

# DHS Exhibit 300 Public Release BY08 (Form) / USCIS - Common File Management - National File Tracking System (NFTS) (2008) (Item)



Form Report, printed by: Administrator, System, Feb 12, 2007

## OVERVIEW

### General Information

<b>1. Date of Submission:</b>	Sep 1, 2006
<b>2. Agency:</b>	Department of Homeland Security
<b>3. Bureau:</b>	Citizenship and Immigration Services (CIS)
<b>4. Name of this Capital Asset:</b>	USCIS - Common File Management - National File Tracking System (NFTS) (2008)
<b>Investment Portfolio:</b>	CIS Home Portfolio 2008
<b>5. Unique ID:</b>	024-30-01-08-01-3027-00

(For IT investments only, see section 53. For all other, use agency ID system.)

### All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The National File Tracking System (NFTS) was developed to better track alien file (A-File) location information and improve data quality and achieve operational efficiencies. NFTS supports the PARTed program, Immigration Services, by supporting the delivery of timely, accurate, and complete information to adjudicators, investigators, inspectors, and border patrol agents. NFTS supports the Department of Homeland Security (DHS) mission by seeking to reduce the immigration benefit backlog and improve customer service through streamlined processes and upgraded A-File tracking. This enhances national security in that federal, state, and local governments have access to alien file data contained within NFTS. In Fiscal Year 2006 (FY06) the National Benefits Center (NBC) began using NFTS. NFTS supports business goals by providing efficient access to high quality immigrant information through maintaining accurate file inventory and location information. USCIS is refining its strategy for an enterprise-wide transformation of its Information Technology (IT) Systems. NFTS, as a mission critical system, will be integrated into this initiative. The deployment of a single web-enabled application minimizes system operations and maintenance costs to achieve maximum operational efficiencies. File requests and status changes can be updated within minutes, providing near real-time status with a web-enabled NFTS. Because NFTS provides a single repository of this information, there is no longer a need for reconciliations to ensure accurate accounting of file location data.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Oct 18, 2005

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

<b>Human Capital</b>	Yes
<b>Budget Performance Integration</b>	Yes
<b>Financial Performance</b>	Yes
<b>Expanded E-Government</b>	Yes
<b>Competitive Sourcing</b>	Yes
<b>Faith Based and Community</b>	No
<b>Real Property Asset Management</b>	No
<b>Eliminating Improper Payments</b>	No
<b>Privatization of Military Housing</b>	No
<b>R and D Investment Criteria</b>	No
<b>Housing and Urban Development Management and Performance</b>	No
<b>Broadening Health Insurance Coverage through State Initiatives</b>	No
<b>Right Sized Overseas Presence</b>	No
<b>Coordination of VA and DoD Programs and Systems</b>	No

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

NFTS has near real-time interfaces with other DHS systems. It reduced operational costs of the prior system that operated 86 individual servers with costly maintenance, travel, & equipment. NFTS is a centralized database utilizing web technology. It will incorporate a tracking mechanism to include ways to track the USCIS fee-for-service initiative. NFTS uses competitive sourcing to promote innovation, efficiency, & activities. NFTS provides accurate & timely access to file location information.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCIS - Immigration Services

14.c. If "yes," what PART rating did it receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

**For information technology investments only:**

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMI compliance area?

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	32.00	
Software	1.00	
Services	67.00	
Other	0.00	
<b>Total</b>	<b>100.00</b>	

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

No

<b>SUMMARY OF FUNDING</b>
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<b>SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)</b>
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1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
<b>Planning:</b>				
<b>Budgetary Resources</b>	1.750	0.000	0.350	0.150
<b>Acquisition:</b>				
<b>Budgetary Resources</b>	14.269	2.388	0.900	0.500
<b>Subtotal:</b>				
<b>Budgetary Resources</b>	16.019	2.388	1.250	0.650
<b>Maintenance:</b>				
<b>Budgetary Resources</b>	13.270	3.611	3.400	3.100
<b>TOTAL, All Stages</b>				
<b>Budgetary Resources</b>	29.289	5.999	4.650	3.750
<b>Government FTE Cost</b>	0.680	0.280	0.287	0.293
<b># of FTEs</b>	0.00	0.00	0.00	0.00
<b>Total, BR + FTE Cost</b>	29.969	6.279	4.937	4.043

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.