Department of Materials Science and Engineering  
(610) 758-4249 01-1 \$60,615 (8 months)

Elucidation of structure-property relationships in platinum-rhodium bimetallic catalyst for NO<sub>x</sub> reduction. Measurement of the distribution of noble metal and catalytic poisons on a micrometer to nonometer scale by electron beam microanalytical methods. Correlation of catalyst microstructure with catalytic activity and selectivity. Impregnation procedure leading to different noble metal distributions, oxidation and reduction of NO<sub>x</sub> in hydrogen.

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**LOUISIANA STATE UNIVERSITY**  
Baton Rouge, LA 70803-4001

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**300. EMBEDDED MICROCLUSTERS IN ZEOLITES AND CLUSTER BEAM SPUTTERING - SIMULATION ON PARALLEL COMPUTERS**

P. Vashishta  
(504) 388-1157

R. Kalia  
(504) 388-1157

D. Greenwell  
(504) 388-1157 02-3 \$37,500

Computer simulation studies of (1) atoms and microclusters embedded in zeolites and (2) the sputtering of insulating and semiconducting surfaces by a variety of atomic, ionic, and cluster beams. Simulation approaches include classical molecular dynamics, Car-Parrinello, quantum molecular dynamics, and variational quantum Monte Carlo. Research incorporates studies of techniques to determine realistic interaction potentials and algorithm development for massively parallel computer architecture. The embedding of zeolites work includes investigation of both the zeolite networks and the isolated microclusters before embedding. Embedding species include individual atoms (e.g., Si, S, and Te), binary molecules (e.g., GaAs, InSb, PbSe, SiO<sub>2</sub>, GeSe<sub>2</sub>, and SiC), and clusters of the indicated atoms and molecules. Some of the sputtering simulations involve Si surfaces bombarded by charged and neutral Si clusters, GaAs and InSb surfaces by a variety of cluster beams, erosion of LiF surfaces by NaCl and CaF<sub>2</sub> clusters, and sputtering of solid C by H<sub>2</sub>O clusters.

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**UNIVERSITY OF MAINE**  
5764 Sawyer Research Ctr.  
Orono, ME 04469

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**301. STRUCTURAL, ELECTRONIC AND CHEMICAL PROPERTIES OF METAL/OXIDE AND OXIDE/OXIDE INTERFACES**

R. J. Lad, Department of Physics  
(207) 581-2257 01-1 \$69,185

Fundamental properties of metal/oxide and oxide/oxide heterogeneous interfaces with emphasis on effects of interfacial defects, impurities, carbon layers, and amorphous phases on interfacial morphology, adhesion, electronic structure, and high temperature stability. Deposition of ultra-thin metal and oxide films (viz. Al, Ti, Cu, MgO, Y<sub>2</sub>O<sub>3</sub>, and SiO<sub>2</sub>) on single crystal Al<sub>2</sub>O<sub>3</sub> substrates. Determination of film epitaxy and interface morphology by in-situ RHEED analysis and Atomic Force Microscopy; determination of composition, chemical bonding, interdiffusion, segregation and electronic structure information by x-ray and ultraviolet photoemission, Auger spectroscopy, and EELS.

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**MASSACHUSETTS INSTITUTE OF TECHNOLOGY**  
77 Massachusetts Avenue  
Cambridge, MA 02139

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**302. SLIP, TWINNING AND TRANSFORMATION IN LAVES PHASES**

S. M. Allen, Department of Materials Science and Engineering  
(617) 253-6939

J. D. Livingston, Department of Materials Science and Engineering  
(617) 253-0059 01-2 \$102,687

Demonstrate that a variety of room-temperature deformation processes possible in Laves phases. Two methods to enhance plastic deformation. First, deformation in alloys in which the Laves phase exists as discrete second-phase particles in a solid-solution matrix. Second, microhardness indentations to produce localized deformation (with a significant triaxial component to the loading), and to prepare high-quality thin-foil specimens for examination in the transmission electron microscope.

**303. GRAIN BOUNDARIES IN COMPLEX OXIDES**

Y-M. Chiang, Department of Materials Science and Engineering  
(617) 253-6471 01-2 \$97,451

Complex lattice defect structures, ionic space charge effects at grain boundaries; TiO<sub>2</sub> with trivalent and pentavalent cation dopants; quantitative comparison between space charge theory and grain boundary segregation; defect formation energies at grain boundaries and their variation from boundary to boundary; quantitative determination of grain boundary accumulation of dopants by STEM. Determination of grain boundary thermodynamic properties and size-dependent segregation and transport phenomena in nanocrystalline TiO<sub>2</sub>; effect of solute segregation on grain boundary thermodynamics and kinetic properties; effects of space charge on grain growth and deformation.

**304. FATIGUE FRACTURE AT INTERFACES: MICROMECHANICS AND APPLICATIONS TO COATED MATERIALS**

S. Suresh, Department of Materials Science and Engineering  
(617) 253-3233 01-2 \$110,853

Experimental and numerical investigation of fatigue at interfaces; evolution of cyclic near-tip fields for fatigue cracks along or normal to interfaces; conditions for growth or deceleration/arrest of fatigue cracks approaching an interface at an arbitrary angle; effects of variable amplitude loads with tensile overloads on near-tip fields and crack growth; micromechanisms of near-interface deformation; numerical simulation of cyclic near-tip fields arising from temperature fluctuations, mechanical load fluctuations, and thermomechanical loads for flaws along or at arbitrary angles to interfaces, model parametric studies of fatigue cracking of coated materials with and without interlayers.

**305. STRUCTURAL DISORDER AND TRANSPORT IN TERNARY OXIDES WITH THE PYROCHLORE STRUCTURE**

H. L. Tuller, Department of Materials Science and Engineering  
(617) 253-6890 01-3 \$119,223

Relationship of electrical and optical properties to the defect structure in ternary and quaternary oxides with the pyrochlore structure. Use of transition elements to alter electronic properties, rare-earth elements to alter the ionic conduction characteristics, and aliovalent dopants to change the carrier concentrations. Computer simulations of defects, transport and structural parameters in these systems. Structural disorder characterized by X-ray diffraction, neutron diffraction, and spectroscopic measurements. Electrical and defect properties

characterized by AC impedance, DC conductivity, thermoelectric power, and thermogravimetric techniques. Materials to be doped and studied include  $Gd_2O_3-ZrO_2-TiO_2$ ,  $Y_2O_3-ZrO_2-TiO_2$  and related systems.

**306. OXIDATION OF METALS AND ALLOYS WITH EMPHASIS ON SUPERCONDUCTING OXIDES**

J. B. Vander Sande, Department of Materials Science and Engineering  
(617) 253-6933 01-3 \$167,961

Kinetics of superconducting oxide formation from metallic alloys subjected to oxidation; textured microstructures arising from solid state reactions in temperature gradients; high magnetic fields to induce texture in superconducting oxide/silver composites; improvement in the texture and critical current density of superconducting oxide/silver microcomposites through mechanical deformation.

**307. RADIATION-INDUCED TOPOLOGICAL DISORDER IN IRRADIATED CERAMICS**

L. W. Hobbs, Department of Ceramics and Materials Science  
(617) 253-6835 01-4 \$117,199

Fundamental study to characterize irradiation-induced amorphization of SiC,  $Si_3N_4$  and  $AlPO_4$ . Irradiations to be performed in-situ with electrons in a TEM, with heavy ions using the implantation facilities, or with neutrons using available neutron sources. Energy-filtered electron diffraction (EFED) technique to examine the structure of topologically disordered ceramics; the use of x-rays, neutron and electron diffraction to study structure; proton and other ion irradiations to modify structures, and a new modelling program applying methods developed for self-assembly of virus shells from proteins to explore the process of topological disordering. Studies of neutron-irradiated single crystals of  $Pb_2P_2O_7$  and high pressure polymorphs of silica will be completed.

**308. PHASE TRANSITION PHENOMENA IN QUENCHED DISORDERED AND QUANTUM-CORRELATED SYSTEMS**

A. N. Berker, Department of Physics  
(617) 253-2176 02-3 \$45,951

Renormalization-group calculations will be performed for various models all incorporating some aspect of randomness. Possible novel hyperuniversality of critical phenomena where there is strong randomness will be investigated as a possible replacement for the ordinary universality -- shown previously to be violated in these systems. The tricritical point involving a conversion of first order phase transitions to second order by strong bond randomness will be investigated. Finite-temperature renormalization group theory will be applied to 1D

and enhanced 1D models of electronic conduction in 1-3 dimensions. The hard-spin mean-field theory, previously applied to uniformly frustrated systems, will be applied to spin glasses. A speculative effort will be the development of a non-equilibrium renormalization group theory based on the restriction by time scales of the underlying partial trace of the partition function.

**309. STRUCTURE AND DYNAMICS OF MICROEMULSIONS IN BULK, AT INTERFACES AND IN CONFINED GEOMETRIES**

S.-H. Chen, Department of Nuclear Engineering  
(617) 253-3810 03-2 \$93,500

Complete construction and testing of a special purpose small angle neutron scattering diffractometer at the Intense Pulsed Neutron Source (IPNS) of Argonne National Laboratory. The diffractometer will be fully available to general users and involves design and construction by a cooperative effort between the principal investigator and the IPNS staff with financial assistance from Texaco. The principal investigator will focus on the use of the diffractometer for studies of problems in the area of microemulsions and micellar solutions. For these investigations a temperature controlled environment for scattering experiments and a shear cell for the study of shear fields on microemulsion and micelle structures will be employed.

**MIAMI (OHIO) UNIVERSITY**  
Oxford, OH 45056

**310. MAGNETIC MULTILAYER PHYSICS**

M. J. Pechan, Department of Physics  
(513) 529-4518 02-2 \$39,000

Investigation of magnetic multilayers using ferromagnetic resonance. Measurements of the magnetic interface anisotropy as a function of layer thickness, temperature, and frequency. Develop and use a variable temperature torque magnetometer to measure dc multilayer anisotropy and magnetization. Model the effects of magnetization gradients and interface frustration on interface anisotropy.

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**MICHIGAN STATE UNIVERSITY**  
East Lansing, MI 48824

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**311. HIGH-ENERGY ION BEAM SURFACE MODIFICATION OF SINGLE- $Al_2O_3$  FIBERS FOR IMPROVED POST-PROCESSING STRENGTH RETENTION IN B-NIAL AND Y- $Ni_3Al$  MATRIX COMPOSITES**

D. S. Grummon, Department of Metallurgy, Mechanics, and Materials Science  
(517) 353-4688 01-2 \$62,896

Single crystal  $\gamma$ -alumina fibers are of interest as strong creep-resistant reinforcements for metal and intermetallic matrix composites. Surface modifications will be designed to (a) produce large residual compressive stress, to reduce flaws; (b) improve mechanical properties, and (c) improve resistance to chemical damage at high temperatures.

**312. DISORDER AND FAILURE: SELECTED APPLICATIONS TO BRITTLE FRACTURE, CRITICAL CURRENT AND DIELECTRIC BREAKDOWN**

P. M. Duxbury, Department of Physics and Astronomy  
(517) 353-9179 01-3 \$67,959

Development of generic models for electrical, dielectric, mechanical and superconducting failure; analytic expressions for size effect, failure distribution, and crossover from nucleation stage to catastrophic failure stage. Disorder and failure in random composites; effect of microstructural disorder on failure of composites; scaling behavior of damage nucleation, damage localization and catastrophic failure in random composites; fracture of interpenetrating phase composites; analytic and numeric analysis of defect shapes and damage nucleation. Activated and diffusion limited damage nucleation; development of scaling theories for time to failure and its statistics for subcritical crack growth in random systems as function of disorder, system size and temperature. Atomic defects and brittle failure of graphite sheets containing random defects. Effective elastic and failure properties of cellular materials as function of porosity, disorder and sample size; ductile and brittle ligament response. Critical current as function of crack length, pinning strength and vortex density for superconductors; cross-over from flux flow channel limit to collective pinning limit; vortex configuration in a superconducting diode. Extension of dielectric breakdown model to include space charge and environmental effects, diffusion limited and activated processes, and critical local field.

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**MICHIGAN TECHNOLOGICAL UNIVERSITY**  
1400 Townsend Drive  
Houghton, MI 49931

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**313. BOUNDARY STABILITY UNDER NONEQUILIBRIUM CONDITIONS**

S. Hackney, Metallurgical and Materials Engineering Department  
(906) 487-2170 01-1 \$88,611

Study of diffusion induced grain boundary migration from a microscopic point of view. Time and concentration dependence of the initiation of migration. Grain boundary morphology studies by in situ hot stage electron microscopy. Effects of diffusion-induced grain boundary migration on the morphological development of second phase precipitates. Thermotransport-induced grain boundary migration. Effects of elastic strain gradient on interface migration. Surface and thin film instabilities.

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**UNIVERSITY OF MICHIGAN**  
2300 Hayward Street  
Ann Arbor, MI 48109-2136

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**314. SOLUTE EFFECTS ON OXIDE CERAMICS AND THEIR GRAIN BOUNDARIES**

I-W. Chen, Department of Materials Science and Engineering  
(313) 763-6661 01-2 \$105,935

Solute-defect interactions and segregation;  $CeO_2$ ,  $Y_2O_3$  and  $ZrO_2$  host oxides; solid solutions with oxides of divalent Mg, Ca and Sr, trivalent Sc, Yb, Y, Gd and La, tetravalent Ti and Zr, and pentavalent Nb and Ta. Static grain growth, dynamic grain growth and related mechanical phenomena; mechanisms for solute drag, solute-defect interactions; static grain growth experiments, grain boundary mobility, compression tests, dislocation creep; construction of stress-strain constitutive relation incorporating grain growth; microstructural and microchemical characterization. Densification kinetics, microstructure development, grain boundary mobility; doped solid solutions; effect of solute drag on sintering of second phase ceramics; effect of initial porosity. Electrical conductivity, space charge effects; ac impedance spectroscopy.



**315. THE ROLE OF GRAIN BOUNDARY CHEMISTRY AND STRUCTURE IN THE ENVIRONMENTALLY-ASSISTED INTERGRANULAR CRACKING OF NICKEL-BASE ALLOYS**

G. S. Was, Department of Nuclear Engineering  
(313) 763-4675 01-2 \$121,118

The objective of this program is to determine the role of the chemistry and structure of grain boundaries in the environmentally-assisted intergranular cracking (EAIC) of nickel-base alloys so that intergranular (IG) cracking can be ameliorated through control of grain boundary chemistry and structure. The focus is on the role of carbon in solution and as carbides on the IG creep-controlled cracking in 360C water; determination of the role of grain boundary orientation on IG cracking in 360C water and creep in 360C Ar; and the role of the film character (composition and structure) in the correlation of creep, repassivation rate, and IGSCC susceptibility in Ni-(16-30)Cr-Fe alloys. Experiments conducted on laboratory and commercial heats of Ni-16Cr-9Fe (alloy 600), Ni-30Cr-9Fe (alloy 690), and Ni-16Cr-9Fe-Al-Ti-Nb (alloy X-750).

**316. THE STRUCTURAL BASIS FOR FATIGUE FAILURE INITIATION IN GLASSY POLYMERS**

A. F. Yee, Department of Materials Science and Engineering  
(313) 764-4312 01-2 \$93,905 from prior year

Fatigue initiation in glassy polymers, including structural changes which precede the initiation of visible cracks and crazes. Relationship between low amplitude cyclic stresses and polymer aging. Applications of small angle X-ray scattering (SAXS) and position annihilation techniques (PAT) to the characterization of the temporal evolution of structural changes. Relaxation behavior to be used to predict craze initiation.

**317. ATOMISTIC AND ELASTIC ANALYSES OF DEFECTS AND SMALL STRUCTURES**

D. J. Srolovitz, Department of Materials Science and Engineering  
(313) 936-1740 01-3 \$115,171

The goal is to elucidate the structural, elastic and thermodynamic properties of non-topological defects, defects at interfaces and in small structures, and small structures themselves. The common theme is the centrality of the interplay between elastic and structural effects. This will be investigated and exploited by using atomistic simulations to provide the input needed to develop and validate the elastic theory. Atomistic simulations will be used to extract the information at the atomic level needed to parameterize the full elastic fields. The resulting analysis will then be generalized by identifying the dominant effects.

**318. SYNCHROTRON STUDIES OF NARROW BAND MATERIALS**

J. W. Allen, Department of Physics  
(313) 763-1150 02-2 \$90,000

Conduct a program of spectroscopic studies of the electronic structure of narrow band actinide, rare earth and transition metal materials, emphasizing the use of synchrotron radiation but including related laboratory spectroscopy. The spectroscopy will be directed toward aspects of the electronic structure which underlie or are responsible for novel ground state phenomena occurring in mixed valent, heavy-Fermion and transition metal oxide materials, including insulator-metal transitions in each of these, and high temperature superconductivity in the latter. The data is analyzed using density-functional calculations and many-body Hamiltonian models.

**319. CORRELATION GAPS IN THE RARE EARTH HEXABORIDES**

M. Aronson, Department of Physics  
(313) 764-3272 02-2 \$75,728

Experimental investigation of the stability of correlation gaps in the rare earth hexaborides. Low temperature electrical resistivity, specific heat, and magnetization measurements performed to assess the stability of the correlation gap to high pressures, high magnetic fields, and varying degrees of disorder present in the hexaboride samples. Exploration of the transport properties of in-gap states, their relationship to the magnitude of the gap, and search for a proposed metal-to-insulator transition which should occur for a sufficiently wide gap. Comparison of the Kondo effect for magnetic impurities present in insulating materials with a normal, electronic-band-structure gap and with a correlation gap. Examples of hexaborides investigated are SmB<sub>6</sub>, EuB<sub>6</sub>, SmB<sub>6</sub> doped with either Ca or Sr, and a series of Eu<sub>1-x</sub>Sm<sub>x</sub>B<sub>6</sub> samples.

**320. GROWTH AND PATTERNS**

L.M. Sander, Department of Physics  
(313) 764-4471 02-3 \$55,042

Analytic and simulation studies of the formation of solid state structure far from equilibrium will be performed. The effects of strain on the epitaxial growth of these films will be investigated. The stability of dense radial patterns arising from electrochemical deposition will be studied. New methods will be developed to study non-equilibrium continuum processes such as catalysis and other non-equilibrium processes.

**UNIVERSITY OF MINNESOTA**

421 Washington Avenue, SE  
Minneapolis, MN 55455

**321. CRYSTALLINE-AMORPHOUS INTERFACES AND AMORPHOUS FILMS IN GRAIN BOUNDARIES**

C. B. Carter, Department of Chemical  
Engineering and Materials Science  
(612) 625-8805 01-1 \$123,771

Thin and thick glassy films prepared on selected  $Al_2O_3$  and MgO single crystal surfaces and in bicrystal boundaries; pulsed laser deposition; hot-pressing of bicrystals; tilt and twist boundaries, bicrystal orientation, interface plane and surface faceting. Structure and chemical analysis with visible-light microscopy, SEM, AFM and TEM, including bright- and dark-field imaging, HREM, EDS and PEELS; comparison of grain boundaries and interfaces with and without noncrystalline layers; wetting and dewetting of glassy films on grain boundaries; crystallization of glassy boundaries and interfaces. Computer modeling of amorphous/crystalline interfaces in collaboration with ANL; lattice statics and molecular dynamics.

**322. MICROMECHANICS OF BRITTLE FRACTURE: STM, TEM, AND ELECTRON CHANNELING ANALYSIS**

W. W. Gerberich, Department of Chemical  
Engineering and Materials Science  
(612) 625-8548 01-2 \$63,811

A study of the micromechanics of small volumes with the aim of understanding brittle fracture in both bulk single crystals and polycrystals as well as at thin film interfaces. Au, Fe, and Ta films constrained by brittle substrates. Theoretical approaches are finite element, embedded atom, and discretized dislocation; experimental techniques include SEM, TEM, STM, AFM and continuous nanoindentation and microscratch.

**323. THEORETICAL STUDY OF REACTIONS AT THE ELECTRODE-ELECTROLYTE INTERFACE**

J. W. Halley, Department of Physics  
and Astronomy  
(612) 624-0395 01-3 \$142,542

Electron transfer and other reactions of importance to aqueous corrosion are studied by simulation and modeling. Molecular dynamics used to describe solvent dynamics and tight bonding and local density function methods to study the electronic structure of metallic and oxide passivated electrodes. The calculations of atomic and electronic dynamics are linked to yield insights into such corrosion relevant phenomena as electron transfer, defect diffusion, polaronic electronic conductivity and cracking. Phenomena of particular

interest include ferrous-ferric and cuprous-cupric outer shell electron transfer, the passivation layer of titanium, chloride at interfaces, hydrolysis at oxide surfaces and dissolution of metals. The project is part of an ongoing collaboration with Argonne National Laboratory.

**324. FUNDAMENTAL STUDIES OF STRESS DISTRIBUTIONS AND STRESS RELAXATION IN OXIDE SCALES ON HIGH TEMPERATURE ALLOYS**

D. A. Shores, Department of Chemical  
Engineering and Materials Science  
(612) 625-0014 01-3 \$72,243

Study and elucidation of the mechanisms of oxidation and hot corrosion of selected metals and alloys through an interdisciplinary team approach in which the phenomena of growth stresses, thermal stresses and scale cracking are examined. Theoretical modeling of isothermal, a thermal, and time dependent growth stresses. In situ experimental measurement of scale stresses and experimental determination of the occurrence of scale cracking under various corrosive conditions. Scale cracking related to measured and calculated stresses. Experimental techniques include X-ray diffraction, acoustic emission, thermogravimetric analysis, and optical/electron microscopy.

**325. THEORY OF THE STRUCTURAL AND ELECTRONIC PROPERTIES OF SOLID STATE OXIDES**

J. R. Chelikowsky, Department of Chemical  
Engineering and Materials Science  
(612) 625-4837 02-3 \$60,000

A multi-level theoretical approach to the global properties of solid state oxides will be implemented. The methods which will be applied comprise *ab initio* pseudopotential calculations, semi-empirical valence force field techniques, and the establishment of empirical chemical "scaling" indices. The amorphization in oxides induced by high pressure will be studied using classical and quantum mechanical techniques.

**UNIVERSITY OF MISSOURI AT KANSAS CITY**

Kansas City, MO 64110-2499

**326. THEORETICAL STUDIES ON THE ELECTRONIC STRUCTURES AND PROPERTIES OF COMPLEX CERAMIC CRYSTALS AND NOVEL MATERIALS**

W-Y. Ching, Department of Physics  
(816) 235-2503 01-1 \$103,757

Calculation by means of orthogonalized linear combination of atomic orbitals (OLCAO) of electronic structure and linear optical properties and defect properties for a large number of oxide, nitride,

phosphate, silicate, III-V semiconductors, metallic glass and high- $T_c$  superconducting materials. Local density functional calculation of important bulk properties, phonon frequencies and structural phase transitions for selected materials. Formulation of calculational method for nonlinear optical properties. Calculation of magnetic properties of rare earth-iron-boron magnetic alloys and related intermetallic compounds. Properties of fullerenes.

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### NATIONAL ACADEMY OF SCIENCES

2101 Constitution Avenue  
Washington, DC 20418

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#### 327. AN ASSESSMENT OF NEUTRON-SCATTERING SCIENCE

D. C. Shapero, Department of Physics  
and Astronomy  
(202) 334-3520 03-1 \$14,183

Support for an assessment of the state of neutron-scattering science and to identify research opportunities. Emphasis will be placed on optimization of instrumentation for the scientific use of the ANS.

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### UNIVERSITY OF NEBRASKA

Lincoln, NE 68588-0113

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#### 328. FUNDAMENTAL STUDIES OF NOVEL PERMANENT MAGNET MATERIALS

D. J. Sellmyer, Department of Physics  
(402) 472-2407 02-2 \$75,000

Research to advance the understanding of the magnetic rare earth - transition metal compounds and alloys that have high Curie temperatures and large magnetization. The materials studied usually are based on iron and light rare earths, and are generally ternary or higher order alloys with unusually complex, anisotropic structures. Investigated are Fe-rich phases which have been nitrogenated or carbonated to enhance their magnetic properties, new phases reached by intermediate metastable phases via melt spinning, materials produced by mechanical alloying in a high energy ball mill under inert atmosphere, and nanostructured and nanocomposite films made by sputtering techniques. Extensive characterization of the materials by comprehensive experiments which include x-ray and neutron diffraction, electron microscopy, dc and ac magnetic susceptibility,  $F^{57}$  Mossbauer, and

photoemission. Spin-polarized, self-consistent electronic structure calculations performed to compare with the experimental results. Research performed in close collaboration with work at the University of Delaware (Hadjiapanayls).

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### UNIVERSITY OF NEVADA

Reno, NV 89557

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#### 329. PHOTOPHYSICAL PROCESSES OF TRIPLET STATES AND RADICAL IONS IN PURE AND MOLECULARLY DOPED POLYMERS

R. D. Burkhart, Department of Chemistry  
(702) 784-6041 03-1 \$85,000

Studies of triplet-triplet annihilation and rate of triplet exciton diffusion in polymers. Studies of delayed luminescence processes in organic polymers to determine the extent and influence of recombination of geminate ion pairs. Direct excitation of ground state polymer chromophores to lowest triplet state through dye laser pumping. Investigation of the rate of triplet exciton migration in polymers having pendant groups which are sterically crowded and non-planar to assess the extent to which structural modifications can influence rates of exciton migration. Modification of the rate of triplet-triplet annihilation by microwave-induced mixing, monitor the dependence of triplet quantum yields on the energy of excitation, and to probe the direct detection of carbazole radical cations by transient absorption spectroscopy.

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### UNIVERSITY OF NEW HAMPSHIRE

Durham, NH 03824

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#### 330. AN EXPERIMENTAL AND ANALYTICAL INVESTIGATION OF THE EFFECT OF FRACTURE SURFACE INTERFERENCE IN SHEAR

T. S. Gross, Department of Mechanical  
Engineering  
(603) 862-2445 01-2 \$81,442

An experimental and theoretical program to study the effects of fracture surface interference on shear modes (mode II and III) of crack growth. The theoretical program to extend and refine current models of force transfer between crack faces and wear of asperities in the vicinity of the crack tip. The model will be the observed non-monotonic, non-linear dependence of shear crack growth on applied shear stress, superimposed tensile stress, and cyclic load history. The experimental program to study the evolution of fracture surface roughness using Fourier analysis to characterize the average asperity amplitude, slope, and wavelength of

fracture surface profiles in a variety of loading configurations and environmental conditions for metals, ceramics, and polymers. A broad range of materials selected for testing to maximize the variation in elastic modulus, yield strength, fracture surface profile and wear characteristics.

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**UNIVERSITY OF NEW MEXICO**  
Albuquerque, NM 87131

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**331. PARTICLE-INDUCED AMORPHIZATION OF CRYSTALLINE SILICATES, COMPLEX OXIDES AND PHOSPHATES**

R. C. Ewing, Department of Geology  
(505) 277-4163 01-1 \$0 (0 months)

Investigation of irradiation effects on transition from crystalline to aperiodic state in naturally occurring materials (complex oxides, silicates and phosphates) and ion-irradiated ceramics; effects of structure and bonding, cascade energy, defect accumulation and temperature on the amorphization of complex ceramic materials; structural types include zircon ( $ABO_4$ ), olivine, garnet, aluminosilicates, pyrochlore. Techniques include x-ray diffraction, high-resolution transmission electron microscopy (HRTEM), extended x-ray absorption fine-structure (EXAFS) and near-edge spectroscopy (XANES).

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**NORTH CAROLINA A&T STATE UNIVERSITY**  
551 McNair Hall  
Greensboro, NC 27411

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**332. MICROSTRUCTURE - PROPERTY CORRELATIONSHIPS IN OXIDE HETEROSTRUCTURE**

C. B. Lee, Department of Electrical Engineering  
(919) 334-7760 01-1 \$176,000

Processing and characterization studies to understand microstructure-property relationships in ferroelectrics and superconductor heterostructures. Use of pulsed laser ablation technique to fabricate these heterostructures. Optimization of laser and processing parameters. Atomic level characterization with STEM-Z HRTEM, Auger, etc. Electrical property characterization for sharp resistive transitions and low noise characteristics in high- $T_c$  materials.

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**NORTH CAROLINA STATE UNIVERSITY**  
Raleigh, NC 27695-7907

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**333. LOCALIZED FRACTURE DAMAGE EFFECTS IN TOUGHENED CERAMICS**

R. O. Scattergood, Department of Materials Science and Engineering  
(919) 515-7843 01-5 \$103,978

Systematic study of fundamental aspects of erosion and impact damage in brittle materials and advanced ceramic systems. Materials investigated include aluminas, fiber-reinforced ceramics, transformation-toughened ceramics and various model brittle materials. New or modified apparatus designed and constructed for particle properties and threshold effects. Experimental results on erosion behavior and impact damage utilized for new fracture-mechanics analyses and erosion models development. Erosion rates vs. particle sizes, velocities and impact angles. Characterization of microstructural, strength and fracture properties. Eroding particle properties influence on nature of threshold effects.

**334. RESEARCH AT AND OPERATION OF THE MATERIAL SCIENCE X-RAY BEAMLINE (X-11) AT THE NATIONAL SYNCHROTRON LIGHT SOURCE**

D. E. Sayers, Department of Physics  
(919) 515-4453 02-2 \$380,000

Operation, and improvement, of beamline X-11A and B at the National Synchrotron Light Source, Brookhaven National Laboratory. Transmission, fluorescence electron-yield, x-ray absorption fine structure, and diffraction anomalous fine structure measurements performed on a range of materials and interfaces, which include metal-semiconductor systems, nitrides, nanostructured steels, transition metal doped aluminides, semiconductor alloys, rare earth - iron magnets, ferroelectrics, magnetic multilayers, rare earth oxide catalysts, high temperature superconductors, biocatalysts and actinide metals.

**335. BAND ELECTRONIC STRUCTURES AND CRYSTAL PACKING FORCES**

M. H. Whangbo, Department of Chemistry  
(919) 515-3464 03-1 \$17,500 (2 months)

Theoretical investigation of the electronic and structural properties of various low-dimensional solid state materials, which include (1) organic conducting and fullerene salts, (2) cuprate superconductors, and (3) transition-metal compounds. The primary techniques for the investigation are tight-binding electronic structure

calculations and *ab initio* self-consistent-field/molecular-orbital (SCF-MO) approaches. The main objectives of the project are to search for structure-property correlations which serve to govern the physical properties of the various materials, and to develop a library of efficient computer programs for the calculation of the physical properties of low-dimensional solid state materials. The work also involves the rational interpretation of STM and AFM images of various layered materials on the basis of density plot calculations. The research also includes the study of images obtained by STM and AFM of solid surfaces and self-assembled overlayers.

### 336. THEORETICAL STUDIES OF SURFACE REACTIONS ON METALS AND ELECTRONIC MATERIALS

J. L. Whitten, Department of Chemistry  
(919) 515-7277 03-1 \$90,000

Theoretical investigations of the structure and reactivity of small molecules adsorbed on transition metal and semiconductor substrates. Development and application of theoretical techniques that will provide a molecular level of fundamental understanding for surface processes, especially reaction mechanisms, energetics and adsorbate atomic and electronic structure. Electronic structures obtained by an *ab initio* embedding formalism that permits an accurate determination of reaction energetics and adsorbates. Major applications treated are for reactions on surfaces of silicon, carbon, nickel, and ruthenium.

## UNIVERSITY OF NORTH CAROLINA Chapel Hill, NC 27514

### 337. SOLID-STATE VOLTAMMETRY AND SENSORS IN GASES AND OTHER NON-IONIC MEDIA

R. W. Murray, Department of Chemistry  
(919) 962-6295 03-2 \$42,500

Miniaturized electrochemical cells based on the use of microdisk, microband, and interdigitated array electrodes have been employed in a program aimed at developing a range of electrochemical methodologies suitable for quantitative voltammetry of electroactive solutes dissolved in solid and semisolid polymeric solvents. Potential sweep, step, and ac microelectrode voltammetries have been evaluated and adapted to measurement of exceedingly slow transport of electroactive solutes, with particular application to transport phenomena in poly(ether) "polymer electrolyte" solvents. Transport rates of dissolved electron transfer donors and acceptors are studied as a function of polymer MW, phase-state, small molecule plasticization, temperature, electrolyte concentration, and of the

equivalent charge transport by electron self exchangers between dissolved donor-acceptor pairs. Methods are also being developed for measurement of electron transfer dynamics in polymer solvents with attention to slow solvent dipole/solvent dynamics control of electron transfer rates and to diffusion-rate dependent distances-of-electron transfer as would occur when diffusion is very slow. These first quantitative voltammetric measurements in solid and semisolid state phases are aimed at developing a capacity for fundamental, quantitative studies of solid-state charge and mass transport phenomena and at their exploitation for solid-state analysis.

## NORTH DAKOTA STATE UNIVERSITY Fargo, ND 58105

### 338. STATIC AND DYNAMIC PROPERTIES OF ANTIFERROMAGNETIC TRANSITION METAL ALLOYS

R. Fishman, Department of Physics  
(701) 237-8977 02-3 \$40,000

Theoretical investigation of the magnetic properties of chromium, -manganese, and their alloys. Consideration of the effects of impurities on the phase transition in chromium at the Neel temperature, including evaluation of the threshold impurity concentration for the phase transition to change from first order to second order. Examination of the effects of a charge-density wave on the magnetic phase diagram of chromium alloys, with particular emphasis on whether a charge-density wave combined with spin-orbit coupling can account for the observed spin-flip regime in pure chromium. Study of the effect of imperfect Fermi surface nesting on the magnetic dynamics of chromium alloys in both the commensurate and incommensurate regimes. Calculation of the spin-wave spectrum, and its gap, for -manganese from an effective Hamiltonian constructed after addition of an elastic energy to the Ginzburg-Landau free energy.

## UNIVERSITY OF NORTH TEXAS P.O. Box 5308 Denton, TX 76203

### 339. IMPURITY-INDUCED CORROSION AT GRAIN BOUNDARIES, METAL-OXIDE INTERFACES AND OXIDE SCALES

J. A. Kelber, Center for Materials Characterization  
(817) 565-3265 01-3 \$98,176

Obtain a fundamental understanding concerning the effects of sulphur and other electronegative adsorbates on interfacial chemistry and topography,

and how such effects can be counteracted by the use of other, selected, dopants. Interfaces of interest are grain boundaries, oxide and metal free surfaces, and oxide/metal internal surfaces.

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**NORTHEASTERN UNIVERSITY**  
110 Forsyth Street  
Boston, MA 02115

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**340. COMPUTER MODELING OF SOLIDIFICATION MICROSTRUCTURE**

A. S. Karma, Department of Physics  
(617) 437-2929 01-5 \$67,874

The irregular structures formed in Fe-C and Al-Si irregular eutectic alloys have remained poorly understood in comparison to the regular lamellar and rod-like morphologies which form in metal-metal eutectic alloys. Banding is a novel microstructure widely observed in rapidly solidified metallic alloys which is characterized by structural variations in time so as to produce alternating bands parallel to the solidification front. Numerical models will be developed to cope with both irregular eutectic and banded microstructures, and make specific predictions which can be tested against existing experimental data.

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**NORTHWESTERN UNIVERSITY**  
Evanston, IL 60208

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**341. ATOMIC RESOLUTION ANALYTICAL ELECTRON MICROSCOPY OF GRAIN BOUNDARY PHENOMENA ASSOCIATED WITH ISOLATED-SINGLE GRAIN BOUNDARIES IN BICRYSTALS OF SrTiO<sub>3</sub>**

V. P. Dravid, Department of Materials Science and Engineering  
(708) 467-1363 01-1 \$67,898 (10 months)

Grain boundary atomic structure, bicrystallography, local chemistry, dielectric function, and electronic structure determined for isolated individual grain boundaries in oriented bicrystals of SrTiO<sub>3</sub>-based varistors and grain boundary layer capacitors; bicrystals of predefined angular misorientation and interface plane, with and without dopants, and under various appropriate heat treatment conditions. Cold-field emission TEM-atomic resolution analytical electron microscopy (ARAEM), ultrahigh vacuum HREM under ultraclean conditions; electronic structure and local dielectric function of the grain boundary region using EELS fine structure analysis; I-V curve and complex impedance analysis of the bicrystals as function of grain boundary parameters.

**342. STRUCTURE-PROPERTY RELATIONSHIPS IN HIGHLY DEFECTIVE OXIDES**

T. O. Mason, Department of Materials Science and Engineering  
(708) 491-3198

D. E. Ellis, Department of Physics and Astronomy  
(708) 491-3665

J. B. Cohen, Department of Materials Science and Engineering  
(708) 491-5220 01-1 \$204,172

Study of defect clustering, interfaces, and related properties of oxides involving transport and nonstoichiometry measurements, diffraction, and quantum theoretical methods. Oxides of interest include highly defective transition metal monoxides (FeO, MnO, CoO, NiO) and rare earth, alkaline earth cuprates, including high-T<sub>c</sub> superconductors. In situ measurements within a high pressure oxygen cell to study higher defect concentrations. Structural and valence studies by diffuse X-ray scattering, neutron diffraction, and near-edge absorption spectroscopy. Finite temperature modeling (using molecular dynamic and statistical mechanics approaches) of defects in monoxides and total energy calculations of defect arrangements in complex oxides. Modeling of defect dependent properties of materials.

**343. ATOMIC STRUCTURE AND CHEMISTRY OF INTERNAL INTERFACES**

D. N. Seidman, Department of Materials Science and Engineering  
(708) 491-4391 01-1 \$101,932

Fundamental relationships between structures and chemical compositions of metal/ceramic heterophase interfaces. Transmission electron microscopy, high resolution electron microscopy, analytical electron microscopy and atom-probe field-ion microscopy are utilized to study the structure and chemistry of metal/ceramic interfaces. The use of ternary alloys allows for the possibility of studying solute-atom segregation effects at heterophase interfaces; this is an area where very little information exists. Trapping of hydrogen at heterophase interfaces is studied via atom probe microscopy. Some of the systems being studied are: Cu/MgO, Ni/Cr<sub>2</sub>O<sub>3</sub>, Cu/BeO, Cu/NiO, Cu/Mg, Ta(W)/HfO<sub>2</sub>, Fe(Sn)/Al<sub>2</sub>O<sub>3</sub>, Fe(P)/Al<sub>2</sub>O<sub>3</sub>, Fe(Ni)/Al<sub>2</sub>O<sub>3</sub>, NiO/NiCr<sub>2</sub>O<sub>4</sub>, Ni(Al)/NiAl<sub>2</sub>O<sub>4</sub>, Pd(H)/MgO and Cu(H)/MgO. The atom probe measurements, in conjunction with different electron microscopes, yield unique atomic scale information about these heterophase interfaces.

**344. INVESTIGATION OF MECHANICAL PROPERTIES AND THEIR RELATION TO THE INTERNAL STRUCTURE OF NANOCRYSTALLINE METALS AND COMPOUNDS**

J. R. Weertman, Department of Materials Science and Engineering  
(708) 491-5353 01-2 \$83,318

The tensile, microhardness, fatigue and creep properties of nanocrystalline metals and alloys will be studied using improved processing that decreases the flaw population. The structure of the materials will be characterized using x-ray diffraction, small angle neutron scattering and TEM.

**345. PLASMA, PHOTON, AND BEAM SYNTHESIS OF DIAMOND FILMS AND MULTILAYERED STRUCTURES**

R. P. H. Chang, Department of Materials Science and Engineering  
(708) 491-3598 01-3 \$75,304

Diamond nucleation and growth on carbide and noncarbide surfaces; mechanisms of nucleation; interface properties. Diamond nucleation on fullerenes; ion activation, effects of ion energy, mass and ion type; preparation of large fullerene and buckytube substrates; in-situ characterization of diamond nucleation and growth using scanning ellipsometry, Raman scattering and Auger/ESCA measurements. Growth of copper, nickel, and copper/nickel on single crystal diamond to attempt formation of epitaxial layer; epitaxial metal layers characterized by Rutherford backscattering/channeling and HREM; selective area epitaxy of copper on diamond and overgrowth of diamond. Growth of diamond on amorphous carbon, SiC, c-BN, Si<sub>3</sub>N<sub>4</sub> and C<sub>x</sub>N<sub>y</sub> films; role of graphitic carbon; role of noncarbon surfaces; in-situ characterization by Auger, ESCA, Raman and HREED; modeling of nucleation and growth.

**346. STRUCTURE AND PROPERTIES OF EPITAXIAL OXIDES**

B. W. Wessels, Department of Materials Sciences and Engineering  
(708) 491-3219 01-3 \$71,861

Electronic, optical and nonlinear optical properties of rare-earth doped thin film perovskite oxides, SrTiO<sub>3</sub>, BaTiO<sub>3</sub>, their solid solutions, and rare-earth doped niobates; metalorganic chemical vapor deposition. Effect of rare-earth impurities on electrical and optical properties; Hall effect measurements, thermopower measurements, photoluminescence spectroscopy, photoluminescence decay, and transient photocapacitance spectroscopy. Structure and composition; high resolution transmission electron microscopy, analytical electron microscopy, and x-ray diffraction.

**347. SYNTHESIS AND CHARACTERIZATION OF SUPERHARD POLYCRYSTALLINE SUPERLATTICE COATINGS**

S. A. Barnett, Department of Materials Science and Engineering  
(708) 491-2447

W. D. Sproul, Department of Materials Science and Engineering  
(708) 491-4108

M.-S. Wong, Department of Materials Science and Engineering  
(708) 491-4108 01-5 \$78,601

The purpose of the proposed research is to continue and to broaden the current efforts: (1) to elucidate the hardening mechanisms in superlattices, in part by (2) synthesis of new carefully-chosen model systems, (3) to improve coating adhesion, and (4) to carry out new property measurements. These efforts combined will lead to a clearer understanding of deposition, structure, and properties of polycrystalline superlattices, and thus to a new generation of engineering materials with a wide range of potential industrial applications.

**348. THE EFFECTS OF MOISTURE ON THE MICROSTRUCTURE OF CEMENT-BASED MATERIALS**

H. Jennings, Department of Civil Engineering  
(708) 491-4858 01-5 \$89,626

Study of the effect of moisture on the microstructure of cement. Early age "floc" structure of fresh paste and its relationship to the structure of mature pastes. Influence of moisture and other environmental variables including temperature on the microstructure at all ages. Effect of applied load on microstructure. Mechanical properties of cement.

**349. DEVELOPMENT OF INSTRUMENTATION FOR SURFACE, INTERFACE, AND THIN FILM SCIENCE AT THE ADVANCED PHOTON SOURCE**

M. J. Bedzyk, Department of Materials Science and Engineering  
(708) 491-3570 02-2 \$100,000

Construction and implementation of the DuPont-Northwestern-Dow Collaborative Access Team (DND-CAT) Beamlines at the Advanced Photon Source will be performed. Research is aimed at the structure and composition of surfaces, interfaces and thin films.

**350. ENERGETICS, BONDING MECHANISM AND ELECTRONIC STRUCTURE OF CERAMIC/CERAMIC AND METAL/CERAMIC INTERFACES**

A. J. Freeman, Department of Physics and Astronomy  
(708) 491-3343 02-3 \$75,000

Model the energetics, bonding, bonding mechanism and structure of metal/ceramic interfaces. Investigate surface electronic structure of oxides and interface grain boundaries in transition metal-simple oxide interfaces, e.g., Pd and Nb alumina interfaces as well as metal/SiC interfaces. Investigations of ferroelectricity in lead titanate and antiferroelectricity in lead zirconate. Investigations of the electronic structure of TiO<sub>2</sub> surfaces and the properties and structures of VO<sub>2</sub>/TiO<sub>2</sub> interface.

**351. MIXED IONIC-ELECTRONIC CONDUCTION AND PERCOLATION IN POLYMER ELECTROLYTE METAL OXIDE COMPOSITES**

M. A. Ratner, Department of Chemistry  
(708) 491-5655

D. F. Shriver, Department of Physics  
(708) 491-5655 03-2 \$50,000

This proposal is an investigation of ionic transport along and through interfaces, both within a given solid electrode or electrolyte and between solid electrodes and electrolytes. The objective is mechanistic understanding of which processes result in over potential, degradation, charge accumulation, and enhanced mobility at such interfaces. Two general classes of materials will be investigated: siloxane based polymer electrolytes, and layered chalcogenide cathodes. Experiments will include synthesis and surface modification of electrolyte films, bulk and interfacial impedance measurements, and simulation of interfacial transport phenomena by Monte Carlo and percolation theory techniques.

**352. STRUCTURE AND SHEAR RESPONSE OF LIPID MONOLAYERS**

P. Dutta, Department of Physics and Astronomy  
(708) 491-5465

J. B. Ketterson, Department of Physics and Astronomy  
(708) 491-5468 03-3 \$105,000

Study the mechanical properties of organic monolayers on the surface of water (Langmuir films). Determine the microscopic structure of such films and of multilayers formed on repeatedly dipped substrates (Langmuir-Blodgett films) using ellipsometry, conventional and synchrotron X-rays. Mechanical property studies directed toward shear response, and important but previously neglected structural property. Diffraction technique, involving

external reflection at the monolayer surface, used to determine film structure. Use standing-wave fluorescence technique to determine the distribution of ions in the aqueous phase near the head groups in lipid monolayer films.

**UNIVERSITY OF NOTRE DAME  
NOTRE DAME, IN 46556**

**353. EXPERIMENTAL FACILITIES FOR IN-SITU STUDIES OF MATERIALS AND PROCESSES AT THE ADVANCED PHOTON SOURCE**

B. A. Bunker, Department of Physics  
(219) 631-7219 02-2 \$200,000

Construction and implementation of the Materials Research Collaborative Access Team (MR-CAT) Beamlines at the Advanced Photon Source will be performed. Research is aimed at materials and processes under extreme conditions of temperature, field, processing or geometrical confinement.

**354. SINGLE ELECTRON TUNNELING AND SPECTROSCOPY**

S. T. Ruggiero, Department of Physics  
(219) 631-7463 02-2 \$47,530

A scanning electron microscope (STM) will be used under electromagnetic radiation to obtain fundamental information about Single Electron Tunneling (SET) effects in ultra-small multilayer metal/oxide structures where the injection of a single electron onto a tiny island will significantly affect the energy level structure, the so called "Coulomb staircase effect". It has extraordinary spatial resolution appropriate to quantum dots having dimensions in the 1-10 nm range. It permits the study of random composites that may be important for practical applications, and it also permits high spectroscopic resolution to deduce the fundamental physics of the processes taking place.



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**OHIO STATE UNIVERSITY**  
2041 College Road  
Columbus, OH 43210-1178

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**355. EXPERIMENTAL AND THEORETICAL STUDY OF DISLOCATION PROCESSES AND DEFORMATION BEHAVIOR IN B2 INTERMETALLIC COMPOUNDS OF THE (Fe,Ni) AL PSEUDOBINARY SYSTEM**

M. J. Mills, Department of Materials Sciences and Engineering  
(614) 292-2553 01-2 \$100,000

Mechanical properties and characterization of the dislocation microstructure of single crystals of several compositions within the (Fe,Ni)-Al pseudobinary system. Systematic examination of alloys bridging the behavior from FeAl to NiAl. Mechanical testing of the single crystals including constant strain-rate, strain-rate change and predeformation/temperature change experiments. Detailed characterization of the dislocation structures using both weak beam and high resolution transmission electron microscopy. Fundamental understanding of the complex dislocation processes which control the deformation behavior in these B2 compounds.

**356. REALISTIC THEORIES OF HEAVY ELECTRON AND OTHER STRONGLY CORRELATED MATERIALS**

D. Cox, Department of Physics  
(614) 292-0620 02-3 \$55,000

Quadrupole fluctuation mediated superconductivity in heavy electron systems. Investigation of the effect of quadrupolar fluctuations on the superconductivity of  $UBe_{13}$ . Application of self consistent conserving approximations to Anderson Lattice Models of heavy electron systems. Exploration of quadrupolar fluctuation induced superconductivity in the four band Anderson Lattice Model.

**357. STRONGLY INTERACTING FERMION SYSTEMS**

J. W. Wilkins, Department of Physics  
(614) 292-5193 02-3 \$120,000

Development of new methods for electronic properties, specifically, electronic structure, and the physics of materials associated with high temperature superconductors. Algorithm development to include new schemes for constructing Wannier functions and applying Quantum Monte Carlo for studying the ground state and low temperature properties of important highly correlated systems. Local equilibrium atomic geometry in very thin semiconductor superlattices and the development of methods for understanding the forces that determine stability and instability. Adatom induced reconstruction of transition metals. Application of a modified Hubbard model to high- $T_c$

superconductors to explain the role of the oxygen hole; application of a Quantum Monte Carlo code for the Anderson lattice to determine the possibility of antiferromagnetism and superconductivity in these materials.

**358. MOLECULAR/POLYMERIC MAGNETISM**

A. J. Epstein, Department of Physics  
(614) 292-1133/3704 03-1 \$205,374

Study of cooperative magnetic behavior and its microscopic origins in molecular and polymeric materials. Synthesis and characterization of novel ferromagnets and elucidation of the origins of ferromagnetic exchange. Objective is to develop design criteria for the synthesis of new ferromagnetic materials possessing desirable physical properties including high temperature transitions to a ferromagnetic state. Study of magnetism in molecular ferromagnets and origins of the ferromagnetic exchange. Synthesis of  $V(TCNE)_x \cdot y$  (solvent), including single crystals, and analogous molecular-based organic systems. Measurements of magnetism as a function of field, temperature, and pressure and comparison of results with models of one-dimensional ferro-ferrimagnetism. X-ray and inelastic neutron scattering measurements for magnetic structure.

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**OHIO UNIVERSITY**  
Athens, OH 45701-2979

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**359. ELECTRONIC INTERACTIONS IN CONDENSED MATTER SYSTEMS**

S. E. Ulloa, Department of Physics and Astronomy  
(614) 593-1729 02-3 \$50,000

Theory of semiconductor systems, specifically those where electrons are confined to regions of only a few Fermi wavelengths. Work includes the effects of geometrical confinement and its interrelationship with electric and magnetic fields and transport properties of systems in the ballistic and near-ballistic regimes. Confined systems will be investigated to determine whether confinement induces collective and single-particle modes in their optical response. Transport issues to be investigated will include the loss of phase coherence by elastic and inelastic scattering, transit times and the character of the tunneling mechanism.

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**OLD DOMINION UNIVERSITY**  
 Norfolk, VA 23529-0246
 

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**360. DYNAMICS OF SURFACE MELTING**

H. E. Elsayed-Alli, Department of Electrical  
 and Computer Engineering  
 (804) 683-3748 03-3 \$125,000

Experimental investigation of the dynamics of surface melting for metallic single crystals and thin epitaxial metal films: Time-resolved reflection high energy electron diffraction (RHEED), with picosecond time resolution, is used to study the surface melting upon fast heating and cooling. Observation of the time evolution of lattice expansion during ultrafast heating. Studies of the role of surface roughness on the nucleation and the growth of disorder during surface melting. Examples of systems investigated are surfaces of Pb and Bi, and epitaxial films of Pb on Si.

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**OREGON STATE UNIVERSITY**  
 Corvallis, OR 97331
 

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**361. HYPERFINE EXPERIMENTAL INVESTIGATIONS OF POINT DEFECTS AND MICROSCOPIC STRUCTURE IN COMPOUNDS**

J. A. Gardner, Department of Physics  
 (503) 737-3278 01-1 \$117,418

Perturbed angular correlation (PAC) spectroscopy of nuclear gamma rays to investigate defect complexes, and microscopic structure in ceria, zirconia, and II-VI compounds containing either  $^{111}\text{In}$  or  $^{181}\text{Hf}$  as a probe. PAC characterizations of free energies, transformation mechanisms, equilibrium phase boundaries, diffusion and relaxation models, short range order, order-disorder reactions, and elevated-temperature/time dependent effects. NMR and EXAFS measurements to complement and expand the studies of local structure and oxygen vacancy dynamics.

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**UNIVERSITY OF OREGON**  
 Eugene, OR 97403-1274
 

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**362. ELECTRONIC STRUCTURE OF TWO-DIMENSIONAL SYSTEMS**

S. D. Kevan, Department of Physics  
 (503) 346-4742 02-2 \$126,000

Experimental characterization of material systems using some soft x-ray techniques which fully utilize the exceptional soft-ray brightness of the Advance Light Source at Lawrence Berkeley National Laboratory. Specific experimental techniques practiced include soft x-ray excited soft x-ray emission and the exploitation of the speckle produced upon the reflection of spatially coherent x-rays from a rough medium. Some of the type of materials investigated are synthetic multilayers, polymer films, and thin films with correlated electronic structures. Study of interdiffusion and compound nucleation at buried interfaces in multilayers. Investigation of systems near critical points, e.g., free-standing liquid crystal films. Some use of high resolution angle resolved photoemission spectroscopy to characterize the electronic structure of clean and adsorbate-covered metal surfaces, with emphasis on 4d and 5d transition metal compounds.

**363. MONITORING INTERFACIAL DYNAMICS BY PULSED LASER TECHNIQUES**

G. L. Richmond, Department of Chemistry  
 (503) 346-4635 03-2 \$98,000

Studies of interfacial structure and dynamics using second harmonic generation (SHG) and hyper-Raman scattering. Development of SHG for monitoring electrochemical reactions on a nanosecond to femtosecond timescale, correlation of surface structure with electron transfer kinetics, thin-film nucleation and growth, and analyses of the structure and reactive role of surface defects.

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**PENNSYLVANIA STATE UNIVERSITY**  
 104 Davey Laboratory  
 University Park, PA 16802
 

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**364. VIBRATIONAL AND ELECTRONIC PROPERTIES OF FULLERENE AND CARBON-BASED CLUSTERS**

J. S. Lannin, Department of Physics  
 (814) 865-9231 01-1 \$104,584

Raman scattering studies of  $A_xC_{60}$  and  $A_xB_{1-x}C_{60}$  (where A = Rb, K, Li and Na) thin and ultrathin films to clarify effects of alkali type and concentration on structural disorder and electron-phonon coupling. Metal- $C_{60}$  interactions. Role of additional charge

transfer in electron-phonon coupling effects. Study of  $Ba_xC_{60}$  ultrathin films with IERS. Studies using IERS on ultrathin films of metal species incorporated into multilayer structures. Determination if low frequency phonons play a significant role in electron-phonon coupling and superconductivity. Examination of other fullerene systems.

### 365. FUNDAMENTAL STUDIES OF PASSIVITY AND PASSIVITY BREAKDOWN

D. D. Macdonald, Department of Materials Science and Engineering  
(814) 863-7772 01-3 \$162,029

Study of the effects of minor alloying elements on passivity breakdown and of photo effects on properties of passive films. Use of electrochemical and photoelectrochemical techniques to explore transport and kinetic properties of vacancies and charge carriers in films and at metal/film and film/solution interfaces. Development of point defect and solute/vacancy interaction models. Electrochemical impedance spectroscopy to determine transport properties of vacancies in passive films and to explore kinetics of vacancy generation and annihilation at metal/film and film/solution interfaces. Kinetics of localized attack. Design new corrosion-resistant alloys and explore susceptibilities of existing alloys to pitting corrosion.

### 366. PARTITION OF NITROGEN, OXYGEN AND HYDROGEN BETWEEN THE WELD POOL AND ITS ENVIRONMENT

T. DebRoy, Department of Materials Science and Engineering  
(814) 865-1974 01-5 \$132,118

Improved control of weld metal composition and properties through fundamental understanding of welding. Partition of nitrogen, oxygen and hydrogen in weld pool and its environment. Understanding principles of partition through physical simulation. Improved understanding of the role of oxygen in affecting the dynamics of heat transfer and fluid flow. Incorporation of improved interfacial physics and chemistry in numerical simulation of weld pool behavior. Ongoing collaborative program with Oak Ridge National Laboratory.

### 367. AN INVESTIGATION OF THE STRUCTURE AND PHASE RELATIONS OF C-S-H GELS

M. W. Grutzeck  
(814) 863-2779

A. Benesi  
(814) 865-0941 01-5 \$91,142 (14 months)

Structural and compositional evolution of calcium silicate and calcium silicate hydrates (C-S-H) gels during hydration; magic angle spinning and cross polarization magic angle spinning NMR, TEM,

trimethylsilylation, BET, SEM, XRD and TGA/DTA; effect of drying methods, alkali chloride and carbonation on C-S-H structure. Hydration model developed.

### 368. BASIC SCIENCE OF NEW AEROGELS

R. Roy, Materials Research Laboratory  
(814) 865-3421

S. Komarneni, Materials Research Laboratory  
(814) 865-1542 03-2 \$30,000

Synthesis and characterization of nanocomposite materials. Specifically, the preparation and properties of mixed phase aerogels - nanocomposite aerogels - which differ in composition, or in structure, or in morphology, or in any combination of these three.

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## UNIVERSITY OF PENNSYLVANIA

3231 Walnut Street  
Philadelphia, PA 19104

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### 369. SCANNING TUNNELING MICROSCOPY AND SPECTROSCOPY OF CERAMIC GRAIN BOUNDARIES

D. A. Bonnell, Department of Materials Science and Engineering  
(215) 898-6231 01-1 \$75,939

Investigation of the effects of interfacial structure and chemistry on the local electrical properties at grain boundaries in ceramics using scanning tunneling microscopy (STM) and transmission electron microscopy (TEM). Develop improved understanding regarding the imaging of large band gap structures in STM. Studies to include doped and undoped, single crystal and polycrystalline Si, ZnO, TiO<sub>2</sub>, and SrTiO<sub>3</sub>.

### 370. STRUCTURE AND DYNAMICS IN LOW-DIMENSIONAL GUEST-HOST SOLIDS

J. E. Fischer, Department of Materials Science and Engineering  
(215) 898-6924 01-1 \$144,108

Structural and dynamical studies on layer intercalates and doped polymers and fullerenes. Emphasis on competing interactions on phase equilibria, lattice dynamics and microscopic diffusion phenomena in low-dimensional systems. Study of staging phenomenon. X-ray, elastic and inelastic neutron scattering performed as a function of temperature, hydrostatic pressure, doping or intercalate concentration and/or chemical potential. Materials include graphite intercalations (especially with Li and AsF<sub>6</sub>), Li-intercalated TiS<sub>2</sub> and alkali-doped polymers and fullerenes.

### 371. ATOMISTIC STUDIES OF GRAIN BOUNDARIES AND HETEROPHASE INTERFACES IN ALLOYS AND COMPOUNDS

V. Vittek, Department of Materials Science and Engineering  
(215) 898-6703 01-1 \$115,359

Atomistic computer simulation studies of grain boundaries in binary ordered and disordered alloys. Investigation of grain boundaries with segregated solutes. Study of grain boundary and metal-ceramic interface electronic structure. Methods of calculation of interatomic forces.  $Ni_3Al$ ,  $Ti_3Al$ ,  $TiAl$ ,  $Cu-Bi$  and  $Cu-Ag$  are candidate alloys to be studied.

### 372. STRAIN LOCALIZATION AND EVOLVING MICROSTRUCTURES

C. Laird, Department of Materials Science and Engineering  
(215) 898-6703

J. L. Bassani, Department of Mechanical Engineering, and Applied Mechanics  
(215) 898-7106 01-2 \$141,453

Study of micromechanics of deformation and fracture processes at grain boundaries as affected by the structure of the boundary, slip geometry, hardening under multiple slip deformation, and the incompatibility of deformation at the boundary. Monotonic and cyclic experiments will focus on copper bicrystals and slip line analysis. TEM will be combined with continuum methods. The behavior of copper will be compared to  $Cu-Al$  having different stacking fault energies and a planar-slip mode.

### 373. CONDENSED MATTER PHYSICS AT SURFACES AND INTERFACES OF SOLIDS

E. J. Mele, Department of Physics  
(215) 898-3135 02-3 \$55,000

Theoretical studies of the lattice dynamics of reconstructed semiconductor surfaces. Computations, employing a developed theoretical model, will be used to investigate the effects of surface defect configurations through the surface elastic properties, the effects of simple commensurate surface defects and the effects of defect configurations which break the translational symmetry parallel to the surface. The systems will be investigated by a generalization of a long wavelength elastic theory to describe scattering of elastic waves by the various surface and configurations. An investigation of the dynamics of strongly correlated many fermion systems near the Mott insulating limit will be made.

## UNIVERSITY OF PITTSBURGH

Pittsburgh, PA 15261

### 374. STRUCTURE-PROPERTY RELATIONSHIPS IN ULTRA-FINE MICROSTRUCTURES: EXTRAORDINARY MAGNETIC AND MECHANICAL PROPERTIES

W. A. Soffa, Department of Materials Science and Engineering  
(412) 624-9728 01-3 \$94,400

The fundamental basis for the enhanced coercivities exhibited by melt-spun equiatomic  $Fe-Pd$  alloys compared to the bulk are investigated. This includes quantitative work comparing the scale of the microtwins and APB in bulk alloys and melt-spun ribbon, and in-situ observations of domain wall motion. An APB pinning model will be established, and the energetics of thermally activated wall motion will be addressed.

### 375. THE PHYSICS OF PATTERN FORMATION AT LIQUID INTERFACES

J. V. Maher, Department of Physics and Astronomy  
(412) 624-9007 02-2 \$114,000

The formation of patterns at liquid interfaces and the behavior of interfaces inside disordered systems is investigated in: 1) a study of the changes in patterns available to the growth of a macroscopic interface when that interface is grown over one of a variety of "microscopic" lattices, 2) a study of reversible aggregation of colloidal particles in a mixed solvent, and of the interactions and relaxations of both solvent and suspended particles when thermodynamic conditions are changed for a liquid matrix with suspended particles or fibers, and 3) an investigation of the sedimentation of particles in a quasi-two-dimensional viscous fluid, with attention both to the dynamics of the flow and to the roughness of the resulting surface of settled particles.

### 376. DESIGNING INTERFACIALLY ACTIVE COPOLYMERS THROUGH MODELING AND STIMULATION

A. C. Balazs, Department of Materials Science and Engineering  
(412) 648-9250 03-2 \$17,439 (3 months)

Computer simulations and theoretical models to examine how the self-association reactions of amphiphilic polymers affect surface adsorption. Of particular interest is understanding how the architecture of the polymer chain and conditions such as the nature of the surface or solvent affect the extent of adsorption and the morphology of the interfacial layers. By understanding the factors that affect adsorption, predictions of chain geometries and conditions will yield the optimal interfacial

structure for such applications as steric stabilization, adhesion and film growth. The approach involves using statistical mechanics, molecular dynamics and Monte Carlo computer simulations to model the polymer-surface interactions. These studies can allow the determination of how varying molecular structure or the chemical environment affects the properties of the interface.

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### POLYTECHNIC UNIVERSITY

Six MetroTech Center  
Brooklyn, NY 11201

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#### 377. PROCESSING, DEFORMATION AND MICROSTRUCTURE OF SINGLE CRYSTAL $L1_0$ TYPE INTERMETALLIC COMPOUNDS

S. H. Whang, Department of Metallurgy and Materials Science  
(718) 260-3144 01-2 \$98,176

Processing, deformation, and microstructural characterization of single crystals  $L1_0$  type TiAl and CoPt compounds to elucidate mechanical property-microstructure relationship, in particular in relationship with the anomalous hardening in TiAl. Elastic constants and TEM observations of dislocation structures will be employed to develop theoretical models to explain the deformation mechanism and fracture behavior in TiAl.

#### 378. SCANNING TUNNELING MICROSCOPY OF SOLIDS AND SURFACES

E. L. Wolf, Department of Physics  
(718) 260-3080 02-2 \$96,000

Development of Scanning Tunneling Microscopy (STM) techniques for the study of solids and their surfaces. Investigation of the normal and superconducting states of high- $T_c$  materials, such as  $Bi_2Sr_2CaCu_2O_8$ , to obtain information about pair symmetries, density of states, gap energies, flux lattices, tunneling phenomena, and proximity effects as functions of temperature, oxygen stoichiometry, intercalation doping species, and external magnetic fields. Study of electron states and transport in mesoscopic metals and nanoscale structures.

#### 379. STRONGLY CORRELATED ELECTRONICS MATERIALS

P. Riseborough, Department of Physics  
(718) 260-3675 02-3 \$56,725

Theoretical studies of the effects of strong electronic correlations on highly degenerate narrow band materials such as uranium and cesium based f band metals. Short range ordering that may occur as a result of local moment correlations using a  $1/N$  expansion, where N is the degeneracy of the

material. Similar techniques applied to high- $T_c$  superconductors. Field dependence of the de Haas-van Alphen effect. Compton scattering and Angle Resolved Photoemission Spectra for the latter materials. Comparison of theory with these and other experimental observations.

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### PRINCETON UNIVERSITY

Princeton, NJ 08544

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#### 380. VISCOELASTICITY OF POLYMER MELTS

W. W. Graessley, Department of Chemical Engineering  
(609) 258-5721 01-2 \$55,000

Influence of molecular weight distribution in linear polymers and effects of long-chain branching on viscoelastic properties. A variety of model materials will be used in experimental portion, including unsymmetrical star polymers as well as linear chains and symmetrical stars in the form of binary mixtures. Develop a theoretical framework for polymer melt dynamics that includes a wide-variety of chain architectures.

#### 381. THERMOCHEMICAL STUDIES OF THE STABILITY OF NITRIDES AND OXYNITRIDES

A. Navrotsky, Department of Geological and Geophysical Sciences  
(609) 258-4674 01-3 \$97,455

The basic thermodynamic properties of nitrides and oxynitrides and the relations among energetics, structure, and bonding are far less well known than for oxides. The goals of this work are to develop high temperature reaction calorimetric techniques for measuring enthalpies of formation of nitrides and oxynitrides, to determine energetics of silons and ternary nitrides, and to formulate thermochemical systematics useful for predicting phase stability, materials compatibility, and the synthesis of new compounds.

#### 382. DEVELOPMENT OF ADVANCED X-RAY SCATTERING FACILITIES FOR COMPLEX MATERIALS

P. Eisenberger, Princeton Materials Institute  
(609) 258-4580 02-2 \$0 (0 months)

Construction and implementation of the Complex Materials Collaborative Access Team (CMC-CAT) Beamline at the Advanced Photon Source will be performed. Research is aimed at structural characterization of complex materials. Included materials include complex fluids, self-assembling systems, surfaces and interfaces, and heterogeneous materials.

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**383. SURFACE STRUCTURE AND STEREOCHEMICAL PROPERTIES OF SELF-ASSEMBLED MONOLAYER MATERIALS**

G. Scoles, Department of Chemistry  
(609) 258-5570 03-2 \$100,000 (8 months)

Fundamental investigation of the self-assembly at metallic surfaces of substituted long-chain hydrocarbons with complex head groups. Use of both low energy atom diffraction and grazing incidence x-ray diffraction for structural characterization of monolayers of the chain hydrocarbons as a function of the chemical composition of their respective terminal groups. Determination of relative positions, alignment and orientations of the terminal groups not only as a function of the chain length of the supporting hydrocarbon but also as a function of temperature. Measurement of the stereoreactivity of the functional groups, such as double bonds and halogen substituted methyl groups, by exposure of the monolayers to collimated fluxes of reactive species (e.g., oxygen and fluorine; and the determination of the reaction probabilities as a function of direction and energy of the incoming species. Specific examples of monolayer systems used in the studies are  $C_8H_{17}SH$  and  $C_{22}$  chains and with either  $-CH_2Br$  or  $-CH=CH_2$  terminal groups.

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**PURDUE UNIVERSITY**  
West Lafayette, IN 47907

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**384. BEAM LINE OPERATION AND MATERIALS RESEARCH UTILIZING NSLS**

G. L. Liedl, Materials Engineering Division  
(317) 494-4100 01-1 \$279,366

A grant to support MATRIX, a group of scientists from several institutions who have common interests in upgrading and in utilizing X-ray synchrotron radiation for unique materials research. The group has available a specialized beam line at the National Synchrotron Light Source (NSLS). A unique and versatile monochromator provides radiation to a four-circle Huber diffractometer for the basic system. Multiple counting systems are available as well as a low temperature stage, a high temperature stage, and a specialized surface diffraction chamber. The grant covers the operational expenses and system upgrade of this beam line at NSLS for all MATRIX members, and to support part of the research on phase transformation studies, X-ray surface and interface studies.

**385. MIDWEST SUPERCONDUCTIVITY CONSORTIUM**

A. L. Bement, Department of Materials  
Engineering and Physics  
(317) 494-5567 01-5 \$3,290,419

The Midwest Superconductivity Consortium (MISCON) was formed in response to Congressional direction. The consortium emphasis is in issues of ceramic superconductor synthesis, development, processing, electron transport, and magnetic behavior. Efforts are both theoretical and experimental. The membership includes Purdue University, University of Nebraska, Notre Dame University, Ohio State University, Indiana University, and the University of Missouri-Columbia.

**386. GAMMA SCATTERING IN CONDENSED MATTER WITH HIGH INTENSITY RADIATION**

J. G. Mullen, Department of Physics  
(317) 494-3031 02-2 \$65,000

Development of techniques and methodology for the use of ultra high intensity Mossbauer and x-ray sources for the investigation of condensed matter systems. High precision and accurate measurement of Lamb-Mossbauer and Debye-Waller factors are performed in order to provide information about the lattice dynamics of various materials. Examples of investigated systems are metallic tungsten with a  $^{183}W$  Mossbauer source, dilute concentrations of tin in a lead host with a  $^{119}Sn$  source, and the fcc metals copper, silver, and lead by use of a  $^{183}Ta$  source. Detailed studies, as a function of temperature, of the satellites about the x-ray Bragg lines for GaAs/AlAs superlattice with various repeat distances.

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**RENSSELAER POLYTECHNIC INSTITUTE**  
Troy, NY 12180

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**387. MECHANISM OF MECHANICAL FATIGUE OF SILICA GLASS**

M. Tomozawa, Department of Materials  
Engineering  
(518) 276-6451 01-2 \$0 from prior year

Mechanism of cyclic fatigue and analysis of fatigue kinetics in fused silica. Measurement of diffusion coefficient and solubility of water in silica glass as a function of stress, temperature, and water vapor pressure. Preparation of silica glass containing various water contents. Effect of water content on swelling and mechanical property alteration. Effect of environment on crack initiation and propagation. Comparison of cyclic and static fatigue in various environments.

**UNIVERSITY OF RHODE ISLAND**

317A East Hall  
Kingston, RI 02881-0817

**388. PHYSICS WITH ULTRACOLD AND THERMAL NEUTRON BEAMS**

A. Steyerl, Department of Physics  
(401) 792-2204 02-1 \$25,019

It is proposed to extend the methods of surface reflectometry to the use of ultra cold neutrons. This offers the unique possibility to improve the experimental sensitivity to the point where extremely small momentum and energy transfers relevant in critical surface phenomena will be accessible to experiment. A combination of the ultracold neutron technique with X-ray and thermal neutron reflectometry as well as other techniques should lead to a more complete picture of surface properties. The techniques for this work require the development of high precision neutron optics. Applications are to magnetic multilayer systems, surfactant effects, polymer films, and to effects influencing neutron lifetimes.

**RICE UNIVERSITY**

Houston, TX 77251-1892

**389. HIGH-RESOLUTION MAGNETIC IMAGING AND INVESTIGATIONS OF THIN-FILM MAGNETISM WITH SPIN-POLARIZED ELECTRON, ION AND ATOM PROBES**

G. K. Walters, Department of Physics  
(713) 527-6046

F. B. Dunning, Department of Physics  
(713) 527-8101 02-2 \$200,000

Exploitation of unique capabilities in electron spin polarimetry, polarized particle beams, and spin-sensitive electron spectroscopies to develop new imaging spectroscopies that are suitable for high-resolution studies of magnetic domain structures of thin films and multilayers. An existing Scanning Electron Microscope (SEM) will be modified to obtain an SEM with Polarization Analysis (SEMPA), which is estimated to be able to inspect written magnetic domain patterns on a thin film within a 20 to 50 nanometer length scale. Use of a incident beam of spin-polarized low energy He<sup>+</sup> ions to develop a Spin-Polarized Ion Neutralization Spectrometer (SPINS) that would be useful to image magnetic domain structures with a spatial resolution of about one micrometer. Application of the SEMPA and SPINS imaging techniques to investigate basic questions of magnetic data storage materials. Studies of

ferromagnetic surfaces and thin films by use of Spin-Polarized Metastable (atom) Deexcitation Spectroscopy (SPMDS). Adaptation of existing SPMDS apparatus in order to study the interaction of Rydberg atoms with surfaces.

**ROCKWELL INTERNATIONAL**

1049 Camino Dos Rios  
Thousand Oaks, CA 91358

**390. MECHANISMS TEMPERATURE FRACTURE AND FATIGUE OF CERAMICS**

B. N. Cox, Science Center  
(805) 373-4128

D. B. Marshall, Science Center  
(805) 373-4170

W. L. Morris, Science Center  
(805) 373-4545 01-2 \$105,057

Investigate the relationship between microstructure and fatigue behavior in fiber/whisker and metal reinforced ceramics. Distinguish crack bridging and crack-tip-shielding mechanisms by very precise measurements of crack opening displacements and displacements fields ahead of the crack tip using a computer-based high accuracy strain mapping system (HASMAR). Study the rate of change of crack bridging forces and the nonlinear constitutive behavior that causes crack shielding. Systematic studies of the effects of variations in microstructure and changes in interface characteristics on fatigue.

**STATE UNIVERSITY OF NEW JERSEY RUTGERS**

Piscataway, NJ 08855

**391. THERMODYNAMIC AND KINETIC BEHAVIOR OF SYSTEMS WITH INTERMETALLIC AND INTERMEDIATE PHASES**

A. G. Khachatryan, Department of Mechanics and Materials Science  
(908) 932-2888

T. Tsakalakos, Department of Mechanics and Materials Science  
(908) 932-4711

S. Semenovskaya, Department of Mechanics and Materials Science  
(908) 932-4711 01-1 \$94,128

Development of theoretical and computational simulation methods which can study the diffusional (ordering and decomposition) and martensitic

transformations in metal alloys and complex ceramics over different temperature and stoichiometry ranges.

**392. MULTICOMPONENT GLASS SURFACES: STRUCTURE AND ADSORPTION**

S. H. Garofalini, Department of Ceramics  
(908) 932-2216 01-3 \$104,161 from prior year

Molecular dynamic simulation of multicomponent glass surfaces, adsorption behavior and thin film formation using classical multibody and Embedded Atom Method (EAM) potentials and quantum chemical Car-Parrinello techniques. Experimental surface analysis with XPS, Ion Scattering Spectroscopy (ISS) and atomic force microscopy (AFM). Silicate glasses containing alkali metals, alkaline earths and network forming cations such as Al, Ti or B; adsorbates include Pt or Au, reactive species such as Al or Ti, and weakly interacting gas molecules/atoms (N<sub>2</sub>, Ar and Ne).

**SOUTH CAROLINA STATE UNIVERSITY**

300 College Street, N.E.  
Orangeburg, SC 29117

**393. CHARACTERIZATION AND THERMOPHYSICAL PROPERTIES OF BI-BASED CERAMIC SUPERCONDUCTORS: PART A**

J. E. Payne, Department of Physics  
(803) 536-7111 01-3 \$118,120

The measurement of the heat capacity of YBCO and BiSCCO single crystal superconductors is being performed. Because available single crystals are small, microcalorimetry techniques, suitable for measuring submilligram specimens are used. Thermophysical measurements are expected to provide insight into the origin of the superconductivity state, and characterizing electron-phonon interaction.

**UNIVERSITY OF SOUTHERN CALIFORNIA**

Los Angeles, CA 90089

**394. FACTORS INFLUENCING THE FLOW AND FRACTURE OF SUPERPLASTIC CERAMICS**

T. G. Langdon, Department of Materials Science  
(213) 740-0491 01-2 \$106,187

Superplastic flow in ceramics; role of grain boundaries; yttrium oxide- tetragonal zirconia polycrystalline (Y-TZP) ceramics; grain-boundary glassy phase. Relationship between stress and strain rate as function of temperature and stresses, threshold stress; interrelationship between value of

stress exponent, impurity level, and area fraction of intergranular glassy phase; effect of grain size on strain rate and activation energy; factors influencing tensile elongation to failure; cavitation.

**395. SYNTHESIS AND CHARACTERIZATION OF SELF-ASSEMBLING WATER-SOLUBLE POLYMERS**

T. E. Hogen-Esch, Department of Chemistry  
(213) 740-5980

E. J. Ams, Department of Chemistry  
(213) 743-6913 03-1 \$95,000

Synthesis of water-soluble vinyl and other polymers capable of self-assembly through hydrophobic bonding of pendent fluorocarbon and other hydrophobic groups. Study of the self-assembly process by viscometry and dynamic viscoelasticity, and by static and dynamic light scattering. Identification of polymer structural features that are important in enhancing the viscosity of aqueous polymer solutions at polymer concentrations below 1000 ppm. Small angle neutron scattering measurements to determine the size of the fluorocarbon containing hydrophobic aggregates. Investigation of the degree of self assembly as a function of the type and length of the hydrophobic groups and the type and length of flexible spacer groups linking the hydrophobic to the polymer backbone. Study of some hydrophilic comonomers such as acrylamide, N-vinylpyrrolidone and anionic or cationic vinyl monomers. Surface interactions studied by adsorption of copolymers onto appropriately modified latex spheres. Exploration of their synthesis of water-soluble polymers capable of self assembly through interactions of pendent polyanions and polycations.

**SOUTHERN UNIVERSITY**

P. O. Box 11746  
Baton Rouge, LA 70813

**396. INSTALLATION OF A SYNCHROTRON RADIATION BEAMLINE FACILITY AT THE J. BENNETT JOHNSTON, SR. CENTER FOR ADVANCED MICROSTRUCTURES AND DEVICES FOR THE SCIENCE AND ENGINEERING ALLIANCE**

R. Gooden, Department of Chemistry  
(504) 771-3994 02-2 \$300,000

Initiation and performance of materials sciences research by scientists from member institutions of the Science and Engineering Alliance at the J. Bennett Johnston, Sr. Center for Advanced Microstructures and Devices (CAMD), Louisiana State University, Baton Rouge, Louisiana using existing CAMD beamlines; the long-term goals, following establishment of the materials sciences research



program are the design, construction, installation, and use of end stations on existing CAMD beamlines unique to the research programs of the Science and Engineering Alliance (SEA) institutions, and, ultimately the design, construction, and operation of dedicated synchrotron radiation beam lines supporting the research of the SEA member facilities and students. Members of the SEA include Alabama A&M University, Jackson State University, Prairie View A&M University and Southern University and A&M College.

**397. SPECTROSCOPIC STUDIES AND MAGNETIC PROPERTIES OF SELECTED RARE EARTH ALLOYS**

R. C. Mohanty, Department Of Physics  
(504) 771-4130 02-2 \$82,000

Research to study the magnetic properties of alloys which are predominantly composed of transition metals and rare earths. Synthesis of suitable alloys and then their magnetization characterization by various techniques. Investigation of the phase equilibria and microstructures of the alloys after various types of heat treatments, the determination of the alloys' hard magnetic properties as a function of temperature, and the elucidation of those magnetic properties as a function of heat treatment and microstructural variations. Examples of materials to be investigated are alloys in the classes of  $Nd_2Fe(T)_{14}B$ ,  $Nd(Dy)_2Fe(Co,T)_{14}B$ , and  $Nd_2Fe(T)_{14}BX$ , where T represents small concentrations of either Ru or Rh and X is either Be or C.

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**STANFORD UNIVERSITY**

Stanford, CA 94305-2205

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**398. STRUCTURAL RELIABILITY OF CERAMICS AT HIGH TEMPERATURE: MECHANISMS OF FRACTURE AND FATIGUE CRACK GROWTH**

R. Dauskardt, Materials Sciences and Engineering  
(415) 725-0679 01-2 \$130,000

Study of the fundamental micromechanisms of cyclic fatigue in several classes of ceramics and ceramic-matrix composites. Subcritical crack growth under cyclic applied loads at temperatures as high as 1400°C. Identification of mechanisms responsible for cyclic fatigue, a study of their temperature dependence, and development of mechanistic models describing fatigue failure. Adaptation and development of life prediction procedures. Ultimate goal of the research is to provide a basis for the design of composite ceramic microstructures with optimum resistance to cyclic fatigue.

**399. MECHANICAL PROPERTIES OF THIN FILMS AND LAYERED MICROSTRUCTURES**

W. D. Nix, Department of Materials Science and Engineering  
(415) 725-2605 01-2 \$142,949

Study of the strength and adhesion properties of thin films and metal multilayers. FCC/BCC metal multilayer combinations with a wide range of wavelengths made by sputter deposition. X-ray diffraction studies and substrate curvature measurements of multilayer stresses and TEM for the study of microstructure, defects and interfacial epitaxy. Nanoindentation substrate curvature measurements and bulge testing using a laser interferometer system. Modeling of the strength properties of metal multilayers.

**400. STUDIES OF SMALL MAGNETIC STRUCTURES USING NEAR-FIELD MAGNETO-OPTICS**

A. Kapitulinik, Department of Applied Physics  
(415) 723-3847 02-2 \$0 (0 months)

The novel technique of Sagnac magnetometry will be used in both the far field and near field modes to study the magnetic microstructure of thin magnetic films and multilayers on a sub-micrometer length scale. With this method, sufficient resolution and sensitivity will be achieved to study the structure of small domains and domain walls. This method has an advantage over other probes such as electron microscopy and atomic force microscopy in that it can be performed in any size magnetic field. This method has had great success in the search for anyone superconductivity in the high temperature superconductors. The method does not have the resolution of SEMPA (scanning electron microscopy with polarization analysis), but it is laser based spectroscopy and thus has a much wider range of applicability. The work proposed here will apply a new tool to the study of magnetism and will open a whole new field.

**401. ULTRA-LOW TEMPERATURE PROPERTIES OF AMORPHOUS SOLIDS**

D. D. Osheroff, Department of Physics  
(415) 723-4228 02-2 \$112,000

The low temperature dielectric properties of amorphous systems are being investigated. The correlation between properties and the density of two level systems is being examined to understand low temperature saturation effects and to test for the importance of interactions. Thin film glass thermometry is being developed and applied to the study of heat capacities of crystalline materials at ultra-low temperatures to elucidate the nature of disorder in crystalline systems.

**402. SEARCH FOR THE MECHANISM OF HIGH- $T_c$  SUPERCONDUCTIVITY**

J. P. Collman, Department of Chemistry, 5080  
(415) 725-0283

W. A. Little, Department of Physics  
(415) 723-4233 03-1 \$75,000 (9 months)

The proposed research is a two-pronged attack on the question of the nature of the mechanism responsible for the superconductivity of the high  $T_c$  superconductors using two newly developed techniques uniquely suited for such studies. One involves the measurement of minute changes in the reflectivity of a superconducting sample upon entering the superconducting state, and the other the use of tri-layer, N'NS proximity effect sandwiches for studies of interference phenomenon. Electrochemical experiments using the high  $T_c$  electrodes at cryogenic temperatures are also being conducted.

**STATE UNIVERSITY OF NEW YORK AT BUFFALO  
Buffalo, NY 14260-3000****403. SUNY BEAMLINE FACILITIES AT THE NATIONAL SYNCHROTRON LIGHT SOURCE**

P. Coppens, Department of Chemistry  
(716) 645-2217 02-2 \$250,000

Development and operation of beamline facilities at the National Synchrotron Light Source for x-ray diffraction, x-ray absorption spectroscopy, and other x-ray scattering techniques by a participating research team composed of investigators from many of the State University of New York campuses, Alfred University, E. I. DuPont de Nemours, the Geophysical Institution and collaborative work with numerous other institutions. The research interests are: structure of materials, electronic structure of materials, surface physics, compositional analysis, and time-dependent biological phenomena.

**404. X-RAY STUDIES OF MICROSTRUCTURES IN SEMICONDUCTOR AND SUPERCONDUCTING MATERIALS**

Y. H. Kao, Department of Physics  
(716) 645-2576 02-2 \$100,000

State-of-the-art techniques making use of the high-intensity x-rays from synchrotron radiation are employed for a systematic study of the short-range-order microstructures in multilayer semiconductors. Emphasis is on studies of semiconductor heterostructures and superlattices grown by molecular beam epitaxy. Focus is on the interfacial microstructures and the effects of chemical doping.

**STATE UNIVERSITY OF NEW YORK AT STONY BROOK**

Stony Brook, NY 11794

**405. ATOMIC AND ELECTRONIC STRUCTURE OF METALS AND ALLOYS - CLEAN SURFACES AND CHEMISORBED MOLECULES**

J. P. Jona, Department of Materials Science and Engineering  
(516) 632-8508 02-2 \$0 (0 months)

Investigation of the atomic and electronic structure of rare-earth metal surfaces, ultra-thin films of metals on metals, and ordered surface alloys. Auger-electron spectroscopy will be used to monitor the chemical composition, and to determine cleanliness, of the respective samples. The surface region atomic geometry of the materials will be determined by qualitative and quantitative low-energy electron diffraction (LEED). In order to study the electronic band structure ultraviolet photoemission spectroscopy (UPS), both in the angle-integrated and in the angle-resolved mode, is to be utilized.

**406. DESIGN OF SUPRAMOLECULAR ORDERED SYSTEMS FOR MESOSCOPIC COLLOIDS AND MOLECULAR COMPOSITES**

B. Chu, Department of Chemistry  
(516) 632-7928 03-2 \$89,482

Kinetics of phase separation in polymer solutions and blends. Structure of phase separated droplets. Size, shape, and distribution of micro domains measured using light and X-ray scattering, excimer fluorescence, and optical microscopy. Phase separation kinetics measured using time-resolved, small angle X-ray scattering at the National Synchrotron Light Source. Studies of rod-like polymers and functionalized rod (hairy rod) polymers. Synthesis and characterization of molecular composites based supramolecular structures.

**407. THE EFFECTS OF CONFINEMENT ON POLYMER CHAINS IN THIN FILMS**

M. Rafailovich, Department of Material Science  
(516) 632-8483

J. Sokolov, Department of Materials Science  
(516) 632-8483 03-2 \$80,000

This program studies the properties of homopolymers and block-copolymers confined to solid and liquid interfaces. The areas of research are the wetting of thin polymer films and polymer brushes, the dynamical properties of grafted polymers in melts and solutions, and the dynamics of asymmetric block co-polymer ordering near surfaces. Complementary

experimental profiling techniques being used in this research include dynamic secondary ion mass spectroscopy (SIMS), atomic force and transmission electron microscopy (AFM and TEM), and neutron and X-ray reflectivity.

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### UNIVERSITY OF TENNESSEE

100 Estabrook Hall  
Knoxville, TN 37996

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#### 408. PAN AMERICAN ADVANCED STUDY INSTITUTES

C. J. McHargue  
(615) 974-7680 01-3 \$100,000

Initiation of the first Pan American Advanced Study Institute in materials science, with the motivation being to help identify opportunities for collaborative scientific endeavors, impart knowledge of recent developments in science and technology, and to stimulate interactions among scientists and engineers of the Western Hemisphere. The Institute is to be held in Merida, México during the period August 13-25, 1995, and is to address the subject "Synthesis, Processing, and Properties of Advanced Materials." The Institute will consist of short, intense courses in selected topics of high current interest and will be conducted at a level suitable for graduate students, post-doctoral students and university faculty. Specific topics to be covered include High Temperature Superconducting Materials, Composites, Structural Ceramics, Diamond and Related Materials, Nanostructured Materials, Cement-Based Systems, and Materials for Micro-Electronic Technologies (non-semiconductor aspects).

#### 409. INVESTIGATIONS OF THE EFFECTS OF ISOTOPIC SUBSTITUTION, PRESSURE, POLYMER SEGMENT NUMBER, AND SEGMENT DISTRIBUTION, ON MISCIBILITY IN POLYMER-SOLVENT AND POLYMER-POLYMER SYSTEMS

A. Van Hook, Department of Chemistry  
(615) 974-5105 03-2 \$125,000

Measurement of phase separation temperature and related properties as a function of isotopic labeling (H/D) and pressure in polymer-polymer and polymer-solvent systems. Comparison, through the use of statistical theory of isotope effects in condensed phases, of isotope effect and pressure effects on the thermodynamic properties of solution, in particular the consolute properties. These measurements will be used to refine present molecular models of polymer-polymer and polymer-solvent interactions. The results will aid in the interpretation of neutron scattering data in H/D mixtures of polymers.

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### UNIVERSITY OF UTAH

304 EMRO  
Salt Lake City, UT 84112

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#### 410. THEORETICAL AND EXPERIMENTAL STUDY OF ORDERING IN III/V SYSTEMS

G. B. Stringfellow, Department of Materials Science and Engineering  
(801) 581-8387 01-1 \$86,625

Explore the growth, ordering, and stability of III/V semiconducting alloys, with large positive enthalpies of mixing, prepared by organometallic vapor phase epitaxy (OMVPE). Emphasis on expanding the ordered structure domain size and increasing the degree of ordering. Characterization of structural, electrical, and optical properties by electron microscopy, electron microprobe, x-ray diffraction, photoluminescence, optical absorption, Raman spectroscopy, Hall effect, van der Pauw conductivity, and magnetoresistance measurements. Computer modeling/simulation of growth and stabilities of these structures. Materials for study include alloys of GaInP, GaAsP, GaAsSb, GaInAsSb, GaPSb, InPSb, and InAsSb.

#### 411. FABRICATION, PHASE TRANSFORMATION STUDIES AND CHARACTERIZATION OF SiC-ALN-AL<sub>2</sub>O<sub>3</sub> CERAMICS

A. V. Virkar, Department of Materials Science and Engineering  
(801) 581-5396 01-1 \$72,494

Phase equilibria, diffusional phase transformations and morphology of resultant phases and structure-property relations in the SiC-ALN-AL<sub>2</sub>O<sub>3</sub> system, with emphasis on SiC-ALN and ALN-AL<sub>2</sub>O<sub>3</sub> pseudobinary. Interdiffusion measurements by Boltzmann-Matano method for ALN-AL<sub>2</sub>O<sub>3</sub> and SiC-ALN; kinetics of late stages and phase transformation in the ALN-AL<sub>2</sub>O<sub>3</sub> system; kinetics of early stage coherent spinodal phase separation in the ALN-AL<sub>2</sub>O<sub>3</sub> and SiC-ALN systems; effect of strain energy on phase separation; computer simulation of morphology of phase separation; potential for nanocomposite formation in the SiC-ALN and ALN-AL<sub>2</sub>O<sub>3</sub> systems. Samples fabricated by hot-pressing; characterization by TEM and XRD.

#### 412. ALUMINA REINFORCED TETRAGONAL ZIRCONIA POLYCRYSTAL (TZP) COMPOSITES

D. K. Shetty, Department of Materials Science and Engineering  
(801) 581-6449 01-2 \$66,072

Transformation toughening and reinforcement in composites; alumina particle, platelet/whisker or fiber reinforcement of ceria- or yttria-partially stabilized

zirconia (Ce-TZP or Y-TZP). Establishment of fiber stress-crack opening relation for crack-bridging in SiC (filament)-glass composites by laser raman spectroscopy. Matrix cracking in SiC-glass and  $Al_2O_3$ -Y-TZP composites in uniaxial tension. Processing of Y-TZP/ $Al_2O_3$  platelet composites to improve fracture toughness and strength. Synergistic effects of fiber and transformation toughening in Y-TZP/ $Al_2O_3$  filament (Saphikon) composites.

#### 413. THE SYNTHESIS OF MOLECULE/POLYMER-BASED MAGNETIC MATERIALS

J. S. Miller, Department of Chemistry  
(801) 581-6681 03-1 \$100,000

The systematic synthesis and chemical characterizations of: glass-like  $V(TCNE)_{x,y}$  (solvent) as a function of solvent and replacement of the TCNE with other acceptors using new growth methods, including the growth of single crystals; metal cyclopentadienyl-TCNE complex solid solutions to investigate spin-spin coupling; new magnetic materials based upon metal cyclopentadienyl complexes with various, new acceptor molecules; and new systems exhibiting magnetic ordering, such as monolayers of  $(RNH_3)_2CrCl_4$ , where R is a long alkyl group capable of self-assembly. Continued collaboration with A. J. Epstein at the Ohio State University.

#### 414. TRANSIENT AND CW OPTICAL STUDIES OF CONDUCTING POLYMERS

Z. V. Vardeny, Department of Physics  
(801) 581-8372 03-2 \$102,000

Study of conducting polymer materials using CW and ultrafast laser spectroscopy. Doped and native polyacetylenes and polythiophenes thin films. Photoexcited electronic states, coupled vibrations, carrier relaxation and recombination processes, resonant Raman spectroscopy. Time-resolved: femtosecond to nanosecond, CW photomodulation spectroscopy, and ultrasonic phonon spectroscopy.

### VIRGINIA COMMONWEALTH UNIVERSITY Richmond, VA 23284-2000

#### 415. CLUSTERS AND CLUSTER REACTIONS

P. Jena, Physics Department  
(804) 828-8991

B. K. Rao, Physics Department  
(804) 828-1820

S. N. Khanna, Physics Department  
(804) 828-1613 01-3 \$155,129

Theoretical studies of the evolution of atomic and electronic structure of Fe, Cu, Ni, and Al neutral and anionic clusters, and on hydrogenation of cluster vs. crystals. Construction of many-body potentials from ab initio Born-Oppenheimer energy surfaces of small clusters and their use in molecular dynamics simulation. Equilibrium geometries of large clusters using the simulated annealing method and model many-body potentials.

### VIRGINIA STATE UNIVERSITY Petersburg, VA 23803

#### 416. CHARACTERIZATION OF SUPERCONDUCTING AND MAGNETIC MATERIALS WITH MUON SPIN ROTATION AND NEUTRON SCATTERING

C. E. Stronach, Department of Physics  
(804) 524-5915 01-3 \$152,271

Use of muon spin rotation to characterize the magnetic states in high temperature and heavy-fermion superconductors. Investigate the relationship between magnetic ordering and superconductivity.

### UNIVERSITY OF VIRGINIA

Thomton Hall  
Charlottesville, VA 22903

#### 417. MICROSTRUCTURAL EVOLUTION IN ELASTICALLY STRESSED SYSTEMS

W. C. Johnson, Department of Materials Science and Engineering  
(804) 982-4884 01-1 \$0 (0 months)

Theoretical and computer simulation of microstructural evolution of two-phase systems under stress. The influence of misfit strains and external

stress on precipitate shape, size and distribution. Computer simulation predicting particle alignment, inverse coarsening and rafting during Ostwald ripening.

#### 418. HETEROGENEOUS NUCLEATION AND GROWTH IN METAL ALLOYS

G. J. Shiflet, Department of Materials Science and Engineering  
(804) 982-5653 01-1 \$94,199 (18 months)

Characterize active heterogeneous nucleation sites and preferred growth centers at these sites in metal alloys. Primary experimental techniques include isothermal heat treatments, conventional, and high resolution electron microscopy. Dynamical calculations are a significant part of the current program. The most fundamental studies will involve coherent nucleation of  $Al_2Li$  on matrix dislocations. Theories due to Cahn and Larche will be tested, and perhaps extended, to understand nucleation kinetics. Growth models will be developed to attempt to understand the unusual morphologies observed. Semi-quantitative analysis will be applied to grain boundary nucleation in Al-Cu and Al-Cu-Mg systems to further examine nucleation at grain boundaries with and without trace elements.

#### 419. SURFACE STRUCTURE AND ANALYSIS WITH SCANNING PROBE MICROSCOPY AND ELECTRON TUNNELING SPECTROSCOPY

R. V. Coleman, Department of Physics  
(804) 924-3781

J. Hsu, Department of Physics  
(804) 924-4576 02-2 \$50,001

Development of scanning tunneling microscopy (STM) and atomic force microscopy (AFM) techniques with emphasis toward improving the observation of surface atomic configurations and the measurement of associated electronic states. Particular attention given to techniques which can be applied over a range of temperature, vacuum conditions and applied magnetic fields. Application of STM and AFM to investigation of the intercalation of transition metal impurities into dichalcogenides and the spatial and magnetic superlattices which result with intercalation. Studies of the detection, creation and manipulation of defects on layered chalcogenides. Investigation of the oxidation processes on iron surfaces and the etch pits at radiation damage tracks in mica.

#### 420. SUPERCONDUCTING MATERIALS

J. Ruvalds, Department of Physics  
(804) 924-3781 02-3 \$72,000

Investigations of high temperature superconductors with emphasis on copper oxide alloys. The key features of the electron spectrum in these materials

will be studied in order to identify the charge carriers. Emphasis will be on quasiparticle damping in view of the anomalous damping observed experimentally and calculated by the principal investigator. Normal state properties of the high temperature oxides will be investigated, including i.e., reflectivity, the Hall effect, electronic Raman scattering, and anomalous susceptibility.

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#### WASHINGTON STATE UNIVERSITY

Pullman, WA 99164-2920

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#### 421. THE ROLE OF DEFECT STRUCTURES IN GRAIN BOUNDARIES ON THE DEFORMATION AND FRACTURE BEHAVIOR OF CRYSTALLINE SOLIDS

R. G. Hoagland, Department of Mechanical and Materials Engineering  
(509) 335-8280 01-2 \$58,800

In-situ TEM observations of gallium penetration along grain boundaries in aluminum. Impurity mobility in polycrystals, bi- and tri-crystals. Atomistic calculations of grain boundary defect structures via EAM. Correlation of Ga mobility and grain boundary structure.

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#### WASHINGTON UNIVERSITY

St. Louis, MO 63130-4899

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#### 422. QUANTUM-MECHANICAL FORCE LAWS IN TRANSITION METALS, INTERMETALLIC COMPOUNDS, AND SEMICONDUCTORS

A. E. Carlsson, Department of Physics  
(314) 935-5739 02-3 \$70,470

Development of computation methods for calculation of interatomic potentials used in simplified tight-binding models of transition metals and their alloys. Extension beyond the tight-binding model. Interatomic potentials tested both by experimental data and density-of-states band calculations. Applied to surfaces and vacancies and subsequently used to calculate phase diagrams and the properties of dislocations and grain boundaries.

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**UNIVERSITY OF WASHINGTON**  
 Seattle, WA 98195
 

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**423. X-RAY AND GAMMA-RAY SPECTROSCOPY OF SOLIDS UNDER PRESSURE**

 R. L. Ingalls, Department of Physics  
 (206) 543-2778 02-2 \$118,750

Investigation of the structure and properties of materials under the influence of high pressure by the use of various x-ray and gamma-ray spectroscopies. Emphasis is on materials which undergo pressure-induced phase transitions; e.g., the bcc-hcp transition in metallic iron, the rotational transition of  $\text{ReO}_6$  and  $\text{WO}_6$  octahedra in, respectively,  $\text{ReO}_3$  and the rubidium tungsten bronze  $\text{Rb}_{31}\text{WO}_3$ , and the NaCl to CsCl transition in the alkali halides. The changes of the magnetic interactions in  $\text{Fe}_2\text{As}$  with high pressure are studied by the Mossbauer effect, as is the low spin to high spin transition of Fe in sodium nitroprusside. Some efforts are made to advance high pressure methodology. X-ray spectroscopies employed are XANES (x-Ray absorption near-edge structure) and EXAFS (extended x-ray absorption fine structure).

**424. FORMATION AND PROPERTIES OF SILICON-FLUORITE HETEROSTRUCTURES**

 M. A. Olmstead, Department of Physics  
 (206) 685-3031 02-2 \$60,000

Experimental investigation of the formation, and the properties, of epitaxial interfaces between elemental semiconductors and compound insulators. Growth morphology of the interfaces studied by x-ray photoelectron diffraction and spectroscopy, atomic force microscopy, transmission electron microscopy, x-ray scattering, and photoelectron holography. The optical, vibrational, and electronic properties of the interfaces probed by photoemission techniques. Consideration of prototype interfaces which are formed by deposition of films of  $\text{CaF}_2$  on Si(111) or films of Si on  $\text{CaF}_2$ , with film thickness of less than a monolayer to several tens of angstroms. Some extension of the interface studies to related heterostructures and quantum structures.

**425. NEAR-EDGE X-RAY SPECTROSCOPY THEORY**

 J. J. Rehr, Department of Physics  
 (206) 543-8593 02-2 \$34,665 (0 months)

A theoretical-calculational investigation of various deep core x-ray spectroscopies such as x-ray absorption fine structure (XAFS), photoelectron diffraction (PD), and diffraction anomalous fine structure (DAFS). Development, maintenance, and distribution of computer codes to provide a state-of-the-art means to obtain a theoretical

mimicry which can be compared with experimental XAFS-type spectra. Important features of the codes are portability and their ease of application to various x-ray spectroscopies. All relevant multiple-scattering and atomic vibrations effects are included in the codes. Special emphasis placed on the theoretical development of improved treatment of many-body and electron self-energy effects with their eventual inclusion into the library of codes, which is important in order to obtain the best possible agreement between calculated and experimental spectra in their near-edge region (less than 100 eV).

**426. FURTHER XAFS INVESTIGATION OF PHASE TRANSITIONS**

 E. A. Stern, Department of Physics  
 (206) 543-2023 02-2 \$80,000

Use of x-ray absorption spectroscopy to investigate phase transitions in various materials. Investigation of lattice instabilities, defect structures, local deviations from average structure, and the range of interactions that cause structural instabilities. Examples of specific investigations are the range of the tetragonal distortion interaction in  $\text{PbTiO}_3$ , the antiferrodistortive transition in  $\text{Na}_{1-x}\text{K}_x\text{Ta}_2\text{O}_7$ , the orthorhombic to tetragonal transition in the high temperature superconductor  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ , and the local disorder in the  $\text{AgBr}_{1-x}\text{Cl}_x$  system.

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**UNIVERSITY OF WISCONSIN AT MADISON**  
 Madison, WI 53706
 

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**427. INSTALLATION OF MAXIMUM AT ALS**

 F. Cerrina, Department of Electrical and Computer Engineering  
 (608) 877-2402 02-2 \$74,120

The X-ray microscope MAXIMUM is to be moved to the Advanced Light Source and installed on an existing beamline of the Center for X-ray Optics. The experimental program will be resumed – both to continue progress in the scientific investigations and to verify a successful move. In this initial phase, the increased brightness of the source will be exploited with very minor modifications. At the next stage, major modifications will be incorporated to exploit specific properties of the Advanced Light Source. Even in Phase I, the photoemission experiments will be being performed with a lateral resolution of few 100's of Angstroms. The significantly improved brightness will enable gathering data in much reduced time: an important consideration for materials which contaminate easily.

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**UNIVERSITY OF WISCONSIN AT MILWAUKEE**  
 Milwaukee, WI 53201
 

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**428. UNDULATOR SPECTRO-MICROSCOPY FACILITY AT THE ADVANCED LIGHT SOURCE**

 B. P. Tonner, Department of Physics  
 (414) 229-4626 02-2 \$0 (0 months)

This grant supports part of a proposal to design and construct a beamline at the ALS. The DOE portion of the budget will pay for the design construction and commissioning of: 1) a photoelectron diffraction and holography station, 2) a scanning photoemission microscope (SPEM), 3) a fluorescence spectrometer, and 4) a multilayer optics X-ray beam splitter. The SPEM will have a spatial resolution close to the diffraction limit of 200 nm. This is 5 times greater than the current state of the art instrument. The spectral resolution of the instrument will be a maximum of 0.1 eV. Specifications for the holography and photoelectron diffraction systems will be drawn up, and sent out for bid. Complete ray tracing for the beamline will be performed to determine the optimal configuration for the SPEM optics.

**429. INELASTIC ELECTRON SCATTERING FROM SURFACES**

 S. Y. Tong, Department of Physics  
 (414) 229-5056 02-3 \$84,390

Theoretical investigation of the geometric and dynamical properties of surfaces by use of *ab initio* multiple scattering methods to extract quantitative surface information from state-of-the-art experimental techniques. Exploration of electron and positron diffraction for surface structural studies using a combination of elastic scattering and emission techniques, as well as imaging techniques based on holographic principles. Interpretation of electron-phonon loss spectra to study localized excitations at metal-semiconductor interfaces and ultrathin epitaxial metal layers by use of highly precise first-principles models and inelastic multiple scattering theory. Some studies which deal with the relation between atomic structure and surface magnetism. Close collaboration with experimental programs based at universities and at DOE laboratories.

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**WORCESTER FOUNDATION FOR EXPERIMENTAL BIOLOGY**  
 Shrewsbury, MA 01545
 

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**430. NOVEL BIOMATERIALS: GENETICALLY ENGINEERED PORES**

 H. Bayley  
 (508) 842-9146 03-2 \$168,000

A section of nanometer-scale pores is being constructed by genetic manipulation of  $\alpha$ -hemolysin ( $\alpha$ HL), a protein secreted by the bacterium *Staphylococcus aureus*. The single polypeptide chain of 293 amino acids forms hexameric pores in membranes ~11Å in internal diameter. Our recent focus has been on the mechanism by which the pore assembles. By analyzing the properties of truncation mutants and two-chain complementation mutants and by studying the chemical modification of single-cysteine mutants, a working model for assembly has been devised. Monomeric  $\alpha$ HL binds to lipid bilayers and undergoes a conformational change (involving the occlusion of a central glycine-rich loop) that allows the formation of a hexameric prepore complex. The open pore is formed when subunits in this complex undergo a second conformational change after which they span the bilayer. Our studies identified the regions of  $\alpha$ HL that are important in each step in assembly and thereby have permitted the design of  $\alpha$ HL polypeptide in which pore-forming activity is modulated by biochemical, chemical or physical triggers and switches. For example,  $\alpha$ HL polypeptide with modified central loops can be activated by specific proteases or reversibly inactivated by divalent cations. Now, point mutagenesis and chemical modification are being used to create pores with different internal diameters, with selectivity for the passage of molecules and ions, and which are gated by a variety of inputs. Ultimately, the new pores will be used to confer novel permeability properties upon materials such as thin films, which might be used as components of energy conversion and storage devices, selective electrodes, electronic devices, selective electrodes, electronic devices, and ultrafilters. \*Funded collaboratively with Energy Biosciences.

## **SECTION C**

Small Business Innovation Research



**ADVANCED FUEL RESEARCH, INC.**

87 Church Street  
East Hartford, CT 06138-0379

**431. X-RAY ABSORPTION SPECTROSCOPY FOR TRACE ANALYSIS OF CHEMICAL PHASE AND COMPOSITION**

D. B. Fenner,  
(203) 528-9806 PH-2 \$749,809

X-ray absorption spectroscopy (XAS) based at synchrotron facilities is an established research tool in a variety of fields, including biochemistry, catalysis, and materials science. Commercialization will depend on increased performance of the X-ray detection system, generation of a suitable XAS spectral library, and development of a standard quantitative analysis methodology for determining the metal oxidation from the X-ray absorption near edge spectroscopy and detailed speculation from the extended X-ray absorption fine structure. In Phase I feasibility was demonstrated by constructing and testing an advanced detector system and by using that system to measure the X-ray absorption spectra of metal contaminated samples. There will be two major thrusts in Phase II: (1) hardware improvements to increase the sensitivity, energy resolution, and stability of the detector system, and (2) technique development, including the measurement of characteristic reference spectra, for determining project is a commercial service based upon the National Synchrotron Light Source at Brookhaven National Laboratory as well as other synchrotron X-ray sources. The information gained from this project will also contribute to evaluation of the potential for application of the technology to related areas of X-ray research.

**CONTAINERLESS RESEARCH, INC.**

910 University Place  
Evanston, IL 60201-3149

**432. AN APPARATUS FOR STRUCTURAL ANALYSIS OF HIGH TEMPERATURE MATERIALS USING SYNCHROTRON RADIATION**

S. Krishnan  
(708) 467-2678 PH-2 \$750,000

This project concerns the development of apparatus and techniques for performing structural analysis of materials at high temperatures using synchrotron radiation. The Phase I results represent a breakthrough in methodologies for structural measurements at high temperature. The key technical innovation in this research is the use of containerless techniques to

position solid and liquid specimens in the X-ray beam. This approach (1) eliminates specimen interaction with the container walls, (2) allows access to metastable states through undercooling and allows in-situ formation of glassy materials (3) eliminates container contributions to diffraction and absorption spectra, and (4) allows control over specimen chemistry. The feasibility of X-ray measurements on liquids and solids at high temperature under containerless conditions was demonstrated in Phase I. X-ray diffraction measurements were obtained on levitated oxide melts including bismuth oxide, barium oxide-bismuth oxide, gallium oxide-bismuth oxide, and yttrium-boron-copper oxide (1-2-3) superconductors. Phase II will (1) develop a turn-key instrument capable of containerless, high-temperature structural measurements on highly reactive, high-melting liquid and solid materials, (2) provide X-ray diffraction studies on important high temperature materials of commercial and scientific interest, (3) investigate different X-ray measurement techniques and X-ray data analysis and software development. Successful completion of Phase II will allow researchers to obtain in-situ structural information on molten materials at high temperatures for the first time. Application to a wide range of materials will be possible, including solid and liquid ceramics, battery materials such as halides, fluorides, and molten salts, metals and alloys (superalloys, transition metals), and semiconductor materials (Silicon, germanium, indium arsenide) without any container interactions or contamination. Controlled in-situ synthesis of new nanophase, electronic, and amorphous materials will also be facilitated by the use of real-time structural measurements with synchrotron radiation.

**HYPER-THERMA HIGH TEMPERATURE COMPOSITES, INC.**

18411 Gothard Street  
Huntington Beach, CA 92648-1235

**433. PSEUDO-POROUS ZIRCONIUM CARBIDE FIBER COATING FOR ENVIRONMENTALLY DURABLE SILICON CARBIDE/SILICON CARBIDE COMPOSITES**

R. J. Shnavski  
(714) 375-4085 PH-1 \$68,955

Ceramic-matrix composites (CMCs) are being actively developed for a variety of high temperature aerospace, industrial and energy-related applications. While possessing high specific strength and stiffness, and high fracture toughness, current CMCs are severely limited by their susceptibility to oxidation embrittlement and strength degradation when stressed at or beyond the matrix cracking stress point and subsequently exposed to high temperature oxidation. This time-related deterioration of properties is related to the thermal and/or environmental instability of fiber coatings used to control the

fiber/matrix interface. The designed properties of the interface that result in crack deflection and fiber pull-out must be maintained to give CMCs their tough behavior. In Phase I, this project will develop and evaluate a fiber coating that maintains its designed properties after long-term exposure to high temperature oxidation. The proposed fiber coating is a pseudoporous zirconium carbide fabricated by chemical vapor deposition methods. Although this material alone has poor oxidation resistance, once it is oxidized, a truly porous zirconia interphase will be left behind. By controlling the initial level of pseudoporosity in the deposited fiber coating, the level of porosity within the stable zirconia interphase can be controlled. This interphase will provide the necessary weak, compliant interlayer necessary for good mechanical properties. It will also separate the silica layer formed on the surface of the fiber and matrix in silicon carbide/silicon carbide (SiC/SiC) composites that results in oxidation embrittlement if the interphase is bridged by the silica scales. SiC/SiC composite panels incorporating three different levels of pseudoporous ZrC and one baseline pyrolytic carbon fiber coating will be produced. Tensile stress-strain and fracture toughness characteristics will be determined at room temperature, following high temperature isothermal conditioning in air, and in post-stressed oxidation environments. Microanalysis will be used to trace the evolution of the pseudoporous ZrC into a stable porous zirconia interface.

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#### **INTRASPEC, INC.**

P. O. Box 4579  
Oak Ridge, TN 37831-4579

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#### **434. A TWO DIMENSIONAL SEMICONDUCTOR IMAGING ARRAY FOR SCATTERED COLD NEUTRONS**

J. Walter  
(615) 483-1859 PH-1 \$75,000

With the advent of stronger sources of cold neutrons, there is a need for much faster response of imaging arrays. Existing two dimensional wire arrays are limited to about 40,000 counts/sec with 10% dead time and are handicapped by ghost images resulting from pile-up problems. Ratios greater than 10,000 in the count rate between peak and normal rates are needed in many experiments. By combining silicon (Si) detector pixel sub-arrays (seven cm square with five mm square pixels) with a neutron-to-charged-particle converter, substantially spherical array systems would be possible with good efficiency, very high count rate capability, and low image distortion from pile-up. The goal of this research is to demonstrate the feasibility of a > 104 pixel array (made up of 196 element sub-arrays) which provides high rate detection and data collection for the angular distribution of low-energy neutrons scattered during structure studies.

The objectives of Phase I are to: (1) confirm the technical feasibility of an Si pixel sub-array for high count rate, (2) obtain low cross talk cold neutron imaging with reasonable detection efficiency, and (3) estimate the development and manufacturing costs for a 0.5 m square array made up of 49 subarrays (9,604 pixels). These sub-arrays will be combined into a large imaging array.

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#### **MISSION SUPPORT, INC.**

P. O. Box 511283  
Salt Lake City, UT 84151-1283

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#### **435. A THERMAL NEUTRON DETECTOR BASED UPON A LANTHANUM BORON GERMANATE SCINTILLATOR**

J. B. Czlr  
(801) 773-7900 PH-1 \$74,947

This project will develop a new class of thermal neutron detectors based upon cerium-activated borate single-crystal scintillators. Thermal-neutron capture in the 10B contained in the crystal with yield a charged-particle signal and a 478 keV gamma ray that will be utilized to provide a coincidence signal. This dual-signal technique will be used to discriminate against gamma-ray backgrounds. The goal of the Phase I project is to discover the most efficient, boron-containing inorganic scintillator material and to develop production techniques that will yield fullscale detectors. If successful, these detectors will provide a significant increase in data quality and quantity over that obtainable from existing systems used at neutron scattering facilities in the United States and internationally.

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#### **PRISM COMPANY**

4 Riverview Terrace  
Dover, MA 02030-2248

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#### **436. ENVIRONMENTALLY BENIGN MANUFACTURING OF COMPACT DISK STAMPERS**

P. Ciriello  
(508) 785-2511 PH-1 \$100,000

This project is aimed at implementing radical changes in the manufacturing process for optically encoded information disks (e.g., compact disks or CDs). The new engineering strategy uses advanced, precision manufacturing techniques to store data on ceramic CD stampers used for injection molding of polycarbonate CDs. By eliminating conventional process steps including electroforming of nickel stampers, the new process dramatically reduces toxic waste production. In addition, the new process cuts manufacturing time in half, and reduces capital and

manufacturing costs. The most important and technically challenging issue in this project is developing an ultraprecision machining process for recording CD information on the new ceramic stamper. The work in Phase I includes fabrication of a full-scale CD stamper and testing it under actual injection molding.

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**SSG, INC.**

150 Bear Hill Road  
Waltham, MA 02154-6397

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**437. ADVANCED SILICON CARBIDE AND BERYLLIUM/ALUMINUM ALLOY INTEGRALLY COOLED X-RAY SYNCHROTRON MIRRORS**

M. I. Anapol  
(617) 890-0204 PH-1 \$74,992

Due to recent advances in synchrotron radiation sources, beamline optics have emerged as a major limitation on beam brilliance at the sample. Advanced high-heat-load, X-ray mirror design and fabrication techniques combined with innovative thermal management schemes must be developed and proven under synchrotron operating conditions. This project will explore: (1) two new substrate materials, silicon carbide (SiC) and a beryllium aluminum (Be/Al) alloy, which offer superior thermal stability and mechanical properties; (2) enhanced cooling techniques (e.g., cryogenic cooling, two-phase flow, subcooled boiling, phase change coolants, liquid metal cooling) with improved heat transfer coefficient and heat removal efficiency; (3) advanced reflective multilayer coating, derived from Department of Defense nuclear hardened and high energy laser technologies; (4) lower cost substrate fabrication (casting and performing to near net shape with integral cooling channels); and (5) rapid optical surfacing (diamond turning, and direct replication for figure control). The Phase I effort will demonstrate the feasibility of SiC and/or Be/Al X-ray mirror technology combined with thermal management for a small (10 cm) beamline mirror under simulated beamline conditions. Phase II will extend the development to a prototype beamline mirror(s) (20 to 50 cm) to be incorporated into a high energy synchrotron facility.

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**ULTRAMET**

12173 Montague Street  
Pacoima, CA 91331-2210

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**438. CONTROLLED SHEAR STRENGTH OXIDATION-RESISTANT INTERFACIAL COATINGS**

A. J. Fortini  
(818) 899-0236 PH-1 \$74,984

Fiber-reinforced ceramics represent an enabling technology for the next generation of aircraft engines and power generating equipment. For use in oxidizing environments, the current state-of-the-art in ceramic matrix composites (CMC) is a silicon carbide (SiC) matrix material reinforced with low-oxygen Nicalon (SiC) fibers. Both components exhibit good oxidation resistance. However, the interfacial materials currently used, boron nitride and pyrolytic carbon, have very poor oxidation resistance at temperatures above approximately 800° and 600°C, respectively. When used in a high temperature oxidizing environment, the interfacial coatings are severely attacked, thereby causing the mechanical properties of the composite to be degraded. In this Phase I project, an oxidation-resistant interfacial coating system will be developed that will allow CMCs to be used in aggressive, oxidizing atmospheres. The coating will not only provide the necessary weak bond between the fibers and the matrix, but it will also be chemically compatible with both the fibers and the matrix at elevated temperatures.

## **SECTION D**

DOE Center of Excellence for the Synthesis  
and Processing of Advanced Materials

## DOE CENTER OF EXCELLENCE FOR THE SYNTHESIS AND PROCESSING OF ADVANCED MATERIALS

### OVERVIEW

The DOE Center of Excellence for the Synthesis and Processing of Advanced Materials is a distributed center for promoting coordinated, cooperative research partnerships related to the synthesis and processing of advanced materials. It was established by DOE's Division of Materials Sciences, Office of Basic Energy Sciences and the DOE Laboratories in recognition of the enabling role of materials synthesis and processing to numerous materials fabrication- and manufacturing-intensive technologies, and thereby to economic competitiveness. The participants include investigators from 12 DOE national laboratories, universities and the private sector. The Center has a technological perspective which is provided by a Technology Steering Group. A set of performance measures for the Center is being developed.

The current emphasis of the Center is on nine focused multilaboratory projects which draw on the complementary strengths of the member institutions in their ongoing research programs. These nine projects were selected on the basis of the following criteria: (1) scientific excellence, (2) clear relationship to technologies, (3) involvement of several laboratories, (4) strong existing or potential partnerships with DOE Technologies-funded programs, and (5) strong existing or potential partnerships with industry.

The nine projects are: (1) Conventional and Superplastic Metal Forming, (2) Materials Joining (3) Nanostructured Materials for Energy Applications, (4) Microstructural Engineering with Polymers, (5) Tailored Microstructures in Hard Magnets, (6) Processing for Surface Hardness, (7) Mechanically Reliable Surface Oxides for High Temperature Corrosion Resistance, (8) High Efficiency Photovoltaics, and (9) Bulk Metallic Alloy Glasses.

The member laboratories of the Center are: Ames Laboratory (Ames), Argonne National Laboratory (ANL), Brookhaven National Laboratory (BNL), Idaho National Engineering Laboratory (INEL), University of Illinois Seitz Materials Research Laboratory (UI/MRL), Lawrence Berkeley National Laboratory (LBNL), Lawrence Livermore National Laboratory (LLNL), Los Alamos National Laboratory (LANL), National Renewable Energy Laboratory (NREL), Oak Ridge National Laboratory (ORNL), Pacific Northwest National Laboratory (PNNL), and Sandia National Laboratories (SNL). The Center also includes appropriate university grant research.

#### Objective

The overall objective of the Center is,

*"To enhance the science and engineering of materials synthesis and processing in order to meet the programmatic needs of the Department of Energy and to facilitate the technological exploitation of materials".*

Synthesis and processing are those essential elements of materials science and engineering (MS&E) that deal with (1) the assembly of atoms or molecules to form materials, (2) the manipulation and control of the structure at all levels from the atomic to the macroscopic scale, and (3) the development of processes to produce materials for specific applications. Clearly, S&P represent a large area of MS&E that spans the range from fundamental research to technology. The goal of basic research in this area ranges from the creation of new materials and the improvement of the properties of known materials, to the understanding of such phenomena as diffusion, crystal growth, sintering, phase transitions, etc., in relation to S&P. On the applied side, the goal of S&P is to translate scientific results into useful materials by developing processes capable of producing high quality, low-cost products.

The Center's emphasis is on the elucidation and application of fundamental S&P principles directed toward the rapid improvement or development and ultimate utilization of advanced materials. In order to meet its overall objective, the Center has the following specific objectives:

- 1) Develop synthesis and processing methodologies to control structure, and thereby materials properties, from the atomic to the macroscopic scale.
- 2) Discover and develop high-payoff, advanced materials.
- 3) Integrate fundamental scientific principles with the concurrent development of synthesis and processing in collaboration with DOE technologies-funded programs and with industry.

#### The Center's Technology Steering Group

A Technology Steering Group (TSG) for the Center has been established. The role of TSG is to become familiar with the Center's technical activities and comment on their technological value, provide information from an industrial perspective, help identify technological barriers, influence the direction of the Center's programs, and help develop ideas which can make the Center more effective.

Current TSG membership is as follows:

<u>Member</u>	<u>Affiliation</u>
Dr. David J. Beecy	DOE/Fossil Energy
Dr. Thomas C. Clarke	IBM-Almaden
Dr. David W. Johnson, Jr.	AT&T Bell Labs
Dr. Hylan B. Lyon	Marlow Industries
Dr. Neil E. Paton	Howmet
Dr. John Stringer	Electric Power Research Institute (EPRI)

#### Center Performance Measures

A small project has been set up to develop performance measures for the Center. The project, under the direction of Dr. Gretchen Jordan of Sandia National Laboratories, is researching methods for annual performance measurement that capture progress and socio-economic impacts in addition to measures of the quality of science. A model will be documented for possible transfer to other BES activities.

#### Materials and Processes Focus of the Center

The current emphasis of the Center is on the nine focused multilaboratory cited above.

Each of the projects is coordinated by an appropriate representative from one of the participating institutions. The overall Center coordinator is:

George A. Samara: (SNL/NM)

Phone: (505) 844-6653

Fax: (505) 844-4045

A brief description of each project follows:

##### 1. Conventional and Superplastic Metal Forming

Participating Labs: Ames, LBNL, LLNL, LANL, ORNL, PNNL, SNL/CA

Coordinator: M. Kassner (LLNL)

Phone: (510) 423-2531

Fax: (510) 423-7040

(Included activities: 7, 118, 153, 168, 195, 213)

This project is motivated by the goal of improving fuel efficiency in transportation systems. Achieving this goal requires the use of light weight structural materials which in turn necessitates consideration of aluminum alloys. Unfortunately, compared to steels, Al alloys are more difficult to form and exhibit rougher surfaces after forming.

The major objective of the project is to concentrate on those aspects of deformation mechanisms which control formability in conventional metal forming and which contribute to superplasticity in fine-grained materials. Because of the desired impact of this research on automotive technology, the research is being carried out on alloys based on the Al-Mg and Al-Cu systems. The intent of the research is to advance the understanding of the compositional and microstructural aspects which improve formability for conventional forming on the one hand, and which lead to superplasticity on the other hand. The results of this research are expected to assist the materials industry in the development and improvement of aluminum alloys for high-rate forming applications, and to contribute to efforts in the automotive sector to overcome some of the present limitations encountered in the use and in forming of aluminum sheet metal for automotive components.

## 2. Materials Joining

Participating Labs: Ames, INEL, LBNL, LLNL, ORNL, PNNL, SNL/CA, SNL/NM  
 Coordinator: R. B. Thompson (Ames)  
 Phone: (515) 294-9649  
 Fax: (515) 294-4456  
 (Included activities: 2, 7, 8, 22, 26, 118, 171, 174, 198, 204, 216)  
 (Related activities: 366)

Materials joining is an enabling technology in virtually all industrial sectors, and often the reliability of joints is the factor that limits performance. Welding is an old technology, but weld failures are common and some technologically important materials such as aluminum alloys are difficult to weld. Advanced high temperature ceramics have tremendous potential in energy and related technologies, but there are no reliable methods of joining them. These realities provide the motivation for this project.

The project consists of two tasks. The first entitled "*The effects of Gradients on Weld Reliability and Performance*," uses advances in experimental, analytical and computational tools to develop an integrated and quantitative understanding of the origin and extent of gradients in composition, stress, microstructure and properties which occur during various welding processes. Strategies will also be developed to control these gradients which are often the cause of failure. Initial emphasis is on Al-Cu alloys and on Fe-Ni-Cr alloys.

The second task, "*Ceramics and Dissimilar Materials Joining*," focuses on critical issues in the non-welding joining area which include property mismatch between members to be joined; use temperature limitation; poor wetting, adhesion and/or chemical bonding; and manufacturing and/or joint reliability. Some of the initial emphasis is on silicon carbide joining, an area of strong interest to the Fossil Energy program. This part of the work is being done in collaboration with research sponsored by the Office of Advanced Research, Fossil Energy program.

## 3. Nanoscale Materials for Energy Applications

Participating Labs: ANL, LBNL, LLNL, UI/MRL, NREL, ORNL, SNL/NM  
 Coordinators: D. S. Chemla/M. Alper (LBNL)  
 Phone: (510) 486-6581  
 Fax: (510) 486-7768  
 (Included Activities: 26, 61, 67, 75, 92, 138, 141, 163, 188, 189, 214)  
 (Related Activities: 415)

Nanosize materials offer exciting opportunities in many areas of technology. These materials have properties very different from those of the bulk and the surface, and are also unlike those of individual atoms or molecules. They are expected to have size-dependent electronic, optical, mechanical, surface (lubricative, adhesive, hardness), magnetic and energy storage and transfer characteristics. Some of these properties result from quantum size effects which appear when the dimensions of a system become comparable with or smaller than the wavelength of the corresponding quantum excitations in the bulk. Other properties result from altered surface, magnetic, and storage characteristics. 3D networks of these materials, besides enhanced connectivity enabling integration of functions, can exhibit collective responses different from those of the constituents.

Consistent with what are believed to be the most pressing needs to advance and utilize this new area of materials science, the project consists of three closely related tasks:

- synthesis and processing for controlled size,
- surface passivation and interface properties, and
- interconnections and assemblies.

Metal, semiconductor and oxide clusters are of interest, and the synthesis routes being pursued include inverse micelles, arrested precipitation, ball milling, colloidal dispersions, ion implantation, gas condensation, sputtering and sol-gel methods. Initial targeted applications are catalysis, optoelectronics and soft magnets.

#### 4. Tailored Microstructures in Hard Magnets

Participating Labs: Ames, ANL, BNL, INEL, LBNL, LLNL, LANL, ORNL

Coordinator: Bob Dunlap (ANL)

Phone: (708) 252-4925

Fax: (708) 252-4798

(Included activities: 1, 2, 7, 8, 13, 30, 31, 61, 115, 155, 167, 172)

(Related activities: 13, 92, 249, 275, 328, 374)

Improvements in the properties of permanent (or hard) magnetic material can lead to lighter, more efficient and longer life motors for energy, transportation and many other industries. A figure of merit for permanent magnet materials is the maximum energy product,  $W$ . In some of the best current commercial materials  $W$  is  $\leq 50\%$  of its theoretical value. The problem is generally attributed to a lack of understanding of the role of microstructure in determining magnetic properties. Other limitations of current commercial magnetic materials are relatively poor mechanical and corrosion-resistant properties. These properties are also determined largely by microstructure.

The overall objective of this project is to improve hard magnets by understanding, in terms of the microstructures achieved, the magnetic and mechanical properties of materials produced by a number of synthesis and processing (S&P) approaches.

Initial focus is on the technologically important material  $\text{Nd}_2\text{Fe}_{14}\text{B}$  as a model system. Specifically, this material is being produced in single crystal, powder, bulk and thin film forms and characterized by state-of-the-art tools. The microstructures developed by the different S&P methods are being compared and modeled. The relationship between microstructure and domain wall pinning, magnetic properties and mechanical properties is being determined. The ultimate goal is to identify S&P approaches which optimize material properties for specific applications.

#### 5. Microstructural Engineering with Polymers

Participating Labs: Ames, BNL, INEL, UI/MRL, LBNL, LLNL, PNNL, SNL/NM

Coordinator: Gregory J. Exarhos (PNNL)

Phone: (509) 375-2440

Fax: (509) 375-2186

(Included activities: 22, 63, 105, 136, 174, 192, 195, 196, 204)

(Related activities: 89, 102, 168, 176, 242, 286, 294, 316, 329, 351, 376, 406, 407)

The manipulation of polymer chain structure underlies the current, "age of polymers." Although discoveries of new polymers continue, it is widely recognized that emerging technologies will use multiphase polymers, structurally designed to achieve properties inaccessible by simple, single-component materials. These materials will exploit phase separation phenomena, restricted to submicron scales by clever design of precursors and processing protocol. This project seeks to establish the scientific basis for tailoring such structurally engineered materials. The goal of this project is to develop new multiphase materials that retain the processability of organic polymers but share the properties normally associated with inorganic materials. The project seeks a foundation for multiphase polymers similar to the knowledge base in small-molecule chemistry that underlies the current generation of commodity polymers. Initial emphasis is on the development of advanced synthesis and processing approaches for mesostructured polymers, polymer blends and polymer ceramic/glass composites.

#### 6. Processing for Surface Hardness

Participating Labs: LBNL, LLNL, LANL, ORNL, SNL/CA, SNL/NM

Coordinator: J. B. Roberto (ORNL)

Phone: (423) 574-0227

Fax: (423) 574-4143

(Included activities: 41, 50, 166, 188, 189, 206, 215)

(Related activities: 345, 347)

There exists a broad range of applications for which the ability to produce an adherent, hard, thin, wear-resistant coating plays a vital role. These applications include engine and machine components, orthopedic devices, textile



manufacturing components, hard disk media, micromachined sensors and actuators, optical coating, and cutting and machining tools (e.g., punches, taps, scoring dies, and extrusion dies). Emphasis is being placed on development and improvement of processes which are environmentally benign and which provide flexible control over the surface structure and chemistry.

Plasma-based processing is an important component of processes used for the applications listed above. The ability to provide flux, energy, and temporal control of a variety of ions, which is characteristic of plasma-based processing, provides the means to tailor surface hardness and other tribological properties.

The goal of the project is to address critical issues which limit the use of plasma-based processing for surface hardness. Initial emphasis is on plasma ion immersion processing (PIIP), a relatively inexpensive non-line-of-sight-implantation process capable of treating complex-shaped targets without complex fixturing, and on boron-based superhard coatings where the focus is on cubic boron nitride and boron suboxides.

#### 7. Mechanically Reliable Surface Oxides for High-Temperature Corrosion Resistance

Participating Labs: ANL, INEL, LBNL, LLNL, ORNL

Coordinator: Linda L. Horton (ORNL)

Phone: (423) 574-5081

Fax: (423) 574-7659

(Included activities: 28, 66, 118, 146, 166, 168)

(Related activities: 7, 26, 35, 141, 171, 172, 192, 205, 268, 301, 322, 325, 339, 364)

Protection from corrosion and environmental effects arising from deleterious reactions with gases and condensed products is required to fully exploit the potential of advanced high-temperature materials designed to improve energy efficiency and minimize deleterious environmental impact. The resistance to such reactions is best afforded by the formation of stable surface oxides that are slow growing, sound, and adherent to the substrate and/or by the deposition of coatings that contain or develop oxides with similar characteristics. However, the ability of brittle ceramic films and coatings to protect the material on which they are formed or deposited has long been problematical, particularly for applications involving numerous or severe high temperature thermal cycles or very aggressive environments. This lack of mechanical reliability severely limits the performance or durability of alloys and ceramics in many high-temperature industrial applications and places severe restrictions on deployment of such materials. The beneficial effects of certain alloying additions on the growth and adherence of protective oxide scales on metallic substrates are well known, but satisfactory broad understandings of the mechanisms by which scale properties and coating integrity (that is, corrosion resistance) are improved by compositional, microstructural, and processing modifications are lacking.

The objective of this task is to systematically generate the knowledge required to establish a scientific basis for the design and synthesis of improved (slow growing, adherent, sound) protective oxide coatings and scales on high temperature materials without compromising the requisite bulk material properties. Specific objectives are to (1) systematically investigate the relationships among substrate composition and properties and scale/coating failure, and (2) identify conditions leading to more damage-tolerant coatings and scales that are amenable to legitimate synthesis routes. The initial emphasis is on alumina scales and coatings, and the work is co-sponsored by the Office of Advanced Research, Fossil Energy Program. Some of the work in the project is also in collaboration with the Electric Power Research Institute (EPRI).

#### 8. High Efficiency Photovoltaics

Participating Labs: Ames, ANL, BNL, INEL, UI/MRL, LBNL, LLNL, NREL, ORNL, PNNL, SNL/CA, SNL/NM, Caltech, MIT, SUNY, U.Florida, UCSB, U.Utah, Washington State U.

Coordinator: S. K. Deb/J. Benner (NREL)

Phone: (303) 384-6405 / (303) 384-6496

Fax: (303) 384-6481

(Included activities: 17, 20, 26, 118, 181, 196, 208, 238, 246, 409)

Advances in the technology of solar photovoltaic (PV) energy conversion are critically dependent on the fundamental understanding of the synthesis and properties of the materials that compose solar cells. Reduced cost, improved conversion efficiency, and long-term stability are the major objectives of the DOE Photovoltaics Program. Thin-film semiconductor materials and device technologies are key to achieving these objectives. Currently, there are several important classes of thin-film PV materials at various stages of research and

development, but in all cases there is a lack of understanding of the fundamental scientific issues associated with each of these technologies. Therefore, this program is motivated by the scientific exploration of new solid-state physics as it relates to photon absorption and carrier transport, novel materials synthesis techniques, the characterization and control of defect structures, and ultimately designs of new material architectures.

The project is focused on two areas: (1) Silicon-Based Thin Films, in which key scientific and technological problems involving amorphous and polycrystalline silicon thin films will be addressed; and (2) the Next Generation Thin Film Photovoltaics, which will be concerned with the possibilities of new advances and breakthroughs in the materials and physics of photovoltaics using non-silicon-based materials.

#### 9. Bulk Metallic Alloy Glasses

Participating Labs: Ames, ANL, BNL, INEL, UI/MRL, LANL, LBNL, LLNL, ORNL, Caltech, Lehigh, Illinois, Wisconsin, ATI  
Coordinator: Don M. Parkin/Ricardo B. Schwarz (LANL)

Phone: (505) 667-9243

Fax: (505) 665-2992

(Included activities: 2, 26, 113, 155, 167, 172)

This project focuses on the properties and potential applications of bulk metallic alloy glasses or bulk amorphous metallic alloys. For the last two decades, amorphous metallic alloys have held the potential for a large variety of applications. All applications, however, have been limited by the dimensions of the amorphous alloys that could be prepared using techniques based on rapid solidification of melts: the thickness of these products could not be increased beyond about 30  $\mu\text{m}$  because of the requirement of rapid cooling.

One of the most important recent developments in the synthesis of this class of materials is the discovery that certain metallic alloys can be cast in bulk amorphous form. Important work has been carried out at a number of institutions in the U.S. and Japan, with a significant and potentially far reaching development coming from BES/DMS-funded research by W. L. Johnson and coworkers at Caltech. In 1993, Johnson and co-workers demonstrated that beryllium is a good metalloids for the synthesis of bulk amorphous alloys and patented an alloy containing Zr, Ti, Cu, Ni and Be.

The present project responds to the tremendous potential of these materials in energy conservation and new products through coordinating research effort on amorphous alloys at the participating institutions. Its objective is to promote and coordinate basic research on amorphous metallic alloys with the goal of understanding the synthesis and properties of those alloys that can be cast in bulk form.

## **SECTION E**

Major User Facilities  
(Large Capital Investment)

## INTENSE PULSED NEUTRON SOURCE

Argonne National Laboratory  
Argonne, Illinois 60439

IPNS is a pulsed spallation source dedicated to research on condensed matter. The peak thermal flux is  $4 \times 10^{14}$  n/cm<sup>2</sup> sec. The source has some unique characteristics that have opened up new scientific opportunities:

- high fluxes of epithermal neutrons (0.1-10 eV)
- pulsed nature, suitable for real-time studies and measurements under extreme environments
- white beam, time of flight techniques permitting unique special environment experiments

Two principal types of scientific activity are underway at IPNS: neutron diffraction, concerned with the structural arrangement of atoms (and magnetic moments) in a material and the relation of this arrangement to its physical and chemical properties, and inelastic neutron scattering, concerned with processes where the neutron exchanges energy and momentum with the system under study and thus probes the dynamics of the system at a microscopic level. At the same time, the facilities are used for technological applications, such as stress distribution in materials and characterization of zeolites, ceramics, polymers, and hydrocarbons.

### USER MODE

IPNS is available without charge to qualified scientists doing fundamental research. Selection of experiments is made on the basis of scientific merit by a Program Committee consisting of eminent scientists, mostly from outside Argonne. Scientific proposals (4 pages long) are submitted twice a year and judged by the Program Committee. Full details, including a User's Handbook, Proposal and Experimental Report Forms, can be obtained from the Scientific Secretary, IPNS, Building 360, Argonne National Laboratory. Neutron time for proprietary research can be purchased based on the full-cost recovery rate.

### PERSONS TO CONTACT FOR INFORMATION

B. S. Brown, Division Director Argonne National Laboratory IPNS Building 360 9700 South Cass Avenue Argonne, IL 60439	(708) 252-4999 FAX (708) 252-4163 E-mail: <a href="mailto:bsbrown@anl.gov">bsbrown@anl.gov</a>
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Scientific Secretary	(708) 252-6600
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## IPNS EXPERIMENTAL FACILITIES

Instrument (Instrument Scientist)	Range		Resolution	
	Wave-vector* ( $\text{\AA}^{-1}$ )	Energy (eV)	Wave-vector ( $\text{\AA}^{-1}$ )	Energy (eV)
Special Environment Powder Diffractometer (J. D. Jorgensen/S. Short)	0.5-50	**	0.35%	**
General Purpose Powder Diffractometer (J. Richardson/S. Short)	0.5-100	**	0.25%	**
Single Crystal Diffractometer (A. J. Schultz/R. Goyette)	2-20	**	2%	**
Low-Res. Medium-Energy Chopper Spectrometer (R. Osborn/L. Donley)	0.1-30	0-0.6	0.02 $k_0$	0.05 $E_0$
High-Res. Medium-Energy Chopper Spectrometer (C.-K. Loong/J. Hammonds)	0.3-9	0-0.4	0.01 $k_0$	0.02 $E_0$
Small Angle Diffractometer (P. Thiyagarajan/D. Wozniak)	0.006-0.35	**	0.004	**
Small Angle Neutron Diffractometer (SAND II) (P. Thiyagarajan/D. Wozniak)	0.006-2.0	**	0.004	**
Polarized Neutron Reflect. (POSY) (G. P. Felcher/R. Goyette)	0.0-0.07	**	0.0003	**
Neutron Reflect. (POSY II) (A. Wong/R. Goyette)	0.0-0.25	**	0.001	**
Quasi-Elastic Neutron Spectrometer (F. Trouw)	0.42-2.59	0-0.1	$\sim 0.2$	70 $\mu\text{eV}^{\leftarrow\rightarrow}$ 0.01 $\Delta E$
Glass, Liquid and Amorphous Materials Diffractometer*** (D. L. Price/K. Volin)	0.05-25	**	$\sim 0.5\% \cot\theta$	**
	0.1-45	**	$\sim 1.0\% \cot\theta$	**
High Intensity Powder Diffractometer (A. J. Schultz)	0.5-25	**	1.8-3.5%	**
	1.8-50	**	0.9%	**

\* Wave-vector,  $k = 4\pi\sin\theta/\lambda$ .  
 \*\* No energy analysis.  
 \*\*\* Two sample positions  
 $\leftarrow\rightarrow$  Elastic and inelastic resolution

Not Yet in the User Program  
Chemical Excitation Spectrometer (CHEX)

## HIGH FLUX BEAM REACTOR

Brookhaven National Laboratory  
Upton, New York 11973

The Brookhaven High Flux Beam Reactor (HFBR) presently operates at a power of 30 megawatts and provides an intense source of thermal neutrons (total thermal flux =  $0.5 \times 10^{15}$  neutrons/cm<sup>2</sup>-sec). The HFBR was designed to provide particularly pure beams of thermal neutrons, uncontaminated by fast neutrons and by gamma rays. A cold source (liquid hydrogen moderator) provides enhanced flux at long wavelengths ( $\lambda > 4 \text{ \AA}$ ). A polarized beam spectrometer, triple-axis spectrometers and small-angle scattering facilities are among the available instruments. Special equipment for experiments at high and low temperatures, high magnetic fields, and high pressure is also available. The emphasis of the research efforts at the HFBR has been on the study of fundamental problems in the fields of solid state and nuclear physics and in structural chemistry and biology.

### USER MODE

Experiments are selected on the basis of scientific merit by a Program Advisory Committee (PAC), composed of the specialists in relevant disciplines from both within and outside BNL. Use of the facilities is divided between Participating Research Teams (PRT's) and general users. PRT's consist of scientists from BNL or other government laboratories, universities, and industrial labs who have a common interest in developing and using beam facilities at the HFBR. In return for their development and management of these facilities, each PRT is assigned up to 75 percent of the available beam time, with the remainder being reserved for general users. The PAC reviews the use of the facilities by the PRT's and general users and assigns priorities as required.

A limited amount of funding will be available to scientists from U.S. institutions of higher education under the NSLS-HFBR Faculty/Student Support Program. The program is designed to defray expenses incurred by faculty/ student research groups performing experiments at the National Synchrotron Light Source or at the HFBR. It is aimed at university users having limited grant support for their research, and will be used to support only the most deserving cases.

### PERSON TO CONTACT FOR INFORMATION

Rae Greenberg  
Brookhaven National Laboratory  
Building 5,10A  
P. O. Box 5000  
Upton, NY 11973-5000

(516) 282-5564  
Fax (516) 282-5888

## HIGH FLUX BEAM REACTOR (continued)

### TECHNICAL DATA

#### INSTRUMENTS

#### PURPOSE AND DESCRIPTION

5 Triple-axis Spectrometers  
(H4M, H4S, H7, H8, H9A)

Inelastic scattering; diffuse scattering;  
powder diffraction; polarized beam.  
Energy range: 2.5 MeV,  $< E_0 < 200$  MeV  
Q range:  $0.03 < Q < 10 \text{ \AA}^{-1}$

Small Angle Neutron Scattering  
(H9B)

Studies of large molecules. Located on  
cold source with  $50 \times 50 \text{ cm}^2$  position-  
sensitive area detector. Sample detector  
distance  $L < 2$  meter. Incident wave-  
length  $4 \text{ \AA} < \lambda_0 < 10 \text{ \AA}$

Diffractometer (H3A)

Protein crystallography.  $20 \times 20 \text{ cm}^2$   
area detector,  $\lambda_0 = 1.57 \text{ \AA}$

Small Angle Scattering (H3B)

Studies of small angle diffraction of  
membranes. Double multilayer monochromator  
 $1.5 \text{ \AA} < \lambda < 4.0 \text{ \AA}$  2D detector with time slicing  
electronics and on-line data analysis.

2 Diffractometers (H6S, H6M)

Single-crystal elastic scattering  
4-circle goniometer  
 $1.69 \text{ \AA} < \lambda_0 < 0.65 \text{ \AA}$

Neutron Spectrometer (H5)

Inelastic scattering  
Diffuse scattering  
Powder diffraction; 15 He detectors  
covering  $90^\circ$

(n,  $\gamma$ ) Spectrometer (H1B)

Neutron capture studies  
Energy range:  $0.025 \text{ eV} < E_0 < 25 \text{ KeV}$

Neutron Reflectometer (H9D)

Accommodates liquid or solid samples  
up to 40 cm long.  $0.0025 \text{ \AA}^{-1} < Q < 0.25 \text{ \AA}^{-1}$ ,  
with resolution  $1 \times 10^{-3} \text{ \AA}^{-1}$ . Reflection  
range  $1-10^\circ$ .

High Resolution Neutron Powder  
Diffractometer. (H1A1)

Determination of moderately complex  
crystalline structures.  $\lambda = 1.88 \text{ \AA}$ ,  
 $\Delta d/d = 5 \times 10^{-4}$  Ge(511) vertical focussing  
monochromator. 64 He<sup>3</sup> detectors, covering  
 $160^\circ$

Irradiation Facilities  
7 Vertical Thimbles

Neutron activation; production of  
isotopes; thermal flux:  $8.3 \times 10^{14}$   
neutrons/cm<sup>2</sup>-sec; fast ( $> 1.0 \text{ MeV}$ )  
flux:  $3 \times 10^{14}$  neutrons/cm<sup>2</sup>-sec.

## NATIONAL SYNCHROTRON LIGHT SOURCE

Brookhaven National Laboratory  
Building 725B, P.O. Box 5000  
Upton, New York 11973-5000

The National Synchrotron Light Source (NSLS) is the largest facility in the U.S. dedicated to the production of synchrotron radiation. Funded by the Department of Energy as a user facility, construction on the NSLS began in 1977 with VUV Ring operation commencing in 1982 and X-Ray Ring operation in 1984. Since then, the facility has undergone a major 4-year upgrade and is continually improved to take advantage of the latest technology in storage rings, beamline optics, and insertion devices.

The NSLS operates two electron storage rings producing high brightness synchrotron radiation in the infrared, visible, ultraviolet, and X-ray regions of the electromagnetic spectrum. Insertion devices installed in the straight sections of the rings provide radiation that is anywhere from one to several orders of magnitude brighter than the radiation from bending magnets. The VUV Ring operates at 750 MeV with a critical energy of 486 eV. It has 17 beam ports split into 27 experimental stations, or beamlines, and also supports two insertion devices. The X-Ray Ring operates at 2.5 GeV, 250 mA, with a critical energy of about 5 keV. It has a total of 30 beam ports split into 56 beamlines and currently supports 5 insertion devices: two undulators, a superconducting wiggler, and two hybrid wigglers. There are also a number of beamlines devoted to machine diagnostics and R&D. The NSLS facility has user laboratories and a wide range of research equipment for basic and applied studies in condensed matter, surface science, photochemistry and photophysics, lithography, crystallography, small-angle scattering, metallurgy, X-ray microscopy, topography, etc. Detailed information about beamline research programs, experimental apparatus, and optical configurations is available from the NSLS User Administration Office.

### USER MODES

Over 2,206 scientists from approximately 400 institutions were registered as NSLS users during 1995. The NSLS is a national user facility available without charge to university, industrial, national laboratory, and government users. In addition, a program is available to assist faculty/student research groups who have limited grant support and wish to defray travel expenses to the NSLS. Proprietary work can be done on a full cost recovery basis with the option to retain title to inventions resulting from research at the NSLS.

There are several ways of using NSLS experimental facilities. A large fraction of the beamlines have been designed and constructed by Participating Research Teams (PRTs). PRTs are comprised of one or more research teams from industry, universities, and other laboratories with large, long-range programs which have been approved by the NSLS Scientific Advisory Committee (SAC). The PRT members are given priority for up to 75% of their beamline's operational time, and their programs are reviewed by the SAC every 3-years. Peer-reviewed General User proposals are scheduled on both PRT beamlines and on beamlines built by the NSLS for the general community. The NSLS facility operates throughout the year with beam time scheduled in 4-month cycles. Deadlines for General User proposals are September 30, January 31, and May 31. Information about submitting research proposals, becoming a PRT, or applying for financial assistance may be obtained from the NSLS User Administration Office.

### PERSON TO CONTACT FOR INFORMATION

Eva Z. Rothman, User Administrator  
NSLS Bldg. 725B  
Brookhaven National Laboratory  
P.O. Box 5000  
Upton, NY 11973-5000

(516) 282-7114  
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E-mail: [ezr@bnl.gov](mailto:ezr@bnl.gov),  
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## NATIONAL SYNCHROTRON LIGHT SOURCE (continued)

### NSLS TECHNICAL DATA

#### STORAGE RINGS

VUV electron  
X-ray electron

#### KEY FEATURES

17 ports; Ec - 25.3 angstroms; 0.745 GeV electron energy  
30 ports; Ec - 2.48 angstroms; 2.584 GeV electron energy

<b>RESEARCH AREA</b>	<b>WAVELENGTH RANGE (Angstroms)</b>	<b>ENERGY RANGE (eV)</b>	<b>NUMBER OF BEAMLINES</b>
Absorption Spectroscopy	0.35 - 2480	5 - 35,000	24
Circular Dichroism	10.3 - 5904	2.1 - 1200	2
High Pressure Physics	1 - 10,000 m WB; 0.12 - 1.24	0.124 - 1240 meV WB; 10,000 - 100,000	2 2
High Q-Resolution Scattering	WB; 0.12 - 6.20	WB; 2000 - 100,000	15
Imaging:			
Medical	WB; 0.12 - 1.24	WB; 10,000 - 100,000	2
Tomography	WB; 0.12 - 3.10	WB; 4000 - 100,000	3
X-ray Microprobe	WB; 0.12 - 3.10	WB; 4000 - 100,000	3
X-ray Microscopy/Holography	10 - 80	155 - 1240	1
X-ray Topography	WB; 0.41 - 3.10	WB; 4,000 - 30,000	2
Infrared Spectroscopy	1 - 10,000 m	0.124 - 1240 meV	2
Lithography	124 - 4133	3 - 100	1
Nuclear Physics	---	80 - 400 (meV)	1
Photoemission Spectroscopy	2.10 - 6200	2 - 5900	19
Photolonization	2.10 - 4133	3 - 5900	3
Protein Crystallography	WB; 0.41 - 3.10	WB; 4,000 - 30,000	6
Radiometry	WB; 8.27 - 248	WB; 50 - 1500	1
Small Angle Scattering:			
Biology	0.66 - 5.90	2100 - 18,800	2
Materials Science	0.36 - 6.20	2000 - 34,000	4
Small Molecule Crystallography:			
Powder	WB; 0.12 - 3.10	WB; 4000 - 100,000	4
Single Crystal	0.21 - 6.20	2000 - 59,400	7
Standing Waves	WB; 0.62 - 6.89	WB; 1800 - 20,000	2
Surface Scattering/X-ray Reflectivity	WB; 0.48 - 6.20	WB; 2000 - 26,000	10
Time Resolved Fluorescence	1393 - 5904	2.1 - 8.9	1
UV Reflectometry	WB; 8.27 - 6200	WB; 2 - 1500	2
X-ray Emission Spectroscopy	2.48 - 50	248 - 5000	2

WB = White Beam (from 1993 NSLS User's Manual - BNL 48724)

## MANUEL LUJAN JR. NEUTRON SCATTERING CENTER

Los Alamos National Laboratory  
Los Alamos, New Mexico 87545

The Manuel Lujan Jr. Neutron Scattering Center (MLNSC) facility is a pulsed spallation neutron source equipped with time-of-flight (TOF) spectrometers for condensed-matter research. Neutrons are produced by spallation when a pulsed 800-MeV proton beam, provided by the Los Alamos Neutron Science Center (LANSCE) and an associated Proton Storage Ring (PSR), impinges on a tungsten target. To date, the PSR has achieved 75 percent of its design goal of 100- $\mu$ A average proton current at 20-Hz repetition rate. At this level, MLNSC has the world's highest, peak thermal flux for neutron scattering research. Current research programs at MLNSC use the following instruments: a chopper spectrometer (PHAROS) for Brillouin scattering; a filter difference spectrometer (FDS) for vibrational spectroscopy by inelastic neutron scattering; a Laue-TOF single-crystal diffractometer (SCD); a high-intensity powder diffractometer (HIPD) for structural studies of liquids, amorphous materials, and crystalline powders; a neutron powder diffractometer (NPD) with the highest resolution in the U.S.; a low-Q diffractometer (LQD) for small-angle scattering studies; and a surface profile reflectometer (SPEAR) for studies of surface structure.

### USER MODE

MLNSC provides neutron scattering facilities for several communities. Research programs cover a broad range: solid-state physics, chemistry, metallurgy, crystallography, structural biology, materials science, and nuclear physics. In FY 1996, the MLNSC is funded by DOE, Office of Defense Programs for science-based stockpile stewardship (SBSS). Proposed experiments under the SBSS program will be reviewed by a panel of scientists and members of the defense community. Program priority will be evaluated in addition to scientific merit. In FY 1996, the MLNSC will also resume a formal user program funded by DOE, Basic Energy Sciences. Scientists from universities, industry, and national laboratories may again apply for beam time by submitting short proposals that will be subjected to appropriate peer review. DOE cost-recovery rules apply to proprietary experiments. The MLNSC sponsors participating research teams (PRTs) that are guaranteed access to a beam line for a negotiated period in exchange for financial participation in constructing a neutron spectrometer or ancillary equipment.

### CONTACT FOR USER INFORMATION

MLNSC Scientific Coordination and Liaison Office	(505) 667-6069
Mail Stop H805	Fax: (505) 665-2676
Los Alamos National Laboratory	E-Mail: <a href="mailto:user_program@mail.lansce.lanl.gov">user_program@mail.lansce.lanl.gov</a>
Los Alamos, NM 87545	WWW: <a href="http://www.lansce.lanl.gov">http://www.lansce.lanl.gov</a>

## LOS ALAMOS NEUTRON SCATTERING CENTER (continued)

### TECHNICAL DATA

Proton Source	LAMPF + PSR
Proton Source Energy	800 MeV
LANSCE Proton Current	75 $\mu$ A
Proton Pulse Width	0.27 $\mu$ s
Repetition Rate	20 Hz
Epithermal Neutron Current (n/eV.Sr.S)	$3.2 \times 10^{12}/E$
Peak Thermal Flux (n/cm <sup>2</sup> .S)	$1.7 \times 10^{16}$

### INSTRUMENTS

32-m Neutron Powder Diffractometer (M. Bourke, Responsible) E-mail: bourke@lanl.gov	Powder Diffraction Wave vector 0.3-25 $\text{\AA}^{-1}$ Resolution 0.13%
Single Crystal Diffractometer (R. Sheldon, Responsible) E-mail: rsheldon@lanl.gov	Laue time-of-flight diffractometer Wave vectors: 1-15 $\text{\AA}^{-1}$ Resolution 2% typical
High Intensity Powder Diffractometer (R. VonDreele, Responsible) E-mail: vondreele@lanl.gov	Powder diffraction resolution: 0.7% liquids and amorphous materials diffraction resolution: 2% Wave vectors: 0.2-25 $\text{\AA}^{-1}$
Low $Q$ Diffractometer (R. Hjelm, Responsible) E-mail: hjelm@lanl.gov	Small angle scattering at a liquid hydrogen cold source Wave vectors: 0.003-1.0 $\text{\AA}^{-1}$
Reflectometer (G. Smith, Responsible) E-mail: gsmith@lanl.gov	Surface reflection at grazing incidence. Wave vectors: 0.007 to 0.3 $\text{\AA}^{-1}$
Chopper Spectrometer (R. Robinson, Responsible) E-mail: rrobinson@lanl.gov	Inelastic scattering at small scattering angles. Energy trans.: 1-1700 meV Incident energy resolution: 0.5%
Filter Difference Spectrometer (J. Eckert, Responsible) E-mail: juergen@lanl.gov	Inelastic neutron scattering, vibrational spectroscopy Energy trans: 15-600 meV Resolution: 5-7%

## NEUTRON SCATTERING AT THE HIGH FLUX ISOTOPE REACTOR

Solid State and Chemistry Divisions  
Oak Ridge National Laboratory  
Oak Ridge, Tennessee 37831

The neutron scattering facilities at the High Flux Isotope Reactor (HFIR) are used for long-range basic research on the structure and dynamics of condensed matter. Active programs exist on the magnetic properties of matter, lattice dynamics, defect-phonon interactions, phase transitions, crystal structures, polymers, micelles, ferrofluids, ceramics, and liquid crystals. The HFIR is an 85-MW, light-water moderated reactor. The central flux is  $4 \times 10^{15}$  neutrons/cm<sup>2</sup>-sec, and the flux at the inner end of the beam tubes is slightly less than  $10^{15}$  n/cm<sup>2</sup>-sec. A wide variety of neutron scattering instruments have been constructed with the support of the Division of Materials Sciences. Facilities are available for studies of materials at low and high temperatures, high pressures, and high magnetic fields.

### USER MODE

These facilities are open for use by outside scientists on problems of high scientific merit. Written proposals are reviewed for scientific feasibility by an external review committee. It is expected that all accepted experiments will be scheduled within six months of the receipt of the proposal. No charges for the use of the beams will be assessed for research to be published in the open literature. The cost of extensive use of ORNL shop or computer facilities must be born by the user. Inexperienced users will normally collaborate with an ORNL staff member. Proprietary experiments can be carried out after a contract has been arranged based on full cost recovery, including a charge for beam time. A brochure describing the facilities and a booklet giving user procedures is available on request.

### PERSON TO CONTACT FOR INFORMATION

G. D. Wignall Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 574-5237	Small Angle Neutron Scattering
B.C. Chakoumakos Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 574-5235	Powder and single-crystal structure
M. Yethiraj Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 576-6069	Triple-axis spectrometry
W. A. Hamilton Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 576-6068	Reflectometry

## NEUTRON SCATTERING AT THE HIGH FLUX ISOTOPE REACTOR (continued)

### TECHNICAL DATA

HB-1	<u>Triple-axis polarized-beam</u> , Beam size - 2.5 by 3 cm max, Flux - $2.6 \times 10^6$ n/cm <sup>2</sup> s at sample (polarized), Vertical magnetic fields to 7 T, Horizontal fields to 5 T, Variable incident energy ( $E_0$ )
HB-1A	<u>Triple-axis, fixed <math>E_0</math></u> , $E_0 = 14.7$ MeV, Wavelength = 2.353 angstroms, Beam size - 5 by 3.7 cm max, Flux - $9 \times 10^6$ n/cm <sup>2</sup> s at sample with 40 min collimation
HB-2, HB-3	<u>Triple-axis, variable <math>E_0</math></u> , Beam size - 5 x 3.7 cm max, Flux - $10^7$ n/cm <sup>2</sup> s at sample with 40 min collimation
HB-3A	<u>Double-crystal small-angle diffractometer</u> , Beam size - 4 x 2 cm max, Wavelength = 2.6 angstroms, Flux - $10^4$ n/cm <sup>2</sup> s, Resolution - $4 \times 10^{-6}$ angstroms <sup>-1</sup>
HB-4A	<u>Wide-angle time-slicing diffractometer</u> , Beam size - 2 x 3.7 cm max, Wavelength = 1.537 angstroms, Flux - $2 \times 10^6$ n/cm <sup>2</sup> s with 9 min collimation, Curved linear position sensitive detector covering 130°
HB-4	<u>Correlation chopper</u> , Beam size - 5 x 3.7 cm, Flight path - 1.5 m, 70 detectors covering 130°, Variable $E_0$ , Variable pulse width  <u>Powder Diffractometer</u> , Beam size - 5 x 3.7 cm, Wavelength = 1.4 angstroms, 32 detectors with 6 min collimators
HB-4SANS	<u>Small-Angle Scattering Facility</u> , Beam size - 3 cm diameter max, Wavelength = 4.75 or  2.38 angstroms, $10^4 - 10^6$ n/cm <sup>2</sup> s depending on slit sizes and wavelength, area detector 64 x 64 cm <sup>2</sup> , sample to detector distance 1.5 - 19 m
HB-3B	<u>Reflectometer</u> , 2.59-Å Horizontal Reflection Plane. 2-mm resolution with linear position-sensitive detector at 3 m from the sample.

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## STANFORD SYNCHROTRON RADIATION LABORATORY STANFORD LINEAR ACCELERATOR CENTER

Stanford University  
Stanford, California 94309-0210

SSRL is a National Users' Research Laboratory for the application of synchrotron radiation to research in biology, chemistry, engineering, geology, materials science, medicine and physics. In addition to scientific research utilizing synchrotron radiation the Laboratory program includes the development of advanced sources of synchrotron radiation (e.g., insertion devices for the enhancement of synchrotron radiation as well as modifications of SPEAR). SSRL presently has 24 experimental stations with 4 more under construction. Three of these are contained in an integrated structural molecular biology facility to be completed in 1996. The radiation on 11 stations is enhanced by insertion devices providing some of the world's most intense X-ray sources.

The primary research activities at SSRL are:

X-ray absorption, small and large angle scattering as well as topographic studies of atomic arrangements in complex materials systems, including surfaces, extremely dilute constituents, amorphous materials and biological materials. X-ray and VUV photoemission and photoelectron diffraction studies of electronic states and atomic arrangements in condensed and gaseous matter. Environmental studies. Semiconductor and thin film processing and magnetic properties of thin films using circular polarization.. SSRL serves approximately 950 scientists from 114 institutions working on over 223 active proposals. A wide variety of experimental equipment is available for the user and there are no charges either for use of the beam or for the facility-owned support equipment. Proprietary research may be performed on a cost-recovery basis.

### USER MODE

SSRL is a user-oriented facility which welcomes proposals for experiments from all qualified scientists. SSRL operates for users 8-9 months per year. Over 75 percent of the beam time is available for the general user. Access is gained through proposal submittal and peer review. An annual Activity Report is available on request. It includes progress reports on about 100 experiments plus descriptions of recent facility developments. The booklets "Proposal Submittal and Scheduling Procedures" and "SSRL Experimental Stations" provide detailed information on proposal submittal and experimental station characteristics.

### PERSON TO CONTACT FOR INFORMATION

Artie Bienenstock      (415) 926-3153  
SSRL                      FAX: (415) 926-4100  
P. O. Box 4349  
Stanford, CA 94305

## CHARACTERISTICS OF SSRL EXPERIMENTAL STATIONS

SSRL presently has 24 experimental stations on 20 beam ports with 4 more stations under construction.

	Horizontal Angular Acceptance (mrad)	Mirror Cut Off (keV)	Monochromator	Energy Range (eV)	Resolution $\Delta E/E$	Approximate Spot Size Hgt $\times$ Width (mm)	Instrumentation
<b>INSERTION DEVICE STATIONS</b>							
<b>WIGGLER LINES - X-RAY</b>							
<i>End Stations</i>							
4-2 (4 periods)							
Focused	2.0	10.2	Double Crystal	2400-10200	$-5 \times 10^{-4}$	$0.5 \times 4.0$	
Unfocused	1.0		Double Crystal	2400-45000	$-10^{-4}$	$2.0 \times 20.0$	
4-2 SAS							
6-2 (27 periods)							
Focused	2.3	22	Double Crystal	2050-21000	$-5 \times 10^{-4}$	$0.5 \times 4.0$	
Unfocused	1.0		Double Crystal	2050-32000	$-10^{-4}$	$2.0 \times 20.0$	
7-2 (4 periods)							
Focused	2.0	10.2	Double Crystal	2400-10200	$-5 \times 10^{-4}$	$1.0 \times 4.0$	6-circle Diffractometer
Unfocused	1.0		Double Crystal	2400-45000	$-10^{-4}$	$2.0 \times 20.0$	
9-2 (8 periods)							
Focused	2.0	23	Double Crystal	4000-23000			Area Detector
White Light Laue	0.5			4000-45000			
10-2 (15 periods)							
Focused	2.3	22	Double Crystal	2400-21000	$-5 \times 10^{-4}$	$0.5 \times 4.0$	6-circle Diffractometer
Unfocused	1.0		Double Crystal	2400-40000	$-10^{-4}$	$2.0 \times 20.0$	
10-2 Diffractometer							6-circle Diffractometer
11-2 (13 periods)							
Focused	-2	23	Double Crystal	4500-23000			
Unfocused	-1			4500-45000			
<i>Side Stations</i>							
4-1	1.0		Double Crystal	2400-35000	$-5 \times 10^{-4}$	$2.0 \times 20.0$	
4-3							
Focused	1.0	Variable	Double Crystal	2400-15000	$-10^{-4}$	$0.15 \times 20.0$	
Unfocused	1.0		Double Crystal	2400-35000	$-10^{-4}$	$2.0 \times 20.0$	
7-1	1.0		Curved Crystal	6000-13000	$-8 \times 10^{-4}$	$0.6 \times 3.0$	Rotation Camera
7-3	1.0		Double Crystal	2400-35000	$-10^{-4}$	$2.0 \times 20.0$	
9-1	3.0	16	Curved Crystal	11500-13500			Rotation Camera
9-3							
Focused	2.5	23	Double Crystal	4600-23000			
Unfocused	0.7		Double Crystal	4600-40000			
<b>VUV/SOFT X-RAY STATIONS</b>							
5-2 multi-undulator	1.5		4 Gratings	10-1000	$1 \times 10^{-3}$	$1 \text{ mm}^2$	Circular Polarization
5-3 multi-undulator	1.5		4 Gratings	20-250	$1.0-2.0 \times 10^{-3}$	$\leq 1 \text{ mm}^2$	
10-1 wiggler side station	2.0		6 m SGM	250-1200	$-2 \times 10^{-4}$	$\geq 0.1 \text{ mm}^2$	

## BENDING MAGNET STATIONS

<b>X-RAY</b>							
1-4	2.0		Curved Crystal	6700-10800	$4.0 \times 10^{-3}$	$0.25 \times 1.0$	SAS Camera
1-5	1.0		Double Crystal	5500-30000	$-2 \times 10^{-4}$	$2 \times 17$	CAD-4
1-5 ES2	1.0		Double Crystal	5500-14500	$-2 \times 10^{-4}$	$\leq 1 \text{ mm}^2$	Image Plate
2-1 (Focused)	4.8	8.9	Double Crystal	2400-8900	$-5 \times 10^{-4}$	$2 \times 6$	
2-2	1.0		None	3200-40000		$4 \times 22$	
2-3	1.0		Double Crystal	2400-30000	$-5 \times 10^{-4}$	$2 \times 20$	
<b>VUV/SOFT X-RAY</b>							
1-2	4.0		6 m TGM	8-90	$-1 \times 10^{-3}$	$0.1 \times 0.5$	
3-3	8-10	4.5	UHV Double Xstal	800-4500	$-5 \times 10^{-4}$	$1.5 \times 2.5$	
3-4	0.6		Multilayer	0-3000	White or $\Delta\lambda/\lambda = .6\%$	$2 \times 8$	Vacuum Diffractometer Litho. Expo. Station
8-1	12		6 m TGM	8-180	$-1 \times 10^{-3}$	$\geq 0.1 \text{ mm}^2$	
8-2	5.0		6 m SGM	150-1000	$-1 \times 10^{-4}$	$\geq 0.1 \text{ mm}^2$	Circular Polarization

## ADVANCED LIGHT SOURCE

University of California  
Lawrence Berkeley National Laboratory  
Berkeley, CA 94720

The Advanced Light Source (ALS) is a third-generation synchrotron source of high-brightness soft x-ray and ultraviolet radiation operated by the Lawrence Berkeley Laboratory (LBL) of the University of California.

Construction began in October 1987 and was completed in April 1993. The ALS is based on a low-emittance electron storage ring with 10 long straight sections available for insertion devices and 33 bend-magnet ports. The storage ring operates in the energy range from 1.0 to 1.9 GeV. The spectrum of synchrotron radiation depends on the radiation source and on the storage-ring energy. Collectively, existing and planned undulators can generate high-brightness radiation at photon energies from below 10 eV to above 3 keV. Wigglers will be able to access the hard X-ray region by generating broad-band radiation to about 20 keV. Bend magnets provide broad-band radiation to about 10 keV. Circularly polarized radiation will be available from elliptical undulators and wigglers, as well as bend magnets. Infrared radiation will also be available from the bend magnets. In the normal multibranch operating mode, the time structure of the radiation comprises pulses with a full-width-half-maximum of about 30 ps and separation between pulses of 2 ns, although a few-bunch mode with maximum pulse separation of 656 ns can also be provided.

As a new facility, the ALS anticipates an extensive research program. The high brightness will open new areas of research from the materials sciences, such as spatially resolved spectroscopy, to the life sciences, such as X-ray microscopy and holography with element-specific sensitivity. The scientific and technological impact of spatial resolution is expected to be extremely wide owing to the relentlessly decreasing size of the physical, chemical, and biological systems to be analyzed. Other beneficiaries of high brightness include very-high-resolution spectroscopy, spectroscopy of dilute species, spectroscopy and imaging of magnetic materials using circularly polarized radiation, diffraction from very small samples, and time-resolved spectroscopy and diffraction. Quantitative microcontamination analysis of semiconductors, X-ray crystallography of biological macromolecules for rational pharmaceutical design, at-wavelength interferometric testing of X-ray optical elements for future project lithography systems, X-ray metrology, and microstructure fabrication by the LIGA process using proximity X-ray lithography are examples of research programs with direct industrial interest and participation. The table summarizes existing experimental facilities and those planned for installation through 1996.

### USER MODE

The ALS operates year around with scheduled shutdowns for installation of new experimental facilities and for accelerator maintenance. The current operating schedule will provide 15 shifts per week for users. As a national user facility, the ALS is available without charge to personnel from university, industrial, and government laboratories for non-proprietary research intended for publication in the open literature. Proprietary research is also welcome but is subject to a cost-recovery charge for provision of beam time. Proprietary users have the option to take title to any inventions made during the proprietary research program and treat as confidential all technical data generated during the program.

Whether non-proprietary or proprietary, there are two modes of conducting research at the ALS: as a member of a participating research team (PRT) or as an independent investigator. PRTs are collaborative groups comprising research personnel from one or more institutions with common research interests who contribute to the construction, operation, and maintenance of experimental facilities (beamlines and experimental stations) at the ALS for this purpose. In return for their contributions, PRT members are granted priority for a percentage of the operating time on their facilities. The remaining operating time on each beamline is allotted to scientists who are not members of a PRT (independent investigators). The proportion of time available to independent investigators varies from beamline to beamline. Independent investigators may bring their own experimental stations to ALS beamlines. Proposals for the establishment of new PRTs are reviewed by the Program Advisory Committee. Proposals for beam time from independent investigators are peer-reviewed twice a year in June and December. For details, consult the ALS Users' Handbook, which is available from the Program Administrator at the address below. An annual activity report describing the previous year's accomplishments is also available.

### PERSON TO CONTACT FOR INFORMATION

Elizabeth Saucier, Program Administrator (510) 486-6166  
Advanced Light Source Fax (510) 486-4960  
Lawrence Berkeley Laboratory E-mail: ECSaucier@lbl.gov  
MS 80-101  
Berkeley, CA 94720



## SUMMARY OF ALS EXPERIMENTAL FACILITIES

As a new facility, the ALS complement of experimental facilities (insertion devices, beamlines, and experimental stations) is growing as research opportunities become defined and funding becomes available. Experimental facilities are being developed and operated by participating research teams working with the ALS staff. The table lists existing beamlines and beamlines planned through 1996 for which funding is available. Beamline designations X, Y, Z refer to storage ring sector number X, port number Y, and branch number Z. There are 12 sectors. Ports 0 are insertion-device ports, ten of which are available for insertion devices; ports 1, 2, and 3 are bend-magnet ports. Each branch may service multiple experimental stations.

Beamline Number	Radiation Source	Scientific Program	Spectral Range	When Available
3.1	Bend magnet	Diagnostic beamline	200-280 eV	Now
5.0	W 16 wiggler	Protein crystallography	4-13 keV	1996
6.1	Bend magnet	High-resolution zone-plate microscopy	250-600 eV	Now
6.3	Bend magnet	Metrology and standards	50-4000 eV	Now
7.0.1	U5 undulator	Surfaces and materials, spectromicroscopy	70-1200 eV	Now
7.0.2	U5 undulator	Coherent optics experiments	70-650 eV	1995
7.3.1	Bend magnet	Magnetic microscopy	600-900 eV	1995
7.3.2	Bend magnet	Spectromicroscopy	100-1500 eV	1996
8.0	U5 undulator	Surface and materials	70-1200 eV	Now
8.5	Bend magnet	Infrared spectromicroscopy	0.06-1.2 eV	1995
9.0.1	U8 undulator*	Atomic physics and chemistry	20-300 eV	Now
9.0.2	U8 undulator*	Chemical and materials science	5-30 eV	1995
9.3.1	Bend magnet	Materials science; advanced microprobe instrumentation	700 eV-6 keV	1995
9.3.2	Bend magnet	LIGA, total reflection X-ray fluorescence	50-1500 eV	Now
10.3.1	Bend magnet	Materials science; magnetic materials; biology	3-12 keV	Now
10.3.2	Bend magnet	EUV projection lithography, optics development	3-12 keV	Now
11.0	EW20 elliptical wiggler		50 eV-10 keV	1996
12.0	U8 undulator		60-320 eV	1996

\* The U8.0 will be replaced by a U10.0 in 1996; the U8.0 will be moved to sector 12.

## **SECTION F**

Other User Facilities

## MATERIALS PREPARATION CENTER

Ames Laboratory  
Iowa State University  
Ames, Iowa 50011

The Materials Preparation Center was established because of the unique capabilities for preparation, purification, fabrication and characterization of certain metals and materials that have been developed by investigators at the Ames Laboratory during the course of their basic research. Individuals within the Laboratory's Metallurgy and Ceramics Program are widely recognized for their work with very pure rare-earth, alkaline-earth and refractory metals. Besides strengthening materials research and development at the Ames Laboratory, the Center increases awareness by the research community of the scope and accessibility of this resource to universities, other government and private laboratories and provides appropriate transfer of unique technologies developed at the Center to private, commercial organizations.

Through these research efforts at Ames, scientists are now able to acquire very high-purity metals and alloys in single and polycrystalline forms, as well as the technology necessary to satisfy many needs for special preparations of rare-earth, alkaline-earth, refractory and some actinide metals. The materials in the form and/or purity are not available from commercial suppliers, and through its activities the Center helps assure the research community access to materials of the highest possible quality for their research programs.

In addition to a Materials Preparation Section, the Center also consists of an Analytical Section, the Materials Referral System and Hotline (MRSH), and the High- $T_c$  Superconductivity Information Exchange. The Analytical Section has extensive expertise and capabilities for the characterization of materials, including complete facilities for chemical and spectrographic analyses, selected services of this section are available to the research community. The purpose of MRSH is to accumulate information from all known National Laboratory sources regarding the preparation and characterization of materials and to make this information available to the scientific community. The High- $T_c$  Superconductivity Information Exchange provides a centralized site for rapid dissemination of up-to-date information on high-temperature superconductivity research. It publishes the newsletter, High- $T_c$  Update, twice-monthly without charge, as both hard copy and electronic mail.

### USER MODE

#### Materials Preparation and Analytical Sections

Quantities of ultrapure rare-earth metals and alloys in single and polycrystalline forms are available. Special preparations of high-purity oxides and compounds are also available in limited quantities. Unique technologies developed at Ames Laboratory are used to prepare refractory metals in single and polycrystalline forms. In addition, certain alkaline-earth metals used as reducing agents are available. Complete characterization of these materials are provided by the Analytical Section. Materials availability and characterization information can be obtained from Lawrence L. Jones, Director, Materials Preparation Center or Thomas A. Lograsso, MRSH Manager.

#### Materials Referral System and Hotline

The services of the Materials Referral System are available to the scientific community and inquiries should be directed to Thomas A. Lograsso, MRSH Manager, (515) 294-8900.

#### High- $T_c$ Superconductivity Information Exchange

The newsletter, High- $T_c$  Update, is published twice-monthly and available without charge as either hard copy or electronic mail. Inquiries should be directed to Sreeparna Mitra, (515) 294-3877.

**MATERIALS PREPARATION CENTER (continued)****TECHNICAL DATA****MATERIALS**

Scandium	Titanium	Magnesium	Thorium
Yttrium	Vanadium	Calcium	Uranium
Lanthanum	Chromium	Strontium	
Cerium	Manganese	Barium	
Praseodymium	Zirconium		
Neodymium	Niobium		
Samarium	Molybdenum		
Europium	Hafnium		
Gadolinium	Tantalum		
Terbium	Tungsten		
Dysprosium	Rhenium		
Holmium			
Erbium			
Thulium			
Ytterbium			
Lutetium			

**PERSON TO CONTACT FOR INFORMATION**

Lawrence L. Jones, Director (515) 294-5236  
Ames Laboratory  
Materials Preparation Center  
121 Metals Development Building  
Ames, IA 50011

Thomas A. Lograsso, Associate Director (515) 294-8900  
Ames Laboratory  
Materials Preparation Center  
109 Metals Development Building  
Ames, IA 50011

## ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

Argonne National Laboratory  
Argonne, Illinois 60439

The Argonne National Laboratory Electron Microscopy Center for Materials Research provides unique facilities which combine the techniques of high-voltage electron microscopy, ion-beam modification, and ion-beam analysis, along with analytical electron microscopy.

The HVEM-Tandem Accelerator Facility, which combines two microscopes and two ion accelerators, is the cornerstone of the Center. A High Voltage Electron Microscope (HVEM: a 1.2 MeV Kratos/AEI EM7) and a recently installed Intermediate Voltage Electron Microscope (IVEM: a 300 kV Hitachi 9000 NAR) are interfaced to either of two ion accelerators, a 650 kV NEC Implanter and a 2 MeV NEC Tandem accelerator. Ion beams of most stable elements, with energies from 10 keV to 8 MeV, can be transported into the HVEM or IVEM to permit direct observation of the effects of ion and/or electron bombardment of materials. Additionally, the HVEM and IVEM have a number of specialized features (see following page), which allow for a wide range of in situ experiments on materials under a variety of conditions.

In addition to the HVEM-Tandem Facility, the Center's facilities include a (High Resolution Electron Microscope (JEOL 4000 EXII), a JEOL 100 CXII transmission and scanning transmission electron microscope (TEM/STEM) equipped with an X-ray energy dispersive spectrometer (XEDS), a Philips EM 420 TEM/STEM equipped with XEDS and an electron energy loss spectrometer (EELS) and a Philips CM30 with XEDS and PEELS. Installation of a VG603Z advanced Analytical Electron Microscope (AEM) is complete. This state-of-the-art, field emission gun ultra-high vacuum AEM operates up to 300 keV and has the highest available microanalytical resolution with capabilities for XEDS, EELS, and Auger Electron Spectroscopy AES. As such, it has substantially increased analytical capabilities for materials research over present-day instruments.

### USER MODE

The HVEM-Tandem Facility is operated as a national resource for materials research. Qualified scientists wishing to conduct experiments using the HVEM-Tandem facilities of the Center should submit a proposal to either of the persons named below. Proposals are peer reviewed by a Steering Committee composed of ANL and non-ANL scientists. There are no use charges for non-proprietary research of documented interest to DOE. Use charges will be levied for proprietary investigations.

### PERSON(S) TO CONTACT FOR INFORMATION

C. W. Allen (708) 252-4157  
and  
E. A. Ryan (708) 252-5222  
Electron Microscopy Center for Materials Res.  
Materials Science Division  
Argonne National Laboratory  
9700 South Cass Avenue  
Argonne, IL 60439

## ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

### TECHNICAL DATA

#### ELECTRON MICROSCOPES

High-Voltage Electron Microscope  
Kratos/AEI EM7 (1.2 MeV)

#### KEY FEATURES

Resolution 9 Å pt-pt  
Continuous voltage selection  
Current density 15 A/cm<sup>2</sup>  
High-vacuum specimen chamber  
Electron and ion dosimetry systems  
Video recording system  
Ion-beam interface  
Specimen stages 10 - 1300 K  
Straining and environmental stages

Transmission Electron Microscope  
Hitachi H-9000 NAR (300 keV)

Resolution 2.5 Å  
Ion beam interface  
Specimen holders (300 - 1100 K)

Transmission Electron Microscope  
JEOL 100 CX (100 keV)

Resolution 7 Å pt-pt  
Equipped with STEM, XEDS  
Specimen stages 85 - 900 K

Transmission Electron Microscope  
Phillips EM 420 (120 keV)

Resolution 4.5 Å pt-pt  
Equipped with EELS, XEDS  
Specimen stages 30 - 1300 K

Transmission Electron Microscope  
Phillips CM 30 (300 keV)

Resolution 2.5 Å pt-pt  
Equipped with XEDS  
Specimen stages 30 - 1300 K

High Resolution Electron Microscope  
JEOL 4000 EXII (400 keV)

Resolution 1.65 Å pt-pt  
Specimen stages RT

Analytical Electron Microscope  
VG603Z (300 keV)

Resolution 2.8 Å pt-pt  
Ultra-high vacuum, Field Emission Gun  
Equipped with EELS, XEDS, AES,  
SIMS, LEED, etc.  
Specimen stages 85 - 1300 K

#### ACCELERATORS

NEC Model 2 UDHS Tandem

Terminal voltage 2 MV  
Energy stability  $\pm 250$  eV  
Current density: H<sup>+</sup>, 10  $\mu$ A/cm<sup>2</sup>  
(typical) Ni<sup>+</sup>, 3  $\mu$ A/cm<sup>2</sup>

NEC 650 kV Ion Implanter

Terminal voltage 650 kV  
Energy stability  $\pm 60$  eV  
Current density: He<sup>+</sup>, 100  $\mu$ A/cm<sup>2</sup>  
(typical) Ar<sup>+</sup>, 10  $\mu$ A/cm<sup>2</sup>

## CENTER FOR MICROANALYSIS OF MATERIALS

Frederick Seitz Materials Research Laboratory  
University of Illinois  
Urbana-Champaign, Illinois 61801

The Center operates a wide range of advanced microchemistry, surface chemistry, electron microscopy, X-ray and electron-beam microanalytical equipment for the benefit of the University of Illinois materials research community and for the DOE Laboratories and Universities Programs. Equipment is selected to provide a spectrum of advanced microcharacterization techniques including microchemistry, micro-crystallography, surface analysis, structure determination, etc. A team of professionals runs the facility and facilitates the research.

### USER MODE

Most of the research in the facility is funded from the MRL, DOE, and NSF contracts, and is carried out by graduate students, post-doctoral and faculty researchers and by the Center's own professional staff. The Center welcomes external users from national laboratories, universities, and industry.

For the benefit of external users the system retains as much flexibility as possible. The preferred form of external usage is collaborative research with a faculty member associated with the MRL. Independent usage by trained individuals is also encouraged. Assistance and collaboration with the professional staff of the Center is arranged as required. In all cases, the research carried out by users of the Center has to be in the furtherance of DOE objectives.

The equipment is made available on a flexible schedule. Professional help by the Center staff will be arranged to assist the users. Fully qualified users can and do use the equipment at any time of the day.

The Center staff maintain training programs in the use of the equipment and teach associated techniques. An increasing part of the Center's activity is concerned with the development of new instruments and instrumentation.

In addition to the main items listed opposite, the Center also has other equipment: optical microscopes, a surface profiler, a microhardness tester, etc. Dark rooms and full specimen preparation facilities are available, including ion-milling stations, a micro-ion mill, electropolishing units, sputter coaters, a spark cutter, ultrasonic cutter, diamond saw, dimpler, etc.

### PERSON TO CONTACT FOR INFORMATION

Dr. J. A. Eades, Coordinator  
Center for Microanalysis of Materials  
Materials Research Laboratory  
University of Illinois Frederick Seitz  
104 S. Goodwin  
Urbana, IL 61801

(217) 333-8396

## CENTER FOR MICROANALYSIS OF MATERIALS (continued)

<u>INSTRUMENTS</u>	<u>'ACRONYM'</u>	<u>FEATURES AND CHARACTERISTICS</u>
Imaging Secondary Ion Microprobe Cameca IMS 5f	SIMS	Dual ion sources (C <sub>s</sub> <sup>+</sup> , O <sub>2</sub> <sup>+</sup> ). 1 μm resolution.
Scanning Auger Microprobe Physical Electronics 595	Auger	Resolution: SEM 30 nm, Auger 70 nm. Windowless X-ray detector.
Scanning Auger Microprobe Physical Electronics 660	Auger	Resolution: SEM 25 nm Auger 60 nm
X-ray Photoelectron Spectrometer Electronics 5400	XPS	Resolution: 50 meV, 180° Physical spherical analyzer, Mg/Al and Mg/Ag anodes
X-ray Photoelectron Spectrometer Surface Science	XPS	Spherical analyzer, small spot size, gas doping, high temperature
Transmission Electron Microscope Phillips EM420 (120kV) Stage (30K).	TEM	EDS (windowless), EELS, STEM, Cathodoluminescence, Cold
Transmission Electron Microscope Phillips EM400T (120kV)	TEM	EDS. Heating, cooling stages
Transmission Electron Microscope Phillips CM12 (120 kV)	TEM	High Resolution Analytic facilities
Transmission Electron Microscope JEOL 4000EX (400 kV)	TEM	For environmental cell use. Straining stages, heating stages
Transmission Electron Microscope Hitachi 9000 (modified)	TEM	0.16 nm resolution atomic imaging
Scanning Transmission E.M. Vacuum Generators HB5 (100kV)	STEM	0.5 nm probe, field emission gun, EDS, EELS
Scanning Electronic Microscope Hitachi S800	SEM	Field Emission Gun Resolution 2 nm, EDX
Scanning Electron Microscope Zeiss 960	SEM	High temperature deformation. Channeling, Backscattering, EDX, Electron beam lithography
Rutherford Backscattering (3 MeV)	RBS	Two work stations, channeling



**CENTER FOR MICROANALYSIS OF MATERIALS (continued)**

<u>INSTRUMENTS</u>	<u>'ACRONYM'</u>	<u>FEATURES AND CHARACTERISTICS</u>
X-ray Equipment Elliott 14 kW high brilliance source Rigaku 12 kW source Several conventional sources Rigaku D/Max-11B Computer Controlled Powder Diffractometer Scintag diffractometers(2)	X-ray	4-circle diffractometer. Small angle camera. EXAFS. Lang topography, Powder cameras, etc. High temperature and low temperature stages. Texture analysis.
Proton Induced X-ray Emission	PIXE	Quantitative chemical analysis
Van de Graff Accelerator for electrons and ions		3 MeV accelerator Rutherford Backscattering Electron radiation damage Ion radiation damage

## NATIONAL CENTER FOR ELECTRON MICROSCOPY

Lawrence Berkeley National Laboratory  
University of California  
Berkeley, California 94720

The National Center for Electron Microscopy (NCEM) was formally established in the Fall of 1981 as a component of the Materials and Molecular Research Division, Lawrence Berkeley Laboratory.

The NCEM provides unique facilities and advanced research programs for electron microscopy characterization of materials. Its mission is to carry out fundamental research and maintain state-of-the-art facilities and expertise. Present instrumentation at the Center includes a 1.5 MeV Kratos microscope dedicated largely to in-situ work, a 1-MeV JEOL atomic resolution microscope (ARM) with 1.6 angstrom point-to-point resolution, a 200-kV high-resolution feeder microscope (JEOL 200 CX), a 200-kV analytical microscope (JEOL 200 CX) equipped with a thin window and a high-angle X-ray detector, and a parallel energy-loss spectrometer; and a 200 kV in situ microscope with an electrical biasing holding. Facilities for computer image simulation, processing and analysis are also available to users.

### USER MODE

Qualified microscopists with appropriate research projects of documented interest to DOE may use the Center without charge. Proprietary studies may be carried out on payment of full costs. Access to the Center may be obtained by submitting research proposals, which will be reviewed for Center justification by a Steering Committee (present external members are: Drs. D. G. Howitt, Chairman, C. W. Allen, D. J. Smith, R. Mishra; internal members are: Drs. G. Thomas, K. M. Krishnan, R. Gronsky, and K. H. Westmacott). A limited number of studies judged by the Steering Committee to be of sufficient merit can be carried out as a collaborative effort between a Center post-doctoral fellow, the outside proposer, and a member of the Center staff. The Center also provides access to junior faculty and researchers through an annual visiting scientist fellowship award.

### PERSON TO CONTACT FOR INFORMATION

Ms. Gretchen Hermes (510) 486-5006  
National Center for Electron Microscopy  
Mail Stop: 72-150  
Lawrence Berkeley Laboratory  
University of California  
Berkeley, CA 94720

## NATIONAL CENTER FOR ELECTRON MICROSCOPY (continued)

### TECHNICAL DATA

#### INSTRUMENTS

KRATOS 1.5-MeV  
Electron Microscope

#### KEY FEATURES

Resolution 3 Å (pt-pt)  
environmental cell; hot stage,  
cold stage, straining stage,  
straining/heating stage, CBED,  
video camera, Faraday cup

#### CHARACTERIZATION

50-80 hrs/week 150-1500 kV  
range in 100 kV steps and  
continuously variable.  
LaB<sub>6</sub> filament. Max. beam  
current 70 amp/cm<sup>2</sup>.  
3-mm diameter specimens.

JEOL 1-MeV Atomic  
Resolution Microscope

Resolution < 1.6 Å (pt-pt)  
over full voltage range.  
Ultrahigh resolution  
goniometer stage,  $\pm 40^\circ$   
biaxial tilt with height control.

60 hrs/week, 400 kV-1  
MeV, LaB<sub>6</sub> filament, 3-mm  
diameter specimens.

JEOL 200 CX  
Electron Microscope

Dedicated high-resolution  
2.4 Å (pt-pt) U.H.  
resolution goniometer  
stage only.

200 kV only, LaB<sub>6</sub>  
filament, 2.3-mm or  
3-mm diameter specimens.

JEOL 200 CX dedicated  
Analytical Electron  
Microscope

Microdiffraction, CBED,  
UTW X-ray detector, high-  
angle X-ray detector, PEELS  
spectrometer.

100 kV-200 kV LaB<sub>6</sub>  
filament, state-of-the-art  
resolution; 3-mm diameter  
specimens.

JEOL 200 CX  
Electron Microscope

In-situ instrument with  
electrical biasing holder,  
heating stage and video camera.

100 kV-200 kV LaB<sub>6</sub>  
filament; side entry stage;  
3-mm diameter specimens.

## SHARED RESEARCH EQUIPMENT PROGRAM (SHaRE)

Metals and Ceramics Division  
Oak Ridge National Laboratory  
Oak Ridge, Tennessee 37831

A wide range of facilities for use in materials science are available for collaborative research by members of universities or industry with ORNL staff members. The facilities include state-of-the-art electron microscopy, intermediate high voltage electron microscopy, atom probe/field ion microscopy, scanning electron microscopy, atomic force microscopy, surface analysis, and nuclear microanalysis. The electron microscopy capabilities include analytical electron microscopy (energy dispersive X-ray spectroscopy (EDS), parallel electron energy loss spectroscopy (PEELS), energy-filtered and spectrum imaging and diffraction and convergent beam electron diffraction (CBED)). The high resolution SEM incorporate automated electron back-scattered pattern (EBSP). Surface analysis facilities include four Auger electron spectroscopy (AES) systems, and 0.4, 2.0, and 5.0 Van de Graaff accelerators for Rutherford back-scattering and nuclear reaction techniques. Other equipment includes two mechanical properties microprobes (Nanoindenter), X-ray diffraction systems, rapid solidification apparatus, and various other facilities in the Metals and Ceramics Division.

### USER MODE

User interactions are through collaborative research projects between users and researchers on the Materials Sciences Program at ORNL. Proposals are reviewed by an executive committee which consists of ORISE, ORNL, and university members. Current members are: E. A. Kenik, Chairman, J. Bentley, D. C. Joy, E. L. Hall, and N. D. Evans. Proposals are evaluated on the basis of scientific excellence and relevance to DOE needs and current ORNL research. One ORNL staff member must be identified who is familiar with required techniques and will share responsibility for the project.

The SHaRE program provides technical help and limited travel expenses for academic participants through the Oak Ridge Institute for Science and Education (ORISE).

### PERSONS TO CONTACT FOR INFORMATION

E. A. Kenik (615) 574-5066  
Metals and Ceramics Division  
Oak Ridge National Laboratory  
P. O. Box 2008  
Oak Ridge, TN 37831

A. Wohlpart (615) 576-3422  
Oak Ridge Institute for Science  
and Education  
P. O. Box 117  
Oak Ridge, TN 37831

## SHARED RESEARCH EQUIPMENT PROGRAM (SHaRE)

### TECHNICAL DATA

Facilities	Key Capabilities	Applications
Philips EM400T/ FEG AEM 120 kV	EDS, (P) EELS, CBED, STEM; minimum probe diam ~2 nm*	Structural and elemental microanalysis
Philips CM12 AEM 120kV	EDS, CBED, STEM;*	Structural and elemental microanalysis
Phillips CM 200/ FEG AEM 200 kV	EDS, CBED, (P) EELS, STEM; minimum probe ~1 nm Spectrum Imaging*	Structural and elemental microanalysis
Phillips CM30 AEM 300 kV	EDS, (P) EELS, CBED, STEM; energy filter*	Structural and elemental microanalysis
Philips XL30/FEG Scanning Electron Microscope 30 kV	SEM, EDS (windowless), EBSP minimum probe ~1.5 nm	Structural and elemental analysis
Atom Probe Field- Ion microscopes	TOF atom probe, Imaging atom probe, FIM, pulsed laser atom probe	Atomic resolution imaging; single atom analysis elemental mapping
PHI 590 Scanning Auger Electron Spectroscopy System	200 nm beam; fracture stage; RGA; depth profiling elemental mapping	Surface analytical and segregation studies
Varian Scanning Auger Electron Spectroscopy System	5 micron beam; hot-cold fracture stage; RGA; depth profiling; elemental mapping	Surface analytical and segregation studies; gas-solid interaction studies
Triple Ion-Beam Accelerator Facilities	400 kV, 2 MV, 5 MV Van de Graff accelerators sputter profiling	Nuclear microanalysis; Rutherford backscattering; elemental analysis
Mechanical Properties Microprobe-Nanoindenter	Computer-controlled diamond indenter, cooling/heating capability, scratch-testing	High spatial resolution (0.1 $\mu\text{m}$ lateral and 0.2 nm depth) measurements of elastic/plastic and viscoelastic behavior
Park Autoprobe - XL Atomic Force Microscope	Optical-based position sensing, quantitative imaging	Surface Imaging; repulsive or attractive modes.

\* Video recording; stages for cooling, heating, and deformation available for Philips microscopes.

## **SURFACE MODIFICATION AND CHARACTERIZATION RESEARCH CENTER**

**Solid State Division  
Oak Ridge National Laboratory  
Oak Ridge, Tennessee 37831**

Ion implantation doping, ion-induced mixing, ion beam deposition and other ion beam based techniques are utilized to alter the near-surface properties of a wide range of solids under vacuum conditions. In-situ analysis by ion beam, surface, and bulk properties techniques are used to determine the fundamental materials interactions leading to these property changes. Since ion implantation doping is a nonequilibrium process, it can be used to produce new and often unique materials properties not possible with equilibrium processing. Ion beam techniques are also useful to modify surface properties for practical applications in areas such as friction, wear, corrosion, catalysis, surface hardness, semiconducting and optoelectronic devices, superconductors, etc.

This program emphasizes long-range basic research. Consequently, most cooperative research involving scientists from industries, universities, and other laboratories has focused on the investigation of new materials properties possible with these processing techniques and on the determination of mechanisms responsible for the observed property changes. In many instances such research projects identify practical applications and accelerate the transfer of these materials alteration techniques to processing applications.

### COOPERATIVE RESEARCH

User interactions are through mutually agreeable research projects between users and research scientists at ORNL that can effectively utilize the unique alteration/analysis capabilities of the SMAC facility. The goal of these interactions is to demonstrate the usefulness or feasibility of these techniques for a particular materials application. Routine service alterations or analyses are discouraged.

### PERSON TO CONTACT FOR INFORMATION

D. B. Poker (423) 576-6719  
Solid State Division  
Oak Ridge National Laboratory  
Oak Ridge, TN 37831-6048

**SURFACE MODIFICATION AND CHARACTERIZATION RESEARCH CENTER****TECHNICAL DATA****ACCELERATORS**

2.5-MV positive ion  
Van de Graaff

1.7-MV tandem

35-170-kV high-current ion  
implantation accelerator

10-500-kV high-current ion  
implantation accelerator

**FACILITIES**

UHV implantation and  
analysis chambers

In-situ analysis capabilities

Scanning electron microscope

Rapid thermal annealer

Thermal annealer

Computer

4-Point Resistance Probe

**OPERATING CHARACTERISTICS**

0.1-2.5 MeV H,  $^4\text{He}$ ,  $^3\text{He}$ ,  
and selected  
gases. Beam current up to 100 nA

0.2-3.5 MeV H; 0.2-5.1 MeV  $^3\text{He}$ ,  $^4\text{He}$ ;  
rf gas source and sputtering source for  
up to MeV energy ion beams of most  
elements.

Most ion species; 100-1000 microamps  
singly charged ions; factor of 10 less for  
doubly charged ions

Most ion species from microamp to  
milliamp currents

Several chambers with vacuums  $10^{-6}$ - $10^{-11}$   
Torr; multiple access ports; UHV  
goniometers with temperature range 4-1300 K

Ion scattering, ion channeling, and  
ion-induced nuclear reactions; PIXE; LEED.  
Auger

JEOL-840 with energy dispersive X-ray  
analysis

AG Heatpulse Model 410, with  
programmable, multistep heating to  
1200°C.

Heating to 1200°C under flowing gas or  
Torr vacuum

Data acquisition and reduction; ion  
implantation and ion backscattering  
simulation

VEECO FPP5000

## COMBUSTION RESEARCH FACILITY - MATERIALS PROGRAM

Sandia National Laboratories  
Livermore, California 94551-0969

Optical techniques, primarily Raman spectroscopy and ultrafast nonlinear optical spectroscopy, are being developed and used to study the behavior of materials. Both pulsed and continuous-wave lasers at various wavelengths throughout the visible and ultraviolet regions are available for excitation of Raman scattering, which can be analyzed with 1 and 2 dimensional photon counting detectors, multichannel diode array detectors, and gated detection. Ultrahigh vacuum chambers and laboratory furnaces are available that are equipped with convenient optical access. Real-time measurements are complemented by post-exposure techniques such as Raman spectroscopy, sputtering and low-energy electron diffraction.

Amplified ultrashort-pulse lasers provide sub-one hundred femtosecond pulses at energies up to ten microjoules. Samples can be investigated under ambient conditions or at temperatures down to 4.8K. Analysis of samples in UHV-based systems provides careful control over the preparation and modification of surfaces. Laser ablation deposition is available for thin film growth of high- $T_c$  superconductors and other advanced ceramics.

### USER MODE

Interactions include: (1) collaborative research projects with outside users, and (2) technology transfer of new diagnostic approaches for the study of material attack. In initiating collaborative research projects, it is desirable to perform preliminary Raman analyses of typical samples and of reference materials to determine the suitability of Raman spectroscopy to the user's particular application. Users interested in exploring potential collaborations should contact the persons listed below. If further investigations appear reasonable, a brief written proposal is requested. Generally, visits of a week or more for external users provide an optimum period for information exchange and joint research efforts. Users from industrial, university, and government laboratories have been involved in these collaborative efforts. Results of these research efforts are published in the open literature.

### PERSONS TO CONTACT FOR INFORMATION

W. G. Wolfer, Advanced Materials Research Division (8342)	(510) 294-2307
Jake McMichael, Manager Operations Department (8305) Sandia National Laboratories Livermore, CA 94551-0969	(510) 294-2569



## COMBUSTION RESEARCH FACILITY - MATERIALS PROGRAM (continued)

### TECHNICAL DATA

<u>INSTRUMENTS</u>	<u>KEY FEATURES</u>	<u>COMMENTS</u>
Raman Surface Analysis System	UHV Chamber; Raman system with Ar laser; triple spectrograph, diode array detector and 2-D imaging photon counting detector; Auger; sputtering capability.	Simultaneous Raman and sputtering. Raman system capable of detecting 2 nm thick oxides. Sample heating up to 1100°C.
Raman Microprobe	Hot stage; Raman system with Ar, Kr lasers; scanning triple spectrometer.	1-2 micron spatial resolution. Hot stage can handle corrosive gases.
Raman High-Temperature Corrosion System	Furnace; Raman system with Ar, Kr, Cu-vapor lasers Nd:YAG; triple spectrograph and diode array detector.	Pulsed lasers gated detection for blackbody background rejection. Furnace allows exposure to oxidizing, reducing, and sulfidizing environments.
Combustion Flow Reactors	Raman system with Ar, Kr, Cu-vapor. lasers; triple spectrograph and diode array detector.	Vapor and particulate injection into flames. Real-time measurements of deposit formation.
Linear and Non-Linear Optical Spectroscopy of Electrochemical Systems	Electrochemical cell; Raman system with Ar, Kr, Cu-vapor lasers; triple spectrograph and diode array detector; Nd:YAG laser, 1 Hz rep. rate.	Electrochemical cell with recirculating pump and nitrogen purge; Monolayer and submonolayer detection of metals, oxygen, and hydrogen adsorption at electrodes.
Nonlinear Optical Spectroscopy of Surfaces System	Picosecond Nd:YAG and dye lasers, 10 Hz; UHV chamber equipped with LEED, Auger, sputtering, and quad. mass spectroscopy; 100-ns pulse length, 10 Hz Nd:YAG laser.	Monolayer and submonolayer detection of high temperature hydrogen and oxygen adsorption and nitrogen segregation on alloys; laser thermal desorption.
Nonlinear Optical Spectroscopy of Electrochemical Systems	Nd:YAG laser, 1kHz rep rate; electrochemical cell.	Monolayer and submonolayer detection of metals, oxygen, and hydrogen adsorption at electrodes.
Ultrafast Optical Spectroscopy	Sub-100-fs CPM ring dye laser; copper-vapor-laser-pumped amplifier.	Transient absorption and transient grating experiments.

## **SECTION G**

### Summary of Funding Levels

## SUMMARY OF FUNDING LEVELS

During the Fiscal Year ending September 30, 1995, the Materials Sciences total support level amounted to about \$275.7 million in operating funds (budget outlays) and \$18.1 million in equipment funds. The following analysis of costs is concerned only with operating funds (including SBIR) i.e., equipment funds which are expended primarily at Laboratories are not shown in the analysis. Equipment support for the Contract and Grant Research projects is included as part of the operating budget.

### 1. By Region of the Country

	<u>Contract and Grant Research (% by \$)</u>	<u>Total Program (% by \$)</u>
(a) Northeast..... (CT, DC, DE, MA, MD, ME, NJ, NH, NY, PA, RI, VT)	36.2	23.2
(b) South..... (AL, AR, FL, GA, KY, LA, MS, NC, SC, TN, VA, WV)	13.5	16.8
(c) Midwest..... (IA, IL, IN, MI, MN, MO, OH, WI)	20.1	30.6
(d) West..... (AZ, CO, KS, MT, NE, ND, NM, OK, SD, TX, UT, WY, AK, CA, HI, ID, NV, OR, WA)	21.0	28.6
	-----	-----
	100.0	100.0

### 2. By Discipline:

	<u>Grant Research (% by \$)</u>	<u>Total Program (% by \$)</u>
(a) Metallurgy, Materials Science, Ceramics (Budget Activity Numbers 01-)	57.5	22.2
(b) Physics, Solid State Science, Solid State Physics (Budget Activity Numbers 02-)	33.3	19.9
(c) Materials Chemistry (Budget Activity Numbers 03-)	9.2	7.2
(d) Facility Operations	----	50.7
	-----	-----
	100.0	100.0

## SUMMARY OF FUNDING LEVELS (continued)

### 3. By University, DOE Laboratory, and Industry:

	<u>Total Program (% by \$)</u>
(a) University Programs (including laboratories where graduate students are involved in research to a large extent, i.e., LBL, Ames and IL)	23.4
(b) DOE Laboratory Research Programs	27.0
(c) Major Facilities at DOE Laboratories	48.3
(d) Industry and Other	1.3
	100.0

### 4. By Laboratory and Grant Research:

	<u>Total Program (%)</u>
Ames Laboratory	3.5
Argonne National Laboratory	32.1
Brookhaven National Laboratory	17.2
Idaho National Engineering Laboratory	0.4
Illinois, University of (Frederick Seitz Materials Research Laboratory)	2.5
Lawrence Berkeley National Laboratory	13.4
Lawrence Livermore National Laboratory	1.0
Los Alamos National Laboratory	2.6
National Renewable Energy Laboratory	0.5
Oak Ridge National Laboratory	8.0
Pacific Northwest National Laboratory	1.4
Sandia National Laboratories	3.0
Stanford Synchrotron Radiation Laboratory	1.0
Grant Research	13.4
	100.0

## **SECTION H**

Index of Investigators,  
Materials, Techniques,  
Phenomena, and Environment

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## MATERIALS, TECHNIQUES, PHENOMENA, AND ENVIRONMENT

The numbers in parenthesis at the end of each listing of Abstract numbers gives for each topic the percentage of prorated projects, the percentage of funding, and the percentage of individual projects respectively. The prorated projects and the funding levels are based on estimates of the fractions of a given project devoted to the topic. The operating funds for fiscal year 1995 were \$275,721,000. The number of projects is 438.

### MATERIALS

#### Actinides-Metals, Alloys and Compounds

6, 13, 16, 32, 56, 134, 145, 159, 160, 174, 219, 236, 278, 282, 318, 334, 357, 379  
(1.30, 8.26, 4.11)

#### Aluminum and its Alloys

1, 8, 19, 41, 52, 56, 66, 71, 82, 114, 116, 152, 156, 167, 169, 171, 175, 183, 185, 197, 217, 273, 296, 313, 324, 333, 340, 355, 377, 418, 421  
(1.69, 1.24, 7.08)

#### Alkali and Alkaline Earth Metals and Alloys

4, 41, 61, 143, 174, 263, 296, 364  
(0.43, 0.17, 1.83)

#### Amorphous State: Liquids

40, 59, 103, 108, 140, 155, 192, 193, 199, 219, 228, 230, 256, 288, 290, 291, 362, 375, 409  
(1.21, 0.53, 4.34)

#### Amorphous State: Metallic Glasses

20, 25, 68, 71, 114, 140, 155, 174, 183, 207, 219, 230, 245, 284, 291, 326  
(0.80, 0.54, 3.65)

#### Amorphous State: Non-Metallic Glasses (other than Silicates)

29, 84, 90, 140, 177, 178, 181, 207, 219, 221, 222, 248, 287, 291, 298, 403  
(0.80, 0.55, 3.65)

#### Amorphous State: Non-Metallic Glasses (Silicates)

17, 88, 199, 204, 219, 222, 224, 248, 276, 280, 287, 291, 298, 326, 367, 387, 392, 403, 412  
(0.94, 0.40, 4.34)

#### Carbides

17, 81, 84, 117, 129, 139, 141, 176, 178, 188, 190, 191, 222, 252, 254, 259, 296, 326, 333, 411, 418, 433, 438  
(1.14, 0.61, 5.25)

#### Cement and Concrete

348  
(0.23, 0.03, 0.23)

Carbon and Graphite

41, 57, 114, 131, 140, 153, 183, 188, 216, 218, 247, 345, 364, 370, 408  
(0.80, 0.31, 3.42)

Coal

175, 214  
(0.09, 0.06, 0.46)

Composite Materials--Structural

3, 7, 14, 26, 117, 125, 154, 170, 183, 196, 198, 222, 254, 304, 311, 314, 333, 350, 390, 398, 399, 408, 412  
(1.30, 0.60, 5.25)

Critical/Strategic Elements (Cr, Co, and Mn-Pt Alloys--use indexes below, also see Critical/Strategic Materials Substitution in the Phenomena index.) Not to appear in Summary Book.

7, 299, 374  
(0.23, 0.08, 0.68)

Copper and Its Alloys

1, 3, 7, 14, 39, 41, 56, 67, 70, 74, 85, 95, 113, 139, 143, 156, 164, 167, 185, 217, 223, 226, 250, 279, 306, 313, 372, 405  
(1.42, 0.62, 6.39)

Dielectrics

5, 15, 17, 90, 100, 130, 140, 176, 178, 181, 191, 202, 215, 220, 262, 312, 346  
(0.80, 0.40, 3.88)

Fast Ion Conductors (use Solid Electrolytes if more appropriate)

29, 41, 176, 177, 220, 221, 262, 305  
(0.41, 0.25, 1.83)

Iron and Its Alloys

1, 2, 3, 8, 16, 39, 52, 55, 56, 67, 70, 74, 80, 82, 85, 87, 95, 116, 143, 156, 167, 169, 171, 174, 175, 180, 198, 200, 217, 225, 226, 231, 244, 268, 272, 275, 315, 328, 339, 340, 344, 355, 366, 374, 384, 397, 399, 418, 423  
(3.06, 1.65, 11.19)

Glasses (use terms under Amorphous State)

176, 224, 291, 308, 321, 351, 401, 412  
(0.59, 0.09, 1.83)

Hydrides

21, 61, 82, 86, 155, 175, 181, 216, 245, 283  
(0.50, 0.36, 2.28)

Intercalation Compounds

23, 55, 131, 174, 177, 351, 370, 386, 419  
(0.50, 0.19, 2.05)

Intermetallic Compounds

3, 6, 7, 11, 12, 15, 21, 23, 41, 53, 55, 56, 62, 76, 116, 131, 134, 143, 155, 159, 160, 167, 172, 174, 175, 180, 216, 217, 227, 231, 233, 244, 251, 253, 270, 272, 273, 278, 283, 302, 311, 317, 334, 371, 377, 391, 426  
(2.81, 1.63, 10.73)

Ionic Compounds

6, 35, 40, 140, 143, 168, 176, 177, 199, 220, 260, 283, 296, 337, 341, 346, 368, 393  
(0.91, 0.33, 4.11)

Layered Materials (including Superlattice Materials)

12, 14, 15, 17, 26, 30, 31, 33, 35, 38, 48, 49, 50, 59, 68, 72, 75, 82, 84, 96, 100, 107, 114, 115, 116, 118, 119, 132, 133, 140, 150, 155, 159, 164, 167, 168, 174, 175, 179, 181, 185, 186, 202, 203, 207, 208, 209, 215, 218, 219, 235, 240, 250, 274, 277, 281, 306, 310, 334, 337, 347, 352, 356, 359, 362, 370, 399, 410, 424  
(4.16, 5.26, 15.75)

Liquids (use Amorphous State: Liquids)

70, 74, 78, 108, 161, 173, 183, 206, 239, 256, 261, 293, 309, 349, 353, 382, 426  
(1.35, 0.48, 3.88)

Metals and Alloys (other than those listed separately in this index)

6, 15, 16, 19, 27, 33, 34, 40, 41, 48, 49, 52, 55, 58, 59, 61, 73, 74, 78, 80, 86, 92, 94, 96, 97, 98, 104, 109, 116, 120, 121, 129, 131, 137, 139, 141, 143, 152, 155, 156, 158, 165, 166, 167, 169, 175, 185, 189, 190, 200, 207, 217, 223, 226, 227, 234, 235, 237, 238, 239, 243, 246, 249, 250, 263, 266, 281, 288, 290, 293, 295, 306, 315, 317, 318, 324, 338, 339, 344, 347, 349, 350, 353, 354, 360, 363, 371, 377, 399, 400, 405, 418, 421, 426  
(6.46, 6.15, 21.46)

Molecular Solids

37, 42, 89, 101, 103, 105, 106, 108, 143, 192, 212, 271, 296, 358, 386, 413  
(1.64, 0.74, 3.65)

Nickel and its Alloys

3, 4, 20, 25, 39, 55, 56, 67, 71, 85, 95, 143, 156, 164, 167, 169, 171, 174, 180, 190, 197, 198, 200, 217, 231, 233, 237, 265, 266, 268, 272, 273, 311, 315, 324, 336, 355, 384, 417  
(2.26, 1.49, 8.90)

Nitrides

17, 21, 22, 36, 81, 115, 117, 129, 141, 176, 188, 191, 215, 222, 259, 326, 347, 381, 408, 411  
(0.91, 0.52, 4.57)

Oxides: Binary

21, 26, 29, 35, 40, 54, 55, 57, 61, 75, 81, 84, 89, 90, 93, 98, 100, 117, 118, 121, 129, 137, 141, 147, 154, 168, 169, 170, 176, 177, 188, 193, 199, 201, 218, 222, 224, 229, 238, 248, 268, 276, 297, 301, 303, 304, 307, 314, 321, 324, 325, 326, 332, 333, 342, 343, 361, 369, 381, 384, 399, 412  
(3.70, 2.35, 14.16)

Oxides: Non-Binary, Crystalline

21, 26, 28, 32, 35, 41, 53, 57, 81, 88, 89, 115, 116, 118, 133, 143, 149, 154, 160, 168, 169, 176, 177, 181, 187, 188, 191, 193, 199, 201, 218, 220, 222, 229, 248, 260, 262, 263, 269, 297, 305, 307, 314, 321, 325, 326, 331, 341, 342, 346, 361, 367, 378, 385, 393, 394, 416  
(3.58, 2.75, 13.01)

Polymers

17, 22, 38, 42, 64, 101, 102, 105, 106, 114, 135, 136, 158, 169, 175, 183, 192, 195, 196, 202, 203, 219, 240, 241, 242, 259, 271, 286, 294, 316, 329, 337, 349, 351, 352, 353, 362, 370, 376, 380, 382, 395, 396, 401, 402, 403, 406, 407, 409, 414  
(3.68, 1.89, 11.42)

Platinum Metal Alloys (Platinum, Palladium, Rhodium, Iridium, Osmium, Ruthenium)

12, 73, 77, 104, 129, 141, 143, 167, 217, 249, 279, 299, 343  
(0.80, 0.25, 2.97)

Quantum Fluids and Solids

14, 29, 103, 120, 122, 131, 158, 161, 174, 234, 247, 274, 280, 281, 289, 309  
(1.28, 0.42, 3.65)

Radioactive Waste Storage Materials (Hosts, Canister, Barriers)

39, 88, 182, 331, 368  
(0.57, 0.26, 1.14)

Rare Earth Metals and Compounds

2, 6, 7, 10, 11, 12, 13, 16, 20, 32, 55, 56, 58, 62, 116, 134, 155, 158, 159, 160, 174, 236, 275, 278, 319, 328, 334, 378, 389, 397, 420  
(2.01, 1.15, 7.08)

Refractory Metals (Groups VB and VI B)

3, 7, 12, 20, 21, 25, 36, 73, 121, 178, 225, 271, 302, 339, 362  
(0.68, 0.50, 3.42)

Superconductors - ceramic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

7, 9, 10, 11, 14, 18, 20, 26, 28, 32, 33, 36, 38, 41, 53, 54, 55, 56, 57, 59, 61, 79, 84, 90, 99, 104, 113, 114, 120, 130, 131, 133, 134, 142, 160, 165, 168, 175, 178, 179, 181, 185, 186, 187, 191, 194, 204, 211, 219, 225, 236, 237, 260, 263, 278, 279, 295, 306, 312, 318, 325, 326, 332, 334, 342, 357, 378, 385, 393, 402, 408, 416, 420, 423, 426  
(5.34, 3.54, 17.12)

Superconductors - metallic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

14, 18, 32, 36, 53, 99, 131, 160, 175, 178, 179, 187, 282, 312, 362, 401, 416  
(0.98, 0.59, 3.88)

Superconductors - polymeric, organic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

32, 37  
(0.11, 0.21, 0.46)

Semiconductor Materials - Elemental (including doped and amorphous phases)

5, 17, 50, 59, 72, 73, 75, 91, 95, 96, 97, 109, 114, 118, 121, 125, 128, 131, 138, 140, 144, 148, 151, 164, 168, 181, 185, 186, 188, 189, 190, 207, 208, 213, 218, 219, 246, 257, 258, 261, 264, 267, 274, 285, 288, 292, 293, 297, 334, 336, 345, 369, 373, 396, 404, 424  
(4.04, 1.65, 12.79)

Semiconductor Materials - Multicomponent (III-Vs, II-VIs, including doped and amorphous forms)

5, 17, 20, 59, 72, 75, 76, 82, 95, 96, 97, 100, 107, 108, 109, 110, 114, 118, 119, 122, 125, 127, 128, 130, 131, 138, 140, 144, 162, 163, 164, 181, 188, 208, 209, 213, 214, 215, 216, 218, 219, 257, 258, 264, 267, 274, 276, 279, 280, 326, 346, 359, 361, 384, 403, 410  
(3.88, 1.61, 12.79)

Solid Electrolytes

64, 220, 221, 262, 305, 323, 337, 351  
(0.62, 0.13, 1.83)

Structural Ceramics (Si-N, SiC, SiALON, Zr-O (transformation toughened))

22, 23, 65, 68, 81, 85, 90, 93, 114, 117, 154, 156, 165, 166, 170, 175, 191, 193, 196, 222, 252, 259, 304, 312, 314, 326, 381, 390, 394, 398, 408, 411, 412, 433, 436, 438  
(2.51, 0.98, 8.22)

Surfaces and Interfaces

2, 14, 16, 19, 23, 26, 30, 31, 33, 34, 38, 39, 41, 42, 50, 52, 56, 58, 59, 61, 65, 66, 68, 70, 72, 73, 74, 75, 78, 80, 81, 82, 84, 92, 96, 98, 99, 100, 110, 114, 117, 118, 121, 126, 129, 131, 135, 136, 137, 138, 140, 141, 143, 144, 146, 147, 148, 150, 151, 152, 153, 158, 159, 164, 166, 167, 168, 169, 170, 173, 180, 186, 188, 189, 190, 191, 193, 196, 197, 198, 199, 202, 203, 204, 205, 210, 213, 215, 216, 218, 219, 222, 229, 230, 241, 246, 250, 254, 256, 265, 266, 268, 272, 284, 288, 290, 293, 294, 295, 299, 301, 313, 314, 317, 321, 322, 324, 336, 337, 339, 349, 352, 353, 362, 363, 365, 369, 371, 376, 382, 383, 388, 389, 392, 396, 400, 403, 404, 407, 415, 419, 424  
(9.95, 5.72, 32.42)

Synthetic Metals

37, 64, 140, 153, 158, 162, 219, 286, 310, 335, 356, 402, 414  
(1.07, 0.49, 2.97)

Transition Metals and Alloys (other than those listed separately in this Index)

13, 14, 20, 21, 25, 56, 61, 62, 63, 113, 116, 121, 129, 141, 143, 156, 160, 164, 167, 174, 175, 190, 214, 216, 217, 235, 236, 249, 253, 283, 318, 326, 338, 339, 347, 362, 389, 397, 401, 415  
(2.08, 1.21, 9.13)

TECHNIQUES

Acoustic Emission

198, 324  
(0.14, 0.04, 0.46)

Auger Electron Spectroscopy

1, 3, 10, 17, 23, 30, 38, 41, 59, 71, 75, 76, 80, 81, 82, 84, 109, 116, 117, 121, 129, 137, 140, 141, 156, 172, 188, 190, 197, 198, 199, 200, 210, 213, 216, 219, 225, 250, 268, 301, 314, 345, 347, 360, 378, 405, 424  
(1.83, 1.31, 10.73)

Bulk Analysis Methods (other than those listed separately in this Index, e.g., ENDOR, muon spin rotation, etc.)

7, 34, 41, 179, 253, 296, 416  
(0.30, 0.21, 1.60)

Computer Simulation

3, 8, 20, 26, 30, 38, 40, 41, 59, 65, 66, 68, 75, 91, 114, 116, 118, 129, 130, 131, 136, 141, 145, 146, 152, 155, 156, 158, 164, 169, 180, 184, 192, 193, 197, 199, 201, 204, 206, 208, 213, 216, 220, 221, 222, 229, 238, 239, 240, 245, 250, 262, 263, 266, 284, 285, 300, 305, 307, 308, 317, 320, 321, 326, 359, 371, 376, 392, 410, 417, 421, 425  
(3.95, 2.95, 16.44)

Chemical Vapor Deposition (all types)

26, 35, 75, 84, 91, 96, 98, 109, 110, 118, 140, 153, 163, 181, 191, 199, 208, 209, 212, 213, 219, 261, 267, 285, 345, 346, 399, 433, 438  
(1.64, 0.64, 6.62)

Dielectric Relaxation

176, 262  
(0.07, 0.03, 0.46)

Deep Level Transient Spectroscopy

118, 130, 140, 181, 267, 318, 346  
(0.18, 0.08, 1.60)

Electron Diffraction (Technique development, not usage, for all types--LEED, RHEED, etc.)

16, 23, 25, 71, 75, 76, 80, 91, 111, 112, 115, 116, 121, 129, 137, 141, 143, 145, 165, 166, 168, 184, 186, 190, 199, 210, 215, 216, 232, 237, 301, 307, 360, 378, 429  
(1.44, 1.84, 7.99)

Electron Energy Loss Spectroscopy (EELS)

17, 23, 25, 28, 71, 72, 75, 80, 81, 109, 111, 112, 114, 115, 116, 118, 129, 141, 145, 153, 165, 166, 168, 186, 190, 199, 210, 222, 232, 266, 295, 298, 299, 301, 321, 429  
(1.64, 1.79, 8.22)

Elastic Constants

30, 155, 156, 160, 165, 347, 377  
(0.30, 0.12, 1.60)

Electrochemical Methods

23, 37, 39, 40, 52, 58, 64, 67, 80, 108, 139, 140, 176, 177, 198, 200, 211, 299, 337, 351, 363, 365  
(1.10, 0.67, 5.02)

Electron Microscopy (technique development for all types)

1, 2, 3, 10, 25, 26, 28, 36, 50, 62, 71, 72, 75, 77, 81, 82, 84, 91, 94, 95, 111, 112, 114, 115, 116, 118, 133, 140, 145, 153, 154, 156, 162, 163, 165, 166, 168, 169, 170, 171, 172, 186, 201, 216, 222, 224, 229, 237, 238, 249, 251, 252, 259, 266, 272, 273, 276, 280, 294, 296, 298, 299, 302, 303, 306, 307, 321, 331, 332, 341, 342, 355, 374, 377, 389, 399, 410, 412, 418  
(5.62, 3.89, 18.04)

Electron Spectroscopy for Chemical Analysis (ESCA)

30, 41, 61, 76, 80, 82, 84, 96, 109, 117, 121, 129, 132, 138, 140, 141, 145, 156, 177, 199, 259, 345  
(0.66, 1.25, 5.02)



Electron Spin Resonance or Electron Paramagnetic Resonance

38, 89, 118, 162, 178, 211, 212, 262, 270, 310, 356  
(0.39, 0.22, 2.51)

Extended X-Ray Absorption Fine Structure (EXAFS and XANES)

33, 34, 39, 52, 64, 84, 88, 132, 138, 148, 160, 219, 259, 264, 298, 331, 334, 349, 353, 361, 403, 404, 405, 423, 425, 426, 431  
(1.58, 0.92, 6.16)

Field Emission and Field Ion Microscopy

23, 73, 165, 166, 205, 210, 332  
(0.34, 0.24, 1.60)

High Pressure (Technique development of all types)

12, 29, 39, 130, 133, 160, 162, 193, 212, 248, 264, 319  
(0.50, 0.31, 2.74)

Ion or Molecular Beams

25, 38, 41, 42, 68, 76, 94, 96, 100, 118, 153, 163, 165, 169, 181, 187, 188, 199, 213, 226, 246, 276, 279, 296, 339, 389, 436  
(1.37, 1.32, 6.16)

Ion Channelling, or Ion Scattering (Including Rutherford and other ion scattering methods)

25, 27, 41, 68, 72, 82, 94, 118, 144, 153, 165, 169, 177, 178, 188, 189, 191, 207, 225, 238, 276, 307, 332, 345, 392  
(1.12, 1.58, 5.71)

Internal Friction (also see Ultrasonic Testing and Wave Propagation)

155, 262  
(0.05, 0.01, 0.46)

Infrared Spectroscopy (also see Raman Spectroscopy)

5, 38, 39, 89, 124, 128, 129, 133, 136, 141, 162, 176, 177, 181, 191, 199, 204, 207, 215, 218, 221, 224, 259, 262, 264, 276, 286, 296, 305, 395  
(1.48, 0.65, 6.85)

Laser Spectroscopy (scattering and diagnostics)

41, 42, 119, 125, 127, 128, 130, 136, 137, 140, 149, 163, 181, 193, 196, 201, 202, 208, 209, 213, 218, 256, 274, 280, 287, 329, 360, 363, 375, 400, 406, 412, 414  
(2.17, 0.67, 7.53)

Magnetic Susceptibility

6, 12, 13, 14, 30, 31, 32, 37, 54, 62, 92, 116, 133, 142, 160, 161, 178, 179, 181, 187, 211, 212, 235, 236, 257, 275, 278, 282, 310, 319, 328, 356, 374, 397, 402  
(2.12, 1.02, 7.99)

Molecular Beam Epitaxy

30, 31, 75, 76, 94, 96, 97, 98, 100, 118, 137, 140, 163, 168, 181, 186, 208, 209, 225, 237, 243, 285, 296, 404  
(1.07, 0.58, 5.48)

Mossbauer Spectroscopy

38, 116, 160, 185, 244, 275, 328, 358, 386, 397, 413, 423  
(0.78, 0.17, 2.74)

Neutron Scattering: Elastic (Diffraction)

11, 13, 15, 29, 37, 38, 40, 55, 56, 57, 62, 63, 92, 103, 156, 160, 162, 174, 175, 180, 187, 192, 201, 217, 235, 243, 245, 275, 281, 282, 291, 305, 328, 342, 370, 388, 397, 409  
(1.87, 1.37, 8.68)

Neutron Scattering: Inelastic

11, 29, 38, 40, 55, 56, 63, 92, 103, 160, 162, 174, 175, 192, 243, 281, 358, 370, 388, 407, 409, 413  
(1.26, 0.99, 5.02)

Neutron Scattering: Small Angle

29, 38, 139, 173, 175, 192, 195, 281, 294, 309, 344, 388, 409  
(0.82, 0.51, 2.97)

Nuclear Magnetic Resonance and Ferromagnetic Resonance

12, 38, 89, 104, 120, 129, 136, 139, 141, 160, 192, 196, 211, 221, 224, 247, 259, 286, 294, 361, 367, 395, 420  
(1.30, 0.50, 5.25)

Optical Absorption

5, 13, 16, 23, 26, 35, 38, 110, 119, 127, 133, 140, 149, 162, 181, 202, 209, 218, 280, 297, 329  
(0.84, 0.44, 4.79)

Perturbed Angular Correlation and Nuclear Orientation

361  
(0.07, 0.01, 0.23)

Photoluminescence

17, 108, 116, 127, 140, 149, 163, 181, 209, 218, 267, 280, 287, 318, 346, 410  
(0.71, 0.23, 3.65)

Positron Annihilation (including slow positrons)

50, 59, 64, 66, 316  
(0.23, 0.14, 1.14)

Powder Consolidation (including sintering, hot pressing, dynamic compaction, laser assisted, etc., of metals and ceramics, use this item in the Phenomena index)

1, 7, 10, 26, 54, 90, 93, 117, 138, 155, 170, 179, 187, 191, 196, 254, 275, 296, 305, 328, 399, 412  
(1.23, 0.72, 5.02)

Powder Synthesis (including preparation, characterization, or pre-consolidation behavior, use this item in the Phenomena index)

1, 7, 10, 26, 54, 57, 68, 90, 93, 117, 155, 170, 177, 191, 199, 204, 259, 297, 305, 381, 399  
(0.98, 0.70, 4.79)

Raman Spectroscopy (also see Infrared Spectroscopy)

38, 39, 70, 79, 125, 129, 130, 140, 141, 162, 163, 176, 202, 213, 215, 218, 224, 248, 264, 274, 276, 280, 286, 305, 319, 345, 363, 364, 410, 424  
(1.80, 0.41, 6.85)

Rapid Solidification Processing (also see Solidification: Rapid in the Phenomena index)

2, 7, 71, 178, 181, 189, 207, 284, 288, 306, 340, 374  
(0.94, 0.51, 2.74)

Surface Analysis Methods (other than those listed separately in this Index, e.g., ESCA, Slow Positrons, X-Ray, etc.)

3, 16, 33, 34, 41, 42, 48, 49, 50, 52, 59, 74, 76, 78, 80, 82, 96, 109, 110, 116, 118, 121, 129, 137, 140, 141, 143, 144, 145, 148, 173, 180, 188, 189, 199, 204, 205, 206, 219, 226, 230, 250, 268, 295, 301, 339, 362, 369, 383, 389, 392, 400, 405, 419, 424  
(3.04, 2.93, 12.56)

Specific Heat

6, 12, 133, 134, 155, 160, 187, 192, 211, 247, 282, 289, 401  
(0.98, 0.33, 2.97)

Spinodal Decomposition

116, 163, 219, 224, 399, 410, 411  
(0.27, 0.17, 1.60)

Sputtering

17, 26, 28, 30, 33, 36, 38, 41, 48, 49, 76, 115, 116, 120, 140, 176, 177, 179, 181, 191, 219, 225, 237, 249, 275, 280, 300, 328, 347, 378  
(1.19, 1.54, 6.85)

Synchrotron Radiation

13, 15, 16, 23, 24, 26, 33, 34, 39, 43, 44, 46, 47, 48, 49, 55, 57, 58, 61, 62, 64, 78, 97, 115, 118, 121, 132, 138, 140, 143, 144, 145, 148, 155, 160, 180, 185, 190, 192, 204, 205, 219, 223, 281, 291, 292, 293, 318, 334, 342, 349, 353, 362, 382, 383, 384, 396, 402, 403, 405, 406, 423, 425, 427  
(4.02, 14.36, 14.61)

Surface Treatment and Modification (including Ion Implantation, laser processing, electron beam processing, sputtering, etc., see Chemical Vapor Deposition)

1, 38, 41, 61, 67, 71, 116, 117, 118, 121, 129, 137, 140, 141, 148, 153, 155, 165, 169, 176, 179, 181, 188, 189, 200, 207, 209, 230, 299, 339, 345, 351, 354, 404  
(1.46, 1.42, 7.76)

Synthesis

21, 22, 26, 28, 36, 37, 38, 57, 64, 84, 101, 105, 106, 129, 133, 135, 136, 138, 140, 141, 153, 160, 163, 177, 178, 181, 191, 193, 196, 203, 211, 212, 214, 215, 236, 271, 275, 276, 282, 328, 368, 381, 402  
(3.15, 1.69, 9.82)

Theory: Defects and Radiation Effects

27, 53, 62, 66, 68, 94, 145, 152, 158, 162, 169, 184, 192, 200, 246, 262, 269, 283, 307, 346  
(1.05, 2.12, 4.57)

Theory: Electronic and Magnetic Structure

5, 6, 13, 20, 38, 39, 51, 60, 62, 92, 99, 113, 116, 121, 131, 133, 140, 147, 150, 151, 158, 159, 162, 164, 167, 184, 202, 209, 211, 216, 217, 219, 222, 240, 258, 270, 275, 277, 278, 280, 283, 285, 318, 325, 326, 328, 338, 357, 358, 359, 373, 379, 413, 415, 420  
(3.33, 1.39, 12.56)

Theory: Non-Destructive Evaluation

8, 230  
(0.14, 0.06, 0.46)

Theory: Surface

26, 41, 51, 60, 73, 91, 99, 121, 129, 131, 141, 143, 158, 163, 164, 168, 184, 199, 205, 210, 216, 232, 242, 250, 284, 285, 295, 300, 303, 317, 320, 321, 330, 336, 337, 350, 352, 371, 373, 376, 392, 415, 429  
(2.51, 0.79, 9.82)

Theory: Structural Behavior

4, 6, 19, 26, 35, 86, 102, 103, 113, 116, 117, 121, 131, 147, 151, 152, 158, 163, 164, 170, 172, 197, 204, 227, 235, 252, 263, 265, 283, 285, 298, 304, 312, 314, 315, 317, 318, 321, 322, 324, 333, 335, 350, 352, 370, 372, 380, 390, 391, 392, 398, 415, 417, 421, 422  
(5.00, 1.42, 12.56)

Theory: Superconductivity

18, 32, 53, 60, 99, 120, 131, 133, 158, 184, 187, 211, 278, 312, 326, 335, 357, 402, 416, 420  
(1.39, 0.66, 4.57)

Theory: Thermodynamics, Statistical Mechanics, and Critical Phenomena

26, 32, 40, 51, 60, 99, 102, 103, 113, 116, 133, 136, 152, 155, 158, 161, 163, 164, 170, 181, 184, 192, 193, 194, 195, 216, 224, 227, 239, 240, 250, 263, 270, 277, 283, 284, 308, 312, 317, 337, 338, 410, 426  
(2.28, 1.13, 9.82)

Theory: Transport, Kinetics, Diffusion

1, 2, 32, 38, 39, 50, 53, 67, 68, 73, 86, 116, 139, 140, 152, 167, 168, 169, 177, 181, 184, 193, 197, 199, 209, 216, 217, 227, 239, 240, 258, 262, 269, 283, 284, 305, 312, 323, 326, 337, 340, 342, 351, 359, 365, 366, 373, 375, 379, 391, 392, 417  
(3.01, 1.82, 11.87)

Thermal Conductivity

161, 401  
(0.18, 0.05, 0.46)

Ultrasonic Testing and Wave Propagation

8, 67, 155, 230  
(0.21, 0.08, 0.91)

Vacuum Ultraviolet Spectroscopy

16, 43, 48, 49, 61, 121, 132, 145, 159, 218, 405  
(0.43, 2.18, 2.51)

Work Functions

41, 140  
(0.02, 0.03, 0.46)

X-Ray Scattering and Diffraction (wide angle crystallography)

10, 15, 21, 24, 26, 28, 30, 31, 33, 34, 35, 36, 37, 55, 57, 58, 62, 64, 65, 69, 78, 88, 103, 116, 117, 118, 126, 138, 140, 142, 155, 156, 160, 162, 163, 180, 185, 192, 195, 201, 219, 225, 235, 237, 245, 248, 264, 268, 270, 271, 272, 275, 280, 281, 291, 292, 293, 305, 311, 319, 324, 328, 331, 332, 342, 344, 346, 347, 349, 353, 362, 370, 382, 396, 397, 402, 403, 405, 411, 432 (4.41, 2.45, 18.26)

X-Ray Scattering (small angle)

30, 31, 34, 69, 88, 116, 132, 138, 183, 192, 204, 219, 281, 290, 294, 316, 344, 349, 353, 403, 406 (0.91, 0.60, 4.79)

X-Ray Scattering (other than crystallography)

15, 24, 29, 33, 34, 39, 43, 48, 49, 52, 56, 58, 69, 78, 116, 132, 140, 180, 185, 192, 217, 219, 282, 293, 339, 352, 362, 383, 384, 386, 396, 404, 407 (1.78, 2.38, 7.53)

X-Ray Photoelectron Spectroscopy

21, 38, 41, 48, 49, 61, 64, 109, 118, 121, 129, 132, 137, 138, 140, 141, 143, 144, 145, 150, 151, 159, 160, 190, 198, 199, 204, 205, 219, 275, 301, 314, 318, 328, 362, 392, 403, 424 (1.46, 2.64, 8.68)

PHENOMENA

Catalysis

5, 23, 29, 38, 61, 77, 80, 104, 121, 129, 131, 135, 136, 137, 141, 143, 168, 186, 189, 190, 193, 199, 214, 219, 284, 295, 299, 320, 334, 336, 349, 353, 384 (1.87, 1.05, 7.53)

Channeling

59, 68, 72, 118, 184, 186, 189, 207, 225 (0.48, 0.30, 2.05)

Coatings (also see Surface Phenomena in this index)

7, 23, 42, 109, 116, 131, 132, 135, 137, 153, 178, 202, 215, 241, 242, 322, 339, 345, 347, 392, 433, 438 (1.23, 0.78, 5.02)

Colloidal Suspensions

89, 93, 117, 138, 170, 173, 183, 193, 196, 199, 204, 228, 291, 309, 348 (1.07, 0.42, 3.42)

Conduction: Electronic

32, 37, 40, 64, 100, 101, 104, 105, 106, 108, 118, 122, 133, 140, 153, 160, 162, 167, 177, 179, 181, 209, 211, 221, 225, 234, 238, 240, 257, 258, 267, 269, 271, 286, 305, 319, 335, 337, 342, 346, 354, 357, 373, 379, 402, 410, 414 (2.95, 1.02, 10.73)

Conduction: Ionic

40, 64, 101, 105, 106, 176, 177, 221, 262, 283, 305, 337, 351 (0.96, 0.26, 2.97)

Constitutive Equations

3, 116, 117, 152, 156, 314, 390, 412  
(0.27, 0.15, 1.83)

Corrosion: Aqueous (e.g., crevice corrosion, pitting, etc., also see Stress Corrosion)

39, 52, 59, 67, 70, 74, 78, 80, 198, 199, 200, 207, 315, 323, 324  
(1.07, 0.43, 3.42)

Corrosion: Gaseous (e.g., oxidation, sulfidation, etc.)

40, 52, 121, 144, 198, 295, 306, 315, 324, 339, 419  
(0.57, 0.16, 2.51)

Corrosion: Molten Salt

40  
(0.05, 0.02, 0.23)

Critical Phenomena (including order-disorder, also see Thermodynamics and Phase Transformations in this index)

32, 40, 55, 57, 58, 118, 121, 138, 162, 175, 178, 184, 192, 193, 216, 227, 233, 239, 243, 244, 247, 251, 257, 263, 270, 370, 374, 375, 406, 418  
(1.12, 0.55, 6.85)

Crystal Structure and Periodic Atomic Arrangements

6, 15, 21, 26, 37, 55, 57, 58, 91, 111, 112, 114, 115, 116, 118, 121, 129, 131, 140, 141, 143, 146, 155, 160, 165, 166, 168, 169, 174, 175, 178, 181, 192, 201, 211, 212, 216, 219, 222, 248, 252, 264, 265, 270, 291, 292, 293, 296, 305, 317, 321, 341, 342, 346, 347, 349, 353, 370, 371, 381, 382, 384, 410, 411, 415  
(3.86, 2.90, 14.84)

Diffusion: Bulk

54, 67, 68, 94, 118, 155, 169, 177, 192, 193, 207, 211, 219, 245, 269, 283, 313, 387, 417  
(0.82, 0.82, 4.34)

Diffusion: Interface

15, 50, 59, 68, 82, 114, 116, 118, 139, 140, 146, 169, 186, 197, 199, 215, 218, 219, 239, 245, 313, 339, 362, 375, 418  
(0.89, 0.83, 5.71)

Diffusion: Surface

41, 42, 73, 110, 114, 118, 129, 141, 168, 181, 210, 229, 284, 295  
(0.68, 0.37, 3.20)

Dislocations

3, 26, 75, 82, 86, 114, 116, 118, 152, 154, 155, 156, 165, 166, 168, 169, 181, 186, 197, 200, 209, 216, 219, 251, 252, 265, 267, 272, 322, 343, 355, 399  
(1.23, 0.99, 7.31)

Dynamic Phenomena

32, 56, 94, 119, 127, 130, 152, 161, 174, 175, 184, 192, 193, 197, 203, 218, 219, 230, 232, 241, 274, 279, 284, 287, 320, 360, 375, 384, 386, 406, 414, 429  
(1.74, 0.84, 7.31)

Electronic Structure - Metals including amorphous forms

16, 20, 21, 32, 33, 59, 61, 97, 104, 114, 121, 131, 133, 145, 146, 158, 159, 164, 167, 175, 184, 216, 217, 219, 234, 245, 264, 266, 275, 276, 280, 283, 296, 318, 319, 326, 328, 334, 354, 357, 362, 373, 379, 389, 405, 420  
(2.37, 1.88, 10.50)

Electronic Structure - Non-metals including amorphous forms

20, 59, 76, 84, 97, 100, 108, 121, 127, 131, 140, 144, 145, 149, 158, 162, 163, 164, 175, 181, 199, 218, 219, 240, 257, 258, 276, 282, 287, 298, 325, 326, 337, 346, 359, 369, 402, 414, 422  
(2.47, 1.54, 8.90)

Erosion

333  
(0.00, 0.00, 0.23)

Grain Boundaries

2, 3, 10, 26, 28, 36, 52, 54, 62, 66, 75, 82, 90, 114, 117, 118, 146, 147, 152, 154, 156, 158, 165, 166, 167, 168, 169, 172, 181, 186, 197, 198, 200, 216, 222, 229, 231, 233, 238, 246, 265, 266, 267, 272, 273, 302, 303, 313, 315, 317, 321, 341, 344, 350, 369, 372, 384, 394, 399, 411  
(2.95, 1.83, 13.70)

Hydrogen Attack

81, 82, 207, 226, 315  
(0.30, 0.11, 1.14)

Ion Beam Mixing

25, 27, 41, 68, 96, 118, 188, 189, 246  
(0.57, 0.86, 2.05)

Laser Radiation Heating (annealing, solidification, surface treatment)

41, 67, 76, 159, 181, 185, 188, 189, 207, 276, 284, 288, 332, 340, 360  
(1.07, 0.64, 3.42)

Magnetism

2, 6, 8, 10, 11, 12, 13, 14, 20, 31, 32, 33, 55, 56, 58, 61, 62, 92, 115, 116, 121, 134, 143, 145, 150, 160, 167, 174, 175, 179, 181, 184, 217, 234, 235, 236, 240, 243, 249, 257, 270, 271, 275, 277, 278, 281, 282, 310, 319, 328, 338, 354, 356, 357, 358, 374, 378, 379, 389, 397, 400, 413, 416, 419  
(4.77, 2.61, 14.61)

Martensitic Transformations and Transformation Toughening

4, 11, 15, 55, 116, 174, 263, 302  
(0.37, 0.21, 1.83)

Mechanical Properties and Behavior: Constitutive Equations

86, 116, 136, 154, 156, 197, 231, 333, 372, 387, 412  
(0.34, 0.17, 2.51)

Mechanical Properties and Behavior: Creep

85, 86, 87, 116, 117, 152, 169, 170, 197, 231, 293, 314, 344, 377, 394  
(0.71, 0.61, 3.42)

Mechanical Properties and Behavior: Fatigue

8, 85, 86, 87, 116, 117, 125, 152, 169, 223, 304, 316, 372, 387, 390, 398  
(0.73, 0.52, 3.65)

Mechanical Properties and Behavior: Flow Stress

3, 8, 26, 86, 116, 152, 154, 156, 166, 228, 238, 251, 273, 355, 399  
(0.57, 0.27, 3.42)

Mechanical Properties and Behavior: Fracture and Fracture Toughness

3, 8, 26, 81, 85, 86, 87, 116, 117, 125, 152, 154, 169, 170, 172, 175, 231, 254, 272, 302, 304, 311, 312, 314, 316, 322, 330, 333, 372, 377, 390, 398, 399, 408, 411, 412, 421, 433, 438  
(2.21, 0.97, 8.90)

Materials Preparation and Characterization: Ceramics

5, 21, 26, 32, 35, 53, 57, 81, 90, 114, 117, 125, 133, 140, 143, 147, 154, 156, 160, 165, 166, 168, 170, 176, 177, 181, 183, 187, 191, 193, 196, 199, 202, 204, 215, 221, 238, 254, 259, 287, 296, 297, 301, 303, 305, 314, 343, 346, 367, 368, 387, 399, 408, 411, 412, 433, 436, 438  
(3.15, 1.48, 13.24)

Materials Preparation and Characterization: Glasses

114, 155, 176, 178, 199, 204, 219, 221, 235, 298, 387  
(0.43, 0.26, 2.51)

Materials Preparation and Characterization: Metals

1, 2, 7, 14, 15, 21, 25, 32, 36, 41, 62, 68, 114, 116, 121, 129, 139, 140, 141, 142, 143, 145, 146, 155, 156, 159, 160, 165, 166, 172, 178, 183, 191, 214, 219, 223, 225, 236, 237, 249, 250, 273, 275, 276, 290, 296, 302, 328, 330, 339, 344, 399  
(2.33, 2.22, 11.87)

Materials Preparation and Characterization: Polymers

64, 101, 105, 106, 135, 136, 192, 195, 196, 202, 219, 294, 316, 337, 352, 380, 402, 406, 409, 414  
(0.87, 0.44, 4.57)

Materials Preparation and Characterization: Semiconductors

15, 17, 50, 59, 75, 97, 114, 118, 121, 125, 138, 140, 142, 145, 162, 163, 168, 181, 191, 208, 209, 213, 214, 219, 267, 276, 292, 293, 296, 345, 346, 404, 410, 424  
(1.83, 1.65, 7.76)

Nondestructive Testing and Evaluation

3, 8, 129, 141, 145, 175, 223  
(0.21, 0.89, 1.60)

Phonons

4, 11, 14, 20, 26, 55, 56, 107, 125, 127, 128, 130, 131, 149, 160, 162, 163, 174, 175, 184, 215, 218, 230, 232, 263, 279, 295, 364, 370, 373, 401, 414, 429  
(1.76, 0.77, 7.53)

Photothermal Effects

140  
(0.02, 0.01, 0.23)



Photovoltaic Effects

17, 108, 118, 140, 181, 267, 276, 396  
(0.48, 0.22, 1.83)

Phase Transformations (also see Thermodynamics and Critical Phenomena in this Index)

1, 4, 6, 12, 20, 32, 37, 55, 57, 58, 63, 81, 102, 104, 108, 116, 118, 129, 131, 138, 140, 141, 155, 163, 164, 165, 166, 169, 171, 174, 175, 178, 180, 195, 202, 211, 217, 224, 228, 233, 243, 245, 247, 248, 256, 261, 263, 264, 277, 279, 281, 283, 285, 293, 302, 338, 348, 349, 353, 360, 361, 362, 374, 375, 381, 384, 391, 405, 418, 423, 426  
(4.18, 2.00, 16.21)

Precipitation

1, 2, 26, 93, 95, 114, 116, 140, 154, 165, 166, 169, 183, 193, 313, 314, 411, 417, 418  
(0.75, 0.64, 4.34)

Point Defects

26, 27, 32, 50, 59, 62, 94, 95, 103, 114, 118, 120, 125, 130, 149, 158, 162, 164, 169, 172, 181, 185, 186, 201, 216, 220, 262, 266, 267, 269, 303, 342, 343, 346, 361, 365, 426  
(2.15, 1.36, 8.45)

Powder Consolidation (including sintering, hot pressing, dynamic compaction, laser assisted, etc., of metals and ceramics)

5, 7, 26, 66, 71, 86, 90, 117, 133, 155, 170, 178, 179, 191, 229, 254, 314, 344, 412  
(0.89, 0.49, 4.34)

Powder Synthesis (including preparation, characterization, or pre-consolidation behavior, see same item under Technique Index)

7, 21, 22, 26, 57, 68, 71, 81, 90, 117, 155, 170, 178, 191, 193, 199, 204, 296, 297  
(0.82, 0.64, 4.34)

Radiation Effects (use specific effects, e.g., Point Defects and Environment Index)

3, 27, 41, 59, 68, 94, 95, 120, 165, 166, 169, 178, 184, 185, 186, 201, 233, 246, 307, 331  
(1.23, 1.02, 4.57)

Recrystallization and Recovery

88, 103, 116, 152, 156, 189, 197, 201, 372  
(0.57, 0.24, 2.05)

Residual Stress

8, 65, 125, 156, 175, 215, 292, 293, 311  
(0.57, 0.25, 2.05)

Rheology

93, 136, 204, 206, 228, 380, 395  
(0.62, 0.19, 1.60)

Stress-Corrosion

39, 52, 67, 70, 198, 200, 205, 223, 315, 323, 387  
(0.64, 0.29, 2.51)

Solidification (conventional)

2, 7, 171, 178, 239, 247, 256, 261, 293, 366  
(0.43, 0.31, 2.28)

SOL-GEL Systems

89, 115, 170, 173, 183, 187, 191, 193, 202, 204, 367  
(0.57, 0.36, 2.51)

Solidification (rapid)

2, 29, 66, 71, 155, 181, 184, 189, 239, 244, 288, 306, 374, 426  
(0.78, 0.41, 3.20)

Surface Phenomena: Chemisorption (binding energy greater than 1eV)

16, 42, 61, 70, 80, 96, 97, 104, 109, 110, 121, 129, 131, 135, 137, 139, 140, 141, 143, 144, 145, 148, 181, 190, 199, 205, 206, 219, 226, 232, 242, 284, 289, 295, 299, 336, 352, 362, 363, 392, 403, 405, 419, 429  
(2.26, 1.70, 10.05)

Surface Phenomena: Physisorption (binding energy less than 1eV)

19, 30, 41, 58, 61, 63, 70, 109, 124, 129, 140, 141, 145, 148, 181, 190, 199, 205, 206, 210, 219, 247, 352, 363, 383, 392  
(1.39, 1.67, 5.94)

Surface Phenomena: Structure

14, 19, 26, 33, 34, 38, 39, 52, 58, 73, 75, 78, 80, 91, 96, 104, 109, 110, 121, 126, 129, 131, 135, 137, 140, 141, 143, 144, 145, 148, 153, 164, 168, 173, 175, 184, 190, 191, 198, 199, 216, 219, 232, 238, 250, 268, 279, 290, 300, 317, 320, 321, 330, 339, 349, 350, 352, 353, 373, 382, 384, 389, 392, 400, 403, 404, 405, 407, 419, 422, 424, 429  
(4.09, 2.36, 16.44)

Surface Phenomena: Thin Films (also see Coatings in this index)

26, 28, 29, 30, 31, 33, 34, 35, 36, 38, 48, 49, 50, 61, 76, 84, 97, 98, 109, 116, 118, 121, 128, 129, 131, 132, 140, 141, 143, 153, 159, 168, 175, 176, 180, 181, 185, 190, 191, 199, 202, 206, 213, 215, 218, 219, 225, 230, 232, 235, 241, 246, 247, 249, 250, 285, 292, 293, 301, 321, 324, 332, 345, 350, 354, 364, 365, 383, 388, 392, 407, 414, 429  
(4.73, 7.61, 16.67)

Short-range Atomic Ordering

33, 116, 121, 129, 141, 143, 145, 151, 152, 155, 158, 163, 164, 167, 168, 174, 175, 180, 192, 196, 203, 216, 217, 219, 227, 233, 244, 283, 352, 410, 419, 426  
(1.46, 1.71, 7.31)

Superconductivity

9, 10, 12, 14, 18, 26, 28, 30, 32, 33, 36, 37, 38, 53, 54, 55, 61, 79, 99, 114, 120, 124, 128, 131, 133, 134, 160, 168, 175, 178, 179, 181, 184, 191, 193, 204, 219, 225, 236, 271, 278, 282, 312, 318, 326, 332, 354, 378, 379, 401, 402, 416, 420  
(3.65, 1.94, 12.10)

Thermodynamics (also see Critical Phenomena and Phase Transformations in this index)

4, 7, 26, 32, 40, 102, 113, 116, 134, 139, 155, 160, 161, 163, 164, 184, 192, 194, 224, 239, 247, 250, 253, 261, 289, 303, 361, 370, 391, 410, 411, 417, 418, 420  
(2.08, 0.87, 7.76)

Transformation Toughening (metals and ceramics - see Martensitic Transformation and Transformation Toughening in this index)

116, 125, 394, 412  
(0.16, 0.03, 0.91)

Valence Fluctuations

16, 32, 56, 134, 158, 159, 160, 162, 175, 236, 318, 319, 357  
(0.62, 0.43, 2.97)

Wear

42, 116, 169, 241, 330, 436  
(0.25, 0.39, 1.37)

Welding

116, 171, 175, 366  
(0.09, 0.11, 0.91)

ENVIRONMENT

Aqueous

39, 67, 70, 74, 78, 80, 89, 93, 126, 135, 140, 198, 199, 203, 204, 241, 315, 348, 352, 367, 368  
(3.61, 1.62, 4.79)

Gas: Hydrogen

3, 82, 86, 155, 205, 216, 289, 315  
(1.03, 0.50, 1.83)

Gas: Oxidizing

28, 81, 117, 140, 154, 160, 172, 181, 198, 205, 216, 295, 297, 306, 324  
(1.48, 1.35, 3.42)

Gas: Sulphur-Containing

299, 324, 339  
(0.46, 0.06, 0.68)

High Pressure

12, 15, 20, 39, 55, 56, 57, 108, 129, 130, 134, 141, 160, 174, 175, 209, 236, 264, 361, 370, 403, 423  
(2.49, 1.48, 5.02)

Magnetic Fields

6, 12, 18, 32, 43, 44, 46, 47, 48, 53, 55, 56, 62, 63, 92, 116, 134, 145, 160, 174, 175, 179, 181, 236, 243, 257, 400, 420  
(2.79, 8.40, 6.39)

Radiation: Electrons

32, 94, 95, 111, 112, 115, 120, 145, 169, 201, 299, 307, 346  
(1.62, 3.69, 2.97)

Radiation: Gamma Ray and Photons

15, 33, 34, 37, 41, 43, 44, 46, 47, 48, 49, 145, 159, 160, 169, 180, 200, 287, 361, 403  
(1.74, 9.10, 4.57)

Radiation: Ions

32, 41, 42, 68, 94, 153, 160, 169, 178, 179, 185, 188, 189, 200, 201, 207, 233, 246, 282, 307, 311, 331  
(3.06, 2.89, 5.02)

Radiation: Neutrons

3, 37, 68, 103, 169, 180, 187, 200, 201  
(0.87, 1.65, 2.05)

Radiation: Theory (use Theory: Defects and Radiation Effects in the Techniques index)

27, 68, 94, 201, 307  
(0.50, 0.74, 1.14)

Temperatures: Extremely High (above 1200degK)

3, 7, 11, 12, 22, 28, 29, 57, 58, 81, 85, 117, 128, 154, 155, 165, 170, 172, 191, 215, 218, 231, 252, 269, 288, 303, 304, 342, 361, 398, 403, 411, 412  
(5.07, 3.03, 7.53)

Temperatures: Cryogenic (below 77degK)

6, 11, 12, 18, 28, 29, 30, 31, 32, 36, 37, 38, 53, 54, 55, 56, 57, 58, 61, 62, 79, 95, 103, 104, 116, 120, 129, 130, 133, 134, 141, 145, 159, 160, 161, 163, 174, 175, 178, 179, 209, 218, 219, 225, 236, 243, 247, 257, 289, 303, 342, 361, 378, 403  
(6.48, 6.69, 12.33)

Vacuum: High (better than 10\*\*9 Torr)

7, 16, 30, 31, 33, 34, 41, 42, 43, 44, 46, 47, 48, 49, 58, 59, 73, 75, 77, 91, 96, 97, 109, 129, 137, 140, 141, 143, 144, 145, 146, 155, 159, 181, 188, 190, 205, 210, 218, 219, 266, 279, 295, 296, 360, 389, 419  
(6.89, 11.59, 10.73)

MAJOR FACILITIES: OPERATIONS

Pulsed Neutron Sources (Operations)

45, 157  
(0.46, 3.11, 0.46)

Steady State Neutron Sources (Operations)

388, 434, 435  
(0.68, 0.06, 0.68)

Synchrotron Radiation Sources (Operations)

33, 34, 39, 43, 69, 121, 126, 143, 145, 185, 205, 219, 318, 425, 431, 432, 437  
(3.88, 11.57, 3.88)

## Divisions of the Office of Basic Energy Sciences

Divisions of the Office of Basic Energy Sciences are summarized below. Full program descriptions and research summary reports are available from each division.

**Division of Chemical Sciences**, Director: Dr. Robert S. Marianelli, 301/903-5804.

The Chemical Sciences subprogram sponsors experimental and theoretical research on liquids, gases, plasmas, and solids. The focus is on their chemical properties and the interactions of their component molecules, atoms, ions, and electrons. The long-term goal is to contribute to new or improved processes for developing and using domestic energy resources in an efficient and environmentally acceptable manner.

**Division of Energy Biosciences**, Acting Director: Dr. Gregory L. Dilworth, 301/903-2873.

Energy Biosciences sponsors research in the microbiological and botanical sciences. The research addresses the underlying mechanisms of green plant productivity by solar energy transformation, conversion of biomass and other organic materials into fuels and chemicals by novel and improved methods of fermentation, and biotechnologies capable of saving energy.

**Division of Engineering and Geosciences**, Acting Director: Dr. William C. Luth, 301/903-5829

The **Engineering Research** activity sponsors research to strengthen the foundations of energy-related engineering practice aimed at long-term energy needs, while furthering advanced engineering education. Contact: Dr. Oscar P. Manley, 301/903-5822.

The **Geosciences Research** objectives include development of a knowledge base for predicting the behavior and response of geologic materials, such as rocks, minerals, and fluids, and the broader earth-sun system, to natural processes. Research areas include: fracture characteristics, fluid movement in geologic formations and reservoirs; indirect characterization and monitoring of geologic structures and *in situ* properties of rock masses.