

UNITED STATES DEPARTMENT OF ENERGY  
NATIONAL ENERGY TECHNOLOGY LABORATORY (NETL)

APPLICATION FOR EXCLUSIVE OR PARTIALLY EXCLUSIVE DOMESTIC  
LICENSE ON FEDERALLY OWNED INVENTION

NETL:

TO BE COMPLETED BY

APPLICATION NO.:

\_\_\_\_\_

The \_\_\_\_\_

(Name and address of Applicant)

does hereby apply to the Director of the NETL for an exclusive or partially exclusive domestic license to an invention(s) made by a National Energy Technology Laboratory employee while in the performance of official duties, and thus assigned to the U.S. Department of Energy.

It is the policy of the U.S. Department of Energy (DOE) to accord licenses on DOE-owned patents and patent applications in appropriate circumstances to applicants with satisfactory plans for development and/or marketing of the invention. 37 C.F.R. Part 404, Licensing of Government Owned Inventions provides terms, conditions, and procedures for the accord of patent licenses, both nonexclusive and exclusive, on DOE-owned patents and patent applications.

Applications should be addressed to:

R. Diane Newlon  
Technology Transfer Manager  
U.S. Department of Energy  
National Energy Technology Laboratory  
3610 Collins Ferry Road  
P.O. Box 880  
Morgantown, WV 26505

INFORMATION REQUIRED:

1. Information Regarding Applicant

(a) Citizenship and State of Incorporation: \_\_\_\_\_

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(b) Name, title, and telephone number of representative of applicant with whom negotiations are to be conducted:

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2. Identification of Invention

(a) Patent Number or Patent Application Serial Number:

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(b) Title: \_\_\_\_\_

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3. Nature and type of applicant's business, including identification of products or services which applicant has successfully commercialized, and including approximate number of applicant's employees: -

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4. Applicant's status, if applicable, as a small business, as defined at Section 2 Pub. L. No. 85-536 (15 U.S.C. 632) and implementing regulations of the Small Business Administration:

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5. Source of information concerning the availability for license of the invention:

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6. In support of this application, please submit, as an appendix hereto, a detailed description of applicant's plan for development and/or marketing of the invention, which should include:

(a) A statement as to applicant's intention to fulfill the plan, including applicant's manufacturing, marketing, financial, and technical capability to fulfill the plan.

(b) A statement of the time, nature, and amount of anticipated investment of capital and other resources which applicant believes will be necessary to bring the invention to practical application;

(c) A statement of the field or fields of use for which applicant intends to practice the invention; and

(d) A statement of the geographic areas in which applicant intends to manufacture, use or sell any products embodying the invention or produced through the use of the invention.

7. A statement as to applicant's best knowledge of the extent to which the invention is being practiced by private industry or Government, or is otherwise available commercially:

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8. A statement as to applicant's willingness to execute the plan for development and/or marketing of the invention absent the grant of an exclusive or partially exclusive license:

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The facts set forth in this request for license are within the knowledge of the requestor and are submitted with the intention that the Director of the NETL rely on them in reaching the license determination.

Respectfully submitted,

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(Signature)

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(Name and title of authorized  
representative)

Date: \_\_\_\_\_