SUBJECT: New Consolidated Audit and Compliance Tracking System Deployment

NEW MATERIAL: This notice announces that the CFO has developed a new web-based system entitled Consolidated Audit and Compliance System (CACS). CACS is currently being piloted in three missions (Kenya, Cairo, Peru) and two Washington offices (M/CIO and M/OAA).

EFFECTIVE DATE: 07/29/2008

POLICY

USAID/General Notice
M/CFO
07/29/2008

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INTRODUCTION

The CFO has developed a new web-based system entitled Consolidated Audit and Compliance System (CACS). CACS is currently being piloted in three missions (Kenya, Cairo, Peru) and two Washington offices (M/CIO and M/OAA). Pilot testing will end July 31, 2008 and deployment to all Audit Management Officers (AMOs) is tentatively scheduled to begin on August 15, 2008.

CACS is an implementation of the Agency Secure Image and Storage Tracking (ASIST) Application and leverages the existing standard features available in the current ASIST release. ASIST is based on Documentum software, which is an M/CIO approved commercial off-the-shelf (COTS) software product.

BACKGROUND

CACS is replacing the antiquated Consolidated Audit Tracking System (CATS) application developed in Lotus Notes, which was no longer supported by the Agency. CACS was designed to automate and facilitate the Agency's audit management and follow-up responsibilities related to audits performed by USAID's Office of Inspector

General (OIG) and reviews performed by the Government Accountability Office (GAO). Users will use the system to track actions, submit requests for closure and supporting documentation, and print reports related to recommendations issued by the OIG and GAO which all have been migrated to CACS.

Additionally, CACS provides a centralized repository of audit related documentation which can be accessed and/or updated worldwide, and that would provide a common data source for the Agency. Additional capabilities are being planned to expand the management and follow-up responsibilities as they relate to USAID's management assessments related to USAID's internal control structure and overall operations.

ACCESS REQUIREMENTS

All AMOs and other potential CACS users will need access to ASIST in order to use CACS. If you are an AMO or a CACS user and are the current ASIST user, then you need to send an e-mail to the APC Audit Support team at APC Audit (aaudit@usaid.gov) requesting access to CACS. In your request, please specify whether you will need access to edit information and create Closure Requests, or whether you need only view access (you will not add or change data). Also include whether you need access to run the standard CACS reports. Once your request is approved you will receive a Welcome E-mail from the Documentum Support Group (dsupport@usaid.gov).

If you are an AMO or potential CACS user and are not the current ASIST user, and your Mission/Bureau/Office does not have a spare Documentum license, then you need to purchase a Documentum license in order to use CACS. The cost of a new license is approximately $400 which includes the maintenance fee for the first year. Subsequent to the first year, each account will be subject to an annual maintenance fee of 19% of the cost of the license. Please contact the Documentum Support Group (dsupport@usaid.gov) for Documentum license information.

Point of Contact: Any questions concerning this Notice may be directed to

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