



Federal Register

**Monday,
April 30, 2007**

Part XXXV

Office of Government Ethics

Semiannual Regulatory Agenda

OFFICE OF GOVERNMENT ETHICS (OGE)

OFFICE OF GOVERNMENT ETHICS

5 CFR Ch. XVI

**Semiannual Regulatory Agenda;
Spring 2007**

AGENCY: Office of Government Ethics.

ACTION: Semiannual regulatory agenda.

SUMMARY: The following Office of Government Ethics (OGE) regulations are scheduled for development or review during the 6-month period following publication. This agenda fulfills OGE's responsibility to publish a semiannual regulatory agenda under

Executive Order 12866, Regulatory Planning and Review, and has been prepared in accordance with guidance received from the Office of Management and Budget. None of the OGE regulations in this agenda will have a significant economic impact on a substantial number of small entities as defined under the Regulatory Flexibility Act, 5 U.S.C. chapter 6. In addition, none of the listed OGE regulatory actions is procurement-related.

This publication in the **Federal Register** does not impose a binding obligation on the Office of Government Ethics with respect to any particular

item on its agenda. The dates shown for the future steps of each action are estimates and not commitments to act by the date shown. Moreover, other OGE regulatory actions, in addition to the entries listed, are not precluded.

FOR FURTHER INFORMATION CONTACT: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917; Telephone: 202-482-9300; TDD: 202-482-9293; Fax: 202-482-9237.

Dated: February 28, 2007.

Robert I. Cusick,
Director, Office of Government Ethics.

Office of Government Ethics—Proposed Rule Stage

Sequence Number	Title	Regulation Identifier Number
3121	Interpretation of 18 U.S.C. 209, Restriction on the Supplementation of the Salaries of Executive Branch Employees by Private Sources	3209-AA36
3122	Interpretation, Exemptions, and Waiver Guidance Concerning 18 U.S.C. 208 (Acts Affecting a Personal Financial Interest)	3209-AA09

Office of Government Ethics—Final Rule Stage

Sequence Number	Title	Regulation Identifier Number
3123	Updates to Office of Government Ethics Freedom of Information Act Procedures and Ethics Act Fee Regulation ...	3209-AA37
3124	Executive Branch Financial Disclosure, Qualified Trusts, and Certificates of Divestiture	3209-AA00
3125	Standards of Ethical Conduct for Employees of the Executive Branch	3209-AA04
3126	Limitations on Outside Earned Income, Employment, and Affiliations for Certain Noncareer Employees	3209-AA13
3127	Office of Government Ethics and Executive Agency Ethics Program Responsibilities	3209-AA07
3128	Post-Employment Conflict of Interest Restrictions	3209-AA14

Office of Government Ethics—Long-Term Actions

Sequence Number	Title	Regulation Identifier Number
3129	Executive Agency Supplemental Standards of Ethical Conduct Regulations Issued Jointly With the Concurrence of the Office of Government Ethics	3209-AA15

Office of Government Ethics (OGE)

Proposed Rule Stage

3121. INTERPRETATION OF 18 U.S.C. 209, RESTRICTION ON THE SUPPLEMENTATION OF THE SALARIES OF EXECUTIVE BRANCH EMPLOYEES BY PRIVATE SOURCES**Priority:** Other Significant**Legal Authority:** 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 18 USC 209**CFR Citation:** 5 CFR 2639 (New)**Legal Deadline:** None**Abstract:** Proposed regulation to interpret section 209 of title 18, U.S. Code, prohibiting the private supplementation of the Government salary of executive branch officers and employees.**Timetable:**

Action	Date	FR Cite
NPRM	10/00/07	

Regulatory Flexibility Analysis Required: No**Government Levels Affected:** Federal**Agency Contact:** Allison C. George, Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917

Phone: 202 482-9300

TDD Phone: 202 482-9293

Fax: 202 482-9237

RIN: 3209-AA36**3122. INTERPRETATION, EXEMPTIONS, AND WAIVER GUIDANCE CONCERNING 18 U.S.C. 208 (ACTS AFFECTING A PERSONAL FINANCIAL INTEREST)****Priority:** Other Significant**Legal Authority:** 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 18 USC 208**CFR Citation:** 5 CFR 2640**Legal Deadline:** None**Abstract:** This regulation interprets section 208(a) of title 18, U.S.C., which prohibits officers and employees of the executive branch from officially participating personally and substantially in a particular matter in which there is a personal financial interest or a financial interest of a related person or organization (absent a waiver or exemption under 18 U.S.C. 208(b)). It also lists and describes interests considered too remote or too inconsequential to affect the integrity of the services of executive branch officers or employees, in accordance with 18 U.S.C. 208(b)(2). Such interests are exempt from the restrictions of 18 U.S.C. 208(a), generally requiring disqualification. The regulation also provides guidance to executive branch agencies as to the kinds of interests that are not so substantial as to be deemed likely to affect the integrity of the services the Government may expect from an employee and which, therefore, may be waived from the general rule of disqualification under 18 U.S.C. 208(a), pursuant to 18 U.S.C. 208(b)(1). In addition, guidance is provided concerning the issuance of waivers to special Government employee advisory committee members, pursuant to 18 U.S.C. 208(b)(3). A separate interim final rule, published in 1995 exempting certain financial interests arising from Federal Government executive branch employment as well as from Social Security or veterans' benefits from the general prohibition in section 208(a), was incorporated in the overall final rule. Additional exemptions are considered for development from time to time as appropriate.**CFR Citation:** 5 CFR 2604**Legal Deadline:** None**Abstract:** The Office of Government Ethics (OGE) will update its 5 CFR part 2604 regulation concerning its own Freedom of Information Act (FOIA) procedures as well as its fee schedule for producing copies of public financial**Timetable:**

Action	Date	FR Cite
Govt. Interests and Social Security and Veterans' Benefits Exemptions Interim Final Rule	08/28/95	60 FR 44706
Overall NPRM	09/11/95	60 FR 47208
Overall Final Rule	12/18/96	61 FR 66830
Interim Rule Census Exemption Amendment	03/29/00	65 FR 16511
Final Rule Census Exemption Amendment	08/04/00	65 FR 47830
Proposed Rule Additional Exemption Amendments	09/06/00	65 FR 53942
Final Rule Additional Exemption Amendments	03/19/02	67 FR 12443
Interim Final Rule Additional Exemption Amendment Re: Mutual Funds & Unit Investment Trusts	11/14/05	70 FR 69041
Proposed Rule Additional Exemptions Amendments (Including Re Thrifts)	08/00/07	
Proposed Rule Amendment Re: Excepted Investment Funds	09/00/07	

Regulatory Flexibility Analysis Required: No**Government Levels Affected:** Federal**Agency Contact:** Richard M. Thomas, Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917

Phone: 202 482-9300

TDD Phone: 202 482-9293

Fax: 202 482-9237

RIN: 3209-AA09

Office of Government Ethics (OGE)

Final Rule Stage

3123. • UPDATES TO OFFICE OF GOVERNMENT ETHICS FREEDOM OF INFORMATION ACT PROCEDURES AND ETHICS ACT FEE REGULATION**Priority:** Substantive, Nonsignificant**Legal Authority:** 5 USC 552; 5 USC appendix, Ethics in Government Act of 1978

disclosure reports under the Ethics in Government Act. This regulation is not an executive branchwide one, but rather deals with OGE's own agency procedures and fees. Only the FOIA portion of OGE's regulation will be updated to reflect certain designations pursuant to the E.O. 13392 on FOIA

OGE

Final Rule Stage

improvement and some other telephone and contact updates.

Timetable:

Action	Date	FR Cite
Final Rule Updating Amendments	09/00/07	

Regulatory Flexibility Analysis

Required: No

Small Entities Affected: No

Government Levels Affected: Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
Phone: 202 482-9300
TDD Phone: 202 482-9293
Fax: 202 482-9237

RIN: 3209-AA37

3124. EXECUTIVE BRANCH FINANCIAL DISCLOSURE, QUALIFIED TRUSTS, AND CERTIFICATES OF DIVESTITURE

Priority: Other Significant

Legal Authority: 5 USC app (Ethics in Government Act of 1978); EO 12674; EO 12731; 26 USC 1043; 28 USC 2461 note; PL 101-410; PL 104-134, sec 31001

CFR Citation: 5 CFR 2634

Legal Deadline: None

Abstract: Regulation revising the executive branch public and confidential financial disclosure report systems for high-level officials and certain mid-level employees, respectively. These disclosure provisions apply to public reports filed since January 1, 1991. Subpart I of the regulation, effective October 5, 1992, superseded prior executive branchwide and agency-specific regulations and established a revised system of confidential reporting, modeled generally on the public reporting system, for executive branch agency mid-level employees with sensitive duties and special Government employees. This regulation also has a subpart dealing with certificates of divestiture.

Timetable:

Action	Date	FR Cite
NPRM	12/02/86	51 FR 43359
Interim Final Rule	04/07/92	57 FR 11800
Revised Interim Final Rule	07/21/93	58 FR 38911

Action	Date	FR Cite	Action	Date	FR Cite
Proposed Amendment to Interim Final Rule	09/01/93	58 FR 46096	Final Rule Amendments on Filing Exts. & Fee Waivers Delegations	08/01/02	67 FR 49856
Technical Amendments to Interim Final Rule	11/30/93	58 FR 63023	Effective Date Correction to Final Rule Amendments on Filing Exts. & Fee Waivers Delegations	09/06/02	67 FR 57063
Technical (Paperwork) Amendments to Interim Final Rule	07/07/94	59 FR 34755	Final Rule Amendment on Reporting Period for Public Reports	09/13/02	67 FR 57937
Proposed Amendments—Certificates of No New Interests for Confidential Filers	01/15/97	62 FR 2048	Final Rule Amendment on Increased Reporting Thresholds for Gifts & Reimbursements	10/02/02	67 FR 61761
Final Amendment—Certificates of No New Interests for Confidential Filers	06/24/97	62 FR 33972	Proposed Rule Amendments to Certificates of Divestiture Provisions (Subpart J)	01/13/04	69 FR 1954
Updating Amendment to Part 2634	09/17/97	62 FR 48746	Final Rule Amendments to Certificates of Divestiture Provisions (Subpart J)	07/28/04	69 FR 44893
Clarifying Amendment to Section 2634.906	03/31/98	63 FR 15273	Final Rule Amendment on Increased Reporting Thresholds for Gifts & Reimbursements	03/11/05	70 FR 12111
Minor Amdt. to Part 2634 (Transfer of Inactive in Lieu of Honoraria Charitable Paymts. Reporting)	08/12/98	63 FR 43067	Proposed Rule Amendments to Confidential Financial Disclosure Provisions	08/12/05	70 FR 47138
Paperwork Revisions to Appendixes A, B, and C	11/02/98	63 FR 58619	Final Rule Amendments to Confidential Financial Disclosure Provisions	05/16/06	71 FR 28229
Technical Amendments to Part 2634	12/18/98	63 FR 69991	Final Rule Removal of Obsolete In Lieu/Honoraria Provisions	04/06/07	72 FR 16985
Technical Corrections to Part 2634	01/14/99	64 FR 2421	Clarifying Final Rule Amendment to Section 2634.906	08/00/07	
Proposed Gifts Reporting Waiver Amendments	05/13/99	64 FR 25849	Proposed Rule Amendments Re: Excepted Investment Funds	09/00/07	
Inflation Adjustments to Civil Monetary Penalties as Reflected in Part 2634	08/30/99	64 FR 47095	Proposed Rule Amendments to Qualified Trust Provisions	12/00/07	
Final Gifts Reporting Waiver Amendments	09/14/99	64 FR 49639	Final Action	12/00/08	
Technical Amendments to Categories of Value Reporting and Gifts/Reimbursements Thresholds	11/20/00	65 FR 69655			
Final Rule Amendment to Confer Additional Agency Confidential Report Filing Extension Authority	11/05/01	66 FR 55871			
Final Rule Amendments to Qualified Trusts Certificates Notices	05/03/02	67 FR 22348			
Final Rule Clarifying Amendment on Revocable Inter Vivos Trust Interpretation	05/31/02	67 FR 37965			

Regulatory Flexibility Analysis

Required: No

Government Levels Affected: Federal

OGE

Final Rule Stage

Agency Contact: Susan E. Propper, Deputy General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
Phone: 202 482-9292
TDD Phone: 202 482-9293
Fax: 202 482-9237
RIN: 3209-AA00

3125. STANDARDS OF ETHICAL CONDUCT FOR EMPLOYEES OF THE EXECUTIVE BRANCH

Priority: Other Significant

Legal Authority: 5 USC 7301; 5 USC 7351; 5 USC 7353; 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731

CFR Citation: 5 CFR 2635

Legal Deadline: None

Abstract: Regulation implementing certain provisions of Executive Order 12674 on Principles of Ethical Conduct, as modified by Executive Order 12731, and the Ethics Reform Act of 1989 (Pub. L. 101-194), as amended. Effective February 3, 1993, this ethical conduct standards regulation generally superseded executive branch employee conduct and gifts rules, as well as pertinent portions of agency-specific conduct regulations issued under prior Executive Order 11222. For certain existing agency regulatory standards setting forth financial interest prohibitions and prior approval for outside employment/activities, an extended grace period was in effect until November 1, 1996, or until individual concerned agencies issued supplemental regulations (with Office of Government Ethics concurrence), whichever occurred first.

Timetable:

Action	Date	FR Cite
NPRM	07/23/91	56 FR 33778
Final Overall Regulation on Standards of Ethical Conduct	08/07/92	57 FR 35006
5 CFR 2635.403(a) and 2635.803 Grace Period Extension	02/02/94	59 FR 4779
Additional 5 CFR 2635.403(a) and 2635.803 Grace Period Extension	02/02/95	60 FR 6390
NPRM on Widely Attended Gatherings	06/15/95	60 FR 31415
Minor Correction to One Citation	10/03/95	60 FR 51667

Action	Date	FR Cite
Further 5 CFR 2635.403(a) and 2635.803 Grace Period Extension	12/27/95	60 FR 66857
Further Additional 5 CFR 2635.403(a) and 2635.803 Grace Period Extension	08/07/96	61 FR 40950
Final Rule on Widely Attended Gatherings	08/20/96	61 FR 42965
Correction to Final Rule on Widely Attended Gatherings	09/16/96	61 FR 48733
Interim Rule on Gifts From Political Organizations	09/27/96	61 FR 50689
Final Rule on Gifts From Political Organizations	03/17/97	62 FR 12531
Updating Amendments to Part 2635	09/17/97	62 FR 48746
Proposed Amendments Re: Gifts (Subpart B)	08/04/98	63 FR 41476
Further Minor Proposed Amendments to Part 2635 / Seeking Employment and Outside Activities	08/26/98	63 FR 45415
Amendments to Subpart B Gifts From Outside Sources	12/18/98	63 FR 69992
Technical Corrections to Part 2635	01/14/99	64 FR 2421
Final Amendments to Part 2635 / Seeking Employment and Outside Activities	03/17/99	64 FR 13063
Interim Rule on Travel Expenses for Certain Unofficial Teaching, Speaking, and Writing	09/05/00	65 FR 53650
Final Technical Amendment to Nonsponsor Widely Attended Gathering Gift Dollar Ceiling	11/20/00	65 FR 69655
Final Rule on Travel Expenses for Certain Unofficial Teaching, Speaking, and Writing	11/30/01	66 FR 59673
Final Rule Amend.—Increased Dollar Ceiling Except. for Nonsponsor Widely Attended Gatherings Gifts	10/02/02	67 FR 61761

Action	Date	FR Cite
Final Rule Amend.—Increased Dollar Ceiling Except. for Nonsponsor Widely Attended Gatherings Gifts	03/11/05	70 FR 12111
Proposed Rule Amendments on Coverage of Intergovernmental Personnel Act Detailees	05/11/06	71 FR 27427
Final Rule Amendments on Coverage of Intergovernmental Personnel Act Detailees	08/10/06	71 FR 45735
Final Rule Removal of Obsolete In Lieu/Honoraria Provisions	04/06/07	72 FR 16985
Overall Proposed Amendments to Standards of Ethical Conduct Regulation	12/00/07	

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: Shelley K. Finlayson, Attorney-Advisor, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
Phone: 202 482-9300
TDD Phone: 202 482-9293
Fax: 202 482-9237

RIN: 3209-AA04

3126. LIMITATIONS ON OUTSIDE EARNED INCOME, EMPLOYMENT, AND AFFILIATIONS FOR CERTAIN NONCAREER EMPLOYEES

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 28 USC 2461 note; PL 101-410; PL 104-134, sec 31001

CFR Citation: 5 CFR 2636

Legal Deadline: None

Abstract: The regulation had, in pertinent part, implemented, effective January 1, 1991, the prohibition on honoraria (now declared unconstitutional by the courts as to most rank and file executive branch employees and hence determined to be eviscerated as to all other Federal employees by the Office of Legal Counsel of the Justice Department). In addition, the regulation continues to

OGE

Final Rule Stage

implement the limitation on outside employment as provided in the 1989 Ethics Reform Act revisions to the Ethics in Government Act, as amended. The regulation has now been amended in light of the above-noted litigation and other pertinent developments.

Timetable:

Action	Date	FR Cite
Interim Final Rule	01/17/91	56 FR 1721
Deferral of Effective Date of 5 CFR 2636.205	05/10/91	56 FR 21589
Additional Deferral of Effective Date of 5 CFR 2636.205	10/11/91	56 FR 51319
Revision of Honorarium Definition in 5 CFR 2636.203(a)	01/08/92	57 FR 601
Further Deferral of Effective Date of 5 CFR 2636.205	02/14/92	57 FR 5369
Technical Correction to Interim Final Rule	12/30/93	58 FR 69176
Technical (Paperwork) Amendments to Interim Final Rule	07/07/94	59 FR 34755
Removal of Subpart B of Part 2636	08/12/98	63 FR 43067
Technical Corrections to Part 2636	01/14/99	64 FR 2421
Inflation Adjustment to Civil Monetary Penalty As Reflected in Part 2636	08/30/99	64 FR 47095
Final Rule Removal of Obsolete In Lieu/Honoraria Provisions	04/06/07	72 FR 16985
Overall Final Rule	12/00/07	

Regulatory Flexibility Analysis

Required: No

Government Levels Affected: Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
Phone: 202 482-9300
TDD Phone: 202 482-9293
Fax: 202 482-9237

RIN: 3209-AA13

3127. OFFICE OF GOVERNMENT ETHICS AND EXECUTIVE AGENCY ETHICS PROGRAM RESPONSIBILITIES

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731

CFR Citation: 5 CFR part 2638

Legal Deadline: None

Abstract: Regulation specifying the Government ethics program responsibilities of the Office of Government Ethics and executive branch departments and agencies and their designated agency ethics officials and other ethics officials, including development and implementation of ethics training programs.

Timetable:

Action	Date	FR Cite
NPRM	09/18/90	55 FR 38335
Final Rule on Executive Agency Ethics Training Programs	04/07/92	57 FR 11886
Amendment	12/10/92	57 FR 58399
Interim Amendments to Liberalize Certain Training Requirements	03/16/94	59 FR 12145
Interim Amendments to Refine Certain Training Requirements	03/12/97	62 FR 11307
Interim Amendments to Refine Certain Training Requirements Corrected Effective Date	06/10/97	62 FR 13213
Interim Amendment to Restructure Regulation Provisions	02/14/00	65 FR 7275
Final Rule Amendment to Clarify OGE Intent on Sole & Exclusive Agency Authority Conferred Under Reg.	08/00/07	

Regulatory Flexibility Analysis

Required: No

Government Levels Affected: Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
Phone: 202 482-9300
TDD Phone: 202 482-9293
Fax: 202 482-9237

RIN: 3209-AA07

3128. POST-EMPLOYMENT CONFLICT OF INTEREST RESTRICTIONS

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 18 USC 207

CFR Citation: 5 CFR 2641; 5 CFR 2637 (Continuation)

Legal Deadline: None

Abstract: This interpretive regulation will give guidance for the executive branch on the various substantive restrictions of the current version of the post-Government employment conflict of interest statute 18 U.S.C. 207, which became effective January 1, 1991, pursuant to title I of the Ethics Reform Act of 1989 (Pub. L. 101-194), as amended. Parts of the regulation, dealing with procedures for waivers by the Office of Government Ethics of certain senior official constraints (based on executive agency hardship), designated senior employees, and separate agency designations, have already been published in the Federal Register; such designations are periodically updated by the Office of Government Ethics. The Office of Government Ethics also has continued to publish in the CFR the interpretive regulation, 5 CFR part 2637 (but now without the no-longer-effective former Senior Employee and old agency component designation listings), under the lifetime bar of the prior version of the post-employment law which still applies to executive branch employees who left the Government before January 1, 1991. Once a complete current post-employment law final regulation is published, the old part 2637 regulation will be removed from the CFR.

Timetable:

Action	Date	FR Cite
Interim Final Rule for One-Year Restriction of Section 207(c)	02/01/91	56 FR 3951
Designation of Senior Employee Positions and Separate Agency Components	01/28/92	57 FR 3115
Designation of Additional Separate Agency Component	04/07/92	57 FR 11673
Amendment to Prior Post-Employment Regulation (Part 2637)	12/31/92	57 FR 62467

OGE

Final Rule Stage

Action	Date	FR Cite	Action	Date	FR Cite	Regulatory Flexibility Analysis Required: No
Amendment to Interim Final Rule (Part 2641)	06/21/93	58 FR 33755	Agency Separate Components Designation Update Final Rule	01/30/03	68 FR 4681	Government Levels Affected: Federal
Technical Amendment to Authority Citation of 5 CFR Part 2637	12/30/93	58 FR 69176	Overall NPRM	02/18/03	68 FR 7843	Agency Contact: Richard M. Thomas, Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
Technical Amendments to Interim Final Rule	07/07/94	59 FR 34755	Correction to Overall NPRM	03/31/03	68 FR 15385	Phone: 202 482-9300
Exempted Senior Employee Positions and Agency Separate Components Designation Update	05/16/97	62 FR 26915	Agency Separate Components Designation Update Final Rule	11/23/04	69 FR 68053	TDD Phone: 202 482-9293
Correction: Post-Employment Reg. Update	06/11/97	62 FR 31865	Exempted Senior Employee Positions and Agency Separate Components Designation Update Final Rule	03/08/07	72 FR 10339	Fax: 202 482-9237
Agency Separate Components Designation Update	02/05/99	64 FR 5709	Overall Final Rule	12/00/07		RIN: 3209-AA14

Office of Government Ethics (OGE)

Long-Term Actions

3129. EXECUTIVE AGENCY SUPPLEMENTAL STANDARDS OF ETHICAL CONDUCT REGULATIONS ISSUED JOINTLY WITH THE CONCURRENCE OF THE OFFICE OF GOVERNMENT ETHICS

Priority: Substantive, Nonsignificant

Legal Authority: 5 USC 7301; 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 5 CFR 2635.105

CFR Citation: 5 CFR 2635; 5 CFR ch XXI et seq

Legal Deadline: None

Abstract: The supplemental executive agency standards of ethical conduct regulations, once issued jointly with the concurrence of the Office of Government Ethics (OGE) in new chapters at the end of 5 CFR, supplement for each individual agency concerned the OGE executive branch standards of ethical conduct, as codified at 5 CFR part 2635. The supplemental regulations, as they are issued in accordance with sections 201(a) and 301(a) of Executive Order 12674, as modified by Executive Order 12731, set forth those agency-specific provisions under applicable law and regulation, such as regulatory restrictions on holding certain financial interests, designation of subunits, and prior approval for outside employment, which are needed for certain agencies to round out the executive branchwide

ethical standards for their employees. These supplementals are issued and revised on an ongoing basis when needed by agencies concerned.

Timetable:

Action	Date	FR Cite
FTC Employees (5 CFR Ch. XLVII) Interim Final Rule	05/27/93	58 FR 30695
OPIC Employees (5 CFR Ch. XXXIII) Interim Final Rule	06/17/93	58 FR 33319
Treasury Employees (5 CFR Ch. XXI) NPRM	08/03/93	58 FR 41193
ICC Employees (5 CFR Ch. XL) Final Rule	08/06/93	58 FR 41989
PRC Employees (5 CFR Ch. XLVI) Final Rule	08/12/93	58 FR 42839
DOD Employees (5 CFR Ch. XXVI) Interim Final Rule	09/10/93	58 FR 47619
CFTC Employees (5 CFR Ch. XLI) Final Rule	10/12/93	58 FR 52637
IAF Employees (5 CFR Ch. LXIII) Final Rule	01/27/94	59 FR 3771
5 CFR 2635.403(a) and 2635.803 Grace Period Extension (by OGE)	02/02/94	59 FR 4779
NRC Employees (5 CFR Ch. XLVIII) Final Rule	04/13/94	59 FR 17457

Action	Date	FR Cite
FDIC Employees (5 CFR Ch. XXII) NPRM	07/12/94	59 FR 35480
NASA Employees (5 CFR Ch. LIX) Final Rule	09/28/94	59 FR 49335
FRTIB Employees (5 CFR Ch. LXXIV) Final Rule	10/06/94	59 FR 50816
Education Employees (5 CFR Ch. LIII) Interim Final Rule	01/30/95	60 FR 5816
Additional 5 CFR 2635.403(a) and 2635.803 Grace Period Extension (by OGE)	02/02/95	60 FR 6390
OMB Employees (5 CFR Ch. LXXVII) Final Rule	03/07/95	60 FR 12396
USPS Employees (5 CFR Ch. LX) NPRM	03/27/95	60 FR 15700
Eximbank Employees (5 CFR Ch. LII) Interim Final Rule	04/07/95	60 FR 17625
FDIC Employees (5 CFR Ch. XXII) Final Rule	04/25/95	60 FR 20171
Treasury Employees (5 CFR Ch. XXI) Final Rule	05/05/95	60 FR 22249
FCA Employees (5 CFR Ch. XXXI) Interim Final Rule	06/12/95	60 FR 30778
FCSIC Employees (5 CFR Ch. XXX) Interim Final Rule	06/12/95	60 FR 30773

OGE

Long-Term Actions

Action	Date	FR Cite	Action	Date	FR Cite	Action	Date	FR Cite
HUD Employees (5 CFR Ch. LXV) NPRM	06/30/95	60 FR 34420	FERC Employees (5 CFR Ch. XXIV) Final Rule	08/23/96	61 FR 43411	BGFRS Employees (5 CFR Ch. LVIII) Final Rule Amendments	12/08/99	64 FR 68615
OPIC Employees (5 CFR Ch. XXXIII) Final Rule	07/21/95	60 FR 37555	BGFRS Employees (5 CFR Ch. LVIII) Final Rule	10/16/96	61 FR 53827	DOL Employees (5 CFR Ch. XLII) Final Rule	12/30/99	64 FR 73852
USPS Employees (5 CFR Ch. LX) Final Rule	09/11/95	60 FR 47240	FCC Employees (5 CFR Ch. XXIX) Final Rule (Including Financial Disclosure Rule)	10/31/96	61 FR 56109	USDA Employees (5 CFR Ch. LXXIII) Interim Final Rule	03/24/00	65 FR 15825
FCA Employees (5 CFR Ch. XXXI) Final Rule	09/13/95	60 FR 47453	GSA Employees (5 CFR Ch. LVII) Final Rule	11/01/96	61 FR 56399	USDA Employees (5 CFR Ch. LXXIII) Interim Final Rule Correction	04/20/00	65 FR 21239
OGE Concurrence in FCA Employees (5 CFR Ch. XXXI) Final Rule	12/06/95	60 FR 62319	DOL Employees (5 CFR Ch. XLII) Interim Final Rule	11/06/96	61 FR 57281	USDA Employees (5 CFR Ch. LXXIII) Final Rule	10/02/00	65 FR 58635
BGFRS Employees (5 CFR Ch. LVIII) NPRM	12/19/95	60 FR 65249	DOJ Employees (5 CFR Ch. XXVIII) Interim Final Rule	11/25/96	61 FR 59811	Treasury Employees (5 CFR Ch. XXI) Final Rule Amendments	02/01/01	66 FR 8505
Further 5 CFR 2635.403(a) and 2635.803 Grace Period Extension (by OGE)	12/27/95	60 FR 66857	NSF Employees (5 CFR Ch. XLIII) Interim Final Rule	11/25/96	61 FR 59815	NASA Employees (5 CFR Ch. LIX) Final Rule Amendments	11/27/01	66 FR 59135
FCSIC Employees (5 CFR Ch. XXX) Final Rule	02/06/96	61 FR 4349	CPSC Employees (5 CFR Ch. LXXI) Final Rule	12/13/96	61 FR 65457	DOT (FAA) Employees (5 CFR Ch. L) Final Rule Amendments	12/03/01	66 FR 60139
EEOC Employees (5 CFR Ch. LXII) Interim Final Rule	02/26/96	61 FR 7065	FDIC Employees (5 CFR Ch. XXII) Final Rule Amendments	01/27/97	62 FR 3771	Treasury (OCC) Employees (5 CFR Ch. XXI) Final Rule Amendments	07/17/02	67 FR 46840
TVA Employees (5 CFR Ch. LXIX) Final Rule	05/06/96	61 FR 20117	NLRB Employees (5 CFR Ch. LXI) Interim Final Rule	02/12/97	62 FR 6445	USDA (FSA) Employees (5 CFR Ch. LXXIII) Final Rule Amendments	09/16/02	67 FR 58319
DOE Employees (5 CFR Ch. XXIII) Interim Final Rule	07/05/96	61 FR 35085	DOJ Employees (5 CFR Ch. XXVIII) Final Rule	05/02/97	62 FR 23941	USDA (FSA) Employees (5 CFR Ch. LXXIII) Final Rule Amendments Correction	11/04/02	67 FR 67089
FDIC Employees (5 CFR Ch. XXII) Final Rule Amendments	07/09/96	61 FR 35915	DOJ Employees (5 CFR Ch. XXVIII) Final Rule Correction	06/11/97	62 FR 31865	FDIC Employees (5 CFR Ch. XXII) Final Rule Amendments	11/29/02	67 FR 71069
HUD Employees (5 CFR Ch. LXV) Final Rule	07/09/96	61 FR 36246	OPM Employees (5 CFR Ch. XXXV) Interim Final Rule Correction	06/17/97	62 FR 32859	IMLS Employees (5 CFR Ch. LXVII) Interim Final Rule	04/14/03	68 FR 17877
OPM Employees (5 CFR Ch. XXXV) Interim Final Rule	07/16/96	61 FR 36993	EEOC Employees (5 CFR Ch. LXII) Final Rule	07/08/97	62 FR 36447	EEOC Employees (5 CFR Ch. LXII) Final Rule Amendments	09/04/03	68 FR 52485
HHS Employees (5 CFR Ch. XLV) Final Rule	07/30/96	61 FR 39756	OPM Employees (5 CFR Ch. XXXV) Final Rule	08/11/97	62 FR 42897	NEA Employees (5 CFR Ch. LV) Interim Final Rule	09/05/03	68 FR 52681
DOT Employees (5 CFR Ch. L) Final Rule	07/31/96	61 FR 39901	DOI Employees (5 CFR Ch. XXV) Interim Final Rule	10/16/97	62 FR 53713	NEH Employees (5 CFR Ch. LVI) Interim Final Rule	09/05/03	68 FR 52682
FMSHRC Employees (5 CFR Ch. LXXIV) Final Rule	07/31/96	61 FR 39869	DOI Employees (5 CFR Ch. XXV) Interim Final Rule Correction	04/15/98	63 FR 18501	DOD Employees (5 CFR Ch. XXVI) Final Rule Amendments	11/18/03	68 FR 64979
EPA Employees (5 CFR Ch. LIV) Final Rule	08/02/96	61 FR 40500	DOI Employees (5 CFR Ch. XXV) Interim Final Rule Correction	04/24/98	63 FR 20447	HHS Employees (5 CFR Ch. XLV) Interim Final Rule Amendments (Including New Financial Disclosure Rule)	02/03/05	70 FR 5543
NARA Employees (5 CFR Ch. LXVI) Final Rule	08/05/96	61 FR 40505	DOI Employees (5 CFR Ch. XXV) Final Rule	06/24/98	63 FR 34258			
Further Additional 5 CFR 2635.403(a) and 2635.803 Grace Period Extension (by OGE)	08/07/96	61 FR 40950	FTC Employees (5 CFR Ch. XLVII) Final Rule Amendments	08/12/98	63 FR 43069			

OGE

Long-Term Actions

Action	Date	FR Cite	Action	Date	FR Cite
SSA Employees (5 CFR Ch. LXXXI) NPRM	02/11/05	70 FR 7192	HHS Employees (5 CFR Ch. XLIV) Final Rule Amendments	10/26/05	70 FR 61713
SSA Employees (5 CFR Ch. LXXXI) NPRM, Reopening of Comment Period	05/04/05	70 FR 23065	Corrections (Financial Disclosure Rule)		
HHS Employees (5 CFR Ch. XLV) Interim Final Rule Amendments (Financial Disclosure Rule)	06/28/05	70 FR 37009	DOE Employees (5 CFR Ch. XXIII) Final Rule	08/21/06	71 FR 48447
HHS Employees (5 CFR Ch. XLV) Final Rule Amendments (Including Financial Disclosure Rule)	08/31/05	70 FR 51559	CCR Employees (5 CFR Ch. LXVIII) NPRM	08/30/06	71 FR 51533
			FDIC Employees (5 CFR Ch. XXII) Proposed Amendments	12/04/06	71 FR 70325
			Final Action	To Be Determined	

Regulatory Flexibility Analysis Required: No**Government Levels Affected:** Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917
 Phone: 202 482-9300
 TDD Phone: 202 482-9293
 Fax: 202 482-9237

RIN: 3209-AA15

[FR Doc. 07-01261 Filed 04-27-07; 8:45 am]

BILLING CODE 6345-02-S