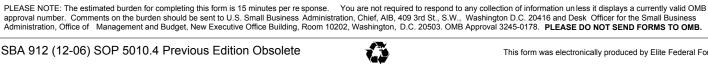
OMB APPROVAL NO.3245-0178

Return Executed Cop	oles 1, 2, and 3 to SBA	Expiration Date:12/31/2009
United States of America	Each member of the small business or the must submit this form in TRIPLICATE for f be filled out and submitted by:	efully - Print or Type development company requesting assistance liling with the SBA application. This form must
* SMALL BUSINESS ADMINISTRATION	1. By the proprietor, if a sole proprietorship.	
STATEMENT OF PERSONAL HISTORY	2. By each partner, if a partnership.	
WISTRE,	3. By each officer, director, and additionally ownership stock, if a corporation, limited	by each holder of 20% or more of the liability company, or a development company.
Name and Address of Applicant (Firm Name)(Street, City, State, and ZIP Code)	SBA District/Disaster Area Office	
	Amount Applied for (when applicable)	File No. (if known)
<ol> <li>Personal Statement of: (State name in full, if no middle name, state (NMN), or if initial only, indicate initial.) List all former names used, and dates each name was used. Use separate sheet if necessary.</li> </ol>	Give the percentage of ownership or stoco or to be owned in the small business or development company	
First Middle Last	3. Date of Birth (Month, day, and year)	
	4. Place of Birth: (City & State or Foreign (	Country)
Name and Address of participating lender or surety co. (when applicable and known)	5. U.S. Citizen? YES NO If No, are you a Lawful Permanent resident alien: YES If non- U.S. citizen provide alien registration	NO number:
Present residence address:	Most recent prior address (omit if over 10 ye	ears ago):
From:	From:	
To:	То:	
Address:	Address:	
Home Telephone No. (Include A/C): Business Telephone No. (Include A/C):		
PLEASE SEE REVERSE SIDE FOR EXPLANATION REGARDING DISCLOS	URE OF INFORMATION AND THE US	ES OF SUCH INFORMATION.
IT IS IMPORTANT THAT THE NEXT THREE QUESTIONS BE ANSWER		
IF YOU ANSWER "YES" TO 7, 8, OR 9, FURNISH DETAILS ON A SEPARAMISDEMEANOR OR FELONY, DATES OF PAROLE/PROBATION, UNPACTHER PERTINENT INFORMATION.		
7. Are you presently under indictment, on parole or probation?		
Yes	e.)	
8. Have you ever been charged with and or arrested for any criminal offense other than not prosecuted (All arrests and charges must be disclosed and explained on an attack.)		ses which have been dismissed, discharged, or
Yes No		
<ol><li>Have you ever been convicted, placed on pretrial diversion, or placed on any form of p than a minor vehicle violation?</li></ol>	robation, including adjudication withheld pend	ling probation, for any criminal offense other
Yes No		
10. I authorize the Small Business Administration Office of Inspector General to request of determining my eligibility for programs authorized by the Small Business Act, and the		inal justice agencies for the purpose of
<u>CAUTION:</u> Knowingly making a false statement on this form is a violation of Federal law surety bond, or other program participation. A false statement is punishable under 18 US under 15 USC 645 by imprisonment of not more than two years and/or a fine of not more imprisonment of not more than thirty years and/or a fine of not more than \$1,000,000.	SC 1001 by imprisonment of not more than five	e years and/or a fine of not more than \$10,000
Signature Title		Date
Agency Use Only	1	· · · · · · · · · · · · · · · · · · ·
11. Fingerprints Waived Date Approving Authority	<ul><li>12. Cleared for Processing</li><li>13. Request a Character Evaluation</li></ul>	Date Approving Authority
Fingerprints Required	13. Request a Character Evaluation	Date Approving Authority



Approving Authority

Date Sent to OIG

Date

(Required whenever 7, 8 or 9 are answered "yes" even if cleared for processing.)

## **NOTICES REQUIRED BY LAW**

The following is a brief summary of the laws applicable to this solicitation of information.

## Paperwork Reduction Act (44 U.S.C. Chapter 35)

SBA is collecting the information on this form to make a character and credit eligibility decision to fund or deny you a loan or other form of assistance. The information is required in order for SBA to have sufficient information to determine whether to provide you with the requested assistance. The information collected may be checked against criminal history indices of the Federal Bureau of Investigation.

## Privacy Act (5 U.S.C. § 552a)

Any person can request to see or get copies of any personal information that SBA has in his or her file, when that file is retrievable by individual identifiers, such as name or social security numbers. Requests for information about another party may be denied unless SBA has the written permission of the individual to release the information to the requestor or unless the information is subject to disclosure under the Freedom of Information Act.

Under the provisions of the Privacy Act, you are not required to provide your social security number. Failure to provide your social security number may not affect any right, benefit or privilege to which you are entitled. Disclosures of name and other personal identifiers are, however, required for a benefit, as SBA requires an individual seeking assistance from SBA to provide it with sufficient information for it to make a character determination. In determining whether an individual is of good character, SBA considers the person's integrity, candor, and disposition toward criminal actions. In making loans pursuant to section 7(a)(6) the Small Business Act (the Act), 15 USC § 636 (a)(6), SBA is required to have reasonable assurance that the loan is of sound value and will be repaid or that it is in the best interest of the Government to grant the assistance requested. Additionally, SBA is specifically authorized to verify your criminal history, or lack thereof, pursuant to section 7(a)(1)(B), 15 USC § 636(a)(1)(B). Further, for all forms of assistance, SBA is authorized to make all investigations necessary to ensure that a person has not engaged in acts that violate or will violate the Act or the Small Business Investment Act,15 USC §§ 634(b)(11) and 687b(a). For these purposes, you are asked to voluntarily provide your social security number to assist SBA in making a character determination and to distinguish you from other individuals with the same or similar name or other personal identifier.

When this information indicates a violation or potential violation of law, whether civil, criminal, or administrative in nature, SBA may refer it to the appropriate agency, whether Federal, State, local, or foreign, charged with responsibility for or otherwise involved in investigation, prosecution, enforcement or prevention of such violations. See 56 Fed. Reg. 8020 (1991) for other published routine uses.