

Why $Pb(B, B')O_3$ Perovskites Disorder at Lower Temperatures than $Ba(B, B')O_3$ Perovskites

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(September 26, 2002)

Published in Physical Review **B60** R12 542- (1999)

Abstract

Planewave pseudopotential calculations of total energies were performed for three ordered perovskite related supercells in each of the eight stoichiometries of $A(B_{1/3}B'_{2/3})O_3$; $A = Pb, Ba$ and $B = Zn, Mg$ and $B' = Nb, Ta$; and the eight stoichiometries of $A(B_{1/2}B'_{1/2})O_3$; $B = Sc, In$. A striking difference between the Pb - and $Ba(B_{1/3}B'_{2/3})O_3$ systems is that the differences in total energies for Pb -systems span ranges that are roughly an order of magnitude smaller than those for the corresponding Ba -systems. This indicates much lower energetic barriers to disordering in the Pb -systems, consistent with experiments. We explain this trend as a consequence of enhanced $Pb-O$ bonding to underbonded oxygens in $B^{2+} - O - B^{2+}$ environments.

Accepted for Rapid publication in Phys. Rev. B, October 1999

PACS Numbers: 64.60.Cn, 81.30.-t, 77.84.-s

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I. INTRODUCTION

Lead based $A(B, B')O_3$ perovskites ($A = Pb^{2+}, Ba^{2+}$; $B = Mg^{2+}, Zn^{2+}, In^{3+}, Sc^{3+}$; $B' = Nb^{5+}, Ta^{5+}$) are widely used as relaxor ferroelectric^{1,2} transducers, actuators, and multilayer capacitors; and their $Ba(B, B')O_3$ counterparts, particularly $Ba(Zn_{1/3}Ta_{2/3})O_3$, are the premier dielectric resonator materials³. Some B – *site* disorder is essential to obtain the relaxor properties of the Pb -systems^{1,2}, but disorder in the Ba -systems can degrade the dielectric "quality factor" by orders of magnitude^{4,5}. As indicated by the experimental data summarized in Table 1, B – *site* ordering in $A(B, B')O_3$ perovskites persists to higher temperatures when the A – *cation* is Ba^{2+} rather than Pb^{2+} , especially in the $A(B_{1/3}B'_{2/3})O_3$ systems^{4,6–8,10–13}. Clearly, the energetics of B – *site* ordering are dramatically altered by substituting Pb for Ba on the A – *sites*, and it is not obvious why this should occur. Our computational results allow us to explain this surprising result as a consequence of enhanced Pb – O hybridization between the Pb 6*s*- and O 2*p*-states of *underbonded oxygens* in $B^{2+} - O - B^{2+}$ or $B^{2+} - O - B^{2+}$ environments.

All the $Ba(B_{1/3}B'_{2/3})O_3$ systems, adopt the same 1 : 2 crystal structure at low temperatures (a $B : B' : B'$ layer sequence perpendicular to the cubic [111] vector) but the only $Pb(B_{1/3}B'_{2/3})O_3$ system, that exhibits long range order is $Pb(Mg_{1/3}Ta_{2/3})O_3$. When maximally ordered, the PMT 1 : 1 structure, has two B – *sites*: one occupied by Ta ; the other by a disordered $Mg_{2/3}Ta_{1/3}$ mixture. Thus, 1 : 1 is a partially ordered intermediate temperature phase rather than the PMT ground state (GS). In the $A(B_{1/2}, B'_{1/2})O_3$ systems, all stoichiometries adopt a 1 : 1 structure at low temperatures, and again the $Ba(B_{1/2}, B'_{1/2})O_3$ systems have higher transition temperatures for cation ordering^{10,14,19}.

II. TOTAL ENERGY CALCULATIONS

Total energies were calculated for three fifteen-atom perovskite based superstructures in each of the eight possible stoichiometries of $A(B_{1/3}B'_{2/3})O_3$; $A = Pb, Ba$ $B = Mg, Zn$ $B' = Nb, Ta$ (Fig. 1). The three $A(B_{1/3}B'_{2/3})O_3$ superstructures ($[111]_{1:2}$, $[110]_{1:2}$, and $[001]_{1:2}$) are derived from ideal perovskite by adding a ($B : B' : B'$) layer sequence perpendicular to the [111], [110], and [001] cubic vectors, respectively. , The three ten-atom $A(B_{1/2}B'_{1/2})O_3$ superstructures, $[111]_{1:1}$, $[110]_{1:1}$, and $[001]_{1:1}$, are derived by adding $B : B'$ layer sequences perpendicular to the [111], [110], and [001] cubic vectors. All calculations were performed with the Vienna *ab initio* simulation program (VASP)²³ using ultrasoft Vanderbilt²⁴ type plane-wave pseudopotentials with a local density approximation for exchange and correlation energies. Electronic degrees of freedom were optimized with a conjugate gradient algorithm, and both cell constant and ionic positions were fully relaxed, but ferroelastic acentric relaxations were not investigated. Valence electron configurations for the pseudopotentials are: Pb $5d^{10}6p^26s^2$ (Pb_d version); Ba $5p^66s^2$; Mg $2p^63s^2$; Zn $3d^{10}4s^2$; Sc $3p^63d4s^2$; In $4d^{10}5s^25p^1$; Nb $4p^65s4d^4$; Ta $5d^36s^2$; O $2p^6$. An energy cutoff of 395.7 eV was used, in the "high precision" option which guarantees that *absolute* energies are converged to within a few meV (a few tenths of kJ/mol; mol = ABO_3). To promote cancellation of errors, formation energies for the ABO_3 and $AB'O_3$ reference states, are calculated for each supercell with identical K-point meshes: 5x5x4, 4x4x10, and 6x6x2 for $[111]_{1:2}$, $[110]_{1:2}$,

and $[001]_{1:2}$ superstructures, respectively; $4 \times 4 \times 4$, $7 \times 7 \times 10$, and $8 \times 8 \times 4$ for $[111]_{1:1}$, $[110]_{1:1}$, and $[001]_{1:1}$, respectively.

VASP results for $A(B_{1/3}B'_{2/3})O_3$ supercell total energies, relative to $E_{[111]_{1:2}}$, are plotted in Figure 1 with the corresponding supercell energies calculated with the ionic model of Bellaiche and Vanderbilt (BV)²⁵, in which:

$$E = \frac{e^2}{2\epsilon a} \sum_{l \neq l'} \frac{\Delta q_l \Delta q_{l'}}{|l - l'|} \quad (1)$$

Where: E is total energy; e is the electron charge; ϵ is an electronic dielectric constant ($\epsilon = 10$ for $A(B_{1/3}B'_{2/3})O_3$, $\epsilon = 5$ for $A(B_{1/2}B'_{1/2})O_3$); a is the lattice constant ($a = 7.7$ a.u., 4.07 Å)²⁶; Δq_l is the difference in charge between the ion at site l and the average B -site charge of $+4$; i.e. $\Delta q_l = -2$ for Mg^{2+} and $+1$ for Nb^{5+} ; $a|l - l'|$ is the interionic separation. For the $A(B_{1/3}B'_{2/3})O_3$ composition, this model predicts a $1 : 2$ GS and a $1 : 2 \rightleftharpoons Disordered$ transition at high temperature, consistent with the experimental data for the $Ba(B_{1/3}B'_{2/3})O_3$ systems.

In Figs. 1 and 2, the BV (ionic) model values and all the Ba -systems, exhibit the same hierarchies: $\Delta E_{[111]_{1:2}} < \Delta E_{[110]_{1:2}} < \Delta E_{[001]_{1:2}}$. In the Pb -systems, however, this hierarchy only occurs in PMN and PZT . Experimentally, the $1 : 2$ structure ($[111]_{1:2}$) is observed as the low temperature, presumably GS phase for all the Ba -systems, and it is the predicted GS of the BV model. In the Pb -systems however, the $1 : 2$ structure may not be the GS for any of them; in PMN for example, at least one 30 atom superstructure is predicted to have lower energy²⁷. Energy ranges, $\Delta E_{[001]_{1:2}} - \Delta E_{[001]_{1:2}}$, for the BV values and the Ba -systems, are between 40 and 60 kJ/mol (mol = ABO_3), but analogous ranges for the Pb -systems, $\Delta E_{highest} - \Delta E_{lowest}$ are between 1 and 8 kJ/mol. In $A(B_{1/2}B'_{1/2})O_3$ systems (Fig. 2), ΔE -ranges for the Pb -systems are about half of those for the Ba -systems. A second trend that occurs in both Figs. 1 and 2 is that ΔE -ranges for $A(B, Nb)O_3$ systems are typically a little smaller than those for the corresponding $A(B, Ta)O_3$ systems; consistent with experimental data indicating higher transition temperatures for cation ordering in Ta -systems (Table 1).

III. DISCUSSION

The configurational energy is apparently dominated by two contributions: long-range Coulomb interactions which favor configurations that maximize unlike charges on nearest neighbor B -sites; and short-range interactions that are primarily associated with the optimization of $A - O$ bonds. Long-range electrostatic interactions dominate when the A -cation is the larger more regularly coordinated Ba^{2+} , and short-range interactions become competitive when it is the smaller less regularly coordinated Pb^{2+} .

Concentrations of underbonded oxygens in $B^{2+} - O - B^{2+}$ or $B^{3+} - O - B^{3+}$ environments increase monotonically in the sequences of structures $[111]_{1:2}$, $[110]_{1:2}$, and $[001]_{1:2}$

(Fig. 3), and $[111]_{1:1}$, $[110]_{1:1}$, and $[001]_{1:1}$ (Fig. 4), and in both cases, the energies for BV (ionic) model calculations and Ba -systems increase monotonically as well. The Pb -systems however, do not follow this trend and $Pb(B_{1/3}, B'_{2/3})O_3$ systems depart from it more strongly than $Pb(B_{1/2}, B'_{1/2})O_3$ systems. Substitution of Pb for Ba drastically reduces the ΔE -ranges, which implies that energetically favorable $Pb-O$ interactions *anticorrelate* with electrostatically favorable $B-site$ configurations. That is, an increase in the concentration of electrostatically destabilizing configurations implies an increase in the concentration of stabilizing $Pb-O$ bonds, leading to a reduced change in configurational energy for the $Pb(B_{1/3}, B'_{2/3})O_3$ systems. This trend is more pronounced in the $A(B_{1/3}, B'_{2/3})O_3$ systems than in the $A(B_{1/2}, B'_{1/2})O_3$ systems because of the larger difference in $B-ion$ charges, B^{2+} and B'^{5+} vs. B^{3+} and B'^{5+} , respectively. Note that in the $[110]_{1:1}$ structure (Fig. 4) the substitution of Pb for Ba causes almost no change in $\Delta E_{[110]_{1:1}}$.

The most plausible explanation for these results is that hybridization of Pb 6s- and O 2p-states^{25,28-31} stabilizes electrostatically unfavorable configurations; via enhanced (short) $Pb-O$ bonds to the otherwise underbonded oxygens in $B^{2+}-O-B^{2+}$ or $B^{3+}-O-B^{3+}$ triplets. Even if the GS has no underbonded oxygens, thermal disordering will create them along with overbonded oxygens in $B^{5+}-O-B^{5+}$ triplets. In the Ba -systems this energetic cost is not as strongly mitigated by short-range interactions so ordered phases are stable to higher temperatures and the supercell ΔE -hierarchies for Ba -systems resemble those for the BV (ionic) model. In the Pb -systems however, $Pb-O$ bonds to underbonded oxygens typically contract, and those towards overbonded oxygens elongate (symmetry permitting).

For example, in the PMN $[110]_{1:2}$ supercell two thirds of the $Pb's$ are in sites with only one $B^{2+}-O-B^{2+}$ triplet (one of 12) in configuration $Mg^{2+}-O-Mg^{2+}$. The $Pb-O$ bond to this oxygen is predicted to be only 2.38 Å, whereas bonds to oxygens in the other triplets are: 2.62 Å for $Mg^{2+}-O-Nb^{5+}$, and 2.86, 3.09, and 3.36 Å for the $Nb^{5+}-O-Nb^{5+}$ triplets. The remaining one third of $Pb's$ in the $[110]_{1:2}$ structure have two $Mg^{2+}-O-Mg^{2+}$ triplets (in the same $[110]_{cubic}$ plane) that compete for the short $Pb-O$ bond and the distances to oxygens in the different triplets are all about the same: 2.83 Å for $Mg^{2+}-O-Mg^{2+}$; 2.85 Å for $Mg^{2+}-O-Nb^{5+}$; 2.79 Å for $Nb^{5+}-O-Nb^{5+}$. Note that $\Delta E_{[110]_{1:1}}$ (Fig. 4) is essentially unchanged by the substitution of Pb for Ba because the $B-site$ coordination of Pb in this structure does not allow for contraction of some $Pb-O$ bonds without stretching an equal number. The importance of hybridization between O 2p- and Pb 6s-states in the ferroelectricity of $PbTiO_3$ was emphasized by Cohen²⁸ and Cohen and Krakauer²⁹; and by Bellaiche et al.³¹ and Wensell and Krakauer³² in discussing the energetics of structural relaxation in PZN and BZN in the $[111]_{1:2}$ and $[001]_{1:2}$ structures.

Qualitatively, the BV model²⁵ captures the essence of cation ordering in the Ba -systems, but it fails for the Pb -systems. BV suggested that covalency of short $Pb-O$ bonds might provide a mechanism for stabilizing 1 : 1 order in place of 1 : 2, but no specific mechanism was described. They preferred the proposal that Pb^{4+} on $B-sites$ might be responsible for "the weak 1:1 order in PMN and PMT ." This is a possible contributing factor in real samples with excess lead, but it fails to explain why, in the absence of octahedral Pb^{4+} , the ΔE -ranges for $Pb(B, B')O_3$ systems are so much smaller than those of the corresponding Ba -systems. Evidently, $Pb(B, B')O_3$ perovskites are more susceptible to $B-site$ cation disorder than their Ba -counterparts because of the near cancellation of long- and short-range

contributions to the configurational energy.

IV. CONCLUSIONS

Comparing the first principles calculations for $Ba(B, B')O_3$ and $Pb(B, B')O_3$ perovskites indicates that the long-range Coulomb interactions which drive B – *site* ordering in Ba –systems do not dominate in Pb –systems. Apparently, hybridization between Pb $6s$ - and O $2p$ -states on otherwise *underbonded oxygens*, leads to a near cancellation of long- and short-range contributions to the configurational energies of $Pb(B_{1/3}, B'_{2/3})O_3$ systems, and to a partial cancellation in the $Pb(B_{1/2}B'_{1/2})O_3$ systems. This competition between long- and short-range many-body interactions explains why $Pb(B, B')O_3$ perovskites disorder at lower temperatures than $Ba(B, B')O_3$ perovskites.

V. ACKNOWLEDGEMENTS

The author gratefully acknowledges the assistance of G. Kresse with VASP calculations; E. Cockayne was supported by a National Research Council fellowship.

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TABLES

TABLE I. Experimental data on ordering in $A(B, B')O_3$ perovskites.

System	abbreviation	Observed Ordering	Transition Temperature Range	Ref.
$Pb(Zn_{1/3}, Nb_{2/3})O_3$	<i>PZN</i>	1 : 1 Short Range Order		6
$Pb(Mg_{1/3}, Nb_{2/3})O_3$	<i>PMN</i>	1 : 1 Short Range Order		7,8
$Pb(Zn_{1/3}, Ta_{2/3})O_3$	<i>PZT</i>	?		9
$Pb(Mg_{1/3}, Ta_{2/3})O_3$	<i>PMT</i>	1:1 \rightleftharpoons Disordered	$1350 < T_t^* < 1400^\circ C$	10
$Ba(Zn_{1/3}, Nb_{2/3})O_3$	<i>BZN</i>	1:2 \rightleftharpoons Disordered	$1300 < T_t < 1350^\circ C$	11,12
$Ba(Mg_{1/3}, Nb_{2/3})O_3$	<i>BMN</i>	1:2 \rightleftharpoons Disordered	$1350 < T_t < 1400^\circ C$	10
$Ba(Zn_{1/3}, Ta_{2/3})O_3$	<i>BZT</i>	1:2 \rightleftharpoons Disordered	$T_t \geq 1650^\circ C$	4
$Ba(Mg_{1/3}, Ta_{2/3})O_3$	<i>BMT</i>	1:2 \rightleftharpoons Disordered	$T_t \approx 1655^\circ C$	13
$Pb(Sc_{1/2}, Nb_{1/2})O_3$	<i>PSN</i>	1:1 \rightleftharpoons Disordered	$1200 < T_t < 1220^\circ C$	14
$Pb(Sc_{1/2}, Ta_{1/2})O_3$	<i>PST</i>	1:1 \rightleftharpoons Disordered	$1350 < T_t < 1400^\circ C$	10
$Pb(In_{1/2}, Nb_{1/2})O_3$	<i>PIN</i>	1:1 \rightleftharpoons Disordered	$920^\circ C < T_t < 950^\circ C$	15,16
$Pb(In_{1/2}, Ta_{1/2})O_3$	<i>PIT</i>	1:1 \rightleftharpoons Disordered	$1070^\circ C < T_t < 1100^\circ C$	17,18
$Ba(Sc_{1/2}, Nb_{1/2})O_3$	<i>BSN</i>	1:1 \rightleftharpoons Disordered	$1400^\circ C < T_t$	19
$Ba(Sc_{1/2}, Ta_{1/2})O_3$	<i>BST</i>	1:1 \rightleftharpoons Disordered	$1400^\circ C < T_t$	19
$Ba(In_{1/2}, Nb_{1/2})O_3$	<i>BIN</i>	1:1	$1200^\circ C < T_t < 1400^\circ C$	20,21
$Ba(In_{1/2}, Ta_{1/2})O_3$	<i>BIT</i>	1:1	$1200^\circ C < T_t < 1650^\circ C$	20,22

* T_t = cation order – disorder transition temperature.

FIGURES

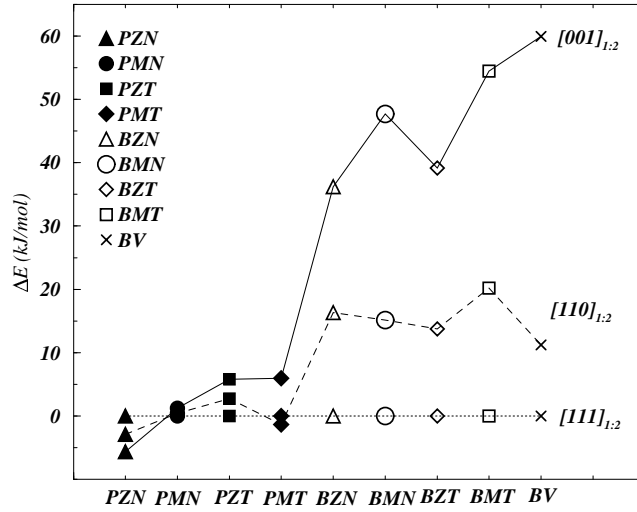


FIG. 1. Total energies (mol = ABO_3), relative to $E_{[111]_{1,2}}$, for the perovskite based supercells with compositions $A(B_{1/3}B'_{2/3})O_3$; $A = Pb, Ba$; $B = Mg, Zn$; $B' = Nb, Ta$; and for ionic model of Bellaiche and Vanderbilt (BV)[18].

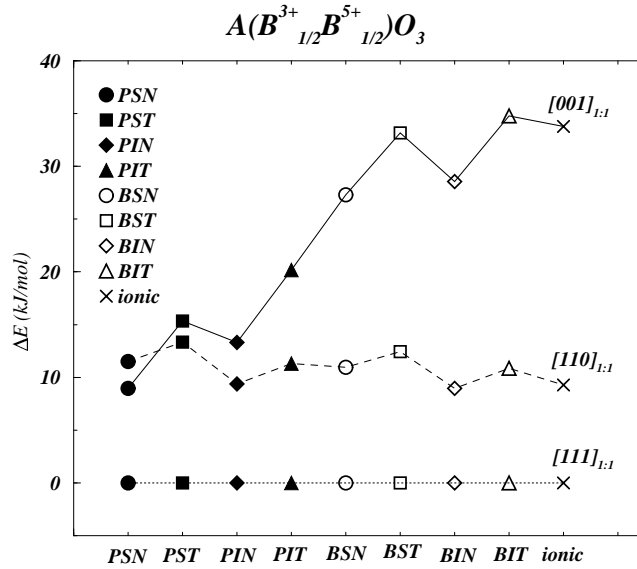


FIG. 2. Total energies, relative to $E_{[111]_{1,1}}$, for $A(B_{1/2}^{3+}B'_{1/2}^{5+})O_3$ perovskite based supercells.

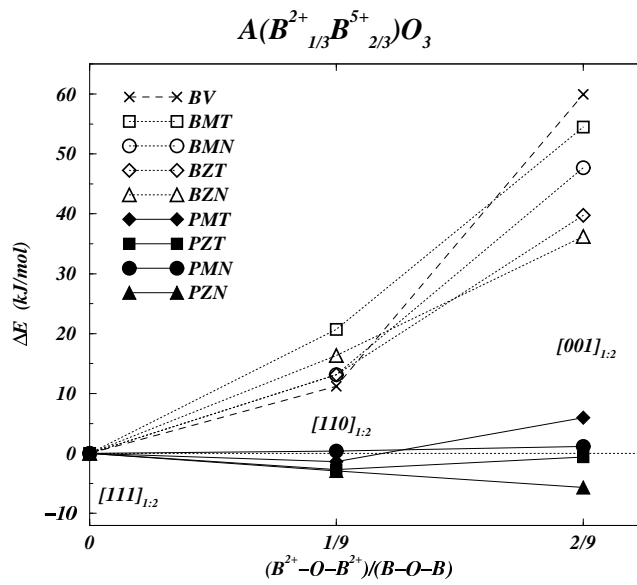


FIG. 3. ΔE vs. the concentration of underbonded oxygens in the $[111]_{1:2}$, $[110]_{1:2}$, and $[001]_{1:2}$ supercells.

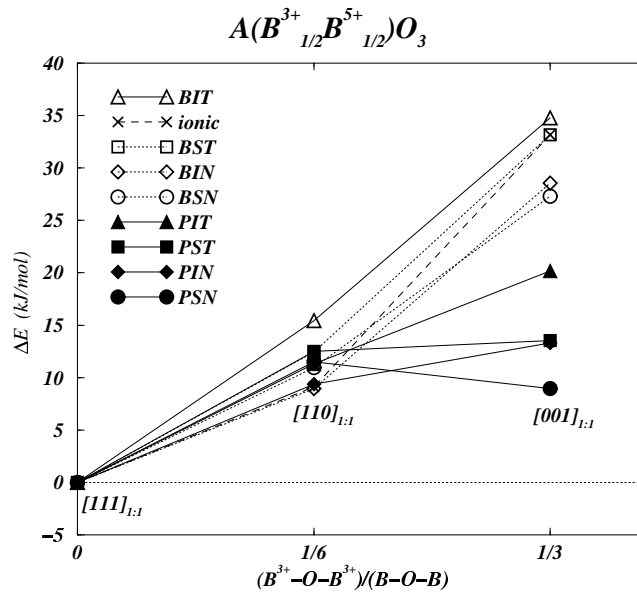


FIG. 4. ΔE vs. the concentration of underbonded oxygens in the $[111]_{1:1}$, $[110]_{1:1}$, and $[001]_{1:1}$ supercells.