

Capillary Electrophoresis in DNA Analysis

Stats and Higher Throughput Approaches

DNA Academy Workshop
 Albany, NY
 June 13-14, 2005
 Dr. John M. Butler
 Dr. Bruce R. McCord



Outline for Workshop

- Introductions
- STR Analysis
- Introduction to CE and ABI 310
- Validation and Interlaboratory Studies
- DNA Quantitation by Real-time PCR and miniSTRs
- Stats and Higher Throughput Approaches
- Y-Chromosome Analysis
- Troubleshooting the ABI 310
- Review and Test

Statistics

How Statistical Calculations are Made

- Generate data with set(s) of samples from desired population group(s)
 - Generally only 100-150 samples are needed to obtain reliable allele frequency estimates
- Determine allele frequencies at each locus
 - Count number of each allele seen
- Allele frequency information is used to estimate the rarity of a particular DNA profile
 - Homozygotes (p^2), Heterozygotes ($2pq$)
 - Product rule used (multiply locus frequency estimates)

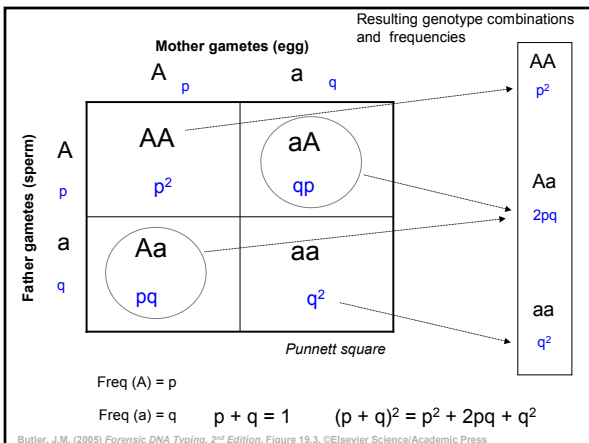
For more information, see Chapters 20 and 21 in *Forensic DNA Typing, 2nd Edition*

Assumptions with Hardy-Weinberg Equilibrium

The Assumption	The Reason
Large population	Lots of possible allele combinations
No natural selection	No restriction on mating so all alleles have equal chance of becoming part of next generation
No mutation	No new alleles being introduced
No immigration/emigration	No new alleles being introduced or leaving
Random mating	Any allele combination is possible

None of these assumptions are really true...

Butler, J.M. (2005) *Forensic DNA Typing, 2nd Edition*, Table 20.6, ©Elsevier Science/Academic Press



Individual Genotypes Are Summarized and Converted into Allele Frequencies

Genotype Array	8	9	10	11	12	13	14	15	Allele Count	Observed Frequency
8	8,8	8,9	8,10	8,11	8,12	8,13	8,14	8,15	68	0.11258
9		9,9	9,10	9,11	9,12	9,13	9,14	9,15	45	0.07450
10			10,10	10,11	10,12	10,13	10,14	10,15	31	0.05132
11				11,11	11,12	11,13	11,14	11,15	205	0.33940
12				12,12	12,13	12,14	12,15		150	0.24834
13					13,13	13,14	13,15		75	0.12417
14						14,14	14,15		29	0.04801
15							15,15		1	0.00166
									604	

The 11,12 genotype was seen 54 times in 302 samples (604 examined chromosomes)

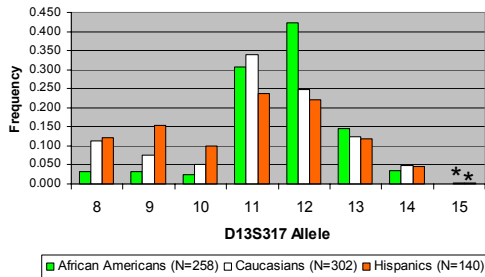
Allele Frequency Tables

Allele frequencies denoted with an asterisk (*) are below the 5/2N minimum allele threshold recommended by the National Research Council report (NRCII) The Evaluation of Forensic DNA Evidence published in 1996.

Allele	Butler et al. (2003) JFS 48(4):908-911		Einum et al. (2004) JFS 49(6)	
	Caucasian N= 302	Caucasian N= 7,636	African American N=298	African American N= 7,602
11	0.0017*	0.0009	--	0.0003*
12	0.0017*	0.0007	--	0.0045
13	--	0.0031	0.0019*	0.0077
14	0.1027	0.1240	0.0892	0.0905
15	0.2616	0.2690	0.3023	0.2920
15.2	--	--	0.0019*	0.0010
16	0.2533	0.2430	0.3353	0.3300
17	0.2152	0.2000	0.2054	0.2070
18	0.15232	0.1460	0.0601	0.0630
19	0.01160	0.0125	0.0039*	0.0048
20	0.0017*	0.0001*		

Figure 20.3

U.S. Population Samples (Appendix II)



Butler, J.M. (2005) Forensic DNA Typing, 2nd Edition, Figure 20.3, ©Elsevier Science/Academic Press

DNA Profile Frequency with all 13 CODIS STR loci

AmpFISTR® Identifier™ (Applied Biosystems)

Locus	allele	value	allele	value	1 in	Combined
D3S1358	16	0.2533	17	0.2152	9.17	9.17
VWA	17	0.2815	18	0.2003	8.87	81
FGA	21	0.1854	22	0.2185	12.35	1005
D8S1179	12	0.1854	14	0.1656	16.29	16,364
D21S11	28	0.1589	30	0.2782	11.31	185,073
D18S51	14	0.1374	16	0.1391	26.18	4,845,217
D5S818	12	0.3841	13	0.1407	9.25	44,818,259
D13S317	11	0.3394	14	0.0480	30.69	1.38 x 10 ⁸
D7S820	9	0.1772			31.85	4.38 x 10 ⁸
D16S539	9	0.1126	11	0.3212	13.8	6.05 x 10 ¹¹
TH01	6	0.2318			18.62	1.13 x 10 ¹³
TPOX	8	0.5348			3.50	3.94 x 10 ¹³
CSF1PO	10	0.2169			21.28	8.37 x 10 ¹⁴

The Random Match Probability for this profile in the U.S. Caucasian population is 1 in 837 trillion (10¹²)

The Same 13 Locus STR Profile in Different Populations

- 1 in 837 trillion
- 1 in 0.84 quadrillion (10¹⁵) in U.S. Caucasian population (NIST)
- 1 in 2.46 quadrillion (10¹⁵) in U.S. Caucasian population (FBI)*
- 1 in 1.86 quadrillion (10¹⁵) in Canadian Caucasian population*
- 1 in 16.6 quadrillion (10¹⁵) in African American population (NIST)
- 1 in 17.6 quadrillion (10¹⁵) in African American population (FBI)*
- 1 in 18.0 quadrillion (10¹⁵) in U.S. Hispanic population (NIST)

These values are for unrelated individuals assuming no population substructure (using only p² and 2 pq)

NIST study: Butler, J.M., et al. (2003) Allele frequencies for 15 autosomal STR loci on U.S. Caucasian, African American, and Hispanic populations. J. Forensic Sci. 48(4):908-911. (http://www.cstl.nist.gov/biotech/strbase/NISTpop.htm)

*http://www.csfs.ca/ppplus/profiler.htm

STR Cumulative Profile Frequency with Multiple Population Databases

STR Locus	Profile Computed	Number of Populations Used	Cumulative Profile Frequency Range (1 in ...)	Cumulative Profile Frequency against U.S. Caucasians (Appendix II)
D3S1358	16,17	166	5.24 to 62.6	9.19
VWA	17,18	166	37.6 to 1080	81.8
FGA	21,22	166	737 to 119,000	1010
D8S1179	12,14	166	8980 to 5,430,000	16,400
D21S11	28,30	166	165,000 to 248,000,000	186,000
D18S51	14,16	166	3.85 x 10 ³ to 2.68 x 10 ⁶	4.88 x 10 ⁴
D5S818	12,13	166	2.28 x 10 ³ to 4.22 x 10 ⁶	4.51 x 10 ⁴
D13S317	11,14	166	4.32 x 10 ³ to 1.69 x 10 ⁶	1.38 x 10 ⁴
D7S820	9,9	166	1.17 x 10 ³ to 2.98 x 10 ⁶	4.22 x 10 ⁴
D16S539	9,11	97	4.06 x 10 ³ to 1.11 x 10 ⁶	5.82 x 10 ⁴
TH01	6,6	97	9.30 x 10 ² to 1.45 x 10 ⁶	1.05 x 10 ⁴
TPOX	8,8	97	3.33 x 10 ³ to 1.54 x 10 ⁶	3.63 x 10 ⁴
CSF1PO	10,10	97	3.43 x 10 ³ to 2.65 x 10 ⁶	7.43 x 10 ⁴

10¹⁴ to 10²¹

Butler, J.M. (2005) Forensic DNA Typing, 2nd Edition, D.N.A. Box 21.1, ©Elsevier Science/Academic Press

Example Calculations with Population Substructure Adjustments

Table 21.5
Example calculations with NRC II recommendations for population substructure adjustments (see Appendix 17). Scenarios with theta equal to 0.01 and 0.03 are examined.

From U.S. Caucasian (N = 302): Appendix II - sample in database				Under HW		NRCII Recommendation 4.1		NRCII Recommendation 4.10			
A1	A2	Allele 1 freq (q)	Allele 2 freq (q)	Calc. freq	$\theta = 0.01$	$\theta = 0.03$	$\theta = 0.01$	$\theta = 0.03$			
D1S3317	11	14	0.33940	0.04801	2pq	0.0326	2pq	0.0326	0.0326	0.0386	0.0504
TH01	6	6	0.23179	—	p^2	0.0537	$p^2 + p(1-q)\theta$	0.0555	0.0591	0.0410	0.0628
D18S51	14	16	0.13742	0.12907	2pq	0.0362	2pq	0.0362	0.0362	0.0410	0.0419
D21S11	20	20	0.15094	0.27815	2pq	0.0684	2pq	0.0684	0.0684	0.1102	0.0517
D5S1358	16	17	0.25331	0.21523	2pq	0.1090	2pq	0.1090	0.1090	0.1129	0.1206
D5S818	12	13	0.30411	0.14073	2pq	0.1061	2pq	0.1061	0.1061	0.1131	0.1228
D7S820	9	9	0.17715	—	p^2	0.0314	$p^2 + p(1-q)\theta$	0.0326	0.0358	0.1104	0.0390
D8S1179	12	14	0.18543	0.16556	2pq	0.0614	2pq	0.0614	0.0614	0.1104	0.0733
CSF1PO	10	10	0.21609	—	p^2	0.0470	$p^2 + p(1-q)\theta$	0.0487	0.0521	0.1104	0.0558
FGA	21	22	0.18543	0.21854	2pq	0.0810	2pq	0.0810	0.0810	0.0851	0.0930
D16S539	9	11	0.11258	0.32119	2pq	0.0723	2pq	0.0723	0.0723	0.1104	0.0773
TPOX	8	8	0.63477	—	p^2	0.2860	$p^2 + p(1-q)\theta$	0.2885	0.2934	0.1104	0.2983
VWA	17	18	0.28146	0.20033	2pq	0.1128	2pq	0.1128	0.1128	0.1167	0.1245
AMEL	X	Y									
						1.20E-15	1.38E-15	1.70E-15	3.92E-15	3.02E-14	

Example Calculations with Corrections for Relatives

Table 21.6
Example calculations with corrections for relatives using the NRC II recommended formula.

From U.S. Caucasian (N = 302): Appendix II - sample in database				Under HW		NRCII Recommendation 4.4				Full sib		
A1	A2	Allele 1 freq (q)	Allele 2 freq (q)	Calc. freq	$F = 1/4$ (parent)	$F = 1/8$ (half sib)	$F = 1/16$ (full sib)	$F = 1/16$ (1st cousin)				
D1S3317	11	14	0.33940	0.04801	2pq	0.0326	eq. 4.8B	0.1987	0.1131	0.0729	eq. 4.9D	0.3650
TH01	6	6	0.23179	—	p^2	0.0537	eq. 4.8A	0.2918	0.1428	0.0962	eq. 4.9A	0.3793
D16S539	9	11	0.11258	0.32119	2pq	0.0723	eq. 4.8B	0.2169	0.1446	0.1085	eq. 4.9D	0.3765
D18S51	14	16	0.13742	0.12907	2pq	0.0362	eq. 4.8B	0.1362	0.0862	0.0632	eq. 4.9D	0.3287
D21S11	20	20	0.15094	0.27815	2pq	0.0684	eq. 4.8B	0.2185	0.1535	0.1209	eq. 4.9D	0.3814
D5S1358	16	17	0.25331	0.21523	2pq	0.1090	eq. 4.8B	0.2343	0.1717	0.1403	eq. 4.9D	0.3944
D5S818	12	13	0.30411	0.14073	2pq	0.1061	eq. 4.8B	0.2624	0.1853	0.1467	eq. 4.9D	0.4082
D7S820	9	9	0.17715	—	p^2	0.0314	eq. 4.8A	0.1772	0.1043	0.0678	eq. 4.9A	0.3404
D8S1179	12	14	0.18543	0.16556	2pq	0.0614	eq. 4.8B	0.1795	0.1184	0.0889	eq. 4.9D	0.3331
CSF1PO	10	10	0.21609	—	p^2	0.0470	eq. 4.8A	0.2169	0.1320	0.0895	eq. 4.9A	0.3792
FGA	21	22	0.18543	0.21854	2pq	0.0810	eq. 4.8B	0.2000	0.1415	0.1113	eq. 4.9D	0.3713
TPOX	8	8	0.63477	—	p^2	0.2860	eq. 4.8A	0.5348	0.4104	0.3482	eq. 4.9A	0.5889
VWA	17	18	0.28146	0.20033	2pq	0.1128	eq. 4.8B	0.2409	0.1768	0.1448	eq. 4.9D	0.3986
AMEL	X	Y										
						1.20E-15	3.17E-09	1.68E-11	3.74E-13	4.06E-06	1.16E-24	

Capillary Arrays and Higher Throughput STR Typing

STR Typing Technologies

<http://www.cstl.nist.gov/biotech/strbase/tech.htm>

Gels

J. Forensic Sci. (1998) 43: 1168-1180

Capillary Electrophoresis

Electrophoresis. (1998) 19: 86-93

Capillary Arrays

Nucleic Acids Res. (1999) 27: e36

Microchip CE

PNAS (1997) 94: 10273-10278

Mass Spectrometry

Int. J. Legal Med. (1998) 112: 45-49

Hybridization Arrays

Nucleic Acids Res. (2000) 28: e17

Ways to Increase Sample Throughput

- Run more gels (FMBIO approach)
- Increase speed of single sample analysis (microchip CE systems)
- Multiplex fluorescent dyes of different colors (higher level PCR multiplexes)
- Parallel separations using capillary arrays
- New Detection Technologies (MALDI-TOF mass spectrometry)

Methods for Parallel Sample Processing

Multiplex by Size

Multiplex by Dye Color

Blue
Green
Yellow

Internal sizing standard in red

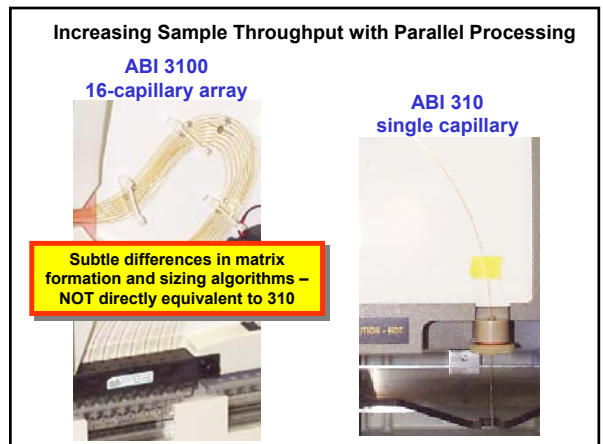
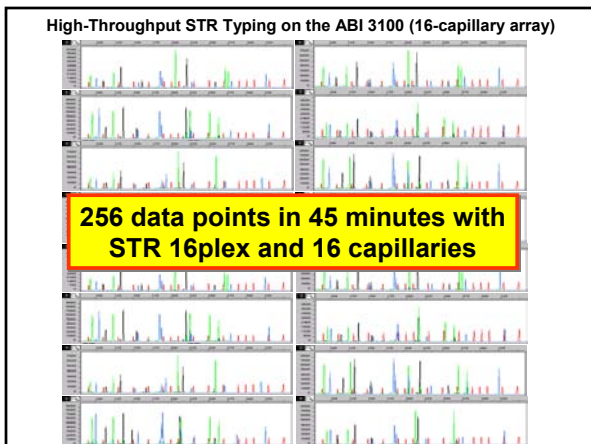
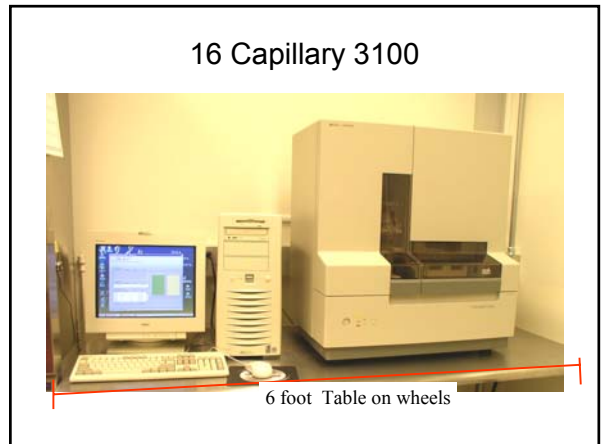
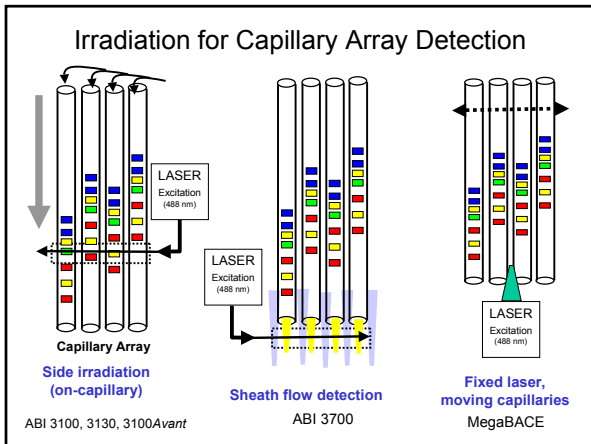
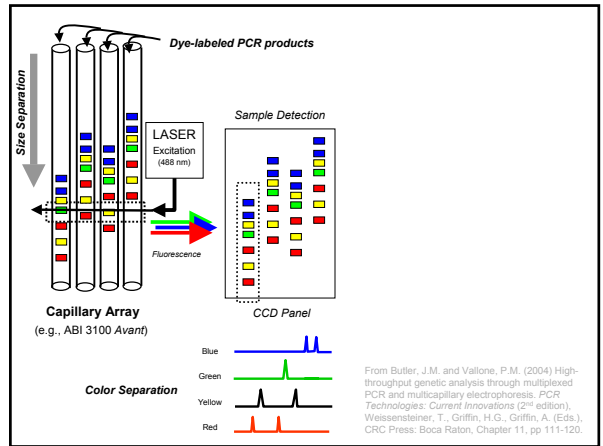
Combined

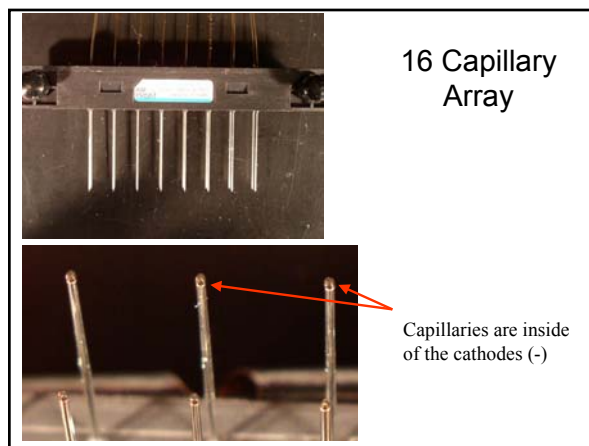
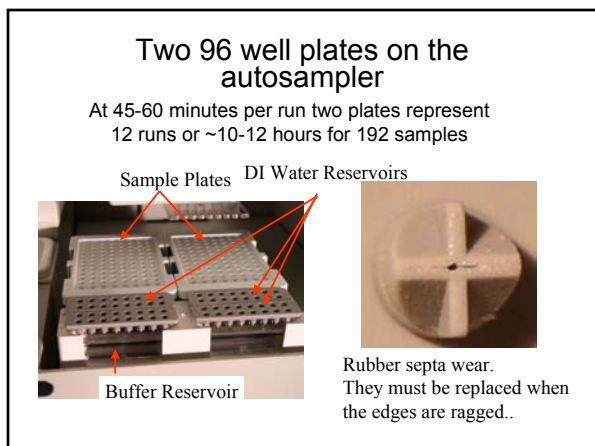
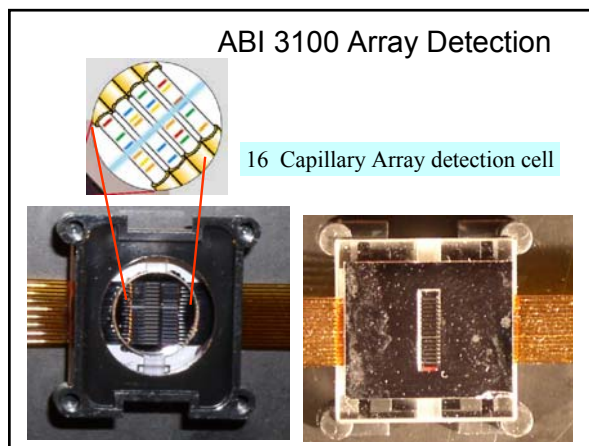
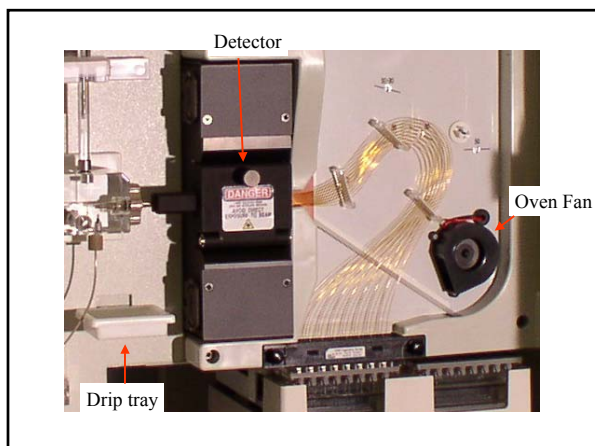
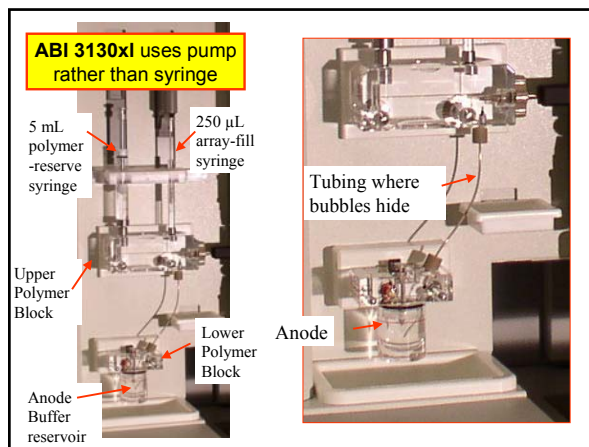
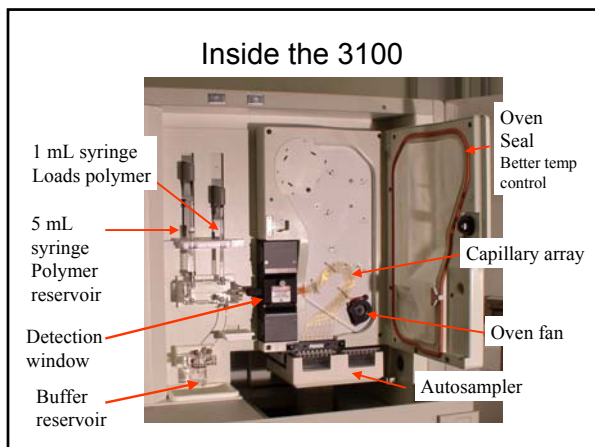
Multiplex by Number of Capillaries

ABI 3100/3130: 16 capillaries
ABI 3700/3730: 96 capillaries
ABI 3100 Avant: 4 capillaries

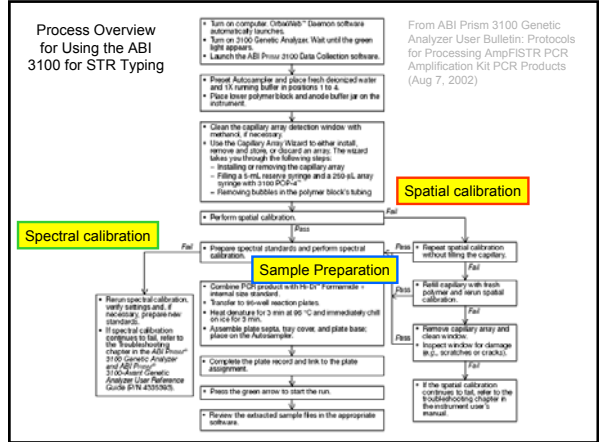
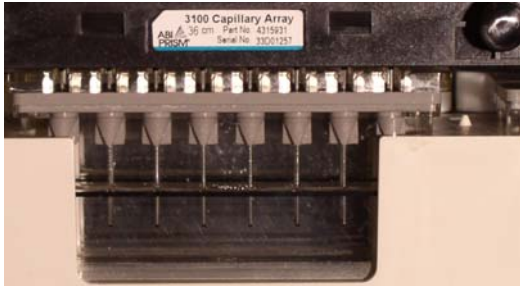
Capillary Array Electrophoresis

- Higher sample throughput
- Commercial 96 capillary systems were used to sequence the human genome
 - ABI 3700
 - MegaBACE
- Engineering and hardware challenges
- Software challenges





Capillaries in buffer tank Running and storage position



Spatial Calibration

Performed after:

- Installing or replacing a capillary array
- Removal of the array from the detection block, (Due to the design, to remove the upper polymer block for cleaning you must remove the Array from the detection window)

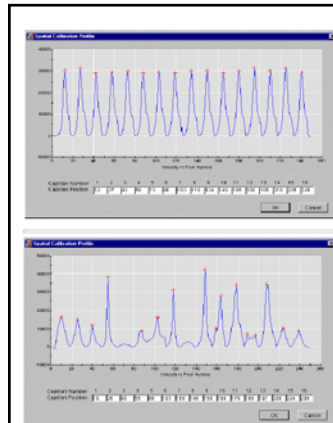
Information Provided:

Position of the fluorescence from each capillary on the CCD

Spatial Results

Good Results

Bad results
Try again



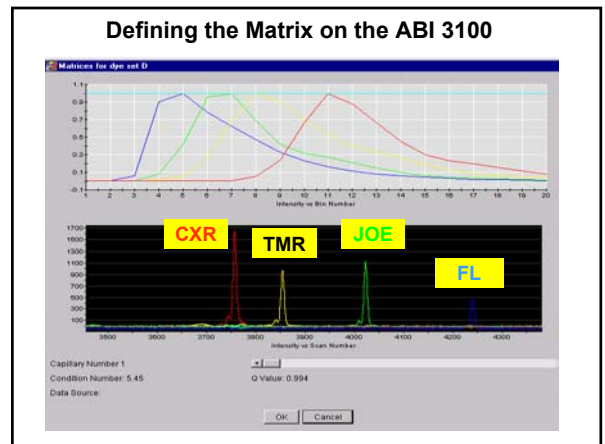
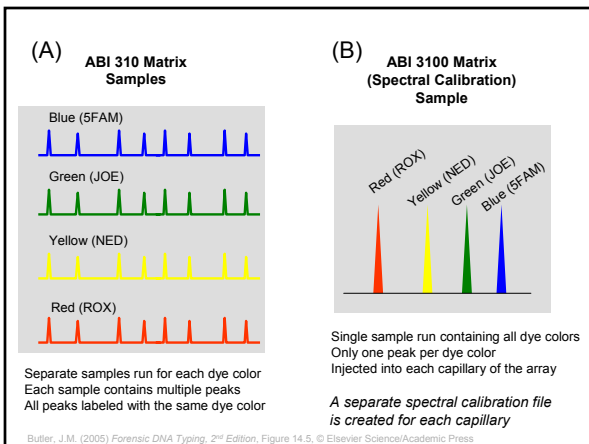
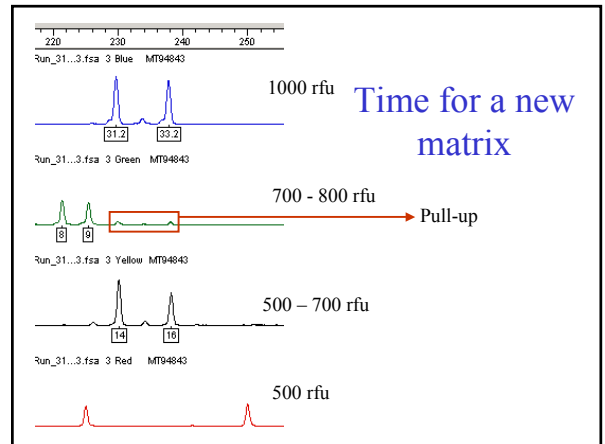
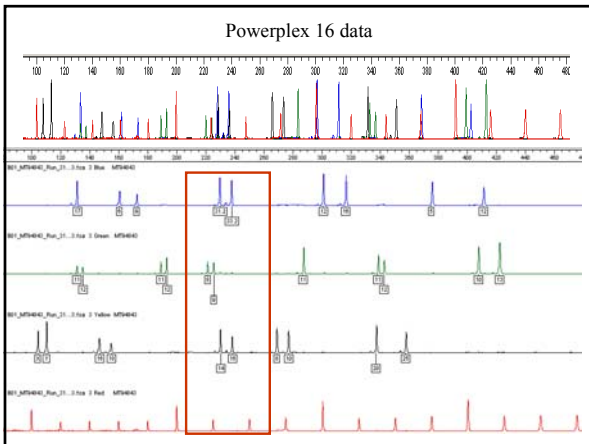
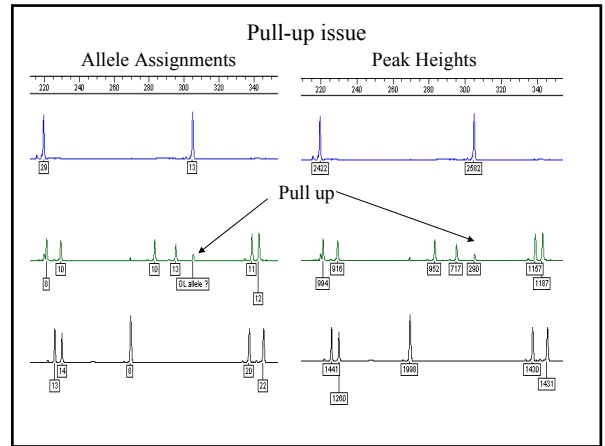
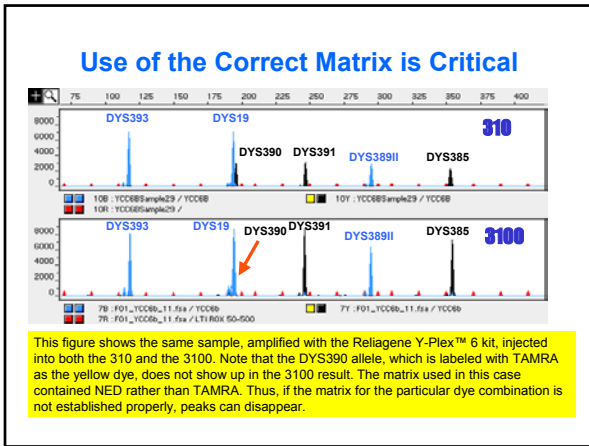
Maintenance of ABI 3100

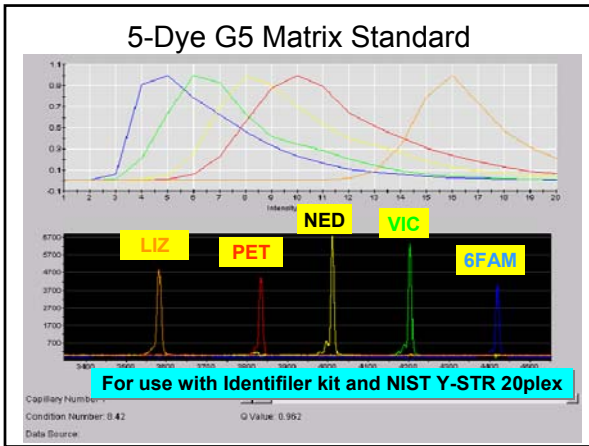
- Syringe – leaks cause capillary to not fill properly
- Capillary storage & wash – **it dries, it dies!**
- Pump block – cleaning helps insure good fill
- Change the running buffer regularly

YOU MUST BE CLEAN AROUND A CE!

Spectral Calibration

- Performed:
 - New dye set on the instrument
 - After Laser or CCD camera has been realigned
 - You begin to see a decrease in the spectral separation (pull-up, pull-down).
- You must have a valid separation matrix on the instrument prior to running samples.

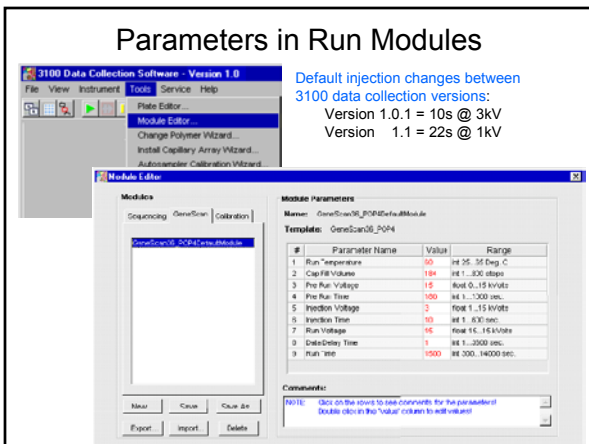
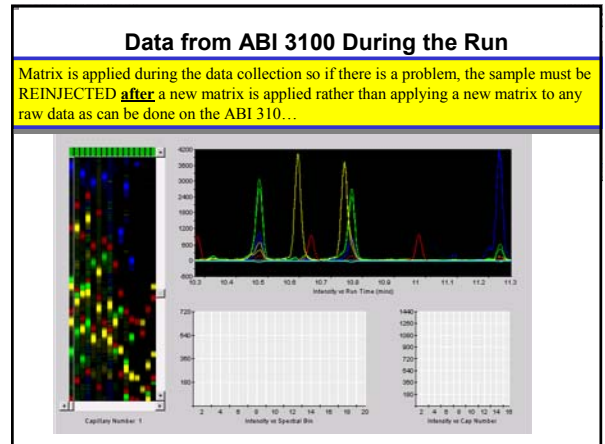
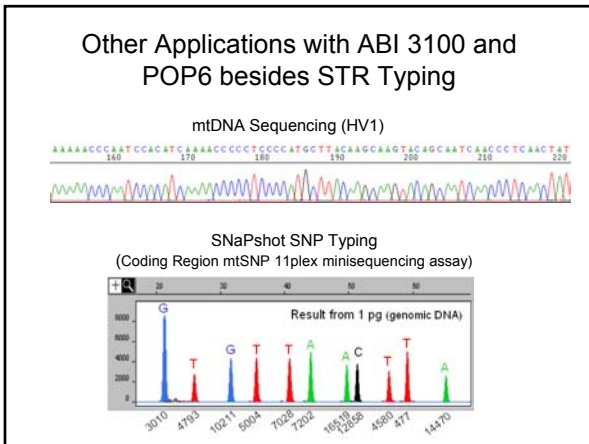




Matrices Created on NIST ABI 3100 with Various Dye Combinations

Color	D	3100 Filters				Z
		E	E5	F	G5	
Blue	FL	dR110	dR110	5FAM	6FAM	6FAM
Green	JOE	dR6G	dR6G	JOE	VIC	VIC
Yellow	TMR	dTAMRA	dTAMRA	NED	NED	NED
Red	CXR	dROX	dROX	ROX	PET	ROX
Orange			LIZ		LIZ	
	PowerPlex 16	Big Dye Sequencing	SNaPshot	Profiler Plus, COfler, SGM Plus	Identifier, Y STR 20plex	Y STR 16plex

Different ABI 3100 matrix sets used at NIST in order to address a variety of applications and dye combinations.



- ### Consumables
- ABI Optical Reaction Plates
 - \$2,200 / 500 plates = \$4.40 / plate
 - Phenix (mps-3590)
 - Plates \$291/100 plates = \$2.91 / plate
 - Hi - Di Formamide
 - \$28 / 25 mL
 - 36 cm 3100 Capillary Array (100 runs) \$695
 - 281 runs and still going (replace by resolution not # of injections)
 - 36 cm 3100 Avant Capillary Array (150 runs) \$560

Consumables

- 10X Genetic Analyzer Buffer with EDTA
 - \$75/25 mL = \$0.30/mL 1X buffer (ABI)
 - Or **A.C.E.™ Sequencing Buffer 10X**
 - \$155/L = \$0.016/mL 1X buffer (Amresco)
- 3100 POP-4 Polymer \$365 / 7 mL
- 3100 POP-6 Polymer \$365 / 7 mL
- 3700 POP-6 Polymer \$465 / 230 mL
 - What we have been using, runs take longer but you also get better resolution.

Microchip CE Systems

What is under development for STR typing?

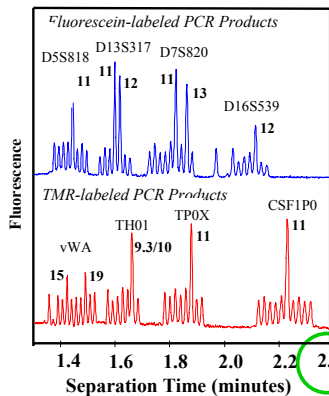


<http://www.washingtonpost.com/wp-dyn/articles/A12570-2005Mar11.html>

Attorney General John D. Ashcroft, holding a slide for DNA, hailed the technology as a tool in solving crimes. With him is Kellie Greene, whose attacker was found by DNA testing.

CE Microchips

- Channels are etched in glass microscope slides to make miniature CE columns
- More rapid separations are possible due to the shorter separation length
- Possible to etch many channels CAE microchips



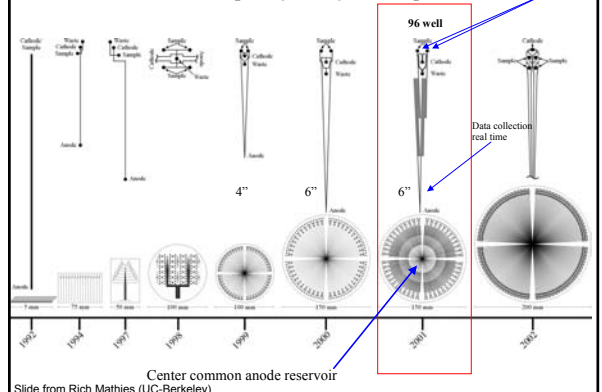
Rapid Microchip CE Separation

Whitehead Institute
Anal. Biochem. 1999, 270, 148-152

PowerPlex™ 1.1

Allelic ladders mixed with samples for genotyping purposes

Ascent of Capillary Array Electrophoresis



Berkeley Rotary Confocal Fluorescence Scanner

Scanning Fluorescence Microscopy Instrumentation

Fiber Optic
 Radial Microchip
 Rhomb Prism
 PMT
 4 colors
 - FAM
 - JOE
 - TAMRA
 - ROX
 Stepper Motor
 LASER
 488 nm
 Dichroic Beamsplitter

Shi et al., Anal. Chem. 71, 5554 (1999)

UC Berkeley

Slide from Rich Mathies (UC-Berkeley)

Typing 96 STR Samples in < 8 Minutes

STR's Labeled with ET Casettes

CSF1PO	315-323	bp
TPOX	244-248	bp
D7S820	226-231	bp
TH01	218-211	bp
D13S317	189-190	bp
vWA	163-164	bp

Lanes 1-48: ET-400-ROX, ss2
 Lanes 49-96: FAM, Magnometer

Slide from Rich Mathies (UC-Berkeley)

PowerPlex 16 Allelic Ladders and Internal Lane Standard

FAM
 JOE
 TAMRA
 ROX

TH01 9.3/10 (single base resolution)

- Color separation without the use of a matrix
- Separation based upon 4 PMT

Slide from Rich Mathies (UC-Berkeley)

Portable Genetic Diagnostics Device

Integrated system includes:

- glass CE microchannels
- PCR chamber
- heater
- temperature sensor
- microfabricated electrodes
- microfabricated valves

From Richard Mathies presentation at 14th International Symposium on Human Identification, Oct 2003

Sex Determination PCR from human buccal cells

Male amplification
 X = 157 bp, Y = 200 bp
 Female amplification
 X = 157 bp

Multiplex PCR *directly* from human buccal cells without DNA extraction
 Hotstart PCR protocol activates polymerase and lyses cells
 20 cycles PCR after hotstart
 Short "clean-up" injection removes debris, followed by diagnostic injection
 Female genotype results in a single 157-bp product
 Male genotype results in a 157-bp product (X) and a 200-bp product (Y)

Lagally et al., Lab-on-a-Chip, 1, 102 (2001)

15 minutes for PCR amplification and detection

From Richard Mathies presentation at 14th International Symposium on Human Identification, Oct 2003

Virginia DNA Testing of Felon Arrestees

As of January 1, 2003, any individual arrested for a violent felony crime (Code of Virginia § 19.2-310.2:1) must provide a buccal sample for DNA analysis, with the resultant profile incorporated into the Virginia DNA Data Bank (Code of Virginia § 19.2-310.5).

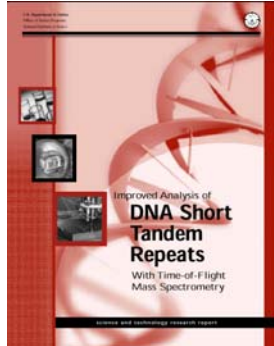
Since January 2003

- Buccal swab collected upon arrest
- DNA sample processed within 72 hours
- DNA profile searched against state database (national database does not currently allow searches for individuals prior to conviction)
- If a match results, then arrestee is detained and later prosecuted
- From Jan 2003 – Dec 2003, VA processed 7,836 arrestee samples (not all analyzed) and scored 63 hits against their state database (*Profiles in DNA*, 2004, 7(1):3-5)

Time-of-Flight Mass Spectrometry

Why it will not become widely used...

Recent NIJ Publication

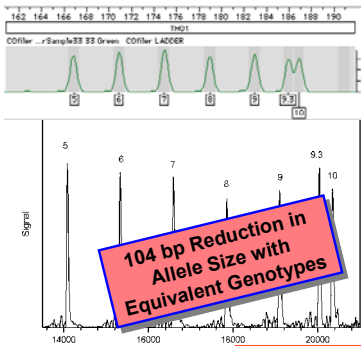


Final Report for NIJ Grant 97-LB-VX-0003 (work done at GeneTrace Systems Inc.)

- Describes new primer sets that are close to the STR repeat regions
- Many of these primers are being used in miniplex STR assays under development
- Y SNP multiplex primer sets are described
- 10plex mtSNP assay for HV1 and HV2 detailed

<http://www.ojp.usdoj.gov/nij/pubs-sum/188292.htm>

TH01 Alleles: CE vs. Mass Spec



ABI 310 Result

9.3 allele: 1071 sec
10 allele: 1073 sec

Mass Spec Result

9.3 allele: 203.3 μ sec
10 allele: 204.8 μ sec

Presented at ISFG 1999 Meeting

Redesigned primers for mass spec work

Timing for Data Collection

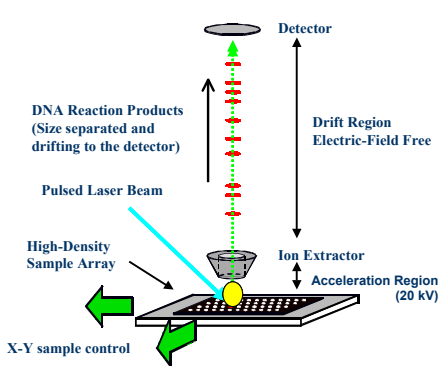
Laser pulse (10 nsec)	Wait (500 nsec)	Turn on voltages for ion optics (+20 kV)	Extract DNA ions	Turn off voltages
REPEAT process 100+ times			Collect spectrum for ~300,000 nsec	

All this occurs in less than 5 seconds per sample

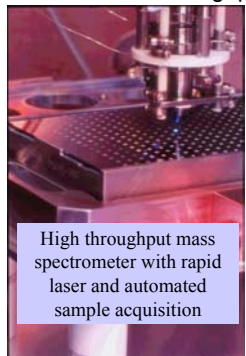
Sum multiple spectra into final sample spectrum

Data processing and genotype determination

Time-of-Flight Mass Spectrometry (TOF-MS)



Demonstrated Throughput at GeneTrace Systems



- 384 samples processed routinely in ~45 min (best was 96 samples in 2 min)
- ~4,000 samples in 11 hours on single mass spec and 3 robots
- averaged around 2,000 samples daily at GTS per instrument (Jan-Aug 1999)
- **most samples run as singleplex reactions** but demonstrated 10-plex SNP assay and 3-plex STR assay

High throughput mass spectrometer with rapid laser and automated sample acquisition

<http://www.atp.nist.gov/atp/success/genet.htm>

Improvements in Information Throughput with Multiplexed Markers and Multiple Capillaries

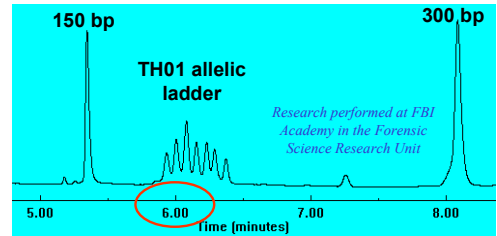
Time required to obtain each genotype...

	Single capillary (ABI 310)	16 capillary array (ABI 3100)	96 capillary array (ABI 3700)
	Each run: 30 min	Each run: 45 min	Run: 2 h 46 min
#Markers Multiplexed	1800 s (per capillary)	2700 s (per capillary)	9960 s (per capillary)
1	1800 s (30 min)	169 s (2.8 min)	104 s (1.7 min)
8	225 s	21 s	13 s
16	113 s	10.5 s	6.5 s

From Butler, J.M. and Vallone, P.M. (2004) High-throughput genetic analysis through multiplexed PCR and multicapillary electrophoresis. *PCR Technologies: Current Innovations* (2nd edition), Weissensteiner, T., Griffin, H.G., Griffin, A. (Eds.), CRC Press: Boca Raton, Chapter 11, pp 111-120.

Technology Implementation Takes Time

First Rapid STR Typing with Capillary Electrophoresis



Performed in December 1993

FBI did not start running casework samples using STRs and CE until January 1999

Where is the Future Going?...
Miniaturization and Portability



Palm Pilot (handheld computer)



NanoChip™ from Nanogen (Miniature Bioassay Device)