

# **Exhibit A**

## O'MEALLY: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA #	Broker
<b>ATLANTIQUE (54)</b>				
11/28/01	0AA-79886	Atlantique Onshore #1	31	DiConza primary
12/5/01	0AA-79900	Atlantique Onshore #2	31	DiConza primary
12/5/01	0AA-79901	Atlantique Onshore #3	E5	DiConza "also"
12/5/01	0AA-79902	Atlantique Onshore #4	E5	DiConza "also"
12/5/01	0AA-79904	Atlantique Onshore #5	E5	DiConza "also"
1/30/02	0AA-96520	CIBC Atlantique Offshore #1	E5	DiConza "also"
1/30/02	0AA-96521	CIBC Atlantique Offshore #2	E5	DiConza "also"
1/30/02	0AA-96522	CIBC Atlantique Offshore #3	E5	DiConza "also"
1/30/02	0AA-96523	CIBC Atlantique Offshore #4	E5	DiConza "also"
1/30/02	0AA-96524	CIBC Atlantique Offshore #5	E5	DiConza "also"
12/3/02	0SU-13456	Atlantique Onshore #6	E5	DiConza "also"
12/3/02	0SU-13457	Atlantique Onshore #7	E5	DiConza "also"
12/10/02	0SU-95689	CIBC Atlantique Offshore #1	C8	DiConza "also"
12/10/02	0SU-95690	CIBC Atlantique Offshore #2	C8	DiConza "also"
12/10/02	0SU-95691	CIBC Atlantique Offshore #3	C8	DiConza "also"
12/10/02	0SU-95692	CIBC Atlantique Offshore #4	C8	DiConza "also"
12/10/02	0SU-95693	CIBC Atlantique Offshore #5	C8	DiConza "also"
12/10/02	0SU-95694	CIBC Atlantique Offshore #6	C8	DiConza "also"
12/10/02	0SU-95695	CIBC Atlantique Offshore #7	C8	DiConza "also"
12/10/02	0SU-95696	CIBC Atlantique Offshore #8	C8	DiConza "also"
12/10/02	0SU-95697	CIBC Atlantique Offshore #9	C8	DiConza "also"
12/10/02	0SU-95698	CIBC Atlantique Offshore #10	C8	DiConza "also"
2/19/03	0SU-13462	Atlantique Onshore #10	E5	DiConza "also"
2/19/03	0SU-13463	Atlantique Onshore #11	E5	DiConza "also"
2/19/03	0SU-13464	Atlantique Onshore #12	E5	DiConza "also"
2/19/03	0SU-13465	Atlantique Onshore #13	E5	DiConza "also"
2/19/03	0SU-13466	Atlantique Onshore #14	E5	DiConza "also"
4/15/03	0SU-95731	Atlantique Onshore #1	E5	DiConza "also"
4/15/03	0SU-95733	Atlantique Onshore #2	E5	DiConza "also"
4/15/03	0SU-95735	Atlantique Onshore #3	E5	DiConza "also"
4/15/03	0SU-95737	Atlantique Onshore #4	E5	DiConza "also"
4/15/03	0SU-95739	Atlantique Onshore #5	E5	DiConza "also"
4/16/03	0SU-95729	CIBC Atlantique Offshore #1	H8	O'Meally "also"
4/22/03	0SU-95740	CIBC Atlantique Offshore #2	H8	O'Meally "also"
4/22/03	0SU-95741	CIBC Atlantique Offshore #3	H8	O'Meally "also"
4/22/03	0SU-95742	CIBC Atlantique Offshore #4	H8	O'Meally "also"
6/4/03	0SU-95744	Atlantique Onshore #6	31	DiConza primary
6/4/03	0SU-95746	Atlantique Onshore #7	31	DiConza primary
6/4/03	0SU-95748	Atlantique Onshore #8	E5	DiConza "also"
6/4/03	0SU-95750	Atlantique Onshore #9	E5	DiConza "also"
6/4/03	0SU-95752	Atlantique Onshore #10	E5	DiConza "also"
6/4/03	0SU-95754	Atlantique Onshore #11	E5	DiConza "also"
7/30/03	0AA-83940	Saltaire Capital A	31	DiConza primary
7/31/03	0SU-95799	Saltaire Offshore #1	E5	DiConza "also"
8/1/03	0AA-83942	Saltaire Capital B	31	DiConza primary
8/1/03	0AA-83943	Saltaire Capital C	31	DiConza primary
8/1/03	0AA-83944	Saltaire Capital D	31	DiConza primary
8/1/03	0AA-83945	Saltaire Capital E	31	DiConza primary
8/14/03	0SU-95800	Saltaire Offshore #2	H9	DiConza "also"

## O'MEALLY: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA #	Broker
8/14/03	0SU-95801	Saltaire Offshore #3	H9	DiConza "also"
8/14/03	0SU-95802	Saltaire Offshore #4	H9	DiConza "also"
8/14/03	0SU-95803	Saltaire Offshore #5	H9	DiConza "also"
8/14/03	0SU-95804	Saltaire Offshore #6	H9	DiConza "also"
8/14/03	0SU-95805	Saltaire Offshore #7	H9	DiConza "also"
<b>CANARY (10)</b>				
2/3/03	0AA-83608	Tripod #1	48	O'Meally/Sopher
2/3/03	0AA-83609	Tripod #2	48	O'Meally/Sopher
2/3/03	0AA-83610	Tripod #3	48	O'Meally/Sopher
2/3/03	0AA-83611	Tripod #4	48	O'Meally/Sopher
2/3/03	0AA-83612	Tripod #5	48	O'Meally/Sopher
2/3/03	0AA-83613	Tripod #6	48	O'Meally/Sopher
2/3/03	0AA-83614	Tripod #7	48	O'Meally/Sopher
2/3/03	0AA-83615	Tripod #8	48	O'Meally/Sopher
2/3/03	0AA-83616	Tripod #9	48	O'Meally/Sopher
2/3/03	0AA-83617	Tripod #10	48	O'Meally/Sopher
<b>HEURISTIC (298)</b>				
5/4/99	0EN-96891	Oracle	60	O'Meally primary
5/5/99	0YH-44683	Apdell	60	O'Meally primary
12/8/99	0YH-45714	Heuristic Partners #1	60	O'Meally primary
12/9/99	0YH-45716	Heuristic Partners #2	F1	O'Meally "also"
12/9/99	0YH-45717	Heuristic Partners #3	F1	O'Meally "also"
12/9/99	0YH-45718	Heuristic Partners #4	60	O'Meally primary
12/9/99	0YH-45719	Heuristic Partners #5	60	O'Meally primary
12/16/99	0EN-96987	Heuristic Offshore #1	F1	O'Meally "also"
12/17/99	0EN-96989	Heuristic Offshore #2	60	O'Meally primary
12/17/99	0EN-96990	Heuristic Offshore #3	F1	O'Meally "also"
12/17/99	0EN-96991	Heuristic Offshore #4	F1	O'Meally "also"
12/17/99	0EN-96992	Heuristic Offshore #5	60	O'Meally primary
2/1/00	0YH-46078	Horsepower #1	G1	O'Meally "also"
2/1/00	0YH-46079	Horsepower #2	G1	O'Meally "also"
2/1/00	0YH-46080	Horsepower #3	G1	O'Meally "also"
2/1/00	0YH-46081	Horsepower #4	G1	O'Meally "also"
2/1/00	0YH-46082	Horsepower #5	60	O'Meally primary
2/2/00	0YH-46091	Greenbrook #1	G1	O'Meally "also"
2/2/00	0YH-46092	Greenbrook #2	G1	O'Meally "also"
2/2/00	0YH-46093	Greenbrook #3	G1	O'Meally "also"
2/2/00	0YH-46095	Greenbrook #4	G1	O'Meally "also"
2/2/00	0YH-46096	Greenbrook #5	G1	O'Meally "also"
2/8/00	0YH-46121	Greenbrook #6	60	O'Meally primary
2/16/00	0SZ-96073	Oracle #2	H6	O'Meally "also"
7/28/00	0AA-76535	Heuristic Domestic #22	E1	DiConza "also"
8/1/00	0AA-76550	Heuristic Domestic #21	E1	DiConza "also"
8/8/00	0AA-96029	Oracle #21	E1	DiConza "also"
8/8/00	0AA-96030	Oracle #22	E1	DiConza "also"
8/21/00	0SZ-14906	Apdell #2	H6	O'Meally "also"
8/21/00	0SZ-14907	Apdell #3	H6	O'Meally "also"

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Opened	Acct. No.	Account Name	1st FA #	Broker
8/21/00	0SZ-14908	Apdell #4	H6	O'Meally "also"
8/21/00	0YH-47149	Apdell #5	H6	O'Meally "also"
9/27/00	0SZ-96238	Oracle #3	H6	O'Meally "also"
9/27/00	0SZ-96239	Oracle #4	H6	O'Meally "also"
9/27/00	0SZ-96240	Oracle #5	H6	O'Meally "also"
11/2/00	03N-63221	ADHCO #2	G4	O'Meally "also"
11/2/00	03N-63222	ADHCO #3	G4	O'Meally "also"
11/2/00	03N-63223	ADHCO #1	G4	O'Meally "also"
11/2/00	03N-63224	ADHCO #4	G4	O'Meally "also"
11/2/00	03N-63226	ADHCO #5	G4	O'Meally "also"
11/3/00	0AA-76954	ADHCO #25	E1	DiConza "also"
11/3/00	0AA-76955	ADHCO #24	E1	DiConza "also"
11/3/00	0AA-76957	ADHCO #22	E1	DiConza "also"
11/3/00	0AA-76958	ADHCO #21	E1	DiConza "also"
11/3/00	0AA-97956	ADHCO #23	E1	DiConza "also"
11/28/00	0YH-47582	Horsepower #6	B3	O'Meally "also"
11/28/00	0YH-47583	Horsepower #7	B3	O'Meally "also"
11/28/00	0YH-47584	Horsepower #8	B3	O'Meally "also"
11/28/00	0YH-47585	Horsepower #9	B3	O'Meally "also"
11/28/00	0YH-47586	Horsepower #10	B3	O'Meally "also"
11/28/00	0YH-47587	Horsepower #11	B3	O'Meally "also"
11/28/00	0YH-47588	Horsepower #12	B3	O'Meally "also"
11/29/00	0SZ-96304	Oracle #6	H6	O'Meally "also"
11/29/00	0SZ-96305	Oracle #7	H6	O'Meally "also"
1/2/01	0AA-96092	Heuristic Offshore #21	E1	DiConza "also"
1/2/01	0AA-96094	Heuristic Offshore #23	E1	DiConza "also"
1/2/01	0AA-96099	Heuristic Offshore #22	E1	DiConza "also"
1/2/01	0AA-96100	Heuristic Offshore #24	E1	DiConza "also"
1/2/01	0AA-96101	Heuristic Offshore #25	E1	DiConza "also"
1/8/01	0YH-47773	ADHCO #6	G4	O'Meally "also"
1/8/01	0YH-47774	ADHCO #7	G4	O'Meally "also"
1/8/01	0YH-47775	ADHCO #8	G4	O'Meally "also"
1/8/01	0YH-47776	ADHCO #9	G4	O'Meally "also"
1/8/01	0YH-47777	ADHCO #10	G4	O'Meally "also"
1/9/01	0AA-78193	CIBC Greenbrook #21	E1	DiConza "also"
1/9/01	0AA-78194	CIBC Greenbrook #22	E1	DiConza "also"
1/9/01	0AA-78199	CIBC Greenbrook #23	E1	DiConza "also"
1/9/01	0AA-78203	CIBC Greenbrook #24	E1	DiConza "also"
1/9/01	0AA-78204	CIBC Greenbrook #25	E1	DiConza "also"
1/9/01	0AA-78210	Horsepower #21	E1	DiConza "also"
1/9/01	0AA-78211	Horsepower #22	E1	DiConza "also"
1/9/01	0AA-78212	Horsepower #23	E1	DiConza "also"
1/9/01	0AA-78213	Horsepower #24	E1	DiConza "also"
1/9/01	0AA-78214	Horsepower #25	E1	DiConza "also"
1/10/01	0AA-96106	Oracle #24	E1	DiConza "also"
1/10/01	0AA-96108	Oracle #25	E1	DiConza "also"
1/10/01	0AA-96111	Oracle #23	E1	DiConza "also"
1/16/01	0YH-47804	Horsepower #31	60	O'Meally primary
1/16/01	0YH-47805	Horsepower #32	60	O'Meally primary
1/16/01	0YH-47806	Horsepower #33	60	O'Meally primary
1/17/01	0AA-78257	ADHCO #26	ED	O'Meally "also"

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Opened	Acct. No.	Account Name	1st FA #	Broker
1/17/01	0AA-78258	ADHCO #27	ED	O'Meally "also"
1/17/01	0AA-78260	ADHCO #28	ED	O'Meally "also"
1/17/01	0AA-78262	ADHCO #29	ED	O'Meally "also"
1/17/01	0AA-78263	ADHCO #30	ED	O'Meally "also"
5/31/01	0YH-48814	Heuristic Domestic #7	H6	O'Meally "also"
5/31/01	0YH-48815	Heuristic Domestic #6	H6	O'Meally "also"
5/31/01	0YH-48816	Heuristic Domestic #8	H6	O'Meally "also"
5/31/01	0YH-48817	Heuristic Domestic #10	H6	O'Meally "also"
5/31/01	0YH-48818	Heuristic Domestic #9	H6	O'Meally "also"
8/7/01	0SZ-96424	CIBC Horsepower #1	M7	O'Meally "also"
8/8/01	0SZ-96425	CIBC Horsepower #2	M7	O'Meally "also"
8/8/01	0SZ-96426	CIBC Horsepower #3	M7	O'Meally "also"
8/8/01	0SZ-96427	CIBC Horsepower #4	M7	O'Meally "also"
8/8/01	0SZ-96428	CIBC Horsepower #5	M7	O'Meally "also"
8/8/01	0SZ-96429	CIBC Horsepower #6	M7	O'Meally "also"
8/8/01	0SZ-96431	CIBC Horsepower #7	M7	O'Meally "also"
8/8/01	0SZ-96432	CIBC Horsepower #8	M7	O'Meally "also"
8/8/01	0SZ-96433	CIBC Horsepower #9	M7	O'Meally "also"
8/8/01	0SZ-96434	CIBC Horsepower #10	M7	O'Meally "also"
8/8/01	0SZ-96435	CIBC Horsepower #11	H8	O'Meally "also"
8/8/01	0SZ-96436	CIBC Horsepower #12	M7	O'Meally "also"
9/6/01	0AA-96440	CIBC Horsepower #21	E3	DiConza "also"
9/6/01	0AA-96441	CIBC Horsepower #22	E3	DiConza "also"
9/6/01	0AA-96444	CIBC Horsepower #23	E3	DiConza "also"
9/6/01	0AA-96446	CIBC Horsepower #24	E3	DiConza "also"
9/6/01	0AA-96447	CIBC Horsepower #25	E3	DiConza "also"
12/7/01	0AA-96470	CIBC Greenbrook #21	E4	DiConza "also"
12/7/01	0AA-96471	CIBC Greenbrook #22	E4	DiConza "also"
12/7/01	0AA-96472	CIBC Greenbrook #23	E4	DiConza "also"
12/7/01	0AA-96473	CIBC Greenbrook #24	E4	DiConza "also"
12/7/01	0AA-96474	CIBC Greenbrook #25	E4	DiConza "also"
12/12/01	0SZ-96474	CIBC Greenbrook #1	C6	O'Meally "also"
12/12/01	0SZ-96475	CIBC Greenbrook #2	C6	O'Meally "also"
12/12/01	0SZ-96476	CIBC Greenbrook #3	C6	O'Meally "also"
12/12/01	0SZ-96477	CIBC Greenbrook #4	C6	O'Meally "also"
12/12/01	0SZ-96478	CIBC Greenbrook #5	C6	O'Meally "also"
12/12/01	0SZ-96479	CIBC Greenbrook #6	C6	O'Meally "also"
1/16/02	0SZ-96486	CIBC ADHCO #1	C6	O'Meally "also"
1/16/02	0SZ-96487	CIBC ADHCO #2	C6	O'Meally "also"
1/16/02	0SZ-96488	CIBC ADHCO #3	C6	O'Meally "also"
1/16/02	0SZ-96489	CIBC ADHCO #4	C6	O'Meally "also"
1/16/02	0SZ-96490	CIBC ADHCO #5	C6	O'Meally "also"
1/16/02	0SZ-96491	CIBC Heuristic Domestic #1	C6	O'Meally "also"
1/16/02	0SZ-96492	CIBC Heuristic Domestic #2	C6	O'Meally "also"
1/16/02	0SZ-96493	CIBC Heuristic Domestic #3	C6	O'Meally "also"
1/16/02	0SZ-96494	CIBC Heuristic Domestic #4	C6	O'Meally "also"
1/16/02	0SZ-96496	CIBC Heuristic Domestic #5	C6	O'Meally "also"
1/18/02	0AA-96490	CIBC Heuristic Domestic #21	E4	DiConza "also"
1/18/02	0AA-96491	CIBC Heuristic Domestic #22	E4	DiConza "also"
1/18/02	0AA-96492	CIBC ADHCO #21	E4	DiConza "also"
1/18/02	0AA-96493	CIBC ADHCO #22	E4	DiConza "also"

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Opened	Acct. No.	Account Name	1st FA #	Broker
1/18/02	0AA-96494	CIBC ADHCO #23	E4	DiConza "also"
1/22/02	0AA-96495	CIBC Heuristic Offshore #21	E4	DiConza "also"
1/22/02	0AA-96496	CIBC Heuristic Offshore #22	E4	DiConza "also"
1/22/02	0AA-96497	CIBC Heuristic Offshore #23	E4	DiConza "also"
1/22/02	0AA-96498	CIBC Heuristic Offshore #24	E4	DiConza "also"
1/22/02	0AA-96499	CIBC Heuristic Offshore #25	E4	DiConza "also"
1/23/02	0SZ-96497	CIBC Heuristic Offshore #1	C6	O'Meally "also"
1/23/02	0SZ-96498	CIBC Heuristic Offshore #2	C6	O'Meally "also"
1/23/02	0SZ-96499	CIBC Heuristic Offshore #3	C6	O'Meally "also"
1/23/02	0SZ-96500	CIBC Heuristic Offshore #4	C6	O'Meally "also"
1/23/02	0SZ-96501	CIBC Heuristic Offshore #5	C6	O'Meally "also"
2/21/02	0SZ-96508	CIBC Heuristic Domestic #6	C6	O'Meally "also"
2/21/02	0SZ-96509	CIBC Heuristic Domestic #7	C6	O'Meally "also"
2/21/02	0SZ-96510	CIBC Heuristic Domestic #8	C6	O'Meally "also"
2/21/02	0SZ-96511	CIBC Heuristic Domestic #9	C6	O'Meally "also"
2/21/02	0SZ-96512	CIBC Heuristic Domestic #10	C6	O'Meally "also"
2/21/02	0SZ-96513	CIBC Heuristic Offshore #6	60	O'Meally primary
2/21/02	0SZ-96514	CIBC Heuristic Offshore #7	60	O'Meally primary
2/21/02	0SZ-96515	CIBC Heuristic Offshore #8	60	O'Meally primary
2/21/02	0SZ-96516	CIBC Heuristic Offshore #9	60	O'Meally primary
2/21/02	0SZ-96517	CIBC Heuristic Offshore #10	C6	O'Meally "also"
3/27/02	075-95909	CIBC Greenbrook HY #1	M7	O'Meally "also"
3/27/02	075-95910	CIBC Greenbrook HY #2	M7	O'Meally "also"
3/27/02	075-95911	CIBC Greenbrook HY #3	F5	O'Meally "also"
3/27/02	075-95913	CIBC Greenbrook HY #4	F5	O'Meally "also"
3/27/02	075-95914	CIBC Greenbrook HY #5	F5	O'Meally "also"
4/4/02	075-95915	CIBC ADHCO #6	M7	O'Meally "also"
4/4/02	075-95916	CIBC ADHCO #7	M7	O'Meally "also"
4/4/02	075-95917	CIBC ADHCO #8	C6	O'Meally "also"
6/20/02	0AA-96603	CIBC Heuristic Domestic #25	E4	DiConza "also"
6/20/02	0AA-96604	CIBC Heuristic Domestic #23	E4	DiConza "also"
6/20/02	0AA-96605	CIBC Heuristic Domestic #24	E4	DiConza "also"
6/20/02	0AA-96606	CIBC ADHCO #25	E4	DiConza "also"
6/20/02	0AA-96608	CIBC ADHCO #24	E4	DiConza "also"
6/20/02	0AA-96614	CIBC Greenbrook #26	E4	DiConza "also"
6/20/02	0AA-96617	CIBC Greenbrook #27	E4	DiConza "also"
7/2/02	0AA-96618	CIBC Horsepower #1	E3	DiConza "also"
7/2/02	0AA-96619	CIBC Horsepower #2	E3	DiConza "also"
7/3/02	0AA-96621	CIBC Slow Money #1	B1	O'Meally "also"
7/3/02	0AA-96622	CIBC Slow Money #21	C6	O'Meally "also"
7/3/02	0AA-96625	CIBC Slow Money #2	M7	O'Meally "also"
7/3/02	0AA-96627	CIBC Slow Money #3	M7	O'Meally "also"
7/3/02	0AA-96632	CIBC Slow Money #4	B1	O'Meally "also"
7/3/02	0AA-96635	CIBC Slow Money #22	B3	O'Meally "also"
7/3/02	0AA-96637	CIBC Slow Money #5	B1	O'Meally "also"
7/3/02	0AA-96638	CIBC Slow Money #23	B3	O'Meally "also"
7/3/02	0AA-96642	CIBC Slow Money #9	B1	O'Meally "also"
7/3/02	0AA-96643	CIBC Slow Money #24	B3	O'Meally "also"
7/3/02	0AA-96644	CIBC Slow Money #25	C6	O'Meally "also"
7/3/02	0SU-95666	CIBC Slow Money #6	M7	O'Meally "also"
7/3/02	0SU-95670	CIBC Slow Money #7	M7	O'Meally "also"

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Opened	Acct. No.	Account Name	1st FA #	Broker
7/3/02	0SU-95674	CIBC Slow Money #8	M7	O'Meally "also"
7/3/02	0SU-95677	CIBC Slow Money #10	B1	O'Meally "also"
7/3/02	0SU-95678	CIBC Slow Money #26	C6	O'Meally "also"
7/3/02	0SU-95681	CIBC Slow Money #20	B3	O'Meally "also"
7/3/02	0SU-95683	CIBC Slow Money #27	C6	O'Meally "also"
7/3/02	0SU-95684	CIBC Slow Money #29	C6	O'Meally "also"
7/3/02	0SU-95685	CIBC Slow Money #28	B3	O'Meally "also"
9/4/02	0AA-83421	Horsepower #1	22	O'Meally "also"
9/4/02	0AA-83422	ADHCO #7	D9	O'Meally "also"
9/4/02	0AA-96683	CIBC Greenbrook HY #5	F9	O'Meally "also"
9/4/02	0AA-96684	CIBC Horsepower #12	G8	O'Meally "also"
9/4/02	0AA-96685	CIBC Horsepower #10	G8	O'Meally "also"
9/4/02	0AA-96686	CIBC Greenbrook HY #1	F9	O'Meally "also"
9/4/02	0AA-96687	CIBC Greenbrook HY #2	M7	O'Meally "also"
9/4/02	0AA-96689	CIBC Greenbrook HY #3	M7	O'Meally "also"
9/4/02	0AA-96690	CIBC Greenbrook HY #4	F9	O'Meally "also"
12/9/02	0AA-96705	CIBC ADHCO HY #1	F9	O'Meally "also"
12/18/02	0AA-83546	Heuristic Domestic #1	D9	O'Meally "also"
12/18/02	0AA-83547	Heuristic Domestic #6	D9	O'Meally "also"
12/18/02	0AA-83548	Heuristic Domestic #7	D9	O'Meally "also"
12/18/02	0AA-96708	CIBC Heuristic Domestic #1	G8	O'Meally "also"
12/18/02	0AA-96709	CIBC Heuristic Domestic #2	G8	O'Meally "also"
12/18/02	0AA-96710	CIBC Heuristic Domestic #3	G8	O'Meally "also"
12/18/02	0AA-96711	CIBC Heuristic Domestic #4	G8	O'Meally "also"
12/18/02	0AA-96712	CIBC Heuristic Domestic #5	G8	O'Meally "also"
12/18/02	0AA-96713	CIBC Heuristic Domestic #6	G8	O'Meally "also"
12/18/02	0AA-96714	CIBC Heuristic Domestic #7	G8	O'Meally "also"
12/18/02	0AA-96715	CIBC Heuristic Domestic #8	G8	O'Meally "also"
12/18/02	0AA-96716	CIBC Heuristic Domestic #9	G8	O'Meally "also"
12/18/02	0AA-96717	CIBC Horsepower #1	G8	O'Meally "also"
12/18/02	0AA-96718	CIBC Heuristic Domestic #10	G8	O'Meally "also"
12/18/02	0AA-96719	CIBC Greenbrook #1	G8	O'Meally "also"
12/18/02	0AA-96720	CIBC Horsepower #2	G8	O'Meally "also"
12/18/02	0AA-96721	CIBC Greenbrook #2	G8	O'Meally "also"
12/18/02	0AA-96722	CIBC Horsepower #3	G8	O'Meally "also"
12/18/02	0AA-96723	CIBC Greenbrook #3	G8	O'Meally "also"
12/18/02	0AA-96724	CIBC Horsepower #4	G8	O'Meally "also"
12/18/02	0AA-96725	CIBC Greenbrook #4	G8	O'Meally "also"
12/18/02	0AA-96726	CIBC Greenbrook #5	G8	O'Meally "also"
12/18/02	0AA-96727	CIBC Horsepower #5	G8	O'Meally "also"
12/18/02	0AA-96728	CIBC Greenbrook #6	G8	O'Meally "also"
12/18/02	0AA-96729	CIBC Horsepower #6	G8	O'Meally "also"
12/18/02	0AA-96730	CIBC Horsepower #7	G8	O'Meally "also"
12/18/02	0AA-96731	CIBC Horsepower #8	G8	O'Meally "also"
12/18/02	0AA-96732	CIBC Horsepower #9	G8	O'Meally "also"
12/18/02	0AA-96733	CIBC Horsepower #11	G8	O'Meally "also"
12/19/02	0AA-96734	Heuristic Offshore #1	D9	O'Meally "also"
12/19/02	0AA-96735	Heuristic Offshore #2	D9	O'Meally "also"
12/19/02	0AA-96736	Heuristic Offshore #3	D9	O'Meally "also"
12/19/02	0AA-96737	Heuristic Offshore #4	D9	O'Meally "also"
12/19/02	0AA-96739	Heuristic Offshore #5	D9	O'Meally "also"

## O'MEALLY: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA #	Broker
12/20/02	0AA-83558	ADHCO #9	D9	O'Meally "also"
12/20/02	0AA-96764	CIBC Heuristic Offshore #1	F9	O'Meally "also"
12/20/02	0AA-96767	CIBC Heuristic Offshore #2	F9	O'Meally "also"
12/20/02	0AA-96769	CIBC Heuristic Offshore #3	F9	O'Meally "also"
12/20/02	0AA-96772	CIBC Heuristic Offshore #4	F9	O'Meally "also"
12/20/02	0AA-96778	CIBC Heuristic Offshore #5	F9	O'Meally "also"
12/20/02	0AA-96783	CIBC Heuristic Offshore #6	F9	O'Meally "also"
12/20/02	0AA-96785	CIBC Heuristic Offshore #7	F9	O'Meally "also"
12/20/02	0AA-96788	CIBC Heuristic Offshore #8	F9	O'Meally "also"
12/20/02	0AA-96790	CIBC Heuristic Offshore #9	F9	O'Meally "also"
12/20/02	0AA-96796	CIBC Heuristic Offshore #10	F9	O'Meally "also"
12/20/02	0AA-96804	CIBC ADHCO #2	F9	O'Meally "also"
12/20/02	0AA-96805	CIBC ADHCO #3	F9	O'Meally "also"
12/20/02	0AA-96806	CIBC ADHCO #4	F9	O'Meally "also"
12/20/02	0AA-96807	CIBC ADHCO #1	F9	O'Meally "also"
12/20/02	0AA-96808	CIBC ADHCO #6	F9	O'Meally "also"
12/20/02	0AA-96809	CIBC ADHCO #7	F9	O'Meally "also"
12/20/02	0AA-96819	CIBC ADHCO #8	F9	O'Meally "also"
12/23/02	0AA-96820	CIBC Slow Money #30	M7	O'Meally "also"
12/23/02	0AA-96822	CIBC Slow Money #31	B1	O'Meally "also"
12/23/02	0AA-96826	CIBC Slow Money #32	B3	O'Meally "also"
12/23/02	0AA-96827	CIBC Slow Money #33	C6	O'Meally "also"
12/23/02	0AA-96828	CIBC Slow Money #34	B3	O'Meally "also"
12/23/02	0AA-96830	CIBC Slow Money #35	M7	O'Meally "also"
12/23/02	0AA-96831	CIBC Slow Money #36	B1	O'Meally "also"
12/23/02	0AA-96832	CIBC Slow Money #37	B3	O'Meally "also"
12/23/02	0AA-96840	CIBC Slow Money #38	M7	O'Meally "also"
12/23/02	0AA-96841	CIBC Slow Money #39	M7	O'Meally "also"
12/23/02	0AA-96852	CIBC Slow Money #11	B1	O'Meally "also"
12/23/02	0AA-96853	CIBC Slow Money #12	C6	O'Meally "also"
1/17/03	0AA-96863	CIBC Heuristic Domestic HY #2	E4	DiConza "also"
1/17/03	0AA-96864	CIBC Heuristic Domestic HY #3	E4	DiConza "also"
1/17/03	0AA-96866	CIBC ADHCO #26	E4	DiConza "also"
1/17/03	0AA-96869	CIBC ADHCO #27	E4	DiConza "also"
1/17/03	0AA-96874	CIBC ADHCO #28	E4	DiConza "also"
1/17/03	0AA-96885	CIBC Greenbrook HY #6	E4	DiConza "also"
1/17/03	0AA-96886	CIBC Greenbrook HY #7	E4	DiConza "also"
1/17/03	0AA-96887	CIBC Greenbrook HY #8	E4	DiConza "also"
1/17/03	0AA-96888	CIBC Greenbrook HY #9	E4	DiConza "also"
1/17/03	0AA-96899	CIBC Greenbrook HY #10	E4	DiConza "also"
1/17/03	0AA-96911	CIBC Horsepower HY #3	E3	DiConza "also"
1/17/03	0AA-96912	CIBC Horsepower HY #4	E3	DiConza "also"
1/23/03	0AA-96901	CIBC Heuristic Offshore HY #2	E4	DiConza "also"
1/23/03	0AA-96909	CIBC Heuristic Offshore HY #3	E4	DiConza "also"
3/11/03	0AA-83780	Greenbrook #1	22	??
6/24/03	0SU-95762	CIBC Holdings #43	M7	O'Meally "also"
6/24/03	0SU-95765	CIBC Holdings #44	B1	O'Meally "also"
6/24/03	0SU-95766	CIBC Holdings #13	B3	O'Meally "also"
6/24/03	0SU-95768	CIBC Holdings #14	C6	O'Meally "also"
6/24/03	0SU-95770	CIBC Holdings #15	M7	O'Meally "also"
6/24/03	0SU-95772	CIBC Holdings #45	B1	O'Meally "also"



## O'MEALLY: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA #	Broker
6/24/03	0SU-95775	CIBC Holdings #16	B3	O'Meally "also"
6/24/03	0SU-95777	CIBC Holdings #17	C6	O'Meally "also"
6/24/03	0SU-95779	CIBC Holdings #18	M7	O'Meally "also"
6/24/03	0SU-95781	CIBC Holdings #19	B1	O'Meally "also"
6/24/03	0SU-95782	CIBC Holdings #46	B3	O'Meally "also"
6/24/03	0SU-95784	CIBC Holdings #40	C6	O'Meally "also"
6/24/03	0SU-95786	CIBC Holdings #47	M7	O'Meally "also"
6/24/03	0SU-95788	CIBC Holdings #48	B1	O'Meally "also"
6/24/03	0SU-95790	CIBC Holdings #49	B3	O'Meally "also"
6/24/03	0SU-95792	CIBC Holdings #50	C6	O'Meally "also"
6/24/03	0SU-95794	CIBC Holdings #41	M7	O'Meally "also"
6/24/03	0SU-95796	CIBC Holdings #51	B1	O'Meally "also"
6/24/03	0SU-95798	CIBC Holdings #42	B3	O'Meally "also"
<b>MAN FINANCIAL/ALASTOR (7)</b>				
10/2/97	0EN-96648	Man Financial #6	60	O'Meally primary
10/31/97	0EN-96658	Man Financial #7	60	O'Meally primary
5/27/98	0EN-96752	Man Financial #8	60	O'Meally primary
6/23/98	0EN-96762	Man Financial #9	60	O'Meally primary
6/24/98	0EN-96763	Man Financial #10	60	O'Meally primary
8/6/98	0EN-96775	Man Financial #11	60	O'Meally primary
8/25/98	0EN-96784	Man Financial #12	60	O'Meally primary
<b>MAN FINANCIAL/JOHNSON (5)</b>				
10/2/97	0EN-96647	Man Financial #1	60	O'Meally primary
7/6/98	0EN-96767	Man Financial #2	60	O'Meally primary
8/24/98	0EN-96783	Man Financial #3	60	O'Meally primary
11/4/98	0EN-96806	Man Financial #4	60	O'Meally primary
<b>O'MEALLY (6)</b>				
7/19/94	0SU-R4969	Frederick O'Meally	07	O'Meally "also"
7/19/94	0SU-87315	Marissa O'Meally	60	O'Meally primary
7/19/94	0SU-87316	Kristina O'Meally	60	O'Meally primary
12/8/97	0EN-87895	Cara O'Meally	60	O'Meally primary
12/8/97	0SU-87311	Cara O'Meally	60	O'Meally primary
1/10/00	0SU-87324	Frederick O'Meally	07	O'Meally "also"
<b>SAMARITAN/ALASTOR (14)</b>				
9/15/98	0EN-96790	Samaritan Global B1	60	O'Meally primary
11/11/98	0EN-96808	Samaritan Global B2	60	O'Meally primary
11/11/98	0EN-96809	Samaritan Global B3	60	O'Meally primary
11/11/98	0EN-96810	Samaritan Global B4	60	O'Meally primary
10/5/00	0AA-96038	Samaritan Int'l Equity C	E2	DiConza "also"
10/6/00	0AA-96039	Samaritan Int'l Equity B	E2	DiConza "also"
4/11/01	0SZ-96365	Samaritan Global A	60	O'Meally primary
8/12/02	0AA-83369	Samaritan Enhanced Equity	07	O'Meally "also"
8/12/02	0AA-83370	Samaritan Global C	07	O'Meally "also"

## O'MEALLY: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA #	Broker
9/19/02	0AA-83448	Samaritan Global A	H6	O'Meally "also"
9/19/02	0AA-83450	Samaritan Global B1	H6	O'Meally "also"
9/19/02	0AA-83451	Samaritan Global B2	H6	O'Meally "also"
9/19/02	0AA-83452	Samaritan Global B3	H6	O'Meally "also"
9/19/02	0AA-83453	Samaritan Global B4	H6	O'Meally "also"
<b>SAMARITAN/JOHNSON (58)</b>				
10/1/98	0EN-96795	Capital Appreciation	60	O'Meally primary
10/1/98	0EN-96796	Capital Appreciation #2	60	O'Meally primary
2/5/99	0EN-96855	Samaritan Balanced	60	O'Meally primary
2/5/99	0EN-96856	Samaritan Multi-Strategies A	60	O'Meally primary
2/8/99	0EN-96857	Samaritan Multi-Strategies B	60	O'Meally primary
2/8/99	0EN-96858	Samaritan Multi-Strategies C1	60	O'Meally primary
6/23/99	0EN-96907	Capital Appreciation #3	60	O'Meally primary
1/25/00	0SZ-96060	Samaritan Int'l B	60	O'Meally primary
4/13/00	0YH-46633	Samaritan Int'l B	60	O'Meally primary
5/9/00	0SZ-96115	CIBC Capital Appreciation	60	O'Meally primary
6/14/00	0SZ-96165	CIBC Capital Appreciation	60	O'Meally primary
8/15/00	0SZ-96203	CIBC Capital Appreciation #1	60	O'Meally primary
8/15/00	0SZ-96204	CIBC Capital Appreciation #2	60	O'Meally primary
8/15/00	0SZ-96205	CIBC Capital Appreciation #3	60	O'Meally primary
8/15/00	0SZ-96206	CIBC Capital Appreciation #4	60	O'Meally primary
8/15/00	0SZ-96207	CIBC Capital Appreciation #5	60	O'Meally primary
8/15/00	0SZ-96208	CIBC Capital Appreciation #6	60	O'Meally primary
8/15/00	0SZ-96209	CIBC Capital Appreciation #7	60	O'Meally primary
10/31/00	075-83667	Samaritan Multi-Strategies C	60	O'Meally primary
5/14/01	0AA-96218	CIBC #1	E2	DiConza "also"
5/14/01	0AA-96220	CIBC #2	E2	DiConza "also"
5/14/01	0AA-96225	CIBC #3	E2	DiConza "also"
5/14/01	0AA-96226	CIBC #4	E2	DiConza "also"
8/7/01	0SZ-96420	CIBC Capital Appreciation #8	H8	O'Meally "also"
8/7/01	0SZ-96421	CIBC Capital Appreciation #9	H8	O'Meally "also"
8/7/01	0SZ-96422	CIBC Capital Appreciation #10	H8	O'Meally "also"
8/7/01	0SZ-96423	CIBC Capital Appreciation #11	H8	O'Meally "also"
3/6/02	0SZ-96518	Samaritan Int'l Equity A	60	O'Meally primary
7/11/02	0SU-13442	Lighthouse Super Cash #1	H6	O'Meally "also"
7/11/02	0SU-13443	Lighthouse Diversified	F6	O'Meally "also"
7/11/02	0SU-88046	Lighthouse Diversified	F6	O'Meally "also"
7/11/02	0SU-88047	Lighthouse Super Cash	F6	O'Meally "also"
8/12/02	0AA-96661	Samms	F6	O'Meally "also"
8/13/02	0AA-96662	Samms #2	F6	O'Meally "also"
8/13/02	0AA-96663	Samms #3	F6	O'Meally "also"
8/13/02	0AA-96665	Samms #6	F6	O'Meally "also"
8/13/02	0AA-96666	Samms #7	H8	O'Meally "also"
8/13/02	0AA-96667	Samms #8	H8	O'Meally "also"
8/13/02	0SU-95687	Samms #4	H8	O'Meally "also"
8/13/02	0SU-95688	Samms #5	H8	O'Meally "also"
8/21/02	0SU-13451	Samaritan Multi-Strategies A	07	O'Meally "also"
9/12/02	0AA-83441	Samaritan Multi-Strategies C1	07	O'Meally "also"
9/12/02	0AA-83443	Samaritan Multi-Strategies B	H6	O'Meally "also"

**O'MEALLY: Customer Accounts Used for Market Timing**

<b>Opened</b>	<b>Acct. No.</b>	<b>Account Name</b>	<b>1st FA #</b>	<b>Broker</b>
12/19/02	0AA-96738	CIBC Cap App #1	G7	O'Meally "also"
12/19/02	0AA-96741	Cap App #2	G7	O'Meally "also"
12/19/02	0AA-96742	Cap App #3	G7	O'Meally "also"
12/19/02	0AA-96743	Cap App #4	G7	O'Meally "also"
12/19/02	0AA-96744	Cap App #5	G7	O'Meally "also"
12/19/02	0AA-96745	Cap App #6	G7	O'Meally "also"
12/19/02	0AA-96760	CIBC Capital Appreciation	G7	O'Meally "also"
12/19/02	0AA-96761	CIBC Capital Appreciation	G7	O'Meally "also"
12/19/02	0AA-96763	CIBC Capital Appreciation	G7	O'Meally "also"
12/20/02	0AA-83561	Lighthouse Distressed	H6	O'Meally "also"
12/20/02	0AA-96746	Cap App #7	G7	O'Meally "also"
12/20/02	0AA-96747	Cap App #8	G7	O'Meally "also"
12/20/02	0AA-96797	CIBC Capital Appreciation	G7	O'Meally "also"
12/20/02	0AA-96811	Lighthouse Distressed	H6	O'Meally "also"
2/10/03	0SU-13461	Lighthouse Super Cash #2	H6	O'Meally "also"

# **Exhibit B**

## GINDER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
<b>BRANDYWINE (144)</b>				
1/3/00	ASG-00702	Brandywine #1	ASG-18	Conway primary
1/14/00	ASG-01108	Squashapenny #1	ASG-18	Conway primary
1/14/00	ASG-01109	Bull Rush #1	ASG-18	Conway primary
5/25/00	ASG-01282	Bull Rush #2	ASG-18	Conway primary
5/25/00	ASG-01285	Squashapenny #2	ASG-18	Conway primary
5/25/00	ASG-01287	Brandywine #2	ASG-18	Conway primary
8/31/00	ASG-01470	Nitro #1	ASG-18	Conway primary
8/31/00	ASG-01471	Nitro #2	ASG-18	Conway primary
9/13/00	ASG-02065	Twinstar #1	ASG-18	Conway primary
9/13/00	ASG-02066	Twinstar #2	ASG-18	Conway primary
1/4/01	ASG-02653	Brandywine #3	ASG-18	Conway primary
1/4/01	ASG-02654	Squashapenny #3	ASG-18	Conway primary
1/4/01	ASG-02655	Twinstar #3	ASG-18	Conway primary
1/4/01	ASG-02656	Bull Rush #3	ASG-22	Kalish "also"
1/4/01	ASG-02657	Nitro #3	ASG-18	Conway primary
4/3/01	ASG-03208	Hickory Notch #1	ASG-38	Ginder "also"
4/3/01	ASG-03209	Black Castle #1	ASG-38	Ginder "also"
4/3/01	ASG-03210	Sandston #1	ASG-38	Ginder "also"
5/8/01	ASG-03267	Black Castle #2	ASG-38	Ginder "also"
5/8/01	ASG-03268	Hickory Notch #3	ASG-38	Ginder "also"
5/8/01	ASG-03269	Black Castle #3	ASG-38	Ginder "also"
5/8/01	ASG-03270	Hickory Notch #2	ASG-38	Ginder "also"
5/8/01	ASG-03271	Sandston #3	ASG-38	Ginder "also"
5/8/01	ASG-03272	Sandston #2	ASG-38	Ginder "also"
7/27/01	ASG-03395	Brandywine #4	ASG-97	Goldstein "also"
7/27/01	ASG-03396	Black Castle #4	ASG-97	Goldstein "also"
7/27/01	ASG-03397	Hickory Notch #4	ASG-97	Goldstein "also"
7/27/01	ASG-03398	Sandston #4	ASG-97	Goldstein "also"
7/27/01	ASG-03399	Squashapenny #4	ASG-97	Goldstein "also"
7/27/01	ASG-03400	Bull Rush #4	ASG-97	Goldstein "also"
7/27/01	ASG-03401	Nitro #4	ASG-97	Goldstein "also"
7/27/01	ASG-03402	Twinstar #4	ASG-97	Goldstein "also"
2/26/02	ASG-03880	Black Castle #5	ASG-61	Sopher primary
2/26/02	ASG-03881	Black Castle #6	ASG-61	Sopher primary
2/26/02	ASG-03882	Sandston #5	ASG-61	Sopher primary
2/26/02	ASG-03883	Sandston #6	ASG-61	Sopher primary
2/26/02	ASG-03884	Hickory Notch #5	ASG-61	Sopher primary
2/26/02	ASG-03885	Hickory Notch #6	ASG-61	Sopher primary
2/26/02	ASG-03886	Twinstar #5	ASG-61	Sopher primary
2/26/02	ASG-03887	Twinstar #6	ASG-61	Sopher primary
2/26/02	ASG-03888	Nitro #5	ASG-61	Sopher primary
2/26/02	ASG-03889	Nitro #6	ASG-61	Sopher primary
2/26/02	ASG-03890	Brandywine #5	ASG-61	Sopher primary
2/26/02	ASG-03891	Brandywine #6	ASG-61	Sopher primary
2/26/02	ASG-03892	Squashapenny #5	ASG-61	Sopher primary
2/26/02	ASG-03893	Squashapenny #6	ASG-61	Sopher primary
2/26/02	ASG-03894	Bull Rush #5	ASG-61	Sopher primary
2/26/02	ASG-03895	Bull Rush #6	ASG-61	Sopher primary
5/31/02	ASG-04035	Twinstar #7	ASG-H3	Ginder/Kalish

## GINDER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
5/31/02	ASG-04036	Twinstar #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04038	Hickory Notch #7	ASG-H3	Ginder/Kalish
5/31/02	ASG-04039	Hickory Notch #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04040	Sandston #7	ASG-H3	Ginder/Kalish
5/31/02	ASG-04041	Sandston #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04042	Bull Rush #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04043	Bull Rush #7	ASG-H3	Ginder/Kalish
5/31/02	ASG-04044	Brandywine #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04045	Brandywine #7	ASG-H3	Ginder/Kalish
5/31/02	ASG-04046	Squashapenny #7	ASG-H3	Ginder/Kalish
5/31/02	ASG-04047	Squashapenny #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04048	Black Castle #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04049	Black Castle #7	ASG-H3	Ginder/Kalish
5/31/02	ASG-04050	Nitro #8	ASG-H3	Ginder/Kalish
5/31/02	ASG-04051	Nitro #7	ASG-H3	Ginder/Kalish
7/18/02	CGL-00002	Black Castle #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00003	Black Castle #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00004	Nitro #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00005	Nitro #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00006	Twinstar #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00007	Twinstar #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00008	Hickory Notch #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00009	Hickory Notch #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00010	Sandston #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00011	Sandston #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00012	Bull Rush #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00013	Bull Rush #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00014	Squashapenny #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00015	Squashapenny #10	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00016	Brandywine #9	ASG-F9	Ginder/Kalish/Goldstein
7/18/02	CGL-00017	Brandywine #10	ASG-F9	Ginder/Kalish/Goldstein
9/27/02	ASG-04283	Black Castle #11	ASG-F4	Ginder/Kalish
9/27/02	ASG-04284	Black Castle #12	ASG-F4	Ginder/Kalish
9/27/02	ASG-04285	Nitro #12	ASG-F4	Ginder/Kalish
9/27/02	ASG-04286	Nitro #11	ASG-F4	Ginder/Kalish
9/27/02	ASG-04287	Squashapenny #12	ASG-F4	Ginder/Kalish
9/27/02	ASG-04288	Squashapenny #11	ASG-F4	Ginder/Kalish
10/2/02	ASG-04293	Sandston #12	ASG-F4	Ginder/Kalish
10/2/02	ASG-04294	Sandston #11	ASG-F4	Ginder/Kalish
10/2/02	ASG-04295	Brandywine #11	ASG-F4	Ginder/Kalish
10/2/02	ASG-04296	Bull Rush #12	ASG-F4	Ginder/Kalish
10/2/02	ASG-04297	Bull Rush #11	ASG-F4	Ginder/Kalish
10/2/02	ASG-04298	Brandywine #12	ASG-F4	Ginder/Kalish
11/13/02	ASG-04412	Hickory Notch #11	ASG-96	Ginder/Kalish/Goldstein
11/13/02	ASG-04413	Twinstar #11	ASG-96	Ginder/Kalish/Goldstein
11/21/02	ASG-04429	Leonitis #1	ASG-96	Ginder/Kalish/Goldstein
11/21/02	ASG-04430	Ellewood #1	ASG-96	Ginder/Kalish/Goldstein
11/21/02	ASG-04431	Morvach #1	ASG-96	Ginder/Kalish/Goldstein
11/21/02	ASG-04432	Buchanan #1	ASG-96	Ginder/Kalish/Goldstein
11/21/02	ASG-04437	Buchanan #2	ASG-C6	Ginder/Kalish
11/21/02	ASG-04438	Buchanan #3	ASG-C6	Ginder/Kalish

## GINDER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
11/21/02	ASG-04439	Ellewood #2	ASG-C6	Ginder/Kalish
11/21/02	ASG-04440	Ellewood #3	ASG-C6	Ginder/Kalish
11/21/02	ASG-04441	Leonitis #2	ASG-C1	Ginder/Kalish
11/21/02	ASG-04442	Leonitis #3	ASG-C1	Ginder/Kalish
11/21/02	ASG-04443	Leonitis #4	ASG-C6	Ginder/Kalish
11/21/02	ASG-04444	Morvach #2	ASG-C6	Ginder/Kalish
11/21/02	ASG-04445	Morvach #3	ASG-C1	Ginder/Kalish
11/21/02	ASG-04446	Morvach #4	ASG-C6	Ginder/Kalish
1/2/03	ASG-04486	Ellewood #4	ASG-C1	Ginder/Kalish
1/2/03	ASG-04487	Ellewood #5	ASG-C1	Ginder/Kalish
1/2/03	ASG-04488	Buchanan #4	ASG-C1	Ginder/Kalish
1/2/03	ASG-04489	Buchanan #5	ASG-C1	Ginder/Kalish
1/2/03	ASG-04490	Morvach #5	ASG-C1	Ginder/Kalish
1/2/03	ASG-04491	Leonitis #5	ASG-C1	Ginder/Kalish
1/2/03	ASG-04492	Morvach #6	ASG-30	Ginder/Kalish
1/2/03	ASG-04493	Morvach #7	ASG-30	Ginder/Kalish
1/2/03	ASG-04494	Morvach #8	ASG-30	Ginder/Kalish
1/6/03	ASG-04495	Leonitis #6	ASG-C1	Ginder/Kalish
1/6/03	ASG-04496	Leonitis #7	ASG-C1	Ginder/Kalish
1/6/03	ASG-04497	Leonitis #8	ASG-C1	Ginder/Kalish
1/6/03	ASG-04499	Ellewood #7	ASG-30	Ginder/Kalish
1/6/03	ASG-04500	Ellewood #8	ASG-30	Ginder/Kalish
1/6/03	ASG-04501	Ellewood #6	ASG-30	Ginder/Kalish
1/6/03	ASG-04502	Buchanan #6	ASG-C1	Ginder/Kalish
1/6/03	ASG-04503	Buchanan #7	ASG-C1	Ginder/Kalish
1/6/03	ASG-04504	Buchanan #8	ASG-C1	Ginder/Kalish
3/17/03	ASG-04601	Ellewood #9	ASG-71	Ginder/Kalish
3/17/03	ASG-04602	Ellewood #10	ASG-71	Ginder/Kalish
3/17/03	ASG-04603	Ellewood #11	ASG-71	Ginder/Kalish
3/17/03	ASG-04604	Buchanan #9	ASG-71	Ginder/Kalish
3/17/03	ASG-04605	Buchanan #10	ASG-71	Ginder/Kalish
3/17/03	ASG-04606	Buchanan #11	ASG-71	Ginder/Kalish
3/17/03	ASG-04607	Morvach #9	ASG-31	Ginder/Kalish
3/17/03	ASG-04608	Morvach #11	ASG-31	Ginder/Kalish
3/17/03	ASG-04609	Leonitis #9	ASG-31	Ginder/Kalish
3/17/03	ASG-04610	Leonitis #10	ASG-31	Ginder/Kalish
3/17/03	ASG-04611	Leonitis #11	ASG-31	Ginder/Kalish
3/17/03	ASG-04612	Morvach #10	ASG-31	Ginder/Kalish
5/30/03	ASG-04696	Ellewood #12	ASG-G6	Ginder/Kalish
5/30/03	ASG-04698	Ellewood #14	ASG-G6	Ginder/Kalish
5/30/03	ASG-04699	Buchanan #12	ASG-G6	Ginder/Kalish
5/30/03	ASG-04701	Buchanan #14	ASG-G6	Ginder/Kalish
5/30/03	ASG-04704	Morvach #14	ASG-G6	Ginder/Kalish
5/30/03	ASG-04708	Leonitis #13	ASG-G6	Ginder/Kalish
<b>MILLENNIUM (82)</b>				
5/2/01	ASG-03266	Gilmore & Gillespie #1	ASG-27	Ginder primary
5/11/01	ASG-03282	Wyatt & Atwood #1	ASG-28	Kalish primary
7/26/01	ASG-03394	Gilmore & Gillespie #2	ASG-31	Ginder/Kalish
9/18/01	ASG-03641	Gahn & McElroy #1	ASG-26	Nussbaum primary

## GINDER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
10/4/01	ASG-03668	Wyatt & Atwood #2	ASG-26	Nussbaum primary
10/4/01	ASG-03669	Gahn & McElroy #2	ASG-26	Nussbaum primary
10/5/01	ASG-03670	Wyatt & Atwood #3	ASG-26	Nussbaum primary
10/5/01	ASG-03671	Gilmore & Gillespie #3	ASG-26	Nussbaum primary
10/31/01	ASG-03698	Mazzard #1	ASG-26	Nussbaum primary
11/13/01	ASG-03717	Mazzard #2	ASG-26	Nussbaum primary
11/13/01	ASG-03718	Gahn & McElroy #3	ASG-26	Nussbaum primary
12/5/01	ASG-03750	Wyatt & Atwood #4	ASG-26	Nussbaum primary
12/5/01	ASG-03751	Gahn & McElroy #4	ASG-26	Nussbaum primary
12/5/01	ASG-03752	Mazzard #3	ASG-26	Nussbaum primary
12/5/01	ASG-03753	Gilmore & Gillespie #4	ASG-26	Nussbaum primary
12/18/01	BNG-00454	Wyatt & Atwood #5	ASG-54	Rose primary
12/18/01	BNG-00455	Wyatt & Atwood #6	ASG-54	Rose primary
12/18/01	BNG-00456	Gahn & McElroy #5	ASG-54	Rose primary
12/18/01	BNG-00457	Gilmore & Gillespie #6	ASG-54	Rose primary
12/18/01	BNG-00458	Gilmore & Gillespie #5	ASG-54	Rose primary
12/18/01	BNG-00459	Mazzard #4	ASG-54	Rose primary
12/18/01	BNG-00460	Mazzard #5	ASG-54	Rose primary
12/18/01	BNG-00461	Gahn & McElroy #6	ASG-54	Rose primary
1/23/02	BNG-00462	Mazzard #6	ASG-54	Rose primary
1/23/02	BNG-00463	Gilmore & Gillespie #7	ASG-54	Rose primary
1/23/02	BNG-00464	Gahn & McElroy #7	ASG-54	Rose primary
1/23/02	BNG-00465	Wyatt & Atwood #7	ASG-54	Rose primary
2/20/02	ONT-32945	Mazzard #7	ONT-C5	Bey "also"
2/20/02	ONT-32946	Mazzard #8	ONT-C5	Bey "also"
2/20/02	ONT-32947	Wyatt & Atwood #8	ONT-C5	Bey "also"
2/20/02	ONT-32948	Wyatt & Atwood #9	ONT-C5	Bey "also"
2/20/02	ONT-32949	Gahn & McElroy #8	ONT-C5	Bey "also"
2/20/02	ONT-32950	Gahn & McElroy #9	ONT-C5	Bey "also"
2/20/02	ONT-32951	Gilmore & Gillespie #8	ONT-C5	Bey "also"
2/20/02	ONT-32952	Gilmore & Gillespie #9	ONT-C5	Bey "also"
3/11/02	ONT-33025	Gilmore & Gillespie #11	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33026	Gilmore & Gillespie #12	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33027	Wyatt & Atwood #11	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33028	Wyatt & Atwood #12	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33029	Gahn & McElroy #11	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33030	Gahn & McElroy #12	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33031	Mazzard #10	ONT-77	Ginder/Kalish/Bey
3/11/02	ONT-33032	Mazzard #11	ONT-77	Ginder/Kalish/Bey
3/15/02	ASG-03916	Gilmore & Gillespie #13	ASG-03	Abika primary
3/15/02	ASG-03917	Gilmore & Gillespie #10	ASG-03	Abika primary
3/15/02	ASG-03918	Mazzard #9	ASG-03	Abika primary
3/15/02	ASG-03919	Mazzard #12	ASG-03	Abika primary
3/15/02	ASG-03920	Gahn & McElroy #13	ASG-03	Abika primary
3/15/02	ASG-03921	Gahn & McElroy #10	ASG-03	Abika primary
3/15/02	ASG-03922	Wyatt & Atwood #13	ASG-03	Abika primary
3/15/02	ASG-03923	Wyatt & Atwood #10	ASG-03	Abika primary
4/3/02	ONT-33066	Gilmore & Gillespie #15	ONT-??	??
4/3/02	ONT-33067	Gilmore & Gillespie #14	ONT-??	??
4/3/02	ONT-33068	Wyatt & Atwood #15	ONT-??	??
4/3/02	ONT-33069	Wyatt & Atwood #14	ONT-??	??



## GINDER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
4/3/02	ONT-33070	Mazzard #14	ONT-??	??
4/3/02	ONT-33071	Mazzard #13	ONT-??	??
4/3/02	ONT-33072	Gahn & McElroy #15	ONT-??	??
4/3/02	ONT-33073	Gahn & McElroy #14	ONT-??	??
8/22/02	CGL-00018	Auric Ventures	ASG-H9	Ginder/Kalish
8/22/02	CGL-00019	Linkage Associates	ASG-H9	Ginder/Kalish
9/5/02	ASG-04263	Optik Group	ASG-H9	Ginder/Kalish
9/5/02	ASG-04264	Napa Drive	ASG-H9	Ginder/Kalish
10/23/02	ASG-04366	Buka Group	ASG-49	Ginder/Kalish
10/23/02	ASG-04367	Mazzard #?	ASG-49	Ginder/Kalish
11/26/02	ASG-04457	Taiga Group	ASG-C1	Ginder/Kalish
11/26/02	ASG-04458	Periwinkle	ASG-C1	Ginder/Kalish
12/5/02	ASG-04478	Linkage #2	ASG-C1	Ginder/Kalish
12/5/02	ASG-04479	Auric Ventures #2	ASG-C1	Ginder/Kalish
1/23/03	ASG-04523	Gilmore & Gillespie #?	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04524	Optik Group	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04525	Mazzard #?	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04526	Taiga Group	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04527	Periwinkle	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04528	Auric Ventures	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04529	Linkage Associates	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04530	Napa Drive	ASG-96	Ginder/Kalish/Goldstein
1/23/03	ASG-04531	Buka Group	ASG-96	Ginder/Kalish/Goldstein
2/26/03	ASG-04569	Optik Group	ASG-30	Ginder/Kalish
2/26/03	ASG-04570	Buka Group	ASG-30	Ginder/Kalish
2/26/03	ASG-04571	Mazzard #?	ASG-30	Ginder/Kalish
2/26/03	ASG-04573	Napa Drive	ASG-30	Ginder/Kalish

# **Exhibit C**

## SILVER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
<b>GRANITE (9)</b>				
pre-1/01	BLM-02006	Granite	49	Silver/Comito/Wallerstein
pre-1/01	BLM-02077	Granite	49	Silver/Comito/Wallerstein
pre-1/01	BLM-02078	Granite	49	Silver/Comito/Wallerstein
pre-1/01	BLM-02079	Granite	49	Silver/Comito/Wallerstein
pre-1/01	BLM-02080	Granite	49	Silver/Comito/Wallerstein
pre-1/01	BLM-02098	Granite	49	Silver/Comito/Wallerstein
4/01?	0EC-07665	Granite	78	Silver/Comito
7/3/01	05M-34894	Granite	Y3	Silver/Comito
8/2/01	05M-34958	Granite	78	Silver/Comito
<b>Haidar (32)</b>				
3/26/01	05M-34420	Riverview Group	50	Silver/Comito/Spitz/Pilot
3/26/01	05M-34421	Jupiter	50	Silver/Comito/Spitz/Pilot
3/26/01	05M-34422	Riverview #1	50	Silver/Comito/Spitz/Pilot
3/26/01	05M-34424	Riverview #2	50	Silver/Comito/Spitz/Pilot
3/26/01	05M-34425	Riverview #3	50	Silver/Comito/Spitz/Pilot
3/26/01	05M-34426	Riverview #4	50	Silver/Comito/Spitz/Pilot
4/30/01	05M-34686	Jupiter #1	50	Silver/Comito/Spitz/Pilot
5/24/01	0EC-07677	Jupiter #3	50	Silver/Comito/Spitz/Pilot
5/24/01	0EC-07678	Jupiter #2	50	Silver/Comito/Spitz/Pilot
5/24/01	0EC-07679	Riverview	50	Silver/Comito/Spitz/Pilot
5/24/01	0EC-07680	Riverview	50	Silver/Comito/Spitz/Pilot
6/28/01	05M-34887	Jupiter #4	50	Silver/Comito/Spitz/Pilot
6/28/01	05M-34888	Jupiter #5	50	Silver/Comito/Spitz/Pilot
6/28/01	05M-34889	Jupiter #6	50	Silver/Comito/Spitz/Pilot
6/28/01	05M-34890	Jupiter #7	50	Silver/Comito/Spitz/Pilot
7/9/01	05M-34902	Riverview #7	50	Silver/Comito/Spitz/Pilot
7/9/01	05M-34903	Riverview #8	50	Silver/Comito/Spitz/Pilot
7/9/01	05M-34904	Riverview #9	50	Silver/Comito/Spitz/Pilot
7/9/01	05M-34905	Riverview #10	50	Silver/Comito/Spitz/Pilot
7/25/01	05M-34939	Jupiter	49	Silver/Comito/Wallerstein
7/26/01	05M-34942	Riverview	K4	Silver/Comito/Spitz/Pilot
7/26/01	05M-34943	Riverview	K4	Silver/Comito/Spitz/Pilot
11/7/01	05M-35186	Jarko	50	Silver/Comito/Spitz/Pilot
11/7/01	05M-35187	Jarko	V2	Silver/Comito
11/7/01	05M-35188	Jarko	50	Silver/Comito/Spitz/Pilot
3/28/02	05M-35587	Jarko	V2	Silver/Comito
6/20/02	BLM-95258	Columbia	V2	Silver/Comito
9/20/02	0EC-96673	Rhinergold	L8	Silver/Comito
9/20/02	HSK-95115	Cayman Partners	49	Silver/Comito/Wallerstein
9/23/02	05M-36611	Wessex	49	Silver/Comito/Wallerstein
9/23/02	05M-36614	Hudson	L8	Silver/Comito
11/??/02	0EC-96697	Canadian Imperial	V2	Silver/Comito
<b>MILLENNIUM (56)</b>				
pre-1/01	HSK-00132	Bishop	70	unknown
pre-1/01	HSK-00135	Knight	70	unknown

## SILVER: Customer Accounts Used for Market Timing

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
pre-1/01	HSK-95037	Stonecastle	70	unknown
pre-1/01	HSK-95038	Richard Lewis	70	unknown
4/01?	0EC-07669	Gilmore & Gillespie	49	Silver/Comito/Wallerstein
4/30/01	05M-34566	Gilmore & Gillespie #1	49	Silver/Comito/Wallerstein
5/7/01	05M-34706	Gilmore & Gillespie	49	Silver/Comito/Wallerstein
7/26/01	05M-34941	Wyatt Atwood	49	Silver/Comito
7/26/01	0EC-07684	Wyatt Atwood	25	Comito primary
9/18/01	05M-35049	Gahn & McElroy	E6	Silver/Comito/Diee
9/28/01	05M-35085	Gahn & McElroy	E6	Silver/Comito/Diee
10/16/01	05M-35115	Wyatt Atwood	C2	Silver/Comito
10/30/01	05M-35152	Mazzard	C2	Silver/Comito
11/13/01	05M-35198	Gahn & McElroy	39	Silver primary
11/13/01	05M-35199	Mazzard	V2	Silver/Comito
11/28/01	HSK-00137	Gilmore & Gillespie	C2	Silver/Comito
11/28/01	HSK-00138	Gahn & McElroy	C2	Silver/Comito
11/28/01	HSK-00139	Wyatt Atwood	C2	Silver/Comito
11/28/01	HSK-00140	Mazzard	C2	Silver/Comito
12/??/01	AJX-17406	Gilmore & Gillespie	G9	Silver/Comito/Skriloff
12/27/01	AJX-17430	Mazzard	G9	Silver/Comito/Skriloff
12/27/01	AJX-17431	Gahn & McElroy	G9	Silver/Comito/Skriloff
12/27/01	AJX-17432	Wyatt Atwood	G9	Silver/Comito/Skriloff
1/22/02	05M-35383	Mazzard	V2	Silver/Comito
1/22/02	05M-35384	Gahn & McElroy	E6	Silver/Comito/Diee
1/22/02	05M-35385	Wyatt Atwood	C2	Silver/Comito
1/22/02	05M-35386	Gilmore & Gillespie	V2	Silver/Comito
1/23/02	AJX-17473	Gilmore & Gillespie	G9	Silver/Comito/Skriloff
1/23/02	AJX-17474	Mazzard	G9	Silver/Comito/Skriloff
1/23/02	AJX-17475	Gahn & McElroy	G9	Silver/Comito/Skriloff
1/23/02	AJX-17476	Wyatt Atwood	G9	Silver/Comito/Skriloff
1/24/02	05M-35411	Ravencourt	C2	Silver/Comito
2/19/02	05M-35479	Auric Ventures	DC	Comito "also"
2/19/02	0EC-07708	Linkage	DC	Comito "also"
2/19/02	BLM-02208	Linkage	MS	Silver "also"
2/19/02	HSK-00141	Auric Ventures	MS	Silver "also"
3/13/02	0EC-96619	Castlemark	V2	Silver/Comito
3/13/02	TMP-01570	Gilmore & Gillespie	G9	Silver/Comito/Skriloff
3/13/02	TMP-01571	Gilmore & Gillespie	G9	Silver/Comito/Skriloff
3/13/02	TMP-01572	Mazzard	G9	Silver/Comito/Skriloff
3/13/02	TMP-01573	Mazzard	G9	Silver/Comito/Skriloff
3/13/02	TMP-01574	Gahn & McElroy	G9	Silver/Comito/Skriloff
3/13/02	TMP-01575	Gahn & McElroy	G9	Silver/Comito/Skriloff
3/13/02	TMP-01576	Wyatt Atwood	G9	Silver/Comito/Skriloff
3/13/02	TMP-01577	Wyatt Atwood	G9	Silver/Comito/Skriloff
3/14/02	05M-35566	Linkage	MS	Silver "also"
3/14/02	0EC-07713	Auric Ventures	MS	Silver "also"
3/14/02	BLM-02220	Auric Ventures	DC	Comito "also"
3/14/02	HSK-00142	Linkage	DC	Comito "also"
4/15/02	0EC-96632	Brabant	V2	Silver/Comito
5/8/02	0EC-96648	Foxtail	V2	Silver/Comito
7/3/02	05M-36425	Periwinkle	L8	Silver/Comito
7/3/02	05M-36426	Taiga	L8	Silver/Comito

**SILVER: Customer Accounts Used for Market Timing**

Opened	Acct. No.	Account Name	1st FA#	Broker(s)
7/3/02	0EC-96653	Noemi	L8	Silver/Comito
2/??/03	05M-36719	Truman	L8	Silver/Comito
2/??/03	0EC-96733	Stonecastle	L8	Silver/Comito
<b>PECONIC (10)</b>				
pre-1/01	BLM-95239	Peconic Offshore	49	Silver/Comito/Wallerstein
pre-1/01	BLM-95245	Peconic Offshore	49	Silver/Comito/Wallerstein
4/01?	0EC-96517	Peconic Offshore	C2	Silver/Comito
4/30/01	0EC-96531	Peconic Offshore	C2	Silver/Comito
5/8/01	0EC-96536	Peconic Offshore	C2	Silver/Comito
5/8/01	0EC-96541	Peconic Offshore	C2	Silver/Comito
5/8/01	0EC-96542	Peconic Offshore	C2	Silver/Comito
5/8/01	0EC-96543	Peconic Offshore	C2	Silver/Comito
7/9/01	0EC-96558	Peconic Offshore	C2	Silver/Comito
9/5/01	0EC-96580	Peconic Offshore	C2	Silver/Comito

# **Exhibit D**

## O'MEALLY: FA Numbers Used for Market Timing

Date	FA #	Broker
pre-2000	0AA-31	DiConza primary
pre-2000	0YH-60	O'Meally primary
1/6/00	0YH-F1	O'Meally "also"
2/9/00	0YH-G1	O'Meally "also"
7/20/00	0AA-E1	DiConza "also"
8/16/00	0YH-H6	O'Meally "also"
10/12/00	0AA-E2	DiConza "also"
11/2/00	0YH-G4	O'Meally "also"
8/7/01	0YH-M7	O'Meally "also"
9/5/01	0AA-E3	DiConza "also"
9/20/01	0YH-F5	O'Meally "also"
10/24/01	0AA-H9	DiConza "also"
10/24/01	0YH-H8	O'Meally "also"
12/12/01	0AA-E4	DiConza "also"
12/12/01	0AA-D9	O'Meally "also"
12/13/01	0YH-C6	O'Meally "also"
1/30/02	0AA-E5	DiConza "also"
2/5/02	0AA-E6	DiConza "also"
6/18/02	0AA-07	O'Meally "also"
6/19/02	0AA-H8	O'Meally "also"
6/19/02	0AA-H6	O'Meally "also"
6/19/02	0AA-B1	O'Meally "also"
6/19/02	0AA-B3	O'Meally "also"
6/19/02	0AA-M7	O'Meally "also"
6/19/02	0AA-C6	O'Meally "also"
6/19/02	0AA-F6	O'Meally "also"
1/10/03	0AA-C8	DiConza "also"
1/10/03	0AA-G7	O'Meally "also"
1/13/03	0AA-F9	O'Meally "also"
1/13/03	0AA-G8	O'Meally "also"
??	0AA-22	O'Meally "also"
??	0AA-ED	O'Meally "also"
	<b>32 FA#s</b>	

# **Exhibit E**



## GINDER: FA Numbers Used for Market Timing

Date	FA #	Broker(s)
1/31/00	ASG-27	Ginder primary
2/3/00	ASG-28	Kalish primary
2/4/00	ASG-29	Ginder/Kalish
2/4/00	ASG-30	Ginder/Kalish
2/8/01	ASG-22	Kalish "also"
4/6/01	ASG-38	Ginder "also"
9/7/01	ASG-F9	Ginder/Kalish/Goldstein
2/11/02	0NT-77	Ginder/Kalish/Bey
4/22/02	ASG-H3	Ginder/Kalish
5/22/02	ASG-G6	Ginder/Kalish
8/13/02	ASG-H9	Ginder/Kalish
9/13/02	ASG-F4	Ginder/Kalish
10/14/02	ASG-31	Ginder/Kalish
10/14/02	ASG-49	Ginder/Kalish
10/14/02	ASG-71	Ginder/Kalish
10/14/02	ASG-C6	Ginder/Kalish
10/21/02	ASG-96	Ginder/Kalish/Goldstein
10/21/02	ASG-C1	Ginder/Kalish
??	ASG-03	Abika primary
??	ASG-18	Conway primary
??	ASG-26	Nussbaum primary
??	ASG-54	Rose primary
??	ASG-61	Sopher primary
??	ASG-97	Goldstein "also"
??	0NT-C5	Bey "also"
	<b>25 FA#s</b>	

# **Exhibit F**

**SILVER: FA Numbers Used for Market Timing**

Date	FA #	Broker(s)
3/23/00	05M-49	Silver/Comito/Wallerstein
1/1/01	05M-25	Comito primary
1/1/01	05M-39	Silver primary
4/30/01	05M-C2	Silver/Comito
7/23/01	05M-R3	Silver/Comito/Wallerstein
7/26/01	05M-K4	Silver/Comito/Spitz/Pilot
8/16/01	05M-E6	Silver/Comito/Dice
10/30/01	05M-V2	Silver/Comito
12/3/01	AJX-G9	Silver/Comito/Skriloff
12/5/01	05M-MS	Silver "also"
12/5/01	05M-DC	Comito "also"
7/1/02	05M-L8	Silver/Comito
2/27/03	05M-C8	Silver "also"
??	05M-50	Silver/Comito/Spitz/Pilot
??	05M-78	Silver/Comito
??	05M-Y3	Silver/Comito
??	05M-61	Silver/Comito/Spitz/Pilot
??	05M-70	"unknown"
??	05M-36	05M-24 & 05M-37 [??]
??	05M-CS	Lombardi
??	05M-N4	05M-11 & 05M-88 [??]
	<b>21 FA#s</b>	

# **Exhibit G**

**O'MEALLY: Mutual Fund Purchases in 2001-2003 (Top 25 Fund Companies)**

Company	Atlantique			Canary			Heuristic			Man/Alastor			Man/Johnson		
	Purchases	Accts.	FA#s	Purchases	Accts.	FA#s	Purchases	Accts.	FA#s	Purchases	Accts.	FA#s	Purchases	Accts.	FA#s
AIM	\$4,136,209	49	5	\$2,999,979	4	1	\$41,463,147	163	19	\$1,011,196	4	1	\$2,559,733	3	1
Alliance (ACM)	\$1,961,538	15	2	\$999,989	2	1	\$28,886,766	80	13	\$817,196	2	1	\$3,690,126	3	1
Amer Advantage	\$578,203	6	1				\$7,683,500	64	9						
Amer Century	\$1,142,357	18	3				\$12,350,000	63	12	\$672,243	4	1	\$380,000	2	1
Amer Funds	\$3,880,782	22	2				\$37,418,730	143	14	\$536,686	2	1	\$1,000,000	4	1
Amer Skandia	\$1,112,270	24	3				\$4,443,010	33	10	\$1,000,000	2	1			
Dreyfus	\$500,000	5	1				\$7,865,139	55	11				\$200,000	2	1
Evergreen	\$2,122,253	22	2	\$1,999,979	4	1	\$8,153,262	80	5						
Federated/Liberty	\$4,110,450	37	3	\$1,999,974	5	1	\$14,500,705	96	9						
Franklin Templeton	\$425,000	11	2				\$12,282,181	62	9						
Goldman Sachs	\$2,129,820	29	3	\$1,999,979	4	1	\$9,371,485	103	12						
Hartford	\$400,000	11	2				\$6,515,035	29	7						
ING/Pilgrim	\$1,343,634	24	2				\$6,556,282	76	10						
Janus	\$6,109,899	28	3				\$12,408,972	97	11	\$397,843	2	1	\$2,090,000	4	1
Neuberger	\$2,705,200	17	3				\$9,682,495	53	8						
Oppenheimer	\$194,000	4	2	\$2,999,968	6	1	\$8,536,775	77	8						
Pimco	\$480,850	10	1	\$2,999,947	10	1	\$6,657,751	67	11				\$710,000	2	1
Pioneer	\$287,885	7	1	\$4,649,948	10	1	\$2,119,428	16	7						
Putnam	\$350,000	10	1	\$1,999,979	4	1	\$10,725,536	60	11						
Scudder/Deutsche	\$710,000	5	1				\$11,941,056	47	12	\$604,858	2	1	\$278,679	2	1
Seligman	\$1,443,888	16	2	\$4,999,953	9	1	\$6,793,062	52	6						
Smith Barney	\$264,984	5	1	\$1,999,979	4	1	\$6,597,344	30	7						
T.Rowe Price	\$768,775	15	2				\$10,900,675	94	11	\$785,000	3	1	\$550,000	2	1
TCW							\$7,452,345	29	7						
Van Kampen							\$25,514,868	99	15						
<b>Total</b>	<b>\$37,157,997</b>	<b>54</b>	<b>5</b>	<b>\$29,649,674</b>	<b>10</b>	<b>1</b>	<b>\$316,819,549</b>	<b>298</b>	<b>19</b>	<b>\$5,825,022</b>	<b>7</b>	<b>1</b>	<b>\$11,458,538</b>	<b>4</b>	<b>1</b>

**O'MEALLY: Mutual Fund Purchases in 2001-2003 (Top 25 Fund Companies)**

Company	O'Meally			Samaritan/Alastor			Samaritan/Johnson			Total
	Purchases	Accts.	FA#s	Purchases	Accts.	FA#s	Purchases	Accts.	FA#s	Purchases
AIM	\$385,456	5	1	\$1,261,766	6	3	\$12,098,075	42	8	\$65,915,561
Alliance (ACM)	\$179,995	2	2	\$1,612,058	3	3	\$17,192,945	23	6	\$55,340,613
Amer Advantage				\$450,000	2	2	\$1,147,884	7	3	\$9,859,587
Amer Century	\$395,000	3	2				\$3,536,000	16	4	\$18,475,600
Amer Funds	\$199,979	2	1	\$149,995	2	2	\$9,393,689	22	6	\$52,579,861
Amer Skandia							\$2,565,004	8	4	\$9,120,284
Dreyfus							\$1,732,000	14	3	\$10,297,139
Evergreen	\$195,000	2	1	\$640,000	4	4	\$3,864,979	14	3	\$16,975,473
Federated/Liberty	\$408,194	5	1	\$229,990	3	2	\$9,650,970	38	8	\$30,900,283
Franklin Templeton				\$1,295,002	2	2	\$4,172,011	12	4	\$18,174,194
Goldman Sachs	\$104,984	2	1	\$968,983	3	2	\$10,213,773	25	4	\$24,789,024
Hartford							\$653,538	9	2	\$7,568,573
ING/Pilgrim							\$1,949,004	13	3	\$9,848,920
Janus				\$518,009	2	2	\$7,212,701	29	8	\$28,737,424
Neuberger							\$643,984	4	4	\$13,031,679
Oppenheimer							\$6,440,938	18	5	\$18,171,681
Pimco							\$2,479,974	10	4	\$13,328,522
Pioneer	\$207,979	4	1				\$1,430,984	10	4	\$8,696,224
Putnam							\$6,542,981	19	4	\$19,618,496
Scudder/Deutsche	\$361,250	3	2				\$5,330,205	12	5	\$19,226,048
Seligman				\$549,995	3	3	\$2,757,990	14	4	\$16,544,888
Smith Barney							\$7,369,926	16	5	\$16,232,233
T.Rowe Price	\$382,000	2	2	\$1,133,000	2	2	\$1,605,411	14	4	\$16,124,861
TCW							\$649,984	1	1	\$8,102,329
Van Kampen							\$2,493,989	16	4	\$28,008,857
<b>Total</b>	<b>\$2,819,837</b>	<b>6</b>	<b>2</b>	<b>\$8,808,798</b>	<b>14</b>	<b>4</b>	<b>\$123,128,939</b>	<b>58</b>	<b>10</b>	<b>\$535,668,354</b>

# **Exhibit H**

**GINDER: Mutual Fund Purchases in 2001-2003 (Top 25 Fund Companies)**

Company	Brandywine			Millennium			Total Purchases
	Amount	Accts.	FA#s	Amount	Accts.	FA#s	
AIM	\$67,694,698	89	11	\$161,096,972	69	12	\$228,791,670
Alliance (ACM)	\$2,894,535	11	7	\$25,734,944	27	9	\$28,629,479
American Century				\$25,380,000	9	4	\$25,380,000
American Funds	\$2,519,662	7	2	\$29,829,988	20	6	\$32,349,650
Credit Suisse				\$12,500,000	9	5	\$12,500,000
Dreyfus	\$38,965,836	54	9	\$8,054,967	14	5	\$47,020,803
Federated/Liberty	\$11,891,835	32	11	\$74,404,979	29	9	\$86,296,814
Fidelity	\$749,990	2	2	\$19,769,995	10	2	\$20,519,985
Franklin Templeton	\$6,336,970	13	4	\$146,124,979	10	7	\$152,461,949
Goldman Sachs	\$8,889,870	23	10	\$105,089,997	29	8	\$113,979,867
Hartford	\$14,584,963	39	11	\$18,715,989	55	9	\$33,300,952
ING/Pilgrim	\$32,978,441	58	8	\$16,926,985	37	9	\$49,905,426
Janus				\$118,505,000	23	8	\$118,505,000
Lord Abbett	\$3,630,974	14	5	\$6,234,998	16	5	\$9,865,972
Munder	\$21,103,976	56	11	\$1,724,994	5	2	\$22,828,970
Pimco	\$12,152,971	31	11	\$1,500,000	3	2	\$13,652,971
Pioneer	\$7,914,889	22	7	\$1,060,000	3	2	\$8,974,889
Putnam	\$32,327,474	59	6	\$56,893,929	60	8	\$89,221,403
Scudder/Deutsche	\$10,684,675	36	8	\$51,459,982	23	8	\$62,144,657
Seligman	\$8,481,595	28	11	\$10,084,968	22	7	\$18,566,563
Smith Barney	\$30,518,227	78	13	\$4,094,990	16	6	\$34,613,217
State Street Research	\$17,869,458	54	14	\$8,094,976	19	5	\$25,964,434
SunAmerica	\$3,960,939	13	4	\$3,394,993	9	4	\$7,355,932
Wells Fargo	\$6,031,386	18	7	\$1,199,997	4	2	\$7,231,383
WM Trust	\$3,765,712	14	5	\$3,739,995	11	3	\$7,505,707
<b>Total</b>	<b>\$345,949,076</b>	<b>144</b>	<b>15</b>	<b>\$911,618,617</b>	<b>82</b>	<b>14</b>	<b>\$1,257,567,693</b>



# **Exhibit I**

## SILVER: Mutual Fund Purchases in 2001-2003 (Top 25 Fund Companies)

Company	Granite			Haidar			Millennium			Peconic			Total Purchases
	Amount	Accts.	FA#s	Amount	Accts.	FA#s	Amount	Accts.	FA#s	Amount	Accts.	FA#s	
AIM	\$8,631,110	6	3	\$25,991,308	21	5	\$59,541,500	45	12	\$14,372,000	8	4	\$108,535,918
Alliance (ACM)	\$6,804,592	6	2	\$19,098,135	22	4	\$33,559,921	8	7	\$8,949,000	4	2	\$68,411,648
American Century	\$2,893,936	5	3	\$2,291,826	4	1	\$8,730,000	7	3				\$13,915,762
American Funds	\$1,003,000	1	2	\$5,171,214	6	3	\$13,274,000	16	11	\$1,003,000	2	3	\$20,451,214
Credit Suisse	\$4,018,100	4	1	\$1,974,563	5	1	\$2,800,000	2	1	\$1,000,000	2	1	\$9,792,663
Delaware	\$1,220,010	4	1	\$1,066,600	2	2	\$2,762,000	6	4	\$995,000	2	1	\$6,043,610
Dreyfus	\$1,771,073	3	3	\$5,151,631	11	3	\$7,777,000	13	6	\$1,386,000	3	2	\$16,085,704
Evergreen				\$3,890,158	4	4	\$5,874,979	5	6	\$1,268,000	1	2	\$11,033,137
Federated/Liberty	\$4,202,622	5	2	\$27,417,927	19	8	\$18,197,979	14	6	\$3,474,000	5	2	\$53,292,528
Fidelity	\$2,200,000	2	2	\$12,038,570	17	4	\$10,500,000	4	4	\$2,485,000	4	2	\$27,223,570
Franklin Templeton				\$7,191,446	14	3	\$23,310,000	25	8	\$3,250,000	4	2	\$33,751,446
Goldman Sachs	\$4,646,061	5	3				\$37,320,000	6	4	\$1,000,000	2	1	\$42,966,061
Hartford				\$2,464,800	2	3	\$12,054,995	32	10				\$14,519,795
ING (Pilgrim)	\$3,322,999	6	3	\$24,972,631	24	6	\$39,984,984	44	13	\$4,450,453	4	2	\$72,731,067
Janus				\$5,796,853	3	5	\$62,470,000	6	2				\$68,266,853
Lord Abbett				\$7,158,184	5	4	\$8,026,690	17	9				\$15,184,874
Morgan Stanley				\$1,809,800	2	2	\$42,264,958	6	5	\$4,535,000	1	3	\$48,609,758
Oppenheimer				\$7,294,195	4	6	\$11,393,000	9	6	\$2,146,750	3	4	\$20,833,945
Pioneer	\$2,198,036	5	2	\$5,565,492	12	3	\$11,081,984	9	7	\$988,000	2	1	\$19,833,512
Putnam				\$11,651,390	19	3	\$27,764,000	28	8	\$6,469,000	6	2	\$45,884,390
Scudder/Deutsche	\$7,993,811	6	3	\$14,251,464	19	7	\$37,830,620	13	8	\$2,000,000	3	1	\$62,075,895
Seligman	\$569,970	1	2	\$1,937,057	5	1	\$10,140,000	11	6	\$1,500,000	2	2	\$14,147,027
Smith Barney				\$3,367,490	3	3	\$7,068,990	13	9	\$1,600,000	3	3	\$12,036,480
State Street Research				\$2,087,600	2	2	\$7,604,990	18	9				\$9,692,590
Strong	\$1,603,033	4	1	\$1,230,212	4	2	\$3,900,000	7	2				\$6,733,245
	<b>\$53,078,353</b>	<b>9</b>	<b>6</b>	<b>\$200,870,546</b>	<b>32</b>	<b>9</b>	<b>\$505,232,590</b>	<b>56</b>	<b>13</b>	<b>\$62,871,203</b>	<b>10</b>	<b>9</b>	<b>\$822,052,692</b>