



DHS Exhibit 300 Public Release BY08 (Form) / DHS - Watch List Technical Integration (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Aug 30, 2006
2. Agency:	Department of Homeland Security
3. Bureau:	Management
4. Name of this Capital Asset:	DHS - Watch List Technical Integration (2008)
Investment Portfolio:	Mgmt Home Portfolio 2008
5. Unique ID:	024-10-01-02-01-1010-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning

7. What was the first budget year this investment was submitted to OMB?

FY2006

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The Watch List Technical Integration Program (WLI) will provide a common information infrastructure to facilitate improved electronic communications among Federal Departments and DHS Components that share terrorist screening information. Fundamentally, this is a management program that supports all aspects of terrorist screening. It was developed as a result of the 9/11 Commission Report, which cited multiple screening processes and systems that were not interoperable and may have been a factor in not receiving accurate and timely information regarding the 18 Al Qaeda operatives responsible for 9/11 terrorist attacks. This investment will establish a standardized operational interface by means of a Service Oriented Architecture (SOA) for timely and accurate distribution of terrorist screening data within DHS. These developments and enhancements directly support key DHS mission goals and strategic objectives regarding awareness and prevention of terrorist activities as well as the efficiency and effectiveness goals and objectives of the E-Government Act and the PMA by providing high-quality services and cutting government operating costs. Specifically, the WLI will automate Government-to-Government communications improving the internal efficiency and effectiveness of maintaining and operating multiple terrorist watch lists at the participating agencies. The WLI will also support E-Authentication by providing E-Authentication programs with access to data from the Terrorist Screening Databases to facilitate terrorist screening during the authentication process. It supports the NIEM program, which provides a watch listing capability aimed at identifying terrorists and criminals who employ multiple identities, stolen identities, and fabricated names to avoid detection.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Apr 26, 2004

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

No

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

The PMA goal of agency restructuring is to incorporate organizational changes resulting from expanded e-government. The WL Project directly supports this in that it is a prototype for implementing the Department's services-oriented design pattern so all screening components can communicate efficiently internally and externally with primary terrorist-related info providers by tapping into a central database for critical information, updated in real-time, eliminating current manual processes.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what PART rating did it receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(3) Project manager assigned to investment, but does not meet requirements

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	5.00	
Software	15.00	
Services	80.00	
Other	0.00	
Total	100.00	

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	17.509	9.900	9.887	8.690
Acquisition:				
Budgetary Resources	0.000	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	17.509	9.900	9.887	8.690
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	0.000
TOTAL, All Stages				
Budgetary Resources	17.509	9.900	9.887	8.690
Government FTE Cost	0.000	0.000	0.000	0.000
# of FTEs	0.00	0.00	0.00	0.00
Total, BR + FTE Cost	17.509	9.900	9.887	8.690

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

Watch List program budget extended to FY2013. It is anticipated that his program will remain in O&M for some time after that, but it is not prudent to give a definite estimate at this time. As the program evolves, a more definitive answer can be given.