

## **Request for Background Checks, OCC Form CC-9020-01**

The OCC uses OCC Form No. 9020-01 to obtain information on persons requiring background checks. This form can be obtained from Licensing, Washington, D.C. The heading of this form may be modified to indicate the agency or organization to which the request is directed.

OCC Form No. 9020-01 is used to request background checks from:

- Central Intelligence Agency.
- Commodity Futures Trading Commission.
- Drug Enforcement Administration.
- Federal Bureau of Investigation.
- National Association of Securities Dealers, Inc.
- Securities and Exchange Commission.
- United States Customs Service.