

# Sensors and Controls Workshop

## Summary Report



by

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## Executive Summary

Higher operating efficiencies, emission reductions, improved reliability, and lower operating costs are benefits that the power industry can realize with the utilization of sensors and controls. However, for the power industry to derive the maximum benefit from sensors and controls, improvements in existing technologies and novel approaches to challenging measurements are needed.

Recognizing the importance of sensors and controls, the U.S. Department of Energy (DOE) National Energy Technology Laboratory (NETL) sponsored a sensors and controls workshop on April 17 to 18, 2001, in Washington, DC. The workshop focused on identifying technology needs in sensors and controls for existing fossil-energy power plants as well as future facilities conceived under the Vision 21 Program. Forty-six experts from 29 organizations, including private industry, research laboratories, academia, and government agencies, attended the workshop.

The meeting opened with keynote speakers from NETL and the private sector. NETL officials spoke of the Vision 21 and advanced research programs. Speakers from the Electric Power Research Institute (EPRI) and Delphi Automotive Systems Research Laboratory discussed the improvements realized with their respective operation through the use of sensors and controls.

NETL keynote speakers Robert Romanosky and Carl Bauer emphasized that developing sensor and control systems plays a critical role in DOE Office of Fossil Energy Vision 21 Program, clean coal activities under the Power Plant Improvement Initiative, and the proposed Clean Coal Power Initiative. The Vision 21 Program is aimed at providing technologies for ultra-clean fossil-fuel-based energy production with 60- to 75-percent efficiencies and near zero emissions. The program also uses a modular approach to present opportunities to not only generate power, but also co-produce clean fuels, chemicals, steam, and other useful products. The ultra-high efficiency and environmental performance goals of the Vision 21 Program mean that facilities must operate at optimum conditions, while adapting in real-time to changes in load and feedstock. These are challenging performance goals. They will require advanced control and sensing systems that can be adapted and optimized in real time. To improve the overall plant performance of existing power plants, one of the most cost-effective methods is to update the sensor and control systems.

Robert Frank, Director of the Instrumentation and Control Center for EPRI, presented the results of a demonstration project that retrofitted the Tennessee Valley Authority (TVA) Kingston Station Power Plant Unit 9 with updated sensors and controls. Benefits derived from that project included improvements in heat rate, reduction in loss-on-ignition and nitrogen oxides (NO<sub>x</sub>) emissions, and improved plant reliability and responsiveness. Mr. Frank also discussed potential benefits available to existing U.S. fossil-based power systems. It was estimated that a 1-percent improvement in efficiency gained from a controls-and-sensors retrofit would result in

\$409 million in annual fuel savings. A 1-percent increase in availability, as a benefit of improved control and accurate sensing, would result in an additional 5,000 MW of capacity without the addition of power generation equipment.

Galen Fisher of Delphi Automotive Systems Research Laboratory discussed the benefits—improved efficiency and reduced emissions—that sensors, controls, and diagnostics have brought to the automotive industry.

Parallel discussions were held on advanced combustion/gasification, turbines, fuel cells, and environmental controls to identify and prioritize near-term (0–5 years) and long-term (5–15 years) needs. Near-term needs may improve existing power-plant performance as well as meet Vision 21 Program goals. Long-term needs are aimed primarily at supporting the Vision 21 Program. Following identification of prioritized needs, breakout sessions were held on emerging sensor and control technologies.

Workshop participants recommended that sensors need to be developed or improved for on-line or in-situ applications under harsh conditions (high temperature, high pressure, corrosive environment, and presence of particulate). Sensor development needs to focus on robustness and accuracy, while balancing longevity with cost. Self-diagnostic and drift quantification capabilities of individual sensors will be an essential feature of new “smart” sensors.

Balancing the fuel/air ratio in combustion systems is a key to improving power generation efficiency and reducing emissions. To achieve an optimum fuel/air ratio where thermal NO<sub>x</sub> formation is lowest and flame stability is acceptable, several areas of measurement and control are of interest: flame quality, fuel supply, physical conditions, and chemical composition of the combustion zone. Flame quality data can be extracted by a variety of methods, including acoustic, electrical, and optical technologies. However, the challenge is to transform the data into meaningful information that can be used by the control system.

In the area of fuel supply, accurate on-line measurement of solid fuel flow needs to be developed. While microwave, electric, and acoustic technologies have been attempted, more work is still needed. In addition to flow rate, feedstock characterization was identified as a long-term need for use with advanced control systems. As alternative fuels are being utilized, this measurement will grow in importance. Accurate on-line feed-stock characterization should help proper mixing of fuels, ensure appropriate heat content, allow predictive control of the combustion process, and manage contaminants appropriately throughout the system.

Accurate monitoring of the physical conditions within combustion and gasification systems remains a high-priority need, because current on-line technologies cannot withstand the harsh conditions, particularly those found inside gasifiers and turbines. While the specific applications for a gasifier and turbine differ, the primary need is to develop materials and technologies capable of accurately detecting gas path and surface temperatures (as high as 3,000 °F for gasifiers and 4,000 °F for turbines) in high-pressure corrosive environments.

Sensors to monitor chemical composition, primarily emission constituents, remain a high priority. On-line, in-situ measurement systems capable of performing near the combustion zone

are seen as essential for an active, integrated control system where emission information is used as real-time input for plant-operation adjustments. Examples include on-line mercury measurements, in-situ NO<sub>x</sub> sensors, and on-line particulate monitors (size and concentration). While some of these methods are commercially available, most measurement tools have been designed for stack monitoring and need to be improved for use near the combustion zone.

The development and implementation of advanced controls were discussed in every session and were identified as important needs for both existing and future power generation facilities. Participants recognized that existing facilities could benefit substantially from implementing advanced computerized control systems that are commercially available. Identified areas of interest included integrated control for total plant optimization, improved modeling of combustion and instability, standardization, and dynamic controls. To facilitate DOE's Vision 21 Program with its modular yet interdependent components, an umbrella approach was deemed appropriate. A high-level control system may also be used to track feedstock supply, system output, maintenance, and cost. At the individual modular-system level, the need to develop smart feedback or feed-forward controls utilizing neural networks and predictive models was discussed. Validation of models was also deemed an important feature of advanced controls development.

Valuable information was gained from the participants' input at the workshop. Information sharing was viewed as mutually beneficial for NETL's sensors and controls program as well as the participants. The information compiled in this report will assist in aligning NETL's Advanced Research Program development efforts in sensors and controls with both the DOE Vision 21 Program and future funding considerations. While it is recognized that more discussions are needed, particularly in the areas of advanced controls and emerging sensor technology, the information contained in this report may serve as a basis for the research and development community to focus its efforts more effectively in the higher priority areas.



# I Introduction

The U.S. Department of Energy (DOE) National Energy Technology Laboratory (NETL) is the nation's largest fossil-fuel research organization. NETL leads DOE's efforts to develop cleaner, more efficient, and more cost-effective technologies for fossil fuel uses. One of these efforts is the development of advanced process control strategies and technologies, including advanced sensors and intelligent controls, so that power generation equipment operates in a safe, efficient, and environmentally sound manner. NETL has recognized that innovations in sensors and controls are needed as a concurrent effort to foster the full-scale implementation of new, more efficient power generation technologies. In addition, existing power plants benefit greatly with installations of new sensors and controls. Retrofits of this kind replace outdated instrumentation and controls of limited capability and result in many high value benefits at comparably low costs.

Specific benefits for both existing and future power generation facilities include lower operating and maintenance costs, higher efficiencies, reduced emissions, enhanced responsiveness to market and load fluctuations, and increased availability. These benefits are significant, especially in a deregulated market with high demands for power.

An example of the benefits obtained from upgrading instrumentation and controls is the Tennessee Valley Authority (TVA) Kingston Plant Unit 9 where a distributed control system and modern instrumentation increased the heat rate, improved loss on ignition (LOI), reduced nitrogen oxides (NO<sub>x</sub>), and led to overall improvement in plant performance.

Improved performance leads to significant savings and profits. Approximately \$409 million can be saved annually in existing fossil-based power plants in the United States by implementing advanced sensor and control technology. This estimate, provided by the Electric Power Research Institute (EPRI), was based on a 1-percent increase in efficiency. Other benefits include improved plant availability and enhanced responsiveness to load changes. A 1-percent increase in availability will make an additional 5,000 megawatts (MW) of capacity available without major capital investments. Higher efficiency will improve control of NO<sub>x</sub> and carbon monoxide (CO) emissions and reduce greenhouse gases and solid waste. Increased efficiency reduces fuel costs for individual plants, which positively impacts the facility's competitiveness in the open market. For a 500 MW unit similar to the Kingston Number 9 Unit, approximately \$390,000 can be saved in fuel per year with a 1-percent increase in efficiency through the use of advanced sensor and control technology. A 1-percent increase of availability for the same plant will generate an additional profit of \$1.5 million at a retail price of \$0.06/kWh.

To tap these potential benefits, NETL is supporting advancements in sensor and control technology, including sponsoring a 2-day workshop on April 17 to 18, 2001, in Washington, D.C. The workshop aimed to identify and prioritize sensor and control needs for power generation technologies. The purpose was to identify those needs that enhance the performance of existing facilities and enable the full-scale implementation of Vision 21 power generation

technologies. Short-term (0-5 years) development priorities would support existing and future facilities, and a long-term (5-15 years) goals would primarily support the Vision 21 Program.

A summary of the general session is provided along with information from seven individual breakout sessions. Four sessions focused on the sensor and control needs for advanced combustion and gasification, turbines, fuel cells, and environmental control technologies. Follow-up sessions focused on the relevant technologies and issues in (1) physical measurement and diagnostic sensors, (2) chemicals and emission sensors, and (3) advanced controls.

NETL's Power Systems Advanced Research Program, in the Office of Coal and Environmental Systems, will use the information to shape their program in sensors and controls. This program is aimed at supporting novel or revolutionary technologies that will drive advancements in sensors and controls. The program will also capitalize on NETL's ability to screen and accept risk associated with novel technologies as well as the ability to deploy successful sensor and control technologies concurrent with advanced power generation technologies.

## II General Session Summary

General session presentations provided an overview of NETL's programs and outlined the benefits derived from implementing advanced instrumentation sensors and controls. Robert Romanosky, Product Manager for Power Systems Advanced Research at NETL, and Carl Bauer, Associated Director for the Office of Coal and Environmental Programs, introduced NETL and the DOE Vision 21 Program. The importance of advanced sensors and controls with respect to the Vision 21 Program, and the opportunities for novel approaches through the Advanced Research Program, were discussed. Robert Frank, Director of EPRI's Instrumentation and Control Center, described current activities in instrumentation, sensors, and control deployment. He presented the benefits from retrofitting TVA's Kingston 500-MW unit with advanced controls and instrumentation. Galen Fisher, of Delphi Automotive Systems, described the sensors and controls used in automobiles to improve the fuel efficiency, reduce emissions, and enhance diagnostic capability. The four presentations illustrated the importance of sensors and control research, and gave the attendees useful background information for the breakout discussions.

Robert Romanosky conveyed the goals of the workshop and the roles that sensor and control development plays in the Power Systems Advanced Research (PSAR) and Vision 21 programs. The PSAR program is crosscutting research and development (R&D) that bridges the gap between fundamental science and advanced engineering by supporting research that overcomes technical barriers and explores innovative concepts. NETL's instrumentation, sensors and controls systems (ISCS) programs will collaborate and crosscut other areas to develop technologies applicable to power generation and environmental control technologies. The PSAR program also encompasses programs in coal utilization science, materials, metallurgical processes, bioprocessing, university coal research, historically black colleges and universities (HBCU), and small business innovative research (SBIR). The Vision 21 Program is a long-range, industry-driven effort to develop ultra-clean, fossil-fuel-based energy plants with unprecedented efficiency. Sensors and controls have been identified as an enabling technology for Vision 21 plants.

Carl Bauer introduced NETL's missions and programs, emphasizing the dramatic growth in world energy use. To meet the growing need for affordable, clean energy, the abundant U.S. coal resources need to be tapped. The environmental issues related to fossil-energy power systems will continue to be addressed. Technology efforts like those at NETL have helped to significantly reduce pollutant emissions since 1970, including sulfur oxides (SO<sub>x</sub>), NO<sub>x</sub>, and particulate matter from coal powered plants. Current programs are aimed towards multi-pollutant control, including fine particulates (PM<sub>2.5</sub>) and mercury, and greenhouse gas reduction.

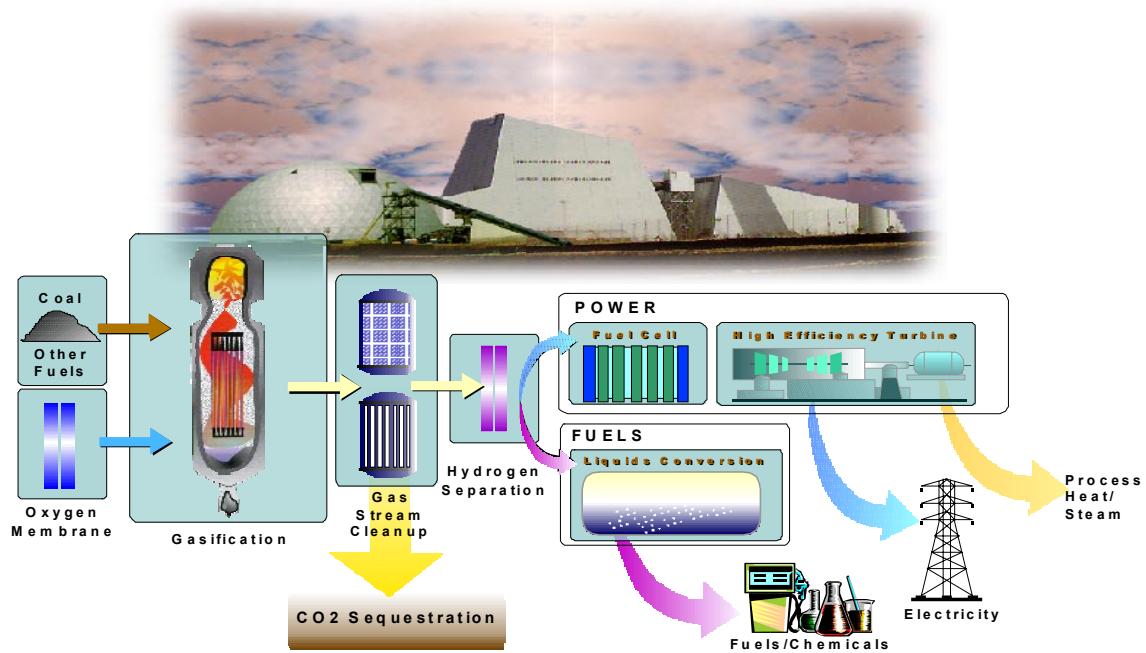
The time-phased and market-driven strategy consists of the following three phases:

1. Develop environmental control and efficiency improvement technologies for existing fleets by 2005;

2. Develop next generation gasification, advanced combustion systems, improved materials, and advanced sensors and controls for retrofit/re-powering markets by 2010; and
3. Integrate advanced enabling technologies, including gas separation, carbon dioxide (CO<sub>2</sub>) capture, fuel cells, advanced turbines, novel gasifiers for Vision 21 plants, and carbon sequestration by 2015.

Robert Frank described the research and development activities at EPRI's instrumentation and control (I&C) center at the Kingston Fossil Plant near Knoxville, Tennessee. The I&C center participated in retrofitting TVA's Kingston Unit 9 with a distributed control system (DCS) and upgraded the instrumentation. The retrofit significantly improved heat rate, reduced loss on ignition (LOI), and reduced NO<sub>x</sub> emissions. The improvements generated financial and environmental benefits. To illustrate the potential benefits obtained from sensors and controls, Mr. Frank said that a 1-percent improvement in efficiency will yield over \$390,000 in savings in fuel costs for an average 500-MW plant. A more significant benefit is the improvement of plant availability and agility. A 1-percent increase in plant availability will generate \$1.5 million in additional gross profit for a 500-MW plant at a retail price of \$0.06/kWh. Other benefits include reduced emissions, solid waste, and disposal costs.

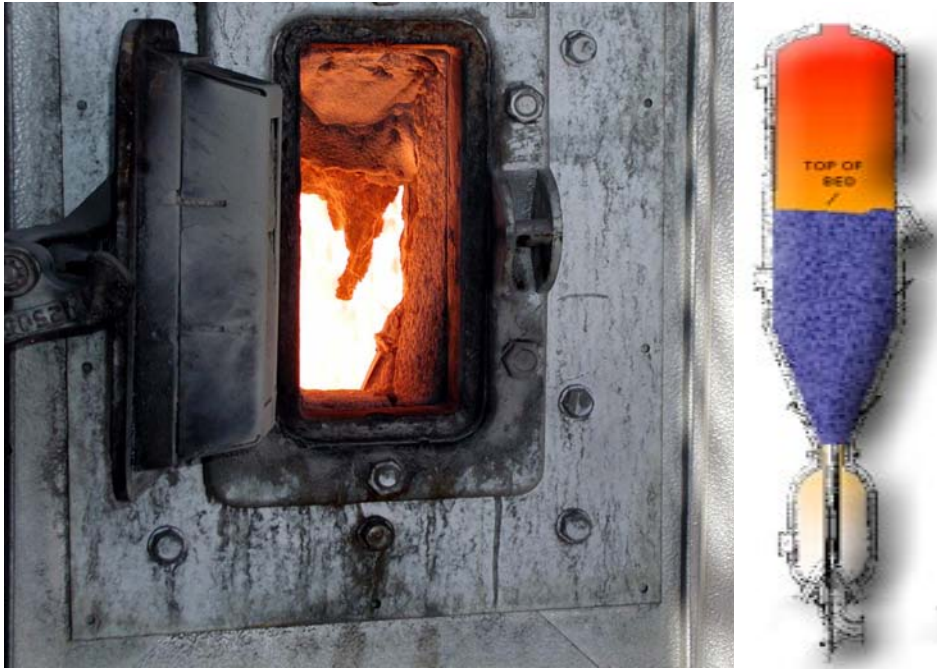
Galen Fisher of Delphi Automotive Systems discussed the improved fuel efficiency and emission performance obtained through the integrated use of advanced sensors and controls. The automobile's integrated control and sensor system may serve as a reference for other industries that convert fossil energy to power. Approximately 12 different sensors are utilized in the power train of today's automobiles to keep the air and fuel ratio at an optimum range. Sensor outputs for airflow, temperature, fuel, and oxygen content in the exhaust gas are fed to a control system to maintain an optimum air/fuel (A/F) ratio while driving. Sensor and control systems are also important for emission performance: a three-way catalytic converter that reduces CO, NO<sub>x</sub>, and hydrocarbon emissions. Because the three-way catalytic converter for reducing emissions only works at a very narrow range in A/F ratio, the successful reduction of all three pollutants depends critically on the accurate closed-loop control of the A/F ratio. The automobile's electronic control module and sensors provide this accurate closed-loop control of the A/F ratio.



**Virtual Schematic of Vision 21 Energy Plant**

## III Breakout Session Summary I Power Generation Technologies

### III.A Sensor and Control Needs for Advanced Combustion and Gasification



Sensor and control needs for advanced combustion and gasification include existing and future facilities. The discussion focused on systems that utilize coal as the primary feedstock. The needs identified for advanced combustion and gasification are similar, but sensor specifications and applications differ, since conditions in a gasifier are more severe than those found in a boiler. General instrumentation and sensor needs are outlined in Table 1. Table 2 outlines participant feedback for advanced controls. The data were not assigned a priority because of time limitations.

R&D on diagnostics and distributed sensing were identified as needs; however, these points were not discussed in detail because of time limitations and lower priority. The session concluded with the following general recommendations from the participants:

- Rugged, robust, and reliable sensors are essential for the complex and harsh environment of advanced, Vision 21 combustion and gasification technologies. Instrumentation and sensors should (1) include self-calibrating capabilities and have high reliability and longevity; (2) be designed for and operate at high pressures and high temperatures; and (3) be corrosion resistant. However, cost should be balanced with performance and longevity.

- Development of combustion stoichiometry controls and required sensors for air and fuel staging are necessary for the near term and long term.
- Solid and gas fuel flow actuators must be developed to close feedback loops.
- DOE needs to work with industry to develop standards for sensors and controls. Such standards will facilitate modularity.

**Table 1: High-Priority Sensor Needs for Advanced Combustion and Gasification**

| Sensor Need  | Purpose  | Time Frame                             | Comments  |
|--|--|--|---|
| Flame Imaging Sensor   | Flame image is an important indicator of combustion status. It can be used to check the A/F ratio for improved efficiency and lower emissions.   | 0-5 years                              | <ul style="list-style-type: none"> <li>• Optimal sensor should be spatially resolved and provide a three-dimensional account of the flame.</li> <li>• May monitor one or all characteristics, including species, temperature, uniformity, flame shape.</li> </ul>   |
| Particulate Sensor<br><br>On-line Particle Measurement Instrumentation   | Particulate sensors are used for both pre- and post-combustion processes.<br><u>Pre-combustion applications:</u> <ul style="list-style-type: none"> <li>• Monitor fuel particle size for complete combustion.</li> <li>• Improve fuel sizing operations.</li> <li>• Monitor particulate in gas stream to protect turbines.</li> </ul> <u>Post-combustion applications:</u> <ul style="list-style-type: none"> <li>• Fine particulate (PM<sub>2.5</sub>) monitoring and control (also see Table 4 ).</li> <li>• Dust/soot control (e.g., diesel soot).</li> </ul> | 0-5 years<br><br>and<br><br>5-15 years | <ul style="list-style-type: none"> <li>• Sensing/determining particle size is a high priority.</li> <li>• Measuring/determining particulate concentration is a high priority.</li> <li>• Particle velocity is another important property.</li> <li>• Low-density stream for post-combustion applications, and dense stream for pre-combustion applications.</li> <li>• Flue gas application for combustion (also see Table 4).</li> <li>• High temperatures, elevated pressures, and corrosive conditions expected in gasification applications.</li> </ul> |
| Solid Feedstock Flow Measurement<br><br>On-line Solid Feedstock Analysis | Solid feedstock flow is another important quantity to be measured to control A/F ratio, so that better efficiency and lower emissions can be achieved.<br><br>Feedstock analysis will further quantify the Btu and other components in the fuel stream for efficient combustion and effective emission reduction. Analysis is also important for fuel blending to facilitate usage of opportunity fuels.   | 0-5 years<br><br>5-15 years            | <ul style="list-style-type: none"> <li>• Must withstand high temperatures.</li> <li>• Must be an on-line measurement.</li> <li>• Coal is the primary solid fuel for flow measurement.</li> <li>• Alternative fuel flow measurement is a long-term need.</li> <li>• Improvement to solid level indication is also needed.</li> <li>• Btu, composition, water/moisture, contaminants are properties for on-line feedstock characterization.</li> <li>• Monitor on-line fuel contaminants such as mercury.</li> </ul>  |
| Emission, Toxic, Contaminant Sensors                                     | Emission control is a major concern for combustion and gasification. In addition to analyzing feedstock as discussed above, measurement of the combustion product (also see Table 4) is required to control combustion/gasification. Other contaminants (such as alkali) will cause equipment deterioration: e.g., fouling, deposition, and corrosion.   | 0-5 years                              | <ul style="list-style-type: none"> <li>• On-line sensors for real time monitoring and control.</li> <li>• Ability to operate under harsher conditions than in the stack.</li> <li>• Detect total mercury and speciated forms.</li> <li>• Combined flue gas sensing (e.g., NO<sub>x</sub>, SO<sub>2</sub>, Hg).</li> <li>• On-line gaseous alkali monitor for gasification.</li> <li>• Detect carbon content in ash.</li> <li>• Tar monitor.</li> </ul>  |

**Table 2. High-Priority Control Needs for Advanced Combustion and Gasification**

| <b>Control Need</b>                                   | <b>Purpose</b>   | <b>Time Frame</b>        | <b>Comments</b>   |
|---|--|--------------------------|---|
| Fast Boiler Control and Lean Burn Control             | Fast boiler control can accommodate changes in demand and feedstock. Lean burn control is aimed at minimizing NO <sub>x</sub> emissions. | 0-5 years                | <ul style="list-style-type: none"> <li>• Response time for actuators is a limiting factor that should be addressed.</li> <li>• Some commercial packages for fulfilling this purpose need be examined.</li> </ul>  |
| Solid Flow Control                                    | Controlling solid flow is an important component in adjusting and maintaining the A/F ratio.   | 0-5 years and 5-15 years | <ul style="list-style-type: none"> <li>• Solid feedstock and coal flow splitter by metering or actuation.</li> <li>• Achieve homogeneous gas/particle flow.</li> </ul>  |
| Closed Loop A/F Control and Optimization              | Closed loop control can more accurately control A/F ratio to enhance efficiency and reduce NO <sub>x</sub> emissions.                    | 0-5 years                | <ul style="list-style-type: none"> <li>• Similar to needs listed above.</li> <li>• Improve overall system control by controlling A/F ratio and NO<sub>x</sub> emissions.</li> <li>• Downstream systems will perform better.</li> <li>• Closed-loop control will require actuators with fast response time and high accuracy.</li> </ul>             |
| Signal Standardization                                | Signal standardization will permit open communication and systems integration.   | 0-5 years and 5-15 years | <ul style="list-style-type: none"> <li>• Standardize signals to control system for entire plant.</li> </ul>   |
| Neural nets, Dynamic Controls, And Predictive Control | More advanced controls are aimed at making the system more adaptive to changes in load and feedstock.                                    | 0-5 years and 5-15 years | <ul style="list-style-type: none"> <li>• Capture operator's knowledge.</li> <li>• Smart logic-based controls and real-time optimization.</li> <li>• Simultaneously respond to rapid changes in feedstock, load demand, and other transients.</li> <li>• Need adequate number of sensors or instrumentation to support advanced controls.</li> </ul> |

### **III.B Sensor and Control Needs for Turbines**





The gas turbine breakout session discussed several sensors and control needs that were identified from previous reports or workshops. A discussion topic list, provided in Appendix C, was reviewed by the participants and prioritized with primary consideration for natural gas turbines.

Participants identified an overwhelming need to develop sensors that are in-situ, non-intrusive, or embedded to provide a real-time account of turbine operation and component status. However, one of the primary barriers is the limited access to penetrate the turbine with in-situ methods. Embedding sensors are a potential area for development, but the basic need is to develop materials, technologies, or approaches that can withstand high temperatures and respond rapidly. After overcoming the barriers of access and temperature, a total sensing approach is desired to enhance the operation and reliability of gas turbines. Although turbine manufacturers did not participate, participants acknowledged that implementation of in-situ or embedded sensors will likely proceed through manufacturers.

High-priority items were ranked as near-term goals to enhance the operation of existing turbines as well as facilitate the development of new turbines. (See Table 3 on the next page.)

### **III.C Sensor and Control Needs for Environmental Controls**



New or significantly improved technologies, sensors, or controls are to facilitate the operation of environmental control technologies. For this breakout session, some of the environmental control technologies considered were electrostatic precipitators (ESPs) and

**Table 3. High-Priority Sensor and Control Needs for Turbines**

| <b>Sensor or Control Need</b>             | <b>Purpose</b>   | <b>Time Frame</b>       | <b>Comments</b>  |
|---|--|-------------------------|--|
| Particulate Sensor                        | Monitor particulate in fuel and inlet flows to turbine.  | 0-5 years               | <ul style="list-style-type: none"> <li>• Low ppm level particulate sensor for fuel and air flows.</li> <li>• Used for general turbine operation and protection.</li> </ul>   |
| Fast Pressure Sensor                      | Monitor operation and performance of turbine.  | 0-5 years               | <ul style="list-style-type: none"> <li>• Millisecond response time needed.</li> <li>• Able to detect or measure absolute or differential pressure.</li> </ul>  |
| Air- and Fuel-Flow Sensors                | To control individual nozzle or burner for system optimization.  | 0-5 years               | <ul style="list-style-type: none"> <li>• Couple with other sensors for closed-loop control.</li> <li>• Flow sensors are to maintain high efficiency and reduce emissions.</li> </ul>   |
| Flame Monitor                             | Needed to monitor and control combustion to maintain high efficiency and ensure reliability.             | 0-5 years               | <ul style="list-style-type: none"> <li>• Monitor combustion zone, flame stability.</li> <li>• High temperatures may be a barrier for some on-line continuous technologies.</li> </ul>  |
| Temperature Sensor                        | Temperature sensors give key information on working medium and equipment status.                         | 0-5 years               | <ul style="list-style-type: none"> <li>• Monitor surface temperature (up to 4,000 °F).</li> <li>• Monitor gas path temperature (up to 4,500 °F).</li> <li>• Materials limitations.</li> </ul>  |
| Sensor to Monitor Thermal Barrier Coating | To protect turbine components.   | 0-5 years               | <ul style="list-style-type: none"> <li>• On-line monitoring of thermal barrier coating degradation.</li> <li>• Sensors will likely need to be embedded.</li> <li>• High temperature in the hot-gas-path region.</li> </ul>   |
| Control Algorithms and Software           | Real time, rapid, and remote data collection and analysis to optimize operation and predict maintenance. | 0-5 years               | <ul style="list-style-type: none"> <li>• Work is ongoing in this area but focused only on the turbine operation.</li> <li>• Transient conditions, startups, and shutdowns may also benefit from rapid data collection and interpretation.</li> </ul>   |
| Integrated Controls                       | Optimize turbine operation with balance-of-plant operations.   | 0-5 years               | <ul style="list-style-type: none"> <li>• Does not appear to be a technical challenge.</li> <li>• Should be examined further given the new plant construction and expected growth.</li> </ul>   |
| Diagnostic Sensors                        | To monitor health of turbine components.   | 0-5 years<br>5-15 years | <ul style="list-style-type: none"> <li>• Off-line technologies are an option but on-line is preferred.</li> <li>• Specific diagnostics sensors other than those mentioned above need to be identified and prioritized.</li> <li>• Support a total sensing system for maximum performance.</li> </ul> |

selective catalytic reduction (SCR) systems for NO<sub>x</sub> control. Protecting fuel cells from catalyst poisons was also discussed. The regulation and control of mercury and the potential for carbon dioxide management through carbon sequestration were discussed as evolving environmental control technology areas.

Specific areas of interest in sensors and controls for environmental controls are listed on the next page.

- • Mercury
- • NO<sub>x</sub>
- • Particulate
- • Alkali
- • Ammonia
- • Flame quality
- • Feedstock characterization
- • Carbon monoxide, carbon dioxide
- • Hydrogen, hydrogen chloride
- • Integrated and predictive controls
- • Ash quality
- • SO<sub>x</sub>
- • Water quality
- 

Table 4 (on the next page) lists the higher-priority sensor and control needs; however, the relative importance of these measurements may shift as developments in the technology progress. Ash quality, sulfur dioxide, and water quality were also identified, but were not assigned a high priority relative to the other sensor and control needs.

### III.D Sensor and Control Needs for Fuel Cells

The following were identified as generic sensor needs for fuel cells:

- • Flow within the fuel-cell stack
- • Hydrogen (H<sub>2</sub>)
- • Carbon monoxide (CO)
- • Hydrogen chloride (HCl)
- • Oxygen (O<sub>2</sub>)
- • Sulfur species
- • Stack temperature with rapid response times

Participants in this session referred to the report *Sensor Needs and Requirements for Proton-Exchange Membrane and Fuel Cell Systems and Direct-Injection Engines* published by Lawrence Livermore National Laboratory. They felt that the report addressed the needs adequately and provided specifications for the proton-exchange membrane (PEM) fuel cell. The session did not have the expected attendees, therefore participants opted to forgo a detailed discussion. It was concluded that while fuel-cell development is highly proprietary, sensor development is an opportunity for shared technology development between vendors and manufacturers. More discussion is needed on other types of fuel cells (e.g., solid oxide).

**Table 4. High-Priority Sensor and Control Needs for Environmental Control Technologies**

| <b>Sensor or Control Need</b>      | <b>Purpose</b>  | <b>Time Frame</b>       | <b>Comments</b>  |
|------------------------------------|---|-------------------------|--|
| Mercury                            | Mercury emission is expected to be regulated. Sensors and instrumentation are needed to monitor emissions and facilitate the operation of mercury control technologies.                       | 0-5 years<br>5-15 years | <ul style="list-style-type: none"> <li>Proposed as a regulated constituent. Continuous emissions monitoring (CEM) system potentially required on power plants by 2007.</li> <li>Mercury analyzers are being developed and offered commercially, but accuracy improvements are needed.</li> <li>Quantifying the speciated forms of mercury (elemental, oxides, and chloride) is desired.</li> <li>Mercury chemistry research is under way.</li> </ul> |
| NO <sub>x</sub>                    | An important regulated constituent. Monitoring NO <sub>x</sub> near the combustion zone would provide real-time NO <sub>x</sub> data to optimize NO <sub>x</sub> control.                     | 0-5 years<br>5-15 years | <ul style="list-style-type: none"> <li>Commercially available analyzer is an extractive stack measurement where conditions are moderate.</li> <li>Develop accurate in-situ NO<sub>x</sub> sensors for high temperatures and harsher conditions than stacks.</li> <li>Use data for closed-loop control to optimize combustion and NO<sub>x</sub> control systems.</li> </ul>  |
| Particulate                        | Regulation of fine particulate (PM <sub>2.5</sub> ) is expected, and reliable monitoring technologies are needed.   | 0-5 years<br>5-15 years | <ul style="list-style-type: none"> <li>Continuous on-line monitoring is desired but is a substantial challenge.</li> <li>Detect particle size, distribution, and concentration.</li> <li>Spatial resolution desired over point measurements.</li> </ul>  |
| CO, HCl                            | To protect the catalyst in proton exchange membrane (PEM) fuel cells.   | 0-5 years<br>5-15 years | <ul style="list-style-type: none"> <li>Concern for PEM fuel cells; develop concurrently.</li> <li>Micro-sensor with high accuracy is needed.</li> <li>Not a concern for solid oxide or molten carbonate fuel cells.</li> </ul>   |
| Integrated and Predictive Controls | Current environmental sensors and analyzers are used to monitor emissions at the stack only. Integration of real-time data into plant control is expected to be more efficient and effective. | 0-5 years<br>5-15 years | <ul style="list-style-type: none"> <li>Integrate environmental monitoring systems with combustion and steam control systems.</li> <li>Use sensors/controls for combustion system to predict emissions and guide operation of the environmental control technologies, such as SCR and selective non-catalytic reduction (SNCR) systems.</li> </ul>  |
| Ammonia                            | Ammonia is used for NO <sub>x</sub> control technologies. A sensor is needed to reduce ammonia slip.  | 0-5 years               | <ul style="list-style-type: none"> <li>Optimize operation of SCR and SNCR systems.</li> <li>Combined with NO<sub>x</sub> sensor for high-temperature operation for closed-loop control.</li> <li>Prevent ash contamination.</li> </ul>   |
| CO <sub>2</sub>                    | CO <sub>2</sub> sequestration technologies need sensors to monitor operation and leakage.   | 5-15 years              | <ul style="list-style-type: none"> <li>Develop concurrently with carbon sequestration technologies.</li> <li>Investigate technologies to further define need.</li> </ul>   |
| Alkali                             | Gaseous alkali is corrosive, and needs to be monitored to protect high-temperature systems.   | 5-15 years              | <ul style="list-style-type: none"> <li>Measuring gaseous alkali would facilitate preventative maintenance.</li> <li>Applicable to high-temperature systems, such as gasifiers and turbines.</li> </ul>   |
| Feedstock Characterization         | Controlling emissions would be better achieved by on-line feedstock characterization to track fuel variation, fuel blending, and A/F ratio.   | 5-15 years              | <ul style="list-style-type: none"> <li>Supports Vision 21 Program and alternative fuel utilization.</li> <li>On-line solid fuel characterization will be a challenge.</li> <li>Can be used for predictive and dynamic control.</li> </ul>  |

## IV Breakout Session Summary II Sensor/Control Technologies

### IV.A Physical Measurements/Diagnostic Maintenance Sensors

Substantial improvements in basic physical measurements are needed in advanced power generation technologies where the environment is extremely harsh. While the specifications for a particular sensor vary with the power generation technology, the barriers associated with the measurements are similar. Hence, overcoming a barrier, such as high temperature materials, would benefit all applications irrespective of the specifications.

Previously identified sensor needs, listed below, are classified as physical or diagnostic measurement needs.

#### *Physical Measurements*

- • Temperature
- • Solids flow
- • Flame quality
- • Pressure

#### *Diagnostic Measurements*

- Corrosion
  - Thermal barrier coating failure
- Component degradation
  - Alkali
- Sensor self-diagnostic capability
- Refractory contouring

Because of time limitations, discussions focused on identifying emerging technologies for high-temperature measurement, solids flow, and flame quality. The general specifications for high temperature measurements outlined by participants are listed in Table 5.

**Table 5. General Specifications for High-Temperature Measurement**

| <b>Power Generation Technology</b> | <b>Temperature</b> | <b>Pressure</b> | <b>Other</b>   |
|------------------------------------|--------------------|-----------------|--|
| Gasification                       | 3,000 °F           | 600 psi         | • Slagging and reducing environment, particulates present.         |
| Turbine (gas path)                 | 4,500 °F           | 400 psi         | • Fast response, oxidizing environment.                            |
| Turbine (surface)                  | 4,000 °F           | 400 psi         | • Fast response, oxidizing environment .                           |
| Combustion                         | 1,500 °F           | 100 psi         | • Spatial resolution, oxidizing environment, particulates present. |

These general specifications can be further defined to evaluate a specific technology and application. For any application, the measurement technology must (1) be able to quantify drift, (2) self calibrate, and (3) be highly robust. Longevity goals outlined for the power generation technology include

- years for combustion,
- 1 year for gasifiers, and
- 500 hours for turbines (near-term) and 1 year (long-term).

The primary barrier identified for high-temperature measurements is the temperature limitations of the materials themselves. Work is under way to develop new materials and approaches. Barriers for non-contact optical technologies used to measure temperature include dirty windows, penetration into vessels, optical path contamination, light pipe chemical degradation, black body interference, low emissivity, and high cost. Of these, the primary issue is fouling of the optical window or ports, followed by cost for full-scale implementation. Table 6 lists potential or emerging technologies for high-temperature measurements.

**Table 6. Potential Technologies for High-Temperature Measurement**

| <b>Technology</b>             | <b>Type</b> | <b>Comment</b>  |
|-------------------------------|-------------|---|
| Thermocouple                  | Contact     | <ul style="list-style-type: none"> <li>• Thermocouples extend to 2,054 °C (3,729 °F) in a benign atmosphere. Wires limited to 3,500 °F in inert atmosphere and 1,200 °F in oxidizing environment.</li> <li>• Need sheaths that resist corrosion and erosion. However, response times may be slow.</li> </ul>  |
| Sapphire Probe/Fiber Optics   | Contact     | <ul style="list-style-type: none"> <li>• Sapphire probe/fiber optics can operate at temperature of 2,200 °C (3,992 °F) in a harsh atmosphere.</li> <li>• Issues for high-temperature gasification applications currently being addressed include corrosion, vibration, performance near upper temperature limitation, response in slugging environment.</li> <li>• Commercially available up to 1,200 °C (2,192 °F) in oxidizing atmosphere.</li> </ul> |
| Other Contact Technologies    | Contact     | <ul style="list-style-type: none"> <li>• Resistive transmission, resistance change, resistance temperature detector (RTD) 650 °C (1,202 °F) limit.</li> <li>• Thin film thermocouples, Johnson Noise.</li> </ul>  |
| Two-Color Infrared Absorption | Non-contact | <ul style="list-style-type: none"> <li>• Gas path measurement performs well in clean gas atmosphere with black body interference.</li> <li>• Used in steel mill gas-stream application up to 3,000 °F, but is separated from a corrosive or reducing atmosphere and operates at ambient pressure.</li> <li>• Using mid infrared (IR) tunable laser.</li> </ul>  |
| Phosphor Thermometry          | Contact     | <ul style="list-style-type: none"> <li>• Point, imaging, and surface measurement.</li> <li>• Up to 1,200 °C (2,160 °F) for surface measurement.</li> <li>• Current focus is to adapt technology for slugging gasifiers.</li> <li>• Hydrogen sulfide may poison the phosphor material.</li> </ul>  |
| Infrared Pyrometer            | Non-contact | <ul style="list-style-type: none"> <li>• Prototype developed by Texaco and tested to 4,500 °F at lab and pilot scale.</li> <li>• Being prepared for field testing at Tampa Electric's Polk Power Station.</li> </ul>  |
| Time Domain Refractometer     | Non-contact | <ul style="list-style-type: none"> <li>• Uses a probe and has length limitation, tested to 1 m.</li> </ul>  |
| Gas-Phase Acoustic            | Non-contact | <ul style="list-style-type: none"> <li>• Low frequency, not sensitive to particulate. Tested and used at temperatures ranging from 2,200 to 3,300 °F at atmospheric pressure.</li> <li>• Sensitive to types of gas, but material is not a barrier.</li> <li>• Relating acoustic data to temperature is application-specific.</li> </ul>   |
| Other Non-Contact Approaches  | Non-contact | <ul style="list-style-type: none"> <li>• FT/IR pyrometer , millimeter wave pyrometer, coherent anti-Stokes Raman scattering (CARS) system, and low-frequency pulse, broadband</li> </ul>  |

Several types of flows and flow regimes exist in a power generation facility. The critical flows identified include flow in a fuel cell, steam flow at high temperature, multiphase flow, solids flow, and energy content flow. The focus of the group's discussion was limited to solids flow with interest in balancing the A/F ratio to individual burners. Potential technologies for solid flow measurement are listed in Table 7.

General specifications identified for solids flow measurement include:

- Temperatures ranging from 200 to 350 °F,
- Pressures ranging from 0 to 400 psi,
- Response time of 1 s to 1 min,
- Five percent relative accuracy,
- Up to 14 in. inside diameter (ID) and 20,000 lb/hr for individual burners, and
- Continuous measurement.

**Table 7. Potential Technologies To Determine Solid Flow Rate**

| <b>Technology</b>    | <b>Comments</b>   |
|----------------------|---|
| Microwave            | <ul style="list-style-type: none"> <li>• Relative measurement, sensitive to moisture and particle size.</li> <li>• Pipe geometry may affect measurement. Complex system to extract flow rate data.</li> <li>• Cost may be high. Being tested in the field.</li> <li>• No other competing technologies closer to commercialization.</li> </ul> |
| Static Charge        | <ul style="list-style-type: none"> <li>• Particles gather static charge as they move.</li> <li>• Moisture and rank dependent; outside interference.</li> <li>• Problems with alternative feedstocks may occur.</li> <li>• Being field tested and may be a low-cost technology.</li> </ul>   |
| Passive Acoustic     | <ul style="list-style-type: none"> <li>• Uses accelerometer at elbow to provide a relative measurement.</li> <li>• Technique is under development and a potentially low-cost approach.</li> <li>• Frequency interference needs to be examined.</li> </ul>   |
| Active Acoustic      | <ul style="list-style-type: none"> <li>• Technology to measure velocity and density.</li> <li>• Doppler to measure velocity and ultrasonic (500 kHz to 1 MHz) for density.</li> <li>• Two measurements stand alone. A relative measurement.</li> <li>• Technology is not commercial. Potentially a low-cost approach.</li> </ul>              |
| Beam Deflection      | <ul style="list-style-type: none"> <li>• Measures momentum.</li> <li>• Material probe deflection.</li> <li>• Material and sampling issues.</li> <li>• Erosion is a problem.</li> </ul>  |
| Metering Valves      | <ul style="list-style-type: none"> <li>• Commercially available.</li> <li>• Size limitations and maintenance issues. Not flow responsive.</li> </ul>  |
| Optical Technologies | <ul style="list-style-type: none"> <li>• Can be used for dilute phases. Not applicable to coal feed pipes.</li> <li>• Measure flow at the surface. These technologies require windows.</li> </ul>   |

Temperature and flow are basic measurements needed to control and optimize processes. Monitoring the flame control in combustion and turbine processes is also a primary function that facilitates control and optimization. The goal associated with measurement is to collect real-time data on flame characteristics and provide closed-loop active control of the combustion process and individual burners. Because of the high interest in this area, technologies to monitor flame quality were discussed and are summarized in Table 8.

Several technologies appear to be available to extract information about the flame. However, translating the data (without manual interpretation) into meaningful signals for the control system needs to be addressed. In addition, experience with implementing, operating and maintaining flame monitoring systems needs to be collected to document the benefits and identify improvement areas.

**Table 8. Technologies To Monitor Flame Quality**

| <b>Technology</b>        | <b>Comments</b>  |
|--------------------------|--|
| Flame Ionization         | <ul style="list-style-type: none"> <li>• Used to detect flashback in a turbine. Currently being tested on a turbine test rig.</li> <li>• Sensor is expected to be low cost, simple, and durable.</li> <li>• Technology is being investigated by NETL in-house researchers.</li> <li>• Efforts focus on relating signal to useful information for flame quality and control.</li> </ul> |
| Chemi-Luminescence       | <ul style="list-style-type: none"> <li>• Commercially available at reasonable cost.</li> <li>• Barriers associated with the accessibility of the turbine.</li> </ul>   |
| Flicker (High) Frequency | <ul style="list-style-type: none"> <li>• Commercially available at low cost.</li> <li>• More applicable to boilers.</li> <li>• Untried on turbines because of flame access issues.</li> </ul>  |
| Cameras<br>CCD, IR, UV   | <ul style="list-style-type: none"> <li>• Commercially available.</li> <li>• Unclear about sampling rate and timely electronic data interpretation.</li> <li>• Software and models need to be developed or debugged.</li> <li>• Issues with port access, port location, and maintaining line of sight need to be addressed, but are application-dependent.</li> </ul>                   |
| Photo-Acoustic<br>Laser  | <ul style="list-style-type: none"> <li>• Commercially available, but at high cost.</li> <li>• Has been attempted. Can be coupled with laser excitation to gain information.</li> <li>• Wave guides can be used to “listen” and gather specific data.</li> <li>• Used in automotive applications to detect leaks.</li> <li>• Data interpretation is a barrier.</li> </ul>               |
| Passive Acoustic         | <ul style="list-style-type: none"> <li>• Has been attempted at an R&amp;D level, but not on a full-scale combustion system.</li> <li>• Need to test on a single burner to develop pattern recognition.</li> <li>• Use chaotic processes and apply to neural net to relate acoustic data for control purposes.</li> <li>• Cost is expected to be low.</li> </ul>                        |

Micro-electrical mechanical (MEM) devices were also discussed briefly at the closure of the physical measurements/diagnostic maintenance sensors session. It was recognized that MEM devices have many useful applications and possibilities. However, the direct application of today’s MEM devices for power generation systems may not be appropriate for the near term, primarily because of their temperature limitations (600 °C/1,080 °F). In some cases, the presence of particulate in a stream or gas sample precludes the use of MEM devices. It was agreed that they are an exciting and promising field and may prove to be very useful for diagnostic purposes in power generation technologies. For the near term, MEM devices will act as an enabling technology that may help to reduce the size and cost of other instruments. A long-term goal is to identify suitable materials that withstand the higher temperatures found in today’s power generation systems.

## **IV.B Chemical/Emission Sensors and Instrumentation**

The specific sensor needs for environmental controls were identified in a previous breakout session along with other chemical sensors that would benefit power generation technologies. In this session, several high-priority chemical and emission sensor needs were discussed and are summarized in Table 9.



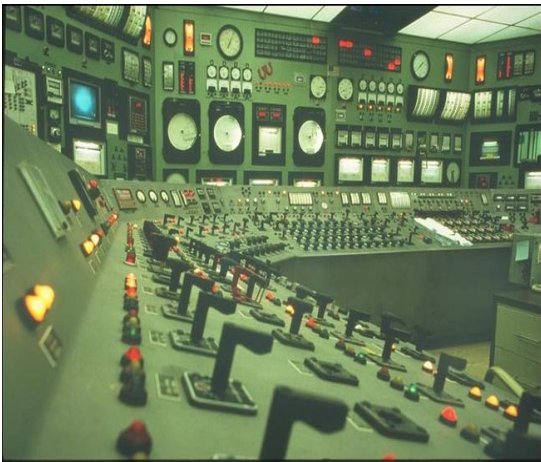
**Table 9. High-Priority Chemical and Emission Sensor Technologies and Applications**

|                 |                       |   |
|-----------------|-----------------------|---|
| Mercury         | Development Objective | <ul style="list-style-type: none"> <li>On-line or in-situ stack CEM for total mercury (0-5 years).</li> <li>On-line/in-situ technologies to measure speciated forms of mercury (0-15 years).</li> </ul>   |
|                 | General Comment       | <ul style="list-style-type: none"> <li>Total mercury expected to be regulated in the near term.</li> <li>Mercury chemistry evaluation will help development of measurement technologies.</li> <li>Detect mercury species (elemental, oxides, chloride) in addition to total mercury.</li> <li>Mercury analyzers for feedstock contaminants is an option.</li> <li>Measurement will help to develop mercury abatement technologies.</li> <li>Performance of existing mercury analyzers is unproven.</li> </ul>   |
|                 | Status                | <ul style="list-style-type: none"> <li>Laboratory technologies exist.</li> <li>On-line technologies for total mercury are entering marketplace.</li> </ul>  |
| NO <sub>x</sub> | Development Objective | <ul style="list-style-type: none"> <li>Reliable in-situ sensors suitable for stack emissions as well as accurate measurements close to the combustion zone.</li> </ul>  |
|                 | General Comment       | <ul style="list-style-type: none"> <li>Regulated constituent.</li> <li>In-situ sensors at stack remain a high near-term priority.</li> <li>In-situ sensors to monitor the combustion zone (near- and long-term goal).</li> <li>Combustion zone sensors will make SCR/SNCR systems more efficient.</li> <li>In-situ sensors close to combustion zone can optimize combustion processes.</li> <li>Combustion zone sensors need to withstand elevated temperatures (700-2,300 °F), particulate, and other potentially interfering combustion gases.</li> <li>NO<sub>x</sub> sensors are not commercially available or proven.</li> <li>Instrumentation validation for emission reporting can be very challenging.</li> <li>NO<sub>x</sub> mapping helps staged combustion and special A/ F control.</li> <li>Sensors can be used to monitor exhaust gases from turbine.</li> </ul> |
|                 | Status                | <ul style="list-style-type: none"> <li>Stack CEMs are commercially available.</li> <li>Extractive systems most common.</li> </ul>   |
| Particulate     | Development Objective | <ul style="list-style-type: none"> <li>Develop on-line technologies to measure particulate (2-10 μm) concentration and size distribution. Spatial resolution also desired.</li> </ul>   |
|                 | General Comment       | <ul style="list-style-type: none"> <li>Need to detect/measure particle size, concentration, and size and spatial distribution.</li> <li>Regulated emission.</li> <li>Beneficial to monitor performance of filters used in gasification and other gas cleanup technologies.</li> <li>Beneficial for turbine and fuel cell operation.</li> </ul>  |
|                 | Status                | <ul style="list-style-type: none"> <li>Current technologies use filter collection that can only measure total particulate with batch measurement.</li> </ul>  |
| CO              | Development Objective | <ul style="list-style-type: none"> <li>Detect low concentration levels of CO.</li> </ul>  |
|                 | General Comment       | <ul style="list-style-type: none"> <li>Required to tolerate 1,500 °F, 200 psi, and oxidizing, reducing environment, and variable O<sub>2</sub> content.</li> <li>High priority for low-concentration measurement in fuel cell operation.</li> <li>Can be used to monitor boiler and gasifier operation.</li> </ul>  |
|                 | Status                | <ul style="list-style-type: none"> <li>Extractive systems available.</li> </ul>   |
| HCl             | Development Objective | <ul style="list-style-type: none"> <li>Detect low concentration levels of HCl.</li> </ul>   |
|                 | General Comment       | <ul style="list-style-type: none"> <li>Required to measure at ppb level.</li> <li>Needed in the inlet of fuel cells and to monitor syngas cleanup.</li> </ul>   |
|                 | Status                | <ul style="list-style-type: none"> <li>Sensor not currently commercially available.</li> </ul>  |
| Alkali          | Development Objective | <ul style="list-style-type: none"> <li>Detect concentration of gaseous alkali species.</li> </ul>   |
|                 | General Comment       | <ul style="list-style-type: none"> <li>No reliable analyzer for low-level measurement currently exists.</li> <li>Needed for high-temperature atmospheres present in gasifiers.</li> <li>Help to protect turbine blades and facilitate preventative maintenance.</li> <li>Enhance on-line diagnostic capability.</li> <li>Sampling and analyzing gas at elevated temperatures is a barrier for accurate measurement.</li> </ul>  |
|                 | Status                | <ul style="list-style-type: none"> <li>Currently not commercially available.</li> </ul>   |

The following considerations were offered relative to the sensor needs outlined above and other gaseous species present in fossil-fuel based systems:

- Gas measurement sensors must be capable of operating at high temperature (3,000 °F).
- Monitoring hydroxy/methoxy (OH/CH) radicals for combustion controls should be included for temporal or spatial resolution.
- Spatially resolved sensors will provide the necessary data to validate models.
- Multi-component sensor arrays in a single sensing unit coupled with artificial intelligence to speciate and quantify gases is an emerging technology. Sensor-array electronic-noise-pattern recognition technologies could eliminate or minimize interference.
- Significant improvements in real-time gas analysis technologies have been made, but areas still open for improvement include tunable laser, robust in-situ probes, better sampling systems, low-cost gas speciation, and on-line solid fuel feedstock characterization.

## IV.C Advanced Controls



The importance of advanced controls was discussed throughout the workshop. Controls are a component of a facility or a unit that interact with and affect all aspects of its operation. Thus, improving controls presents opportunities to influence cost, power output, and environmental performance.

Participants in this session touched on several key issues and offered a wealth of suggestions for both existing and future facilities. The discussion notes have been divided into three categories: Vision 21 systems, existing facilities, and general considerations. It was recognized that while a lot of information was gained in this session, more discussion is needed

in the area of controls to develop the ideas and suggestions in greater detail, particularly for standardized communication and Vision 21 systems.

### **Considerations for Advanced Controls for a Vision 21 Modular Facility**

- The Vision 21 modular system will require an advanced, multi-level control system with a high degree of integration and utilizing a master/supervisory control-system approach.
- Multi-level control systems need to include control of the individual devices, followed by unit, process, system, and plant.
- The master/supervisory control system should include cost, supply, maintenance, load demand, eco-factors, emissions, and efficiency.
- A whole system approach, which includes integrated, multi-level advanced controls, must also include the relationship of the sensors and actuation with the control system. Improvements in sensors and actuators need to occur to derive the benefit from using advanced controls. The use of advanced control logic can compensate for areas where sensing is not available or for slow response times of sensors and actuators.
- Evaluations of Vision 21 systems are needed to determine specific areas for control system development.
- Control system development needs to be broader than just fuel cells, turbines, gasifiers, or boilers.
- System development should define, develop, and characterize performance with the following characteristics: timely, flexible, capable of dealing with complex system, robust, algorithmic, and smart.
- Simulation and engineering models are needed so options for control can be evaluated effectively.
- Highly integrated, multi-level advanced controls will need standardized communication to sense, control, and respond in a timely fashion.
- Advanced control systems will need self-diagnostic capabilities.
- Predictive controls, neural networks, and other advanced controls will benefit from total sensing systems with temporal and spatial resolutions.

### **Considerations for Advanced Controls to Improve Existing Facilities**

- There are many opportunities for current facilities to improve performance through control.
- Retrofitting is essential, and upgrades need to include digital controls.
- Several options for upgrading or replacing outdated control systems are commercially available.
- Unit upgrades are occurring along with installations of SCR and SNCR systems.
- Existing facilities need to evaluate their individual operations to determine specific improvement opportunities.
- The use of neural networks and other advanced control algorithms can prove to be highly beneficial for existing facilities.
- Universities are positioned for collaborative efforts to assist in system improvements, such as development of algorithms to overcome a specific problem.

### **General Considerations for Advanced Controls**

- Technical and non-technical barriers associated with total system integration need to be evaluated.
- Integrating environmental monitoring into the operational control system should be considered. Emission measurement made closer to the combustion region will enable collection of real-time data so that it can be utilized by the control system in a timely manner, thus providing tighter emissions controls.
- Concurrent with sensor and control development, opportunities to improve actuation should be pursued. Without fast and accurate actuation, the benefits of an advanced control system will be less than expected.
- Controlling dynamics is important and universities are positioned to assist with these issues.
- Whole system simulators are a good stepping stone and benchmark for advanced controls.
- Shortcomings in high temperature and pressure measurements exacerbate control difficulties.

## List of Abbreviations

|                 |  |
|-----------------|--|
| A/F             | air/fuel                                       |
| CARS            | coherent anti-Stokes Raman scattering (system) |
| CEM             | continuous emissions monitoring (system)       |
| DCS             | distributed control system                     |
| DOE             | U.S. Department of Energy                      |
| EPRI            | Electric Power Research Institute              |
| ESP             | electrostatic precipitator                     |
| FT              | Fourier Transform                              |
| HBCU            | historically black colleges and universities   |
| I&C             | instrumentation and control                    |
| ID              | inside diameter                                |
| IR              | infrared                                       |
| ISCS            | instrumentation, sensors, and controls systems |
| LOI             | loss on ignition                               |
| MEM             | micro-electrical mechanical                    |
| NETL            | National Energy Technology Laboratory          |
| NO <sub>x</sub> | nitrogen oxides                                |
| OH/CH           | hydroxy/methoxy                                |
| PEM             | proton-exchange membrane                       |
| PSAR            | Power Systems Advanced Research (program)      |
| RTD             | resistance temperature detector                |
| SBIR            | Small Business Innovative Research             |
| SNCR            | selective non-catalytic reduction              |
| SCR             | selective catalytic reduction                  |
| SO <sub>x</sub> | sulfur oxides                                  |
| TVA             | Tennessee Valley Authority                     |

•

## Appendix A. List of Participants

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# Appendix C. Workshop Agenda

## Tuesday, April 17, 2001

- 7:00 - 8:00 am            Registration / Continental Breakfast
- General Session**
- 8:00 - 8:40 am            *Welcome, Presentation of Workshop Goals, Overview of Vision 21*  
Robert Romanosky, Product Manager for Power Systems Advanced  
Research  
U.S. DOE, National Energy Technology Laboratory
- 8:40 - 9:10 am            *Current Research and Needs – Future Sensors and Controls Needs for the  
Power Industry*  
Rob Frank, Director  
EPRI Instrumentation and Control Center
- 9:10 - 9:40 am            *Automotive Sensors for Improved On-Board Control and Diagnostics*  
Galen Fisher, Principal Research Scientist  
Delphi Research Labs, Delphi Automotive Systems
- 9:40 - 10:00 am            *NETL's Perspective for Advanced Research in Sensors and Controls*  
Carl Bauer, Associate Director Office of Coal and Environmental Systems  
U.S. DOE, National Energy Technology Laboratory
- 10:00 - 10:20 am            Break - Adjourn to Breakout Sessions
- Breakout Sessions**
- 10:20 - 12:00 pm
  - Sensors and Controls for Advanced Combustion and Gasification
  - Sensors and Controls for Turbines
  - Sensors and Controls for Fuel Cells
  - Sensors and Controls for Environmental Controls
- 12:00 - 1:15 pm            Lunch (on your own)
- 1:15 - 3:45 pm            Breakout Sessions - Continued
- 3:45 - 4:10 pm            Break
- General Session**
- 4:10 - 5:00 pm            Presentation of Results from Breakout Sessions
- 5:00 pm                    Adjourn

## Wednesday, April 18, 2001

7:00 - 8:00 am Registration / Continental Breakfast

### **General Session**

8:00 - 8:15 am *Welcome, Overview of Funding Opportunities for Sensors and Controls*  
Robert Romanosky, Product Manager for Power Systems Advanced Research  
U.S. DOE, National Energy Technology Laboratory

### **Breakout Sessions**

8:20 - 12:00 pm

- Advanced Controls
- Physical Measurements/Diagnostic Maintenance Sensors
- Chemical / Emission Sensors and Instrumentation

12:00 - 1:15 pm Lunch (on your own)

1:15 - 3:00 pm Breakout Sessions – Continued

3:00 - 3:15 pm Break

### **General Session**

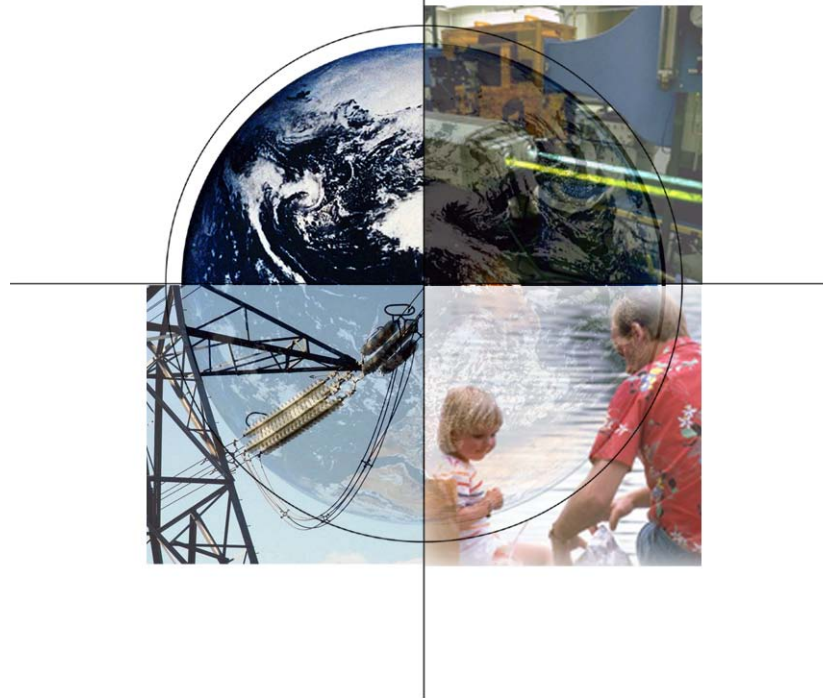
3:15 - 4:30 pm Group Presentation and Recommendations to DOE NETL

4:30 - 4:45 pm Acknowledgements, Adjourn



# The National Energy Technology Laboratory

## Sensors and Controls Workshop



Robert Romanosky, Advanced Research Product Manager



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# Workshop Objectives

- **Afford an opportunity for participation in the Sensors and Controls Program planning process**
  - Obtain perspective of industry, academia and government
  - Assist in formulating a roadmap for the Fossil Energy Sensors and Controls Program
- **Review and update the existing Vision 21 Sensors and Controls Roadmap**



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## The How of Workshop

- Attendees will be divided into groups, according to technology needs
- Discussion of sensors and controls needs in each area will be discussed and formulated
- Prioritization of needs will be determined from groups' perspective
- Presentation of groups' findings will be presented to workshop
- Workshop findings will be incorporated into a fossil energy sensors and controls roadmap



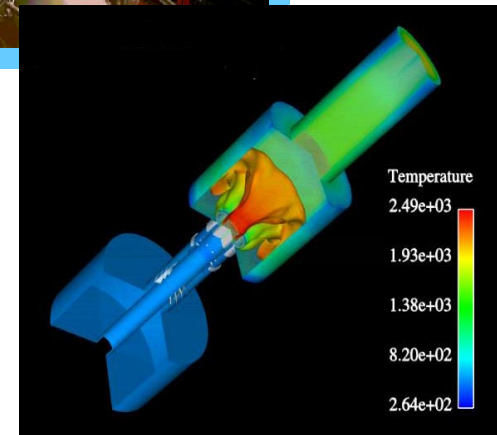
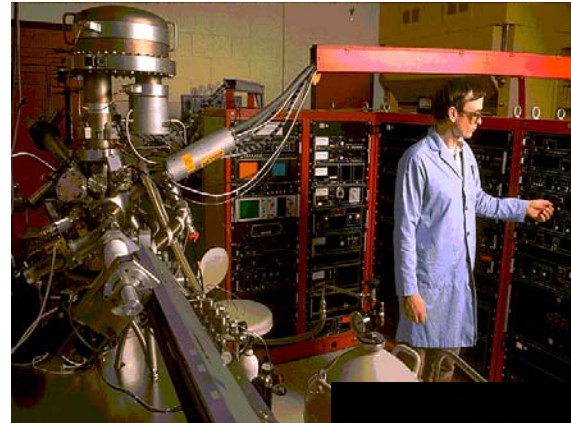
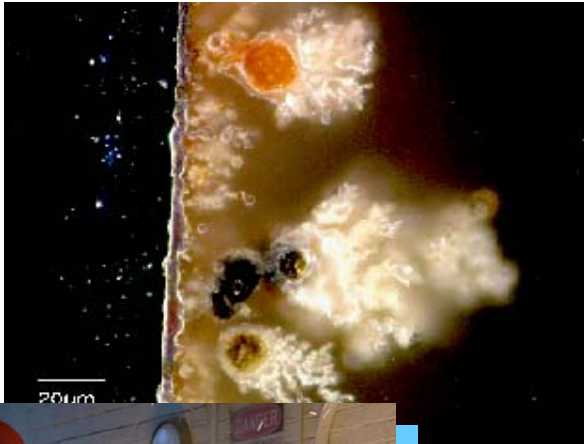
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# Workshop Product

- **Produce document defining the sensors and controls R&D needs in each of the technology areas**
  - Prioritize the R&D needs associated with each technology area
- **Provide data needed for the next step in roadmapping the Fossil Energy Sensors and Controls area**
- **Update Vision 21 sensors and controls roadmap**



# Advanced Research Program





# Advanced Research - Power Systems

*Ingenuity, innovation and implementation*

## Goal

- Extend state of knowledge in fossil energy technology by supporting development and deployment of innovative systems capable of improving efficiency and environmental performance while reducing costs



*Advanced materials consortium for ultra- supercritical power plants - NETL/ORNL/EPRI/CURC*

## Uniqueness

- Bridge gaps between fundamental science and advanced engineering to overcome technical barriers encountered by R&D programs
- Stimulate advanced research in new directions--explore innovative concepts to enhance pace of fossil energy technology development



*Mineral carbonation- NETL/ARC/LANL/ASU*



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# AR Program Areas

- **Coal Utilization Science (CUS)**
- **Materials**
- **Advanced Metallurgical Processes**
- **Bioprocessing**
- **University Coal Research (UCR)**
- **Historically Black Colleges and Universities/ Other Minority Institutions (HBCU/OMI)**
- **SBIR/STTR**



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# Advanced Research - Power Systems

## *Near-term Emphasis*

- Sensors and controls
- Advanced materials program development
- Virtual simulation for Vision 21 plants
- CO<sub>2</sub> mineral sequestration
- Bio-process research (sequestration, hydrogen, remediation)
- Align UCR to Vision 21 Program



# Advanced Research Plans for FY02

- **Initiate NETL Instrumentation, Sensors and Controls Systems (ISCS) Program through a solicitation to investigate and develop sensors and controls systems that crosscut all Product Lines for advanced power system market penetration**
- **Collaborate with NETL Materials Program to develop low-cost in situ sensors for advanced IGCC, other gasification and combustion systems**
  - **Develop sensors in parallel with gasifier and combustion technologies (instead as an afterthought)**
- **Development of reliable, robust, long-term durable and extremely low-maintenance front-end conditioning systems for established detection systems of selected continuous emissions monitors (CEMs)**



# ADVANCED RESEARCH PROGRAM BUDGET TRENDS (\$Million)

| <u>PROGRAM</u>                          | <u>FY 2000</u><br><u>APPR</u> | <u>FY 2001</u><br><u>APPR</u> | <u>FY 2002</u><br><u>REQ</u> |
|---|-------------------------------|-------------------------------|------------------------------|
| <b>AR</b>                               |                               |                               |                              |
| • Coal Utilization Science              | 6.3                           | 6.3                           | 6.3                          |
| • Bioprocessing                         | 1.4                           | 1.4                           | 1.4                          |
| • University Coal Research              | 3.0                           | 3.0                           | 3.0                          |
| • Materials                             | 7.0                           | 7.0                           | 7.0                          |
| • Center of Excellence                  | 0.0                           | 3.0                           | 3.0                          |
| <b>Total AR</b>                         | <b>17.7</b>                   | <b>20.7</b>                   | <b>20.7</b>                  |
| <b>Advanced Metallurgical Processes</b> | <b>5.0</b>                    | <b>5.2</b>                    | <b>5.2</b>                   |
| <b>TOTAL ADVANCED RESEARCH*</b>         | <b>22.8</b>                   | <b>30.1</b>                   | <b>26.7</b>                  |

\*Does NOT include: Coal Export Technology; Environmental Activities; Technical and Economic Analysis; International Program Support; International Capacity Building; HBCU, Advanced Fuel Cell Research.



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# Why Sensors and Controls

- **Fossil energy is critical to U.S. economy: 85% of energy use in the U.S. is supplied by fossil fuels; 53% of electric power is generated by coal.**
- **Deregulation demands lower electricity cost and improved grid connectivity.**
- **Infrastructure protection requires better monitoring.**
- **Increasing concerns on global climate change requires significantly higher efficiency and substantially lower carbon emissions.**
- **Environmental concerns mandate higher reductions in pollutant emissions.**



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# How Do Sensors and Controls Help?

- **BENEFITS:** Improve reliability, reduce operating and maintenance costs, enhance grid connectivity, enhance efficiency, reduce CO<sub>2</sub> and other emissions, and support economic development.
- **COSTS:** Cost less than the capital intensive equipment used in power generation.
- **PONTENTIAL:** Compared to automobiles, airplanes, and defense applications, the sensors and instrumentation used in power plants appear to have room for improvement, indicating R&D opportunities exist.



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# Strategies

- **Identify useful technologies**
- **Identify desired measurement**
- **Establish applicability of new technologies for the quantities to be measured (find a match)**
- **Identify key players**
- **Assemble the experts and stakeholders to discuss the findings**
- **Identify the key areas to start**





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# Desired Measurement (temperature, pressure, composition, monitoring and control)

- Online gas species ( $O_2$ , CO,  $NO_x$ ,  $SO_2$ , etc) and their distribution
- Ash/slag deposition and composition
- Monitoring ash deposition and filter status for gasification
- Nondestructive measurement for structural components
- On-line structural monitoring
- Intelligent power transmission and distribution through grid
- Temperature and pressure
- Trace element
- Wireless data transmission
- Process control and optimization



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# Challenges

- **Harsh environment (fly ash, high temperature, etc)**
- **Vibrations**
- **Robustness**
- **Ease of operation by plant staff**
- **Reliability**



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# VISION 21

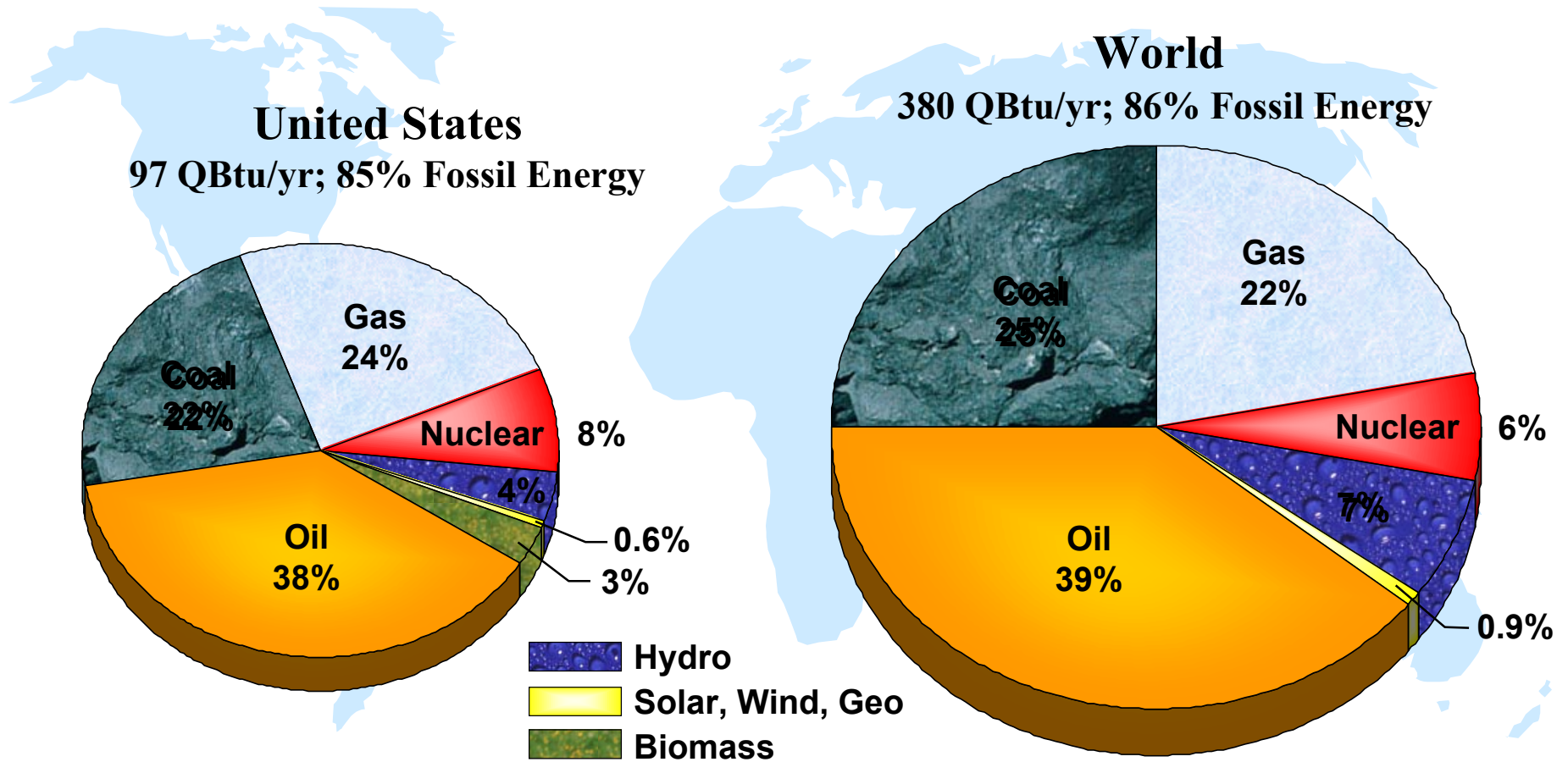
## Energy Plant of the Future



*National Energy Technology Laboratory*



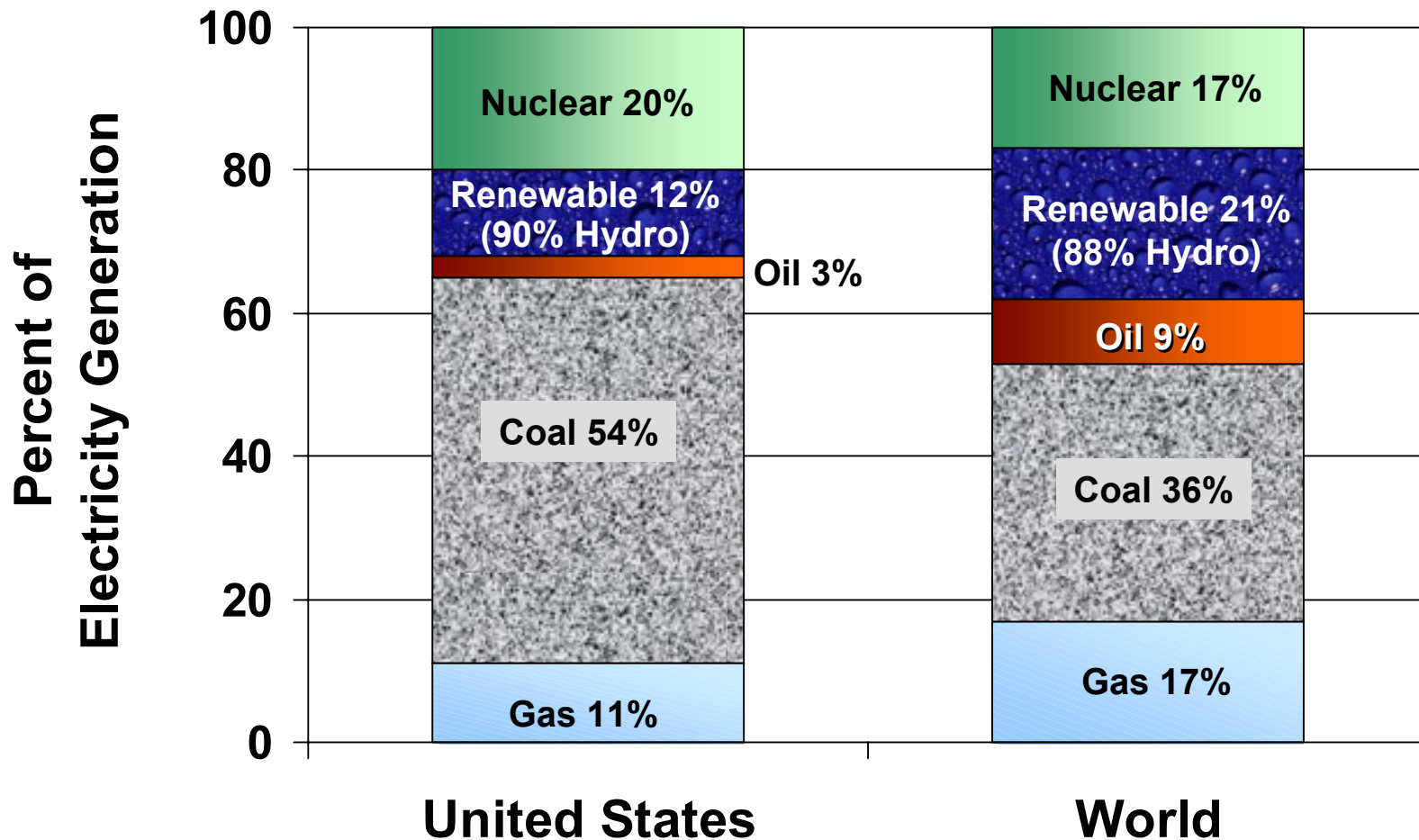
# Fossil Fuels Are the World's Dominant Energy Source



World Data from EIA96. Does not include non-grid-connected biomass.  
U.S. Data from Table 2 of EIA REA 97 & AEO98 Table A2

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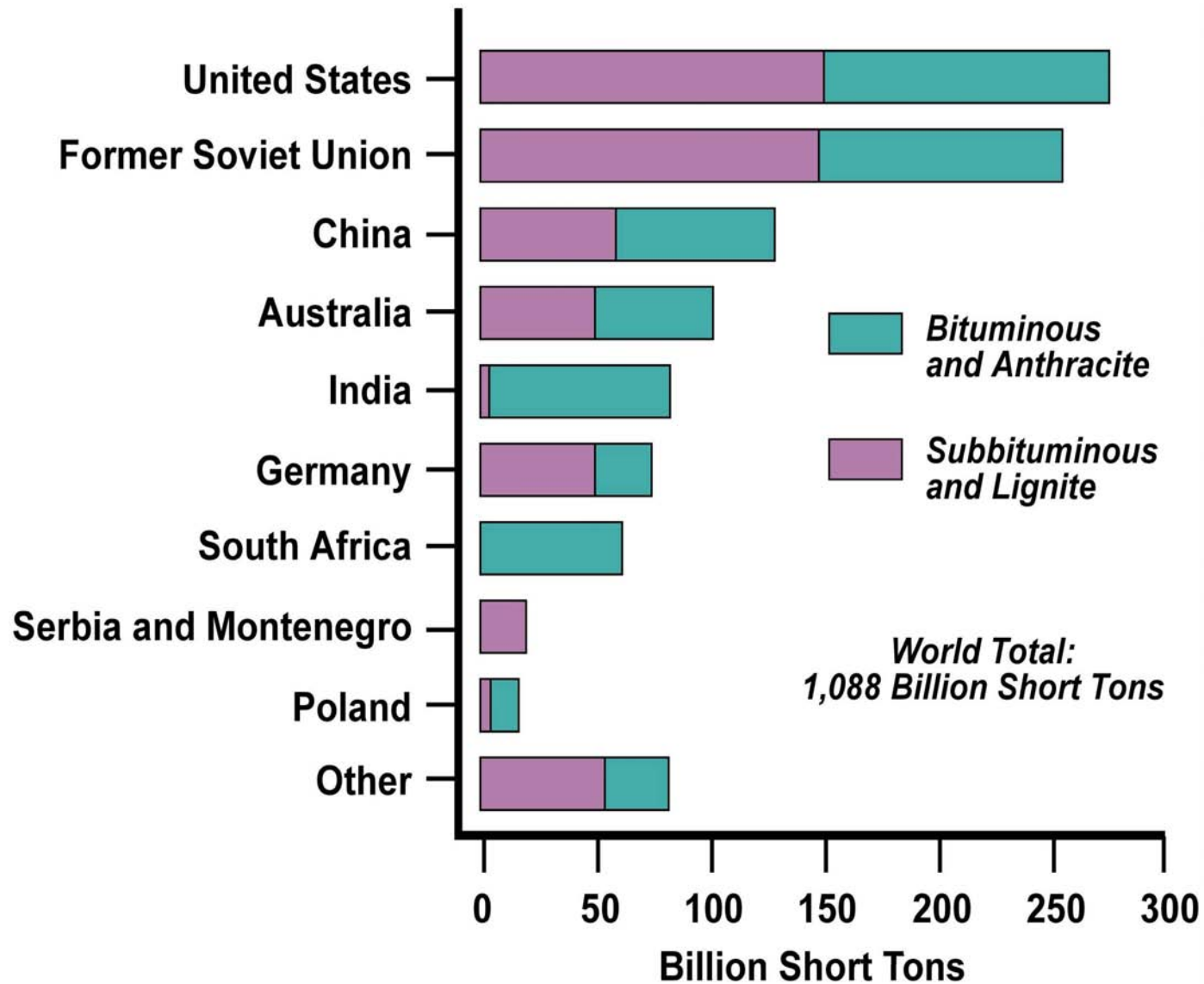
# Fossil Fuels Dominate Electricity Generation



*Data includes cogeneration. U.S. data is for fuel consumption for electricity  
World data: IEO 2000, Table 21  
U.S. data: AEO 2000, Table A2*



# World Recoverable Coal Reserves



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# The Vision

**Effectively remove environmental concerns associated with the use of fossil fuels for producing electricity and transportation fuels (at competitive costs)**



# Drivers for Vision 21

- **Recognition that fossil energy will be part of future energy mix**
- **Concern about environment, including global climate change**
- **Restructuring of energy industry**
- **Decreasing reserve margins and grid reliability issues**
- **Uncertain natural gas prices**
- **Recognition of value of “future options”**



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# The VISION 21 Program

## *The Program*

- Long-range, industry-driven R&D program to develop ultra-clean, fossil fuel-based energy plants
- Government/industry/academia cost-shared partnership
- Stresses technology innovation and a diverse mix of energy resources

## *The Challenge*

- Develop technology basis for Vision 21 energy plants with unprecedented efficiency and environmental performance
  - Focus on technology “modules”
  - Apply systems integration knowledge
  - Satisfy market needs



# Vision 21 Program Objectives

## Capital & Operating Costs/RAM

- Vision 21 must be competitive with other energy systems with comparable environmental performance

## Emissions

- < 0.01 lb/10<sup>6</sup> Btu SO<sub>2</sub> and NO<sub>x</sub>
- < 0.005 lb/10<sup>6</sup> Btu PM
- <1/2 organic compounds in *Utility HAPS Report*
- <1 lb/10<sup>9</sup> Btu Hg

## Schedule of Benefits

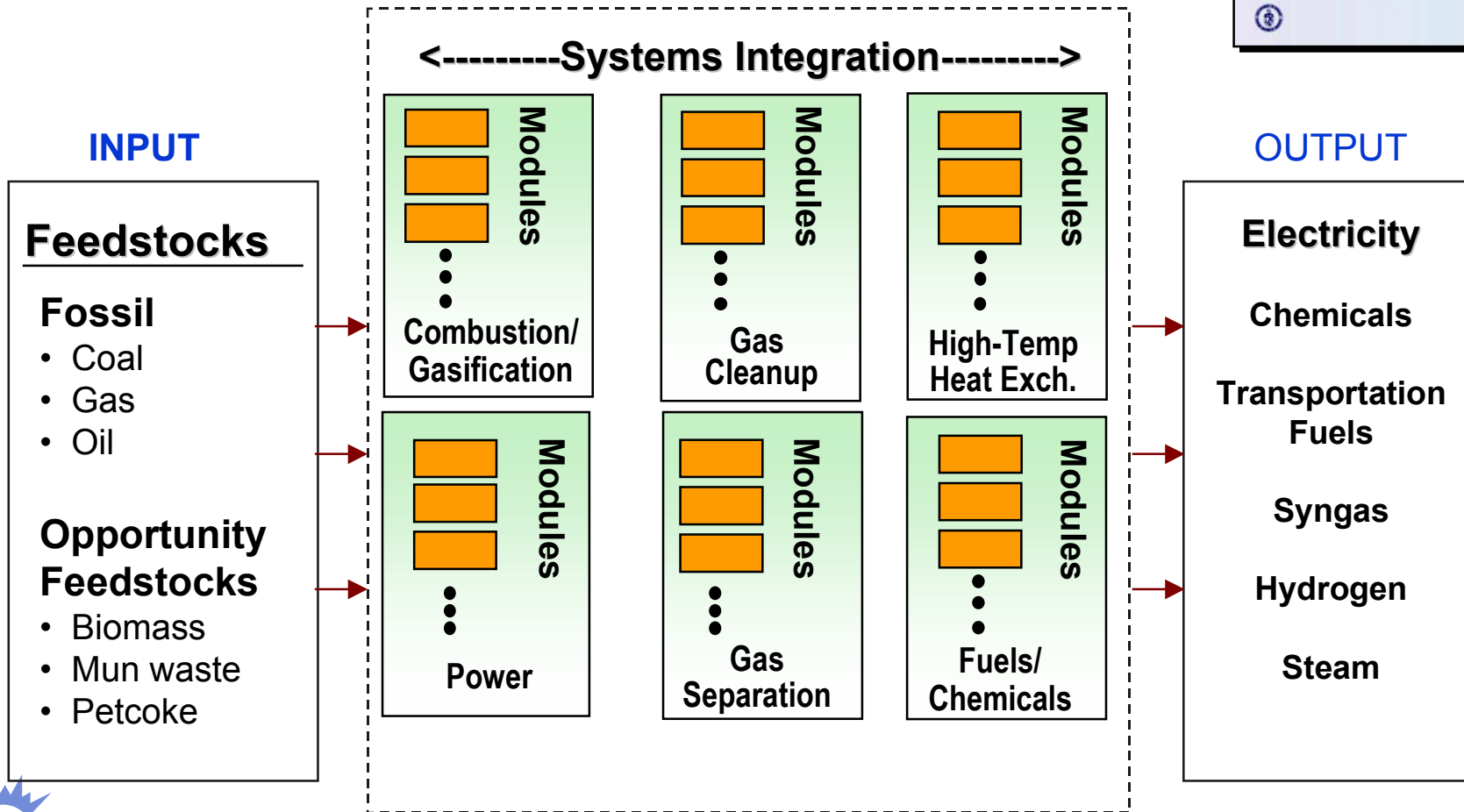
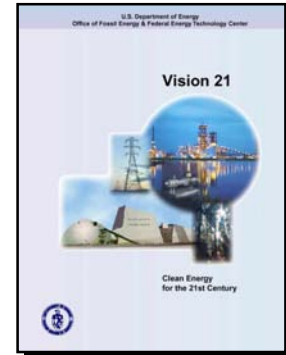
- Technology spinoffs by 2005
- Designs for modules by 2012
- Commercial plant designs by 2015

## Efficiency

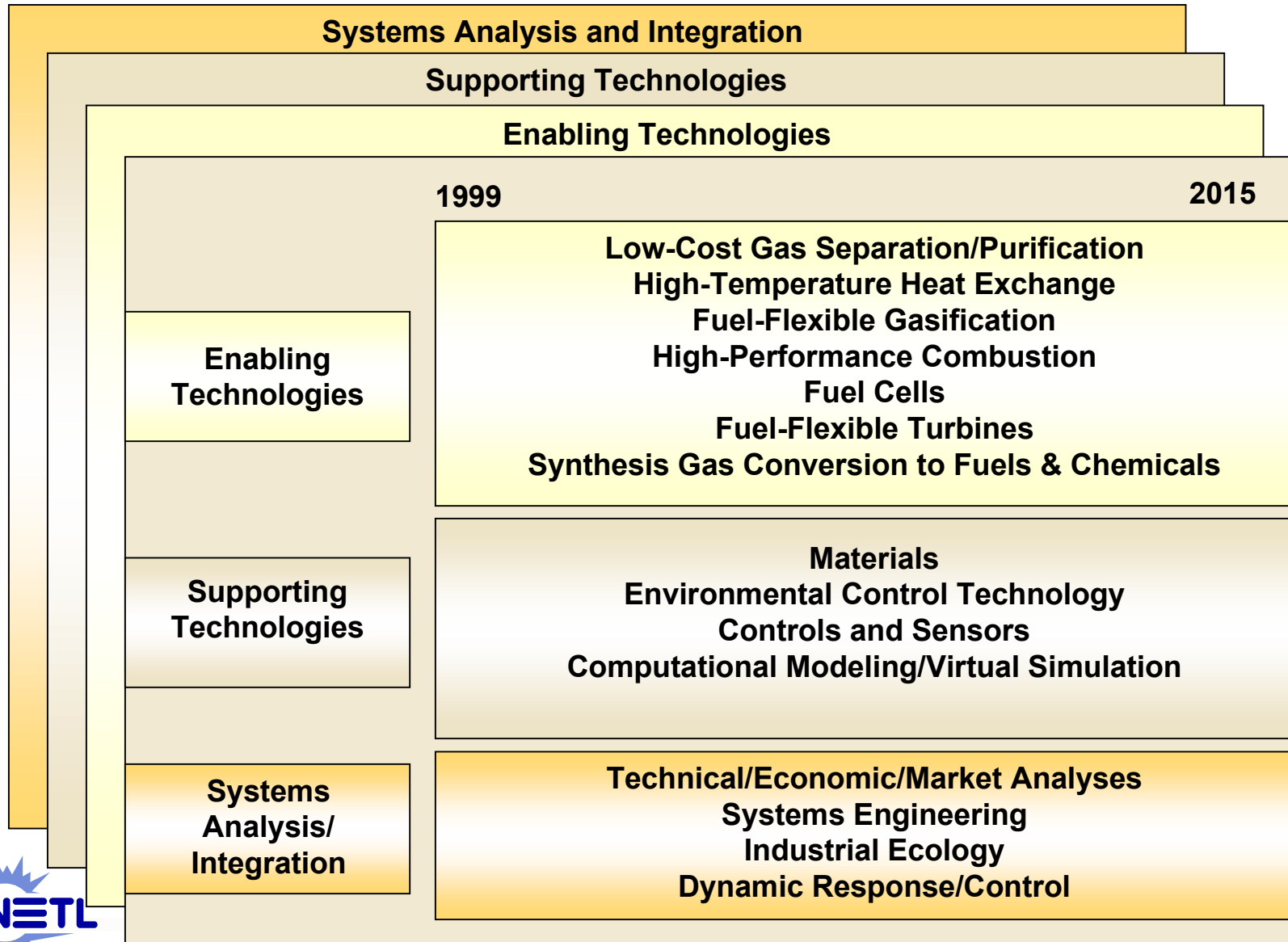
- Electricity generation
  - coal based 60% (HHV)
  - gas based 75% (LHV)
- Fuels only plants 75% (LHV)



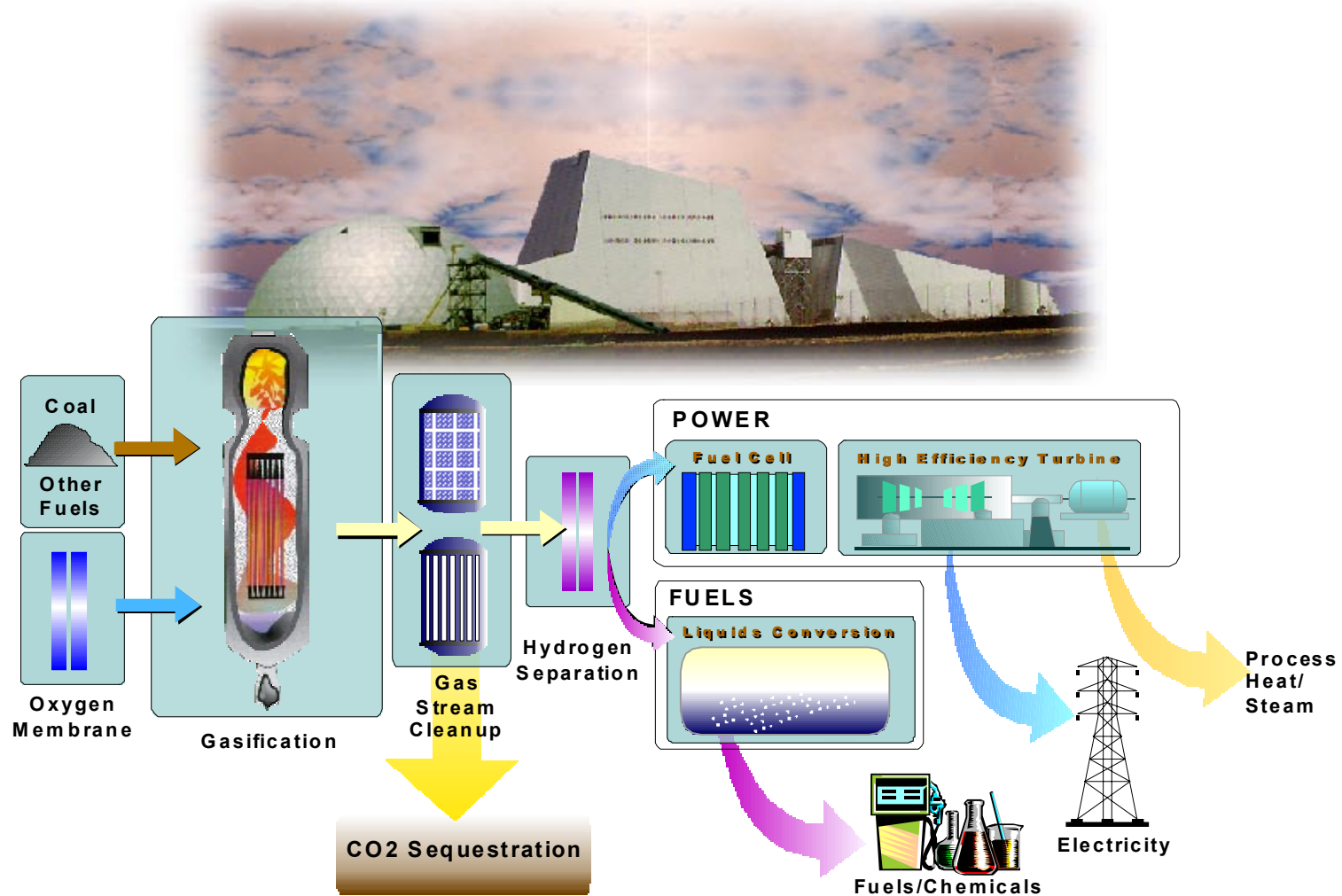
# VISION 21 Modular Technology



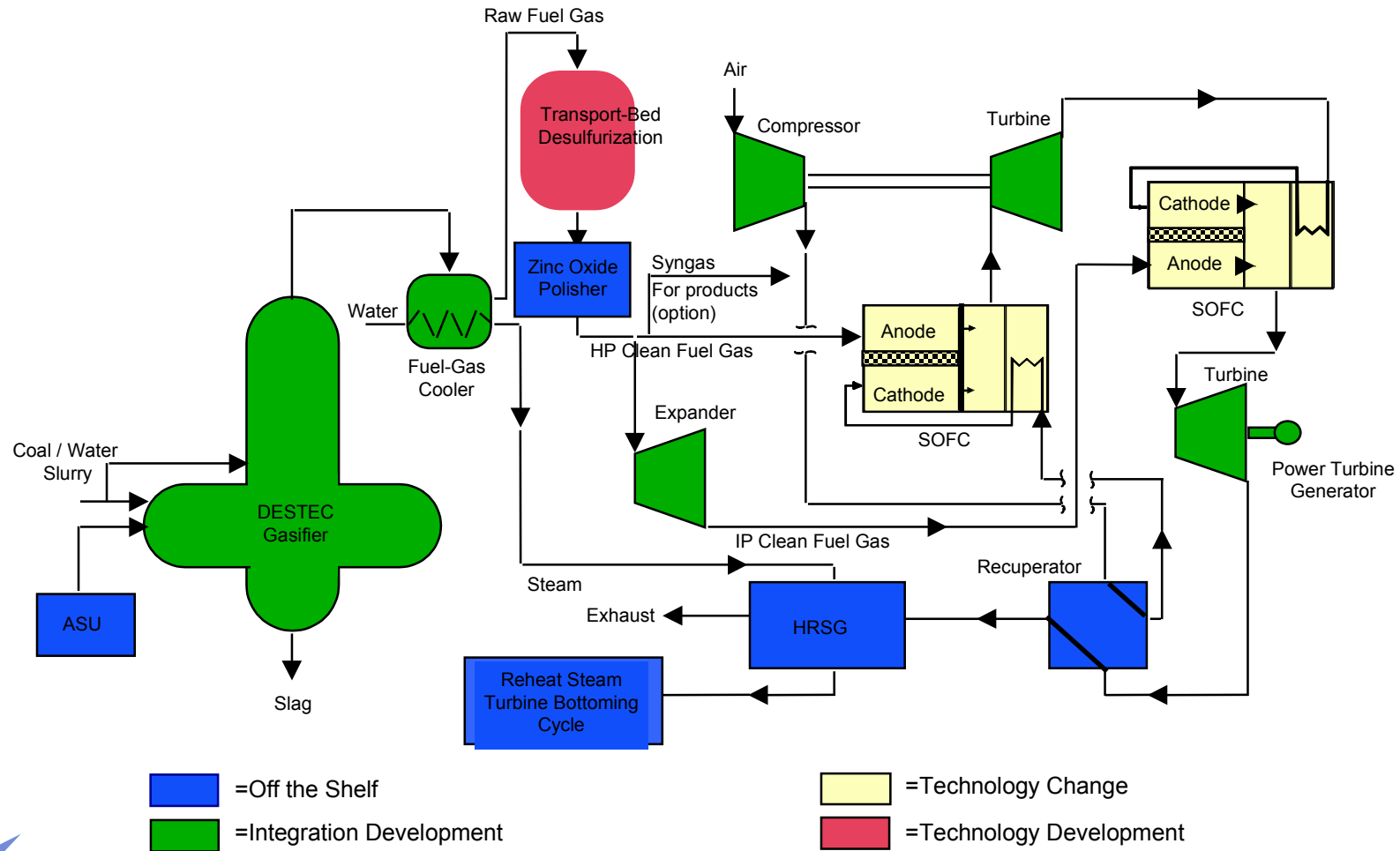
# VISION 21 Technology Roadmap



# VISION 21 ENERGY PLANT



# VISION 21 Fuel Cell/Gas Turbine Cycle



# VISION 21 Program

## New Projects Contribute to the Ultra-Clean Energy Plant

**Virtual Simulation**

**Gasification & Combustion**

**Systems Integration**

**Advanced Materials**

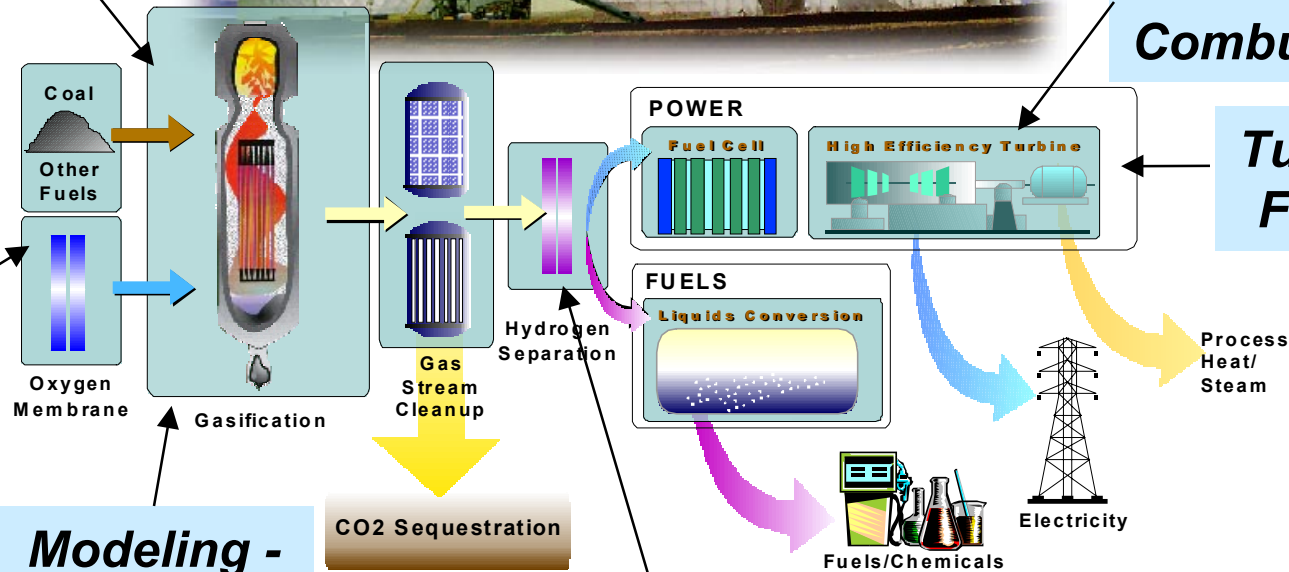
**Modeling - Combustion**

**Turbines & Fuel Cells**

**Oxygen Membrane**

**Modeling - Gas/Particle Flow**

**Hydrogen Membrane**



# New Projects Contribute to Ultra-Clean Energy Plant

- **Systems Integration**
  - National Fuel Cell Research Center
- **Computational Modeling & Virtual Simulation**
  - Reaction Engineering International
  - Fluent, Inc.
  - Princeton University
  - CFD Research Corp.
- **High-Temperature Materials**
  - Huntington Alloys
- **Gasification & Combustion**
  - Foster Wheeler
  - GE Energy and Environmental Research Corporation
  - Clean Energy Systems
- **Turbines & Fuel Cells**
  - Fuel Cell Energy
- **Advanced Separation Technology**
  - Siemens Westinghouse
  - Eltron Research
  - ITN Energy Systems





# What's Different About VISION 21?

## *Traditional Coal Plant*

- Based on single technology
- Emission control “added on”
- Produces electricity only
- Single point design
- Reliability by overdesign
- Simple controls

## *Vision 21*

- 
- Integrates multiple technologies
  - Industrial ecology
  - Multiple products
  - Defined design range
  - Reliability by smart design
  - Sophisticated controls



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# VISION 21

**“The goals for the Vision 21 Program are very ambitious. If these goals can be achieved, Vision 21 technologies would offer the United States, and the world, a new method of coal-based power generation that would have significant advantages over current methods.”**

***National Research Council***



# What's Important?

- Leapfrog performance improvement
- Near-zero environmental impact
- Zero CO<sub>2</sub> emission option
- Feedstock and product flexibility
- Industrial ecology
- Technology development focus
- Systems integration



# TECHNOLOGY AREA: Sensors and Controls

| Technology | Vision 21 Performance Objectives   | Vision 21 Cost Objectives  | Current Technology Performance  | Current Technology Cost  |
|------------|--|--|---|--|
| Sensors    | <ul style="list-style-type: none"> <li>Sensors to understand component performance, real-time plant performance, and the “health of plant equipment</li> <li>Sensors to support condition monitoring, non-destructive testing, and predictive maintenance tools</li> </ul> | <ul style="list-style-type: none"> <li>Cost of sensors for condition monitoring and specific unit operation control is integral with the technology module cost</li> <li>Cost of sensors for integrated plant operation is part of instrumentation and control. A reference I&amp;C cost objective for an oxygen blown gasification plant for power generation is \$35/kW</li> </ul> | <ul style="list-style-type: none"> <li>Most power plants are not equipped with state-of-the-art sensing capability – on-line analyzers for performance, condition monitoring measurements</li> <li>Sensors not currently available to meet Vision 21 plant needs</li> </ul> | <ul style="list-style-type: none"> <li>N/A</li> </ul>  |
| Controls   | <ul style="list-style-type: none"> <li>Information technology systems that permit real-time management of the power plant asset</li> <li>Closed loop process optimization</li> </ul>   | <ul style="list-style-type: none"> <li>See above for cost perspective</li> </ul>   | <ul style="list-style-type: none"> <li>PC based process control technology entering power plants</li> <li>Some open-loop process optimization (“advisory”)</li> </ul>   | <ul style="list-style-type: none"> <li>Estimated I&amp;C cost for oxygen blown IGCC plant of \$40-50/kW</li> </ul> |



# TECHNOLOGY AREA: Sensors and Controls

| Technology                            | Barriers  | Current Status   | Approach<br>0-5 yrs  | Approach<br>5-10 yrs  | Approach<br>10-15 yrs  |
|---------------------------------------|---|--|--|---|--|
| Sensors:<br>Program<br>considerations | <p><i>Program and Support Barriers</i></p> <ul style="list-style-type: none"> <li>• Fragmented markets for advanced sensors resulted in inadequate private support for development efforts.</li> <li>• Conventional thinking tends to treat sensors as an add on in the design stage and failed to recognize the roles advanced sensors can play. (Sensors should be an integral part of design)</li> </ul> | <ul style="list-style-type: none"> <li>• Process developers consider sensors as an afterthought               <ul style="list-style-type: none"> <li>– Plan to utilize existing sensors rather than creating better ones</li> <li>– Leads to increased process development cost</li> <li>– Limits creativity and possible solutions.</li> </ul> </li> <li>• Mismatch between current sensor capabilities and envisioned control requirements (e.g. speed and sensitivity)</li> </ul> | <p>YEARS 0-1</p> <ul style="list-style-type: none"> <li>• Initiate an independent sensor development program to address known shortcomings.</li> </ul> <p>Focused workshop to identify sensor needs and requirements</p> <p>YEARS 0-3</p> <ul style="list-style-type: none"> <li>• Extend sensor development program to meet defined needs               <ul style="list-style-type: none"> <li>– Model component and system performance to permit selection of measurement needs</li> <li>– Assess state-of-the-art of sensors and identify gaps</li> <li>– Define program, prepare solicitations, etc.</li> </ul> </li> </ul> <p>YEARS 3-5</p> <ul style="list-style-type: none"> <li>• Perform program</li> </ul> | <ul style="list-style-type: none"> <li>• Follow-up with workshops, communication between developers and users, and program support</li> <li>• Monitor component and plant needs and revise priorities based on review of needs</li> <li>• Demonstrate new sensors technology in operating plants</li> </ul> | <ul style="list-style-type: none"> <li>• Continue follow-up activities</li> <li>• Demonstrate new sensors technology in Vision 21 plant projects</li> <li>• Support Vision 21 plant design and operation activities</li> <li>• Assess the payback from DOE's sensors and control programs</li> </ul> |



# TECHNOLOGY AREA: Sensors and Controls

| Technology        | Barriers  | Current Status  | Approach<br>0-5 yrs  | Approach<br>5-10 yrs  | Approach<br>10-15 yrs  |
|-------------------|---|---|--|---|--|
| Sensor Technology | <p><i>General Technical Barriers</i></p> <ul style="list-style-type: none"> <li>Limited and constrained accessibility to utilize sensors</li> <li>Harsh operating conditions</li> <li>Material limitations</li> </ul> | <p><i>Existing sensors have many limitations:</i></p> <ul style="list-style-type: none"> <li>Inadequate reliability, sensitivity, inaccuracy</li> <li>Slow response</li> <li>Complex and costly</li> <li>Single point and single phase</li> </ul> <p><i>Promising, but underdeveloped concepts exist, e.g. wave technologies</i></p> <p><i>Significant development required for each technology</i></p> | <ul style="list-style-type: none"> <li>Focus on in-situ, real time, fast response, field hardened, miniaturized sensors suitable for control (Interrogate and sense with energy only) potentially attainable with wave technologies <ul style="list-style-type: none"> <li>Optics</li> <li>Acoustics</li> <li>Electromagnetics</li> </ul> </li> <li>Develop sensors based on new concepts and using new technologies including nano-technology, MEM, etc.</li> </ul> | <ul style="list-style-type: none"> <li>Continue supporting development of sensors based on new concepts</li> <li>Test new sensors in operating plant environment</li> <li>Incorporate new sensors into new control systems</li> </ul> | <ul style="list-style-type: none"> <li>Continue supporting development and testing of new sensors</li> <li>Demonstration projects</li> </ul> |



# TECHNOLOGY AREA: Sensors and Controls

| Technology                       | Barriers | Current Status   | Approach<br>0-5 yrs  | Approach<br>5-10 yrs  | Approach<br>10-15 yrs  |
|----------------------------------|----------|--|--|---|--|
| Sensor Technology<br>(continued) |          | <p><i>NETL Initiatives</i></p> <ul style="list-style-type: none"> <li>• Sensors for physical properties (T,P, flow, etc.): High temperature sensors and measurement development using infrared technology, coating, etc. is currently supported by NETL. This effort will help improve efficiency and performance in combustion and gasification.</li> <li>• Sensors for chemical species including emissions sensors:               <ul style="list-style-type: none"> <li>- NETL supported Sensors Research Corporation in developing advanced solid state sensors for measuring H<sub>2</sub>S, NO<sub>x</sub>, SO<sub>x</sub>, and NH<sub>3</sub></li> <li>- NETL has an active program of mercury measurement, and this R &amp; D has laid a foundation for sensor development</li> </ul> </li> <li>• Particulate sensors: Off-line and batch</li> <li>• Facilities Diagnostics and maintenance sensors:</li> </ul> | <ul style="list-style-type: none"> <li>• Continue current program initiatives e.g. test high temperature sensors, in-line testing of SRC chemical sensing technology</li> <li>• Continue near term work using existing wave technology in extractive or bypass configurations</li> <li>• Identify/evaluate applications for other emerging sensing technologies</li> </ul> | <ul style="list-style-type: none"> <li>• Continue supporting development of sensors based on new concepts</li> <li>• Test new sensors in operating plant environment</li> <li>• Incorporate new sensors into new control systems</li> </ul> | <ul style="list-style-type: none"> <li>• Continue supporting development and testing of new sensors</li> <li>• Demonstration projects</li> </ul> |



# TECHNOLOGY AREA: Sensors and Controls

| Technology | Barriers   | Current Status  | Approach<br>0-5 yrs   | Approach<br>5-10 yrs  | Approach<br>10-15 yrs   |
|------------|--|---|---|---|---|
| Controls   | <ul style="list-style-type: none"> <li>Developing advanced controls is under-funded compared to other areas</li> <li>Some hardware has long response time such as valves</li> <li>Knowledge of failure modes and operability problems needs to be improved</li> <li>Knowledge of some processes such as NO<sub>x</sub> generation and destruction, fate of trace elements, and predicative modeling need to be improved</li> </ul> | <ul style="list-style-type: none"> <li>Generic NO<sub>x</sub> Control Intelligent System (GNOCIS) developed by Southern Company Services under NETL funding using neural net based control technology lowers NO<sub>x</sub> emissions while maintaining plant performance</li> <li>Point solutions are being (have been) developed for specific (currently available) systems</li> <li>Some dynamic process simulators are available such as used on gasification, fuel cell, and hybrid systems</li> <li>Advanced process controls for other applications are well developed (e.g. automobiles)</li> </ul> | <ul style="list-style-type: none"> <li>Define process control needs required to meet the performance and reliability objectives for Vision 21 plants</li> <li>Evaluate state-of-the-art control technologies: Example control technologies to be reviewed include Regulatory Control Algorithm, Supervisory Optimization, Control Numerical Methods, Inferential Sensing, and Predictive Maintenance</li> <li>Define program to meet Vision 21 plant objectives – coordinate with component technology initiatives</li> <li>Direct plant and component development programs toward intelligently controllable systems (example: automotive engines)</li> <li>Identify key data and models, and components in control systems required to develop advanced control strategies</li> <li>Implement programs to show benefit of advanced controls and predictive maintenance</li> </ul> | <ul style="list-style-type: none"> <li>Direct development of components and plants to leverage advanced control and predictive maintenance</li> <li>Update program to reflect new plant needs and technology development</li> <li>Implement program</li> <li>Continue review of Vision 21 plant needs and monitoring control technology state-of-the-art</li> </ul> | <ul style="list-style-type: none"> <li>Demonstrate innovative process control technologies</li> </ul> |





# VISION 21 Projects

## *Gasification and Combustion*

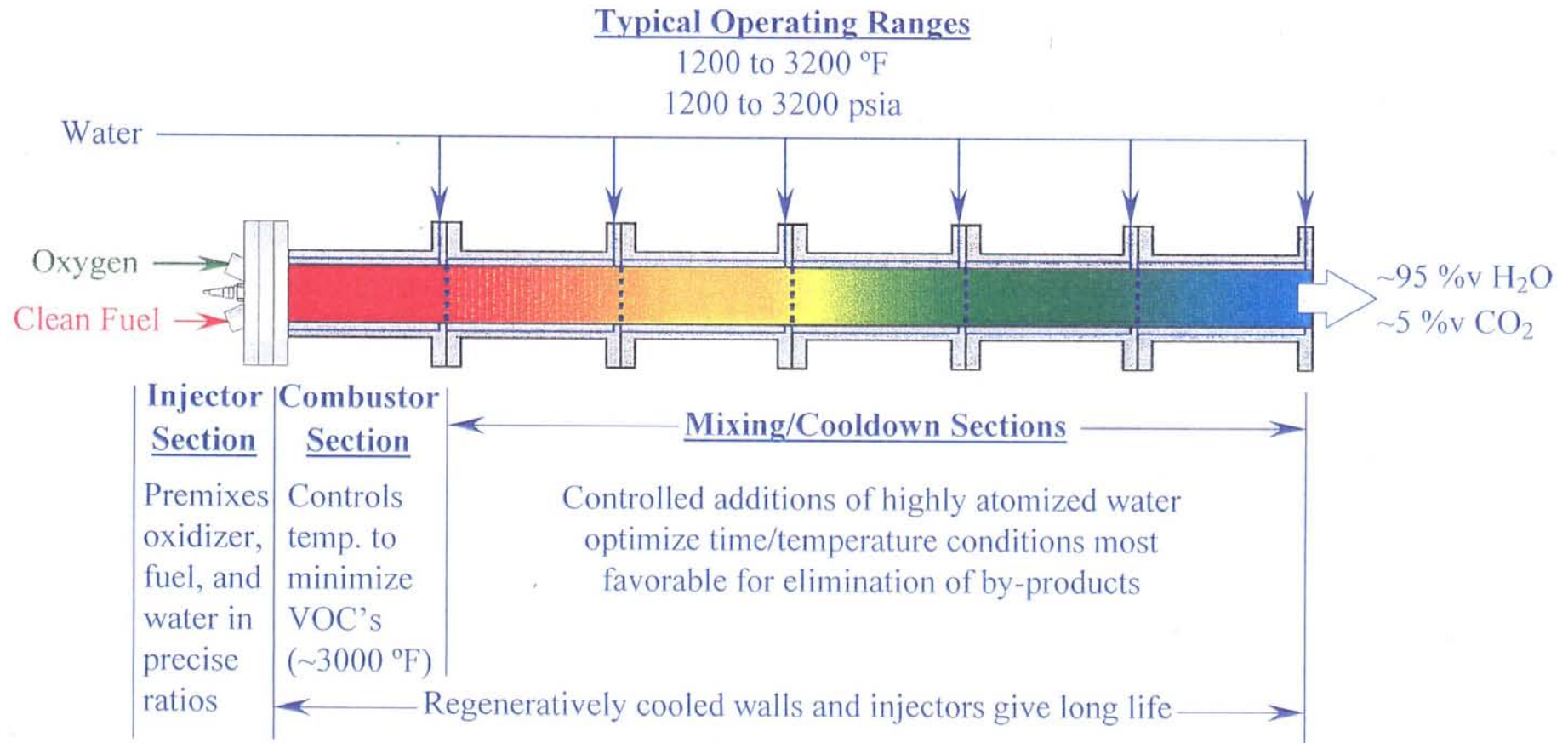
***Clean Energy Systems***  
*(Sacramento, CA)*



***“Rocket engine” steam generator to power an advanced turbine, generating electricity and emitting only water and a stream of CO<sub>2</sub> ready for sequestration***



# The “Rocket Engine” Steam Generator



*Clean Energy Systems*

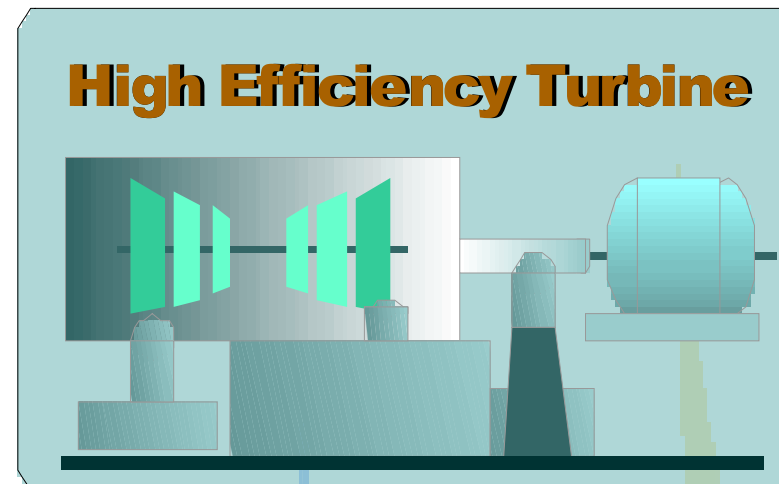
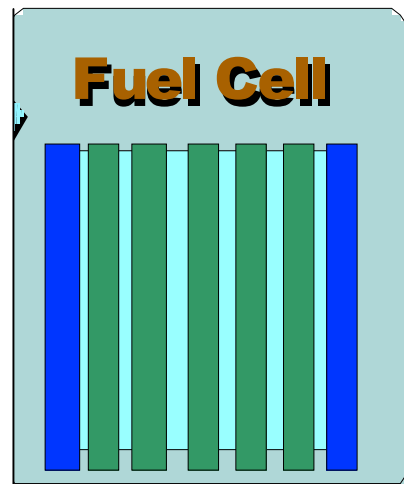


# VISION 21 Projects

## *Turbines & Fuel Cells*

**Fuel Cell Energy** (Danbury, CT)  
Capstone Turbine (Woodland Hills, CA)

*Fuel cell/gas turbine “hybrid” power system with 65-80% efficiency*



# Gasification and Combustion

## ***Foster Wheeler Development Corporation***

*(Livingston, NJ)*

Nexant

*(San Francisco, CA)*

Praxair

*(Danbury, CT)*

REI

*(Salt Lake City, UT)*

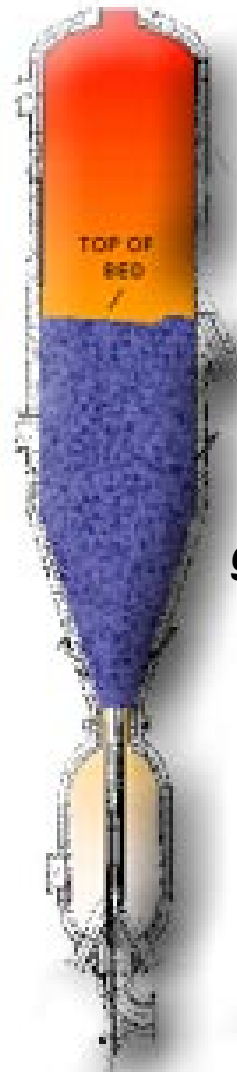
Corning

*(Elmira, NY)*

ADA Technology

*(Livermore, CA)*

***Pressurized circulating fluidized bed partial gasification module that produces gaseous and solid fuels for use in fuel-flexible high-efficiency plants***



## ***GE Energy & Environmental Research Corporation***

*(Irvine, CA)*

Southern Illinois University

*(Carbondale, IL)*

California Energy Commission

*(Sacramento, CA)*

***Advanced combustion/gasification concept that produces hydrogen for fuel cells or combustion turbines and sequestration-ready CO<sub>2</sub>***

image courtesy of Foster Wheeler



Descriptor - include initials, /org#/date

# Current Research and Future Needs in Power Generation

## NETL Sensors and Controls Workshop

April 17 - 18 2001

Washington, D.C.

Robert Frank  
EPRI I&C Center

865-717-2001

[rfrank@tva.gov](mailto:rfrank@tva.gov)



# Current Research and Future Needs in Power Generation

- Background
- Current Research
- Future Needs

# Background

- Electric Power Research Institute (EPRI)
  - Headquartered in Palo Alto, CA
  - Research Arm of the Electric Power Industry
    - Various Research Initiatives in all phases of the industry
  - Several Technical Centers
    - I&C Center - near Knoxville, TN

EPRI

# EPRI I&C Center Kingston Fossil Plant



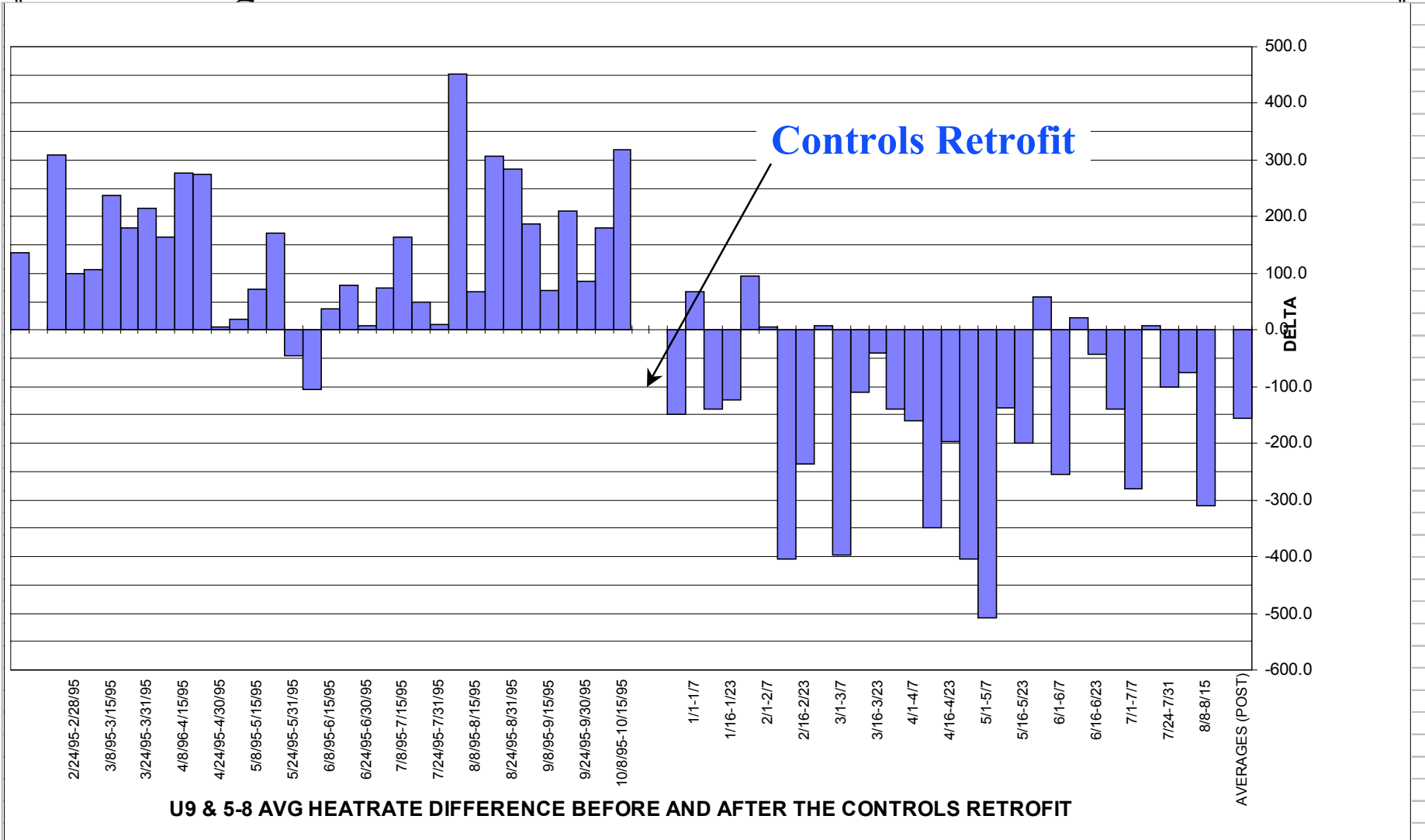


## History of the I&C Center

- Unit 9 DCS Retrofit created the opportunity for the I&C Center.
- Mission: To provide advanced process control and instrumentation solutions that improve plant competitiveness and profitability
- Facility dedicated February 29, 1996

# I&C Center Activities

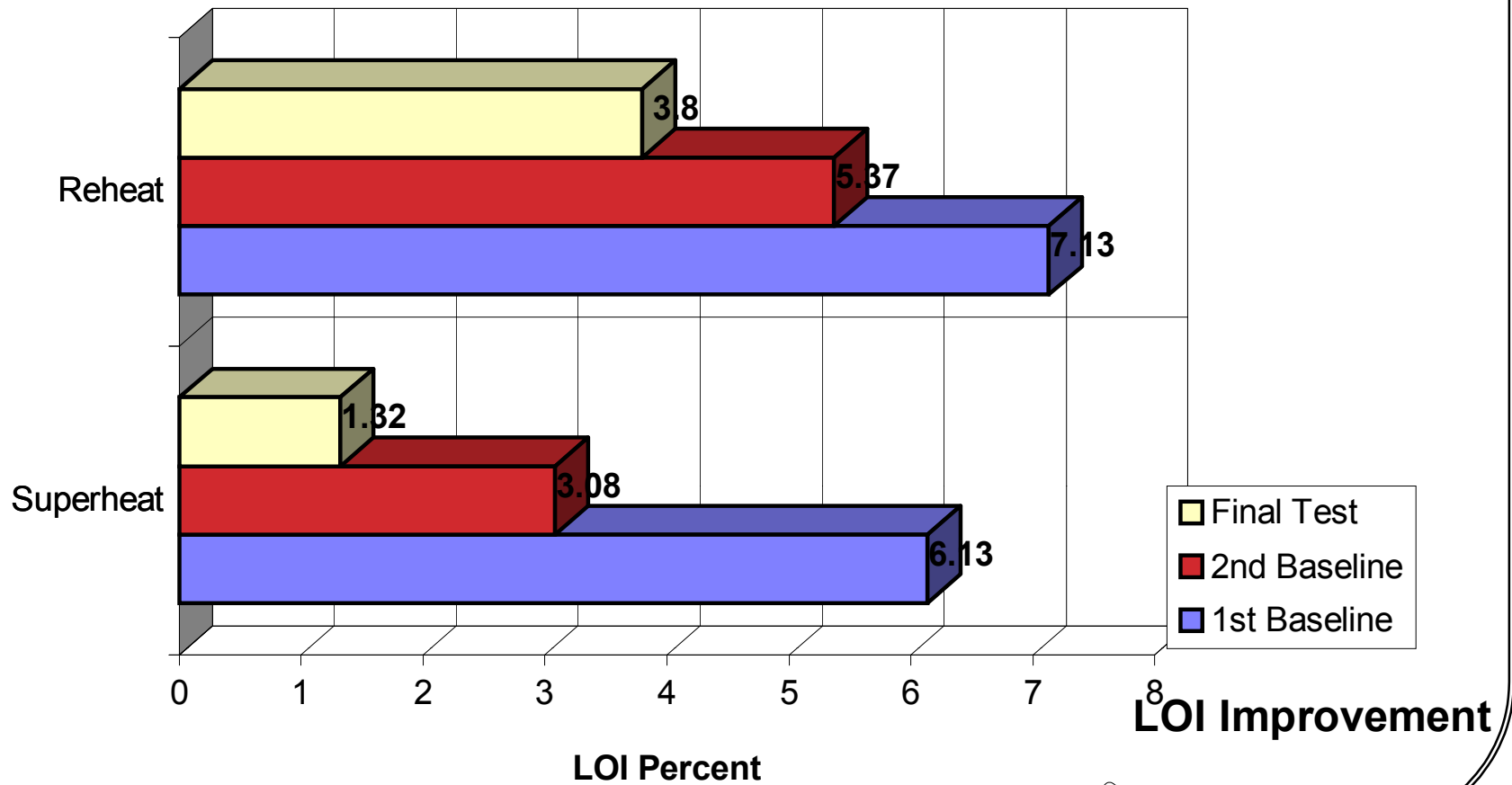
Kingston Unit 9 control retrofit benefits: Reduced Heat Rate



BUNKER PERIOD

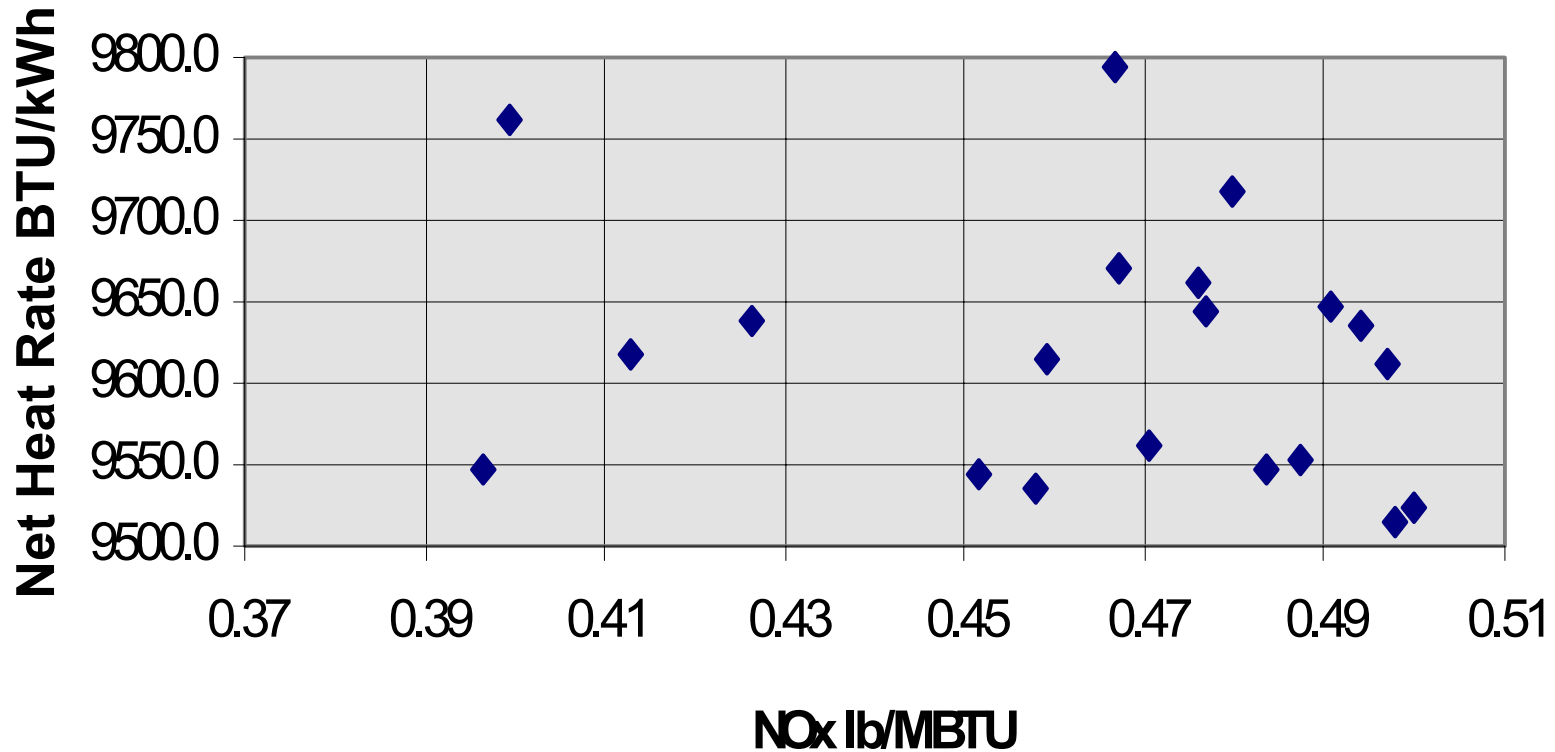
# I&C Center Activities

## Unit 9 LOI Improvement



# NOx versus Net Heat Rate

Parametric Test Data

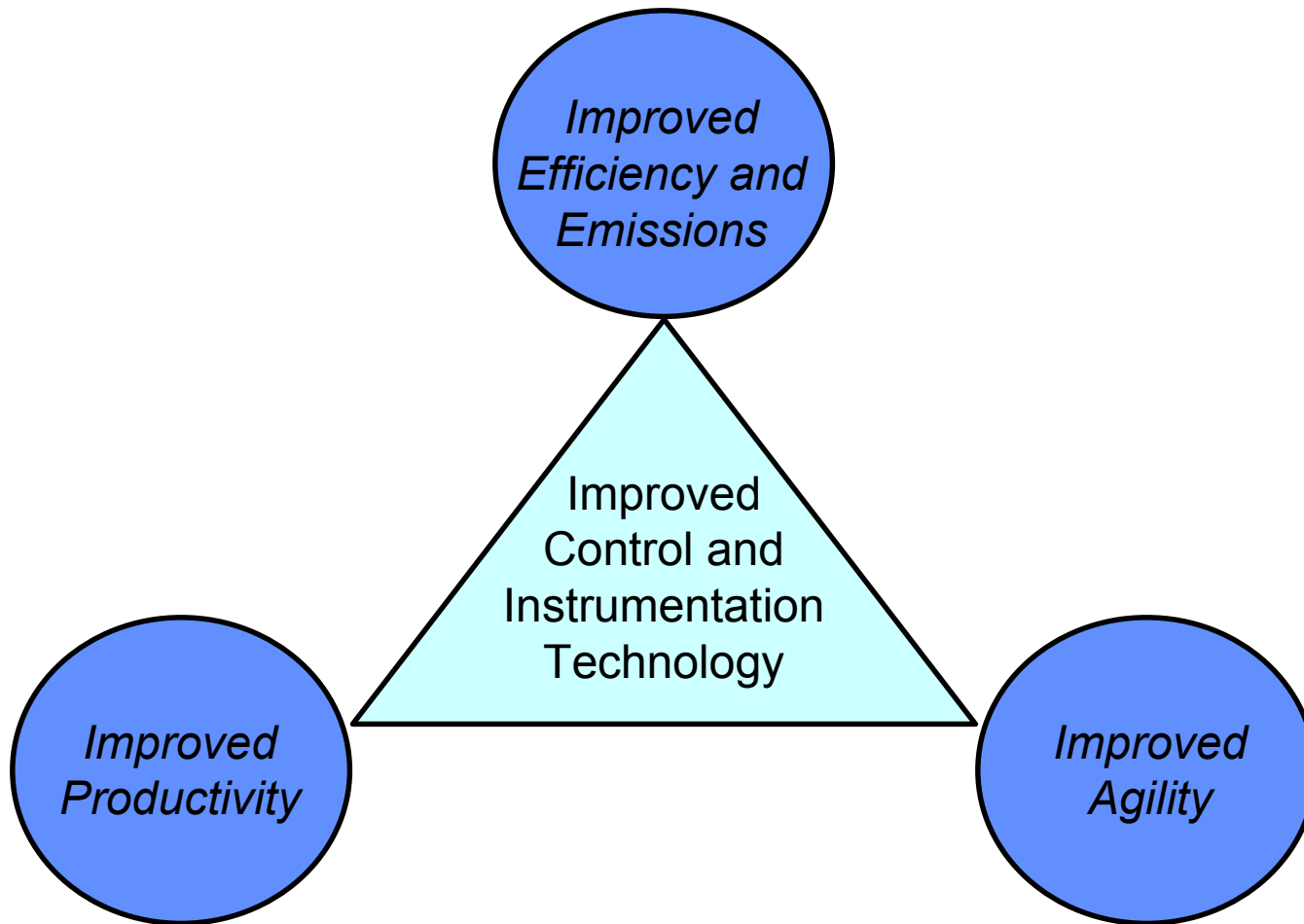


**Baseline NOx before Controls**  
**Retrofit: .62 lbs./10e6 BTUs**

**NOx Data obtained by varying:**

- O2
- Fuel Bias
- Air Bias
- Burner Tilts

# I&C Issues and Opportunities





*Improved  
Productivity*

Improved productivity consists of better decisions due to better information available and more efficient use of staff. Examples of EPRI tools to enhance productivity include CMW and ICCP.



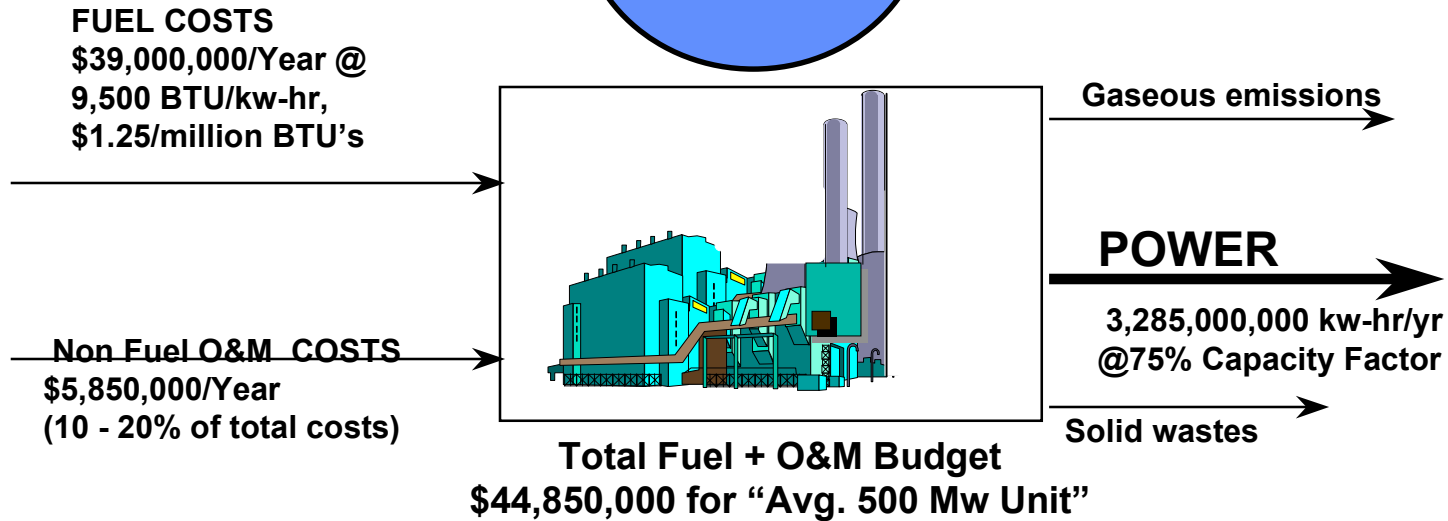
*Improved  
Agility*

Improved agility is the ability to respond to the new demands of dispatch as well as fuel quality. In the competitive world, the unit which can:

- reach target load faster and
- provide quality ancillary services such as
  - voltage regulation,
  - VARs, and
  - frequency control

is going to be much more profitable than one does not. Advanced I&C provides the platform and ability to provide this capability.

*Improved Efficiency and Emissions*



- A 1% improvement in **EFFICIENCY** yields \$390,000 savings in fuel costs.
- For the entire installed fossil capacity, this yields \$409,439,000.
- Additional benefits include 1% **REDUCTION** in greenhouse gases and solid wastes.

- A 1% increase in availability equals and additional 32,850,000 kw-hr/yr for the 500Mw plant(\$1,971,000 in additional sales @\$60/1000kw-hr)
- At a retail price of \$60/1000 kw-hr, this yields a \$1,461,825 increase in gross profit for this plant at \$15.5/Mw-hr production costs.
- This equals an additional 5,000 Mw of capacity for total installed fossil power plants



## Current I&C Center Projects

Advanced Multivariable Boiler Control

Combustion Optimization

GNOCIS Neural Network (KIF 5-9)

Forney OptiFlame (JFP 7)

MK Engineering LOI & CO Measurements (KIF 9)

Improved Sootblowing

Intelligent Sootblowing

Heat Flux Sensors

Bergemann Water Cannon

Heat Rate Monitoring

Plant Monitoring Workstation Implementation & NT Conversion

Heat Rate Monitoring Systems Assessment

Cost of Generation Monitor Development

## Current I&C Center Projects

Water Chemistry Expert System Implementation (KIF)

Assessment of On-line Coal Analyzers (PAF & CUF)

On-Line Pulverized Coal Flow Measurement (TVA BRF,)

Combustion Products Sensor Assessment (CO, NO<sub>x</sub>, etc.)

Advanced Pulverizer Control

Controls Maintenance Workstation Implementation

Ultrasonic Feedwater Flow Measurement

Boiler Inspection Robot Development

Sensor Validation

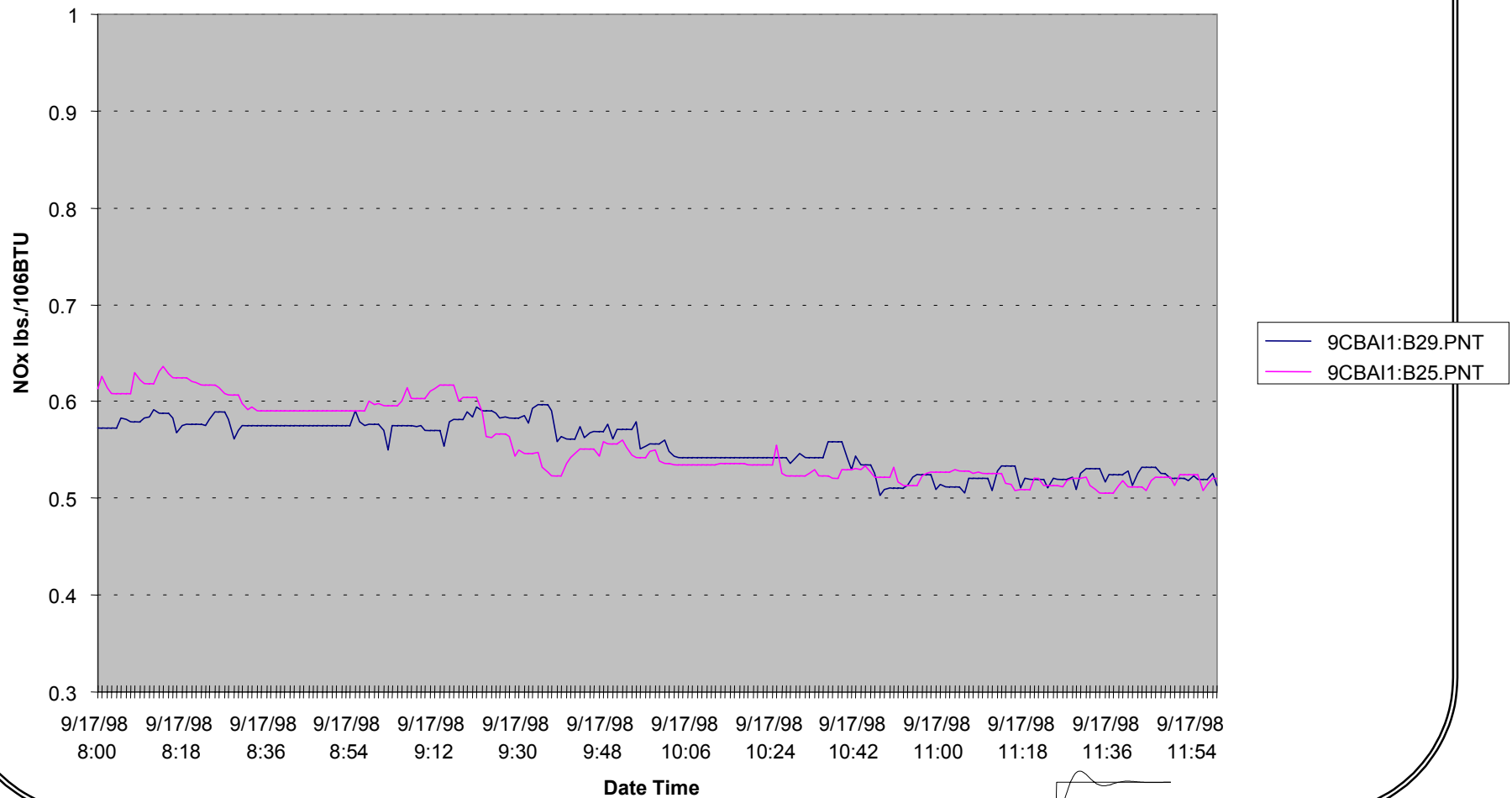
# GNOCIS Neural Network

## Typical NOx Reduction

Optimizing for NOx

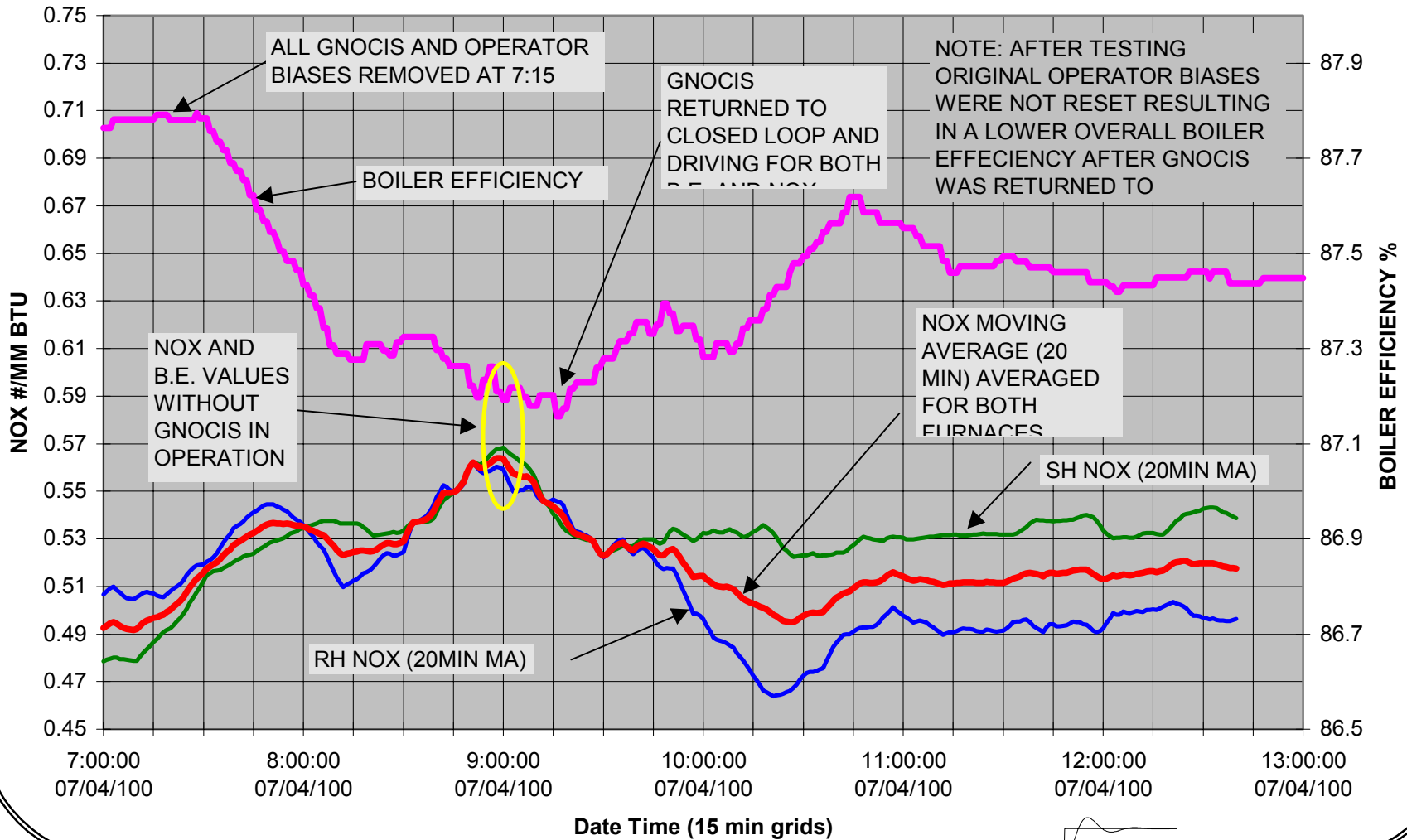
SH and RH NOx Unit 9 GNOCIS™ Test

SH = Pink, RH = Blue: Units= Lb/MMBTU



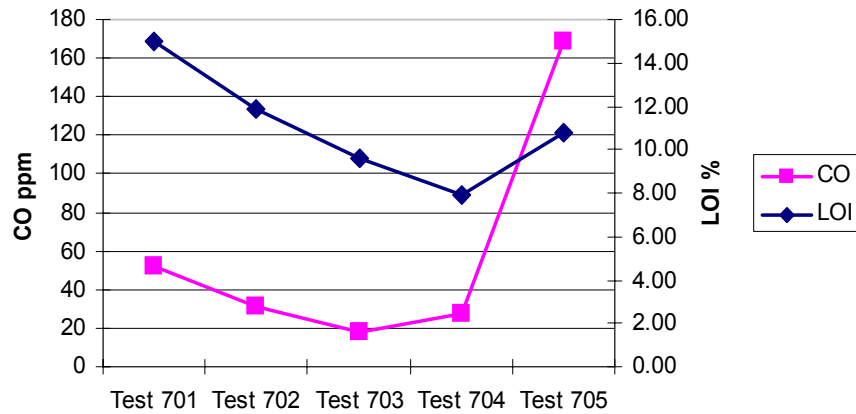
# Typical NOx and Boiler Efficiency Results from GNOCIS

**GNOCIS TEST JULY 4 2000 KINGSTON UNIT 9**

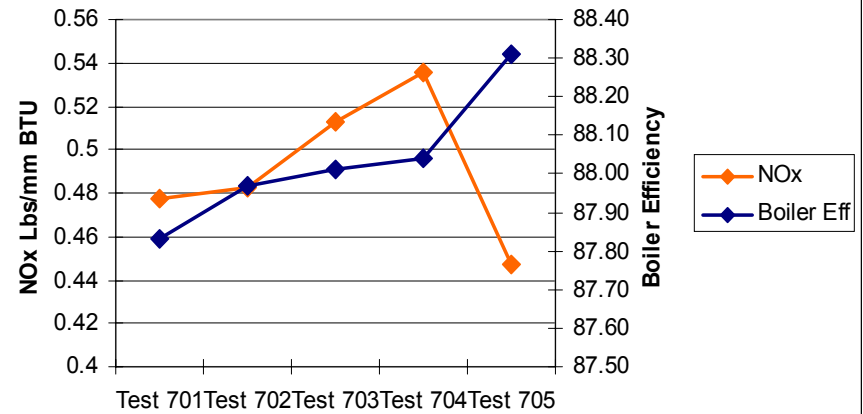


# Johnsonville Optiflame Summary

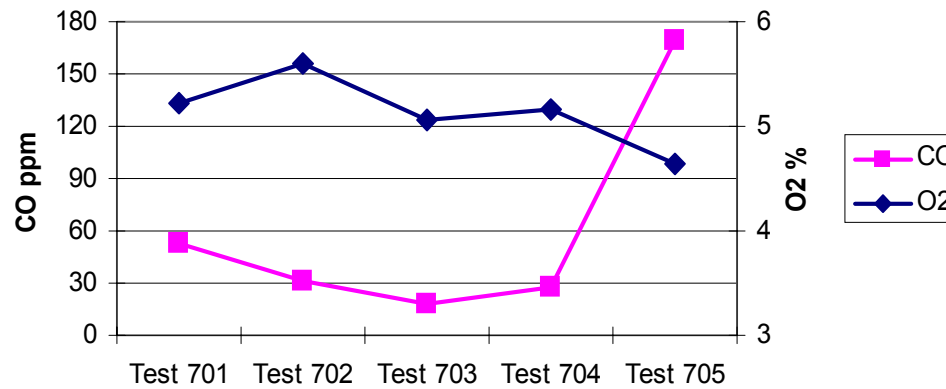
LOI vs. CO



Boiler Efficiency vs. NOx



CO vs. O2



# EPRI I&C Center/TVA/TTU Boiler Inspection Robot

Goal: Develop a low cost mobile platform for inspection of fireside boiler surfaces

Benefits: Reduced costs and time to inspect boiler tube surfaces

Long-term goal: Investigate the development of automated tube leak location, preparation, and repair.

# Boiler Inspection Robot

- Dr. Steven Canfield (TTU) has developed such a platform and tested it at TVA Kingston
- It uses magnetic tracks to drive along water wall surfaces
- Current inspection device is a CCD camera
- Current project focuses on applying other NDE inspection methods onboard

# Future Needs

- Individual burner tuning with active control
- Improved combustion measurements
  - $\text{NH}_3$ , CO,  $\text{NO}_x$ , etc.
  - Flame quality
- Real time cost-of-generation linked with economic and environmental dispatch
- Improved plug and play software
- Adaptive control modes for changing market demands



# Possible New Opportunities

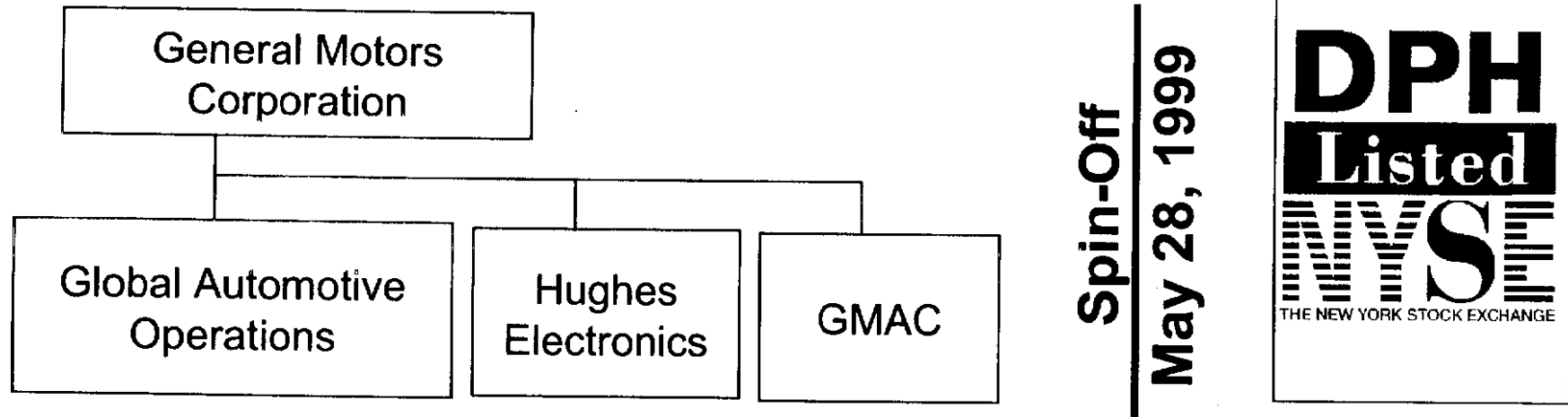
- Generation Plant Challenges
  - Reduced staffing
  - Pressure to improve profitability
  - Push to reduce emissions
  - New emissions regulations
- R&D Opportunities/Challenges
  - Teaming to leverage funding
  - Short term goals
  - Long term vision

**Automotive Sensors for  
Improved On-Board Control and Diagnostics**

**Galen B. Fisher**

**Delphi Research Labs  
Delphi Automotive Systems**

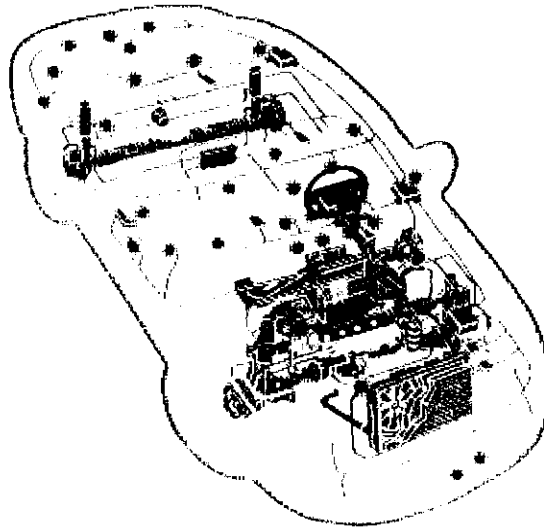
**Sensors and Controls Workshop  
Washington, DC  
April 17, 2001**



- ◆ 1988: ACG Worldwide Group structure created
- ◆ 1994: ACG Worldwide established as separate business sector
- ◆ 1995: ACG Worldwide became Delphi Automotive Systems
- ◆ 1998: Delphi incorporated as a subsidiary
- ◆ 1999: Delphi Initial Public Offering; "DPH" on NYSE
- ◆ 1999: Delphi becomes a totally independent company

## Dynamics & Propulsion

- Air/Fuel Systems
- Ignition Systems
- Exhaust Aftertreatment Systems
- Fuel Handling & Evaporative Systems
- Energy Storage & Conversion
- Valve Train Products
- Sensors & Solenoids
- Chassis Systems & Modules
- Intelligent Chassis Control Systems
- Complete Brake Systems
- Wheel Brake Components
- Brake Apply Components
- Gen III Wheel Bearing Modules
- Suspension Dampers & Damper Modules
- Vehicle Control Systems
  - Electric Power Steering, Steering Columns, Power Steering Pumps & Hoses, Steering Gears, Driveline Systems, QUADRASTEER
  - Half Shafts, CV Joints



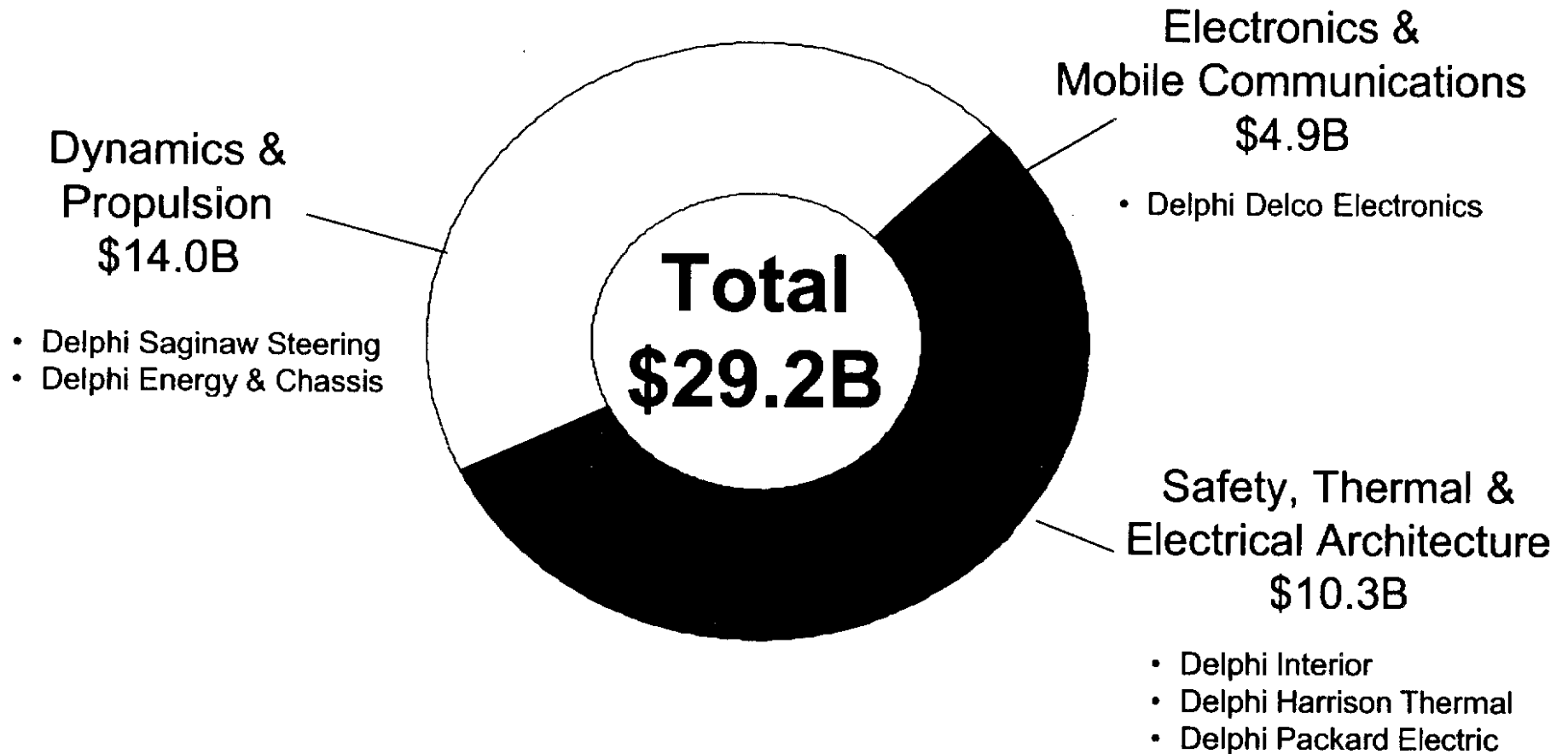
## Electronics & Mobile Communication

- Sensors & Power Modules
- Powertrain Controllers
- Body & Chassis Electronics
- Electronic Control Units
- Supplemental Inflatable Restraint Electronics
- FOREWARN Collision Warning Systems
- Audio Systems
- Communications Systems
- Navigation Systems
- Driver Information & Controls

## Safety, Thermal & Electrical Architecture

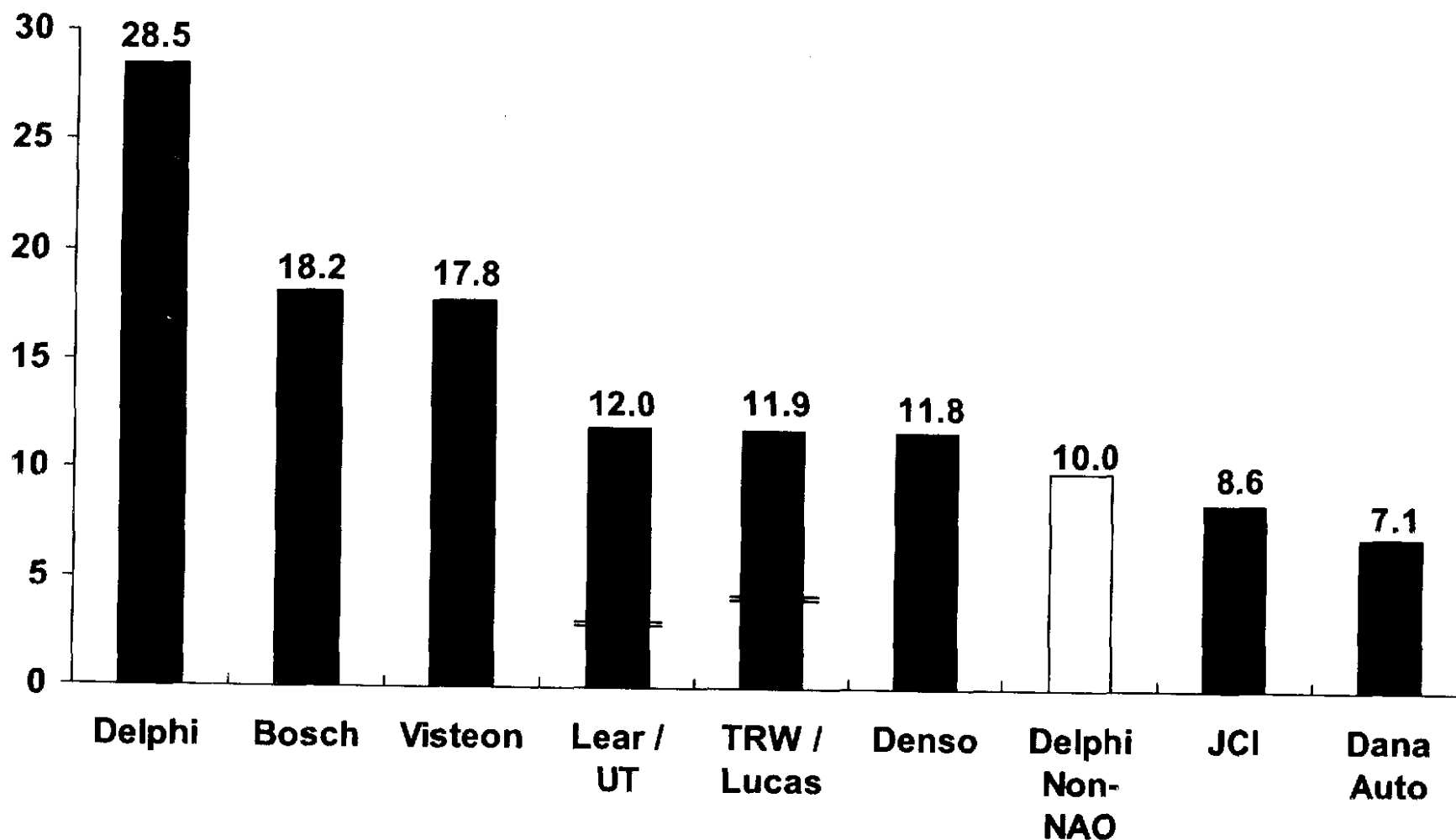
- Instrument Panels
- Airbag Systems
- Steering Wheels
- Power Products
- Door Hardware & Trim Modules
- Latching Systems
- Modular Doors
- Climate Control Systems
  - HVAC Modules, Condensers, Compressors, Accumulator
  - Dehydrators, Thermal Management Systems
- Powertrain Cooling Systems
  - Radiators, Oil Coolers, Engine Cooling Modules
- Power & Signal Distribution Systems
- Connection Systems
- Switch Products
- Sensors
- Electronic Products
- Fiber Optic Lighting/Data
- Electrical/Electronic Centers
- Ignition Wiring Systems
- Modular Cockpits

# 1999 Consolidated Sales By Sector



# Comparison To Major Competitors

1998 Sales \$Billions



Source: Automotive News '99 Market Data Book

## Major Customers

BMW Group

DaimlerChrysler

Daewoo

Fiat

Ford

GM

Honda

Hyundai

Isuzu

Mazda

Mitsubishi

Nissan

Opel

Peugeot Citroën (PSA)

Proton

Renault

Saab

Suzuki

Toyota/NUMMI

VAZ

Vauxhall

Volvo

VW Group

- ◆ Delphi is a Global Organization Serving Major Automotive Companies Around the World

### Total Delphi

|                      |         |
|----------------------|---------|
| Manufacturing sites: | 175     |
| Employment:          | 213,500 |
| Joint ventures:      | 41      |
| Technical centers:   | 27      |

### U.S. & Canada

|                      |        |
|----------------------|--------|
| Manufacturing sites: | 44     |
| Employment:          | 75,500 |
| Joint ventures:      | 6      |
| Technical centers:   | 14     |

### Europe & Middle East

|                      |        |
|----------------------|--------|
| Manufacturing sites: | 68     |
| Employment:          | 46,700 |
| Joint ventures:      | 8      |
| Technical centers:   | 7      |

### Mexico & South America

|                      |        |
|----------------------|--------|
| Manufacturing sites: | 49     |
| Employment:          | 85,300 |
| Joint ventures:      | 7      |
| Technical centers:   | 4      |

### Asia Pacific

|                      |       |
|----------------------|-------|
| Manufacturing sites: | 14    |
| Employment:          | 6,000 |
| Joint ventures:      | 20    |
| Technical centers:   | 2     |

As of 1/27/00

Over 16,000 engineers and over 5,000 patents

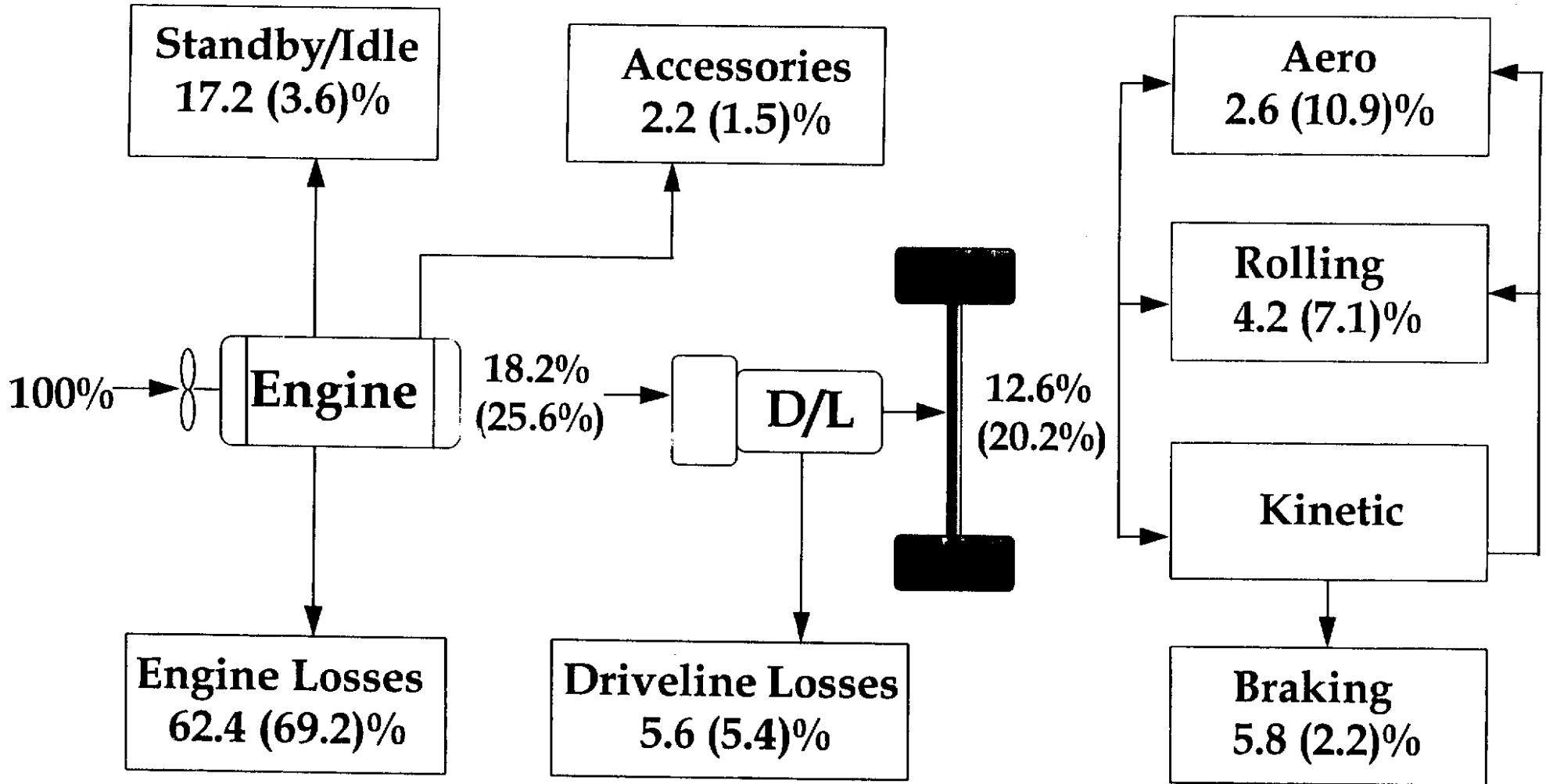
Sites, Employment and Technical Centers exclude Joint Ventures

j:\heiman\delphi\Customer 2000 Master.ppt



# Energy Distribution

## Typical Mid-Size Vehicle



Urban (Highway)

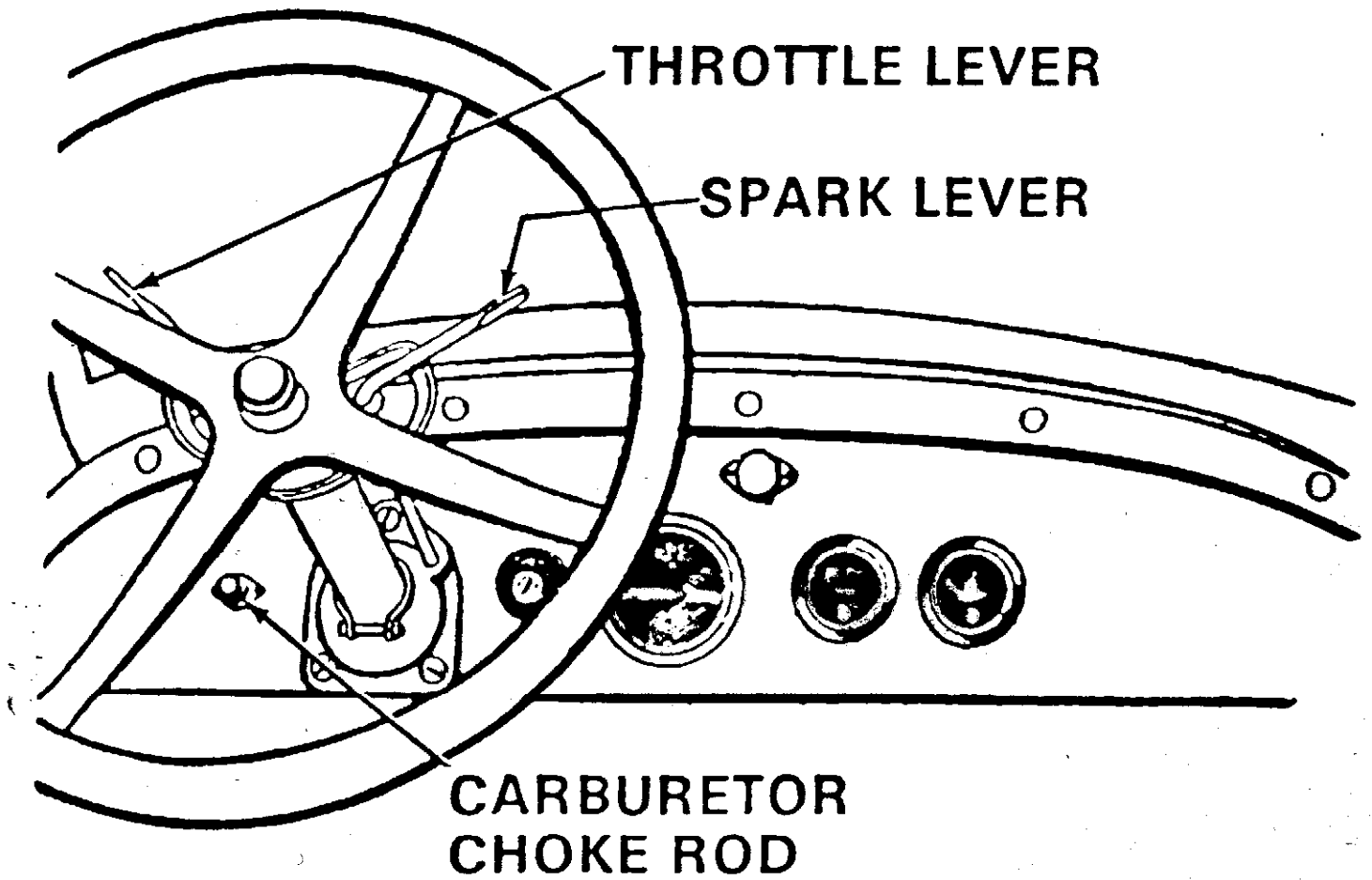
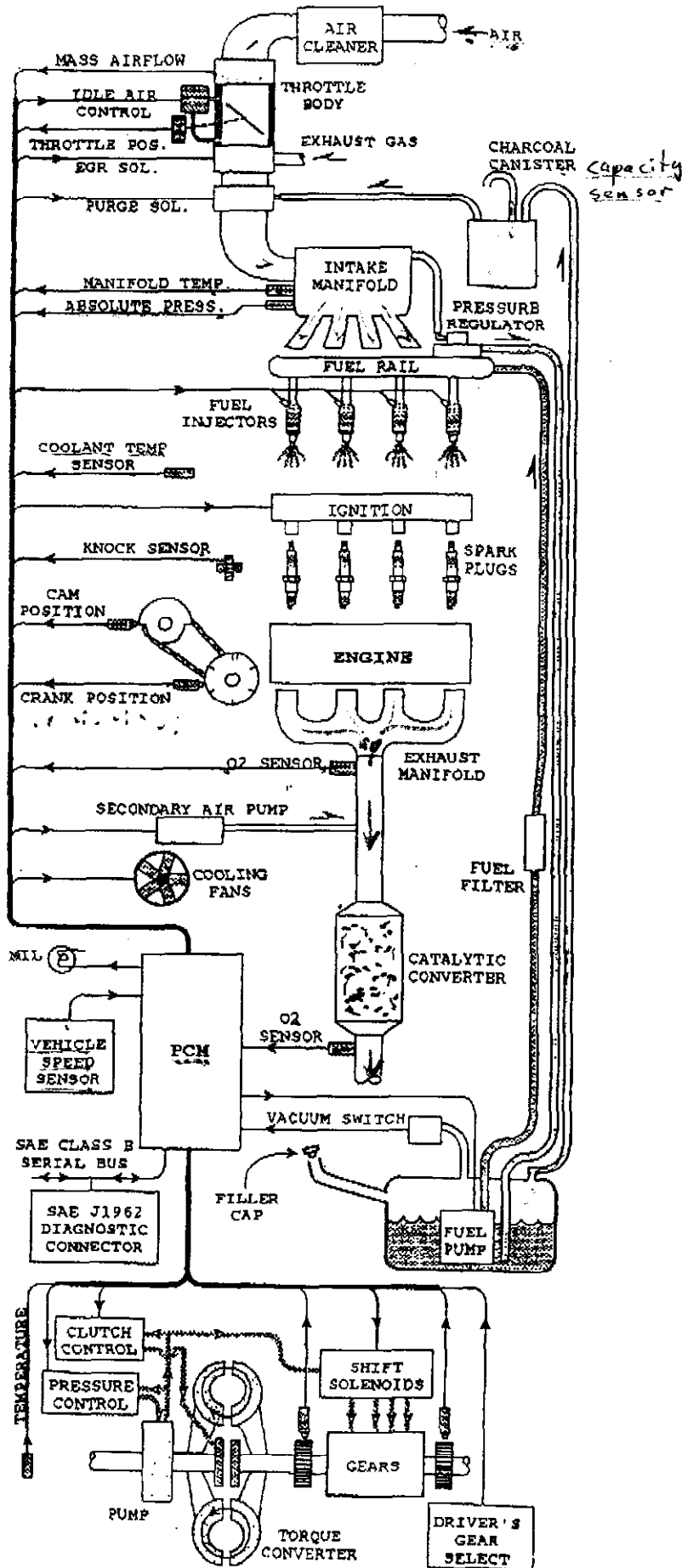


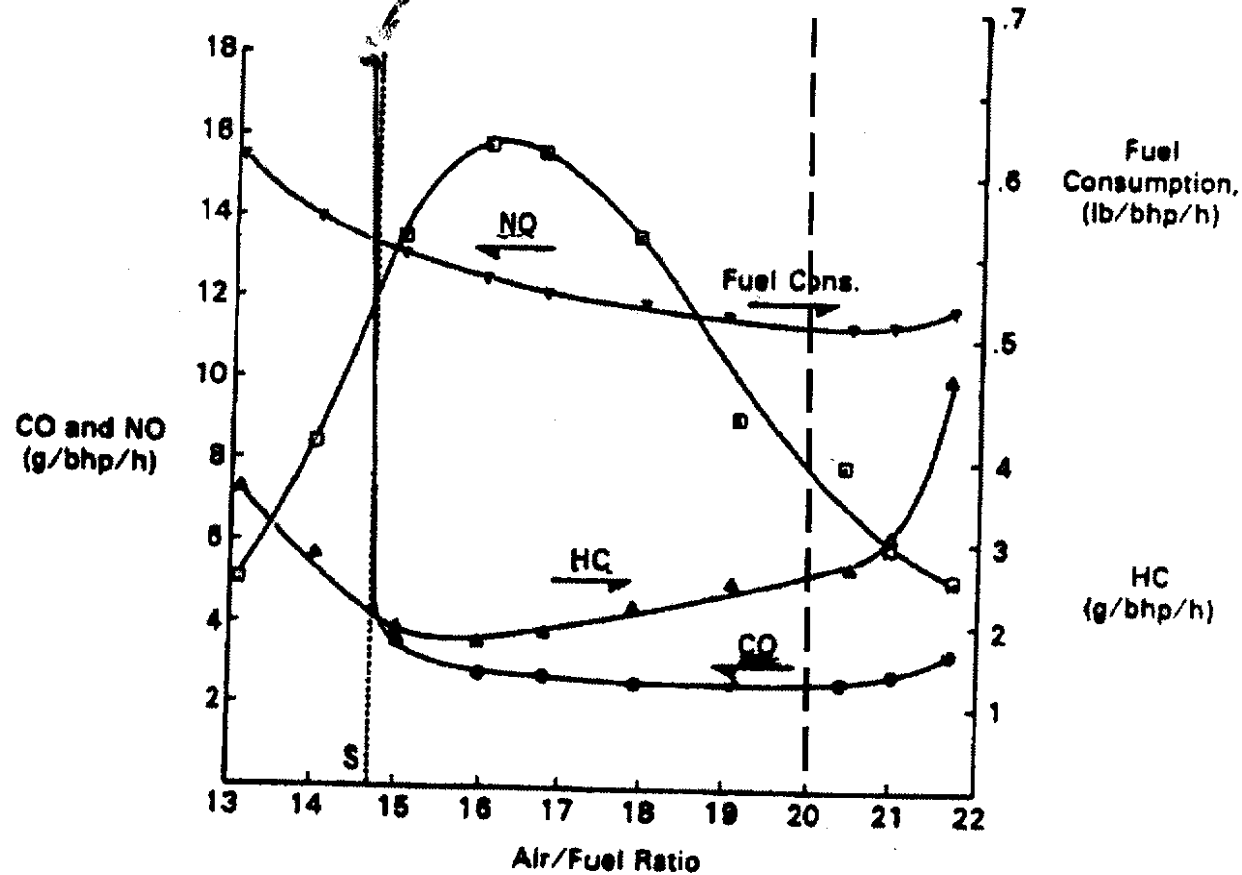
Illustration from instruction manual for 1924 Chevrolet

# Major Powertrain Sensors

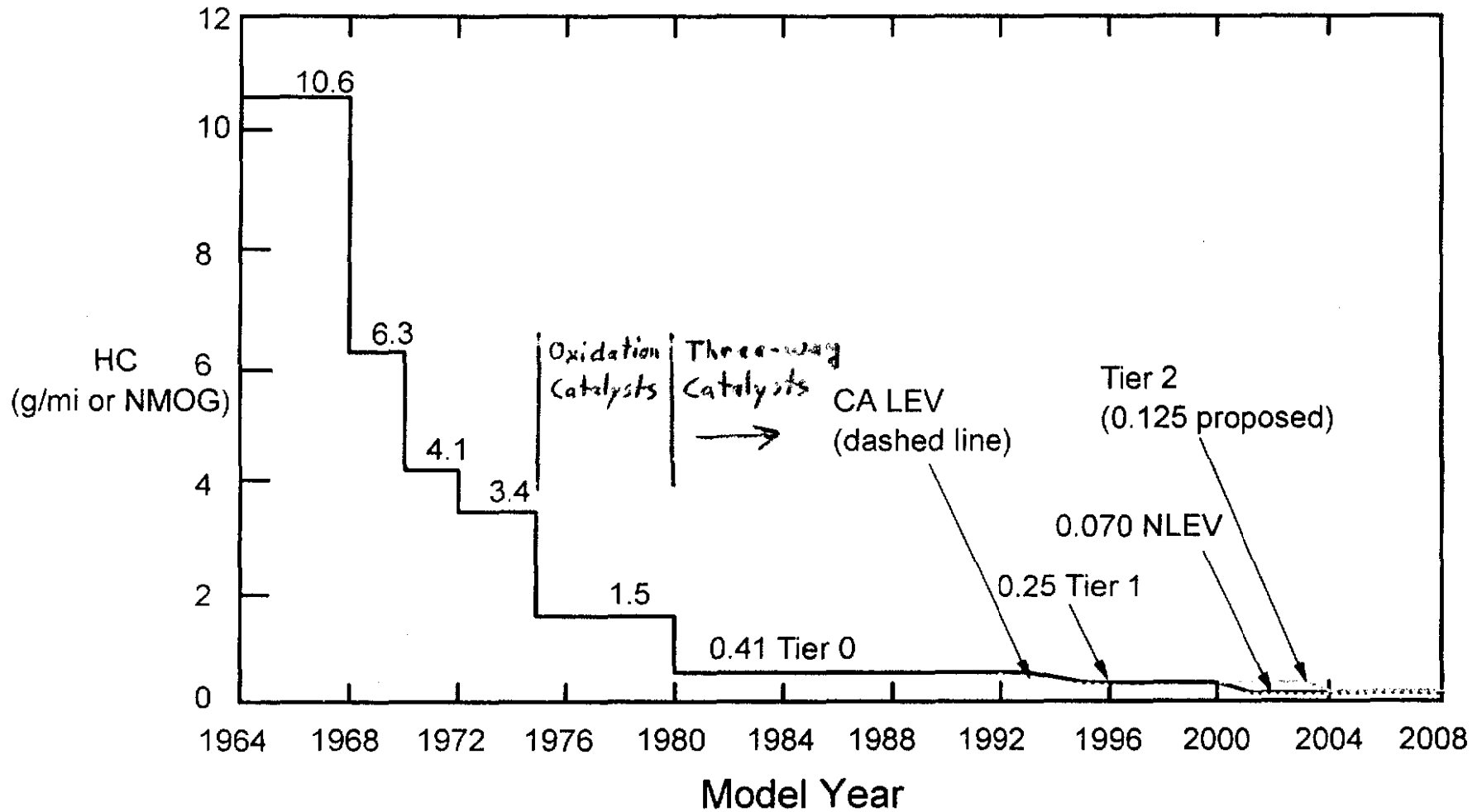


not including chassis systems (i.e., air bags, traction control, comfort + passenger compartment controls)

# What is the best engine A/F ratio? --- For best power, fuel economy, or emissions?



# Hydrocarbon Standards U.S. - Fleet Average - Light Duty Vehicles

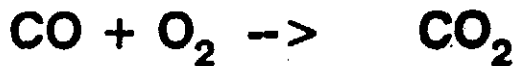


\*CA, NY, MA, VT, ME have adopted California LEV standards.

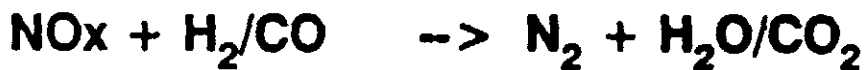
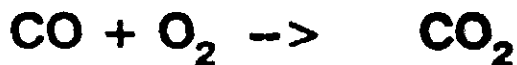
Introduction years for each state varies. AZ may also adopt California LEV.

# Three Generations of Exhaust Emission Control Catalyst Systems

## 1975-1980 Oxidation catalysts (HC, CO)



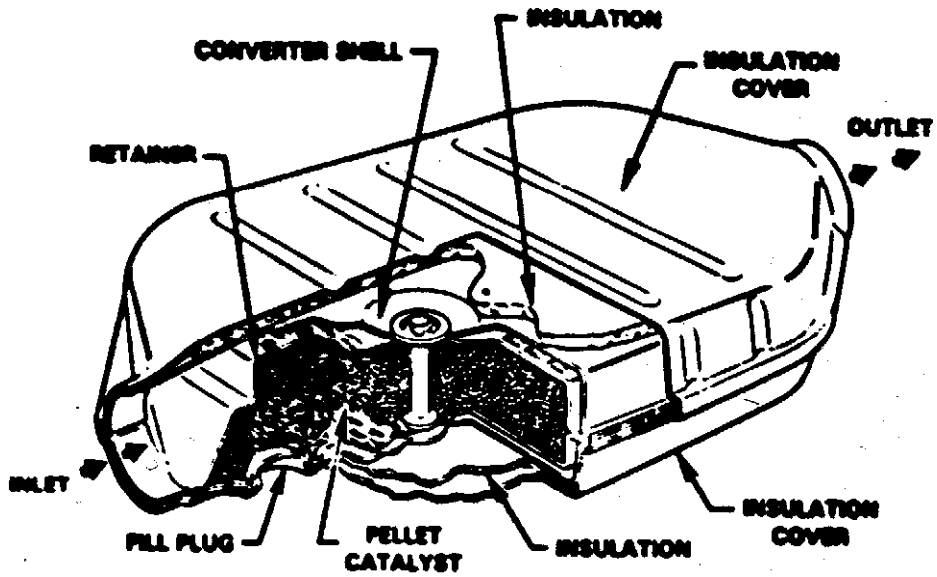
## 1981-1992 Three-way catalysts (HC, CO, NOx)



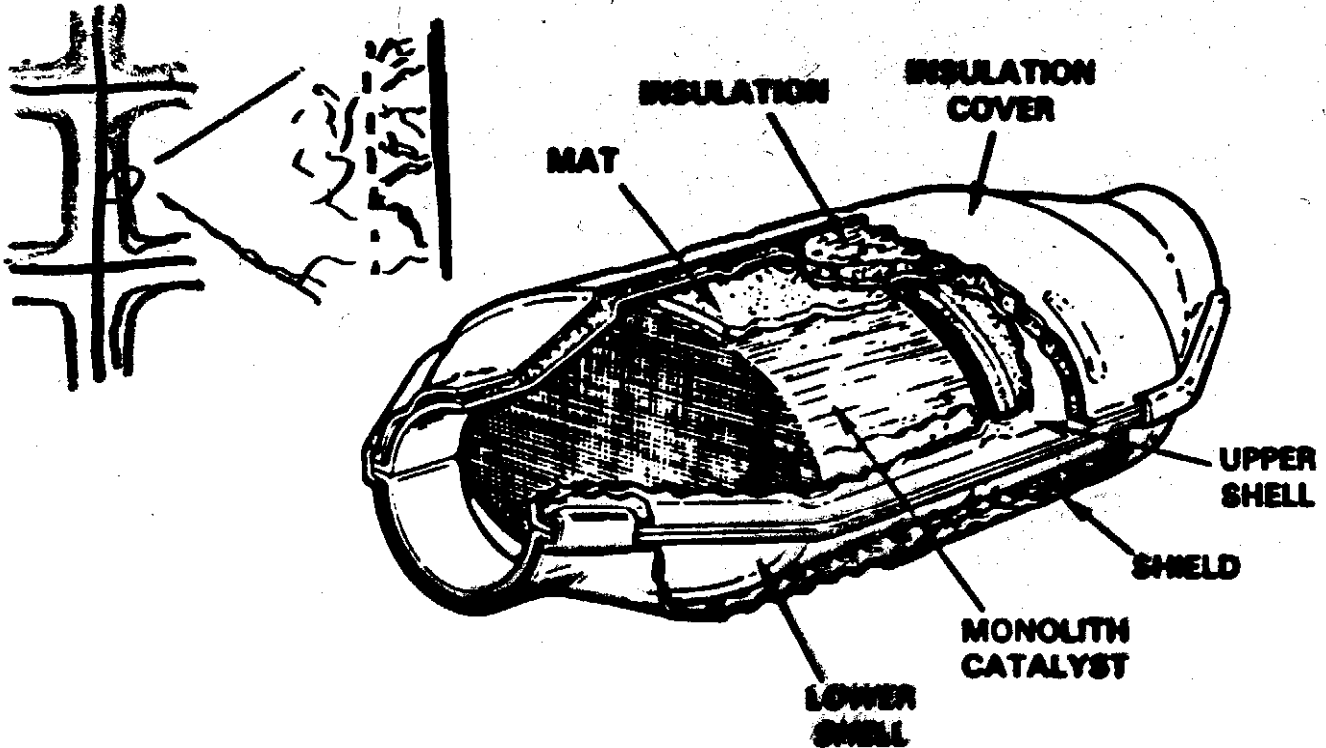
## 1993 + later In progress

(3-way plus supplemental system)

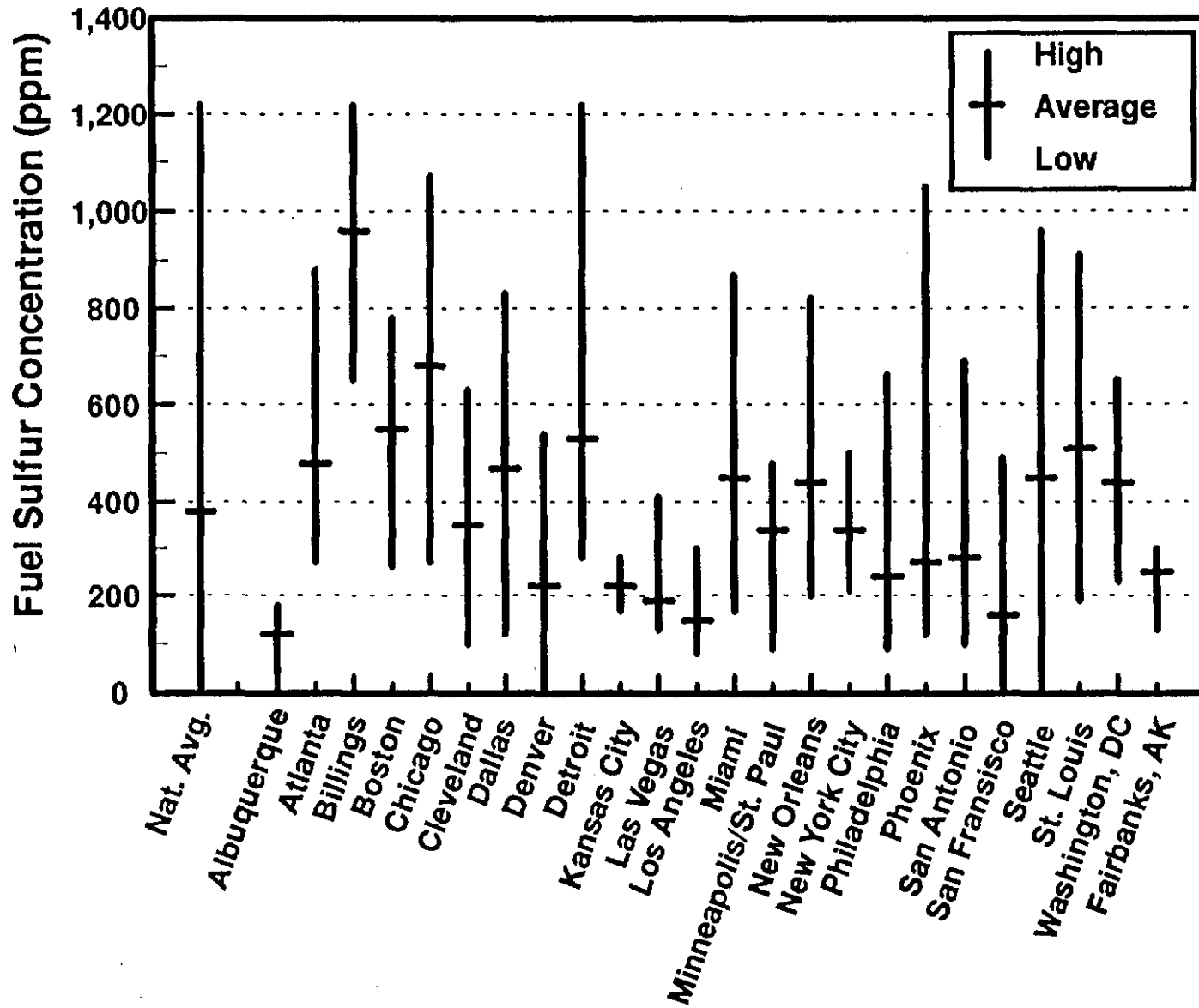
## PELLETIZED CATALYTIC CONVERTER



## CERAMIC MONOLITH CATALYTIC CONVERTER

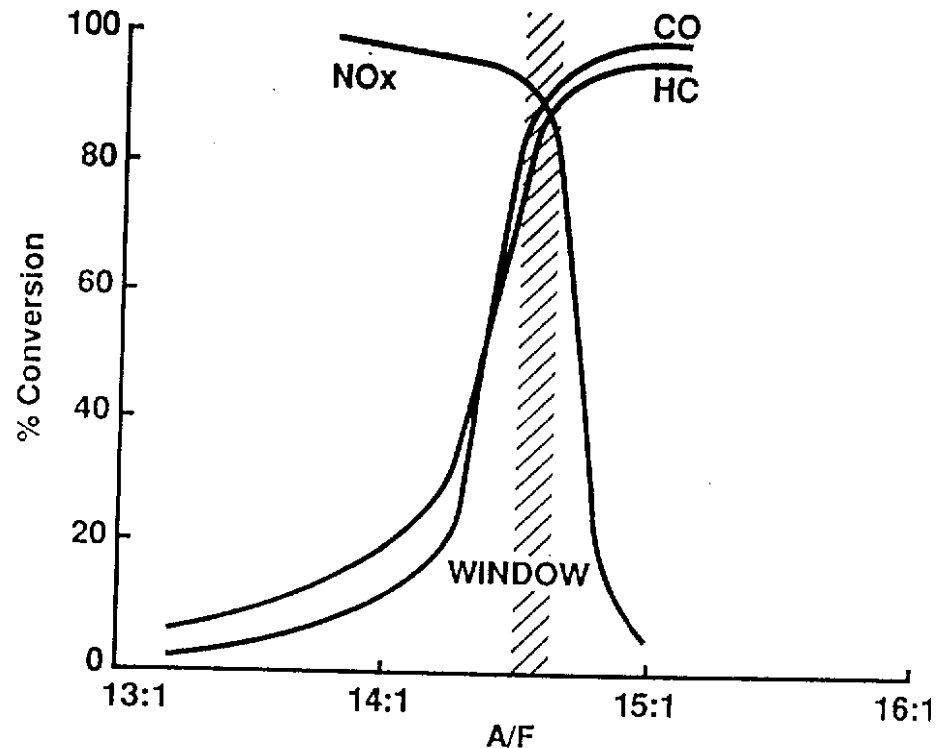


# AAMA Fuel Survey, Winter 1994 Regular Unleaded Gasoline





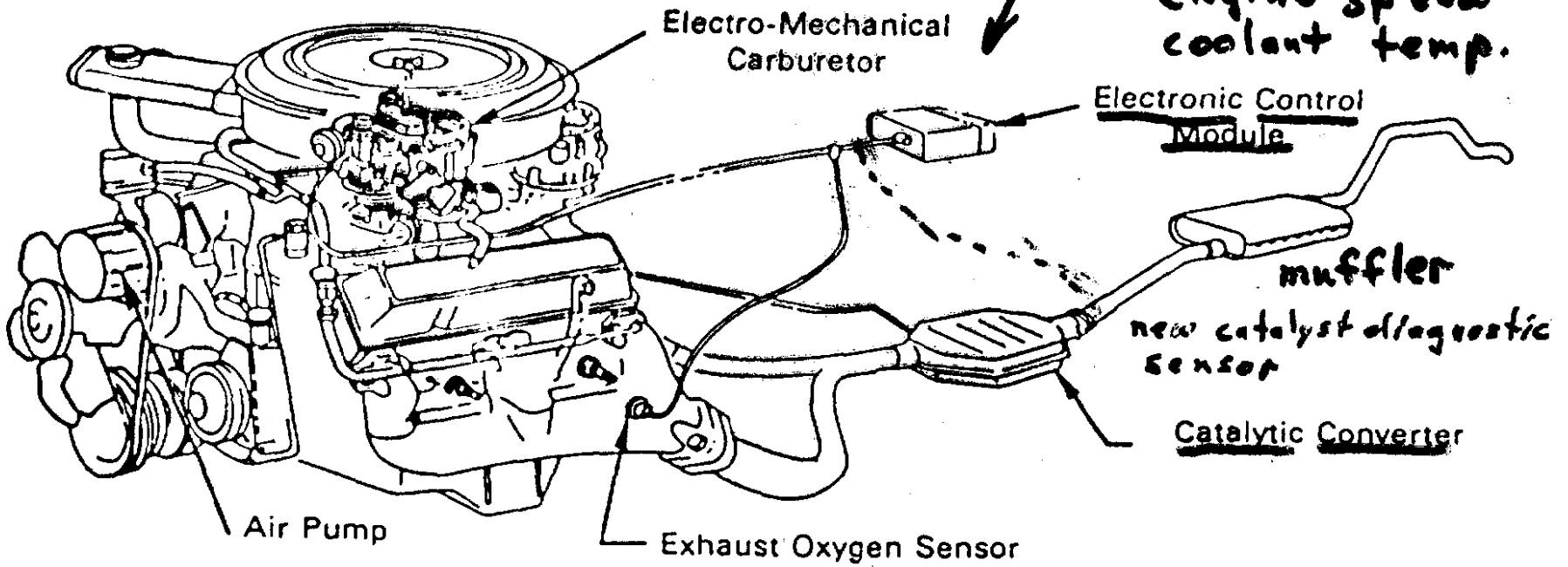
# Catalyst Conversion Efficiencies vs. Air/Fuel Ratio - Three-Way Catalysis



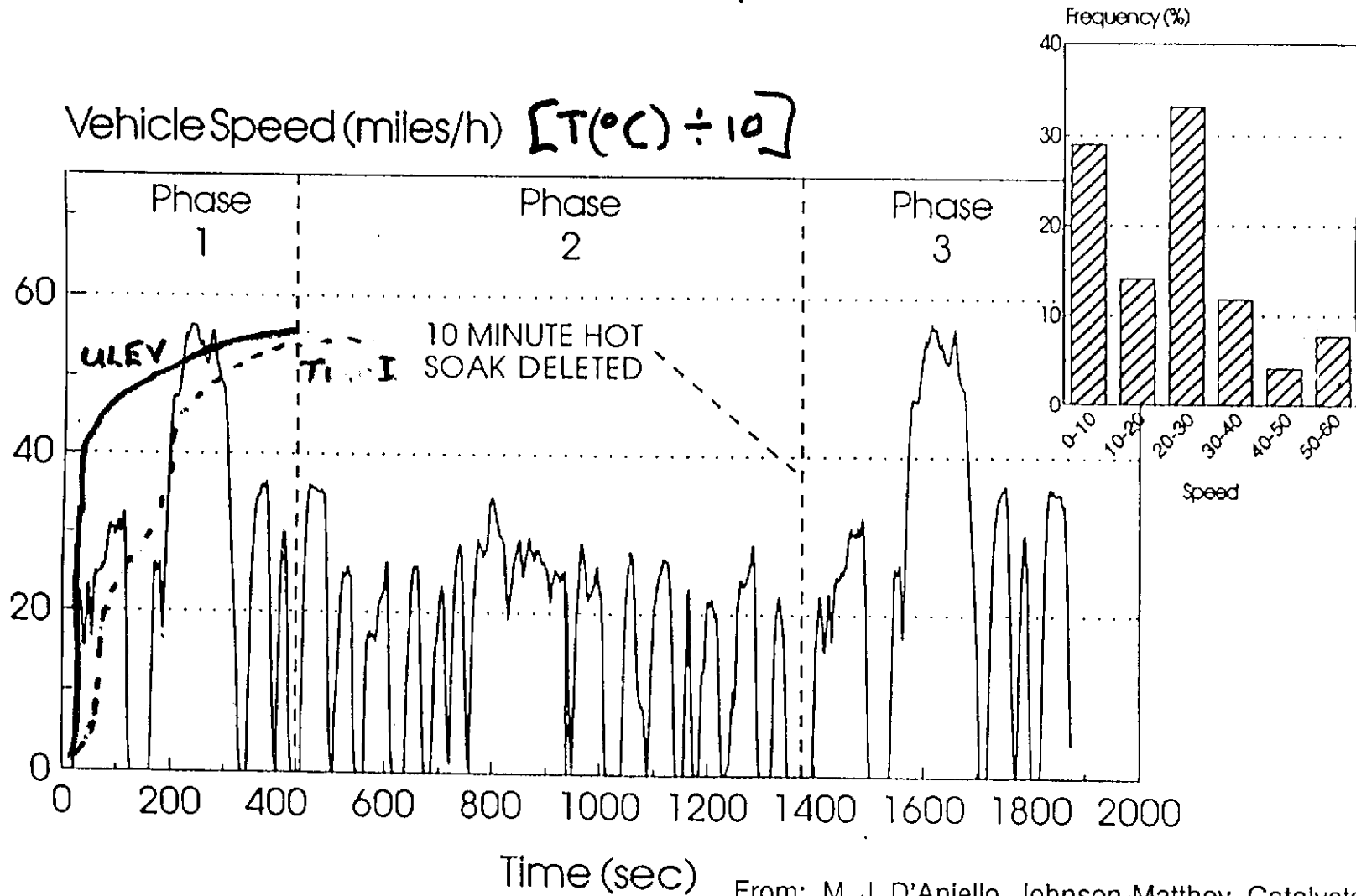
- Closed Loop A/F Control at Stoichiometry
- Simultaneous HC, CO, NOx conversion
- Using Ceria Under Cycled A/F Conditions Should Broaden the Window of High Conversion

Inputs

- O<sub>2</sub>
- mass air flow
- manifold temp.
- manifold press.
- throttle position
- engine speed
- coolant temp.



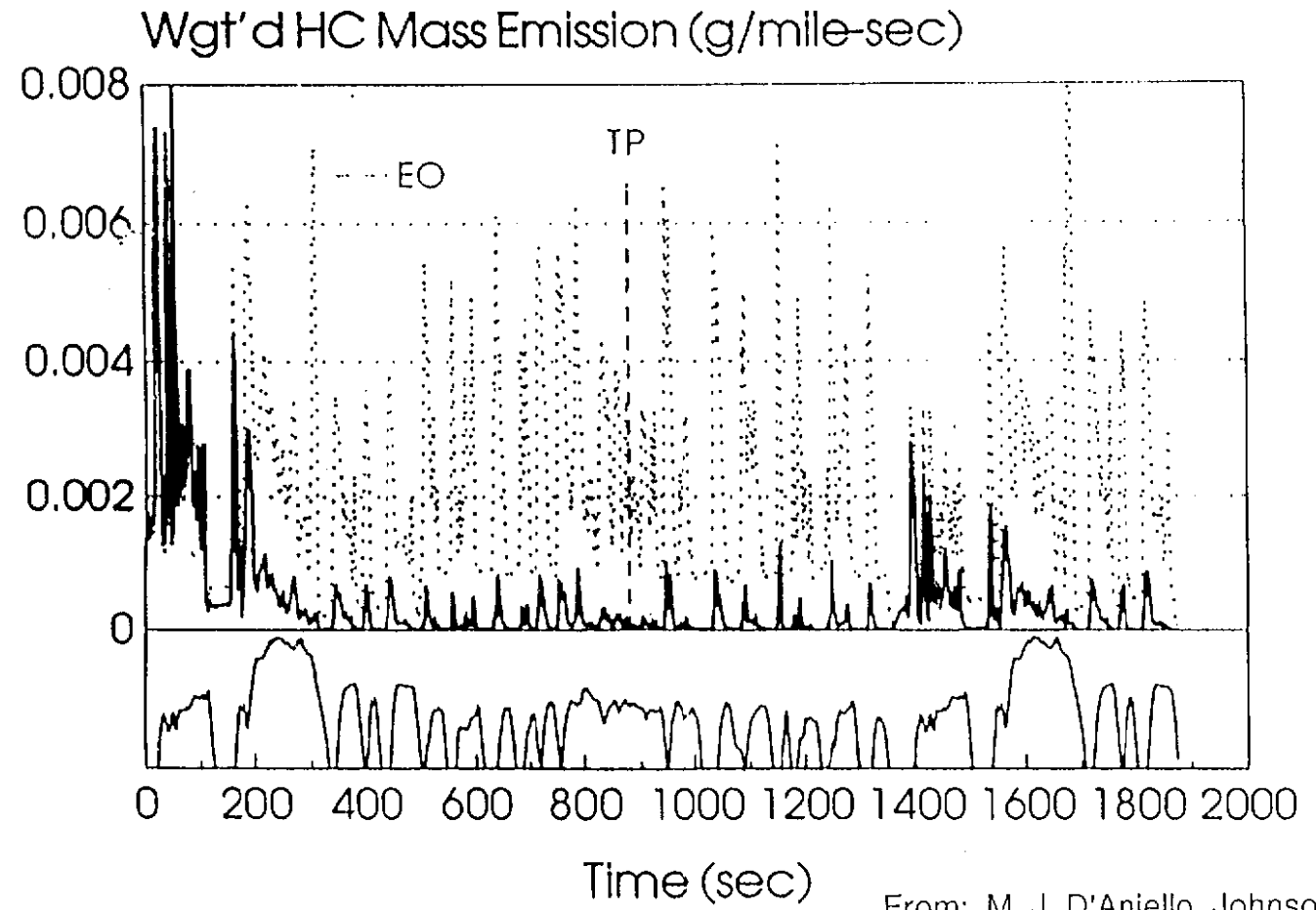
# Federal Test Procedure (FTP) Vehicle speed



From: M. J. D'Aniello, Johnson-Matthey, Catalysts & Emission Control TOPTec, Troy, MI, 9/20/94

# Vehicle Emissions

## EO/TP Weighted HC Mass Emission



From: M. J. D'Aniello, Johnson-Matthey, Catalysts & Emission Control TOPTec, Troy, MI, 9/20/94

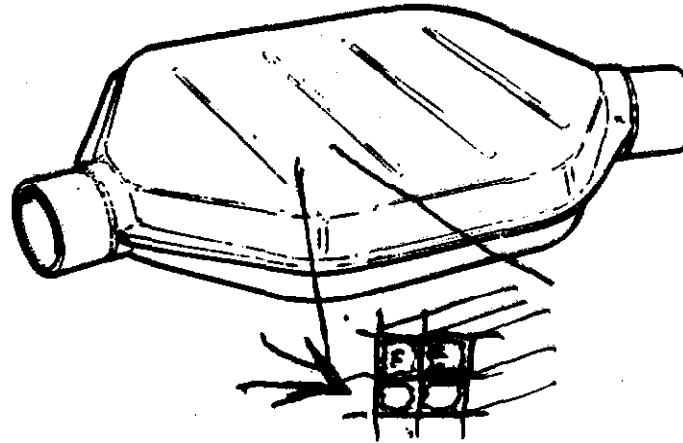
# THREE-WAY CATALYST

*Catalyst outlet + 6"*  
*T ~ 250 - 600°C*

*Catalyst Inlet*  
*T ~ 300 - 700°C*

**ENGINE EMISSIONS**

- { C<sub>3</sub> equiv. }*  
*(500-700 ppm) HC*
- (3000-5000 ppm) CO*
- (500-2000 ppm) NO<sub>x</sub>*
- (2-70 ppm) SO<sub>2</sub>*
- (0.3-1%) O<sub>2</sub>*
- (1000-1700 ppm) H<sub>2</sub>*



**TAILPIPE EMISSIONS**

- H<sub>2</sub>O ~ 10%*
- CO<sub>2</sub> ~ 12%*
- N<sub>2</sub> Balance*

**(ALL HARMLESS)**

- HC: (0-20 ppm)*
- CO: (0-150 ppm)*
- NO<sub>x</sub>: (0-50 ppm)*
- SO<sub>2</sub> (H<sub>2</sub>S): (2-70 ppm)*

Major gases emitted by the engine are:

|                              |                      |                               |                       |
|------------------------------|----------------------|-------------------------------|-----------------------|
| <b>HYDROCARBONS</b> .....    | <b>HC</b>            | <b>WATER VAPOR</b> .....      | <b>H<sub>2</sub>O</b> |
| <b>CARBON MONOXIDE</b> ..... | <b>CO</b>            | <b>OXIDES of NITROGEN</b> ... | <b>NO<sub>x</sub></b> |
| <b>OXYGEN</b> .....          | <b>O<sub>2</sub></b> | <b>HYDROGEN</b> .....         | <b>H<sub>2</sub></b>  |
| <b>NITROGEN</b> .....        | <b>N<sub>2</sub></b> | <b>SULFUR DIOXIDE</b> .....   | <b>SO<sub>2</sub></b> |

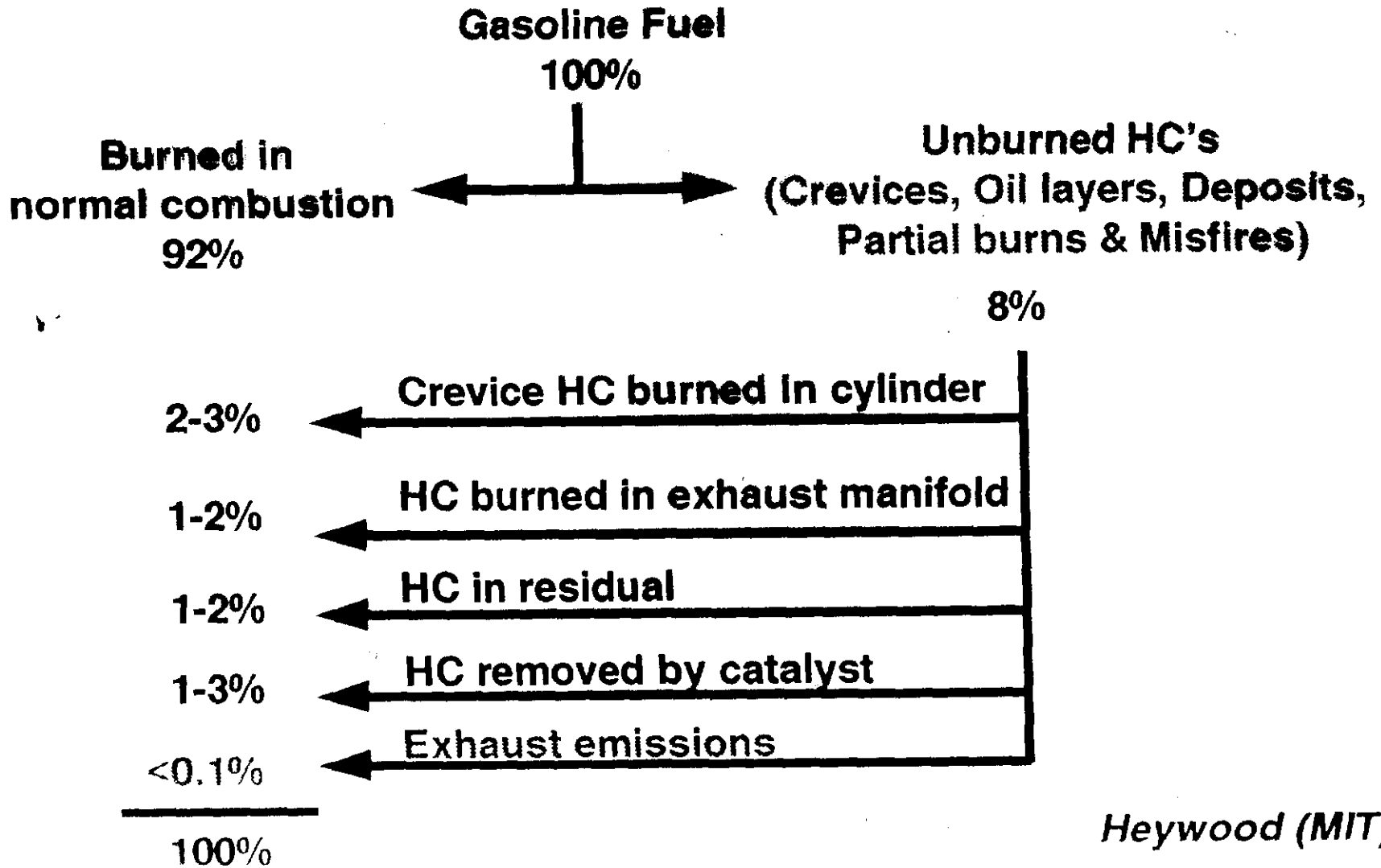
Environmentally regulated gases are hydrocarbons (HC), carbon monoxide (CO) and oxides of nitrogen (NO<sub>x</sub>).

*For V6*  
*on FTP*  
*Test*

- HC ~ 1.5-2.0 g/mi*
- CO ~ 9-11 g/mi*
- NO<sub>x</sub> ~ 2.0 g/mi*

|                  | <i>standard</i>                 | <i>conv. eff.</i> |
|------------------|---------------------------------|-------------------|
| <i>To meet</i>   |                                 |                   |
| <i>ULEV</i>      | <i>HC, 0.4 g/mi</i>             | <i>~ 97%</i>      |
| <i>standards</i> | <i>CO, 1.7 g/mi</i>             | <i>~ 83%</i>      |
|                  | <i>NO<sub>x</sub>, 0.4 g/mi</i> | <i>~ 90%</i>      |

# *Fate of Fuel in Warmed-up Four - Stroke Engine*

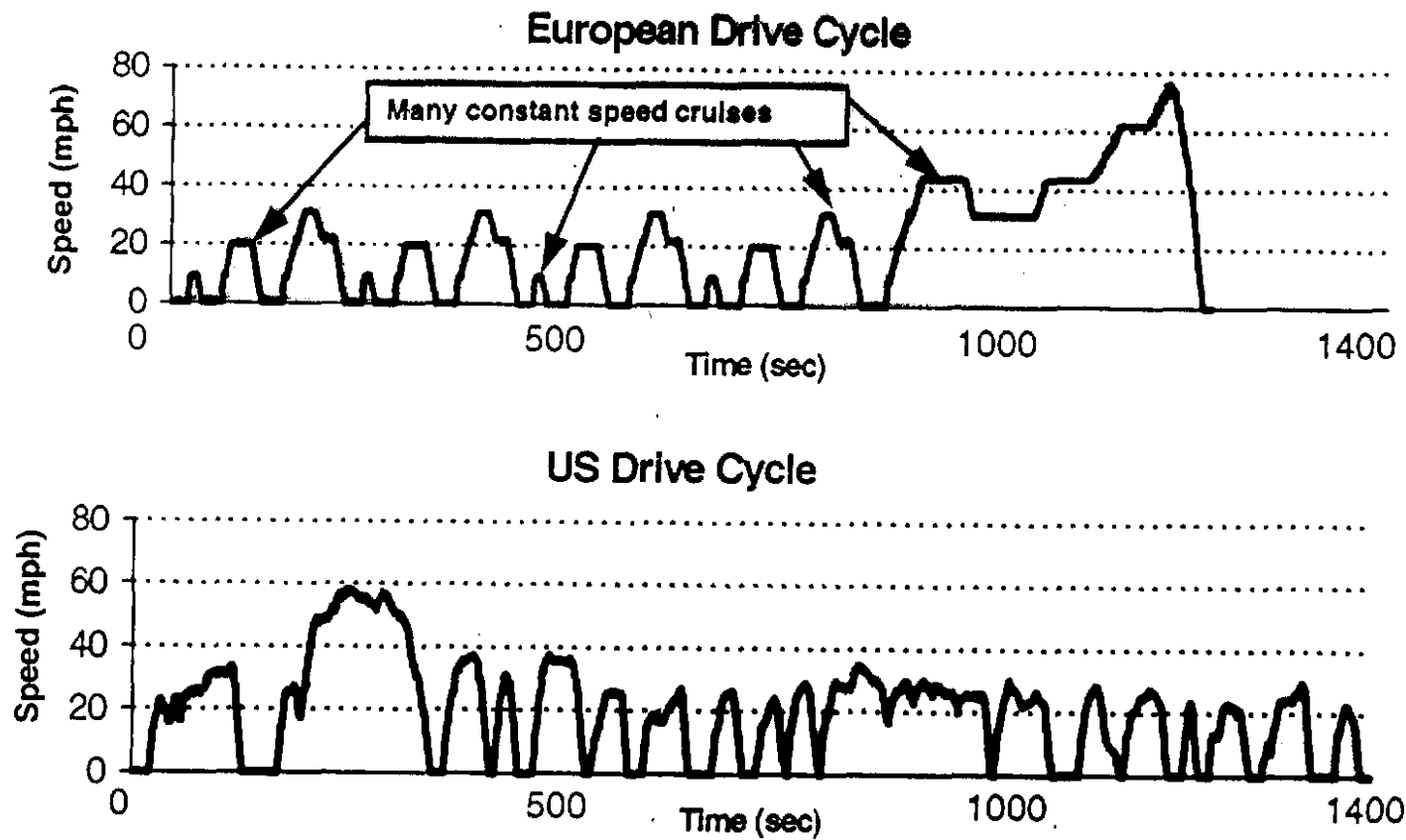


Heywood (MIT)

# Exhaust Hydrocarbon Speciation Top Ten Engine-out and Tailpipe Hydrocarbons

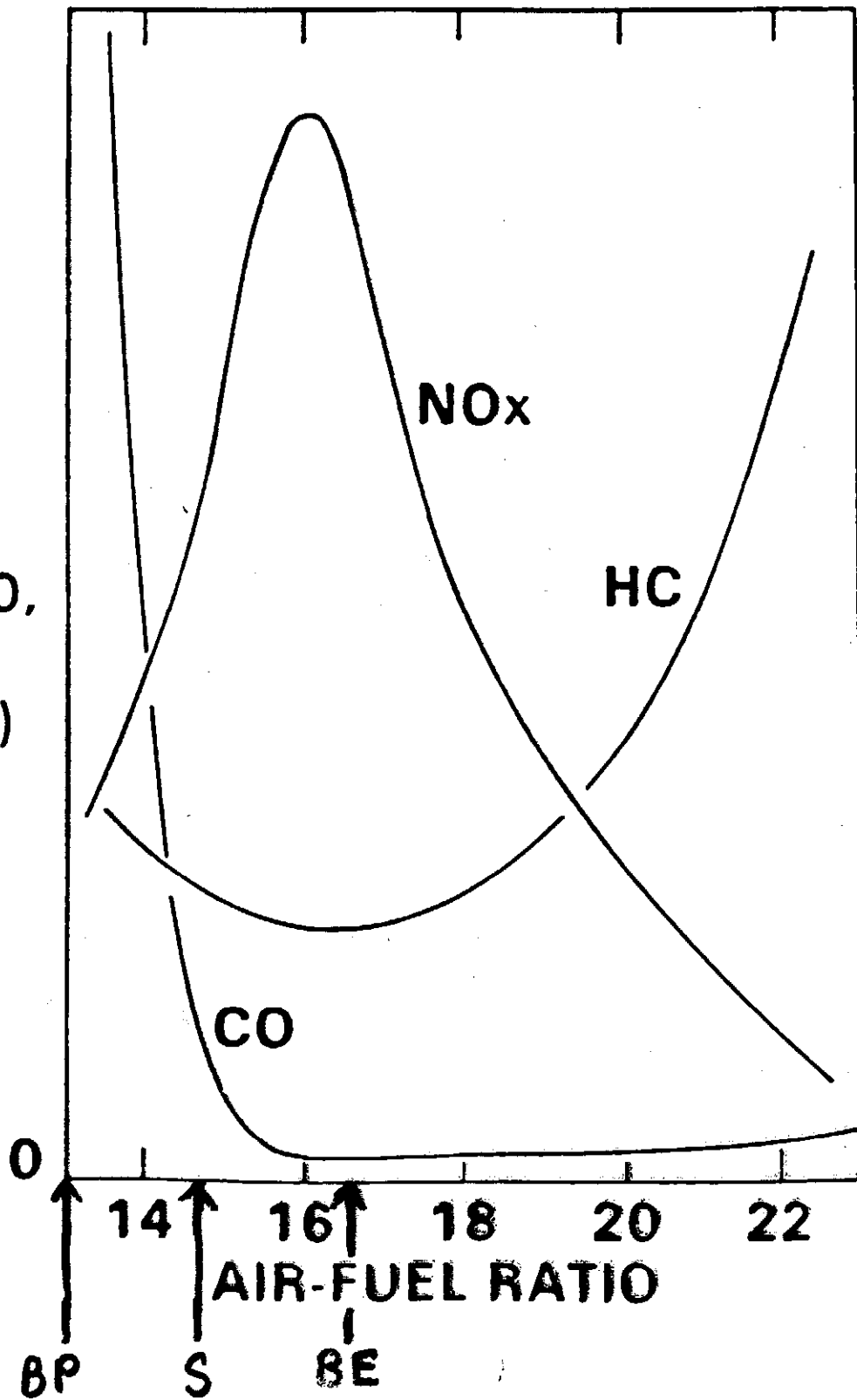
| Hydrocarbon<br>mg/mi: | mg NMOG/mi |        | mg O <sub>3</sub> /mi |
|-----------------------|------------|--------|-----------------------|
|                       | EO         | TP     | TP <sub>OFP</sub>     |
|                       | 961.62     | 108.53 | 392.6                 |
|                       | %          | %      | %                     |
| Propene               | • 6.7      | 5.1    | • 13.2                |
| Ethene                | • 10.7     | • 6.3  | • 12.7                |
| m&p-Xylene            | 4.3        | 4.0    | • 9.0                 |
| 2M-Propene            | 4.9        | 5.1    | 7.4                   |
| Toluene               | • 6.9      | • 7.7  | 5.8                   |
| Formaldehyde          | 2.4        | 2.2    | 4.4                   |
| 1,2,4-TM-Benzene      | 0.9        | 1.3    | 3.1                   |
| 2M-Butane             | 2.7        | • 7.8  | 3.0                   |
| o-Xylene              | 1.4        | 1.4    | 2.4                   |
| 1,3-Butadiene         | 1.2        | 0.7    | 2.0                   |

# European and U.S. Drive Cycles

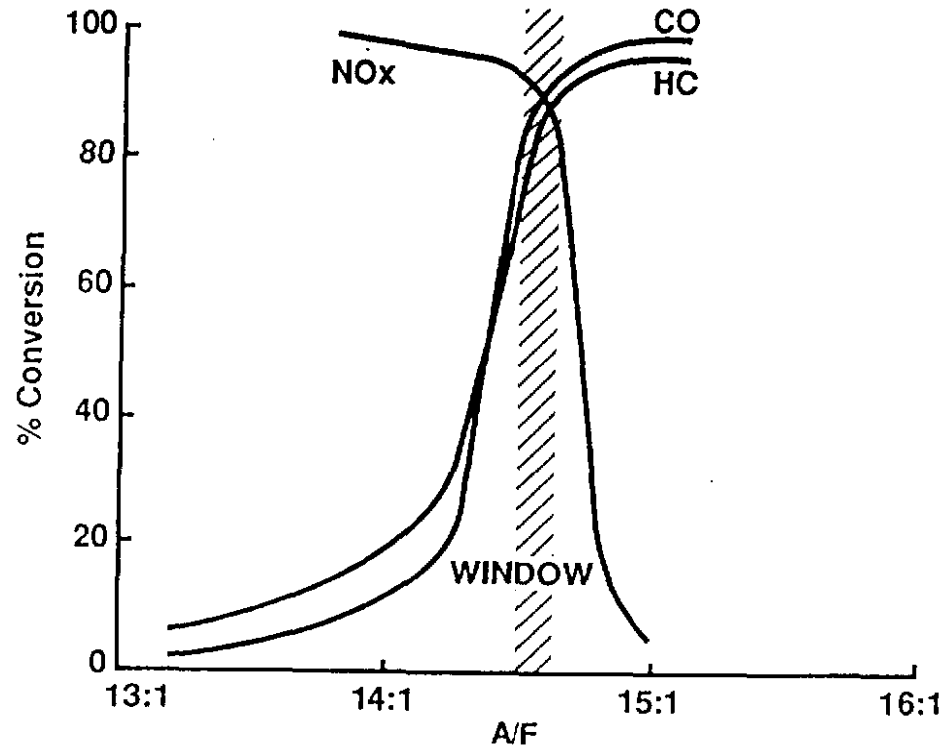




BSHC,  
BSCO/10,  
BSNO<sub>x</sub>  
(g/kW h)

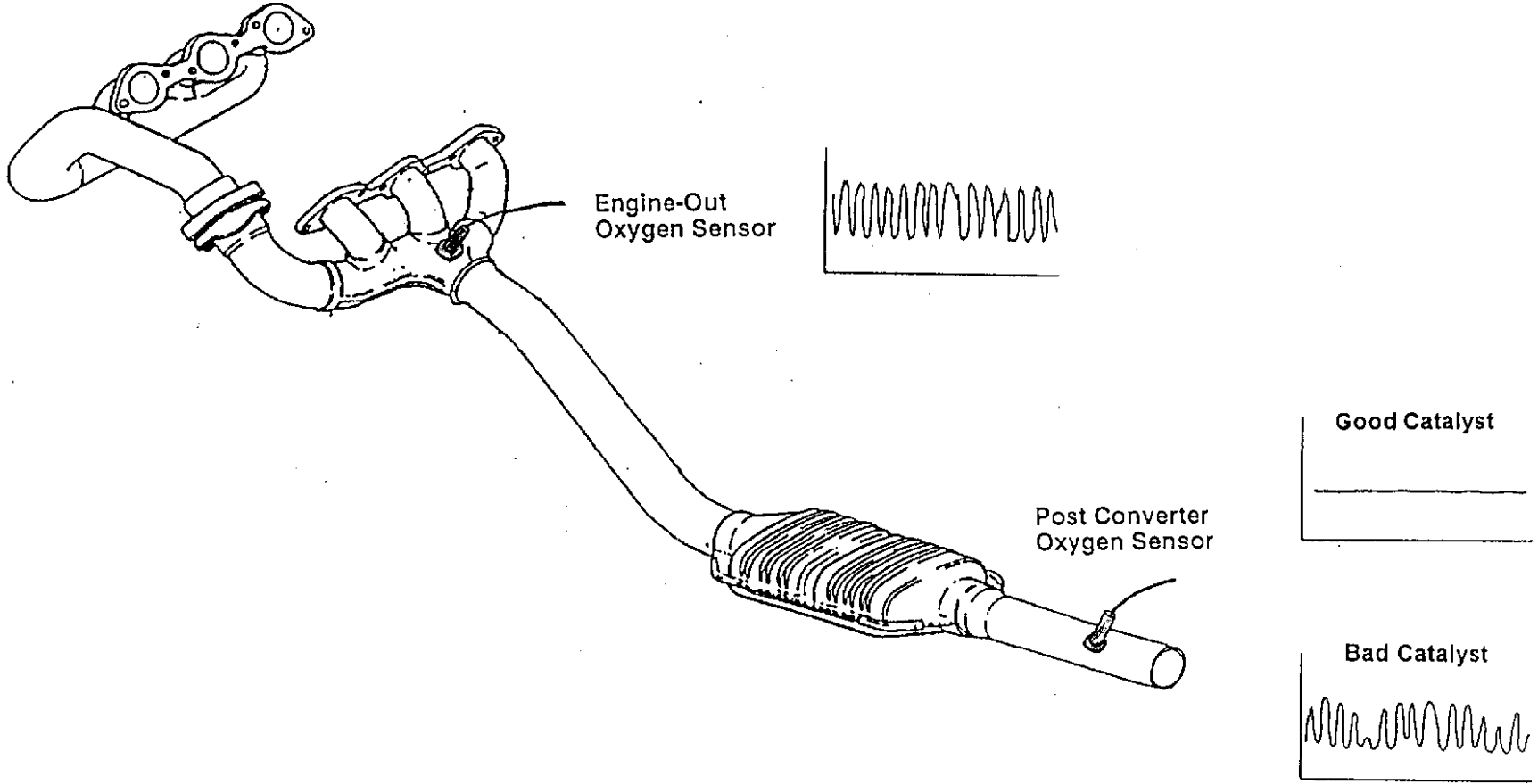


# Catalyst Conversion Efficiencies vs. Air/Fuel Ratio - Three-Way Catalysis

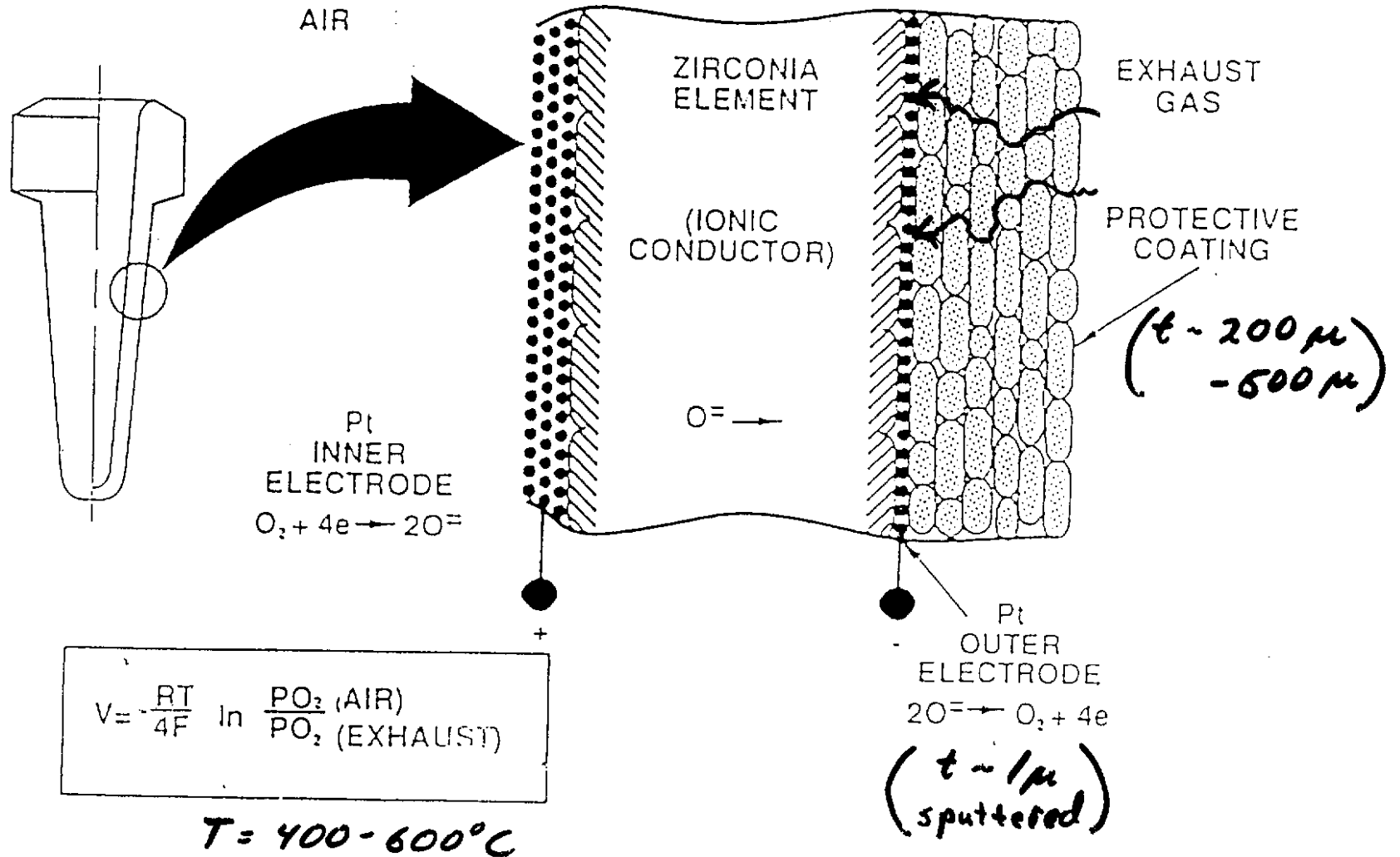


- Closed Loop A/F Control at Stoichiometry
- Simultaneous HC, CO, NOx conversion
- Using Ceria Under Cycled A/F Conditions Should Broaden the Window of High Conversion

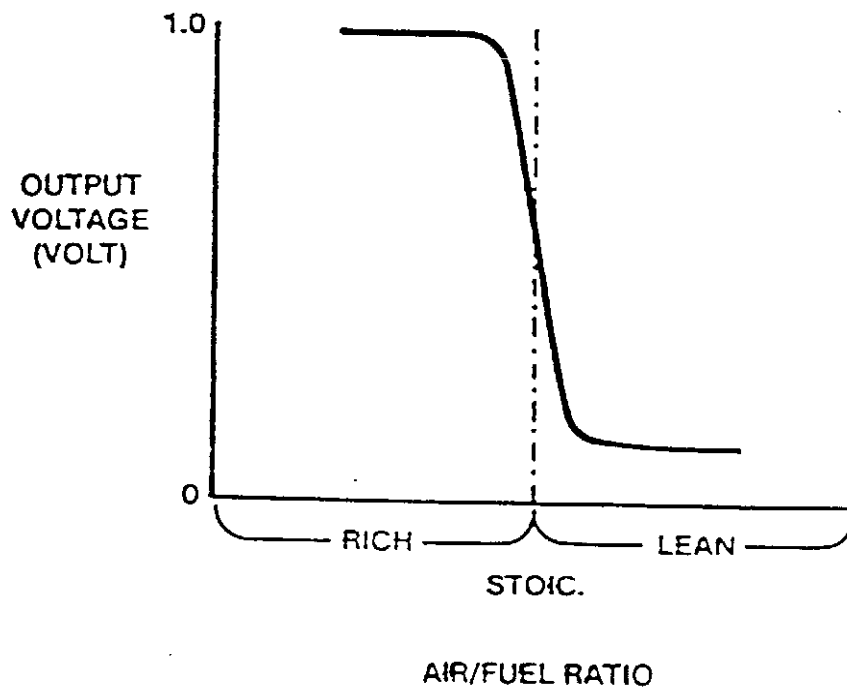
# Dual O2 Sensor Method



# ZIRCONIA CELL



# SENSOR VOLTAGE CURVE



# Sensors for On-Board Catalyst Monitoring

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- **Constituent Gas Sensors**

- » Exhaust gas sensors ( $O_2$ , CO, NO, HC,  $H_2$ )

- Electrochemical (EC) sensors dominant for  $O_2$  (A/F ratio)
- Dual-element calorimetric sensor
- Resistive sensors ( $SnO_2$ ,  $SrTiO_3$ , ...)
- Pt (Pd) MOSFET

- » Fuel cell sensors ( $H_2$ , CO, ...)

- High and low concentration hydrogen
- low concentration CO

# National Energy Technology Laboratory

## Overview

and

## Office of Coal and Environmental Programs



Carl O. Bauer, Associate Director



April 2001



# National Energy Technology Laboratory



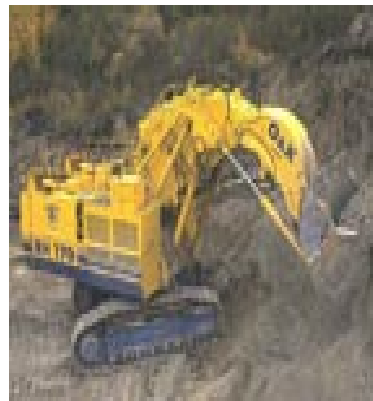
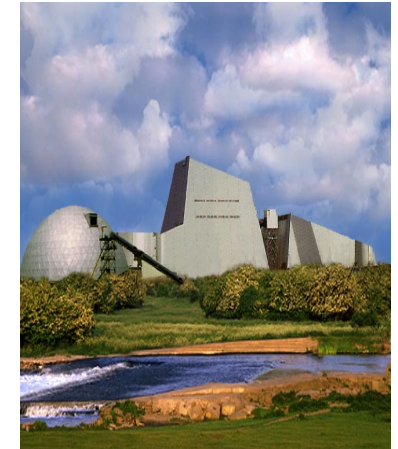
- **DOE's Only Fossil Energy National Laboratories**
- **Extensive extramural R&D with strong industry ties**
- **Focused on-site science and technology R&D**
- **Technical support for energy and environmental policy development**
- **Only Government-owned and -operated National Laboratory**



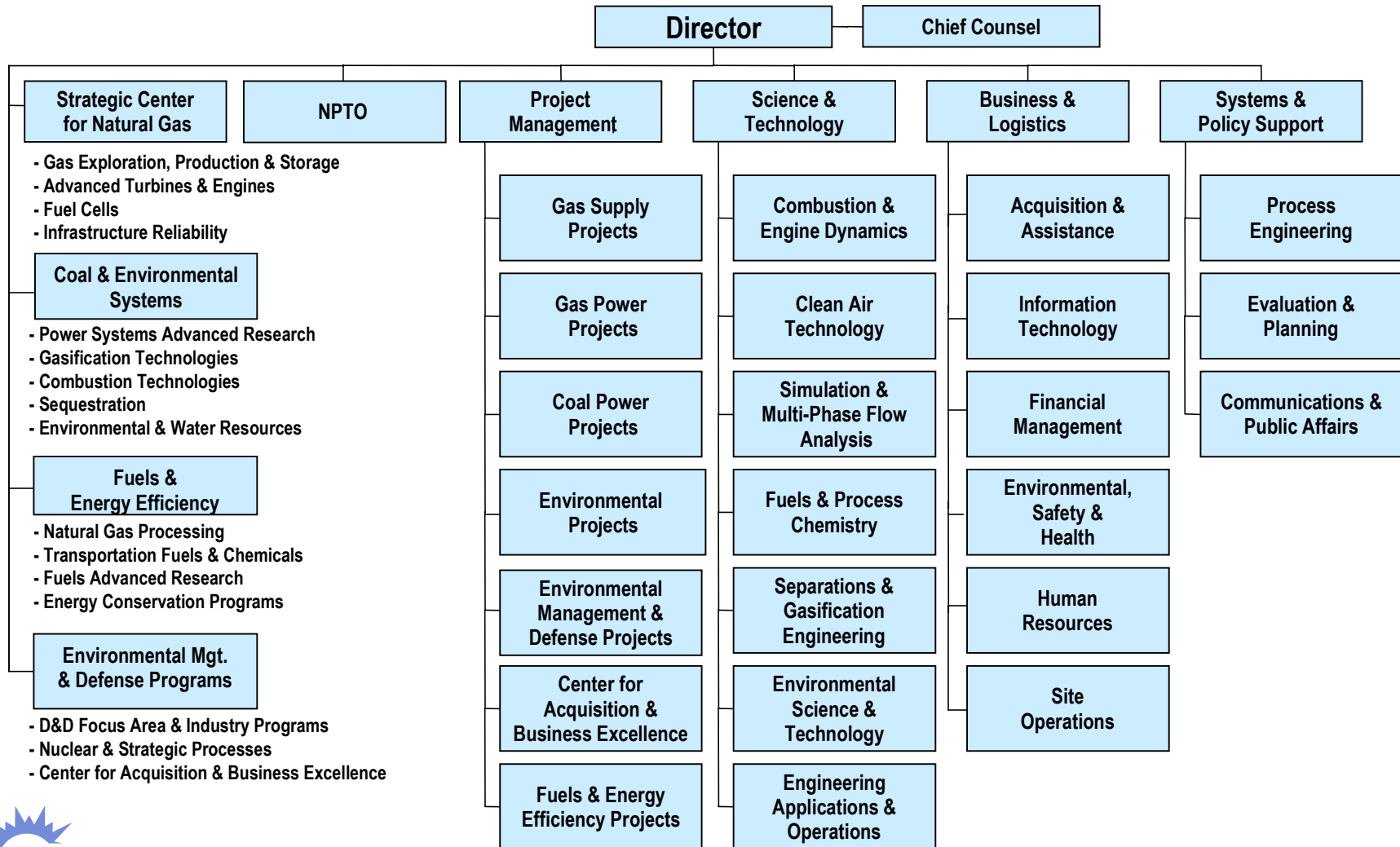


# Our Mission

- **Resolve the environmental, supply, and reliability constraints of producing and using fossil resources to provide Americans with a stronger economy, healthier environment, and more secure future**



# NETL



# Fossil Energy RD&D Activities Managed as Four Program Areas by NETL

**Electric Power  
Using Coal**  
*Mining to Light Switch*



**Energy  
Policy Support**  
*A Key Issue in Use  
of Fossil Energy*



**Strategic Center for  
Natural Gas**  
*Borehole to Burner Tip*



**Clean Fuels**

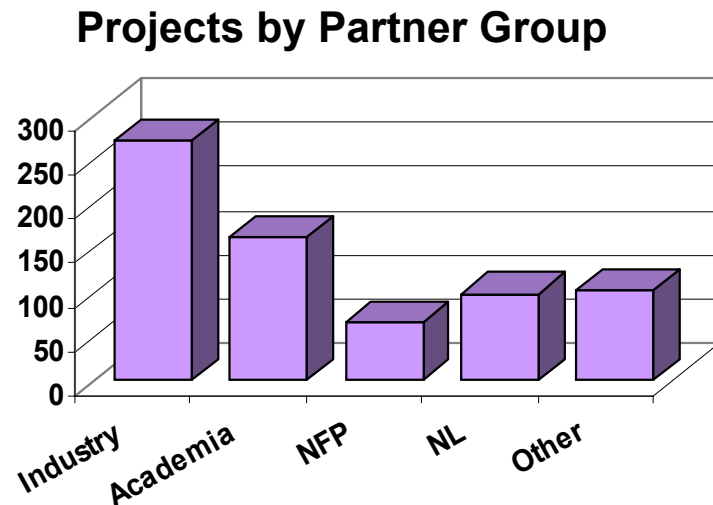
**Oil Supply  
NPTO**

**Fuels from  
Coal and Gas**  
*Supply and Delivery of Clean  
Fuels for Transportation/  
Other End Use Sectors*

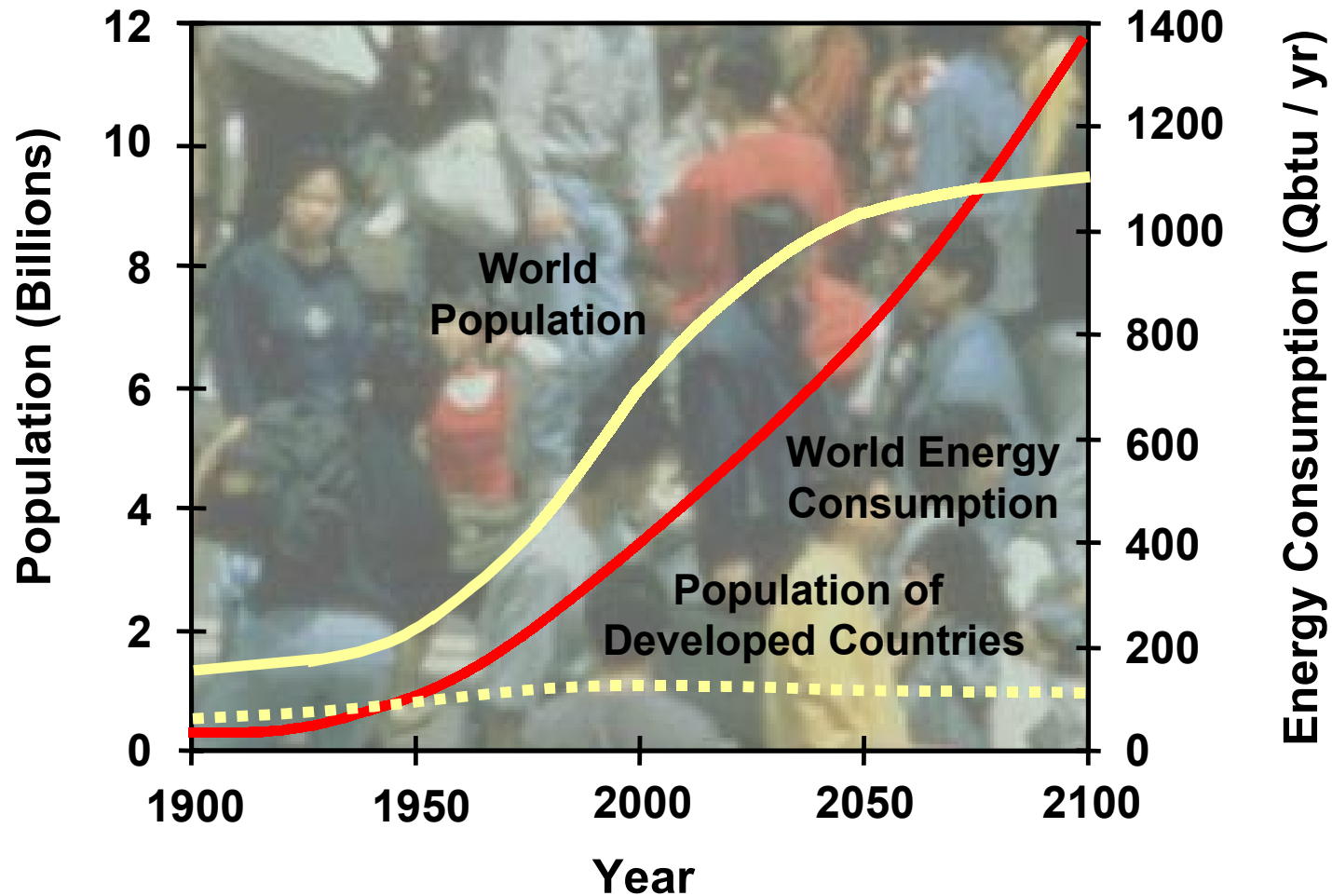


# An Extensive Portfolio of Projects with External Organizations

- Over 800 research activities in all 50 states and 16 countries
- Total award value of \$7.3 billion
- Research performers include:
  - Private industry
  - Universities/colleges
  - Not-for-profit labs
  - Other DOE national labs
  - Others
- Private sector cost sharing of \$3.9 billion
  - Leverages DOE funding
  - Ensures relevance
  - Mission accomplishment only through commercialization
- 55 active MOU's and MOA's



# World Energy Use Is Growing Dramatically

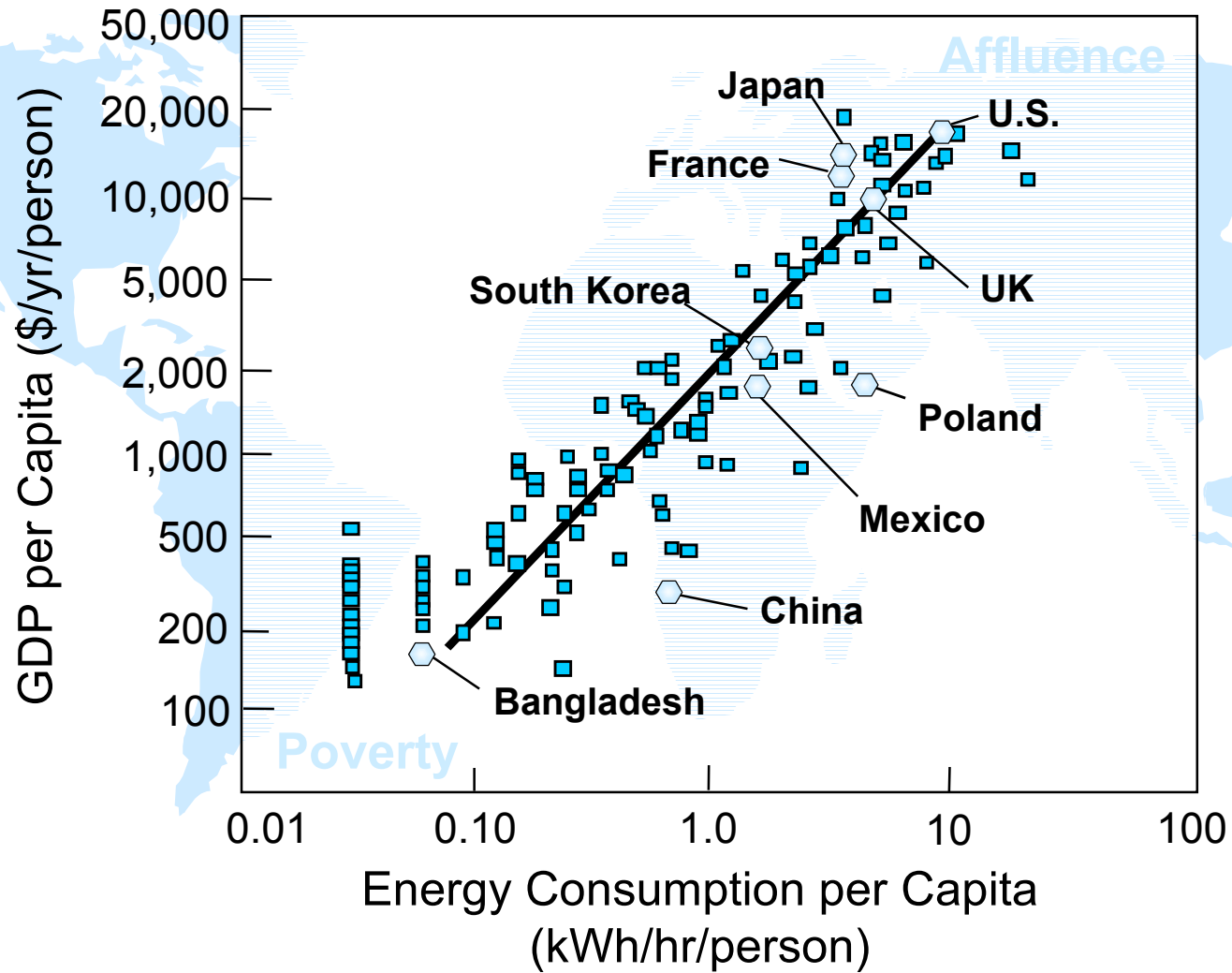


Population Projections: United Nations "Long-Range World  
Population Projections: Based on the 1998 Revision"

Energy Projections: "Global Energy Perspectives" ITASA / WEC



# The World Needs Low-Cost Energy



# Replacements for Fossil Energy?

- **Wind/hydro/geothermal**
  - Not enough
- **Biomass**
  - Transportation, land use, expense
- **Solar**
  - Land use, capital cost, storage
- **Nuclear**
  - Expense, politically difficult, proliferation issue
- **Hydrogen**
  - Cost



**Needed: An Affordable, Clean, and Abundant Energy Source**  
*No Known Source Meets These Criteria*



# Electric Power Using Coal

## *Mining to Light Switch*



### Existing Fleet Technologies

- Emission control (NO<sub>x</sub>, SO<sub>x</sub>, PM<sub>2.5</sub>, mercury/air toxics)
- Efficiency improvements (Clean Coal Demonstrations)

### Mid-Term Markets

- Improved environmental technology
- Efficiency improvements
- Repowering & retrofitting
- Power Plant Improvement Initiative

### Vision 21-Future Energy Plants

- Near-zero emissions
- Technology innovation
- Market flexibility and competitive economics

### Carbon Sequestration: An Important Option to Address Climate Change

- Low-cost capture
- Long-term storage

### Mining/Water: Addressing Energy Supply Issues

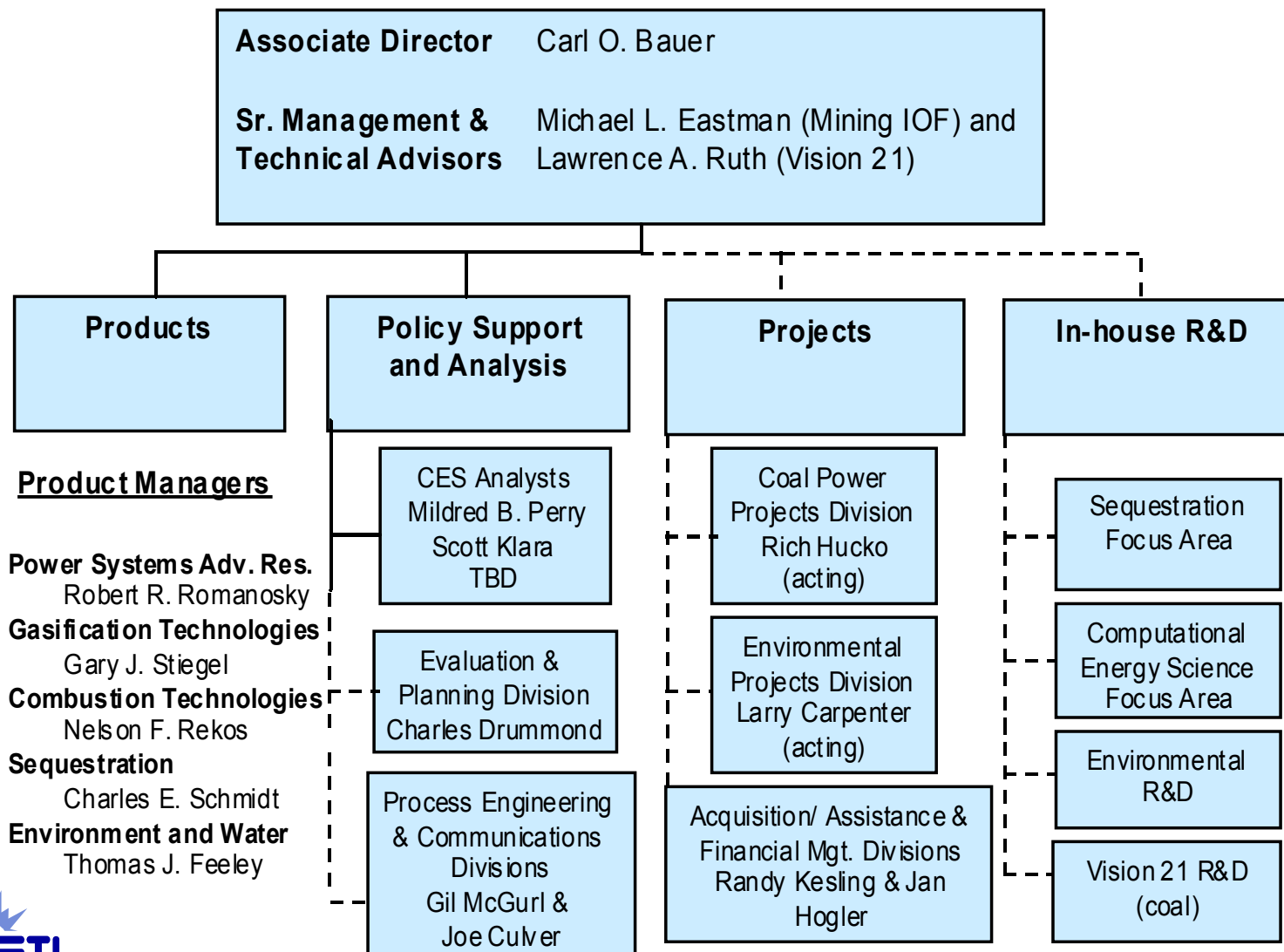
- Mining “Industry of the Future”
- Watershed management



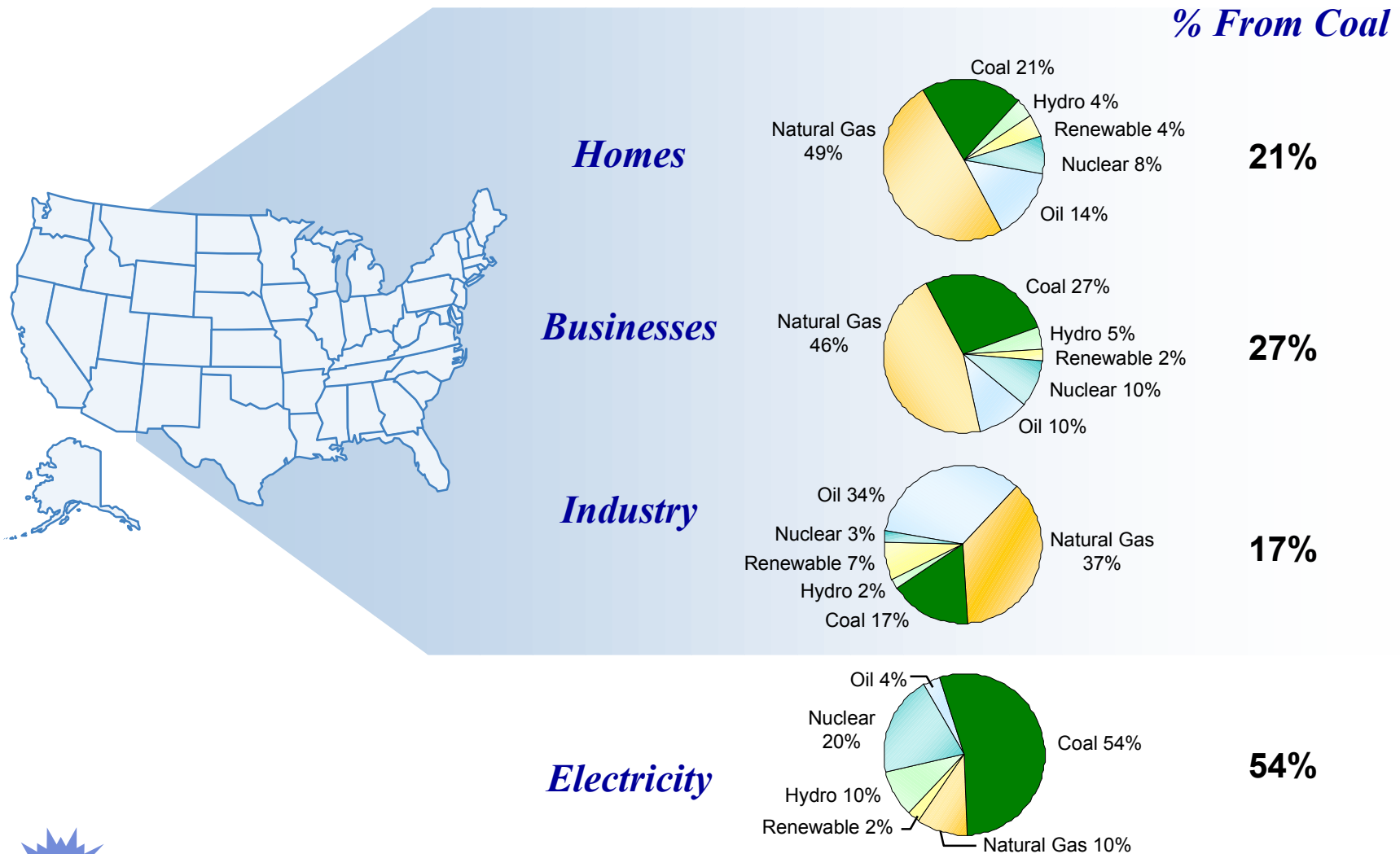


# Coal and Environmental Systems Program

## “A Strategic Center for Coal”



# Coal Meets Much of Our Stationary Energy Needs



Source: EIA, Annual Energy Outlook, 2001

April 2001    Descriptor - include initials, /org#/date



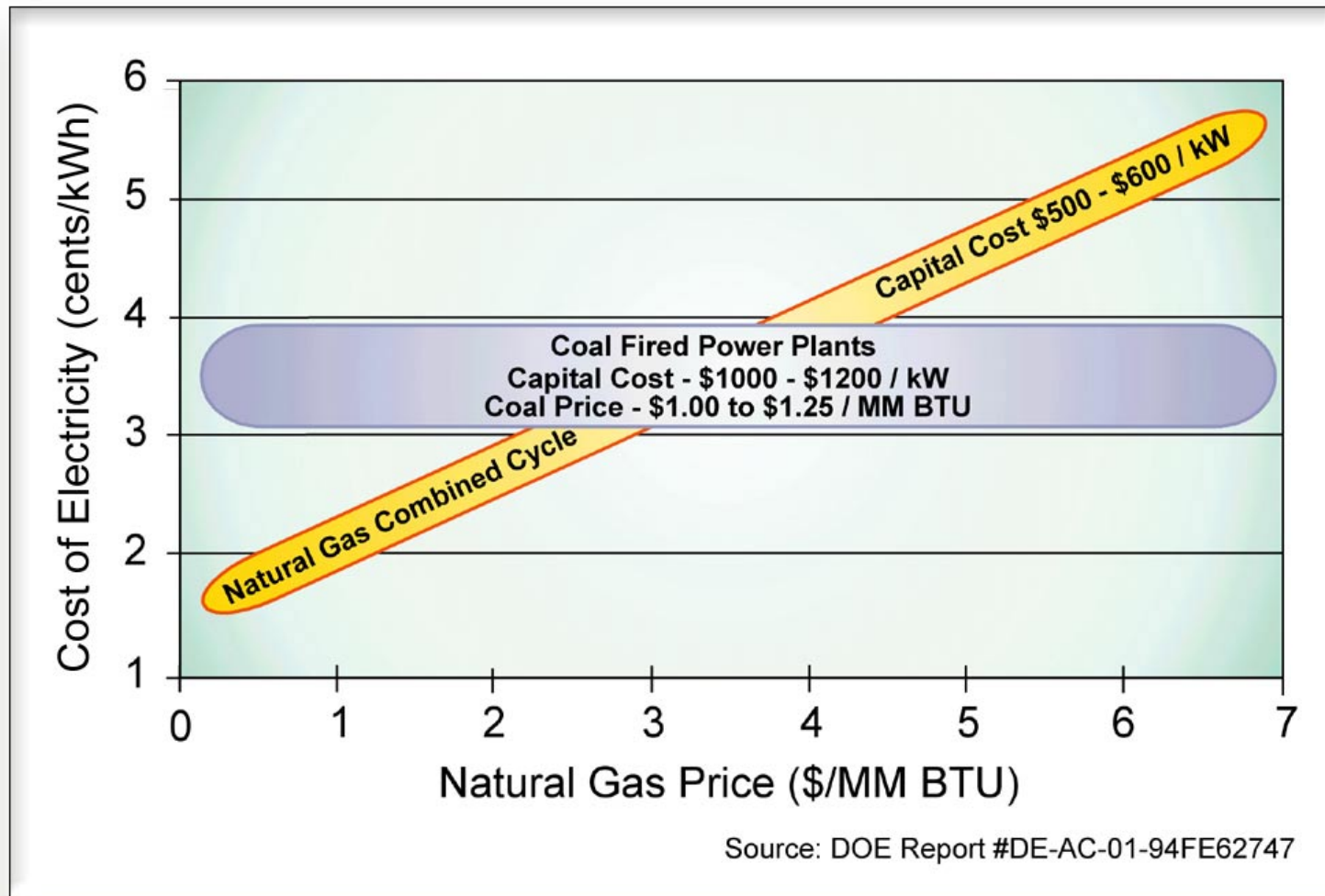
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# Benefits Legacy from CCT Program and Associated RD&D

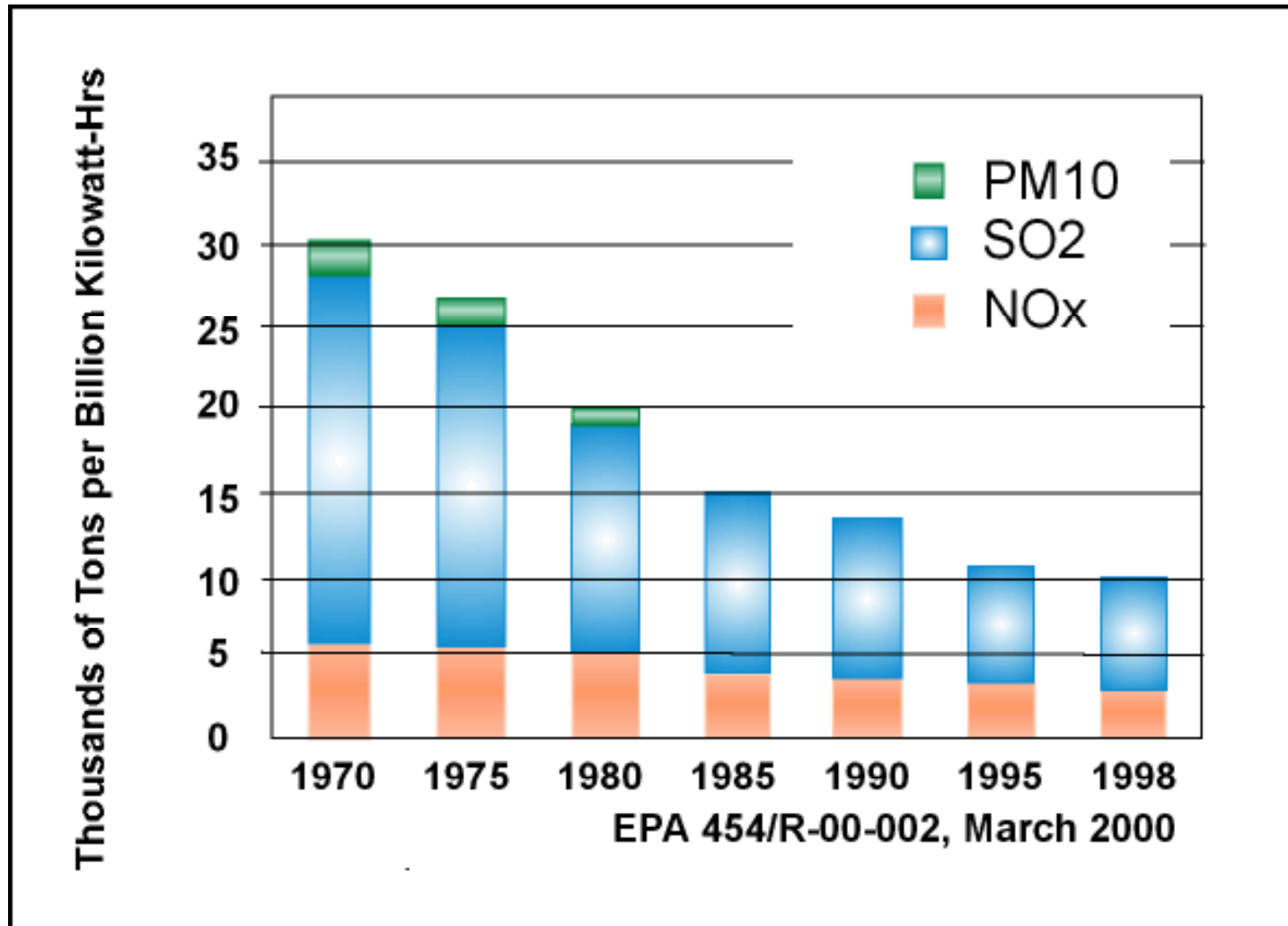
- Life-Cycle Cost Savings to Industry and the Public for Near-Term Deployment
  - Lower capital and operating costs for advanced power plants and NO<sub>x</sub> and SO<sub>2</sub> pollution control systems equate to \$23 billion.
  - Lower compliance costs for air toxics and solid waste, through technology development, is estimated at \$70 billion.
  - Market value of SO<sub>2</sub> and NO<sub>x</sub> reduction is estimated at \$10 billion.
  - Improved waste characterization and advances in waste recovery are estimated to result in a \$25 billion cost benefit.



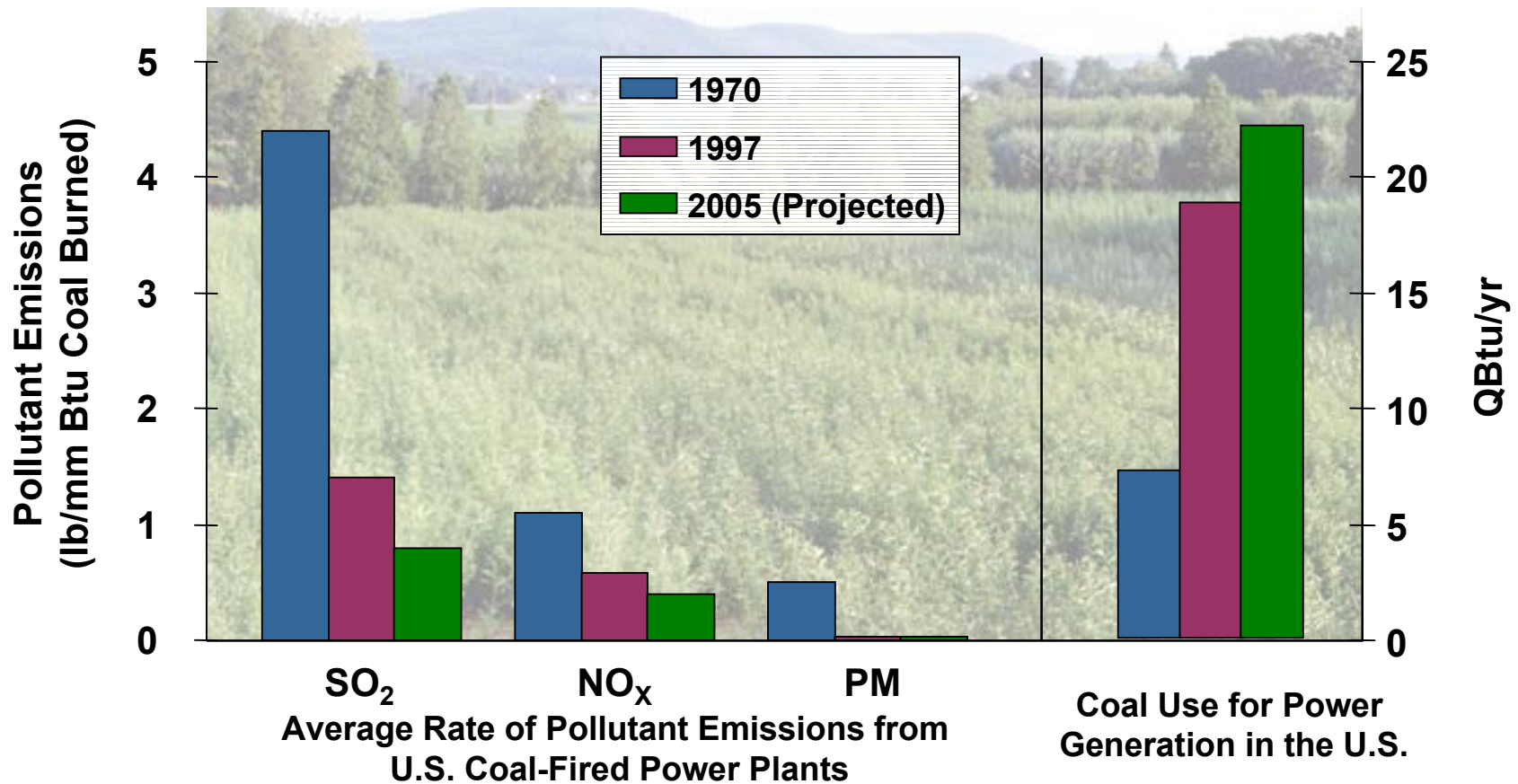
# Coal Technologies Are Cost Competitive



# Coal Technologies Keep Getting Cleaner



# Improved Environmental Performance



**Successes to date:** pollutant emissions per unit of coal burned have decreased significantly



## Comparison of Power Generation Technologies

|   | Average<br>(1999) | State-of-the-Art<br>(2000) |                  |                  | Future<br>(2010) |                  |                 |
|---|-------------------|----------------------------|------------------|------------------|------------------|------------------|-----------------|
|   | PC                | PC                         | IGCC             | NGCC             | PC               | IGCC             | NGCC            |
| Nominal Efficiency<br>HHV % (LHV%)                              | 33                | 40                         | 43               | 52<br>(57)       | 44               | 52               | 58<br>(63)      |
| SO <sub>2</sub> Emissions<br>lb/10 <sup>6</sup> Btu<br>(lb/MWh) | 1.3<br>(13.8)     | 0.05<br>(0.5)              | 0.02<br>(0.15)   | ~ 0              | 0.025<br>(0.2)   | 0.017<br>(0.13)  | ~ 0             |
| NO <sub>x</sub> Emissions<br>lb/10 <sup>6</sup> Btu<br>(lb/MWh) | 0.5<br>(5.2)      | 0.15<br>(1.3)              | 0.04<br>(0.31)   | 0.028<br>(0.20)  | 0.03<br>(0.3)    | 0.024<br>(0.18)  | 0.028<br>(0.20) |
| Particulate Emissions<br>lb/10 <sup>6</sup> Btu<br>(lb/MWh)     | 0.05<br>(0.5)     | 0.01<br>(0.08)             | 0.007<br>(0.053) | ~ 0              | 0.01<br>(0.08)   | 0.002<br>(0.015) | ~ 0             |
| Fuel Type<br>Cost - \$/10 <sup>6</sup> Btu                      | Coal<br>1.2       | Coal<br>1.2                | Coal<br>1.2      | Gas<br>3.5 - 7.5 | Coal<br>1.1      | Coal<br>1.1      | Gas<br>4.0-7.0  |
| Capital Cost<br>1999 \$/kW                                      | N/A               | 1000                       | 1200             | 550              | 950              | 1000             | 500             |
| Cost of Electricity<br>1999 ¢/kWh                               | 4.0               | 3.5                        | 3.7              | 4.0 - 6.8        | 3.4              | 3.1              | 3.5-6.0         |



## Basis / Assumptions for Technology Comparisons

|   | Average<br>(1999)                                   | State-of-the-Art<br>(2000)                  |                                   |   | Future<br>(2010)                    |                            |   |
|---|---|---|-----------------------------------|---|-------------------------------------|----------------------------|---|
|   | PC  | PC  | IGCC                              | NGCC  | PC                                  | IGCC                       | NGCC                                      |
| <b>Technology</b>   | Sub Critical  | Super Critical                              | Texaco O <sub>2</sub> Blown       | “H” Frame   | Ultra Super Critical                | Advances in Sub Components | Next Generation Turbine                   |
| <b>SO<sub>2</sub> Control Technology</b>  | Low Sulfur Coal and/or FGD                          | Wet Limestone 96% - 98%                     | Amine & Claus or Hot Gas Clean-Up | Sulfur free natural gas   | Wet Limestone > 99%                 | Hot Gas Clean-Up           | Sulfur free natural gas                   |
| <b>NO<sub>x</sub> Control Technology</b>  | Combustion Mods such as Low NO <sub>x</sub> Burners | Low NO <sub>x</sub> Burner, and SNCR or SCR | Quench & Staged Combustion        | Combustion Mods such as zoning / staging  | Low NO <sub>x</sub> Burner, and SCR | Quench & Staged Combustion | Combustion Mods, such as zoning / staging |
| <b>Particulate Control Technology</b>   | Baghouse or ESP                                     | Baghouse or ESP                             | Ceramic Candle Filter             | Particulate free Natural gas  | Baghouse or ESP                     | Ceramic Candle Filter      | Particulate free Natural gas              |
| <b>Size (MW)</b>  | 350   | 400   | 350                               | 400   | 400                                 | 500                        | 400                                       |
| <b>Notes:</b> Assumes leveled costs<br>20 year book life<br>Nominal 70% plant capacity factor<br>Current maximum NSPS limits applicable to these plants <ul style="list-style-type: none"> <li>➤ SO<sub>2</sub> – 1.2 lbs/10<sup>6</sup> Btu and 90% reduction or 0.6 lbs/10<sup>6</sup> Btu and 70% reduction</li> <li>➤ NO<sub>x</sub> – 1.6 lbs/10<sup>6</sup> Btu for new construction</li> <li>➤ PM – 0.03 lbs/10<sup>6</sup> Btu</li> </ul> |   |   |                                   | <b>Nomenclature:</b> PC = Pulverized Coal<br>IGCC = Integrated Gasification Combined Cycle<br>NGCC = Natural Gas Combined Cycle   |                                     |                            |   |
|   |   |   |                                   | <b>References:</b> DOE Report #DE-AC01-94FE62747<br>EIA Annual Energy Outlook 2001<br>DOE NETL Program Goals / Extrapolations<br>Discussions with equipment vendors and contractors |                                     |                            |   |





# Electric Power from New Plants Using Coal

(~15 GW New Capacity Proposed at \$18 Billion Investment)

| SPONSER                               | PROPOSED LOCATION             | SIZE                   | TIMING                                     | INVESTMENT           | COAL TYPE                            |
|---------------------------------------|-------------------------------|------------------------|--|----------------------|--------------------------------------|
| Tuscon Electric Power                 | Springerville<br>Arizona      | 2 Units<br>380 MW each | Initiate - 2001<br>In Service - 2004, 2005 | ~ \$ 500 Million     | Sub-Bituminous                       |
| Tri-State Generation and Transmission | Las Animas<br>Colorado        | 500 to 600 MW          | Initiate - 2001<br>In Service - TBD        | \$ 1.2 Billion       | TBD                                  |
| Corn Belt Energy (DOE)                | Elkhart<br>Illinois           | 91 MW                  | Initiate - 2001<br>In Service - 2004       | \$ 137 Million       | Waste Coal                           |
| Southern Illinois Power               | Marion<br>Illinois            | 120 MW                 | Initiate - 2000<br>In Service - 2002       | \$ 50 Million        | Bituminuous<br>Coal Fines            |
| EnviroPower                           | Sullivan County<br>Indiana    | 500 MW                 | Initiate - 2001<br>In Service - 2004       | \$ 600 Million       | Waste Coal                           |
| EnviroPower                           | Pike County<br>Indiana        | 500 MW                 | Initiate - 2001<br>In Service - 2004       | \$ 600 Million       | Waste Coal                           |
| EnviroPower                           | Knott County<br>Kentucky      | 525 MW                 | Initiate - 2001<br>In Service - 2005       | \$ 600 Million       | Waste Coal                           |
| East Kentucky                         | Maysville<br>Kentucky         | 250 MW                 | Initiate - 2001<br>In Service - TBD        | ~ \$ 300 Million     | TBD                                  |
| Global Energy (DOE)                   | Clark County<br>Kentucky      | 400 MW                 | Initiate - 1999<br>In Service - TBD        | \$ 432 Million       | High Sulfur<br>KY Bituminous         |
| Peabody Group                         | Central City<br>Kentucky      | 1500 to 2000 MW        | Initiate - TBD<br>In Service - TBD         | TBD<br>~ \$3 Billion | Western Kentucky<br>high-sulfur coal |
| AES Corporation                       | Cumberland<br>Maryland        | 180 MW                 | Initiate - 1996<br>In Service - 2001       | ~ \$ 200 Million     | Maryland Coal                        |
| Tractebel Power                       | Choctaw County<br>Mississippi | 440 MW                 | Initiate - 1997<br>In Service - 2001       | ~ \$ 400 Million     | Lignite                              |



# Electric Power from New Plants Using Coal

(~15 GW New Capacity Proposed at \$18 Billion Investment)

| SPONSER   | PROPOSED LOCATION            | SIZE                    | TIMING   | INVESTMENT           | COAL TYPE                            |
|---|------------------------------|-------------------------|--|----------------------|--------------------------------------|
| LS Power Services   | Osceola<br>Mississippi       | 1200 to 1600 MW         | Initiate - 2001<br>In Service - 2005             | \$ 1 Billion         | TBD                                  |
| Composite Power   | Bear Creek<br>Montana        | 4 Plants<br>500 MW each | Initiate - 2001<br>In Service - 2006             | \$ 1.5 Billion       | Montana<br>Coal Deposits             |
| Great River Energy or<br>Westmoreland Coal or<br>Montana Dakota Utility | North Dakota                 | 500 MW                  | Initiate - 2001<br>In Service - 2008             | \$ 800 Million       | North Dakota<br>Lignite              |
| Reliant Energy  | Indiana<br>Pennsylvania      | 520 MW                  | Initiate - 2001<br>In Service - 2004             | \$ 800 Million       | Waste Coal                           |
| U.S. Electric Power   | Whatcom County<br>Washington | 249 MW                  | Initiate - 2001<br>In Service - 2004             | ~ \$ 300 Million     | Low Sulfur Coal<br>Vancouver         |
| Wisconsin Energy &<br>Madison Gas                                       | Oak Creek<br>Wisconsin       | 3 Plants<br>600 MW each | Initiate - 2002<br>In Service - 2007, 2009, 2011 | \$ 2.5 Billion       | Powder River Basin<br>Sub-Bituminous |
| Alliant Energy  | Wisconsin                    | 500 MW                  | Initiate - 2001<br>In Service - 2006             | ~ \$ 600 Million     | TBD                                  |
| Black Hills Corp.   | Gillette<br>Wyoming          | 80 MW                   | Initiate - 1998<br>In Service - 2003             | \$ 100 Million       | Powder River Basin<br>Sub-Bituminous |
| Black Hills Corp.   | Gillette<br>Wyoming          | 500 MW                  | Initiate - 2001<br>In Service - 2005             | ~ \$ 600 Million     | Powder River Basin<br>Sub-Bituminous |
| Intermountain Power   | Southwest<br>Utah            | 500 to 800 MW           | Initiate - TBD<br>In Service - 2006              | \$ 800 Million       | West Ridge Mine                      |
| Utah Governor<br>Mike Leavitt (R)                                       | Delta<br>Utah                | 3 Plants<br>500 MW each | Initiate - TBD<br>In Service - TBD               | TBD<br>~ 2.5 Billion | TBD                                  |
|   |                              |                         |  |                      |                                      |
|   |                              |                         |  |                      |                                      |



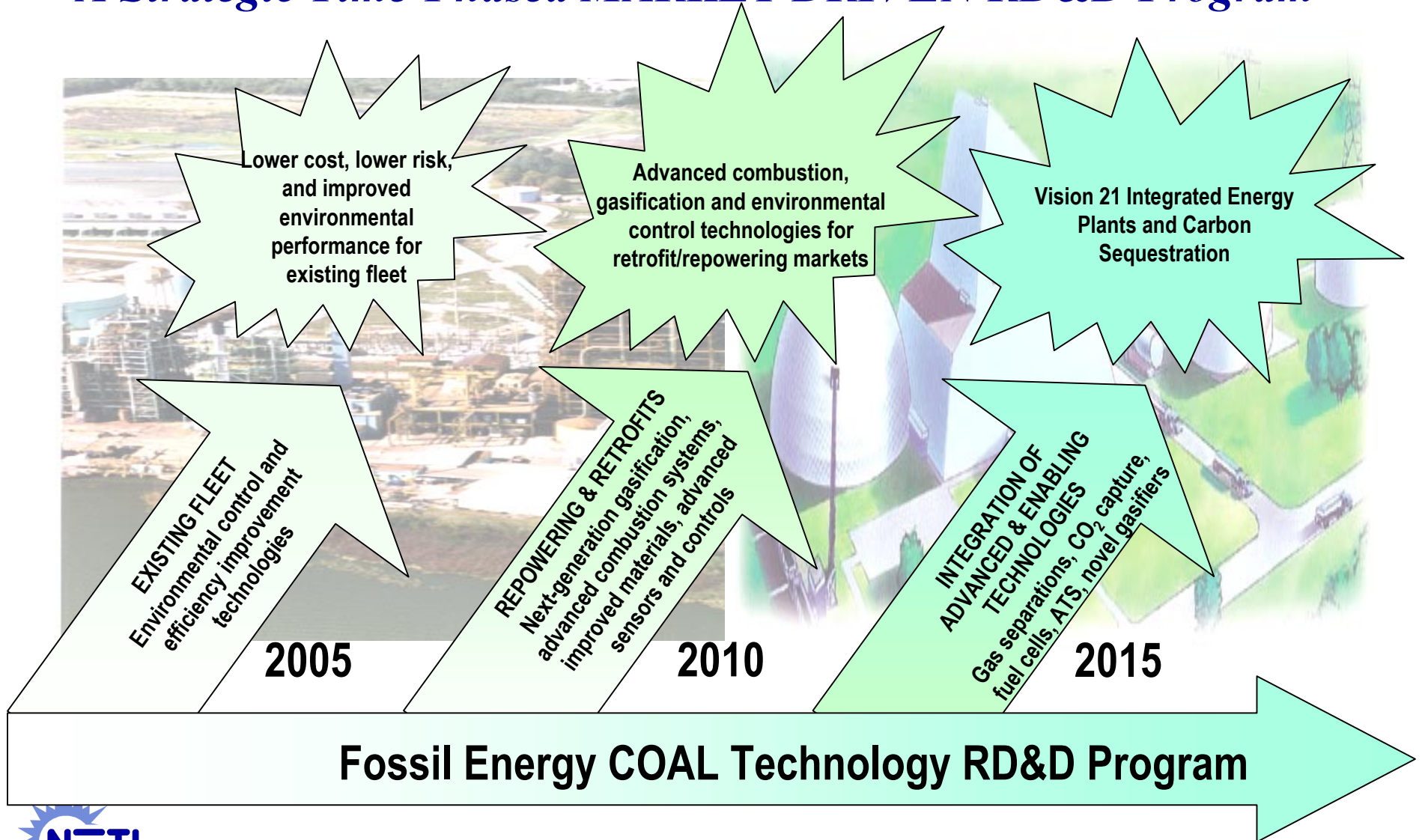
# Coal-Based Power Production Issues and Opportunities

- **Electric power reliability**
  - Multi-pollutant control
  - Fine particulates (PM<sub>2.5</sub>) and Hg
  - Improved efficiency
  - Global climate change



# Coal-Based Power Technologies

## *A Strategic Time-Phased MARKET DRIVEN RD&D Program*



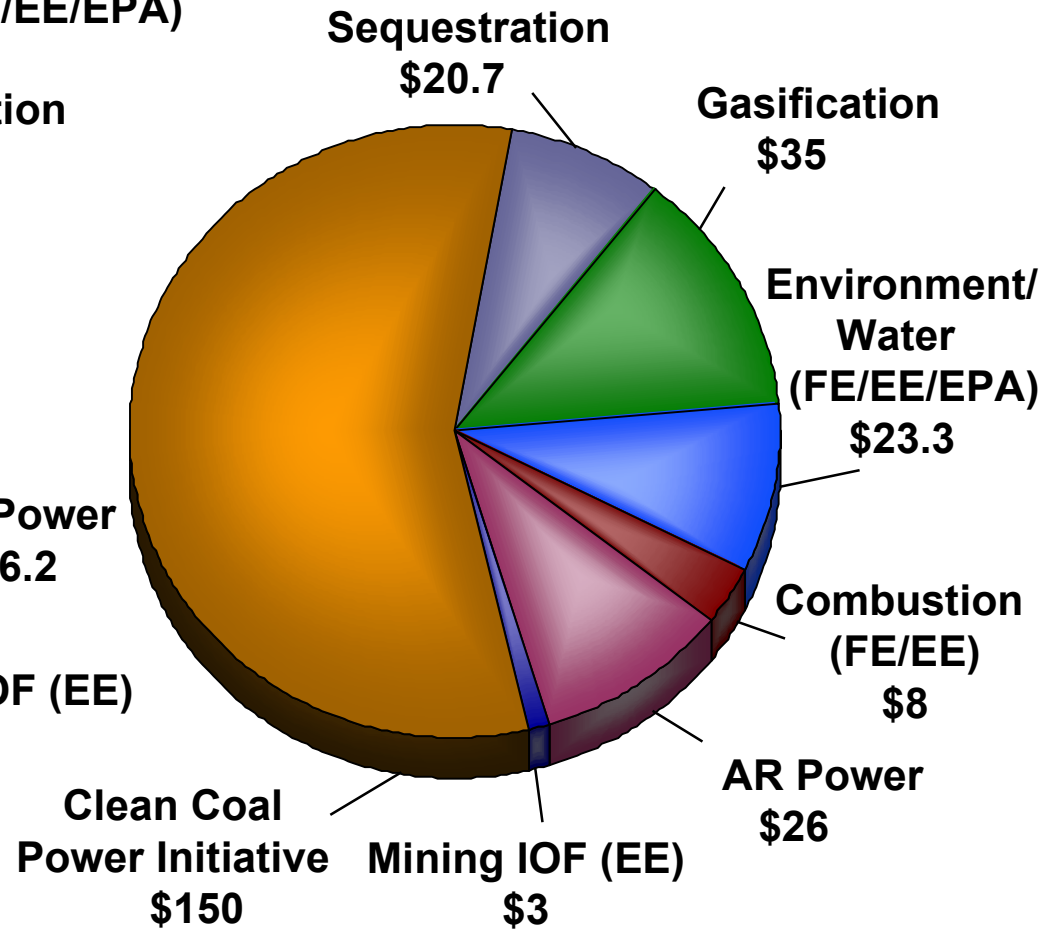
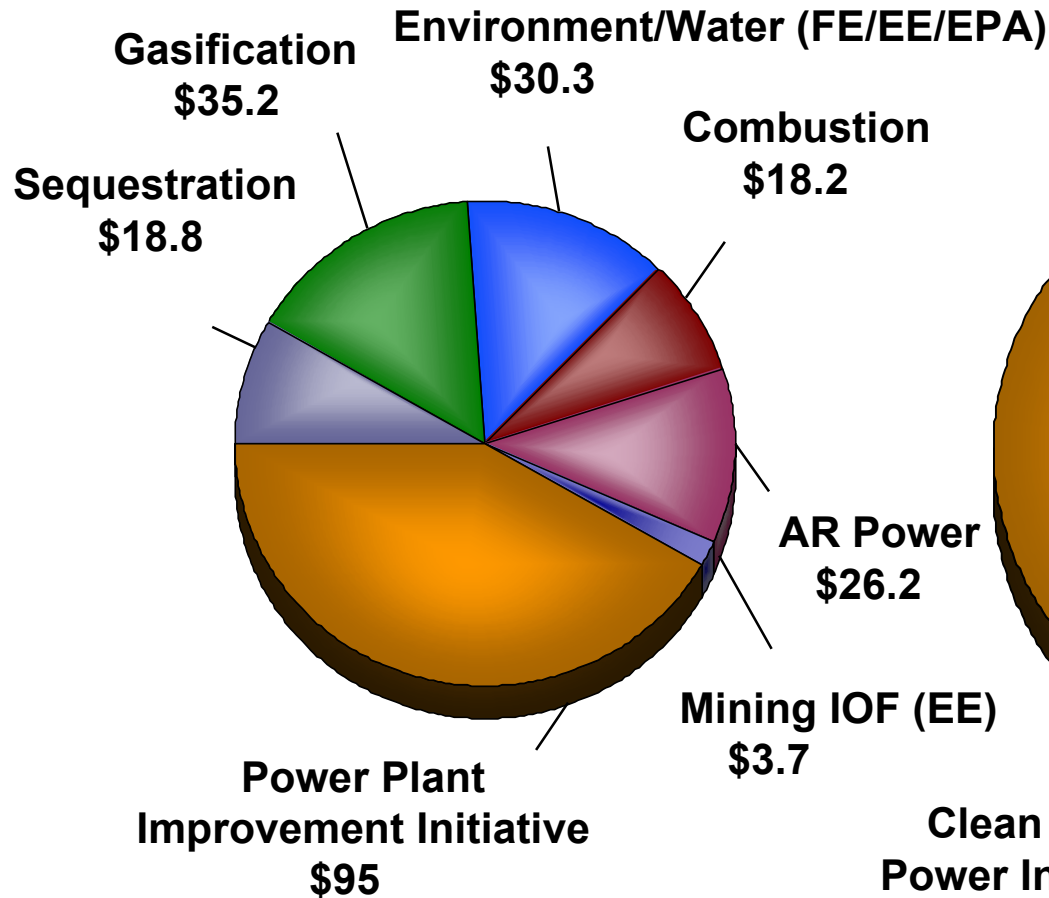
# Coal and Environmental Systems\*

## FY 2001/FY 2002 Budget Comparisons

**FY 2001 \$227.4M**

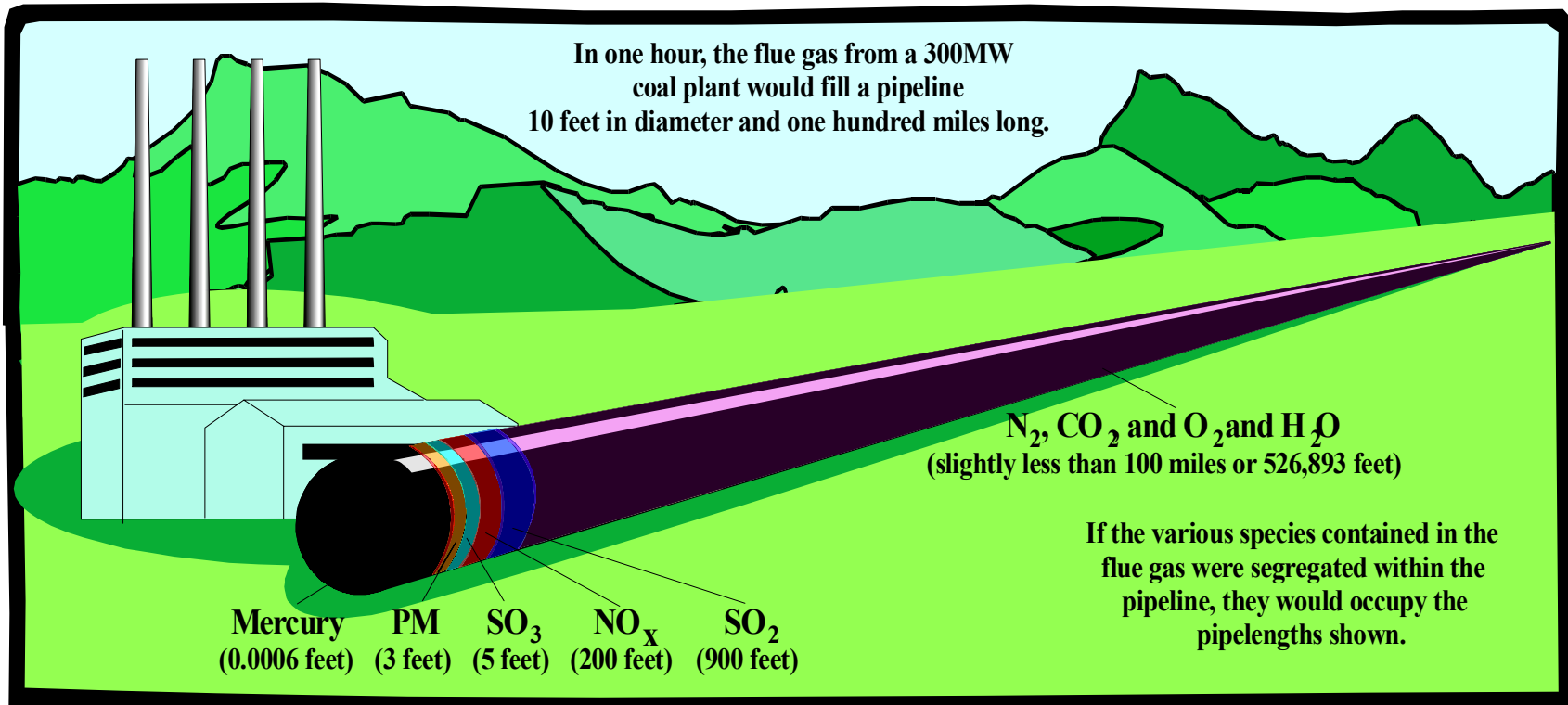
(all sponsors)

**FY 2002 \$273M** (DOE request)



\*excluding CCT

# Traditional Pollutants



# Vision 21

## *Ultra-Clean Energy Plant of the Future*

### *Energy Plants for Post-2015*

- **Use available feeds:**
  - Coal, gas, biomass, waste
- **Electricity is a primary product**
  - Can co-produce fuels, chemicals, steam, heat



### *Goal:*

**Absolutely Minimize  
Environmental  
Implications of  
Fossil Energy Use!**

### *Approach:*

- **Maximize efficiency**
  - 60% coal-to-electric
- **Near-zero emissions**
  - Option for carbon sequestration



# Vision 21 Program Objectives

## Capital & Operating Costs/RAM

- Vision 21 must be competitive with other energy systems with comparable environmental performance

## Emissions

- $< 0.01 \text{ lb}/10^6 \text{ Btu SO}_2$  and  $\text{NO}_x$
- $< 0.005 \text{ lb}/10^6 \text{ Btu PM}$
- $< 1/2$  organic compounds in *Utility HAPS Report*
- $< 1 \text{ lb}/10^9 \text{ Btu Hg}$

## Schedule of Benefits

- Technology spinoffs by 2005
- Designs for modules by 2012
- Commercial plant designs by 2015

## Efficiency

- Electricity generation
  - coal based 60% (HHV)
  - gas based 75% (LHV)
- Fuels only plants 75% (LHV)





# Vision 21 Program

## New Projects Contribute to the Ultra-Clean Energy Plant

**Virtual Simulation**

**Gasification & Combustion**

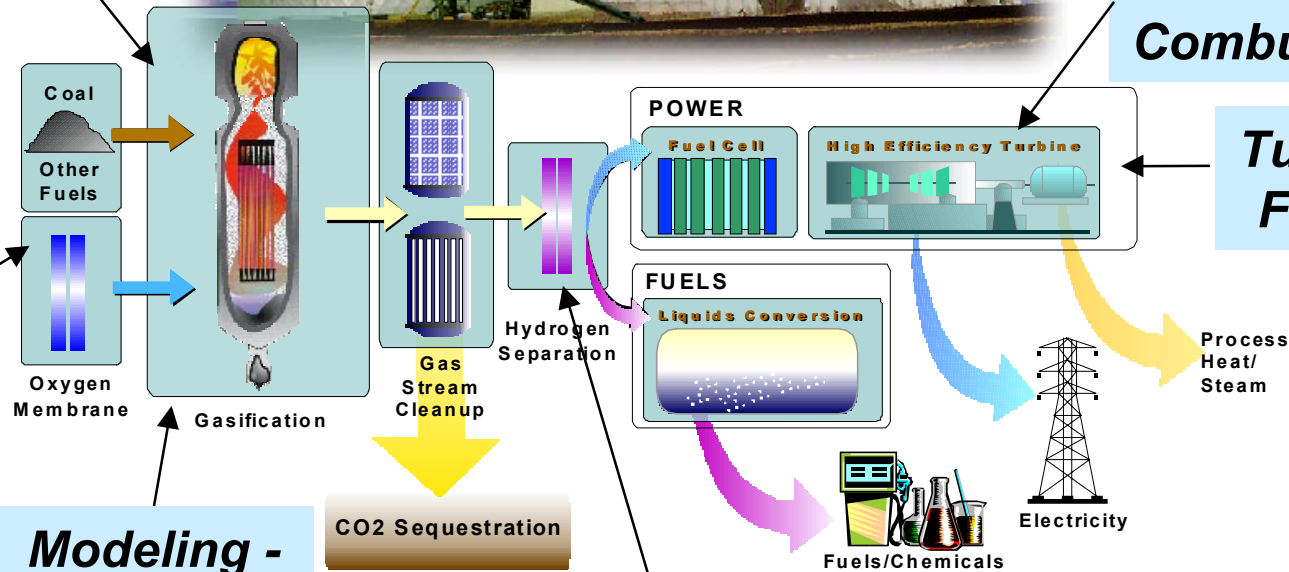
**Systems Integration**

**Advanced Materials**

**Modeling - Combustion**

**Turbines & Fuel Cells**

**Oxygen Membrane**



**Modeling - Gas/Particle Flow**

**Hydrogen Membrane**



# Advanced Technologies Will Play a Crucial Role in Addressing Climate Change



## Appendix C. Workshop Discussion Topics With Questions

### Combustion: Areas Where Sensors and Controls May Be Needed or Improved

- Feedstock characterization and mixing
  - Speciation
  - Contaminants (Hg, metals)
  - Btu
  - Size
  - Moisture
- Fuel ratio / balancing
  - Solids flow sensor
  - Air flow (primary, secondary) control
  - Temperature sensor
  - Flame stability control
    - On-line flame characterization sensor
- Gas separation performance
- Maintenance and diagnostics
  - Component integrity and degradation
- Ash formation, handling
  - Soot blowing control
  - Carbon content of ash
  - Ash deposition
  - Metals
- NO<sub>x</sub>, SO<sub>x</sub>, NH<sub>3</sub> sensors
- SCR catalyst fouling
- NO<sub>x</sub> and ammonia slip control
- Cycle water/steam control/automation
- Reliable measuring techniques for Hg – on-line or off-line
- Database on co-firing performance for control or optimized performance
- Advanced controls integrating emission control, combustion efficiency, steam and electricity generation

## **Gasification: Areas Where Sensors and Controls May Be Needed or Improved**

Temperature (high temperature, high pressure)

Feedstock characterization and mixing

Speciation

Contaminants (Hg, metals)

Btu

Size

Moisture

Alkali (Na K)

Solids flow

Slag formation

Sulfur and tar production/control

Particulate detection

Gas separation performance

Filter performance/fouling

Flue gas cleanup

NO<sub>x</sub>, SO<sub>x</sub>

Reliable measuring techniques for Hg – on-line or off-line

Database on co-firing performance for control or optimized performance

Advanced controls integrating emission control, combustion efficiency, steam and electricity generation

Component integrity and degradation

# **Advanced Turbines and Engines: Areas Where Sensors and Controls May Be Needed or Improved**

## **Sensors**

- Feedstock contaminants and Btu value
- Fuel / feedstock particulates
- Feedstock mixing fuel ratio, balancing individual fuel nozzle and air inlet flows
- Thermal barrier coating failure
- Fast pressure and differential pressure (response time)
- Fast sensors coupled with actuators and control algorithm for combustion instabilities
- Torque Measurement
- Signal transmission out of the engine

## **Sensors in the hot gas path for:**

- Combustion pressure pulsation
- Turbine circumferential inlet temperature distribution
- Turbine blade surface temperature
- Turbine blade vibration
- Turbine blade tip deflection and clearance
- stator vane bowing

Embedded Sensors for use in hot gas section

Direct measurement of the combustion process – optically based technique

## **Maintenance and diagnostic sensors**

- On-line monitoring of component life/component degradation through component operating conditions and component physical properties
- Sensors that map the blades and vanes for integrity
- Hot gas leakage, coolant leakage
- Particulates in oil
- Off-line non destructive techniques to monitor is component replacement is required before scheduled maintenance including
  - component physical condition referenced to a baseline,
  - component cyclic fatigue, and component coating wear and integrity status

## **Controls/Communication**

- Software to collect and interpret data
- Software for predictive maintenance and predictive control
  - reduce nuisance shutdowns and failures
  - optimize engine operation
  - continuous real-time maintenance scheduling

Neural Nets for information management and adaptive control

Control system for engine thermal performance and equipment failure

## **Fuel Cells: Areas Where Sensors and Controls May Be Needed or Improved**

Feedstock characterization (contaminants, particulates)

Micro/miniatuized sensors and non-intrusive sensors

Gas flow

Hydrogen and oxygen sensors

Membrane integrity

Catalyst fouling / performance

Temperature

Differential pressure

Reliable models and predictive controls

Open architecture for modular control systems

Integrate systems with a standard communication protocol

Proven control techniques for large scale highly integrated systems with respect to load scale

# Applications for Sensors and Controls Technology Development

## Physical Properties

- Temperature, pressure, flow

## Chemical and Emission Detection and Speciation

- Oxygen, hydrogen, water/moisture, methane

- Hydrocarbons low molecular weight - high molecular weight

- Other Organics / VOCs

- Chlorine and other halogens

- HCl, NO<sub>x</sub>, NH<sub>3</sub>, H<sub>2</sub>S, SO<sub>x</sub>, CO<sub>2</sub>, CO

## Trace Element Detection

- Hg (Speciation)

- Arsenic, cadmium, lead

- Other TCLP metals

## Particulate and Carbon Detection

- Particulates (quantity, size)

- Carbon in ash, feedstocks

- Particulate / ash desposition

## Diagnostics for RM and PM

- Leaks

- Corrosion

- Fouling

- Coating degradation

- Component fatigue

## Control and Communication

- Control scheme for plant / equipment design

- Supporting numerical methods, algorithms, or models

- System integration—interfacing/reporting

- Matrix/database management

- Wireless data transmission

## **Sensors, Controls, and Instrumentation Development and Implementation Goals**

### Cost

Low cost justifies routine replacement and affords the use of many sensors to support advanced control

Moderate to high purchase costs must be balanced with life-cycle and installation costs

### Response time

Fast, real time responses are needed to apply advanced control

### Repeatable/verifiable accuracy for emission monitoring

Accurate data can be used for direct reporting and control

Difficult to justify cost and maintenance w/o high degree of accuracy

Used in an integrated control scheme

### Robustness (corrosion, temperature, vibration)

Without robustness, the sensors will not be used for control because the data will be masked

High maintenance costs may preclude the use

### Non-intrusive/non-contact where applicable

Large, applicable, accurate database to support advanced controls

Simple maintenance or support tools for simple maintenance including sensors with self-diagnostic capabilities



## Facilitation Discussion Subjects and Questions

### A. Vision 21

1. What kinds of sensors and control technologies would be required to achieve the goals of Vision 21?
2. What is the current status of development for these types of sensors?
3. What are the barriers for these types of sensors?
4. What are the most promising technologies for use in the Vision 21 timeframe?
5. What planning or recommendations do you have for this technology with respect to Vision 21 goals and timeline?

### B. Controls

1. What are the limitations of current control technologies for power generation facilities or technologies? (e.g., slow valve response time, inadequate measurement points, instabilities in control modes)
2. What are the major improvement areas? (e.g., need more input information [sensors] for measuring temperature distributions, fuel distributions, and chemical species such as CO, NO<sub>x</sub>)
3. What are the barriers for using advanced control technologies?
  - For existing power plants?
  - For new facilities or major overhauls?
4. What are the most promising control technologies or approaches for new facilities in (a) 0-5 years, (b) 5-10 years, (c) 10-20 years?
5. If the individual needs of the various sensor and controls are developed successfully, what approach should be taken to insure that a variety systems can communicate and integrate successfully to achieve advanced system control?
6. What are your recommendations to DOE for developing control technologies?

### C. Sensors

1. Is the list of sensors and controls needs complete? (refer to individual lists)
2. Can you prioritize the list of needs or identify key measurement needed for this application?
3. Are currently available sensors adequate or appropriate?
4. What are the largest or most detrimental maintenance problems that could be prevented or addressed by the proper sensor?
5. What are the technical/ performance requirements, such as range, sensitivity, accessibility for new or future sensors?
6. What are the promising approaches to making these measurements?
7. What are the emerging technologies applicable to these measurements?(e.g., MEM, Laser acoustic, SAW, Metal Oxides, Sensor arrays, Integrated fiber optic)
8. Which merging or novel technologies should be emphasized for development and use in (a) 0-5 years, (b) 5-10 years, or (c) 10-20 years?
9. What are some recommendations to DOE for supporting sensor development