

APPENDIX OF FORMS

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Certificate of Mailing Suggested Format

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First-class mail in an envelope addressed to:

Commissioner for Trademarks
2900 Crystal Drive
Arlington, Virginia 22202-3514

on _____
Date Signature

Typed or printed name of
person signing certificate

Certificate of Transmission Suggested Format

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on _____
Date Signature

Typed or printed name of
person signing certificate

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Certificate of Service Suggested Format

Shown below is a suggested format for a certificate of service:

I hereby certify that a true and complete copy of the foregoing (insert title of submission) has been served on (insert name of opposing counsel or party) by mailing said copy on (insert date of mailing), via First Class Mail, postage prepaid (or insert other appropriate method of delivery) to: (set out name and address of opposing counsel or party).

Designation of Domestic Representative

Designation of Domestic Representative

(Name of Domestic Representative), whose postal address is _____

_____,
is hereby designated (Name of Designating Party)'s representative upon whom notice or process in this proceeding may be served.

(Signature of Designating Party)

(Identification of Person Signing)

(Date of Signature)

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Sample Trial Order -- Standard

MAILING DATE	1/1/2003
DISCOVERY PERIOD TO OPEN	1/21/2003
DISCOVERY PERIOD TO CLOSE	7/20/2003
30-day testimony period for party in position of plaintiff to close	10/18/2003
30-day testimony period for party in position of defendant to close	12/17/2003
15-day rebuttal testimony period for plaintiff to close	1/31/2004
Briefs shall be due as follows: [See Trademark Rule 2.128(a)(2)]:	
Brief for plaintiff is due	4/1/2004
Brief for defendant is due	5/1/2004
Reply brief, if any, for plaintiff is due	5/16/2004

Sample Trial Order With a Counterclaim

DISCOVERY PERIOD TO CLOSE	7/20/2003
30-day testimony period for plaintiff in the opposition to close	10/18/2003
30-day testimony period for defendant in the opposition and plaintiff in the counterclaim to close	12/17/2003
30-day testimony period for defendant in the counterclaim and rebuttal testimony for plaintiff in the opposition to close	2/15/2004

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15-day rebuttal testimony period for plaintiff in the counterclaim to close 4/1/2004

Briefs shall be due as follows:
[See Trademark Rule 2.128(a)(2)]:

Brief for plaintiff in the opposition is due 5/31/2004

Brief for defendant in the opposition and plaintiff
In the counterclaim is due 7/1/2004

Brief for defendant in the counterclaim and reply brief,
if any, for plaintiff in the opposition is due 7/31/2004

Reply brief, if any, for plaintiff in the counterclaim is due 8/16/2004

Terms of Suggested Protective Agreement upon Stipulation

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Plaintiff

Proceeding No.

v.

Defendant

PROVISIONS FOR PROTECTING CONFIDENTIALITY OF INFORMATION REVEALED DURING BOARD PROCEEDING

Information disclosed by any party or non-party witness during this proceeding may be considered confidential, a trade secret, or commercially sensitive by a party or witness. To preserve the confidentiality of the information so disclosed, either the parties have agreed to be bound by the terms of this order, in its standard form or as modified by agreement, and by any

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additional provisions to which they may have agreed and attached to this order, or the Board has ordered that the parties be bound by the provisions within. As used in this order, the term "information" covers both oral testimony and documentary material.

Parties may use this standard form order as the entirety of their agreement or may use it as a template from which they may fashion a modified agreement. If the Board orders that the parties abide by the terms of this order, they may subsequently agree to modifications or additions, subject to Board approval.

Agreement of the parties is indicated by the signatures of the parties' attorneys and/or the parties themselves at the conclusion of the order. Imposition of the terms by the Board is indicated by signature of a Board attorney or Administrative Trademark Judge at the conclusion of the order. If the parties have signed the order, they may have created a contract. The terms are binding from the date the parties or their attorneys sign the order, in standard form or as modified or supplemented, or from the date of imposition by a Board attorney or judge.

TERMS OF ORDER

1) Classes of Protected Information.

The Rules of Practice in Trademark Cases provide that all inter partes proceeding files, as well as the involved registration and application files, are open to public inspection. The terms of this order are not to be used to undermine public access to files. When appropriate, however, a party or witness, on its own or through its attorney, may seek to protect the confidentiality of information by employing one of the following designations.

Confidential—Material to be shielded by the Board from public access.

Highly Confidential—Material to be shielded by the Board from public access and subject to agreed restrictions on access even as to the parties and/or their attorneys.

Trade Secret/Commercially Sensitive—Material to be shielded by the Board from public access, restricted from any access by the parties, and available for review by outside counsel for the parties and, subject to the provisions of paragraph 4 and 5, by independent experts or consultants for the parties.

2) Information Not to Be Designated as Protected.

Information may not be designated as subject to any form of protection if it (a) is, or becomes, public knowledge, as shown by publicly available writings, other than through violation of the terms of this document; (b) is acquired by a non-designating party or non-party witness from a third party lawfully possessing such information and having no

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obligation to the owner of the information; (c) was lawfully possessed by a non-designating party or non-party witness prior to the opening of discovery in this proceeding, and for which there is written evidence of the lawful possession; (d) is disclosed by a non-designating party or non-party witness legally compelled to disclose the information; or (e) is disclosed by a non-designating party with the approval of the designating party.

3) Access to Protected Information.

The provisions of this order regarding access to protected information are subject to modification by written agreement of the parties or their attorneys, or by motion filed with and approved by the Board.

Judges, attorneys, and other employees of the Board are bound to honor the parties' designations of information as protected but are not required to sign forms acknowledging the terms and existence of this order. Court reporters, stenographers, video technicians or others who may be employed by the parties or their attorneys to perform services incidental to this proceeding will be bound only to the extent that the parties or their attorneys make it a condition of employment or obtain agreements from such individuals, in accordance with the provisions of paragraph 4.

Parties are defined as including individuals, officers of corporations, partners of partnerships, and management employees of any type of business organization.

Attorneys for parties are defined as including in-house counsel and outside counsel, including support staff operating under counsel's direction, such as paralegals or legal assistants, secretaries, and any other employees or independent contractors operating under counsel's instruction.

Independent experts or consultants include individuals retained by a party for purposes related to prosecution or defense of the proceeding but who are not otherwise employees of either the party or its attorneys.

Non-party witnesses include any individuals to be deposed during discovery or trial, whether willingly or under subpoena issued by a court of competent jurisdiction over the witness.

Parties and their attorneys shall have access to information designated as confidential or highly confidential, subject to any agreed exceptions.

Outside counsel, but not in-house counsel, shall have access to information designated as trade secret/commercially sensitive.

Independent experts or consultants, non-party witnesses, and any other individual not

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otherwise specifically covered by the terms of this order may be afforded access to confidential or highly confidential information in accordance with the terms that follow in paragraph 4. Further, independent experts or consultants may have access to trade secret/commercially sensitive information if such access is agreed to by the parties or ordered by the Board, in accordance with the terms that follow in paragraph 4 and 5.

4) Disclosure to Any Individual.

Prior to disclosure of protected information by any party or its attorney to any individual not already provided access to such information by the terms of this order, the individual shall be informed of the existence of this order and provided with a copy to read. The individual will then be required to certify in writing that the order has been read and understood and that the terms shall be binding on the individual. No individual shall receive any protected information until the party or attorney proposing to disclose the information has received the signed certification from the individual. A form for such certification is attached to this order. The party or attorney receiving the completed form shall retain the original.

5) Disclosure to Independent Experts or Consultants.

In addition to meeting the requirements of paragraph 4, any party or attorney proposing to share disclosed information with an independent expert or consultant must also notify the party which designated the information as protected. Notification must be personally served or forwarded by certified mail, return receipt requested, and shall provide notice of the name, address, occupation and professional background of the expert or independent consultant.

The party or its attorney receiving the notice shall have ten (10) business days to object to disclosure to the expert or independent consultant. If objection is made, then the parties must negotiate the issue before raising the issue before the Board. If the parties are unable to settle their dispute, then it shall be the obligation of the party or attorney proposing disclosure to bring the matter before the Board with an explanation of the need for disclosure and a report on the efforts the parties have made to settle their dispute. The party objecting to disclosure will be expected to respond with its arguments against disclosure or its objections will be deemed waived.

6) Responses to Written Discovery.

Responses to interrogatories under Federal Rule 33 and requests for admissions under Federal Rule 36, and which the responding party reasonably believes to contain protected information shall be prominently stamped or marked with the appropriate designation from paragraph 1. Any inadvertent disclosure without appropriate designation shall be remedied as soon as the disclosing party learns of its error, by informing all adverse parties, in writing, of the error. The parties should inform the Board only if necessary

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because of the filing of protected information not in accordance with the provisions of paragraph 12.

7) *Production of Documents.*

If a party responds to requests for production under Federal Rule 34 by making copies and forwarding the copies to the inquiring party, then the copies shall be prominently stamped or marked, as necessary, with the appropriate designation from paragraph 1. If the responding party makes documents available for inspection and copying by the inquiring party, all documents shall be considered protected during the course of inspection. After the inquiring party informs the responding party what documents are to be copied, the responding party will be responsible for prominently stamping or marking the copies with the appropriate designation from paragraph 1. Any inadvertent disclosure without appropriate designation shall be remedied as soon as the disclosing party learns of its error, by informing all adverse parties, in writing, of the error. The parties should inform the Board only if necessary because of the filing of protected information not in accordance with the provisions of paragraph 12.

8) *Depositions.*

Protected documents produced during a discovery deposition, or offered into evidence during a testimony deposition shall be orally noted as such by the producing or offering party at the outset of any discussion of the document or information contained in the document. In addition, the documents must be prominently stamped or marked with the appropriate designation.

During discussion of any non-documentary protected information, the interested party shall make oral note of the protected nature of the information.

The transcript of any deposition and all exhibits or attachments shall be considered protected for 30 days following the date of service of the transcript by the party that took the deposition. During that 30-day period, either party may designate the portions of the transcript, and any specific exhibits or attachments that are to be treated as protected, by electing the appropriate designation from paragraph 1. Appropriate stampings or markings should be made during this time. If no such designations are made, then the entire transcript and exhibits will be considered unprotected.

9) *Filing Notices of Reliance.*

When a party or its attorney files a notice of reliance during the party's testimony period, the party or attorney is bound to honor designations made by the adverse party or attorney, or non-party witness, who disclosed the information, so as to maintain the protected status of the information.

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10) Briefs.

When filing briefs, memoranda, or declarations in support of a motion, or briefs at final hearing, the portions of these filings that discuss protected information, whether information of the filing party, or any adverse party, or any non-party witness, should be redacted. The rule of reasonableness for redaction is discussed in paragraph 12 of this order.

11) Handling of Protected Information.

Disclosure of information protected under the terms of this order is intended only to facilitate the prosecution or defense of this case. The recipient of any protected information disclosed in accordance with the terms of this order is obligated to maintain the confidentiality of the information and shall exercise reasonable care in handling, storing, using or disseminating the information.

12) Redaction; Filing Material With the Board.

When a party or attorney must file protected information with the Board, or a brief that discusses such information, the protected information or portion of the brief discussing the same should be redacted from the remainder. A rule of reasonableness should dictate how redaction is effected.

Redaction can entail merely covering a portion of a page of material when it is copied in anticipation of filing but can also entail the more extreme measure of simply filing the entire page under seal as one that contains primarily confidential material. If only a sentence or short paragraph of a page of material is confidential, covering that material when the page is copied would be appropriate. In contrast, if most of the material on the page is confidential, then filing the entire page under seal would be more reasonable, even if some small quantity of non-confidential material is then withheld from the public record. Likewise, when a multi-page document is in issue, reasonableness would dictate that redaction of the portions or pages containing confidential material be affected when only some small number of pages contain such material. In contrast, if almost every page of the document contains some confidential material, it may be more reasonable to simply submit the entire document under seal. Occasions when a whole document or brief must be submitted under seal should be very rare.

Protected information, and pleadings, briefs or memoranda that reproduce, discuss or paraphrase such information, shall be filed with the Board under seal. The envelopes or containers shall be prominently stamped or marked with a legend in substantially the following form:

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CONFIDENTIAL

This envelope contains documents or information that are subject to a protective order or agreement. The confidentiality of the material is to be maintained and the envelope is not to be opened, or the contents revealed to any individual, except by order of the Board.

13) Acceptance of Information; Inadvertent Disclosure.

Acceptance by a party or its attorney of information disclosed under designation as protected shall not constitute an admission that the information is, in fact, entitled to protection. Inadvertent disclosure of information, which the disclosing party intended to designate as protected, shall not constitute waiver of any right to claim the information as protected upon discovery of the error.

14) Challenges to Designations of Information as Protected.

If the parties or their attorneys disagree as to whether certain information should be protected, they are obligated to negotiate in good faith regarding the designation by the disclosing party. If the parties are unable to resolve their differences, the party challenging the designation may make a motion before the Board seeking a determination of the status of the information.

A challenge to the designation of information as protected must be made substantially contemporaneous with the designation, or as soon as practicable after the basis for challenge is known. When a challenge is made long after a designation of information as protected, the challenging party will be expected to show why it could not have made the challenge at an earlier time.

The party designating information as protected will, when its designation is timely challenged, bear the ultimate burden of proving that the information should be protected.

15) Board's Jurisdiction; Handling of Materials After Termination.

The Board's jurisdiction over the parties and their attorneys ends when this proceeding is terminated. A proceeding is terminated only after a final order is entered and either all appellate proceedings have been resolved or the time for filing an appeal has passed without filing of any appeal.

The parties may agree that archival copies of evidence and briefs may be retained, subject to compliance with agreed safeguards. Otherwise, within 30 days after the final termination of this proceeding, the parties and their attorneys shall return to each disclosing party the protected information disclosed during the proceeding, and shall

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include any briefs, memoranda, summaries, and the like, which discuss or in any way refer to such information. In the alternative, the disclosing party or its attorney may make a written request that such materials be destroyed rather than returned.

16) Other Rights of the Parties and Attorneys.

This order shall not preclude the parties or their attorneys from making any applicable claims of privilege during discovery or at trial. Nor shall the order preclude the filing of any motion with the Board for relief from a particular provision of this order or for additional protections not provided by this order.

By Agreement of the Following,
Effective:

[insert signature date]

[print or type name and title of
individual signing for plaintiff]

[print or type name and title of
individual signing for defendant]

[print or type name and law firm of
attorney for plaintiff]

[print or type name and law firm of
attorney for defendant]

By Order of the Board, effective _____.

[print or type name and title of Board attorney
or judge imposing order]

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Sample briefing schedule for a case with a counterclaim

THE PERIOD FOR DISCOVERY TO CLOSE:	2/19/2003
30-day testimony period for Plaintiff in the opposition to close:	5/20/2003
30-day testimony period for defendant in the opposition and as plaintiff in the counterclaim to close:	7/19/2003
30-day testimony period for defendant in the counterclaim and its rebuttal testimony as plaintiff in the opposition to close:	9/17/2003
15-day rebuttal testimony period for plaintiff in the counterclaim to close:	11/1/2003
Briefs shall be due as follows: [See Trademark rule 2.128(a)(2)].	
Brief for plaintiff in the opposition shall be due:	12/31/2003
Brief for defendant in the opposition and as plaintiff in the counterclaim shall be due:	1/30/2004
Brief for defendant in the counterclaim and its reply brief (if any) as plaintiff in the opposition shall be due:	2/29/2004
Reply brief (if any) for plaintiff in the counterclaim shall be due:	3/15/2004

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If the parties stipulate to any extension of these dates, the papers should be filed in triplicate and should set forth the dates in the format shown in this order. See Trademark Rule 2.121(d).

An oral hearing will be set only upon request filed as provided by Trademark Rule 2.129.

Sample Trial Order for Interference

Set forth below is a sample trial and briefing schedule for an interference involving parties A, B, C, D, and E, where A is junior to every other party; B is junior to C, D, and E, and senior to A; C is junior to D and E, and senior to A and B; D is junior to E, and senior to A, B, and C; and E is senior to every other party:

THE PERIOD FOR DISCOVERY TO OPEN	: January 2, 2003
THE PERIOD FOR DISCOVERY TO CLOSE	: July 2, 2003
30-day testimony period for A to close	: August 31, 2003
30-day testimony period for B to close	: October 30, 2003
30-day testimony period for C to close	: December 31, 2003
30-day testimony period for D to close	: March 1, 2004
30-day testimony period for E to close	: April 30, 2004
15-day rebuttal testimony period for A to close	: June 14, 2004
15-day rebuttal testimony period for B to close	: July 29, 2004
15-day rebuttal testimony period for C to close	: September 12, 2004
15-day rebuttal testimony period for D to close	: October 28, 2004

Briefs on final hearing (37 CFR 2.128) shall become due as follows:

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Brief for A shall be due : December 27, 2004
Brief for B shall be due : January 26, 2005
Brief for C shall be due : February 25, 2005
Brief for D shall be due : March 27, 2005
Brief for E shall be due : April 26, 2005

Reply briefs, if any, shall be due as follows:

Reply brief for A shall be due : May 11, 2005
Reply brief for B shall be due : May 26, 2005
Reply brief for C shall be due : June 10, 2005
Reply brief for D shall be due : June 25, 2005

Sample Trial Orders for Concurrent Use Proceedings

Set forth below is a sample trial and briefing schedule for a concurrent use proceeding involving parties A, B, C, D, and E, where A, B, C, and D are all concurrent use applicants, A's application has the latest filing date, B's application has the next-latest filing date, C's application has the next-latest filing date, D's application has the earliest filing date, and E is a specified concurrent user which does not own an involved application or registration (the trial and briefing schedule would look the same if E were a concurrent use applicant whose application had the earliest filing date, or if E owned an involved registration):

MAILING DATE	1/1/2003
DISCOVERY PERIOD TO OPEN	1/21/2003
DISCOVERY PERIOD TO CLOSE	7/20/2003
30-DAY TESTIMONY PERIOD FOR A to close	10/18/2003

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30-DAY TESTIMONY PERIOD FOR B to close	12/17/2003
30-DAY TESTIMONY PERIOD FOR C to close	2/15/2004
30-DAY TESTIMONY PERIOD FOR D to close	4/15/2004
30-DAY TESTIMONY PERIOD FOR E to close	6/14/2004
15-DAY REBUTTAL TESTIMONY PERIOD FOR A to close	7/29/2004
15-DAY REBUTTAL TESTIMONY PERIOD FOR B to close	9/12/2004
15-DAY REBUTTAL TESTIMONY PERIOD FOR C to close	10/27/2004
15-DAY REBUTTAL TESTIMONY PERIOD FOR D to close	12/11/2004

**BRIEFS ON FINAL HEARING (37 CFR 2.128)
shall become due as follows:**

BRIEF FOR A is due	2/9/2005
BRIEF FOR B is due	3/11/2005
BRIEF FOR C is due	4/10/2005
BRIEF FOR D is due	5/10/2005
BRIEF FOR E is due	6/9/2005

REPLY BRIEFS, if any, shall be due as follows:

REPLY BRIEF FOR A is due	7/9/2005
REPLY BRIEF FOR B is due	7/24/2005
REPLY BRIEF FOR C is due	8/8/2005

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REPLY BRIEF FOR D is due

8/23/2005

Set forth below is another sample trial and briefing schedule for a concurrent use proceeding involving parties X, Y, and Z, where X is a concurrent use applicant, Y owns a registration which is involved in the proceeding, and Z is a specified concurrent user which does not own an involved application or registration:

MAILING DATE	1/1/2003
DISCOVERY PERIOD TO OPEN	1/21/2003
DISCOVERY PERIOD TO CLOSE	7/20/2003
30-DAY TESTIMONY PERIOD FOR X to close	10/18/2003
30-DAY TESTIMONY PERIOD FOR Y to close	12/17/2003
30-DAY TESTIMONY PERIOD FOR Z to close	2/15/2004
15-DAY REBUTTAL TESTIMONY PERIOD FOR X to close	3/31/2004
BRIEFS ON FINAL HEARING (37 CFR 2.128) shall become due as follows:	
BRIEF FOR X is due	5/30/2004
BRIEF FOR Y is due	6/29/2004
BRIEF FOR Z is due	7/29/2004
REPLY BRIEFS, if any, shall be due as follows:	
REPLY BRIEF FOR X is due	8/13/2004

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Notice of Appeal – Suggested Format

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

.....
(Name of applicant)

.....
(Serial Number of application)

.....
(Filing date of application)

.....
(Mark)

NOTICE OF APPEAL

Applicant hereby appeals to the Trademark Trial and Appeal Board from the decision of the Trademark Examining Attorney refusing registration.⁽¹⁾

By.....
(Signature)⁽²⁾

.....
(Identification of person signing)⁽³⁾

FOOTNOTES

⁽¹⁾ The required fee must be submitted for each class for which an appeal is taken. If an appeal is taken for fewer than the total number of classes in the application, the classes in which the appeal is taken should be specified.

⁽²⁾ The notice of appeal may be signed by the applicant or by the applicant's attorney or other authorized representative. If an applicant signing for itself is a partnership, the signature must be made by a partner; if an applicant signing for itself is a corporation or similar juristic entity, the signature must be made by an officer of the corporation or other juristic entity who has authority to sign for the entity and whose title is given.

⁽³⁾ State the capacity in which the signing individual signs, e.g., attorney for applicant, applicant (if applicant is an individual), partner of applicant (if applicant is a partnership), officer of applicant identified by title (if applicant is a corporation), etc.