

ANNEX -A-  
GENERAL REQUIREMENTS

In accordance with 319.40-2, documentation accompanying each shipment (an importer document) must identify the commodity, quantity, and origin of the regulated material.

In accordance with 319.40-9, all shipments are subject to inspection and may require other actions deemed necessary by Plant Protection and Quarantine as a result of inspection. Notice of arrival may be required at the discretion of the Officer in Charge at the port of first arrival.

The regulated commodity, the container in which it is shipped, or the documents accompanying shipments will adequately describe the shipment in accordance with 319.40-9(c) unless the Officer in Charge for Plant Protection and Quarantine at the port of first arrival has notified the permittee that such documentation or portions of the documentation will be understood without being written for each shipment.

Permittee will notify the office issuing this permit of any changes in the status of the activities authorized in this permit, or substantive changes in the status of the permittee (such as change of company name, address, phone number, etc.) within 30 days of such changes occurring or 30 days prior to a shipment being authorized under this permit.

ANNEX -B-

UNIVERSAL IMPORT OPTION  
KILN DRIED OR HEAT TREATED LOGS OR LUMBER

(1) Heat treated or kiln dried in accordance with 319.40-7 (c or d) prior to arrival. Cannot be commingled with other regulated materials unless all regulated articles in the same hold or container have been heat treated or kiln dried. Lumber on the vessel's deck must be in a sealed container unless it has been kiln dried.

(2) Heat treated or kiln dried lumber must be marked by permanent marking on each piece of lumber or on the cover of bundles of lumber HT or KD respectively. Alternatively, the importer document accompanying the shipment must state that the logs or lumber has been heat treated or kiln dried in accordance with 319.40-7 (c or d).

(3) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -C-  
TROPICAL HARDWOOD LOGS AND LUMBER  
(DEBARKED)

- (1) In accordance with 319.40-5(c)(1); tropical hardwood logs with no more than 2% of the total surface in a lot with bark and no single log with more than 5% bark on its surface. Lumber must be completely free of bark.
- (2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -D-  
TROPICAL HARDWOOD LOGS AND LUMBER  
(GREATER THAN 15 PIECES; NOT DEBARKED)

- (1) In accordance with 319.40-5(c)(2), must be fumigated in accordance with section 319.40-7(f)(1) (ii) prior to arrival.
- (2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -E-  
TROPICAL HARDWOOD LOGS AND LUMBER  
(LESS THAN 15 PIECES; NOT DEBARKED)

- (1) In accordance with 319.40-5(c)(3), cannot be imported into Hawaii, Puerto Rico, or the Virgin Islands of the United States.
- (2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -F-  
TEMPERATE HARDWOOD LOGS AND LUMBER  
(WITH OR WITHOUT BARK)

- (1) Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.
- (2) In accordance with 319.40-5(d), temperate hardwood logs must be fumigated as described in 319.40-7(f)(1)(i), Treatment T312, prior to arrival. Lumber must be fumigated as described in 319.40-7(f)(2), T404, prior to arrival.
- (3) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -G-  
UNTREATED RAILROAD TIES (CROSS-TIES)

Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.

In accordance with 319.40-5(f), must be completely free of bark and accompanied by an importer document stating that the cross-ties will be pressure treated with an approved preservative within 30 days following release from the first port of arrival.

All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -H-  
WHOLE BAMBOO TIMBER

(1) In accordance with 319.40-5(a); bamboo timber consisting of whole culms or canes may be imported into Guam or the Northern Mariana Islands subject to inspection and other requirements of 319.40-9. Bamboo timber consisting of whole culms or canes that are completely dry as evidenced by lack of moisture in node tissue may be imported into any port of the United States subject to inspection and other requirements of 319.40-9.

(2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -I-  
WOOD, CHIPS, CORK, AND BARK TO BE USED FOR FOOD OR STORAGE OF  
FERMENTED LIQUIDS, MANUFACTURE OF MEDICINE, OR  
CHEMICAL EXTRACTION

Wood, chips, cork, and bark to be used for food, for the manufacture of containers of fermented liquids, manufacture of medicine, flavoring additive to fermented beverages, or chemical extraction may be imported if free from rot at the time of importation and subject to the inspection and other requirements of Sec. 319.40-2 (c-e) and 319.40.7(d).

ANNEX -J-  
UNIVERSAL IMPORT OPTION  
RAW LUMBER,  
INCLUDING SOLID WOOD PACKING MATERIAL IMPORTED AS CARGO

- (1) Not authorized from areas in Asia that are east of 60 degrees East Longitude and north of the Tropic of Cancer.
- (2) In accordance with 319.40-6(b)(2), must be completely debarked and cannot be commingled with other regulated materials unless the raw lumber and the other regulated articles are in separate holds or in separate sealed containers. Raw lumber on the vessel's deck must be in a sealed container.
- (3) Raw lumber must be consigned to an approved facility operating under a valid compliance agreement with Plant Protection and Quarantine at the time the lumber is imported. The name of the approved facility will be submitted and re-approved before importation occurs. Lumber must be heat treated within 30 days from the time the lumber is released from the port of first arrival.
- (4) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b)

ANNEX -K-  
UNIVERSAL IMPORT OPTION  
WOOD & BARK CHIPS FROM TROPICAL PLANTATION GROWN TREES

In accordance with 319.40-6(c)(1)(i), must be accompanied by an importer document stating that the chips were derived from live, healthy, tropical species of plantation grown trees, grown in tropical areas. Chips must be free from rot at the time of importation.

Chips cannot be co-mingled with other regulated materials (other than solid wood packing materials) unless the chips and the other regulated articles are in separate holds or in separate sealed containers. Chips may be shipped on deck if no other regulated articles are present on the vessel and the chips are completely covered by a tarpaulin during the entire journey. Shipments with tarped chips must transit directly from the port of export to the United States.

Chips must be consigned to an approved facility operating under a valid compliance agreement with Plant Protection and Quarantine at the time the chips are imported. The name of the approved facility will be submitted and re-approved before importation occurs.

All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX - K1-  
PINUS RADIATA CHIPS FROM CHILE

- (1) Accompanied by a certificate stating that the wood chips meet the following requirements

Wood chips were treated with a surface pesticide treatment in accordance with 319.40-7(e) within 24 hours after the log was chipped and were retreated with a surface pesticide treatment in accordance with 319.40-7(e) if more than 30 days elapsed between the date of the first treatment and the date of export to the U.S.

Derived from live, healthy, plantation-grown trees grown trees that were apparently free of plant pests, plant pest damage, and decay organisms, and the logs used to make the wood chips were debarked in accordance with 319.40-7(b) before being chipped

No more than 45 days elapsed from the time the trees used to make the wood chips were felled to the time the wood chips were exported.

- (2) During shipment to the United States, no other regulated articles (other than solid wood packing materials) are permitted in the holds or sealed containers carrying the wood chips. Wood chips on the vessel's deck must be in a sealed container.

- (3) Wood chips must be consigned to: (name and location), a PPQ approved facility in the U.S. which operates under a PPQ compliance agreement in accordance with Sec. 319.40-8.

- (4) The wood chips must be processed within 45 days of arrival at the facility.

- (5) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -L-  
UNIVERSAL IMPORT OPTION  
WOOD AND BARK CHIPS (NOT FROM TROPICAL  
PLANTATION GROWN TREES)

- (1) In accordance with 319.40-6(c)(1)(ii), must be fumigated as described in 319.40-7(f)(3) or heat treated as described in 319.40-7(c), or heat treated with moisture reduction as described in 319.40-7(d). Cannot be commingled with other regulated materials (other than solid wood packing materials) unless the chips and the other regulated articles are in separate holds or in separate sealed container.

- (2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -M-  
UNIVERSAL IMPORT OPTION FOR WOOD TO BE USED AS  
WOOD MULCH, HUMUS, COMPOST, OR LITTER

- (1) In accordance with 319.40-6(d), must be fumigated as described in 319.40-7(f)(3), heat treated as described in 319.40-7(c), heat treated as described in 319.40-7(c), or heat treated with moisture reduction as described in 319.40-7(d) prior to arrival.
- (2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -N-  
PINUS RADIATA LOGS FROM NEW ZEALAND

Must be accompanied by a certificate stating that the logs meet the requirements of paragraph (b)(1)(i) (A) through (D) of this section, and are consigned to an approved facility that operates in accordance with Sec. 319.40-8, may be imported in accordance with paragraphs (b)(1)(i)(A) through (b)(1)(iii) of this section. The name of the approved facility will be submitted and re-approved before importation occurs.

(A) The logs must be from live healthy trees which are apparently free of plant pests, plant pest damage, and decay organisms.

(B) The logs must be debarked in accordance with Sec. 319.40-7(b) prior to fumigation.

(C) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

(D) During shipment to the United States, no other regulated article is permitted on the means of conveyance with the logs, unless the logs and the other regulated articles are in separate holds or separate sealed containers, or, if the logs and other regulated articles are mixed in a hold or sealed container, the other regulated articles either have been heat treated with moisture reduction in accordance with Sec. 319.40-7(d), or have been fumigated in the hold or sealable container in accordance with paragraph (b)(1)(i)(C) of this section.

(E) Upon arrival of the logs in the United States, the logs must be kept segregated from other regulated articles from the time of discharge from the means of conveyance until the logs are completely processed at the approved facility operating under a valid compliance agreement with Plant Protection and Quarantine at the time the lumber is imported. The name of the approved facility will be submitted and re-approved before importation occurs.

(F) The logs must be moved from the port of first arrival to the approved facility by as direct a route as reasonably possible.

ANNEX -O-  
FRAXINUS SPP. WOOD FROM CANADA  
(FROM AREAS REGULATED FOR EMERALD ASH BORER)

(1) Ash logs and wood with bark from a county regulated by the Canadian Government for emerald ash borer (EAB) must be accompanied by a phytosanitary certificate (PC) and meet one of the following conditions:

(i) Debarked with an additional declaration (AD) stating that, “The articles in the shipment were debarked and vascular cambium was removed to a depth of 1.27 cm during the debarking process.”

--OR--

(ii) Heat treated at a temperature of at least 71.1 degrees Celsius for a minimum of 75 minutes. The details of the treatment must be specified in the treatment section of the PC.

(2) Ash wood chips or bark chips larger than 1 inch in diameter from a county regulated for EAB are prohibited importation into the United States.

(3) Ash wood chips or bark chips 1 inch or less in diameter from a county regulated for EAB must be accompanied by a PC.

ANNEX -O1-  
FRAXINUS SPP. WOOD FROM CANADA  
(FROM CANADIAN COUNTIES NOT REGULATED FOR EMERALD ASH BORER  
BUT LOCATED WITHIN A REGULATED PROVINCE OR TERRITORY)

(1) Ash logs and wood with bark from Canadian counties not regulated for emerald ash borer (EAB), but located within a regulated Province or Territory, must be accompanied by a phytosanitary certificate (PC) with an additional declaration (AD) stating that, “The articles in the shipment were produced/harvested in a county where the EAB does not occur, based on official surveys.”

(2) Ash wood chips or bark chips from Canadian counties not regulated for EAB, but located within a regulated Province or Territory, must be accompanied by a PC with an AD stating that, “The articles in the shipment were produced/harvested in a county where the EAB does not occur, based on official surveys.”

ANNEX -P-  
PINUS RADIATA LOGS AND/OR LUMBER FROM CHILE

Pinus radiata logs and/or lumber from Chile that are accompanied by a certificate stating that the logs and/or lumber meet the requirements of Section 319.40-5(b)(1)(I)(A) through (D) and are consigned to an approved facility that operates in accordance with Section 319.40-8, may be imported in accordance with paragraphs (A) through (D) of this annex. The name of the approved facility will be submitted and re-approved before importation occurs.

(A) The logs and/or lumber must be from live healthy trees which are apparently free of plant pests, plant pest damage, and decay organisms.

(B) The logs and/or lumber must be debarked in accordance with Sec. 319.40-7(b) prior to fumigation.

(C) The logs and/or lumber and solid wood packing materials to be used with the logs and/or lumber during shipment to the United States must be fumigated in accordance with Sec. 319.40-7(f)(1), within 45 days following the date the trees are felled and prior to arrival of the logs in the United States, in the holds or in sealable containers. Fumigation must be conducted in the same sealable container or hold in which the logs and lumber and solid wood packing materials are exported to the United States.

(D) During shipment to the United States, no other regulated article is permitted on the means of conveyance with the logs and/or lumber, unless the logs and/or lumber and the other regulated articles are in separate holds or separate sealed containers, or, if the logs and/or lumber and other regulated articles are mixed in a hold or sealed container, the other regulated articles either have been heat treated with moisture reduction in accordance with Sec. 319.40-7(d), or have been fumigated in the hold or sealable container in accordance with paragraph (b)(1)(I)(C) of this section.

(E) Upon arrival of the logs and/or lumber in the United States, the logs must be kept segregated from other regulated articles from the time of discharge from the means of conveyance until the logs and/or lumber are completely processed at (Name and Place) operating under a valid compliance agreement with Plant Protection and Quarantine in accordance with Sec. 319.40-8.

(F) The logs and/or lumber must be moved from the port of first arrival to an approved facility operating under a valid compliance agreement with Plant Protection and Quarantine in accordance with Sec. 319.40-8 by as direct as a route as reasonably possible.

(G) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).



ANNEX -R-  
CITES RESTRICTIONS FOR BIGLEAF MAHOGANY (SWIETENIA MACROPHYLLA)

In addition to the requirements of Title 7 CFR 319.40, all importations of logs, lumber, plywood and veneer of *Swietenia macrophylla* (Bigleaf Mahogany) must comply with the regulations set forth in Title 50 CFR parts 23 and 24, and Title 7 CFR 355. Those requirements include, but are not limited to, the following:

Shipments of logs, lumber, plywood or veneers of *Swietenia macrophylla* CITES regulated materials may enter only at an approved designated port (see 50 CFR part 24 and/or <http://www.aphis.usda.gov/ppq/permits/cites> for details).

A shipment of logs, lumber, plywood or veneers of *Swietenia macrophylla* imported from a country in the Neotropics (Mexico, Central and South America and the Caribbean), must be accompanied by an original, valid CITES export permit that was issued by the CITES Management Authority of the exporting country.

A shipment of logs, lumber, plywood or veneers of *Swietenia macrophylla* that originated in the Neotropics, but which is being imported into the United States from a country other than the country of origin, must be accompanied by a CITES re-export certificate issued by the CITES Management Authority of the re-exporting country.

Businesses in the United States which import, export or reexport logs, lumber, plywood or veneers of *Swietenia macrophylla* must possess a valid General Permit to Engage in the Business of Importing, Exporting, or Re-Exporting Terrestrial Plants (PPQ Form 622) issued by the U.S. Department of Agriculture, Animal and Plant Health Inspection Service, Plant Protection and Quarantine Permit Unit.

Shipments of imported, exported or reexported *Swietenia macrophylla* must comply with marketing, notification, and/or mail requirements described in 7 CFR 355.20 or 355.21.

CITES import documents must be surrendered at the time of entry into the United States to the Department of Homeland Security, Customs and Border Protection - Agriculture Quarantine Inspection (CBP-AQI).

Importers of logs, lumber, plywood or veneers of *Swietenia macrophylla* should also supply additional copies of the CITES document to CBP-AQI. A copy of the CITES export document, validated by CBP-AQI, will be returned to the importer for his/her records provided the shipment and permits are in compliance with CITES.

ANNEX -S-  
UNIVERSAL IMPORT OPTION  
PRESERVATIVES

- (1) Treated with preservatives in accordance with 319.40-6 prior to arrival with a preservative product that is registered by the United States Environmental Protection Agency and in accordance with the label directions.
- (2) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -T-  
CITES REGULATED MATERIAL

- (1) In accordance with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) regulations, each shipment must have CITES export permit or certificate from the exporting country and if listed on Appendix I, a CITES import permit from the U.S. Fish and Wildlife Service will be required as well. Additional information should be obtained from the U.S. Fish and Wildlife Service, Office of Management Authority, at Area Code (703) 358-2095. You may also consult the CITES website at: <http://www.cites.org/>
- (2) A valid General Permit to Engage in the Business of Importing, Exporting, or Re-Exporting Terrestrial Plants (PPQ Form 622) issued by the U.S. Department of Agriculture, Animal Plant Health Inspection Service, Plant Protection and Quarantine, Permit Unit, is also required.
- (3) All pallets and other regulated wood packing materials used in the shipment are subject to inspection and must conform to 7 CFR 319.40-3(b).

ANNEX -U-  
CITES III FOR CEDRELA ODORATA1

In addition to the requirements of Title 7 CFR 319.40, importations of logs, lumber and veneer of *Cedrela odorata* must comply with the regulations set forth in Title 50 CFR parts 23 and 24, and Title 7 CFR 355. Those requirements include:

A shipment of *Cedrela odorata* imported from Colombia, Peru, or any other country that may list this plant in Appendix III of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), must be accompanied by an original CITES export permit issued by the CITES Management Authority of the exporting country.

A shipment of *Cedrela odorata* that originated in Colombia or Peru, but which is being imported into the United States from a country other than the country of origin, must be accompanied by a CITES re-export certificate issued by the CITES Management Authority of the re-exporting country.

Each commercially traded shipment of CITES-regulated *Cedrela odorata* must be accompanied by a valid General Permit to Engage in the Business of Importing, Exporting, or Re-Exporting Terrestrial Plants (PPQ Form 622) issued by the U.S. Department of Agriculture, Animal and Plant Health Inspection Service, Plant Protection and Quarantine (PPQ) Permit Unit.

Regulated articles of *Cedrela odorata*, the container in which it is shipped, or the documents accompanying shipments of *Cedrela odorata*, must adequately describe the shipment in accordance with 7 CFR 355.20 or 355.21.

CITES documents must be surrendered to PPQ at the time of entry.

CITES regulated materials may enter only at an approved designated ports (see 50 CFR part 24 and/or <http://www.aphis.usda.gov/ppq/permits/cites/>)

Importers must receive a legible copy of the CITES export document validated by PPQ for their records. Therefore, importers should supply PPQ with additional copies of the CITES document for this purpose at the time of import.

ANNEX -V-  
CITES III FOR *Gonystylus* spp. (Ramin)

In addition to the requirements of Title 7 CFR 319.40, all parts and derivatives, including logs, lumber, and veneer, of *Gonystylus* spp. must comply with the regulations set forth in Title 50 CFR parts 23 and 24, and Title 7 CFR 355. Those requirements include, but are not limited to, the following:

A shipment of *Gonystylus* spp. imported from Indonesia, or any other country that may list any species of *Gonystylus* in Appendix III of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), must be accompanied by an original CITES export permit issued by the CITES Management Authority of the exporting country.

A shipment of *Gonystylus* spp. harvested from countries other than those described above, must be accompanied by an original CITES certificate of origin issued by the CITES Management Authority of the exporting country.

A shipment of *Gonystylus* spp. which is being imported into the United States from a country other than the country of origin, must be accompanied by a CITES re-export certificate issued by the CITES Management Authority of the re-exporting country.

Each commercially traded shipment of CITES-regulated *Gonystylus* spp. must be accompanied by a valid General Permit to Engage in the Business of Importing, Exporting, or Re-Exporting Terrestrial Plants (PPQ Form 622) issued by the U.S. Department of Agriculture, Animal and Plant Health Inspection Service, Plant Protection and Quarantine (PPQ) Permit Unit.

Regulated articles of *Gonystylus* spp., the container in which it is shipped, or the documents accompanying shipments of *Gonystylus* spp., must adequately describe the shipment in accordance with 7 CFR 355.20 or 355.21.

CITES documents must be surrendered to PPQ at the time of entry.

CITES regulated materials may enter only at an approved designated ports (see 50 CFR part 24 and/or <http://www.aphis.usda.gov/ppq/permits/cites/>)

Importers must receive a legible copy of the CITES export document validated by PPQ for their records. Therefore, importers should supply PPQ with additional copies of the CITES document for this purpose at the time of import.

ANNEX -X-  
REGULATED ARTICLES OF PINE THAT ARE NOT COMPLETELY FREE OF BARK  
(From the Canadian Provinces of Ontario and Quebec)

From Canadian non-infested areas to or through US non-infested areas:

The regulated articles are consigned to a designated U.S. facility that operates under a compliance agreement with APHIS in accordance with 319.40-8 for specified handling or processing of the articles. The name and address of the U.S. facility (including county and State) receiving the regulated articles must be plainly indicated on the articles or, if applicable, on the outer covering, packaging, or container. If the regulated articles are to be moved through an area of the United States quarantined for pine shoot beetle, as provided in 301.50-3, en route to an area or areas in the United States not quarantined for pine shoot beetle during the period of January through September when the temperature is 10 degrees C (50 degrees F) or higher, then the regulated articles also must be shipped in an enclosed vehicle or completely covered (such as with plastic canvas, or other closely woven cloth) so as to prevent access by pine shoot beetle.