2006 NATIONAL SURVEY ON DRUG USE AND HEALTH

Full-Year Field Observation Report – FINAL

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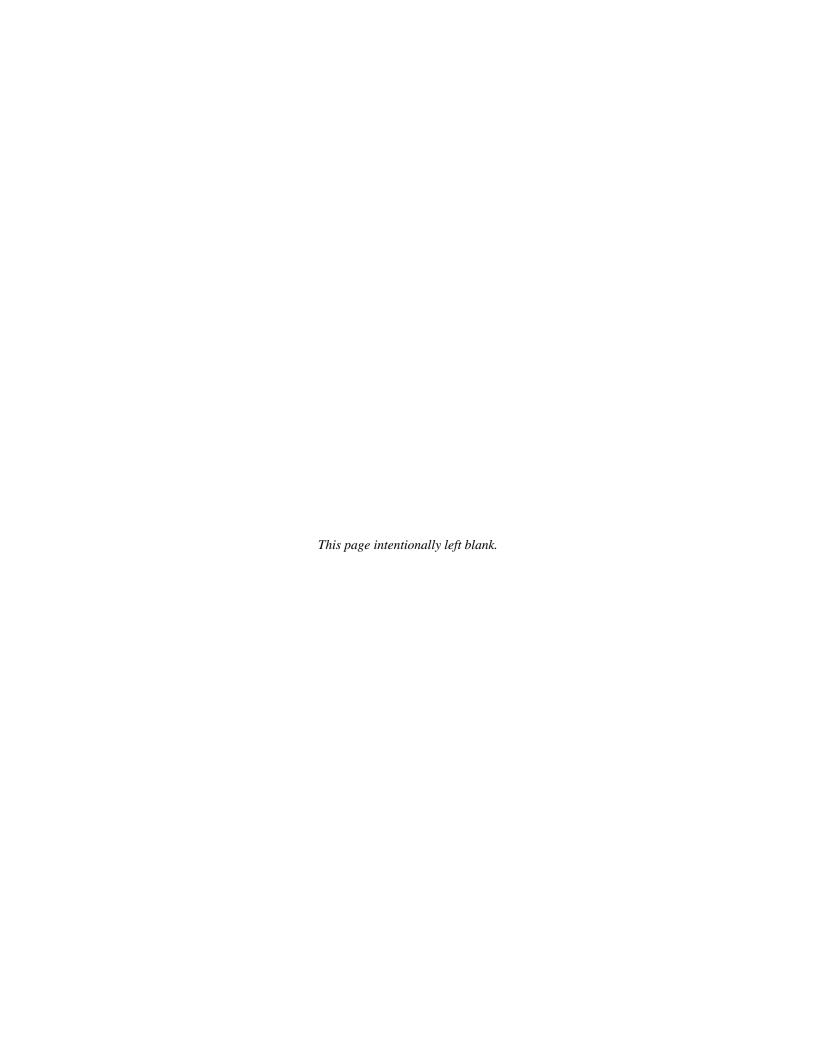Substance Abuse and Mental Health Services Administration
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1. Introduction

Beginning in the spring of 2001, Substance Abuse and Mental Health Services Administration (SAMHSA) and RTI International staff conducted a few informal field observations of field interviewers (FIs) working on the National Survey on Drug Use and Health (NSDUH) cases in the District of Columbia and North Carolina areas. The primary intent was to assess how closely FIs followed project protocols while completing fieldwork. Errors were prevalent enough to warrant further investigation. As a result, beginning in January 2002, nationwide field observations were begun. The purposes of these field observations included assessing and monitoring the nature and extent of screening and interviewing problems occurring in the field, and using the findings to improve training and field procedures in current and subsequent NSDUH surveys.

In 2006, field observations were conducted across all four quarters by NSDUH field management, methodological, and instrumentation staff. In Quarter 1 2006, the design was to observe 100 interviewers, using a purposive method in order to minimize costs but still ensure coverage of a variety of FIs and work conditions. The FIs were selected for observation based on the following criteria (in order of importance):

- Sufficient viable pending work to allow for observing an interview

- FI experience (New FI, Veteran FI)

- Recommendation for re-observation by previous observer or Regional Director

- Type of area (Rural, Suburban, Urban)

- Census region (Northeast, South, Midwest, West)

- FI gender (Male, Female)

- FI race (White, Black, Other)

In order to examine changes in screening and interviewing behavior over time, a subset of FIs observed included those that had been observed previously.

Beginning in Quarter 2 2006, the design changed to observe only interviewers who: (a) were working in their second quarter of work and had not been previously observed or (b) were previously observed committing a serious breach of protocol and/or committing four or more unrelated errors. Other changes to the design included: (a) a minimum number of interviewers to be observed each quarter or each year no longer applied; (b) observers were no longer asked to make a recommendation as to whether an FI should be re-observed; and (c) FIs that were observed committing a serious breach of protocol and/or committing four or more unrelated errors were to receive progressive disciplinary action; FIs that met these criteria on three separate observations were to be terminated.

This full-year report summarizes the field observations conducted between January 7, 2006 and December 21, 2006. During this time period, a total of 292 field observations were completed, in which 242 different FIs were observed completing 622 screenings and 405 interviews. SAMHSA staff observed 14 of the 242 FIs completing 38 screenings and 16 interviews. The remaining observations were conducted by NSDUH staff, which included observations by 35 field supervisors (FSs), nine (9) regional supervisors (RSs), 14 survey specialists, two (2) regional directors (RDs), and 13 other RTI staff members.

This report summarizes the field observation procedures followed and the errors observed, as well as a description of implemented actions and recommendations for future actions based on the findings.

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2. Observation Procedures

In Quarter 1, FIs were chosen using a purposive method in order to minimize costs but still allowed coverage of a variety of FIs and work conditions to be included until 100 FIs were chosen and observed. Beginning in Quarter 2, all FIs that were previously observed committing a serious breach of protocol and/or committing four or more unrelated errors were chosen for observation unless there was no observer or no other FIs in close proximity that met the criteria for observation. If completing a field observation would require an observer to fly to observe only one FI, that FI was not observed but remained on the list of FIs to be observed in the future when a cost efficient opportunity to do so arose. Field observation trips were planned as early as possible to allow adequate time for planning efficient travel.

Observations were conducted in 44 states (see *Tables 2.1* through *2.4* for numbers of observations by type of area, Census region, FI gender, and FI race). Observers used a Field Observation Screening Checklist and a Field Observation Interviewing Checklist to document their observations. Both a Field Observer Reference Sheet and a Field Observer Task List were used to help maintain consistency in planning observation assignments and interacting with FIs and respondents. Observers were asked to ensure that a Field Observations FI Instructions sheet was mailed to the FI prior to the observer's arrival in the field. Current versions of the iPAQ Housing Unit (HU) and Group Quarters Unit (GQU) Scripts and computer-assisted interviewing (CAI) specifications for the front-end and back-end computer-assisted personal interviewing (CAPI) questions were posted on the project website for observers to print and use to follow along with FIs during observations.

Observers were asked to transfer information from paper Field Observation Screening Checklists and Field Observation Interviewing Checklists to electronic versions available on the project website within 24 hours of completing an observation. (See the Appendix for a copy of these materials and the materials referenced in the two paragraphs above.) This information was summarized into two master Excel data files accessible to all project staff members and SAMHSA staff via a link on the website. One file contained all the screening observation data and the other file contained all the interviewing observation data. This page contained filters that allowed field management staff to download specific field observation data into a data summary report.

Each week a status report file called the Field Observations Weekly Status Report was sent to SAMHSA. This report included a count of observations completed to date and characteristics of observation trips completed and planned for the year. A summary page provided a count of all observations by type of travel (local, drive and stay overnight, fly), Census region, type of area, and whether the FI was observed previously.

A standard process was used to provide feedback to observed FIs. All field observation data were to be entered into the NSDUH website within 24 hours of completing an observation. The field observation manager reviewed the information posted on the project website for accuracy and completeness. Omissions or mistakes made on the form by the observer were corrected by the field observation manager after gaining clarification from the observer. If the field observer was the direct supervisor of the FI that he/she had observed, he/she was allowed to provide feedback to the FI at the end of the observation trip. However, all observers were still required to promptly enter their checklists into the NSDUH website for the field observation manager to review. Field observers who were not the direct supervisor of the observed FI were asked to refrain from providing any feedback to the FI. These observers were asked to provide their feedback only via the Field Observation Checklists on the project website. In addition, observers were instructed to share positive feedback with the managing FS, RS, and RD directly.

FSs were required to discuss with the observed FI the documented results of the observation. The field observation manager reviewed each observation form and, if problems were noted, would mandate retraining and disciplinary action and in some situations a suspension of work until the FI completed retraining. The exact recommendations were based on the number and severities of the errors discovered during the observation and were monitored by the national field director for appropriateness. For every error observed, the FS was instructed to have a detailed discussion with the FI about the error. For any FI who was observed committing four or more unrelated errors during one observation trip, the FS was instructed to issue the FI a written warning[1] and conduct an extensive phone retraining with the FI. In addition, the FI was designated to be re-observed in the following quarter.

For FIs who committed a serious breach of protocol, defined as those that could potentially violate a respondent's rights and/or significantly compromise the accuracy of the data collected, the FI's work was suspended until the FS conducted retraining, and the RS, RD, and national field director approved the FI to return to work. In these cases a verbal warning, a warning letter or a probation letter was issued to the FI. The level of disciplinary action taken was dependent on the FI's past behavior related to the breach in protocol. In general, prior to Quarter 2 2006 a first offense led to a verbal warning, a second offense led to a warning letter, a third offense led to a probation letter, and a fourth offense led to termination. Beginning in Quarter 2 2006, a first offense for committing a serious breach of protocol led to a warning letter, a second offense led to a probation letter, and a third offense led to termination. In 2006, seventy-four (74) FIs received some form of disciplinary action because of behaviors observed during a field observation. Two (2) FIs were terminated as a result of findings from field observations.

Field observers were trained to remain neutral during the observations, and were only to intercede during a screening or interview if the respondent's rights were being violated or if the project equipment was in jeopardy of being damaged. In all other situations, observers were instructed not to interfere.

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[1] In Quarter 1 only a verbal warning was required for the first offense. Beginning in Quarter 2 a written warning was required for the first offense.

Table 2.1   Observation Counts and Percentages by Type of Area

Type of Case	Type Of Area						Total (292)	
	Rural (54[*])		Suburban (173)		Urban (65)			
Screening	147	24%	357	57%	118	19%	**622**	**100%**
Interview	82	20%	229	57%	94	23%	**405**	**100%**
Overall	**229**	**22%**	**586**	**57%**	**212**	**21%**	**1,027**	**100%**

* Number of FIs observed.

Table 2.2   Observation Counts and Percentages by Census Region

Type of Case	Region								Total (292)	
	Northeast (58[*])		South (107)		Midwest (62)		West (65)			
Screening	126	20%	235	38%	142	23%	119	19%	**622**	**100%**
Interview	74	18%	162	40%	91	22%	78	19%	**405**	**100%**
Overall	**200**	**19%**	**397**	**39%**	**233**	**23%**	**197**	**19%**	**1,027**	**100%**

* Number of FIs observed.

Table 2.3   Observation Counts and Percentages by FI Gender

Type of Case	FI Demographics: Gender				Total (292)	
	Male (63[*])		Female (229)			
Screening	145	23%	477	77%	**622**	**100%**
Interview	87	21%	318	79%	**405**	**100%**
Overall	**232**	**23%**	**795**	**77%**	**1,027**	**100%**

* Number of FIs observed.

Table 2.4   Observation Counts and Percentages by FI Race

Type of Case	FI Demographics: Race						Total (292)	
	White (248[*])		Black (26)		Other (18)			
Screening	545	88%	48	8%	29	5%	**622**	**100%**
Interview	344	85%	37	9%	24	6%	**405**	**100%**
Overall	**889**	**87%**	**85**	**8%**	**53**	**5%**	**1,027**	**100%**

* Number of FIs observed.

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3. Findings

3.1 General Findings

Out of a possible 21,770 screening errors in 2006 (622 completed screenings x 35 possible errors on the Field Observation Screening Checklist), field observers noted 519 errors—2.38 percent of possible screening errors. Out of a possible 10,125 interview errors in 2006 (405 completed interviews x 25 possible errors on the Field Observation Interviewing Checklist), field observers noted 674 errors—6.66 percent of possible interview errors.

We were pleased to find that the vast majority of FIs displayed positive behaviors when conducting screenings (see *Table 3.1.1*). Of the 35 activities listed on the Field Observation Screening Checklist, only four items were observed being conducted incorrectly at least 5 percent of the time. Those four items included:

- Not including US Public Health Service in their introduction at the door

- Not verifying that he/she is at the correct address

- Not asking all roster questions verbatim

- Not reading verification instructions verbatim when no household member was selected for an interview (code 22, 25, 26, or 30)

Table 3.1.1 Screening Error Rates

Screening Error	Error Rate	Errors Observed
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. A total of 622 screening cases were observed.		
Not having segment maps readily available for reference while in the field	1.77%	11
If FI's first visit to the dwelling unit(s), not using segment maps to locate sample dwelling unit(s)	2.25%	14
If FI's first visit to the dwelling unit(s), not using the segment maps and either the printed list of SDUs or the original list of dwelling units to check for missed DUs in the interval between the SDU and the next listed dwelling unit	3.38%	21
If a missed DU is found, not using segment map and original list of dwelling units to make sure the missed DU was not already listed	0.64%	4
Not displaying ID Badge prominently when knocking on door	1.45%	9
Not being on iPAQ "Study Introduction" screen when reaching door	1.13%	7
Using iPAQ Spanish screens when not bilingual-certified	0.16%	1
Not including FI name in introduction	0.16%	1
Not including RTI in introduction	0.80%	5
Not including US Public Health Service in introduction	5.31%	33
Not including lead letter in introduction	0.16%	1
If R didn't recall lead letter, FI not offering one to R	0.80%	5
Not confirming that SR was an adult resident of SDU	2.41%	15

Table 3.1.1   Screening Error Rates (Continued)

Screening Error	Error Rate	Errors Observed
Not verifying that he/she was at the correct address	6.11%	38
Not handing Study Description to respondent	2.73%	17
Not reading iPAQ "Informed Consent" screen to R	1.13%	7
Not checking for missed DUs by reading the correct iPAQ screen verbatim	2.41%	15
Not asking all roster questions verbatim	21.38%	133
Not recording race based on R answer, but on FI observation instead	0.80%	5
Not obtaining all screening information directly from the SR (by observation or a proxy)	0.16%	1
Not confirming accuracy & completeness of roster data w/ screening respondent	4.02%	25
When no household members were selected for an interview (code 22, 25, 26, or 30), not reading verification instructions verbatim	6.91%	43
When one or two household members were selected for an interview (code 31 or 32), not expecting interview cooperation	0.32%	2
When one or two household members were selected for an interview (code 31 or 32), not presenting project and interview information accurately	0.96%	6
When one or two household members were selected for an interview (code 31 or 32), not gaining respondent trust/cooperation	0.64%	4
When one or two household members were selected for an interview (code 31 or 32), not demonstrating flexibility in scheduling interview	0.64%	4
When one or two household members were selected for an interview (code 31 or 32), not leaving appropriate information about future interview(s)	1.93%	12
When one or two household members were selected for an interview (code 31 or 32), not making attempts to begin interview right away	0.64%	4
Committing other procedural violation not noted on this checklist	3.86%	24
Not being punctual	0.48%	3
Not being organized	2.09%	13
Not demonstrating a thorough knowledge of study	3.86%	24
Not delivering a courteous, straightforward presentation	0.64%	4
Not maintaining a calm, professional, respectful demeanor	0.64%	4
Making biasing or inappropriate remarks	0.64%	4
TOTAL	**2.38%**	**519**

We were pleased to also find that the vast majority of FIs displayed positive behaviors when conducting interviews (see ***Table 3.1.2***). Of the 25 activities listed on the Field Observation Interviewing Checklist, only the following items were observed being conducted incorrectly at least 5 percent of the time (not including serious breaches of protocol):

- Not asking initial (front-end) CAPI questions verbatim

- Not completing the calendar accurately with the respondent while reading the CAI script and keeping the calendar where the respondent could see it

- Not reading the Intro to ACASI screen verbatim

- Not asking the demographic (back-end) CAPI questions verbatim

- Not listening to responses and probing appropriately during industry and occupation questions

- Not reading the Quality Control Form instructions verbatim

- Not presenting the Showcards when prompted by the CAI

- Other procedural violation not noted on the checklist

Not included in the overall interview error rate shown above are four items added to the Field Observation Interviewing Checklist in 2006 to track trends in errors related to the Reliability Study. These items included:

- FI entered Activation Code when prompted on the IPAQCODE screen (initial interview checklist item)

- If interview respondent was a minor, FI obtained parental consent to talk to the child about the re-interview by reading the RECRUIT1 screen verbatim (initial interview checklist item)

- FI read the RECRUIT2 screen verbatim (initial interview checklist item)

- Read FOLLOWINT screen verbatim (re-interview checklist item).

Twenty-five (25) Reliability Study interviews were observed in 2006—nineteen (19) initial interviews and six (6) re-interviews. Only one Reliability Study error was observed: during one of the 19 initial interviews, one FI was observed not reading the RECRUIT2 screen verbatim.

Table 3.1.2   Interviewing Error Rates

Interviewing Error	Error Rate	Errors Observed
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. A total of 405 interview cases were observed.		
If IR was a minor, FI not first obtaining consent from parent or legal guardian	0.74%	3
If interview respondent was not screening respondent, not explaining purpose of study and visit thoroughly	1.48%	6
Not handing Study Description to the respondent	4.94%	20
Not reading Intro to CAI from Showcard Booklet verbatim to the respondent	1.23%	5
Not choosing a private location to conduct interview	2.72%	11
Not setting up equipment efficiently	3.70%	15
Not asking initial (front-end) CAPI questions verbatim	13.33%	54
Not completing calendar accurately with respondent while reading CAI script and keeping calendar where respondent could see it	15.31%	62
Not reading Intro to ACASI screen verbatim	22.96%	93
Not explaining headphone usage, offering headphones to R, and plugging in	1.73%	7
Not keeping ACASI portion private (read ACASI), and/or not remaining attentive	4.20%	17
Not asking demographic (back-end) CAPI questions verbatim	31.11%	126

Table 3.1.2   Interviewing Error Rates (Continued)

Interviewing Error	Error Rate	Errors Observed
For industry and occupation questions, not listening to responses and probing appropriately	14.07%	57
Not reading Quality Control Form instructions verbatim	11.11%	45
Committing other procedural violation not noted on this checklist	15.31%	62
Not presenting Showcards when prompted by the CAI	7.16%	29
Not being punctual	0.49%	2
Not being organized	2.47%	10
Not demonstrating a thorough knowledge of study	2.47%	10
Not keeping paper forms accessible	1.23%	5
Not speaking in a clear voice	0.49%	2
Not maintaining a pace comfortable for the R	1.48%	6
Not being courteous and respectful of R and surroundings	0.74%	3
Divulging R's confidential info to others	0.25%	1
Making biasing or inappropriate remarks	5.68%	23
TOTAL	**6.66%**	**674**

3.2   Serious Breaches of Protocol

In 2002, SAMHSA and RTI conferred to identify as "serious breaches of protocol" those errors which, when committed by an FI, could potentially violate a respondent's rights and/or significantly compromise the accuracy of the data collected. During screening, a small number of FIs committed some of these serious breaches of protocol. *Table 3.2.1* lists these serious breaches of screening protocol and the associated percent of observed screening cases where the activity was observed.

Table 3.2.1   Serious Breaches of Protocol: Screening

Screening Error	Error Rate	Errors Observed
The error rate equals the percent of observed cases where the error was observed.		
Not confirming that SR was an adult resident of SDU	2.41%	15
Not handing Study Description to the respondent	2.73%	17
Not reading iPAQ "Informed Consent" screen to R	1.13%	7
Not obtaining all screening information directly from the SR (by observation or a proxy)	0.16%	1
Making biasing or inappropriate remarks	3.86%	24
TOTAL	**2.06%**	**64**

In addition to serious breaches of protocol made during screening, some FIs committed serious breaches of protocol during the NSDUH interview. *Table 3.2.2* lists serious breaches of interviewing protocol and the associated percent of observed interview cases where the activity was observed.

Table 3.2.2   Serious Breaches of Protocol: Interviewing

Interviewing Error	Error Rate	Errors Observed
The error rate equals the percent of observed cases where the error was observed.		
If IR was a minor, FI not first obtaining consent from parent or legal guardian	0.74%	3
If the interview respondent was not the screening respondent, not explaining the purpose of the study and visit thoroughly	1.48%	6
Not handing the Study Description to the respondent	4.94%	20
Not reading Intro to CAI from Showcard Booklet verbatim to the respondent	1.23%	5
Divulging R's confidential info to others	0.25%	1
Making biasing or inappropriate remarks	5.68%	23
TOTAL	**2.39%**	**58**

3.3   Findings by FIs First-Observed vs. FIs Previously Observed

Of the 292 field observations completed in 2006, 141 constituted an FI being observed for the first time (first-observed FIs), and the remaining 151 consisted of FIs who had been previously observed (previously observed FIs). Overall, first-observed FIs committed screening errors at about the same rate as previously observed FIs. The first-observed FI screening error rate was 2.48 percent and the previously observed FI screening error rate was 2.37 percent. First-observed FIs also committed interviewing errors at about the same rate as previously observed FIs. The first-observed FI interviewing error rate was 7.31 percent and the previously observed FI interviewing error rate was 6.07 percent. The only error that differed significantly between the two groups was "Not having segment maps readily available for reference while in the field." Previously observed FIs were significantly more likely than first-observed FIs to commit this error. *Tables 3.3.1* and *3.3.2* show the error rates and the number of errors by FIs first-observed versus previously observed for each screening and interviewing checklist item.

Table 3.3.1   Screening Error Rates: First-Observed FIs vs. Previously Observed FIs

Screening Error	First-observed FIs (Total Cases = 299)		Previously observed FIs (Total Cases = 323)	
	Error Rate	Total Cases	Error Rate	Total Cases
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. Error rate differences are considered significant if the difference between the first-observed FI error rate and the previously observed FI error rate for a given item is statistically significant at the p < .01 level. Significant differences are indicated by an asterisk (*).				
Not having segment maps readily available for reference while in the field	0.33%*	1	3.10%*	10
If FI's first visit to the dwelling unit(s), not using segment maps to locate sampled dwelling unit(s)	2.34%	7	2.17%	7
If FI's first visit to the dwelling unit(s), not using the segment maps and either the printed list of SDUs or the original list of dwelling units to check for missed DUs in the interval between the SDU and the next listed dwelling unit	5.02%	15	1.86%	6
If a missed DU is found, not using segment map and original list of dwelling units to make sure the missed DU was not already listed	0.67%	2	0.62%	2
Not displaying ID Badge prominently when knocking on door	0.33%	1	2.48%	8
Not being on iPAQ "Study Introduction" screen when reaching door	1.67%	5	0.62%	2
Using iPAQ Spanish screens when not bilingual-certified	0.00%	0	0.31%	1
Not including FI name in introduction	0.00%	0	0.31%	1
Not including RTI in introduction	0.00%	0	1.55%	5
Not including US Public Health Service in introduction	7.02%	21	3.72%	12
Not including lead letter in introduction	0.00%	0	0.31%	1
If R did not recall lead letter, FI not offering one to R	0.67%	2	0.93%	3
Not confirming that SR was an adult resident of SDU	1.67%	5	3.10%	10
Not verifying that he/she was at the correct address	8.36%	25	4.02%	13
Not handing Study Description to respondent	3.68%	11	1.86%	6
Not reading IPAQ "Informed Consent" screen to R	0.33%	1	1.86%	6
Not checking for missed DUs by reading the correct IPAQ screen verbatim	2.34%	7	2.48%	8
Not asking all roster questions verbatim	21.40%	64	21.36%	69
Not recording race based on R answer, but on FI observation instead	0.67%	2	0.93%	3
Not obtaining all screening information directly from the SR (by observation or a proxy)	0.00%	0	0.31%	1
Not confirming accuracy and completeness of roster data w/ screening respondent	4.01%	12	4.02%	13
When no household members were selected for an interview (code 22, 25, 26, or 30), not reading verification instructions verbatim	6.35%	19	7.43%	24

Table 3.3.1   Screening Error Rates: First-Observed FIs vs. Previously Observed FIs (Continued)

Screening Error	First-observed FIs (Total Cases = 299)		Previously observed FIs (Total Cases = 323)	
	Error Rate	Total Cases	Error Rate	Total Cases
When one or two household members were selected for an interview (code 31 or 32), not expecting interview cooperation	0.33%	1	0.31%	1
When one or two household members were selected for an interview (code 31 or 32), not presenting project and interview information accurately	0.67%	2	1.24%	4
When one or two household members were selected for an interview (code 31 or 32), not gaining respondent trust/cooperation	0.67%	2	0.62%	2
When one or two household members were selected for an interview (code 31 or 32), not demonstrating flexibility in scheduling interview	1.34%	4	0.00%	0
When one or two household members were selected for an interview (code 31 or 32), not leaving appropriate information about future interview(s)	2.34%	7	1.55%	5
Not displaying ID Badge prominently when knocking on door	0.67%	2	0.62%	2
Committing other procedural violation not noted on this checklist	2.68%	8	4.95%	16
Not being punctual	0.67%	2	0.31%	1
Not being organized	3.34%	10	0.93%	3
Not demonstrating a thorough knowledge of study	3.68%	11	4.02%	13
Not delivering a courteous, straightforward presentation	0.33%	1	0.93%	3
Not maintaining a calm, professional, respectful demeanor	0.33%	1	0.93%	3
Making biasing or inappropriate remarks	3.01%	9	1.24%	4
TOTAL	**2.48%**	**260**	**2.37%**	**268**

There were no statistically significant differences in interviewing checklist item error rates between first-observed FIs and previously observed FIs.

Table 3.3.2   Interviewing Error Rates: First-Observed FIs vs. Previously Observed FIs

Interviewing Error	First-observed FIs (Total Cases = 191)		Previously observed FIs (Total Cases = 214)	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. Error rate differences are considered significant if the difference between the first-observed FI error rate and the previously observed FI error rate for a given item is statistically significant at the p < .01 level.				
If IR was a minor, FI not first obtaining consent from parent or legal guardian	1.05%	2	0.47%	1
If interview respondent was not screening respondent, not explaining purpose of study and visit thoroughly	1.05%	2	1.87%	4
Not handing Study Description to the respondent	5.24%	10	4.67%	10
Not reading Intro to CAI from Showcard Booklet verbatim to the respondent	1.05%	2	1.40%	3
Not choosing a private location to conduct interview	3.14%	6	2.34%	5
Not setting up equipment efficiently	4.19%	8	3.27%	7
Not asking initial (front-end) CAPI questions verbatim	10.99%	21	15.42%	33
Not completing calendar accurately with respondent while reading CAI script and keeping calendar where respondent could see it	17.28%	33	13.55%	29
Not reading Intro to ACASI screen verbatim	26.18%	50	20.09%	43
Not explaining headphone usage, offering headphones to R, and plugging in	1.57%	3	1.87%	4
Not keeping ACASI portion private (read ACASI), and/or not remaining attentive	4.71%	9	3.74%	8
Not asking demographic (back-end) CAPI questions verbatim	32.98%	63	29.44%	63
For industry and occupation questions, not listening to responses and probing appropriately	15.18%	29	13.08%	28
Not reading Quality Control Form instructions verbatim	10.99%	21	11.21%	24
Committing other procedural violation not noted on this checklist	16.23%	31	14.49%	31
Not presenting Showcards when prompted by the CAI	9.42%	18	5.14%	11
Not being punctual	1.05%	2	0.00%	0
Not being organized	4.19%	8	0.93%	2
Not demonstrating a thorough knowledge of study	3.14%	6	1.87%	4
Not keeping paper forms accessible	1.57%	3	0.93%	2
Not speaking in a clear voice	1.05%	2	0.00%	0
Not maintaining a pace comfortable for the R	2.62%	5	0.47%	1
Not being courteous and respectful of R and surroundings	0.00%	0	1.40%	3

Table 3.3.2   Interviewing Error Rates: First-Observed FIs vs. Previously observed FIs (Continued)

Interviewing Error	First-observed FIs (Total Cases = 191)		Previously observed FIs (Total Cases = 214)	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.
Divulging R's confidential info to others	0.52%	1	0.00%	0
Making biasing or inappropriate remarks	7.33%	14	4.21%	9
TOTAL	**7.31%**	**349**	**6.07%**	**325**

3.4   Findings among FIs Previously Observed[2]

Of the 242 FIs observed in 2006, 105 were either observed in 2005 and again in 2006 or were observed in multiple quarters in 2006. In 2005 and 2006 these FIs were observed in their first observations completing 156 screenings and 135 interviews, and in subsequent observations completing 304 screenings and 222 interviews. ***Tables 3.4.1*** and ***3.4.2*** show the error rates and number of errors made by these re-observed FIs in their first and subsequent observations in 2005 and 2006. Overall, re-observed FIs committed significantly fewer screening and interviewing errors in their subsequent observations than in their first observation. For the first observations, the screening error rate was 8.33 percent and in subsequent observations the screening error rate was 2.56 percent. The interview error rate for the first observations was 14.28 percent and for subsequent observations was 7.96 percent.

Compared to subsequent observations, during their first observations, FIs were significantly more likely to commit the following screening errors:

- Not using segment maps to locate sampled dwelling unit(s), if FI's first visit to dwelling unit(s)

- Not using segment maps and printed list of SDUs or original list of dwelling units to check for missed DUs in the interval between the SDU and next listed dwelling unit

- Not being on "Study Introduction" screen when reaching door

- Not including U.S. Public Health Service in introduction

- Not offering lead letter to respondent, if respondent did not recall lead letter

- Not reading "Informed Consent" screen to respondent

- Not checking for missed DUs by reading the correct screen verbatim

- Not asking all roster items verbatim

- Not recording race based on respondent answer, but on FI observation instead

- Not confirming accuracy and completeness of roster data with screening respondent

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[2] Note that the number of observations summarized in section 3.4 is considerably smaller than the number of observations summarized in most other sections of this report.

- Not reading verification instructions verbatim when no household members were selected for an interview (code 22, 25, 26, or 30)

- Not demonstrating a thorough knowledge of the study

- Making biasing or inappropriate remarks.

Table 3.4.1 Screening Error Rates for Re-observed FIs

Screening Error	First Observations (Total Cases = 156)		Subsequent Observations (Total Cases = 304)	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. Error rate differences are considered significant if the difference between the first observations error rate and the subsequent observations error rate for a given item is statistically significant at the p < .01 level. Significant differences are indicated by an asterisk (*).				
Not having segment maps readily available for reference while in the field	5.13%	8	3.62%	11
If FI's first visit to the dwelling unit(s), not using segment maps to locate sampled dwelling unit(s)	15.38%*	24	0.99%*	3
If FI's first visit to the dwelling unit(s), not using the segment maps and either the printed list of SDUs or the original list of dwelling units to check for missed DUs in the interval between the SDU and the next listed dwelling unit	23.72%*	37	1.97%*	6
If a missed DU is found, not using segment map and original list of dwelling units to make sure the missed DU was not already listed	2.56%	4	0.33%	1
Not displaying ID Badge prominently when knocking on door	2.56%	4	2.30%	7
Not being on iPAQ "Study Introduction" screen when reaching door	6.41%*	10	0.66%*	2
Using Spanish screens when not bilingual-certified	0.00%	0	0.33%	1
Not including FI name in introduction	1.92%	3	0.33%	1
Not including RTI in introduction	5.13%	8	1.64%	5
Not including US Public Health Service in introduction	25.00%*	39	4.93%*	15
Not including lead letter in introduction	1.28%	2	0.33%	1
If R did not recall lead letter, FI not offering one to R	7.05%*	11	0.99%*	3
Not confirming that SR was an adult resident of SDU	4.49%	7	3.29%	10
Not verifying that he/she was at the correct address	7.69%	12	4.61%	14
Not handing Study Description to respondent	5.13%	8	1.64%	5
Not reading "Informed Consent" screen to R	16.03%*	25	2.30%*	7
Not checking for missed DUs by reading the correct screen verbatim	12.18%*	19	2.96%*	9
Not asking all roster questions verbatim	62.82%*	98	23.03%*	70
Not recording race based on R answer, but on FI observation instead	5.77%*	9	0.99%*	3

Table 3.4.1   Screening Error Rates by Year for Re-observed FIs (Continued)

Screening Error	First Observations (Total Cases = 156)		Subsequent Observations (Total Cases = 304)	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.
Not obtaining all screening information directly from the SR (by observation or a proxy)	0.00%	0	0.33%	1
Not confirming accuracy and completeness of roster data w/ screening respondent	17.31%*	27	3.29%*	10
When no household members were selected for an interview (code 22, 25, 26, or 30), not reading verification instructions verbatim	18.59%*	29	5.92%*	18
When one or two household members were selected for an interview (code 31 or 32), not expecting interview cooperation	1.28%	2	0.33%	1
When one or two household members were selected for an interview (code 31 or 32), not presenting project and interview information accurately	3.85%	6	1.97%	6
When one or two household members were selected for an interview (code 31 or 32), not gaining respondent trust/cooperation	1.92%	3	0.99%	3
When one or two household members were selected for an interview (code 31 or 32), not demonstrating flexibility in scheduling interview	0.00%	0	0.00%	0
When one or two household members were selected for an interview (code 31 or 32), not leaving appropriate information about future interview(s)	1.92%	3	1.32%	4
When one or two household members were selected for an interview (code 31 or 32), not making attempts to begin interview right away	1.28%	2	0.66%	2
Committing other procedural violation not noted on this checklist	8.97%	14	7.24%	22
Not being punctual	1.28%	2	0.33%	1
Not being organized	1.92%	3	1.64%	5
Not demonstrating a thorough knowledge of study	10.90%*	17	5.26%*	16
Not delivering a courteous, straightforward presentation	2.56%	4	0.33%	1
Not maintaining a calm, professional, respectful demeanor	0.64%	1	0.66%	2
Making biasing or inappropriate remarks	8.97%*	14	1.97%*	6
Total	**8.33%***	**455**	**2.56%***	**272**

Compared to subsequent observations, during their first observations, FIs were significantly more likely to commit the following interviewing errors:

- Not asking initial (front-end) CAPI questions verbatim

- Not completing calendar accurately with respondent while reading CAI script and keeping calendar where respondent could see it

- Not reading Intro to ACASI screens verbatim

- Not asking demographic (back-end) CAPI questions verbatim

- Not listening to responses and probing appropriately for industry and occupation questions

- Not reading Quality Control Form instructions verbatim

- Committing other procedural violation not noted on this checklist
- Not presenting Showcards when prompted by the CAI.

Table 3.4.2   Interviewing Error Rates for Re-observed FIs

Interviewing Error	First Observations (Total Cases = 135)		Subsequent Observations (Total Cases = 222)	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. Error rate differences are considered significant if the difference between the first observations error rate and the subsequent observations error rate for a given item is statistically significant at the p < .01 level.				
If IR was a minor, FI not first obtaining consent from parent or legal guardian	1.48%	2	0.90%	2
If interview respondent was not screening respondent, not explaining purpose of study and visit thoroughly	3.70%	5	2.70%	6
Not handing Study Description to the respondent	12.59%	17	6.76%	15
Not reading Intro to CAI from Showcard Booklet verbatim to the respondent	5.19%	7	1.80%	4
Not choosing a private location to conduct interview	9.63%	13	3.60%	8
Not setting up equipment efficiently	2.96%	4	5.41%	12
Not asking initial (front-end) CAPI questions verbatim	39.26%*	53	20.27%*	45
Not completing calendar accurately with respondent while reading CAI script and keeping calendar where respondent could see it	31.85%*	43	20.27%*	45
Not reading Intro to ACASI screen verbatim	44.44%*	60	24.77%*	55
Not explaining headphone usage, offering headphones to R, and plugging in	8.89%	12	4.05%	9
Not keeping ACASI portion private (read ACASI), and/or not remaining attentive	8.15%	11	6.76%	15
Not asking demographic (back-end) CAPI questions verbatim	52.59%*	71	32.88%*	73
For industry and occupation questions, not listening to responses and probing appropriately	25.19%*	34	13.51%*	30
Not reading Quality Control Form instructions verbatim	32.59%*	44	14.41%*	32
Committing other procedural violation not noted on this checklist	31.85%*	43	16.22%*	36
Not presenting Showcards when prompted by the CAI	14.81%*	20	6.76%*	15
Not being punctual	1.48%	2	0.90%	2
Not being organized	5.19%	7	2.70%	6
Not demonstrating a thorough knowledge of study	7.41%	10	2.70%	6
Not keeping paper forms accessible	1.48%	2	1.35%	3
Not speaking in a clear voice	3.70%	5	1.35%	3
Not maintaining a pace comfortable for the R	1.48%	2	1.35%	3

Table 3.4.2   Interviewing Error Rates for Re-observed FIs (Continued)

Interviewing Error	First Observations (Total Cases = 135)		Subsequent Observations (Total Cases = 222)	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.
Not being courteous and respectful of R and surroundings	0.00%	0	1.35%	3
Divulging R's confidential info to others	0.00%	0	0.45%	1
Making biasing or inappropriate remarks	11.11%	15	5.86%	13
Total	**14.28%***	**482**	**7.96%***	**442**

3.5   Trends in Errors Made 2001–2006[3]

There were 25 screening checklist items common to the 2001 through 2006 observation checklists. For these items, *Table 3.5.1* shows the observation error rate for FIs observed for the first time in each year. To ensure comparibility, additional observations of the same FIs are not included in this table. The screening observation error rate among FIs during their first observation was 7.26 percent in 2001, 3.47 percent in 2002, 2.87 percent in 2003, 2.06 percent in 2004, 3.35 percent in 2005, and 2.50 percent in 2006. FIs observed for the first time were significantly more likely in one or more years prior to 2006 to make the following screening errors:

- Not displaying ID Badge prominently when knocking on door

- Not being on "Study Introduction" screen when reaching door

- Not including RTI in introduction

- Not confirming that screening respondent was an adult resident of SDU

- Not handing Study Description to respondent

- Not reading "Informed Consent" screen to respondent

- Not checking for missed DUs by reading the correct screen verbatim

- Not asking all roster questions verbatim

- Not recording race based on R answer, but on FI observation instead

- Not presenting project and interview information accurately when one or two household members were selected for an interview (code 31 or 32)

- Not demonstrating a thorough knowledge of study

- Not delivering a courteous, straightforward presentation

- Not maintaining a calm, professional, respectful demeanor

- However, FIs were more likely in 2006 than in one or more prior years to make the following screening errors:

- Not verifying that he/she was at the correct address

- Not handing Study Description to respondent

- Not asking all roster questions verbatim

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[3] It is important to note that due to the large number of significance tests comparing multiple years' data, some of the statistically significant differences shown in Tables 3.5.1 and 3.5.2 may be spurious.

- Not demonstrating flexibility in scheduling an interview time when one or two household members were seclected for an interview (code 31 or 32).

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Table 3.5.1   Screening Error Rates by Year for FIs Observed for the First Time (for Items Common to the 2001 through 2006 Screening Observation Checklists)

Screening Error	2001 Total Cases = 271		2002 Total Cases = 472		2003 Total Cases = 501		2004 Total Cases = 588		2005 Total Cases = 284		2006 Total Cases = 299	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Error Rate	Errors Rate	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Errors Obs.
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. Error rate differences are considered significant if the difference between the error rates for a given item is statistically significant at the p < .01 level. Significant differences are indicated by an asterisk (*). Double asterisks (**) indicate items for which wording changed slightly sometime during the period 2001-2006.												
Not displaying ID Badge prominently when knocking on door	2.58%	7	4.03%*	19	0.80%	4	1.53%	9	0.00%	0	0.33%*	1
Not being on "Study Introduction" screen when reaching door**	6.64%*	18	1.69%	8	2.59%	13	1.53%	9	3.87%	11	1.67%*	5
Not including FI name in introduction	0.37%	1	0.00%	0	0.20%	1	0.51%	3	0.00%	0	0.00%	0
Not including RTI in introduction	5.54%*	15	1.69%	8	1.80%	9	2.89%*	17	2.46%	7	0.00%*	0
Not including US Public Health Service in introduction	12.18%	33	5.51%	26	8.38%	42	4.93%	29	10.92%	31	7.02%	21
Not including lead letter in introduction	1.48%	4	0.64%	3	0.20%	1	0.34%	2	1.06%	3	0.00%	0
If R did not recall lead letter, FI not offering one to R	3.32%	9	1.69%	8	1.00%	5	0.68%	4	2.11%	6	0.67%	2
Not confirming that SR was an adult resident of SDU	10.33%*	28	6.14%*	29	4.59%	23	0.68%	4	5.63%	16	1.67%*	5
Not verifying that he/she was at the correct address	3.69%	10	6.99%	33	6.99%	35	2.21%*	13	7.75%	22	8.36%*	25
Not handing Study Description to respondent	11.81%*	32	4.03%	19	0.60%*	3	3.06%	18	1.06%*	3	3.68%*	11
Not reading "Informed Consent" screen to R	15.87%*	43	4.66%*	22	3.39%*	17	2.21%	13	3.17%	9	0.33%*	1
Not checking for missed DUs by reading the correct screen verbatim	18.45%*	50	4.66%	22	3.99%	20	2.38%	14	5.28%	15	2.34%*	7
Not asking all roster questions verbatim	36.16%*	98	26.91%	127	22.36%	112	13.61%*	80	21.83%	62	21.40%*	64

Table 3.5.1   Screening Error Rates by Year for FIs Observed for the First Time (for Items Common to the 2001 through 2006 Screening Observation Checklists) (Continued)

Screening Error	2001 Total Cases = 271		2002 Total Cases = 472		2003 Total Cases = 501		2004 Total Cases = 588		2005 Total Cases = 284		2006 Total Cases = 299	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Error Rate	Errors Obs.	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Errors Obs.
Not recording race based on R answer, but on FI observation instead	4.06%*	11	2.54%	12	2.40%	12	0.85%	5	1.76%	5	0.67%*	2
Not obtaining all screening information directly from the SR (by observation or a proxy)	1.85%	5	0.85%	4	0.20%	1	0.17%	1	0.00%	0	0.00%	0
Not confirming accuracy and completeness of roster data w/ screening respondent	4.06%	11	5.72%	27	4.99%	25	2.72%	16	7.04%	20	4.01%	12
When one or two household members were selected for an interview (code 31 or 32), not expecting interview cooperation	2.21%	6	0.21%	1	0.20%	1	0.68%	4	0.00%	0	0.33%	1
When one or two household members were selected for an interview (code 31 or 32), not presenting project and interview information accurately**	10.33%*	28	0.85%	4	0.20%	1	1.53%	9	1.41%	4	0.67%*	2
When one or two household members were selected for an interview (code 31 or 32), not gaining respondent trust/cooperation	2.58%	7	0.21%	1	0.40%	2	1.19%	7	1.06%	3	0.67%	2
When one or two household members were selected for an interview (code 31 or 32), not demonstrating flexibility in scheduling interview	0.00%	0	0.00%	0	0.00%*	0	0.00%*	0	0.00%	0	1.34%*	4
When one or two household members were selected for an interview (code 31 or 32), not leaving appropriate information about future interview(s)**	1.11%	3	1.48%	7	1.40%	7	1.70%	10	1.41%	4	2.34%	7
When one or two household members were selected for an interview (code 31 or 32), not making attempts to begin interview right away	1.48%	4	0.42%	2	1.20%	6	1.36%	8	1.06%	3	0.67%	2

Table 3.5.1 Screening Error Rates by Year for FIs Observed for the First Time (for Items Common to the 2001 through 2006 Screening Observation Checklists) (Continued)

Screening Error	2001 Total Cases = 271		2002 Total Cases = 472		2003 Total Cases = 501		2004 Total Cases = 588		2005 Total Cases = 284		2006 Total Cases = 299	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Error Rate	Errors Obs.	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Errors Obs.
Not demonstrating a thorough knowledge of study	18.45%*	50	4.87%	23	2.40%	12	4.08%	24	3.87%	11	3.68%*	11
Not delivering a courteous, straightforward presentation	3.69%*	10	0.85%	4	1.20%	6	0.51%	3	1.06%	3	0.33%*	1
Not maintaining a calm, professional, respectful demeanor	3.32%*	9	0.21%	1	0.20%	1	0.17%	1	0.00%	0	0.33%*	1
Total	**7.26%***	**492**	**3.47%***	**410**	**2.87%**	**359**	**2.06%**	**303**	**3.35%***	**238**	**2.50%***	**187**

There were 17 interviewing checklist items common to the 2001 through 2006 observation checklists. For these items, *Table 3.5.2* shows the observation error rates for FIs observed for the first time in each year. To ensure comparibility, additional observations of the same FIs are not included in this table. The interviewing observation error rate among FIs during their first observation was 6.14 percent in 2001, 5.68 percent in 2002, 4.34 percent in 2003, 5.76 percent in 2004, 6.62 percent in 2005, and 5.67 percent in 2006. FIs observed for the first time were significantly more likely in one or more years prior to 2006 to make the following interviewing errors:

- If interview respondent was not screening respondent, not explaining purpose of study and visit thoroughly

- Not reading Intro to CAI from Showcard Booklet verbatim to the respondent

- Not explaining headphone usage, offering headphones to respondent, and plugging in

- On the other hand, FIs were more likely in 2006 than in previous years to commit the following interviewing errors:

- Not keeping ACASI portion private (read ACASI), and/or not remaining attentive

- Not asking demographic (back-end) CAPI questions verbatim

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Table 3.5.2   Interviewing Error Rates by Year for FIs Observed for the First Time (for Items Common to the 2001 through 2006 Interviewing Observation Checklists)

Interviewing Error	2001 Total Cases = 159		2002 Total Cases = 399		2003 Total Cases = 321		2004 Total Cases = 324		2005 Total Cases = 175		2006 Total Cases = 191	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Error Rate	Errors Obs.	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Errors Obs.
The error rate equals the percent of observed cases where the error was observed. Bolded items are considered serious breaches of protocol. Error rate differences are considered significant if the difference between the error rates for a given item is statistically significant at the p < .01 level. Significant differences are indicated by an asterisk (*). Double asterisks (**) indicate items for which wording changed slightly sometime during the period 2001-2006.												
If IR was a minor, FI not first obtaining consent from parent or legal guardian	1.26%	2	1.00%	4	0.62%	2	1.23%	4	0.57%	1	1.05%	2
If interview respondent was not screening respondent, not explaining purpose of study and visit thoroughly	6.29%*	10	2.76%	11	1.56%	5	3.70%	12	3.43%	6	1.05%*	2
Not reading Intro to CAI from Showcard Booklet verbatim to the respondent	11.32%*	18	2.76%	11	1.25%	4	2.47%	8	4.57%	8	1.05%*	2
Not choosing a private location to conduct interview	3.14%	5	1.50%	6	2.80%	9	1.54%	5	2.29%	4	3.14%	6
Not setting up equipment efficiently**	8.18%	13	3.01%	12	1.56%	5	1.85%	6	1.71%	3	4.19%	8
Not asking initial (front-end) CAPI questions verbatim	8.81%	14	17.04%	68	9.35%	30	15.12%	49	19.43%	34	10.99%	21
Not completing calendar accurately with respondent while reading CAI script and keeping calendar where respondent could see it**	10.69%	17	14.29%	57	13.71%	44	15.12%	49	15.43%	27	17.28%	33
Not explaining headphone usage, offering headphones to R, and plugging in	4.40%	7	4.01%	16	8.10%*	26	7.72%*	25	5.14%	9	1.57%*	3
Not keeping ACASI portion private (read ACASI), and/or not remaining attentive	2.52%	4	1.25%*	5	1.56%	5	3.70%	12	6.29%	11	4.71%*	9
Not asking demographic (back-end) CAPI questions verbatim	19.50%*	31	25.56%	102	19.63%*	63	26.54%	86	33.71%	59	32.98%*	63

Table 3.5.2   Interviewing Error Rates by Year for FIs Observed for the First Time (for Items Common to the 2001 through 2006 Interviewing Observation Checklists) (Continued)

Interviewing Error	2001 Total Cases = 159		2002 Total Cases = 399		2003 Total Cases = 321		2004 Total Cases = 324		2005 Total Cases = 175		2006 Total Cases = 191	
	Error Rate	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Error Rate	Errors Obs.	Errors Obs.	Error Rate	Errors Obs.	Error Rate	Errors Obs.
Not presenting Showcards when prompted by the CAI	8.81%	14	9.27%	37	5.92%	19	11.11%	36	10.86%	19	9.42%	18
Not demonstrating a thorough knowledge of study	9.43%	15	5.51%	22	2.80%	9	3.40%	11	5.71%	10	3.14%	6
Not keeping paper forms accessible	2.52%	4	2.76%	11	0.93%	3	0.93%	3	1.71%	3	1.57%	3
Not speaking in a clear voice	2.52%	4	2.76%	11	0.93%	3	0.62%	2	1.14%	2	1.05%	2
Not maintaining a pace comfortable for the R	5.03%	8	2.51%	10	1.87%	6	2.78%	9	0.00%	0	2.62%	5
Not being courteous and respectful of R and surroundings	0.00%	0	0.25%	1	0.31%	1	0.00%	0	0.00%	0	0.00%	0
Divulging R's confidential info to others	0.00%	0	0.25%	1	0.93%	3	0.00%	0	0.57%	1	0.52%	1
Total	**6.14%**	**166**	**5.68%**	**385**	**4.34%***	**237**	**5.76%**	**317**	**6.62%**	**197**	**5.67%***	**184**

4. Summary and Management Recommendations

The 2006 field observations show that FIs are generally following procedures, but continue to show some deficiencies. As in previous years, some of the most common errors involve FIs not reading the screening or CAPI questions verbatim.

Throughout 2006, data from the observations were used in conjunction with other data quality measures to identify focus areas highlighted during weekly FS-FI e-mail messages and teleconferences, in "Data Quality Items of the Week" distributed during the first two months of every quarter, in occasional procedural e-mails sent to the FI workforce, and in the eVal questionnaires completed during Quarters 2 and 3. For 2007, use of these items will be continued with the exception of the eVal, which will be replaced with the iLearning "Back-to-Basics: NSDUH Project Procedures" iLearning course. This course will include detailed refresher training on all observation checklist protocols which a large number of FIs violated and will be completed by all FIs prior to the start of each quarter during 2007. We are optimistic that these targeted training efforts will keep procedural errors to a minimum.

Although the proportion of cases during which a serious breach of protocol was observed remains relatively low, it is disturbing to see any breach of protocol that potentially violates a respondent's rights and/or significantly compromises the accuracy of the data collected. Because of the seriousness of these errors, whenever an FI was observed committing a serious breach of protocol the FI received appropriate disciplinary action and the FI's work was suspended until the FS conducted retraining, and the RS, RD, and national field director approved the FI to return to work. Because many of these protocol violations are related to gaining respondent informed consent, we will continue to stress requirements related to informed consent through all relevant training methods, including New-to-Project Training and Veteran Training.

Overall, FIs observed for the first time were not significantly more or less likely than previously observed FIs to commit screening or interviewing errors in 2006. However, previously observed FIs were more likely to not have their segment materials readily available for reference while in the field. Based on these findings, we recommend continuing to place emphasis on always having and utilizing segment materials in the field when conducting screening and interviewing activites. We developed the "Using Your Segment Materials" iLearning Course for 2007 Veteran Training to address this issue. This iLearning course will also be completed by New-to-Project FIs trained in 2007. We expect the number of errors related to not having segment materials available to remain stable or decrease due to our continual focus on this topic in New-to-Project Training session sections on locating and editing addresses and on our continual retraining of veteran FIs who have incorrectly edited addresses.

FIs re-observed in 2006 who were first observed in either 2005 or a previous quarter of 2006 were significantly more likely to commit screening and interviewing errors in their first observations than they were in subsequent observations. This result seems to indicate that the retraining being administered to these FIs based on the errors they commit during the first field observation is effective. These findings may also be partially due to the importance we place on following protocol as indicated by taking disciplinary actions against FIs that commit a serious breach of protocol and/or commit at least four unrelated errors. We recommend continuing to give specific and timely feedback to FIs on observations and to complete mandatory phone re-training with FIs that commit errors. We also recommend continuing to use a progressive disciplinary process and re-observing FIs who are observed committing a serious breach of protocol and/or committing at least four or more unrelated errors.

When comparing error rates from 2001 through 2006, we see that, in general, FIs continue to decrease or maintain low error rates across years. To keep overall error rates low and to decrease the errors that were more common in 2006 than in prior years, we recommend continuing to give specific and timely feedback to FIs on observations and to complete mandatory retraining with FIs that commit errors. In addition, we recommend continuing to use field observation data to guide training content in our New-to-Project Training program, Veteran FI Training program, iLearning applications, weekly FS-FI conference calls, weekly FS-FI e-mail messages, "Data

Quality Items of the Week" distributed during the first two months of the quarter, and procedural e-mails sent to the FI work force.

It remains troubling to see that many FIs continue to not read questions verbatim. When considering these verbatim errors, it is important to note that the minimum criterion upon which an FI was marked as making one of these errors was a failure to read one word of one question exactly as written. Most FIs that did not read questions verbatim only missed reading a few portions of the questions. In addition, observer notes indicate that many of the questions that were paraphrased by the FI did not seem to change the meaning of the question. Moreover, the proportion of 2006 cases during which FIs did not read screening questions verbatim is lower than or comparable to the proportion in prior years. Also, it is encouraging to see that FIs reobserved in 2006 made significant improvements in reading verbatim from their initial observations. We will continue to focus attention on training FIs to read questions verbatim.

Repeated procedural errors made by the same FI over time endanger the integrity of the NSDUH data. In order to ensure that FIs continuing to make a large number of or egregious errors improve their performance over time, in 2007 we recommend continuing the retraining and disciplinary action process instituted in Quarter 2 2006. This process required that an FI be re-trained, receive progressive disciplinary action, and be re-observed in a subsequent quarter if the FI committed at least one serious breach of protocol and/or committed at least four unrelated errors (screening and/or interviewing) during a single observation trip. An FI that meets these criteria on three separate field observation trips will be terminated from the project.

In summary, we recognize that information gathered from field observations is valuable to identify negative trends that need to be addressed through retraining and refinements to our project training programs. As an additional method for maintaining a low incidence of procedural errors, we recommend continuing to use field observation results to drive the content of the ongoing training methods listed above. In addition, we believe that conducting field observations and giving regular feedback to FIs increases adherence to protocol indirectly by raising the awareness of the importance of following project protocols. For these reasons and because of the errors we continue to see among interviewers, we recommend continuing to conduct field observations throughout the year.

Appendix
2006 Field Observation Field Materials

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2006 NSDUH Field Observations
Field Observer Reference Sheet

Welcome to the 2006 NSDUH Field Observation Process! Field observations are being conducted throughout the United States in order to gain a better understanding of the NSDUH screening and interviewing process. Observing actual fieldwork will provide feedback that will help us improve new-to-project and veteran FI training programs.

This document covers the materials and procedures that you will use in preparing for and conducting field observations. You should take some time to read and study all of the materials before going out to observe an FI.

You will need to print the most recent versions of the following:

- Field Observer Reference Sheet (this document, which contains Field Observations Observer Instructions and the Field Observations Task List)

- Field Observations FI Instructions

- Screening Observation Checklist

- Interviewing Observation Checklist

- 2006 NSDUH CAI Script

- 2006 NSDUH Screening Scripts

In 2006, we plan to observe at least 400 interviews completed by 400 different FIs over quarters 1, 2, 3, and 4. You can anticipate making 1-3 observation trips per quarter yourself. The procedures and protocols for field observations in 2006 are very similar to those we have used since 2002. However, there are a few main changes for 2006 of which you should be aware:

1. In 2006, all time spent preparing for and conducting field observations should be charged to project task 0209009.263.

2. Four new questions have been added to the end of the interviewing checklist for the cases in which Reliability Study interviews are observed.

When it is time for you to conduct an observation, you will be sent via e-mail the name of the FI(s) you have been assigned to observe. You should first contact the FS of the FI to inform him/her that you will be observing the FI, to confirm that the FI has an assignment for the quarter, and to request that the FS send a copy of the Field Observation FI Instructions to the FI. Once this has been confirmed, contact the FI and make arrangements to observe him/her. If you have trouble getting in touch with an FI, immediately enlist the help of the managing FS. You should plan observation trips as far in advance of the trip as possible (14-day minimum if you are flying to the segment). Once trip plans are finalized, send an e-mail to Christine Clark (chclark@rti.org) copying the managing FS and RS and your supervisor, detailing the trip plans. [Note that the managing FS and RS should be copied on *all* e-mails related to observations of their FIs.]

All observations should be scheduled during the most productive times of the day and days of the week. You should expect to observe FIs in the evenings and/or on weekend days. You should accommodate the FI's work schedule. You are expected to spend a full day of work in the field with the FI. It is important that we observe as much of the FI's work as possible to gain an accurate representation of their work habits. If while on an observation trip you observe a completed interview and there are no more viable cases expected for that day, the trip should be ended unless this would lead to flight penalties. Before ending an observation trip early, contact Christine Clark to confirm (503-946-8565).

Prior to conducting an observation, you should check the Downloadable Project Forms and Report Shells page to make sure that you have the latest version of all field observation forms and documents. You can determine whether the version you have is the latest version by comparing the "revised" date in the upper right-hand corner of each document. If your version is not the latest, print the latest version of the document(s) for use in conducting your field observation.

The Screening and Interviewing Observation Checklists were designed for you to complete while in the household observing an FI. You should complete a hard-copy Screening Observation Checklist for each screening you observe that ends in a code 22, 25, 26, 30, 31, or 32. You should complete a hard-copy Interviewing Observation Checklist for each completed interview you observe. On the first page of each checklist you should fill in general information about the FI being observed and the segment being worked. On subsequent pages you should follow along with the FI and check "Correct," "Error," or "N/A." for each procedure listed. If you observe an error that is not listed on the checklist, check "Error" beside "Other Procedure Violation not noted on this Checklist" and describe the specific error you saw. As you complete the checklists, compare the FI's performance to the NSDUH "Gold Standard" screening and interview we consider when conducting certifications. At the end of the day, transfer information from the paper checklists into the field observations web entry system.

Observers should not give any observation feedback to the FI during or after the observation. If the FI asks you for feedback, you should state that you have taken some notes, you will be sending that information to RTI staff, and that the FI will receive more information at a later time. The managing FS can share feedback with an FI after the observation trip is complete, all data have been entered into the web CMS, and an e-mail has been sent to the FS by the field observation manager giving permission to share the results.

Upon meeting an FI in the segment, confirm that he/she has read the FI instructions. Address any questions the FI has before you approach an SDU. Do not allow the FI to see the Screening Observation Checklist or Interviewing Observation Checklist.

All time spent planning, preparing for, and conducting 2006 field observations should be charged to project number 0209009.263. FSs will be allowed overtime for observation work; however we would like for FSs to work as efficiently as possible in all project responsibilities in order to minimize overtime charges. Time spent on field observation trips by RTI staff may be eligible for compensatory or extended time, both of which must be pre-approved by the project and administrative supervisor. In cases where extended time is allowed, RTI staff will be notified on a monthly basis.

If you have any questions at all before proceeding to the field to observe, send an e-mail to the **[NSDUH] 2006 Field Observations** distribution list (nsduh-2006fieldobservations@rti.org).

Thank you for your help with the 2006 NSDUH Field Observations!

Field Observations Observer Instructions

Before contacting an FI to set up an observation trip, contact the managing FS to confirm that the FI has an assignment and to request that the FS send a copy of the Field Observation FI Instructions to the FI. When you contact the FI to set up an observation trip you should explain that the purpose of conducting field observations is to gain a better understanding of the NSDUH screening and interviewing process. Field observations provide excellent feedback that will help us improve new-to-project and veteran FI training programs.

Before starting a screening or interview with you present, the FI must introduce you to the respondent and get the respondent's permission for you to observe the screening or interview.

> **For contacts with Screening Respondents, the FI should add the italicized text below to the iPAQ Intro Screen:**
>
> - Hello, my name is _____ *and this is [NAME OF RTI OR SAMHSA OBSERVER]* from *[RESEARCH TRIANGLE INSTITUTE IN NORTH CAROLINA/US PUBLIC HEALTH SERVICE].* We are in your neighborhood conducting a nationwide study sponsored by the U.S. Public Health Service....
>
> - **IF NECESSARY**: The FI can explain more about why the observer is there using information scripted for interview contacts below.

> **For contacts with Interview Respondents, the FI should do the following:**
>
> - FI introduces self.
>
> - FI says: "I'd like to introduce you to *[NAME OF RTI OR SAMHSA OBSERVER].* As a part of the project's quality control procedures, he/she will be observing my work today.
>
> - **IF NECESSARY**: "He/she will **NOT** be observing your specific responses to the interview questions. He/she is only interested in observing the overall interviewing process. He/she has signed the same Confidentiality Pledge that I have signed, assuring you that both of us will keep your information private."
>
> - FI asks, "Is it OK with you for him/her to observe your interview today?"
>
>   **If YES** (R agrees to allow the observer to observe): FI reads Intro to CAI script
>
>   **If NO** (R does not agree to allow the observer to observe): FI will excuse the observer and proceed with the interview administration solo.

The FI should not try to involve you in the screening or interviewing process. You should intervene only you observe a serious mistake (e.g., the FI is at the wrong SDU, the FI has not gained parental or legal guardian permission before asking a minor to participate). If you observe a serious mistake, you must note this error beside "Other Procedure Violation not noted on this Checklist" on the corresponding screening or interviewing observation checklist that you complete for that FI.

The FI should not look to you for answers to respondent questions; the FI should simply ignore you and proceed with the screening or interview. You may answer questions about SAMHSA, RTI, or the NSDUH if the answer is general knowledge AND the FI either does not know the answer OR the FI's reply did not satisfy the respondent's inquiry. In all other cases do not expand upon a FI's answer to a question even if you know more or feel that you could clarify an FI's answer to a question. Do not make any statements that are controversial or biasing to the respondent. If the FI wants to discuss something with you after leaving the SDU, that is fine; however, minimum exchange should occur between the FI and you while SDU members are present.

You must adhere to the same confidentiality requirements as the FI. You must never discuss the specifics of any cases outside the project team.

You should complete the Screening Observation Checklist and Interviewing Observation Checklist in hard copy using a clipboard or hard binder while at the household observing a screening or interview. You should wait until after you have completed observation of the FI to complete the final question on the forms: "Would you recommend that this FI be observed again?" Before answering this question, review all of the completed observation checklists and consider the FI's performance throughout the day. You should recommend to re-observe an FI who makes significant errors or shows a need for improvement, as demonstrated by a general lack of knowledge or a propensity for errors. A "propensity for errors" would be evident if an FI repeated 4 or more unrelated errors during multiple screenings or repeated 4 or more unrelated errors during multiple interviews. You should also recommend to re-observe an FI if the FI committed one or more "serious breaches of protocol" - those errors which when committed by an FI could potentially violate a respondent's rights and/or significantly compromise the accuracy of the data collected.

Examples of serious breaches of screening protocol include:

- Not confirming that the screening respondent was an adult resident of the SDU

- Not reading the iPAQ Informed Consent screen to the screening respondent

- Not obtaining all screening information directly from a valid screening respondent but instead by observation or proxy

- Making biasing or inappropriate remarks

- Examples of serious breaches of interviewing protocol include:

- Not first obtaining consent from a parent or legal guardian to conduct the interview when the interview respondent was a minor

- Not reading the Intro to CAI script from the Showcard Booklet verbatim to the respondent

- Divulging respondent's confidential information to others

- Making biasing or inappropriate remarks

Once you have made your recommendation on whether to re-observe, transfer the information from the paper checklist(s) into the corresponding web observation form(s). Please ensure that all recommendation comments are consistent on all screening and interview checklists for each FI observed. All information should be entered into the web within 24 hours of completing the observation.

You should document the ID of all cases you observe that involve face-to-face contact between an FI and anyone at the SDU (Finalized or Non-Finalized) but are not documented on a completed observation checklist (this would include all cases that do not end with a final code of 22, 25, 26, 30, 31, 32, or 70). To do this, download and print from the Downloadable Project Forms and Report Shells a copy of the "Obs Cases not Documented on Obs Checklist Worksheet" and on it record the following information for all cases that you observe: Date of Observation, Case ID, Result Code, Observer Last Name, Observer First Name, FI Last Name, and FI First Name. If you observe any errors during your observation of these cases, in the spreadsheet provide a detailed description of what happened. After each field observation trip, enter all of this information into the file and send it to Christine Clark (chclark@rti.org).

Please note that interviews conducted in Spanish **do not** count towards our quarterly goal. However, it is still important that we observe bilingual FIs, and it is important that they make an effort to accommodate these observations by working English-speaking segments when they are observed.

You should not give any observation feedback to the FI during or after the observation. If the FI asks you for feedback, you should state that you have taken some notes, you will be sending that information to RTI staff, and that the FI will receive more information at a later time. In the event that the FI offers

project related feedback to you (such as notable material development issues or suggestions), please forward those comments to Becky Granger (rebecca@rti.org) and Christine Clark (chclark@rti.org).

FIs should not see the Screening Observation Checklist or Interviewing Observation Checklist prior to an observation.

As soon as you have completed all of the field observations you will be conducting for the quarter, ship all completed hardcopy field observation checklists via United States Postal Service to Mary Williams (1000 Parliament Court, Suite 100, Durham, NC, 27703) at RTI.

Any questions about field observations should be directed to the **[NSDUH] 2006 Field Observations** distribution list (nsduh-2006fieldobservations@rti.org).

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2006 Field Observer Task List

Please follow these steps while planning and conducting field observation trips. It is not necessary to actually complete or submit this form; it is designed as a helpful tool so you do not skip any protocol steps.

Enter a check mark in the space provided as you complete each item.

A. TRAVEL PREPARATION

_____ 1. **Receive Field Observation Assignment. Obtain contact information for the FI from the General Information link in the web.**

_____ 2. **Contact the FI's Field Supervisor to ensure the FI has a work assignment. Instruct the FS to send a copy of the FI Field Observations Instructions to the FI.**

_____ 3. **Contact the Field Interviewer and discuss:**
    _____ **a) Workload**
    _____ **b) Date most convenient for observation to take place (weekends are preferred due to likelihood of completing interviews and to allow for lower airfare, if applicable)**
    _____ **c) Determine based on workload the type of trip this will be (local, overnight driving, overnight flying)**

_____ 4. **Once the date of observation has been determined, send the following information in an e-mail to Christine Clark, copying the managing FS and RS, and your supervisor:**
    _____ **a) Date of observation trip**
    _____ **b) Name of FI to be observed**
    _____ **c) Type of trip (local, overnight driving, overnight flying)**

_____ 5. **Are flight arrangements necessary?**

☐   **YES → continue with 6.**   ☐   **NO → Skip to Field**

Preparation.

_____ 6. **Make flight and rental car arrangements with Navigant Travel (1-877-612-3370) at least 14 days prior to scheduled trip.**

_____ 7. *Immediately* **send completed Flight information Shell to Susan Beauvais, copying Christine Clark and your supervisor.**

B. FIELD PREPARATION

_____ 1. **Download the most recent forms from the Field Observation box on the Downloadable Project Forms and Report Shells page:**

    _____ a. **Field Observation FI Instructions Form: You should hand a copy of this form to the FI when you meet him/her in the field. It contains the script the FI is to read to the respondent when introducing you and your role as the observer.**

b. <u>Field Observer Reference Sheet</u>: This form contains the script the FI is to read to the respondent when explaining your presence. It also outlines your role and responsibilities as the observer.

c. <u>2005 NSDUH iPAQ Screening Scripts</u>: Print and read through this file before going to the field. Use the script while observing an FI conducting a screening so you can check whether he/she reads the iPAQ screens verbatim.

d. <u>2005 NSDUH CAI Script</u>: Print and read through this file before going to the field. Use the script to while observing an FI conducting an interview so you can check whether he/she reads the CAI screens verbatim.

e. <u>Screening Observation Checklist</u>: One copy of this form must be completed for each screening case you observe than ends in a code 22, 25, 26, 30, 31, or 32. You should complete this checklist in hard copy using a clipboard or hard binder while at the household observing a screening.

f. <u>Interviewing Observation Checklist</u>: One copy of this form must be completed for each completed interview you observe. You should complete this checklist in hard copy using a clipboard or hard binder while at the household observing an interview.

2. Make sufficient copies of both the screening and interviewing checklists before going into the field (one for each screening and one for each interview).

3. Observe the FI. Document comments only for errors seen during your observation. Pass along positive feedback to the managing FS, but send via e-mail separate from any negative feedback, as noted below.

C. <u>AFTER THE OBSERVATION</u>

1. After you have completed the observation, indicate in the designated section of the screening and interviewing checklists whether or not you recommend that the FI you observed be re-observed in the future.

2. Enter data from your checklists into the field observations web entry system. Complete and enter the checklists into the web within 24 hours of completing your observation. Make sure to enter only those comments that reflect an error on the part of the FI.

3. Send an e-mail to the FS, copying the RS, RD, and [NSDUH] 2006 Field Observations (<u>nsduh-2006fieldobservations@rti.org</u>), sharing positive feedback about the FI's performance.

4. Download and complete the Observed Cases not Documented on Observation Checklist Worksheet from the Web. Complete and send this within 24 hours of completing your observation to Christine Clark (<u>chclark@rti.org</u>).

5. In the event that the FI offers project related feedback to you, please forward those suggestions/comments to Becky Granger [rebecca@rti.org] and Christine Clark (<u>chclark@rti.org</u>)

6. As soon as you have completed all of the field observations you will be conducting for the quarter, please ship all completed hardcopy field observation checklists via United States Postal Service to Mary Williams at RTI.

Field Observations FI Instructions

Welcome to the Field Observation component of the 2006 NSDUH!

You have been selected to be observed by an RTI or SAMHSA representative. This observation will take place while you are conducting your fieldwork. The goal of these field observations is to gain a better understanding of the NSDUH screening and interview process. As a result of this observation, both you and the field observer will be able to provide feedback that will help improve our new-to-project and veteran FI training programs.

Before starting a screening or interview, you must introduce the observer to the respondent and gain the respondent's permission for the observer to be present.

> **For contacts with Screening Respondents, you should add the italicized text below to the iPAQ Intro Screen:**
>
> - "Hello, my name is _____ *and this is [NAME OF RTI OR SAMHSA OBSERVER]* from *[RESEARCH TRIANGLE INSTITUTE IN NORTH CAROLINA/US PUBLIC HEALTH SERVICE].* We are in your neighborhood conducting a nationwide study sponsored by the U.S. Public Health Service...."
>
> - **IF NECESSARY**: Explain more about why the observer is there using information scripted for interview contacts below.

> **For contacts with Interview Respondents, you should do the following:**
>
> - Introduce yourself.
>
> - Say, "I'd like to introduce you to *[NAME OF RTI OR SAMHSA OBSERVER]*. As a part of the project's quality control procedures, he/she will be observing my work today."
>
> - **IF NECESSARY**: "He/she will **NOT** be observing your specific responses to the interview questions. He/she is only interested in observing the overall interviewing process. He/she has signed the same Confidentiality Pledge that I have signed, assuring you that both of us will keep your information private."
>
> - Ask, "Is it OK with you for him/her to observe your interview today?"
>
>   **If YES** (R agrees to allow the observer to observe): Read Intro to CAI script
>
>   **If NO** (R does not agree to allow the observer to observe): Excuse the observer and proceed with the interview administration solo.

As you complete screenings and interviews the observer will be taking notes. Do not be concerned about the specific comments or notes that the observer makes. You will be given specific feedback on the observation at a later date, not during or after the observation.

Do not try to involve the observer in the screening or interviewing process. If a respondent has a question, you should not look to the observer for the answer. The observer will answer a question only if the question is neutral and general AND you either do not know the answer OR your reply did not satisfy the respondent's inquiry.

When answering the CAI FI debriefing question FIDBF07, you should **<u>NOT</u>** consider the field observer as "another person."

If you would like to discuss something with the observer after leaving the SDU, that is fine; however, minimum exchange should occur between you and the observer while SDU members are present.

Feel free to offer project related feedback to the observer that you would like to have passed along to the project staff. Your suggestions are welcome and appreciated!

OMB No: 0930-0110
Expires: 01-31-07

2006 NSDUH HU SCREENING SCRIPT FOR IPAQ

Hello, my name is _____ with Research Triangle Institute in North Carolina. We are conducting a nationwide study sponsored by the U.S. Public Health Service. You should have received a letter explaining the study. (HAND R COPY OF LETTER IF NEEDED.)

First, just let me verify: do you live here?

IF NOT OBVIOUS: And are you 18 or older?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT AND BEGIN AGAIN.

    SR AVAILABLE (CONTINUE)
    SR NOT AVAILABLE NOW (GO TO RECORD OF CALLS)

I just need to verify — is this [READ COMPLETE ADDRESS INCLUDING CITY, STATE, AND ZIP]?

    ADDRESS IS CORRECT – CONTINUE (CONTINUE)
    NEED TO EDIT ADDRESS (GO TO EDIT ADDRESS)
    FI AT WRONG ADDRESS (EXIT TO SELECT CASE)

GIVE PERSON STUDY DESCRIPTION AND SAY: Please read this statement. It describes the survey and the legislation that assures the confidentiality of any information you provide. It also explains that your answers are used for research purposes only and that your participation is voluntary. If anyone is selected for the full interview, that person will receive a $30 cash payment after the interview is completed.

[FOR REGULAR HUs SUCH AS INDIVIDUAL HOUSES, TOWNHOUSES, DUPLEXES, TRAILERS, COTTAGES]: Are there any other living quarters within this structure or on this property, such as a separate apartment with a separate entrance?

    YES (GO TO MISSED DU ADDRESS)
    NO (CONTINUE)

[FOR APARTMENT/CONDO HUs: DON'T ASK, JUST TAP "APT/CONDO" TO CONTINUE]

REFERENCE MONTHS: *QTR 1 = JANUARY / FEBRUARY / MARCH*   *QTR 3 = JULY / AUGUST / SEPTEMBER*
                     QTR 2 = APRIL / MAY / JUNE   *QTR 4 = OCTOBER / NOVEMBER / DECEMBER*

(Will/Have) you or anyone else in this household (live/lived) here for most of the time during the months of [REFERENCE MONTHS]?

(Including yourself), how many people in this household (will live/lived) here for most of the time during the months of [REFERENCE MONTHS]? (Do not include anyone who (will live/lived) at school or somewhere else for most of the time during the months of [REFERENCE MONTHS])

Of these [#] people, how many are now age 12 or older?

→*IF MORE THAN 1 PERSON IN THE HOUSEHOLD*, **CONTINUE**.
→*IF ONLY 1 PERSON IN THE HOUSEHOLD, SKIP TO* **AGE**.

Next I'll ask a few questions about the people who live here. Let's start with the person or one of the persons living here who owns or rents this home. We'll refer to this person as the householder.

Please tell me the age of this person on his or her last birthday.

→*IF MORE THAN 1 PERSON*: (Is that you?) IS THIS [#] YEAR OLD PERSON THE SCREENING RESPONDENT? THIS IS ASKED AFTER AGE FOR EACH HOUSEHOLD MEMBER UNTIL SR IS INDENTIFIED.

IF SR IS HOUSEHOLDER: Please tell me your age on your last birthday.

ASK ONLY IF NOT OBVIOUS: Is this person male or female?

(Is (he/she)/ Are you) of Hispanic, Latino or Spanish origin? (That is, do any of these groups describe (your/his/her) national origin or ancestry—Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

(Is (he/she)/Are you) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian? [CHECK ALL THAT APPLY]

ASKED ONLY IF PERSON LISTED IS 17 TO 65 YEARS OLD: (Is (he/she)/Are you) currently on active duty in the military? [iPAQ AUTOMATICALLY RECORDS NO FOR ANYONE 12-16 OR 66+]

[IPAQ SCRIPT WILL CONFIRM ROSTER INFORMATION]

Now I need some general information about (the other person/all of the other people) in this household who (is/are) 12 years old or older. IF MORE THAN 2 HOUSEHOLD MEMBERS: Let's start with the oldest and work down to the youngest.

REPEAT SERIES OF QUESTIONS FOR ALL HOUSEHOLD MEMBERS AGE 12 AND OLDER:

Please tell me the age of (this/the oldest/the next oldest) person on his or her last birthday.

How is this person related to [IF SR IS HOUSEHOLDER: to you, the householder?] the householder?

ASK ONLY IF NOT OBVIOUS: Is this person male or female?

(Is (he/she)/Are you) of Hispanic, Latino or Spanish origin? (That is, do any of these groups describe (his/her/your) national origin or ancestry—Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

(Is (he/she)/Are you) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian? [CHECK ALL THAT APPLY]

(Is (he/she)/Are you) currently on active duty in the military?

[IPAQ SCRIPT WILL CONFIRM ROSTER INFORMATION]

I need to make sure this list is accurate. I have listed... [READ AGES AND RELATIONSHIPS ROSTERED]. TAP ANY LINE TO MAKE A CORRECTION. WHEN ALL CORRECT, TAP THE 'CONTINUE ARROW.'

(Have/Will) (all/both of these people/you/this person) (lived/live) here for most of the time during the months of [REFERENCE MONTHS]? (Please let me know if I have included anyone who (will live/lived) at school or somewhere else for most of the time during [REFERENCE MONTHS].) FOR EACH INDIVIDUAL NOT ELIGIBLE FOR SELECTION, TAP THE LINE WITH HIS/HER DATA AND CHANGE THE ELIGIBILITY FIELD TO INELIGIBLE.

Did we miss anyone who is 12 or older and who (will live/was living) here for most of the time during the months of [REFERENCE MONTHS]? (Do not include anyone who (will live/lived) at school or somewhere else for most of the time during [REFERENCE MONTHS].) IF YES, ADD EACH ELIGIBLE MEMBER NOT PREVIOUSLY LISTED.

TAP THE 'NO' BUTTON TO GET A POP UP CONFIRMING THAT YOU ARE READY TO START THE SELECTION PROCESS. THE IPAQ WILL SHOW THE RESPONDENT SELECTION SCREEN. IF ANYONE IS SELECTED, IT WILL SHOW INFORMATION ABOUT HOUSEHOLD MEMBERS SELECTED FOR INTERVIEW(S).
IF NO ONE IS SELECTED, IPAQ GOES TO VERIFICATION SCREEN. So that my supervisor may check the quality of my work, may I please have your first name and telephone number**?**

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2006 NSDUH GQU SCREENING SCRIPT FOR IPAQ

Hello, my name is **_____** with Research Triangle Institute in North Carolina. We are conducting a nationwide study sponsored by the U.S. Public Health Service. You should have received a letter explaining the study. [HAND R COPY OF LETTER IF NEEDED.]

First, just let me verify: do you live here?

IF NOT OBVIOUS: And are you 18 or older?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT AND BEGIN AGAIN.
    . SR AVAILABLE (CONTINUE)
    . SR NOT AVAILABLE NOW (GO TO RECORD OF CALLS)

I just need to verify — is this [READ COMPLETE ADDRESS INCLUDING CITY, STATE, AND ZIP]?
    . ADDRESS IS CORRECT (CONTINUE)
    . NEED TO EDIT ADDRESS (GO TO EDIT ADDRESS)
    . FI AT WRONG ADDRESS (EXIT TO SELECT CASE)

GIVE PERSON STUDY DESCRIPTION AND SAY: Please read this statement. It describes the survey and the legislation that assures the confidentiality of any information you provide. It also explains that your answers are used for research purposes only and that your participation is voluntary. If anyone is selected for the full interview, that person will receive a $30 cash payment after the interview is completed.

IF TRANSIENT SHELTER: ARE THE LISTED UNITS...
    . ROOMS,
    . BEDS, OR [GO TO AGE QUESTION]
    . PERSONS? [GO TO AGE QUESTION]

    IF LISTED BY ROOM: (Including yourself), how many people are staying in this room?

    IF LISTED BY ROOM: How many of these [#] people are now age 12 or older? [GO TO AGE QUESTION]

REFERENCE MONTHS: QTR 1 = JANUARY / FEBRUARY / MARCH   QTR 3 = JULY / AUGUST / SEPTEMBER
                    QTR 2 = APRIL / MAY / JUNE   QTR 4 = OCTOBER / NOVEMBER / DECEMBER

IF NOT TRANSIENT SHELTER: (Will/Did) you or anyone else in this room live here for most of the time during the months of [REFERENCE MONTHS]?

IF NOT TRANSIENT SHELTER: (Including yourself), how many people (will live/lived) in this room for most of the time during the months of [REFERENCE MONTHS]?

IF NOT TRANSIENT SHELTER: Of these [#] people, how many are now age 12 or older?

Please tell me (your age on your/the age of this person on his or her) last birthday.

What is (your/this person's) first name?

ASK ONLY IF NOT OBVIOUS: Is this person male or female?

(Are you/Is (he/she)) of Hispanic, Latino or Spanish origin? (That is, do any of these groups describe (your/his/her) national origin or ancestry—Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

(Are you/Is (he/she)) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian? [CHECK ALL THAT APPLY]

ASKED ONLY IF PERSON LISTED IS 17 TO 65 YEARS OLD: (Are you/Is (he/she)) currently on active duty in the military? [IPAQ WILL AUTOMATICALLY RECORD NO FOR ANYONE 12-16 OR 66+]

IPAQ SCRIPT WILL CONFIRM ROSTER INFORMATION AND WILL ALWAYS CODE THE FIRST PERSON LISTED AS THE SCREENING RESPONDENT.

IF MORE THAN 1 PERSON: Now I need some general information about (the other person who is/all of the other people who are) 12 years old or older

Please tell me the age of (this/the oldest/the next oldest) person on his or her last birthday. [REPEAT SERIES OF QUESTIONS FOR ALL GQU UNIT OCCUPANTS AGE 12 AND OLDER.]

  What is this person's first name?

  ASK ONLY IF NOT OBVIOUS: Is this person male or female?

  Is (he/she) of Hispanic, Latino or Spanish origin? (That is, do any of these groups describe (his/her) national origin or ancestry—Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

  Is (he/she) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian? [CHECK ALL THAT APPLY]

  ASKED ONLY IF PERSON LISTED IS 17 TO 65 YEARS OLD: Is (he/she) currently on active duty in the military? [IPAQ WILL AUTOMATICALLY RECORD NO FOR ANYONE 12-16 OR 66+]

  IPAQ SCRIPT WILL CONFIRM ROSTER INFORMATION FOR EACH GQU MEMBER.

I need to make sure this list is accurate. I have listed... [READ LIST OF GQU OCCUPANTS' AGES AND NAMES].   REVIEW ROSTER FOR ACCURACY AND COMPLETENESS. TAP ANY LINE TO MAKE A CORRECTION. WHEN ALL CORRECT, TAP THE 'CONTINUE ARROW.'

IF NOT TRANSIENT SHELTER:
MORE THAN 1 PERSON: (Have/Will) (all/both) of these people (lived/live) in this room for most of the time during the months of [REFERENCE MONTHS]?
1 PERSON: (Has/Will) this person (lived/live) in this room for most of the time during the months of [REFERENCE MONTHS]?

FOR EACH INDIVIDUAL NOT ELIGIBLE FOR SELECTION, TAP THE LINE WITH THEIR DATA AND CHANGE THE ELIGIBILITY FIELD TO INELIGIBLE.

IF NOT TRANSIENT SHELTER: Did we miss anyone who is 12 or older and who (will live/was living) in this room for most of the time during the months of [REFERENCE MONTHS]? TAP THE 'YES' BUTTON TO ADD EACH ELIGIBLE MEMBER NOT PREVIOUSLY LISTED.

TAP THE 'NO' BUTTON TO GET A POP UP CONFIRMING THAT YOU ARE READY TO START THE SELECTION PROCESS. THE IPAQ WILL SHOW THE RESPONDENT SELECTION SCREEN WITH

INFORMATION ABOUT GQU MEMBERS SELECTED FOR 'A' AND 'B' INTERVIEWS IF ANYONE IS SELECTED.

IF NO ONE IS SELECTED, IPAQ WILL GO TO VERIFICATION SCREEN WHERE FI WILL ENTER TELEPHONE NUMBER OF SCREENING RESPONDENT. So that my supervisor may check the quality of my work, may I please have your first name and telephone number?

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2006 National Survey on Drug Use and Health
CAI Script

Introduction

FIIDCON INTERVIEWER: THE FIELD INTERVIEWER ID NUMBER FOR THIS LAPTOP IS **[FIIDFILL]**. IS THIS YOUR FI ID?

    1   YES
    2   NO

FIIDENT [IF FIIDCON=2] PLEASE ENTER YOUR 6-DIGIT FI ID BELOW.

   _____

lang INTERVIEWER: SELECT THE LANGUAGE TO BE USED IN THIS INTERVIEW.

    1   ENGLISH
    2   SPANISH
    3   MULTIMEDIA LANGUAGE

                                   NSDUH CAI Instrument Version 10.11
                                   [IF THIS IS NOT A RELIABILITY CASE]
                                   OMB Control #: 0930-0110
                                   Expiration Date: 1/31/2007

HARD ERROR: [IF LANG=3] THE LANGUAGE YOU SELECTED (MULTIMEDIA LANGUAGE) IS USED ONLY FOR PLAYING AUDIO AND IS NOT VALID AS A SURVEY LANGUAGE. PLEASE CHOOSE ANOTHER LANGUAGE. PRESS [ENTER] TO CONTINUE.

note1 FI: DO NOT READ ALOUD UNLESS RESPONDENT QUESTIONS THE BURDEN (OR TIME) ASSOCIATED WITH THIS INTERVIEW.

NOTICE: Public reporting burden for this collection of information is estimated to average 60 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to SAMHSA Reports Clearance Officer, Paperwork Reduction Project 0930-0110 Room 7-1044; 1 Choke Cherry Road; Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0110

PRESS [ENTER] TO CONTINUE.

remindfi INTERVIEWER: HAVE YOU READ THE "INTRO TO CAI" IN [IF T2 CASE ADD: **THE SPECIAL STUDY SECTION OF**] YOUR **SHOWCARD BOOKLET** ALOUD TO THE RESPONDENT?

    1   YES
    2   NO

introcai [IF REMINDFI = 2] YOU **MUST** READ THE "INTRO TO CAI" IN [IF T2 CASE ADD: **THE SPECIAL STUDY SECTION OF**] YOUR **SHOWCARD BOOKLET** ALOUD TO THE RESPONDENT BEFORE YOU CONTINUE WITH THE INTERVIEW! DO SO NOW. WHEN YOU ARE FINISHED, PRESS "1" TO CONTINUE.

Core Demographics

age1   What is your date of birth?

ENTER MM-DD-YYYY

DOB: _____
DK/REF

DEFINE CALCAGE:
CALCAGE = AGE CALCULATED BY "SUBTRACTING" DATE OF BIRTH FROM DATE OF INTERVIEW.

confdob   [IF AGE1 NE DK OR REF] I have entered your date of birth as **[AGE1]**. Is this correct?

1   YES
2   NO
DK/REF

HARD ERROR: [IF CONFDOB=2] INTERVIEWER: PRESS [ENTER] TO GO BACK AND CORRECT THE RESPONDENT'S DATE OF BIRTH.

[NOTE: DO NOT DEFINE CALCAGE UNTIL CONFDOB=YES]

confirm   [IF AGE1 NE DK/REF AND CONFDOB NE DK/REF] That would make you **[CALCAGE]** years old. Is this correct?

1   YES
2   NO
DK/REF

HARD ERROR: [IF CONFIRM = 2] INTERVIEWER: PRESS [ENTER] TO GO BACK AND CORRECT THE RESPONDENT'S DATE OF BIRTH.

under12   [IF CONFIRM = 1 OR DK/REF AND CALCAGE < 12] Since you are **[CALCAGE]** years old, we cannot interview you for this study. Thank you for your cooperation.

PRESS [ENTER] TO CONTINUE. *PROGRAM SHOULD ROUTE TO FIEXIT.*

dkrefage   [IF (CALCAGE IS 12 OR OLDER AND CONFIRM = DK/REF) OR AGE1 = DK/REF OR CONFDOB = DK/REF] I need your correct age so I can ask you the right questions. What is your correct age?

AGE: ____[RANGE: 1 - 110]
DK/REF

IF DKREFAGE NOT (BLANK OR DK/REF) THEN CALCAGE = DKREFAGE

under12b   **[**IF DKREFAGE < 12] Since you are **[CALCAGE]** years old, we cannot interview you for this study. Thank you for your cooperation.

PRESS [ENTER] TO CONTINUE. *PROGRAM SHOULD ROUTE TO FIEXIT*

lastchance   [IF DKREFAGE = DK/REF] Since I am not certain what your age is, I cannot interview you for this study. Thank you for your cooperation.

PRESS [ENTER] TO CONTINUE. *PROGRAM SHOULD ROUTE TO FIEXIT*

FIPE1 [IF THIS IS NOT A T2 INTERVIEW] INTERVIEWER: WERE 2 PERSONS SELECTED FOR AN INTERVIEW AT THIS SDU?

1 YES
2 NO

FIPE2 [IF FIPE1 = 1 AND CURNTAGE = 18 OR OLDER] INTERVIEWER: WAS A 12 - 17 YEAR OLD CHILD SELECTED FOR AN INTERVIEW AT THIS SDU?

1 YES
2 NO

FIPE3 [IF FIPE2 = 1] INTERVIEWER: IS **THIS** RESPONDENT THE PARENT OR LEGAL GUARDIAN OF THE 12 - 17 YEAR OLD CHILD WHO WAS SELECTED FOR AN INTERVIEW? (VERIFY THIS WITH THE RESPONDENT IF YOU ARE UNSURE.)

1 YES
2 NO

NOTE: IF FIPE3 = 1, SET THE FLAG TO ADMINISTER THE PARENTING EXPERIENCES MODULE DURING ACASI.

FIPE4 INTERVIEWER: IN WHAT STATE IS THIS SAMPLE DWELLING UNIT (SDU) LOCATED?

1	ALABAMA	27	MONTANA
2	ALASKA	28	NEBRASKA
3	ARIZONA	29	NEVADA
4	ARKANSAS	30	NEW HAMPSHIRE
5	CALIFORNIA	31	NEW JERSEY
6	COLORADO	32	NEW MEXICO
7	CONNECTICUT	33	NEW YORK
8	DELAWARE	34	NORTH CAROLINA
9	THE DISTRICT OF COLUMBIA (WASHINGTON, DC)	35	NORTH DAKOTA
10	FLORIDA	36	OHIO
11	GEORGIA	37	OKLAHOMA
12	HAWAII	38	OREGON
13	IDAHO	39	PENNSYLVANIA
14	ILLINOIS	40	RHODE ISLAND
15	INDIANA	41	SOUTH CAROLINA
16	IOWA	42	SOUTH DAKOTA
17	KANSAS	43	TENNESSEE
18	KENTUCKY	44	TEXAS
19	LOUISIANA	45	UTAH
20	MAINE	46	VERMONT
21	MARYLAND	47	VIRGINIA
22	MASSACHUSETTS	48	WASHINGTON
23	MICHIGAN	49	WEST VIRGINIA
24	MINNESOTA	50	WISCONSIN
25	MISSISSIPPI	51	WYOMING
26	MISSOURI		

FIPE5 INTERVIEWER: THE STATE YOU ENTERED IS:

FIPE4 STATE NAME FILL

IS THIS CORRECT?

1 YES
2 NO

HARD ERROR: [IF FIPE5 = 2] INTERVIEWER: PRESS [ENTER] TO GO BACK-AND CORRECT THE STATE WHERE YOU ARE CONDUCTING THIS INTERVIEW.

QD01   The first few questions are for statistical purposes only, to help us analyze the results of the study.

INTERVIEWER: RECORD RESPONDENT'S GENDER.

5   MALE
9   FEMALE

QD01a   INTERVIEWER: YOU HAVE ENTERED THAT THE RESPONDENT IS **[FILL QD01]**. IS THIS CORRECT?

4   YES
6   NO

HARD ERROR: [IF QD01a = 6] INTERVIEWER: PRESS [ENTER] TO GO BACK AND CORRECT THE RESPONDENT'S GENDER.

QD03   Are you of Hispanic, Latino, or Spanish origin or descent?

1   YES
2   NO
DK/REF

QD04   [IF QD03 = 1] HAND R SHOWCARD 1. Which of these Hispanic, Latino, or Spanish groups best describes you? Just give me the number or numbers from the card.

TO SELECT MORE THAN ONE CATEGORY, PRESS THE SPACE BAR BETWEEN EACH CATEGORY YOU SELECT.

1   MEXICAN / MEXICAN AMERICAN / MEXICANO / CHICANO
2   PUERTO RICAN
3   CENTRAL OR SOUTH AMERICAN
4   CUBAN / CUBAN AMERICAN
5   DOMINICAN (FROM DOMINICAN REPUBLIC)
6   SPANISH (FROM SPAIN)
7   OTHER (SPECIFY)
DK/REF

QD04othr   [IF QD04 = 7] Please tell me which other Hispanic, Latino or Spanish group best describes you.

_____
DK/REF

QD05   HAND R SHOWCARD 2. Which of these groups describes you? Just give me the number or numbers from the card.

TO SELECT MORE THAN ONE CATEGORY, PRESS THE SPACE BAR BETWEEN EACH CATEGORY YOU SELECT.

RESPONDENTS WHO REPORT THEIR RACE AS NATIVE AMERICAN SHOULD BE INCLUDED IN RESPONSE CATEGORY 3.

1   WHITE
2   BLACK / AFRICAN AMERICAN
3   AMERICAN INDIAN OR ALASKA NATIVE (AMERICAN INDIAN INCLUDES NORTH AMERICAN, CENTRAL AMERICAN, AND SOUTH AMERICAN INDIANS)
4   NATIVE HAWAIIAN
5   OTHER PACIFIC ISLANDER
6   ASIAN (FOR EXAMPLE: ASIAN INDIAN, CHINESE, FILIPINO, JAPANESE, KOREAN, AND VIETNAMESE)
7   OTHER (SPECIFY)
DK/REF

QD05ASIA   [IF QD05 = 6] HAND R SHOWCARD 3. Which of these Asian groups describes you? Just give me the number or numbers from the card.

TO SELECT MORE THAN ONE CATEGORY, PRESS THE SPACE BAR BETWEEN EACH CATEGORY YOU SELECT.

1   ASIAN INDIAN
2   CHINESE
3   FILIPINO
4   JAPANESE
5   KOREAN
6   VIETNAMESE
7   OTHER (SPECIFY)
DK/REF

QD05OTHA   [IF QD05ASIA = 7] Please tell me which other Asian group or groups describes you.

OTHER ASIAN GROUP: _____
DK/REF

QD05OTHR   [IF QD05 = 7] Please tell me which other racial group or groups describes you.

OTHER RACIAL GROUP: _____
DK/REF

QD07   [IF CURNTAGE = 15 OR OLDER] Are you now married, widowed, divorced or separated, or have you never married?

1   MARRIED
2   WIDOWED
3   DIVORCED OR SEPARATED
4   HAVE NEVER MARRIED
DK/REF

INTERVIEWER NOTE:
If the respondent is divorced but currently remarried, code as married.
By "divorce" we mean a legal cancellation or annulment of a marriage.
By "separated" we mean legally or informally separating due to marital discord.

QD08   [IF QDO7 = 1 OR 2 OR 3] How many times have you been married?

NUMBER OF TIMES: _____   [RANGE: 1 - 9]
DK/REF

QD09   [IF CURNTAGE = 17 OR OLDER] Have you ever been in the United States' armed forces?

1   YES
2   NO
DK/REF

QD10   [IF QD09 = 1 OR DK/REF] Are you **currently** on **active** duty in the armed forces, in a reserves component, or now separated or retired from either reserves or active duty?

1   ON ACTIVE DUTY IN THE ARMED FORCES
2   IN A RESERVES COMPONENT
3   NOW SEPARATED OR RETIRED FROM EITHER RESERVES OR ACTIVE DUTY
DK/REF

MILTERM1   [IF QD10 = 1] I need to verify what I just entered into the computer. You said you are **currently** on **active** duty in the armed forces. Is that correct?

1   YES
2   NO
DK/REF

HARD ERROR: [IF MILTERM1 = 2 OR DK/REF] INTERVIEWER: PRESS [ENTER] TO GO BACK AND CORRECT THE RESPONDENT'S CURRENT MILITARY STATUS.

MILTERM2 [IF MILTERM1 = 1] People who are currently on active duty in the armed forces are not eligible to be interviewed in this study. I appreciate you taking the time to speak with me. Thank you.

PRESS [ENTER] TO CONTINUE.
[ROUTE TO FIEXIT]

QD11 HAND R SHOWCARD 4. What is the highest grade or year of school you have **completed**?

Please tell me the number from the card.

INCLUDE JUNIOR OR COMMUNITY COLLEGE ATTENDANCE; DO NOT INCLUDE TECHNICAL SCHOOLS (BEAUTICIAN, MECHANIC, ETC.).

0   NEVER ATTENDED SCHOOL
1   1ST GRADE COMPLETED
2   2ND GRADE COMPLETED
3   3RD GRADE COMPLETED
4   4TH GRADE COMPLETED
5   5TH GRADE COMPLETED
6   6TH GRADE COMPLETED
7   7TH GRADE COMPLETED
8   8TH GRADE COMPLETED
9   9TH GRADE COMPLETED
10   10TH GRADE COMPLETED
11   11TH GRADE COMPLETED
12   12TH GRADE COMPLETED
13   COLLEGE OR UNIVERSITY / 1ST YEAR COMPLETED
14   COLLEGE OR UNIVERSITY / 2ND YEAR COMPLETED
15   COLLEGE OR UNIVERSITY / 3RD YEAR COMPLETED
16   COLLEGE OR UNIVERSITY / 4TH YEAR COMPLETED
17   COLLEGE OR UNIVERSITY / 5TH OR HIGHER YEAR COMPLETED
DK/REF

QD12 This question is about your overall health. Would you say your health in general is excellent, very good, good, fair, or poor?

1   EXCELLENT
2   VERY GOOD
3   GOOD
4   FAIR
5   POOR
DK/REF

calendar CALENDAR

Throughout the rest of this interview, the computer will ask you questions about three time periods, the past 30 days, the past 12 months, and your lifetime. To help you remember the first two time periods, let's mark this calendar with the beginning dates for each one of them.

SHOW CALENDAR TO RESPONDENT.

Now let's think about the past 30 days. According to the calendar **[DATEFILL]** was 30 days ago. I'll call that your 30-day reference date, and I will write **[DATEFILL]** here on the calendar.

WRITE **[DATEFILL]** FOR 30-DAY REFERENCE DATE ON CALENDAR AND CIRCLE DAY; UNDERLINE ENTIRE 30-DAY PERIOD.

PRESS [ENTER] TO CONTINUE.

calendr2   A number of questions will ask about the past 12 months, that is since this date last year. According to the calendar **[DATEFILL]** was 12 months ago. I'll call that your 12-month reference date.

WRITE **[DATEFILL]** FOR 12 MONTH REFERENCE DATE ON CALENDAR, AND CIRCLE DAY ON CALENDAR.

PRESS [ENTER] TO CONTINUE.

calendr3   Please use this calendar as we go through the interview to help you remember when different things happened. I will remind you to think about your 30-day reference date and your 12-month reference date when I ask you questions.

GIVE THE CALENDAR TO THE RESPONDENT. PRESS [ENTER] TO CONTINUE.

Beginning ACASI Section

IntroAcasi1   You will do an important part of this interview on your own, using the computer and headphones. Before you start, we'll go through a short practice session so you can learn how to use this computer and our interview program. Let me quickly point out the keys you will use. The computerized practice session that follows will go through what each key does in greater detail.

MOVE COMPUTER SO RESPONDENT CAN SEE THE KEYBOARD AND POINT OUT THE FOLLOWING:

[POINT TO THE ROW OF FUNCTION KEYS] First, these are the function keys. The function keys and what they do are labeled for you.

[POINT TO F3] If you don't know the answer to a question, press F3.

[POINT TO F4] If you don't want to answer a question, press F4.

[POINT TO F7] If you want to turn the sound off, press F7. To turn it on again, press F7 again.

PRESS [ENTER] TO CONTINUE.

IntroAcasi3   These next items will help you enter your answers into the computer.

[POINT TO THE ROW OF NUMBER KEYS] These are the number keys.

[POINT TO THE ENTER KEY] The Enter key is here,
[POINT TO THE SPACE BAR] the space bar is here,
[POINT TO THE BACKSPACE KEY] and the Backspace key is here.

[POINT TO THE BOTTOM OF THE SCREEN] The answers that you enter will show up here at the bottom of the screen.

PRESS [ENTER] TO CONTINUE.

IntroAcasi4   There are a couple of computer features that you will **not** use.

[POINT TO ON/OFF SWITCH] This button up here turns the machine on and off. Please do not press it! It will turn the machine off, and we'll lose the interview.

[POINT TO TOUCHPAD] Also, please do not touch this pad. This might disrupt the interview.

PRESS [ENTER] TO CONTINUE.

IntroAcasi2   Lastly, these headphones will allow you to listen to the interview questions.

HAND HEADPHONES TO RESPONDENT.

You can adjust the volume here [DEMONSTRATE VOLUME ADJUSTMENT ON THE HEADPHONE CORD].

Please put on your headphones. When you are ready, let me know.

MOVE COMPUTER SO RESPONDENT CAN USE IT.

ONCE RESPONDENT HAS HEADPHONES ON, PRESS "1" AND [ENTER] SO R CAN BEGIN
PRACTICE SESSION.

Back-End Demographics

INTRODM2   For the next questions, I will read the question out loud, you can tell me your answer, and I will enter it into
the computer.

PRESS [ENTER] TO CONTINUE.

QD13   How many times in the **past 12 months** have you moved?

NUMBER OF TIMES: _____ [RANGE: 0 - 365]
DK/REF

INTERVIEWER NOTE:
The respondent should include moves from one residence to another within the same
city/town as well as those from one city/town to another.

QD13a   [IF QD13 NE 0] In what state did you live on [FILL PAST 1 YEAR DATE], that is, one year ago today?

1	ALABAMA	27	MONTANA
2	ALASKA	28	NEBRASKA
3	ARIZONA	29	NEVADA
4	ARKANSAS	30	NEW HAMPSHIRE
5	CALIFORNIA	31	NEW JERSEY
6	COLORADO	32	NEW MEXICO
7	CONNECTICUT	33	NEW YORK
8	DELAWARE	34	NORTH CAROLINA
9	THE DISTRICT OF COLUMBIA (WASHINGTON, DC)	35	NORTH DAKOTA
10	FLORIDA	36	OHIO
11	GEORGIA	37	OKLAHOMA
12	HAWAII	38	OREGON
13	IDAHO	39	PENNSYLVANIA
14	ILLINOIS	40	RHODE ISLAND
15	INDIANA	41	SOUTH CAROLINA
16	IOWA	42	SOUTH DAKOTA
17	KANSAS	43	TENNESSEE
18	KENTUCKY	44	TEXAS
19	LOUISIANA	45	UTAH
20	MAINE	46	VERMONT
21	MARYLAND	47	VIRGINIA
22	MASSACHUSETTS	48	WASHINGTON
23	MICHIGAN	49	WEST VIRGINIA
24	MINNESOTA	50	WISCONSIN
25	MISSISSIPPI	51	WYOMING
26	MISSOURI		
		52	OUTSIDE OF U.S.

DK/REF

QD13b   [IF SEN04 = OR > 1 OR YE04 = OR > 1] In what state did you live on [FILL 2 YEAR DATE], that is, two years ago
today?

1	ALABAMA	27	MONTANA
2	ALASKA	28	NEBRASKA
3	ARIZONA	29	NEVADA
4	ARKANSAS	30	NEW HAMPSHIRE
5	CALIFORNIA	31	NEW JERSEY

6	COLORADO	32	NEW MEXICO
7	CONNECTICUT	33	NEW YORK
8	DELAWARE	34	NORTH CAROLINA
9	THE DISTRICT OF COLUMBIA (WASHINGTON, DC)	35	NORTH DAKOTA
10	FLORIDA	36	OHIO
11	GEORGIA	37	OKLAHOMA
12	HAWAII	38	OREGON
13	IDAHO	39	PENNSYLVANIA
14	ILLINOIS	40	RHODE ISLAND
15	INDIANA	41	SOUTH CAROLINA
16	IOWA	42	SOUTH DAKOTA
17	KANSAS	43	TENNESSEE
18	KENTUCKY	44	TEXAS
19	LOUISIANA	45	UTAH
20	MAINE	46	VERMONT
21	MARYLAND	47	VIRGINIA
22	MASSACHUSETTS	48	WASHINGTON
23	MICHIGAN	49	WEST VIRGINIA
24	MINNESOTA	50	WISCONSIN
25	MISSISSIPPI	51	WYOMING
26	MISSOURI		
		52	OUTSIDE OF U.S.

DK/REF

QD13c [IF (QD13a NE BLANK AND QD13a NE FIPE4) OR (QD13a = BLANK AND QD13b NE BLANK AND QD13b NE FIPE4) OR (QD13a NE BLANK AND QD13a = FIPE4 AND QD13b NE BLANK AND QD13b NE FIPE4)] In what month and year did you move to [FIPE4]?

IF QD13a NE BLANK AND QD13a NE FIPE4, FILL WITH RESPONSE OPTIONS BELOW:

1 [CURRENT MONTH, CURRENTYEAR – 1]
2 [CURRENT MONTH-1, CURRENT YEAR -1]
3 [CURRENT MONTH-2, CURRENT YEAR -1]
4 [CURRENT MONTH-3, CURRENT YEAR -1]
5 [CURRENT MONTH-4, CURRENT YEAR -1]
6 [CURRENT MONTH-5, CURRENT YEAR -1]
7 [CURRENT MONTH-6, CURRENT YEAR -1]
8 [CURRENT MONTH-7, CURRENT YEAR -1]
9 [CURRENT MONTH-8, CURRENT YEAR -1]
10 [CURRENT MONTH-9, CURRENT YEAR -1]
11 [CURRENT MONTH-10, CURRENT YEAR -1]
12 [CURRENT MONTH-11, CURRENT YEAR -1]
13 [CURRENT MONTH, CURRENT YEAR]

ELSE, FILL WITH RESPONSE OPTIONS BELOW:

1 [CURRENT MONTH, CURRENTYEAR – 2]
2 [CURRENT MONTH-1, CURRENT YEAR -2]
3 [CURRENT MONTH-2, CURRENT YEAR -2]
4 [CURRENT MONTH-3, CURRENT YEAR -2]
5 [CURRENT MONTH-4, CURRENT YEAR -2]
6 [CURRENT MONTH-5, CURRENT YEAR -2]
7 [CURRENT MONTH-6, CURRENT YEAR -2]
8 [CURRENT MONTH-7, CURRENT YEAR -2]
9 [CURRENT MONTH-8, CURRENT YEAR -2]
10 [CURRENT MONTH-9, CURRENT YEAR -2]
11 [CURRENT MONTH-10, CURRENT YEAR -2]
12 [CURRENT MONTH-11, CURRENT YEAR -2]
13 [CURRENT MONTH, CURRENT YEAR-1]

DK/REF

QD13d [IF INTDATE NE AUGUST OR QD13 = 0] In what state did you live in August of 2005, just before Hurricane Katrina hit the Gulf Coast?

1	ALABAMA	27	MONTANA
2	ALASKA	28	NEBRASKA
3	ARIZONA	29	NEVADA
4	ARKANSAS	30	NEW HAMPSHIRE
5	CALIFORNIA	31	NEW JERSEY
6	COLORADO	32	NEW MEXICO
7	CONNECTICUT	33	NEW YORK
8	DELAWARE	34	NORTH CAROLINA
9	THE DISTRICT OF COLUMBIA (WASHINGTON, DC)	35	NORTH DAKOTA
10	FLORIDA	36	OHIO
11	GEORGIA	37	OKLAHOMA
12	HAWAII	38	OREGON
13	IDAHO	39	PENNSYLVANIA
14	ILLINOIS	40	RHODE ISLAND
15	INDIANA	41	SOUTH CAROLINA
16	IOWA	42	SOUTH DAKOTA
17	KANSAS	43	TENNESSEE
18	KENTUCKY	44	TEXAS
19	LOUISIANA	45	UTAH
20	MAINE	46	VERMONT
21	MARYLAND	47	VIRGINIA
22	MASSACHUSETTS	48	WASHINGTON
23	MICHIGAN	49	WEST VIRGINIA
24	MINNESOTA	50	WISCONSIN
25	MISSISSIPPI	51	WYOMING
26	MISSOURI		
		52	OUTSIDE OF U.S.

DK/REF

QD13e [IF (INTDATE=AUGUST AND Q13a=1, 10, or 25) OR (QD13d = 1, 10, or 25)] Many people living in [**FILL Q13a or QD13d**] in late summer of 2005 had to leave their homes and stay in temporary housing because of Hurricane Katrina. Temporary housing is any place you stay overnight or longer that you do not think of as your permanent home. Did you leave your home and stay in temporary housing overnight or longer because of the hurricane?

[IF (INTDATE=AUGUST AND Q13a=44) OR (QD13d = 44)] Many people living in Texas in late summer of 2005 had to leave their homes and stay in temporary housing because of Hurricane Rita. Temporary housing is any place you stay overnight or longer that you do not think of as your permanent home. Did you leave your home and stay in temporary housing overnight or longer because of the hurricane?

[IF (INTDATE=AUGUST AND Q13a=19) OR (QD13d = 19)] Many people living in Louisiana in late summer of 2005 had to leave their homes and stay in temporary housing because of Hurricane Katrina or Hurricane Rita. Temporary housing is any place you stay overnight or longer that you do not think of as your permanent home. Did you leave your home and stay in temporary housing overnight or longer because of one or both of the hurricanes?

1   YES
2   NO
DK/REF

QD13f [IF (QD13e = 1) AND ((Q13a=1, 10, 25 or 44) OR (QD13d = 1, 10, 25, or 44))] How long did you stay in temporary housing because of a hurricane?
[IF (QD13e = 1) AND (Q13a=19 or QD13d = 19)] How long did you stay in temporary housing because of one or both of the hurricanes?

IF R SAYS THEY ARE STILL IN TEMPORARY HOUSING, PROBE FOR THE TOTAL LENGTH OF TIME

1   Less than 2 weeks
2   2 to 4 weeks
3   Between 4 weeks and 6 months
4   6 to 12 months
5   More than 12 months

DK/REF

QD14   Were you born in the United States?

1   YES
2   NO
DK/REF

QD15   [IF QD14 = 2] In what country or U.S. territory were you born?

COUNTRY OR US TERRITORY: _____
DK/REF

QD16a [IF QD14 = 2] Have you lived in the United States for at least one year?

1   YES
2   NO
DK/REF

QD16b [IF QD16a = 1] For how many years have you lived in the United States? Please estimate the total number of years
you have lived here over your lifetime.

NUMBER OF YEARS: _____ [RANGE: 1-90]
DK/REF

QD16c [IF QD16a = 2] For how many months have you lived in the United States? Please estimate the total number of months
you have lived here over your lifetime.

IF LESS THAN ONE MONTH, ENTER 0

NUMBER OF MONTHS: _____ [RANGE: 0-12]
DK/REF

QD17   The next questions are about school. Are you now attending or are you currently enrolled in school? By "school," we
mean an elementary school, a junior high or middle school, a high school, or a college or university. Please include
home schooling as well.

1   YES
2   NO
DK/REF

                              INTERVIEWER NOTE:
                              If the respondent is on a holiday or summer break from school, but plans to return when
                              the break is over, then he/she should be coded as currently enrolled in school.
                              Do not include vocational or technical schools.

QD17a [IF CURNTAGE=12-25 AND (QD17=2 OR DK/REF) AND QD11 = 1 - 15] Are you currently on a holiday or
vacation break from school?

1   YES
2   NO
DK/REF

QD17b [IF QD17a = 1] Do you plan to return to school when your holiday or vacation is over?

1   YES
2   NO
DK/REF

QD18   [IF QD17 = 1] HAND R SHOWCARD 5. What grade or year of school are you **now** attending? Please tell me the
number from the card.

[IF QD17b = 1] HAND R SHOWCARD 5. What grade or year of school will you be attending when your vacation is

over? Please tell me the number from the card.

1   1ST GRADE
2   2ND GRADE
3   3RD GRADE
4   4TH GRADE
5   5TH GRADE
6   6TH GRADE
7   7TH GRADE
8   8TH GRADE
9   9TH GRADE
10   10TH GRADE
11   11TH GRADE
12   12TH GRADE
13   COLLEGE OR UNIVERSITY/1ST YEAR
14   COLLEGE OR UNIVERSITY/2ND YEAR
15   COLLEGE OR UNIVERSITY/3RD YEAR
16   COLLEGE OR UNIVERSITY/4TH YEAR
17   COLLEGE OR UNIVERSITY/5TH OR HIGHER YEAR
DK/REF

> INTERVIEWER NOTE:
> If the respondent is on a holiday or summer break, select the category for the year or
> grade he/she will enter when he/she returns to school.
> If home schooled or other alternative, ask for grade equivalent.

QD19   [IF QD17 = 1] Are you a full-time student or a part-time student?

[IF QD17b = 1] Will you be a full-time student or a part-time student?

1   FULL-TIME
2   PART-TIME
DK/REF

QD20   [IF QD19 = 1] During the past 30 days, that is, from **[DATEFILL]** up to and including today, how many **whole** days
of school did you miss because you were sick or injured?

IF SCHOOL WAS NOT IN SESSION DURING THE PAST 30 DAYS, ENTER 90.

NUMBER OF DAYS: _____   [RANGE: 0-30, 90]
DK/REF

> INTERVIEWER NOTE:
> Days missed because the respondent stayed home with a sick child or other family
> member should not be included.

QD21   [IF QD19 = 1 AND QD20 NE 90] During the past 30 days, that is, since **[DATEFILL]**, how many **whole** days of
school did you miss because you skipped or "cut" or just didn't want to be there?

NUMBER OF DAYS: _____   [RANGE: 0 - 30]
DK/REF

> INTERVIEWER NOTE:
> Days missed because the respondent stayed home with a sick child or other family
> member should not be included.

QD22   [IF (QD11 = 1 - 12 OR DK/REF) AND CURNTAGE = 12 - 25 AND (QD17a = 2 OR DK/REF OR QD17b = 2)] Have
you received a high school diploma?

1   YES
2   NO
DK/REF

QD23 [IF QD22 = 2 OR DK/REF] Have you received a GED certificate of high school completion?

    1   YES
    2   NO
    DK/REF

QD24 [IF QD23 = 1 OR 2 OR DK/REF] HAND R SHOWCARD 6. Please look at this card and tell me which one of these reasons **best** describes why you left school before receiving a high school diploma. Just give me the number.

    1   SCHOOL WAS BORING OR I DIDN'T WANT TO BE THERE
    2   I GOT PREGNANT/I GOT SOMEONE PREGNANT
    3   I GOT IN TROUBLE OR EXPELLED FOR **SELLING** DRUGS
    4   I GOT IN TROUBLE OR EXPELLED FOR **USING** DRUGS
    5   I GOT IN TROUBLE OR EXPELLED FOR SOME OTHER REASON
    6   I OFTEN GOT INTO TROUBLE
    7   I HAD TO GET A JOB (OR WORK MORE HOURS)
    8   I WAS GETTING BAD GRADES
    9   I WASN'T LEARNING ANYTHING
    10   I GOT MARRIED OR MOVED IN WITH MY BOY/GIRLFRIEND
    11   I MOVED HERE FROM ANOTHER COUNTRY AND DIDN'T ENROLL IN SCHOOL (OR DROPPED OUT OF SCHOOL) BECAUSE OF LANGUAGE OR OTHER PROBLEMS
    12   I WAS TREATED BADLY AT SCHOOL
    13   I BECAME ILL OR INJURED
    14   I WENT TO JAIL/PRISON
    15   I HAD RESPONSIBILITIES AT HOME OR PERSONAL PROBLEMS
    16   OTHER REASON
    DK/REF

QD24SP [IF QD24 = 16] What is the main reason you left school before receiving a high school diploma?

    _____
    DK/REF

QD25 [IF QD24 = 1 - 16 OR DK/REF] How old were you when you stopped attending school?

AGE WHEN STOPPED ATTENDING SCHOOL: _____ [RANGE: 0-110]
DK/REF

QD26 [IF CURNTAGE = 15 OR OLDER] The next questions are about working. Did you work at a job or business at any time **last week**? By last week, I mean the week beginning on Sunday, [STARTDATE] and ending on Saturday, [ENDDATE].

    1   YES
    2   NO
    DK/REF

> INTERVIEWER NOTE:
> If the respondent asks about unpaid work, tell him/her to include unpaid work in a family farm or business if he/she usually works more than 15 hours each week.
> A student who is given a stipend is **not** considered to be working.
> Someone doing volunteer work is **not** considered to be working.
> A person who provides personal labor in exchange for work done for them, rather than for pay, is considered to be working.

QD27 [IF QD26 = 2] Even though you did not work at any time last week, did you **have** a job or business?

    1   YES
    2   NO
    DK/REF

INTERVIEWER NOTE:
If the respondent asks about unpaid work, tell him/her to include unpaid work in a family
farm or business if he/she usually works more than 15 hours each week.
A student who is given a stipend is **not** considered to have a job or business.
Someone doing volunteer work is **not** considered to be have a job or business.
A person who provides personal labor in exchange for work done for them, rather than
for pay, is considered to have a job or business.

QD28   [IF QD26 =1] How many hours did you work **last week** at all jobs or businesses?

OF HOURS WORKED: _____   [RANGE: 1 - 120]
DK/REF

QD29   [IF (QD28 = 1 - 120 OR DK/REF) OR QD27 = 1] Do you **usually** work 35 hours or more per week at **all** jobs or
businesses?

1   YES
2   NO
DK/REF

INOC01   [IF QD26 = 1 OR QD27 = 1] In what kind of business or industry do you work? That is, what product is made or
what service is offered?
_____ [ALLOW 100 CHARACTERS]
DK/REF

INTERVIEWER NOTE:
If the respondent has more than 1 job, he/she should tell you about only one of the jobs.
In these situations, the choice of which job to report is left to the respondent.

In order to accurately code a respondent's occupation, our coders need complete
information. Examples include: Hospital, newspaper publishing, mail order house, auto
engine manufacturing, breakfast cereal manufacturing. Please probe thoroughly!

You may enter up to 100 characters.

INOC02   [IF QD26 =1 OR QD27=1 AND INOC01 NE DK/REF] HAND R SHOWCARD 7. Which of these categories
best describes the business or industry in which you work?

1   MANUFACTURING
2   WHOLESALE TRADE
3   RETAIL TRADE
4   AGRICULTURE
5   CONSTRUCTION
6   SERVICE
7   GOVERNMENT
8   OTHER
DK/REF

INOC02M   [IF INOC02 = 1] What do they make?

_____ [ALLOW 35 CHARACTERS]
DK/REF

INTERVIEWER NOTE:
You may enter up to 35 characters.

INOC02T   [IF INOC02 = 2 OR 3] What do they sell?

_____ [ALLOW 35 CHARACTERS]
DK/REF

INOC03   [IF INOC02 = 8] Please describe the business or industry in which you work.

_____ [ALLOW 35 CHARACTERS]
DK/REF

INOC04   [IF QD26 =1 OR QD27=1 AND INOC01 NE DK/REF] What kind of work do you do? That is, what is your occupation?

_____ [ALLOW 50 CHARACTERS]
DK/REF

INOC05   [IF QD26 = 1 OR QD27 = 1 AND INOC01 NE DK/REF] What are your most important activities or duties in that job?

_____ [ALLOW 100 CHARACTERS]
DK/REF

INOC06   [IF QD26 =1 OR QD27 = 1 AND INOC01 NE DK/REF] HAND R SHOWCARD 8. Which of these categories best describes the business in which you work?

1   PRIVATE **FOR-PROFIT** COMPANY OR BUSINESS
2   PRIVATE **NOT-FOR-PROFIT** COMPANY OR BUSINESS
3   **LOCAL** GOVERNMENT (CITY, COUNTY, ETC.)
4   **STATE** GOVERNMENT
5   **FEDERAL** GOVERNMENT
6   **INTERNATIONAL OR FOREIGN** GOVERNMENT
7   SELF-EMPLOYED IN AN **INCORPORATED** BUSINESS
8   SELF-EMPLOYED IN AN **UNINCORPORATED** BUSINESS
9   WORKING **WITHOUT PAY** IN A FAMILY BUSINESS OR FARM
DK/REF

QD30   [IF QD27 = 1] HAND R SHOWCARD 9. Please look at this card and tell me which one of these reasons **best** describes why you did not work last week. Just give me the number.

If the respondent indicates that he/she was on maternity or family leave, enter "1".
If the respondent indicates that his/her job is seasonal and this is the off-season, enter "7."

1   ON VACATION/SICK/FURLOUGH/STRIKE/OTHER TEMPORARY ABSENCE
2   ON LAYOFF AND **NOT** LOOKING FOR WORK
3   ON LAYOFF AND LOOKING FOR WORK
4   WAITING TO REPORT TO A NEW JOB
5   SELF-EMPLOYED AND DID NOT HAVE ANY BUSINESS LAST WEEK
6   GOING TO SCHOOL/TRAINING
7   SOME OTHER REASON
DK/REF

QD31   [IF QD27 = 2 OR DK/REF] HAND R SHOWCARD 10.   Please look at this card and tell me which one of these reasons **best** describes why you did not have a job or business last week. Just give me the number.

1   LOOKING FOR WORK
2   ON LAYOFF AND **NOT** LOOKING FOR WORK
3   KEEPING HOUSE OR CARING FOR CHILDREN FULL TIME
4   GOING TO SCHOOL/TRAINING
5   RETIRED
6   DISABLED FOR WORK
7   DIDN'T WANT A JOB
8   SOME OTHER REASON
DK/REF

QD32   [IF QD31 = 1] During the past 30 days, did you make **specific efforts** to find work? Include any contacts you made with anyone about a job, sending out resumes or applications, placing or answering ads. Do not include only reading job ads.

1   YES
2   NO
DK/REF

QD33   [IF QD26 = DK/REF OR QD27 = 2 OR DK/REF] Now, think about the past 12 months, from **[DATEFILL]** through today. Did you work at a job or business at any time during the past 12 months?

1   YES
2   NO
DK/REF

QD34   [IF QD30 = 5 OR INOC06 = 7-8, SKIP TO QD35.]

[IF QD26 = 1 OR QD33 = 1 OR (QD27 = 1 AND QD30 NE 5). Have you been self-employed at any time during the past 12 months?

1   YES
2   NO
DK/REF

QD35   [IF QD34 = 1 OR QD30 = 5 OR INOC06 = 7-8] How many different employers, including yourself, have you had in the past 12 months?

OF EMPLOYERS IN PAST 12 MONTHS: _____ [RANGE: 1 - 52]
DK/REF

QD36   [IF QD34 = 2 OR DK/REF OR (QD34 = BLANK AND QD35 = BLANK AND (INOC06 = 7-8 OR QD30 = 5))] How many different employers have you had in the past 12 months?

OF EMPLOYERS IN PAST 12 MONTHS: _____ [RANGE: 1 - 52]
DK/REF

QD37 [IF QD26 = 1 OR QD27 = 1] During the past 12 months, was there ever a time when you did **not** have at least one job or business?

1   YES
2   NO
DK/REF

QD38 [IF QD37 = 1] In how many weeks during the past 12 months did you **not** have at least one job or business?

OF WEEKS WITHOUT A JOB OR BUSINESS: _____ [RANGE: 1 - 52]
DK/REF

>   INTERVIEWER NOTE:
>   If the respondent did not have at least one job or business for less than one week, enter "1".

QD39a [IF QD27 = 2 OR DK/REF] In what year did you last work at a job or business?

ENTER THE FOUR-DIGIT YEAR IN THE FOLLOWING FORMAT: YYYY.

IF THE RESPONDENT NEVER WORKED FOR PAY, ENTER 9991.

_____ YEAR LAST WORKED
DK/REF

QD39b [IF QD39a = SYSTEM YEAR OR (SYSTEM YEAR – 1)] In what month in **[YEAR FROM QD39a]** did you last work at a job or business?

1   JANUARY
2   FEBRUARY
3   MARCH
4   APRIL
5   MAY
6   JUNE
7   JULY
8   AUGUST
9   SEPTEMBER
10   OCTOBER
11   NOVEMBER
12   DECEMBER
DK/REF

INOC07 [IF QD33 = 1 AND (QD39b AND QD39a NE DK/REF)] When you last worked in **[QD39b, QD39a FILL],** in what kind of business or industry did you work? That is, what product was made or what service was offered?

[IF QD33 = 1 AND (QD39b OR QD39a = DK/REF)] When you last worked, in what kind of business or industry did you work? That is, what product was made or what service was offered?

_____ [ALLOW 100 CHARACTERS]
DK/REF

>   INTERVIEWER NOTE:
>   If the respondent had more than 1 job, he/she should tell you about only one of the jobs.
>   In these situations, the choice of which job to report is left to the respondent.
>
>   In order to accurately code a respondent's occupation, our coders need complete
>   information. Examples include: Hospital, newspaper publishing, mail order house, auto
>   engine manufacturing, breakfast cereal manufacturing. Please probe thoroughly!
>
>   You may enter up to 100 characters.

INOC08   [IF QD33 =1 AND INOC07 NE DK/REF] HAND R SHOWCARD 7. Which of these categories best describes the business or industry in which you worked?

      1   MANUFACTURING
      2   WHOLESALE TRADE
      3   RETAIL TRADE
      4   AGRICULTURE
      5   CONSTRUCTION
      6   SERVICE
      7   GOVERNMENT
      8   OTHER
      DK/REF

INOC08M   [IF INOC08 = 1] What did they make?

     _____ [ALLOW 35 CHARACTERS]
     DK/REF

              INTERVIEWER NOTE:
              You may enter up to 35 characters.

INOC08T   [IF INOC08 = 2 OR 3] What did they sell?

     _____ [ALLOW 35 CHARACTERS]
     DK/REF

              INTERVIEWER NOTE:
              You may enter up to 35 characters.

INOC09   [IF INOC08 = 8] Please describe the business or industry in which you worked.

     _____ [ALLOW 35 CHARACTERS]
     DK/REF

              INTERVIEWER NOTE:
              You may enter up to 35 characters.

INOC10   [IF QD33 =1 AND INOC07 NE DK/REF] What kind of work did you do? That is, what was your occupation?

     _____ [ALLOW 50 CHARACTERS]
     DK/REF

              INTERVIEWER NOTE:
              If the respondent had more than one job, he/she should tell you about only one of the jobs. In these situations, the choice of which job to report is left to the respondent.

              In order to accurately code a respondent's occupation, our coders need complete information.   Examples include: Registered nurse, personnel manager, supervisor of order department, gasoline engine assembler, grinder operator. Please probe thoroughly!

              You may enter up to 50 characters.

INOC11   [IF QD33 = 1 AND INOC07 NE DK/REF] What were your most important activities or duties in that job?

     _____ [ALLOW 100 CHARACTERS]
     DK/REF

              INTERVIEWER NOTE:
              If the respondent had more than one job, he/she should tell you about only one of the jobs. In these situations, the choice of which job to report is left to the respondent.

In order to accurately code a respondent's occupation, our coders need complete information. Examples include: patient care, directing hiring practices, supervising order clerks, assembling engines, operating grinding mill. Please probe thoroughly!

You may enter up to 100 characters.

INOC12   [IF QD33 =1 AND INOC07 NE DK/REF] HAND R SHOWCARD 8. Which of these categories best describes the business in which you worked?

    1   PRIVATE **FOR-PROFIT** COMPANY OR BUSINESS
    2   PRIVATE **NOT-FOR-PROFIT** COMPANY OR BUSINESS
    3   **LOCAL** GOVERNMENT (CITY, COUNTY, ETC.)
    4   **STATE** GOVERNMENT
    5   **FEDERAL** GOVERNMENT
    6   **INTERNATIONAL OR FOREIGN** GOVERNMENT
    7   SELF-EMPLOYED IN AN **INCORPORATED** BUSINESS
    8   SELF-EMPLOYED IN AN **UNINCORPORATED** BUSINESS
    9   WORKING **WITHOUT PAY** IN A FAMILY BUSINESS OR FARM
    DK/REF

QD40   [IF QD26 = 1 OR QD27 = 1] During the past 30 days, that is, from **[DATEFILL]** up to and including today, how many **whole** days of work did you miss because you were sick or injured?

    # OF DAYS: _____ [RANGE: 0 - 30]
    DK/REF

                        INTERVIEWER NOTE:
                        Days missed because the respondent stayed home with a sick child or other family
                        member should not be included.

QD41   [IF QD26 = 1 OR QD27 = 1] During the past 30 days, that is, from **[DATEFILL]** up to and including today, how many **whole** days of work did you miss because you just didn't want to be there?

    # OF DAYS: _____ [RANGE: 0 - 30]
    DK/REF

                        INTERVIEWER NOTE:
                        Days missed because the respondent stayed home with a sick child or other family
                        member should not be included.
                        Days missed because of a planned vacation should not be included.

QD42   [IF QD26 = 1 OR QD27 = 1] HAND R SHOWCARD 11. Thinking about the location where **you** work, how many people work for your employer out of this office, store, etc.?

    1   LESS THAN 10 PEOPLE
    2   10-24 PEOPLE
    3   25-99 PEOPLE
    4   100-499 PEOPLE
    5   500 PEOPLE OR MORE
    DK/REF

QD43   [IF QD42 = 1 - 5 OR DK/REF] At your workplace, is there a written policy about employee use of alcohol or drugs?

    1   YES
    2   NO
    DK/REF

QD44   [IF QD43 = 1] Does this policy cover only alcohol, only drugs, or both alcohol and drugs?

    1   ONLY ALCOHOL
    2   ONLY DRUGS
    3   BOTH ALCOHOL AND DRUGS
    DK/REF

QD45 [IF QD44 = (1 - 3 OR DK/REF) OR QD43 = (2 OR DK/REF)] At your workplace, have you ever been given any educational information regarding the use of alcohol or drugs?

1   YES
2   NO
3   DON'T REMEMBER
DK/REF

QD46 [IF QD45 = 1 - 3 OR DK/REF] Through your workplace, is there access to any type of employee assistance program or other type of counseling program for employees who have alcohol or drug-related problems?

1   YES
2   NO
DK/REF

QD47 [IF QD46 = 1 - 2 OR DK/REF] Does your workplace ever test its employees for alcohol use?

1   YES
2   NO
DK/REF

QD48 [IF QD47 = 1 - 2 OR DK/REF] Does your workplace ever test its employees for drug use?

1   YES
2   NO
DK/REF

QD49 [IF Q47 = 1 OR Q48 = 1] Does your workplace test its employees for drug or alcohol use as part of the hiring process?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Testing as part of the hiring process refers to a test that must be conducted, and show no presence of drugs in order for an applicant to be hired.

QD50 [IF Q49 = 1 OR 2 OR DK/REF] Does your workplace test its employees for drug or alcohol use on a random basis?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Testing on a random basis refers to a test conducted at unscheduled times with a random group of a company's employees.

QD51 [IF Q47 = 1 OR Q48 = 1] HAND R SHOWCARD 12. According to the policy at your workplace, what happens to an employee the **first** time he or she tests positive for illicit drugs?

1   HANDLED ON AN INDIVIDUAL BASIS / POLICY DOES NOT SPECIFY WHAT HAPPENS
2   EMPLOYEE IS FIRED
3   EMPLOYEE IS REFERRED FOR TREATMENT OR COUNSELING
4   NOTHING HAPPENS
5   SOMETHING ELSE HAPPENS
DK/REF

QD52 [IF QD42 = 1 - 5 OR DK/REF] Would you be more or less likely to want to work for an employer that tests its employees for drug use as part of the hiring process? Would you say more likely, less likely, or would it make no difference to you?

1   MORE LIKELY
2   LESS LIKELY

3   WOULD MAKE NO DIFFERENCE
DK/REF

QD53   [IF QD52 = 1 - 3 OR DK/REF] Would you be more or less likely to want to work for an employer that tests its
employees for drug or alcohol use on a random basis? Would you say more likely, less likely, or would it make no
difference to you?

1   MORE LIKELY
2   LESS LIKELY
3   WOULD MAKE NO DIFFERENCE
DK/REF

QD54   Altogether, how many people live here now, **including yourself**? Please include anyone who (has lived/will live) here
for most of (**January, February, and March / April, May, and June / July, August, and September / October,
November, and December**).

IN HOUSEHOLD: _____ [RANGE: 1 - 25]
DK/REF

INTERVIEWER NOTE:
If you are interviewing in a transient shelter, enter "1".
If you are interviewing in a group quarters unit that was listed by room, enter the number
of people living in the room.

IF QD54 = 1 OR DK/REF SKIP TO FIRST QUESTION FOLLOWING HH ROSTER, OTHERWISE CONTINUE.

PERAGEYR   [IF QD54 = 2 - 25] Now I need some additional information about each person who lives here. Let's start
with the oldest. How old was he or she on his or her **last** birthday? (WORDING FOR ADDITIONAL
CYCLES: How old was the next oldest person on his or her last birthday?)

INTERVIEWER: FOR CHILDREN LESS THAN 24 MONTHS (2 YEARS), ENTER '1.' YOU WILL BE
PROMPTED FOR THE AGE IN MONTHS ON THE NEXT SCREEN.

AGE IN WHOLE YEARS: _____ [RANGE: 1 - 110]
DK/REF

CHAGEMON   [IF PERAGEYR = 1] ENTER THE AGE **IN WHOLE MONTHS** FOR THIS HOUSEHOLD MEMBER.
FOR BABIES UNDER 1 MONTH OLD, ENTER 1.

AGE IN MONTHS: _____ [RANGE: 1 - 23]
DK/REF

CHMONSEX   [IF CHAGEMON = 1 - 23] Is the **[CHAGEMON FILL]**-month old child a male or a female?

5   MALE
9   FEMALE
DK/REF

CHYRSEX   [IF CHAGEMON = DK/REF] Is this child a male or female?

5   MALE
9   FEMALE
DK/REF

PERYRSEX   [IF PERAGEYR = 2 - 110] Is the **[PERAGEYR FILL]**-year old person male or female?

5   MALE
9   FEMALE
DK/REF

PERSEX [IF PERAGEYR = DK/REF] Is this person a male or a female?

> 5 MALE
> 9 FEMALE
> DK/REF

MRELATON [IF CHMONSEX OR CHYRSEX OR PERYRSEX OR PERSEX = 5] HAND R SHOWCARD 13. Please look at this card and tell me which category best describes his relationship to you.

> INTERVIEWER NOTE:
> If it is clear to you that the respondent is talking about/rostering themselves, you may say "Is that you?". If the answer is Yes, enter "1" for "SELF".
>
> Exchange families (exchange students or people who are hosting exchange students) should be considered "other non-relatives."

> 1 SELF
> 2 FATHER (INCLUDES STEP, FOSTER, ADOPTIVE)
> 3 SON (INCLUDES STEP, FOSTER, ADOPTIVE)
> 4 BROTHER (INCLUDES HALF, STEP, FOSTER, ADOPTIVE)
> 5 HUSBAND
> 6 UNMARRIED PARTNER
> 7 HOUSEMATE OR ROOMMATE
> 8 SON-IN-LAW
> 9 GRANDSON
> 10 FATHER-IN-LAW
> 11 GRANDFATHER
> 12 BOARDER OR ROOMER
> 13 OTHER RELATIVE
> 14 OTHER NON-RELATIVE
> DK/REF

FRELATON [IF CHMONSEX OR CHYRSEX OR PERYRSEX OR PERSEX = 9] HAND R SHOWCARD 14. Please look at this card and tell me which category best describes her relationship to you.

> INTERVIEWER NOTE:
> If it is clear to you that the respondent is talking about/rostering themselves, you may say "Is that you?". If the answer is Yes, enter "1" for "SELF".
>
> Exchange families (exchange students or people who are hosting exchange students) should be considered "other non-relatives."

> 1 SELF
> 2 MOTHER (INCLUDES STEP, FOSTER, ADOPTIVE)
> 3 DAUGHTER (INCLUDES STEP, FOSTER, ADOPTIVE)
> 4 SISTER (INCLUDES HALF, STEP, FOSTER, ADOPTIVE)
> 5 WIFE
> 6 UNMARRIED PARTNER
> 7 HOUSEMATE OR ROOMMATE
> 8 DAUGHTER-IN-LAW
> 9 GRANDDAUGHTER
> 10 MOTHER-IN-LAW
> 11 GRANDMOTHER
> 12 BOARDER OR ROOMER
> 13 OTHER RELATIVE
> 14 OTHER NON-RELATIVE
> DK/REF

PROXYINT   PROXY INFORMATION
The next questions are about your health insurance coverage and the kinds and amounts of income that you receive.

(This information will help in planning health care services and finding ways to lower costs of care.)

PRESS "1" TO CONTINUE.

QP01   [IF QD54 > 1 AND RESPONDENT IS ONLY FAMILY MEMBER 18 OR OLDER AND ALL PERAGEYR NE DK/REF, SKIP TO QHI01]

[IF ROSTER HAS MORE THAN 1 ADULT FAMILY MEMBER LISTED AND ALL PERAGEYR NE DK/REF] {FILL ONLY ADULT FAMILY MEMBERS (PERAGEYR > 17) IN THIS QUESTION} I have listed as adult family members who live here: your [FAMILY RELATIONSHIP FILLS]. Do you think one of these people would be better able to give me the correct information about your health insurance coverage and the kinds of income you receive?

[IF ROSTER HAS ONLY 1 ADULT FAMILY MEMBER LISTED] {FILL ONLY ADULT FAMILY MEMBERS (PERAGEYR > 17) IN THIS QUESTION} Do you think your [FAMILY RELATIONSHIP FILL] would be better able to give me the correct information about your health insurance coverage and the kinds of income you receive?

[IF ROSTER HAS NO FAMILY MEMBER OTHER THAN THE RESPONDENT LISTED AS 18 OR OLDER, BUT THERE IS AT LEAST 1 DK OR REF ENTERED FOR ANY PERAGEYR OR THERE IS MORE THAN 1 ADULT LISTED AND AT LEAST 1 DK/REF ENTERED FOR ANY PERAGEYR.] Is there anyone else who lives here who is 18 or older who would be better able to give me the correct information about your health insurance coverage and the kinds of income you receive?

    1   YES
    2   NO [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]
    DK/REF [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]

QP02   [IF QP01=1 AND MORE THAN 1 OTHER PERSON IN ROSTER] (Who is the person you think can help us get the correct information for these questions?) ENTER RELATIONSHIP OF PERSON WHO CAN BETTER ANSWER THESE QUESTIONS.

[IF QP01 = 1 AND ONLY 1 OTHER PERSON IN ROSTER] INTERVIEWER: ENTER '1'.
[FILL 1-9 WITH AGES AND RELATIONSHIPS FROM THE FIRST NINE ADULT FAMILY MEMBERS OF THE HOUSEHOLD ROSTER, I.E., " father". FILL UNUSED LINES WITH "DO NOT USE" AND MAKE THEM INVALID.]

    1   [ROSTER FILL]
    2   [ROSTER FILL]
    3   [ROSTER FILL]
    4   [ROSTER FILL]
    5   [ROSTER FILL]
    6   [ROSTER FILL]
    7   [ROSTER FILL]
    8   [ROSTER FILL]
    9   [ROSTER FILL]
    10   OTHER ADULT/RELATIVE
    DK/REF

QP03   [IF QP02 NE DK/REF OR BLANK] (Is your [QP02 FILL] available right now?)

    1   YES
    2   NO [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]
    DK/REF [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]

QP04   [IF QP03=1] (Would you ask your [QP02 FILL] to join us to help with these last questions about health insurance and income?)

    1   YES
    2   NO [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]
    DK/REF [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]

HASJOIN   [IF QP04 = 1] HAS THE PERSON'S [QP02 FILL] JOINED R?

1   YES [ACTIVATE PROXYFILL AS "SAMPLE MEMBER/SAMPLE MEMBER'S" FOR
           REMAINING QUESTIONS]
2   NO [ACTIVATE PROXYFILL AS "YOU/YOUR" FOR REMAINING QUESTIONS]

TOPROXY   [IF HASJOIN = 1] WHEN [QP02 FILL] HAS JOINED YOU. The next questions are about [SAMPLE
MEMBER POSS**]** health insurance coverage and the kinds and amounts of income that [SAMPLE
MEMBER**]** and other people in your family receive.

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] WHEN [QP02 FILL] HAS JOINED YOU.
The next questions are about [SAMPLE MEMBER POSS**]** health insurance coverage and the kinds and
amounts of income that [SAMPLE MEMBER] and you receive.

(This information will help in planning health care services and finding ways to lower costs of care.)

PRESS [ENTER] TO CONTINUE.

QHI01   [IF QP03 = 2 OR DK/REF OR QP04 = 2 OR DK/REF OR HASJOIN = 2 OR DK/REF ADD THIS TEXT PRIOR
TO THE QUESTION: Since your [QP02 FILL] is not available, I'd like you to answer these next questions the
best you can.] Several government programs provide medical care or help pay medical bills.

Medi**care** is a health insurance program for persons aged 65 and older and for certain disabled persons.
[SAMPLE MEMBER A] covered by Medi**care**?

1   YES
2   NO
DK/REF

QHI01v   [IF QHI01 = 1 AND CURNTAGE < 65] You have indicated that [SAMPLE MEMBER B] covered by Medicare,
which is a health insurance program **for persons aged 65 and older** and for certain disabled persons**.** Is this
correct?

1   YES
2   NO
DK/REF

QHI02   Medic**aid** is a public assistance program that pays for medical care **for low income and disabled persons**. [IF
MEDIFILL NE NONE] The Medicaid program in [STATE FILL] is also called [MEDIFILL].

[SAMPLE MEMBER A] covered by Medicaid?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Medicaid refers to a medical assistance program that provides health care coverage to
low income and disabled persons. Most states refer to Medicaid as Medical Assistance.

QHI02v   [IF QHI02 = 1 AND CURNTAGE = 65 OR OLDER] You have indicated that [SAMPLE MEMBER B] covered
by Medicaid, which is a public assistance program that pays for medical care for **low income and disabled
persons.** Is this correct?

1   YES
2   NO
DK/REF

QHI02A   [IF CURNTAGE = 12-19] [SAMPLE MEMBER A] currently covered by [CHIPFILL]?

1   Yes
2   No

DK/REF

QHI03   [SAMPLE MEMBER A] currently covered by TRICARE, or CHAMPUS, CHAMPVA, the VA, or military health care?

These programs cover active duty and retired career military personnel and their dependents and survivors and also disabled veterans and their dependents and survivors.

1   YES
2   NO
DK/REF

QHI06   Private health insurance can be obtained through work, such as through an employer, union, or professional association, or by paying premiums directly to a health insurance company.

[SAMPLE MEMBER A] currently covered by private health insurance?

1   YES
2   NO
DK/REF

QHI07   [IF QHI06 = 1] Was [SAMPLE MEMBERPOSS] private health insurance obtained through work, such as through an employer, union, or professional association?

1   YES
2   NO
DK/REF

QHI08   [IF QHI06 = 1] Does [SAMPLE MEMBER POSS] private health insurance include coverage for treatment for any of the following conditions?

Alcohol abuse or alcoholism?

1   YES
2   NO
DK/REF

QHI09   [IF QHI06 = 1] Drug abuse?

1   YES
2   NO
DK/REF

QHI10   [IF QHI06 = 1] Mental or emotional problems?

1   YES
2   NO
DK/REF

QHI11   [IF (QHI01 = 2 OR QHI01v = 2) AND (QHI02 = 2 OR QHI02v = 2) AND QHI03 = 2 AND QHI06 = 2 AND (IF
              CURNTAGE = 12 - 19: QHI02a = 2)] [SAMPLE MEMBER A] currently covered by any kind of health
              insurance, that is, any policy or program that provides or pays for medical care?

                                    INTERVIEWER NOTE:
                                    If the respondent reports Indian Health Insurance, enter "1".

1   YES
2   NO
DK/REF

QHI13   [IF (QHI01 = 1 AND QHI01v NE 2) OR (QHI02 = 1 AND QHI02v NE 2) OR QHI02a = 1 OR QHI03=1 OR
              QH106 = 1 OR QHI11 = 1] During the past 12 months, was there any time when [SAMPLE MEMBER] did **not**
              have **any** kind of health insurance or coverage?

1   YES
2   NO
DK/REF

DEFINE WEREWAS:
         IF QD54 = 1 OR HASJOIN = BLANK OR 2 THEN WEREWAS = 'were you'
         ELSE WEREWAS = 'was SAMPLE MEMBER'

QHI14   [IF QHI13 = 1] During the past 12 months, about how many months were [FILL WEREWAS] **without any** kind
              of health insurance or coverage?

OF MONTHS: [RANGE: 1 - 12]
DK/REF

                                    INTERVIEWER NOTE:
                                    If the respondent reports less than one month, enter "1".

QHI15   [IF (QHI01 = 2 OR QHI01v = 2) AND (QHI02 = 2 OR QHI02v = 2) AND QHI03 = 2 AND QHI06 = 2 AND
              QHI11 = 2 AND (IF CURNTAGE = 12 - 19: QHI02a = 2)] About how long has it been since [SAMPLE
              MEMBER] last had **any** kind of health care coverage?

1   WITHIN THE PAST 6 MONTHS
2   MORE THAN 6 MONTHS AGO, BUT WITHIN THE PAST YEAR
3   MORE THAN 1 YEAR AGO, BUT WITHIN THE PAST 3 YEARS
4   MORE THAN 3 YEARS AGO
5   NEVER HAD COVERAGE
DK/REF

QHI17   [IF QHI15 = 1 - 4 OR DK/REF] HAND R SHOWCARD 15. Which of the reasons on this card is the **main** reason
              why [SAMPLE MEMBER] stopped being covered by health insurance?

1   PERSON IN FAMILY WITH HEALTH INSURANCE LOST JOB OR CHANGED EMPLOYERS
2   LOST MEDICAID OR MEDICAL ASSISTANCE COVERAGE BECAUSE OF NEW JOB OR
          INCREASE IN INCOME
3   LOST MEDICAID OR MEDICAL ASSISTANCE COVERAGE FOR SOME OTHER REASON
4   COST IS TOO HIGH/CAN'T AFFORD PREMIUMS

5	BECAME INELIGIBLE BECAUSE OF AGE OR LEAVING SCHOOL
6	EMPLOYER DOES NOT OFFER COVERAGE, OR NOT ELIGIBLE FOR COVERAGE
7	GOT DIVORCED OR SEPARATED FROM PERSON WITH INSURANCE
8	DEATH OF SPOUSE OR PARENT
9	INSURANCE COMPANY REFUSED COVERAGE
10	DON'T NEED IT
11	RECEIVED MEDICAID OR MEDICAL INSURANCE ONLY WHILE PREGNANT
12	SOME OTHER REASON

DK/REF

QHI18   [IF QHI15 = 5] HAND R SHOWCARD 16. Which of the reasons on this card describe why [SAMPLE MEMBER] never had health insurance coverage?

TO SELECT MORE THAN ONE CATEGORY, PRESS THE SPACE BAR BETWEEN EACH CATEGORY YOU SELECT.

1	COST IS TOO HIGH/CAN'T AFFORD PREMIUMS
2	EMPLOYER DOES NOT OFFER COVERAGE, OR NOT ELIGIBLE FOR COVERAGE
3	INSURANCE COMPANY REFUSED COVERAGE
4	DON'T NEED IT
5	SOME OTHER REASON

DK/REF

[SPLIT RANDOM SAMPLE: SAMPLE A WILL RECEIVE THE ORIGINAL INCOME ITEMS, SAMPLE B WILL RECEIVE NEW ITEMS]

INTROINC

[IF NO FAMILY MEMBERS IN ROSTER] These next questions are about the kinds and amounts of income that you receive.

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] These next questions are about the kinds and amounts of income that you and your [FAMILY RELATIONSHIP FILL] receive.

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] These next questions are about the kinds and amounts of income that [SAMPLE MEMBER] and you receive.

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN NE 1] These next questions are about the kinds and amounts of income that you, your [FAMILY RELATIONSHIP FILLS] **living here** receive.

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN=1] These next questions are about the kinds and amounts of income that [SAMPLE MEMBER] and [IF QD01=5 FILL his, QD01 = 9 FILL her] family – that is, your [SAMPLE MEMBERPOSS] [FAMILY RELATIONSHIP FILLS] **living here** – receive.

These questions refer to the calendar year [CURRENT YEAR - 1] rather than to the past 12 months that were referred to in some earlier questions. The calendar year [CURRENT YEAR - 1] would be from January 1st, [CURRENT YEAR - 1], through December 31st, [CURRENT YEAR - 1].

PRESS [ENTER] TO CONTINUE

QI01   [IF SAMPLE A] In [CURRENT YEAR - 1], did [SAMPLE MEMBER] receive Social Security or Railroad Retirement payments?

(Social Security checks are either automatically deposited in the bank or mailed to arrive on about the 3rd of every month. If mailed, they are sent in a gold envelope.)

| 1 | YES |
| 2 | NO |

DK/REF

QI02 [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI01 NE 1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1]
In [CURRENT YEAR - 1], did **you** receive Social Security or Railroad Retirement payments?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1]
In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive Social Security or Railroad
Retirement payments?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER]
In [CURRENT YEAR - 1], did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive Social
Security or Railroad Retirement payments?

1 YES
2 NO
DK/REF

INTERVIEWER NOTE:
Social Security or Railroad Retirement payments are paid by the U.S. Government to
persons who are retired, severely disabled, or are dependents or survivors of workers.

QI05N [IF SAMPLE B]

[IF NO FAMILY MEMBERS IN ROSTER] In [CURRENT YEAR - 1], did you receive income from wages or
pay earned while working at a job or business?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR – 1], did you or your
[FAMILY RELATIONSHIP FILL] receive income from wages or pay earned while working at a job or business?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] In [CURRENT YEAR – 1], did [SAMPLE
MEMBER] or you receive income from wages or pay earned while working at a job or business?

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER]
or any of these same family members receive income from wages or pay earned while working at a job or
business?

1 YES
2 NO
DK/REF

QI03N [IF SAMPLE B]

[IF NO FAMILY MEMBERS IN ROSTER] In [CURRENT YEAR - 1], did you receive Supplemental Security
Income or SSI?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR – 1], did you or your
[FAMILY RELATIONSHIP FILL] receive Supplemental Security Income or SSI?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] In [CURRENT YEAR – 1], did [SAMPLE
MEMBER] or you receive Supplemental Security Income or SSI?

[IF AT LEAST TWO FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER]
or any of these same family members receive Supplemental Security Income or SSI?

[ALL SAMPLE B] (Federal SSI checks are either automatically deposited in the bank or mailed to arrive on the first of every month. If mailed, they are sent in a blue envelope.)

1   YES
2   NO
DK/REF

> INTERVIEWER NOTE:
> Supplemental Security Income or SSI is a program administered by the Social Security Administration that makes assistance payments to low income, aged, blind, and disabled persons. Some states also have their own SSI programs. Include these also if reported.

QI03   [IF SAMPLE A] In [CURRENT YEAR - 1], did [SAMPLE MEMBER] receive Supplemental Security Income or SSI?

(Federal SSI checks are either automatically deposited in the bank or mailed to arrive on the first of every month. If mailed, they are sent in a blue envelope.)

1   YES
2   NO
DK/REF

> INTERVIEWER NOTE:
> Supplemental Security Income or SSI is a program administered by the Social Security Administration that makes assistance payments to low income, aged, blind, and disabled persons. Some states also have their own SSI programs. Include these also if reported.

QI04A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI03 NE 1 AND QI01 NE 1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** receive Supplemental Security Income or SSI?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive Supplemental Security Income or SSI?

[IF MORE THAN ONE FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did any of these same [# OF FAMILY MEMBERS IN ROSTER] family members receive Supplemental Security Income or SSI?

1   YES
2   NO
DK/REF

> INTERVIEWER NOTE:
> Supplemental Security Income or SSI is a program administered by the Social Security Administration that makes assistance payments to low income, aged, blind, and disabled persons. Some states also have their own SSI programs. Include these also if reported.

QI04B   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI03 NE 1 AND QI01=1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1]
In [CURRENT YEAR - 1], did **you** receive Supplemental Security Income or SSI?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1]
In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive Supplemental Security Income or SSI?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER]
In [CURRENT YEAR - 1], did [SAMPLE MEMBERPOSS] [FAMILY RELATIONSHIP FILLS] receive Supplemental Security Income or SSI?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Supplemental Security Income or SSI is a program administered by the Social Security Administration that makes assistance payments to low income, aged, blind, and disabled persons. Some states also have their own SSI programs. Include these also if reported.

QI05   [IF SAMPLE A]
In [CURRENT YEAR - 1], did [SAMPLE MEMBER] receive income from wages or pay earned while working at a job or business?

1   YES
2   NO
DK/REF

QI06A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI05 NE 1 AND (QI01 NE 1 OR QI03 NE 1)]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** receive income from wages or pay earned while working at a job or business?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive income from wages or pay earned while working at a job or business?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did any of these same [# OF FAMILY MEMBERS IN ROSTER] family members receive income from wages or pay earned while working at a job or business?

1   YES
2   NO
DK/REF

QI06B   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI05 NE 1]
[IF QI01 = 1 AND QI03 = 1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** receive income from wages or pay earned while working at a job or business?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive income from wages or pay earned while working at a job or business?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive income from wages or pay earned while working at a job or business?

1   YES
2   NO
DK/REF

QI07A   [IF SAMPLE A]

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR – 1], did you or your [FAMILY RELATIONSHIP FILL] receive food stamps?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR – 1], did [SAMPLE MEMBER] or you receive food stamps?

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did [SAMPLE MEMBER] or anyone in [SAMPLE MEMBER POSS] family living here receive food stamps?

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR – 1], did [SAMPLE MEMBER] or anyone in your family living here receive food stamps?

1   YES
2   NO

DK/REF

QI07B   [IF SAMPLE A AND NO OTHER FAMILY IN HOUSEHOLD] In [CURRENT YEAR - 1], did **you** receive food stamps?

1   YES
2   NO
DK/REF

QI07N   [IF SAMPLE B]

[IF NO FAMILY MEMBERS IN ROSTER] In [CURRENT YEAR - 1], did you receive food stamps?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did you or your [FAMILY RELATIONSHIP FILL] receive food stamps?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] In [CURRENT YEAR - 1], did [SAMPLE MEMBER] or you receive food stamps?

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER] or any of these same family members receive food stamps?

1   YES
2   NO
DK/REF

QI08N   [IF SAMPLE B]

[IF NO FAMILY MEMBERS IN ROSTER] At any time during [CURRENT YEAR - 1], even for one month, did you receive any cash assistance from a state or county welfare program such as [TANFFILL]?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] At any time during [CURRENT YEAR - 1], even for one month, did you or your [FAMILY RELATIONSHIP FILL] receive any cash assistance from a state or county welfare program such as [TANFFILL]?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] At any time during [CURRENT YEAR - 1], even for one month, did [SAMPLE MEMBER] or you receive any cash assistance from a state or county welfare program such as [TANFFILL]?

[IF AT LEAST TWO FAMILY MEMBER IN ROSTER] At any time during [CURRENT YEAR - 1], even for one month, did [SAMPLE MEMBER] or any of these same family members receive any cash assistance from a state or county welfare program such as [TANFFILL]?

1   YES

2   NO
DK/REF

        INTERVIEWER NOTE:
        If the respondent volunteers receiving welfare payments from a program other than the
        one mentioned, or from another state, record a "yes" response. Do not probe for this
        information.

QI08   [IF SAMPLE A] At any time during [CURRENT YEAR - 1], even for one month, did [SAMPLE MEMBER]
        receive any cash assistance from a state or county welfare program such as [TANFFILL]?

1   YES
2   NO
DK/REF

        INTERVIEWER NOTE:
        If the respondent volunteers receiving welfare payments from a program other than the
        one mentioned, or from another state, record a "yes" response. Do not probe for this
        information.

QI09A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI08 NE 1 AND (QI01 NE 1
        OR QI03 NE 1 OR QI05 NE 1)]

        [IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] At any time during [CURRENT YEAR - 1],
        even for one month, did **you** receive any cash assistance from a state or county welfare program such as
        [TANFFILL]?

        [IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] At any time during [CURRENT YEAR -
        1], even for one month, did your [FAMILY RELATIONSHIP FILL] receive any cash assistance from a state or
        county welfare program such as [TANFFILL]?

        [IF MORE THAN 1 FAMILY MEMBER IN ROSTER] At any time during [CURRENT YEAR - 1], even for one
        month, did any of these same [# OF FAMILY MEMBERS IN ROSTER] family members receive any cash
        assistance from a state or county welfare program such as [TANFFILL]?

1   YES
2   NO
DK/REF

        INTERVIEWER NOTE:
        If the respondent volunteers receiving welfare payments from a program other than the
        one mentioned, or from another state, record a "yes" response. Do not probe for this
        information.

QI09B   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI08 NE 1 AND QI01=1 AND
        QI03=1 AND QI05=1]

        [IF ONLY 1 FAMILY MEMBER IN ROSTER
        AND HASJOIN = 1] At any time during [CURRENT YEAR - 1], even for one month, did **you** receive any cash
        assistance from a state or county welfare program such as [TANFFILL]?

        [IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] At any time during [CURRENT YEAR -
        1], even for one month, did your [FAMILY RELATIONSHIP FILLS] receive any cash assistance from a state or
        county welfare program such as [TANFFILL]?

        [IF MORE THAN 1 FAMILY MEMBER IN ROSTER] At any time during [CURRENT YEAR - 1], even for one
        month, did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive any cash assistance from a
        state or county welfare program such as [TANFFILL]?

1   YES
2   NO
DK/REF

QI10   [IF SAMPLE A] In [CURRENT YEAR - 1], because of low income, did [SAMPLE MEMBER] receive any **other** kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Only non-monetary types of assistance should be included for this question.

QI10N   [IF SAMPLE B]

[IF NO FAMILY MEMBERS IN ROSTER] In [CURRENT YEAR - 1], because of low income, did you receive any **other** kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], because of low income, did you or your [FAMILY RELATIONSHIP FILL] receive any **other** kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1]] In [CURRENT YEAR - 1], because of low income, did [SAMLE MEMBER] or you receive any **other** kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF AT LEAST TWO FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], because of low income, did [SAMPLE MEMBER] or any of these same family members receive any **other** kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Only non-monetary types of assistance should be included for this question.

QI11A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI10 NE 1 AND (QI01 NE 1 OR QI03 NE 1 OR QI05 NE 1 OR QI08 NE 1)]
[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], because of low income, did **you** receive any other kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], because of low income, did your [FAMILY RELATIONSHIP FILL] receive any other kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], because of low income, did any of these same [# OF FAMILY MEMBERS IN ROSTER] family members receive any other kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Only non-monetary types of assistance should be included for this question.

QI11B [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI10 NE 1 AND QI01=1 AND QI03=1 AND QI05=1 AND QI08=1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], because of low income, did **you** receive any other kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], because of low income, did your [FAMILY RELATIONSHIP FILL] receive any other kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], because of low income, did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive any other kind of welfare or public assistance, such as help with getting a job, placement in education or job training programs, or help with transportation, child care, or housing?

1 YES
2 NO
DK/REF

INTERVIEWER NOTE:
Only non-monetary types of assistance should be included for this question.

QI12AN [IF SAMPLE B] AND (QI08N=1 OR QI10N=1) AND QI07N=2]

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] For how many months in [CURRENT YEAR - 1] did you or your [FAMILY RELATIONSHIP FILL] receive **any** type of welfare or public assistance?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] For how many months in [CURRENT YEAR - 1] did [SAMPLE MEMBER] or you receive **any** type of welfare or public assistance?

[IF AT LEAST TWO FAMILY MEMBER IN ROSTER] For how many months in [CURRENT YEAR - 1] did [SAMPLE MEMBER] or any **other** family member living here receive **any** type of welfare or public assistance?

OF MONTHS RECEIVED ASSISTANCE: _____ [RANGE: 1 - 12]
DK/REF

QI12BN [IF SAMPLE B AND (QI08N=1 OR QI10N=1) AND QI07N=(1, DK OR REF)]

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] For how many months in [CURRENT YEAR - 1] did you or your [FAMILY RELATIONSHIP FILL] receive **any** type of welfare or public assistance, **not** including food stamps?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] For how many months in [CURRENT YEAR - 1] did [SAMPLE MEMBER] or you receive **any** type of welfare or public assistance, **not** including food stamps?

[IF AT LEAST TWO FAMILY MEMBER IN ROSTER] For how many months in [CURRENT YEAR - 1] did [SAMPLE MEMBER] or any **other** family member living here receive **any** type of welfare or public assistance, **not** including food stamps?

OF MONTHS RECEIVED ASSISTANCE: _____ [RANGE: 1 - 12]
DK/REF

QI12A [IF SAMPLE A AND QI08=1 OR QI09A=1 OR QI09B=1 OR QI10=1 OR QI11a =1 OR QI11b = 1 AND (QI07a=2 OR QI07b=2)] For how many months in [CURRENT YEAR - 1] did [SAMPLE MEMBER] or [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive **any** type of welfare or public assistance?

OF MONTHS RECEIVED ASSISTANCE: _____ [RANGE: 1 - 12]
DK/REF

QI12B   [IF SAMPLE A AND QI08=1 OR QI09A=1 OR QI09B=1 OR QI10=1 OR QI11a =1 OR QI11b = 1 AND (QI07A = 1, DK/REF OR QI07B=1, DK/REF)]. For how many months in [CURRENT YEAR - 1] did [SAMPLE MEMBER] or [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS]receive **any** type of welfare or public assistance, **not** including food stamps?

OF MONTHS RECEIVED ASSISTANCE: _____ [RANGE: 1 - 12]
DK/REF

QI13   [IF SAMPLE A] In [CURRENT YEAR - 1], did [SAMPLE MEMBER] have money in any kind of savings or other bank account that earned interest or did [SAMPLE MEMBER] receive dividend income from stocks or mutual funds or income from rental property, royalties, estates, or trusts?

(Include money market funds, treasury notes, IRAs or certificates of deposit, interest earning checking accounts, bonds, or any other investments that earn interest.)

1   YES
2   NO
DK/REF

                        INTERVIEWER NOTE:
                        Dividends: Some people make investments by purchasing shares of stock in corporations. The corporation then distributes some of the profits to shareholders in the form of dividends.

                        Mutual funds consist of investors who pool their money to purchase shares of stock.

                        Rental Income: Income received from the rental of land, buildings, real estate, or from boarders after rental expenses are deducted.

                        Royalties: The total cash from royalties less expenses. This income could come from mineral rights, patents, copyrights, or trademarks.

                        Estates or Trusts: Include periodic payments from an estate or trust. Exclude lump-sum, one-time payments from these sources.

QI14A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI13 NE 1 AND (QI01 NE 1 OR QI03 NE 1 OR QI05 NE 1 OR QI08 NE 1 OR QI10 NE 1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** have money in any kind of savings or other bank account that earned interest or did you receive dividend income from stocks or mutual fund or income from rental property, royalties, estates, or trusts?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] have money in any kind of savings or other bank account that earned interest or did he/she receive dividend income from stocks or mutual fund or income from rental property, royalties, estates, or trusts?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did any of these same [# OF FAMILY MEMBERS IN ROSTER] family members have money in any kind of savings or other bank account that earned interest or did he/she receive dividend income from stocks or mutual fund or income from rental property, royalties, estates, or trusts?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Dividends: Some people make investments by purchasing shares of stock in corporations. The corporation then distributes some of the profits to shareholders in the form of dividends.

Mutual funds consist of investors who pool their money to purchase shares of stock.

Rental Income: Income received from the rental of land, buildings, real estate, or from boarders after rental expenses are deducted.

Royalties: The total cash from royalties less expenses. This income could come from mineral rights, patents, copyrights, or trademarks.

Estates or Trusts: Include periodic payments from an estate or trust. Exclude lump-sum, one-time payments from these sources.

QI14B   [IF SAMPLE A AND AT LEAST 1 FAMILY MEMBER IN ROSTER AND QI13 NE 1 AND QI01=1 AND QI03=1 AND QI05=1 AND QI08=1 AND QI10=1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** have money in any kind of savings or other bank account that earned interest or did he/she receive dividend income from stocks or mutual fund or income from rental property, royalties, estates, or trusts?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] have money in any kind of savings or other bank account that earned interest or did he/she receive dividend income from stocks or mutual fund or income from rental property, royalties, estates, or trusts?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] have money in any kind of savings or other bank account that earned interest or did they receive dividend income from stocks or mutual fund or income from rental property, royalties, estates, or trusts?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Dividends: Some people make investments by purchasing shares of stock in corporations. The corporation then distributes some of the profits to shareholders in the form of dividends.

Mutual funds consist of investors who pool their money to purchase shares of stock.

Rental Income: Income received from the rental of land, buildings, real estate, or from boarders after rental expenses are deducted.

Royalties: The total cash from royalties less expenses. This income could come from mineral rights, patents, copyrights, or trademarks.

Estates or Trusts: Include periodic payments from an estate or trust. Exclude lump-sum, one-time payments from these sources.

QI15   [IF SAMPLE A]
Child support is money paid by one parent to the other parent for the support of their child. In [CURRENT YEAR - 1], did [SAMPLE MEMBER] receive any child support payments for a child [SAMPLE MEMBER] raising?

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
In some cases, child support may be paid through a welfare agency or a court. We are only interested in whether the respondent received child support payments. We are not collecting information about any child support payments the respondent pays out to other individuals.

QI16A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI15 NE 1 AND (QI01 NE 1 OR QI03 NE 1 OR QI05 NE 1 OR QI08 NE1 OR QI10 NE 1 OR QI13 NE 1)]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1]In [CURRENT YEAR - 1], did **you** receive any child support payments for a child you are raising?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1]In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive any child support payments for a child (he/she) is raising?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did any of these same [# OF FAMILY MEMBERS IN ROSTER] family receive any child support payments for a child they are raising?

1   YES
2   NO
DK/REF

                            INTERVIEWER NOTE:
                            In some cases, child support may be paid through a welfare agency or a court. We are
                            only interested in whether the respondent received child support payments. We are not
                            collecting information about any child support payments the respondent pays out to other
                            individuals.

QI16B   [IF SAMPLE A AND AT LEAST 1 OTHER FAMILY MEMBER IN ROSTER AND QI15 NE 1 AND QI01=1 AND QI03=1 AND QI05=1 AND QI08=1 AND QI10=1 AND QI13=1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did you receive any child support payments for a child you are raising?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive any child support payments for a child (he/she) is raising?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive any child support payments for a child they are raising?

1   YES
2   NO
DK/REF

                            INTERVIEWER NOTE:
                            In some cases, child support may be paid through a welfare agency or a court. We are
                            only interested in whether the respondent received child support payments. We are not
                            collecting information about any child support payments the respondent pays out to other
                            individuals.

QI17   [IF SAMPLE A]
In [CURRENT YEAR - 1] did [SAMPLE MEMBER] receive income from **any other** sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social Security or Railroad Retirement?

Do not include lump sum payments, such as money from an inheritance or the sale of a home.

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Alimony: Money received periodically from a former spouse following a divorce or separation.
Do not include WIC, free/reduced school lunches, or financial aid for college expenses.

QI18A   [IF SAMPLE A AND AT LEAST ONE FAMILY MEMBER IN ROSTER AND QI17 NE 1 AND (QI01 NE 1 OR QI03 NE 1 OR QI05 NE 1 OR QI08 NE1 OR QI10 NE 1 OR QI13 NE 1 OR QI15 NE 1)]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** receive income from **any other** sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social Security or Railroad Retirement?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive income from **any other** sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social Security or Railroad Retirement?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER]   In [CURRENT YEAR - 1], did any of these same [# OF FAMILY MEMBERS IN ROSTER] family members receive income from any other sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social security or Railroad Retirement?

Do not include lump sum payments, such as money from an inheritance or the sale of a home.

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Alimony: Money received periodically from a former spouse following a divorce or separation.
Do not include WIC, free/reduced school lunches, or financial aid for college expenses.

QI18B   [IF SAMPLE A AND AT LEAST 1 FAMILY MEMBER IN ROSTER AND QI17 NE 1 AND QI01=1 AND QI03=1 AND QI05=1 AND QI08=1 AND QI10=1 AND QI13=1 AND QI15=1]

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN = 1] In [CURRENT YEAR - 1], did **you** receive income from **any other** sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social Security or Railroad Retirement?

[IF ONLY 1 FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] In [CURRENT YEAR - 1], did your [FAMILY RELATIONSHIP FILL] receive income from **any other** sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social Security or Railroad Retirement?

[IF MORE THAN 1 FAMILY MEMBER IN ROSTER] In [CURRENT YEAR - 1], did [SAMPLE MEMBER POSS] [FAMILY RELATIONSHIP FILLS] receive income from **any other** sources, such as Veterans Administration payments, worker's or unemployment compensation, alimony, other disability, retirement or survivor pension other than Social Security or Railroad Retirement?

Do not include lump sum payments, such as money from an inheritance or the sale of a home.

1   YES
2   NO
DK/REF

INTERVIEWER NOTE:
Alimony: Money received periodically from a former spouse following a divorce or separation.
Do not include WIC, free/reduced school lunches, or financial aid for college expenses.

INTROTIN   [IF SAMPLE A] The next two questions are about [SAMPLE MEMBERPOSS] **total personal** income from all sources **during [CURRENT YEAR - 1]** before taxes and other deductions.

[IF INCFILLP NE BLANK] Please include money from[INCFILLP1, INCFILLP2, INCFILLP3, and INCFILLP UNTIL ALL INCFILLPS INSERTED] that we just talked about.

PRESS [ENTER] TO CONTINUE.

QI20   [IF SAMPLE A] **Before taxes and other deductions**, was [SAMPLE MEMBER POSS] **total personal** income during [CURRENT YEAR - 1] more or less than 20,000 dollars?

(Income data are important in analyzing the health information we collect. For example, the information helps us to learn whether persons in one income group use certain types of medical care services or have conditions more or less often than those in another group.)

1       $20,000 OR MORE
2   LESS THAN $20,000
DK/REF

INTERVIEWER NOTE:
Do not include money received from loans or tax refunds.

INTRTINN   [IF SAMPLE B] HAND R SHOWCARD 16a. Here is a list of some other sources of income. When you answer the next questions, please consider these as well as the other sources that we just talked about.

INTERVIEWER: PLEASE READ THIS ALOUD TO THE RESPONDENT AS HE/SHE FOLLOWS ALONG ON THE SHOWCARD.

Social Security payments
Railroad Retirement payments
Veteran's Administration payments
Other **disability, retirement** or **survivor pension**
Unemployment or **worker's compensation**
Interest income
Dividends from stocks or mutual funds
Income from **rental properties, royalties, estates or trusts**
Alimony
Child support

PRESS [ENTER] TO CONTINUE.

QI20N   [IF SAMPLE B]

[IF NO FAMILY MEMBERS IN ROSTER] **Before taxes and other deductions**, was your **total personal** income **from all sources** during [CURRENT YEAR - 1] more or less than 20,000 dollars?

[IF AT LEAST ONE FAMILY MEMBER IN ROSTER] First I am going to ask about [SAMPLE MEMBER POSS] own **personal** income, and then I will ask about your family income. **Before taxes and other deductions**, was [SAMPLE MEMBER POSS] **total personal** income **from all sources** during [CURRENT YEAR – 1] more or less than 20,000 dollars?

(Income data are important in analyzing the health information we collect. For example, the information helps us to learn whether persons in one income group use certain types of medical care services or have conditions more or less often than those in another group.)

1       $20,000 OR MORE
2   LESS THAN $20,000
DK/REF

INTERVIEWER NOTE:
Do not include money received from loans or tax refunds.

HAND18a   [IF QI20=2 OR QI20N = 2] HAND R SHOWCARD 17.

                  PRESS [ENTER] TO CONTINUE.

HAND18b   [IF QI20=1 OR QI20N = 1] HAND R SHOWCARD 18.

                  PRESS [ENTER] TO CONTINUE.

INTROTPI   [IF QI20 NE DK/REF OR QI20N NE DK/REF] Of these income groups, which category best represents [SAMPLE MEMBER POSS] **total personal** income during [CURRENT YEAR - 1]?

                  [IF SAMPLE A: (Include the [INCFILLP1, INCFILLP2, INCFILLP3, and INCFILLP UNTIL ALL INCFILLPS INSERTED] that we just talked about.)]

                  (Income data are important in analyzing the health information we collect. For example, the information helps us to learn whether persons in one income group use certain types of medical care services or have conditions more or less often than those in another group.)

                  PRESS [ENTER] TO CONTINUE.

QI21A   [IF QI20=2 OR QI20N = 2] ENTER NUMBER THAT BEST REPRESENTS (**R'S/SAMPLE MEMBER'S TOTAL PERSONAL INCOME** DURING [CURRENT YEAR - 1].

        1   LESS THAN $1,000 (INCLUDING LOSS)
        2        $1,000 - $1,999
        3        $2,000 - $2,999
        4        $3,000 - $3,999
        5        $4,000 - $4,999
        6        $5,000 - $5,999
        7        $6,000 - $6,999
        8        $7,000 - $7,999
        9        $8,000 - $8,999
        10      $9,000 - $9,999
        11      $10,000 - $10,999
        12      $11,000 - $11,999
        13      $12,000 - $12,999
        14      $13,000 - $13,999
        15      $14,000 - $14,999
        16      $15,000 - $15,999
        17      $16,000 - $16,999
        18      $17,000 - $17,999
        19      $18,000 - $18,999
        20      $19,000 - $19,999
        DK/REF

QI21B   [IF QI20=1 OR QI20N = 1] ENTER NUMBER THAT BEST REPRESENTS (**R'S/SAMPLE MEMBER'S**) **TOTAL PERSONAL INCOME** DURING [CURRENT YEAR - 1].

        21      $20,000 - $24,999
        22      $25,000 - $29,999
        23      $30,000 - $34,999
        24      $35,000 - $39,999
        25      $40,000 - $44,999
        26      $45,000 - $49,999
        27      $50,000 - $74,999
        28      $75,000 - $99,999
        29      $100,000 OR MORE
        DK/REF

INTROFI1   [IF MORE THAN ONE FAMILY MEMBER IN ROSTER]

                  The next two questions are about the **total family** income from all sources **during [CURRENT YEAR - 1]** before taxes and other deductions.

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] We would like you to combine everyone's income – that is, yours and that of your [FAMILY RELATIONSHIP FILL].

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] We would like you to combine everyone's income – that is, [SAMPLE MEMBER POSS] and yours.

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN NE 1] We would like you to combine everyone's income – that is, yours and that of your [FAMILY RELATIONSHIP FILLS].

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN = 1] We would like you to combine everyone's income — that is, [SAMPLE MEMBER POSS] and that of SAMPLE MEMBERPOSS][FAMILY RELATIONSHIP FILLS] living here.

[IF INCFILLF NE BLANK] Please include the [INCFILLF1, INCFILLF2, INCFILLF3, and INCFILLF UNTIL ALL INCFILLPF INSERTED] that we just talked about.

[IF SAMPLE B] Please include all of the sources of income that we just talked about.

QI22   [IF MORE THAN ONE FAMILY MEMBER IN ROSTER AND (QI20 NE 1 OR QI20N NE 1)] **Before taxes and other deductions,** was the **total combined family** income during [CURRENT YEAR - 1] more or less than 20,000 dollars?

(Income data are important in analyzing the health information we collect. For example, the information helps us to learn whether persons in one income group use certain types of medical care services or have conditions more or less often than those in another group.)

1          $20,000 OR MORE
2   LESS THAN $20,000
DK/REF

                         INTERVIEWER NOTE:
                         Do not include money received from loans or tax refunds.

HAND19a   [IF QI22=2] HAND R SHOWCARD 17.

               PRESS [ENTER] TO CONTINUE.

HAND19b   [IF QI22=1 OR QI20=1 OR QI20N = 1] HAND R SHOWCARD 18.

               PRESS [ENTER] TO CONTINUE.

INTROFI2   [IF QI22 = 2]
               [IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR – 1] – that is, yours and that of your [FAMILY RELATIONSHIP FILL].

               [IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR – 1] – that is, your [SAMPLE MEMBER POSS] and yours.

               [IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN NE 1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR – 1] – that is, yours and that of your [RELATIONSHIP FILLS].

               [IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN =1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR - 1]-- that is, [SAMPLE MEMBER POSS] and that of [SAMPLE MEMBER POSS][FAMILY RELATIONSHIP FILLS] living here?

               [IF SAMPLE A: (Include the [INCFILLF1, INCFILLF2, INCFILLF3, and INCFILLF UNTIL ALL INCFILLFS INSERTED] that we just talked about.)]

(Income data are important in analyzing the health information we collect. For example, the information helps us to learn whether persons in one income group use certain types of medical care services or have conditions more or less often than those in another group.)

PRESS [ENTER] TO CONTINUE.

INTROFI3   [IF QI22 = 1 OR QI20=1 OR QI20N = 1]

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN NE 1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR – 1] – that is, yours and that of your [FAMILY RELATIONSHIP FILL]?

[IF ONE FAMILY MEMBER IN ROSTER AND HASJOIN=1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR – 1] – that is, [SAMPLE MEMBER POSS] and yours?

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN NE 1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR – 1] – that is, yours and that of your [FAMILY RELATIONSHIP FILLS]?

[IF AT LEAST TWO FAMILY MEMBERS IN ROSTER AND HASJOIN =1] Of these income groups, which category best represents your **total combined family** income during [CURRENT YEAR - 1]-- that is, [SAMPLE MEMBERPOSS] and that of [SAMPLE MEMBERPOSS][FAMILY RELATIONSHIP FILLS] living here?

[IF SAMPLE A: (Include the [INCFILLF1, INCFILLF2, INCFILLF3, and INCFILLF UNTIL ALL INCFILLFS INSERTED] that we just talked about.)]

(Income data are important in analyzing the health information we collect. For example, the information helps us to learn whether persons in one income group use certain types of medical care services or have conditions more or less often than those in another group.)

PRESS [ENTER] TO CONTINUE.

QI23A   [IF QI22=2] ENTER NUMBER THAT BEST REPRESENTS **THE TOTAL COMBINED FAMILY** INCOME IN [CURRENT YEAR - 1].

1	LESS THAN $1,000 (INCLUDING LOSS)
2	$1,000 - $1,999
3	$2,000 - $2,999
4	$3,000 - $3,999
5	$4,000 - $4,999
6	$5,000 - $5,999
7	$6,000 - $6,999
8	$7,000 - $7,999
9	$8,000 - $8,999
10	$9,000 - $9,999
11	$10,000 - $10,999
12	$11,000 - $11,999
13	$12,000 - $12,999
14	$13,000 - $13,999
15	$14,000 - $14,999
16	$15,000 - $15,999
17	$16,000 - $16,999
18	$17,000 - $17,999
19	$18,000 - $18,999
20	$19,000 - $19,999
DK/REF	

QI23B   [IF QI22=1 OR QI20=1 OR QI20N = 1] ENTER NUMBER THAT BEST REPRESENTS THE **TOTAL COMBINED FAMILY INCOME** IN [CURRENT YEAR - 1].

21	$20,000 - $24,999
22	$25,000 - $29,999

23        $30,000 - $34,999
24        $35,000 - $39,999
25        $40,000 - $44,999
26        $45,000 - $49,999
27        $50,000 - $74,999
28        $75,000 - $99,999
29        $100,000 OR MORE
DK/REF

QI24   The last question has to do with telephones in your household. How many different telephone numbers do you have in this household? Please don't include cellular phones in your answer. Also, don't count business numbers or extensions with the same number.

> INTERVIEWER NOTE:
> Do not include phone lines that are used only for fax machines and/or Internet access.

OF TELEPHONE NUMBERS: _____ [RANGE: 0 - 20]
DK/REF

RRETURN   [IF T2 INTERVIEW] INTERVIEWER: IF A PROXY HAS JOINED YOU TO ANSWER THE BACK-END CAPI QUESTIONS, PLEASE RETURN TO THE SAMPLE PERSON FOR THE REST OF THE INTERVIEW]

PRESS [ENTER] TO CONTINUE.

FOLLWINT   [IF THIS IS A T2 RELIABILITY CASE] As part of our quality control process, we would like to ask just a few questions about your thoughts on the two interviews you completed for us. You will answer these questions on the computer, using the headphones.

Please put on your headphones. When you are ready, let me know.

MOVE COMPUTER SO RESPONDENT CAN USE IT. ONCE RESPONDENT HAS HEADPHONES ON, PRESS "1" AND [ENTER] TO CONTINUE.

FOLLW01   [IF THIS IS A T2 RELIABILITY CASE] During the time between the first and second interviews, did you think about your use or nonuse of tobacco, alcohol, and other drugs more than usual, about the same as usual, or less than usual?

1   More than usual
2   About the same as usual
3   Less than usual
DK/REF

FOLLW02   [IF THIS IS A T2 RELIABILITY CASE] How many of the questions in this interview do you think were the **same** as the questions in the first interview?

1   All of them
2   Most of them
3   Some of them
4   None of them
DK/REF

FOLLW03   [IF THIS IS A T2 RELIABILITY CASE] Please think about the questions on your use or nonuse of tobacco. How many of your answers to the tobacco questions do you remember from the **first** interview?

1   All of them
2   Most of them
3   Some of them
4   None of them
DK/REF

FOLLW04   [IF FOLLW03 = 1-3] How many of your answers to the tobacco questions in the second interview were the same as your answers to the tobacco questions in the first interview?

1   All of your answers were the same
2   Most of your answers were the same
3   Some of your answers were the same
4   None of your answers were the same
DK/REF

FOLLW05   [IF THIS IS A T2 RELIABILITY CASE] Please think about the questions on your use or nonuse of alcohol. How many of your answers to the alcohol questions do you remember from the **first** interview?

1   All of them
2   Most of them
3   Some of them
4   None of them
DK/REF

FOLLW06   [IF FOLLW05 = 1-3] How many of your answers to the alcohol questions in the second interview were the same as your answers to the alcohol questions in the first interview?

1   All of your answers were the same
2   Most of your answers were the same
3   Some of your answers were the same
4   None of your answers were the same
DK/REF

FOLLW07   [IF THIS IS A T2 RELIABILITY CASE] Please think about the questions on your use or nonuse of marijuana. How many of your answers to the marijuana questions do you remember from the **first** interview?

1   All of them
2   Most of them
3   Some of them
4   None of them
DK/REF

FOLLW08   [IF FOLLW07 = 1-3] How many of your answers to the marijuana questions in the second interview were the same as your answers to the marijuana questions in the first interview?

1   All of your answers were the same
2   Most of your answers were the same
3   Some of your answers were the same
4   None of your answers were the same
DK/REF

FOLLW09   [IF THIS IS A T2 RELIABILITY CASE] Now think about all of the questions in both interviews. Overall, would you say that your answers were more accurate in the first interview, more accurate in the second interview, or about as accurate each time?

1   My answers were more accurate in the first interview
2   My answers were more accurate in the second interview
3   My answers were just as accurate in each interview
DK/REF

FOLLWEXT [IF THIS IS A T2 RELIABILITY CASE] Thank you for your help with these questions. Please tell your interviewer that you are finished.

INTERVIEWER: ENTER THE 3-LETTER CODE TO CONTINUE.

QCID   ENTER THE QC ID FROM THE QUALITY CONTROL FORM FOR THIS INTERVIEW. THE QC ID IS LOCATED IN THE UPPER RIGHT HAND CORNER OF THE QUALITY CONTROL FORM. THE HYPHEN MUST BE INCLUDED.

CASEID   ENTER THE CASE ID FOR THIS INTERVIEW.

[IF THIS IS A T1 RELIABILITY CASE OR THIS IS NOT A RELIABILITY CASE] BE SURE TO INCLUDE

A OR B AT THE END OF THE CASE ID.
[IF THIS IS A T2 RELIABILITY CASE] BE SURE TO INCLUDE RA AT THE END OF THE CASE ID.

TOALLR3I It is important that I do my job correctly; therefore, my supervisors will be checking on my work. Would you help me by printing your home telephone number and address on this form? [GIVE QUALITY CONTROL FORM AND ENVELOPE TO RESPONDENT] Then place it in the postage-paid envelope so that my supervisor can write or call you in several weeks to confirm that I did my job. Please seal the envelope when you are finished. As you can see, this is kept separate from your answers so they will still be completely private.

PRESS [ENTER] TO CONTINUE.

INCENT01 [IF THIS IS NOT A RELIABILITY CASE OR (THIS IS A T1 RELIABILITY CASE AND LANG = 2 OR TOOLATE_FLAG=1)]

[PAY RESPONDENT **$30** CASH. SIGN INTERVIEW PAYMENT RECEIPT FORM AND GIVE TOP COPY TO RESPONDENT.] I have signed this form to indicate that I have paid you the **$30** for this interview. At the bottom of this form, we have included national hotline numbers that you can call if you ever feel you need to talk to someone about mental health or drug use issues. [IF THE RESPONDENT WILL NOT ACCEPT THE CASH INCENTIVE, MARK THE APPROPRIATE BOX ON THE INTERVIEW PAYMENT RECEIPT FORM.]

While you are completing the quality control form, I will be finishing some questions to show that I did the interview. Let me know when you are finished completing the form.

Thank you very much for your help.

PRESS [ENTER] TO CONTINUE.

INCENT01a [IF THIS IS A T1 RELIABILITY CASE AND LANG = 1 AND TOOLATE_FLAG NE 1] INTERVIEWER: THIS IS A **[SAME FI/DIFFERENT FI]** RELIABILITY CASE.

[PAY RESPONDENT **$30** CASH. SIGN INTERVIEW PAYMENT RECEIPT FORM AND GIVE TOP COPY TO RESPONDENT.] I have signed this form to indicate that I have paid you the **$30** for this interview. At the bottom of this form, we have included national hotline numbers that you can call if you ever feel you need to talk to someone about mental health or drug use issues. [IF THE RESPONDENT WILL NOT ACCEPT THE CASH INCENTIVE, MARK THE APPROPRIATE BOX ON THE INTERVIEW PAYMENT RECEIPT FORM AND SIGN IT.]

Let me know when you are finished completing the form.

WHILE RESPONDENT IS COMPLETING THE QUALITY CONTROL FORM, PRESS [ENTER] TO CONTINUE AND OBTAIN ACTIVATION CODE.

INCENT01b [IF THIS IS A T2 RELIABILITY CASE]

[PAY RESPONDENT **$50** CASH. SIGN RE-INTERVIEW PAYMENT RECEIPT FORM AND GIVE TOP COPY TO RESPONDENT.] I have signed this form to indicate that I have paid you the **$50** for this interview. At the bottom of this form, we have included national hotline numbers that you can call if you ever feel you need to talk to someone about mental health or drug use issues. [IF THE RESPONDENT WILL NOT ACCEPT THE CASH INCENTIVE, MARK THE APPROPRIATE BOX ON THE RE-INTERVIEW PAYMENT RECEIPT FORM]

Let me know when you are finished completing the form.

Thank you very much for your help.

PRESS [ENTER] TO CONTINUE.

IPAQCODE [IF THIS IS A T1 RELIABILITY CASE AND LANG = 1 AND A REPLACEMENT QUESTID WAS NOT USED] DO THE FOLLOWING IN YOUR IPAQ:

1) ENTER A CODE 70 FOR THIS INTERVIEW.
2) TAP "DONE" TO GO TO THE SELECT CASE SCREEN.
3) MAKE SURE LINE **[FILL FIRST 10 CHARACTERS OF CASEID]** IS SELECTED.
4) TAP ACTIONS AND SELECT "ACTIVATE RE-INTERVIEW CASE."
5) ENTER THIS CODE: **[FILL UNIQUE ACTIVATION CODE]** AND SELECT "OK."
6) THE IPAQ WILL GO TO THE RECORD OF CALLS FOR THE RE-INTERVIEW. YOU WILL ENTER A ROC FOR THE RE-INTERVIEW IN A FEW MINUTES.

WHEN YOU HAVE FINISHED, PRESS [ENTER] ON THE LAPTOP TO CONTINUE.

REPLACE [IF THIS IS A T1 RELIABILITY CASE AND LANG = 1 AND A REPLACEMENT QUESTID WAS USED] SINCE YOU USED A REPLACEMENT QUESTID FOR THIS CASE, YOU WILL BE UNABLE TO ACTIVATE THE RE-INTERVIEW CASE AT THIS TIME. ENTER RE-INTERVIEW (CONTACT/APPOINTMENT) INFORMATION IN THE CODE 70 ROC COMMENTS FOR THE A INTERVIEW. AFTER YOU TRANSMIT, CONTACT YOUR FS FOR THE RE-INTERVIEW ACTIVATION CODE.

PRESS [ENTER] TO CONTINUE.

THANKR [IF (NOT A T1 OR T2 RELIABILITY CASE) OR IS A T1 RELIABILITY CASE AND (TOOLATE_FLAG = 1 OR LANG NE 1)] THANK R.

BE SURE YOU HAVE YOUR SHOWCARD BOOKLET.

[ALL CASES] PRESS [ENTER] TO CONTINUE.

PARENT [IF THIS IS A T1 RELIABILITY CASE AND TOOLATE_FLAG = 0 AND LANG = 1 AND AGE = 12-17] (I need to ask your parent or guardian a couple more questions. Would you please ask him/her to join me?)

RECRUIT1 [IF THIS IS A T1 RELIABILITY CASE AND LANG = 1 AND AGE = 12-17] MAKE SURE YOU ARE SPEAKING TO THE PARENT OR LEGAL GUARDIAN OF THE RESPONDENT, THEN READ THE FOLLOWING STATEMENT:

Your [AGE]-year-old child has been randomly selected to participate in **one** additional study to help us improve our interviewing procedures and how we ask questions. This interview will be about the same length and cover the same topics as the interview [he/she] just completed. Your child's participation is voluntary. [I/another interviewer] will come back sometime between [FILLDATE 5 DAYS] and [FILLDATE 15 DAYS] to complete the interview. As a token of appreciation for [his/her] time, your child will receive an additional $50 after completing this follow-up interview. If you agree, I would like to talk to your child about [his/her] participation now.

1   PARENT GIVES PERMISSION TO TALK TO CHILD
2   PARENT DOES NOT GIVE PERMISSION TO TALK TO CHILD

RECRUIT2 [IF THIS IS A T1 RELIABILITY CASE AND LANG = 1 AND (RECRUIT1 = 1 OR BLANK)] MAKE SURE YOU ARE SPEAKING TO THE SAMPLE MEMBER, THEN READ THE FOLLOWING:

You've been randomly selected to participate in **one** additional study to help us improve our interviewing procedures and how we ask questions. If you agree, [I/another interviewer] will come back in one to two weeks to do another interview with you. This interview will be about the same length and cover the same topics as the interview you have just completed. As a token of appreciation for your time, you will receive an additional $50 when you finish the follow-up interview. This interview can be completed any time between [FILLDATE 5 DAYS] and [FILLDATE 15 DAYS]. [When would be a good time for me to return to complete another interview?/Since another interviewer will be completing the second interview, may I have your phone number so the interviewer can call you to set up an appointment?]

ENTER THIS INFORMATION INTO THE ROC FOR THE RE-INTERVIEW AND HAND RESPONDENT A [COMPLETED/BLANK]RE-INTERVIEW APPOINTMENT CARD.

<pre>
        1   RESPONDENT AGREES TO RECONTACT
        2   RESPONDENT DOES NOT AGREE TO RECONTACT OR IS NOT AVAILABLE DURING THE
             SPECIFIED TIME PERIOD
</pre>

THANKR2   [IF (THIS IS A T1 RELIABILITY CASE AND LANG = 1) OR THIS IS A T2 RELIABILITY CASE] Thank you again for your time. I just need to finish a few questions on my own to show that I did the interview. This will only take me a couple of minutes.

BE SURE YOU HAVE YOUR SHOWCARD BOOKLET.

PRESS [ENTER] TO CONTINUE.

FIDBFINTR DO NOT READ THIS TO R.

These questions are for you to answer without input from the respondent. DO NOT READ ANY OF THESE QUESTIONS OUT LOUD. Use your own impressions of the interview to answer these questions — not the respondent's.

PRESS [ENTER] TO CONTINUE.

T1DBF4   [IF THIS IS A T1 RELIABILITY CASE AND LANG = 1] Did the respondent make any comments that indicated how [he/she] felt about the **amount** of the **$50** incentive payment to be given for the **re-interview**?

<pre>
        1   YES
        2   NO
        DK
</pre>

T1DBF5   [IF T1DBF4 = 1] Did the respondent's comments suggest [he/she] thought the amount of the **re-interview** incentive payment was too high, about right, or too low?

<pre>
        1   TOO HIGH
        2   ABOUT RIGHT
        3   TOO LOW
        DK
</pre>

T1DBF6   [IF T1DBF4 = 1] What other comments did the respondent make about the incentive payment for the **re-interview**? If the respondent did not make any other comments, simply press [ENTER] to continue.

_____ [ALLOW 200 CHARACTERS]

FIDBF01   Did you conduct this interview at the respondent's home — either inside or outside?

<pre>
        1   YES
        2   NO
</pre>

FIDBF02   [IF FIDBF01 = 2] Where did you conduct this interview?

<pre>
        1   AT THE RESPONDENT'S WORKPLACE
        2   AT THE HOME OF THE RESPONDENT'S RELATIVE OR FRIEND
        3   IN SOME TYPE OF CONFERENCE ROOM IN A RESIDENCE HALL, SCHOOL OR APARTMENT
               COMPLEX
        4   AT A LIBRARY
        5   IN SOME TYPE OF COMMON AREA, SUCH AS A LOBBY, HALLWAY, STAIRWELL, OR
               LAUNDRY ROOM
        6   SOME OTHER PLACE
</pre>

FIDBF02S [IF FIDBF02=6] Where did the interview take place?

_____ [ALLOW 75 CHARACTERS]

FIDBF04C   Please estimate for how much of the ACASI interview the respondent had their headphones on.

        0   NONE/R TOOK HEADPHONES OFF IMMEDIATELY
        1   LESS THAN HALF OF THE ACASI INTERVIEW
        2   ABOUT HALF OF THE ACASI INTERVIEW
        3   MORE THAN HALF OF THE ACASI INTERVIEW
        4   ALL OF THE ACASI INTERVIEW

FIDBF05   Estimate the respondent's understanding of the interview.

        1   NO DIFFICULTY --- NO LANGUAGE OR READING PROBLEM
        2   JUST A LITTLE DIFFICULTY — ALMOST NO LANGUAGE OR READING PROBLEM
        3   A FAIR AMOUNT OF DIFFICULTY — SOME LANGUAGE OR READING PROBLEM
        4   A LOT OF DIFFICULTY — CONSIDERABLE LANGUAGE OR READING PROBLEM

FIDBF06   How cooperative has the respondent been?

        1   VERY COOPERATIVE
        2   FAIRLY COOPERATIVE
        3   NOT VERY COOPERATIVE
        4   OPENLY HOSTILE

FIDBF07   Indicate on this scale of 1 through 5 how private the interview was. Please do not count yourself as another person in the room.

        1   COMPLETELY PRIVATE — NO ONE WAS IN THE ROOM OR COULD OVERHEAR ANY PART OF THE INTERVIEW
        2   MINOR DISTRACTIONS - PERSON(S) IN THE ROOM OR LISTENING LESS THAN 1/3 OF THE TIME
        3   PERSON(S) IN THE ROOM OR LISTENING ABOUT 1/3 OF THE TIME
        4   SERIOUS INTERRUPTIONS OF PRIVACY MORE THAN HALF THE TIME
        5   CONSTANT PRESENCE OF OTHER PERSON(S)

FIDBF08   [IF FIDBF07 NE 1] Not including yourself, other people present or listening to the interview were . . .

    TO SELECT MORE THAN ONE CATEGORY, PRESS THE SPACE BAR BETWEEN EACH CATEGORY YOU SELECT.

        1   PARENT(S)
        2   SPOUSE
        3   LIVE-IN PARTNER/BOYFRIEND/GIRLFRIEND
        4   OTHER ADULT RELATIVE(S)
        5   OTHER ADULT(S)
        6   CHILD(REN) UNDER 15
        7   OTHER

FIDBF09   [IF FIDBF08 = 7] You have indicated that there was some other person present or listening to the interview. Please use the keyboard to type a description of the other person.

    _____ [ALLOW 50 CHARACTERS]

FIDBF11   How often did this respondent let you know what his or her answers were as he or she completed the ACASI portion of the interview?

        1   NONE OF THE TIME — I DO NOT KNOW WHAT ANY OF THE ANSWERS ARE
        2   A LITTLE OF THE TIME — I KNOW WHAT A FEW OF THE ANSWERS ARE
        3   SOME OF THE TIME — I KNOW WHAT SOME OF THE ANSWERS ARE
        4   A LOT OF THE TIME — I KNOW WHAT A LOT OF THE ANSWERS ARE
        5   ALL OF THE TIME — I KNOW WHAT ALL OF THE ANSWERS ARE

FIDBF12   Please note anything else you think would be helpful for the interpretation and understanding of this interview.

If there is nothing you wish to note, simply press [ENTER] to continue.

_____ [ALLOW 250 CHARACTERS]

FIEXIT   End of interview reached.

PRESS 1 TO EXIT.

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Screening Observation Checklist

Directions: Complete **one** Screening Observation Checklist for **each** screening you observe that ends in a code 22, 25, 26, 30, 31, or 32. For each screening procedure and summary item listed below, place a mark in the "Correct," "Error," or "N/A" column. For each Error or N/A response, provide a brief description in the space just below that item. If you observe an error that does not fit any of the categories below, describe that error in item 25. You should complete this checklist in hard copy using a clipboard or hard binder while at the household observing a screening. Within 24 hours you should enter this information into the field observations web entry system.

Screening Case ID: ⬜⬜⬜⬜⬜⬜⬜⬜⬜⬜

Quarter: ⬜Qtr1 ⬜Qtr2 ⬜ Qtr3 ⬜Qtr4

Date of Observation: ⬜⬜ ⬜⬜ ⬜⬜⬜⬜

Time Started: ⬜⬜:⬜⬜ ⬜AM ⬜ PM (When FI first spoke to respondent)

Time Ended: ⬜⬜:⬜⬜ ⬜AM ⬜ PM (When FI last spoke to R or began speaking about interview)

FI ID: ⬜⬜⬜⬜⬜

FI Name: _____

Observer Name: _____

Observer Title:

⬜ FS   ⬜ RS   ⬜ RD   ⬜ SS   ⬜ SAMHSA Staff ⬜ Other

Census Region: (Choose one of the following):

⬜ Northeast   ⬜ South   ⬜ Midwest ⬜ West

Location (Choose one of the following):

⬜Urban   ⬜ Suburban   ⬜ Rural

Type of dwelling unit (Choose one of the following):

⬜ Single family ⬜ Apartment ⬜ GQU   ⬜ College Housing

SCREENING PROCEDURES OBSERVED	Correct	Error	N/A
1. Displayed ID Badge prominently when knocking on door			
2 On iPAQ "Study Introduction" screen when reached door			
3. Used iPAQ Spanish screens only if bilingual-certified			
4 .Included FI NAME in introduction			
5 .Included RTI in introduction			
6. Included US PUBLIC HEALTH SERVICE in introduction			
7. Included LEAD LETTER in introduction			
8. If R didn't recall lead letter, FI offered one to R			
9. Confirmed that SR was an adult resident of SDU (FI does not need to confirm age when it is obvious SR is 18 or older)			
10. Verified that he/she was at the correct address			
11 Gave Study Description to respondent			
12 Read IPAQ "Informed Consent" screen to R			
13. Checked for missed DUs by reading the correct iPAQ screen verbatim (This screen should not be read at apartments/condos)			
14. Asked all roster questions verbatim (Record the question number of all questions not read verbatim)			
15. Recorded race based on R answer, not FI observation (If the SR refuses to answer for the householder, the FI can record an answer based on his/her observation of the race of the SR)			
16. Obtained all screening information directly from the SR (not by observation or a proxy)			
17. Confirmed accuracy & completeness of roster data w/ screening respondent			
18. For codes 22, 25, 26, or 30, read verification instructions verbatim			
19. For code 31 or 32, expected interview cooperation			

SCREENING PROCEDURES OBSERVED (continued)	Correct	Error	N/A
20. For code 31 or 32, presented project and interview information accurately			
21. For code 31 or 32, gained respondent trust/cooperation			
22. For code 31 or 32, demonstrated flexibility in scheduling interview time			
23. For code 31 or 32, left appropriate information about future interview			
24. For code 31 or 32, made attempts to begin interview right away			
25. **OTHER PROCEDURAL VIOLATION NOT NOTED ON THIS CHECKLIST:**			

SCREENING SUMMARY	Correct	Error	N/A
26. Was punctual			
27. Was organized			
28. Demonstrated a thorough knowledge of study			
29. Delivered a courteous, straightforward presentation			
30. Maintained a calm, professional, respectful demeanor			
31. Made NO biasing or inappropriate remarks			
32. Was there any respondent confusion due to something the FI said or did? If YES, describe:			
33. Was there any respondent confusion due to a procedure OR to the iPAQ Screening Program itself? If YES, describe:			
34. Would you recommend that this FI be observed again? (Answer only after the observation of this FI has been completed.) If YES, describe:			

SEGMENT MAPS AND LISTS PROCEDURES OBSERVED	Correct	Error	N/A
M1. Had segment maps readily available for reference while in the field (either in the car or located with screening and interviewing materials) NOTE: If you are unsure, wait until the END of the observation and then ask the FI if he/she has the maps.			
M2. [IF THIS IS FI'S FIRST VISIT TO THE DWELLING UNIT(S)] Used segment maps to locate sampled dwelling unit(s)			
M3. [IF THIS IS FI'S FIRST VISIT TO THE DWELLING UNIT(S)] Used the segment maps and either the printed list of SDUs or the original list of dwelling units to check for missed DUs in the interval between the SDU and the next listed dwelling unit			
M4. [IF A MISSED DU IS FOUND] Used segment map and original list of dwelling units to make sure the missed DU was not already listed			

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Interviewing Observation Checklist

Directions: Complete **one** Interviewing Observation Checklist for **each** interview you observe. For each Interview Procedure and Summary item listed below, place a mark in the "Correct," "Error," or "N/A" column. For each Error or N/A response, provide a brief description in the space just below that item. If you observe an error that does not fit any of the categories below, describe that error in item 15. You should complete this checklist in hard copy using a clipboard or hard binder while at the household observing an interview. Within 24 hours you should enter this information into the field observations web entry system.

Interview Case ID: ☐☐☐☐☐☐☐☐☐ **A / B / RA** (please circle one)

Quarter: ☐ Qtr1 ☐ Qtr2 ☐ Qtr3 ☐ Qtr4

Date of Observation: ☐☐ ☐☐ ☐☐☐

Time Started ☐☐ : ☐☐ ☐ AM ☐ PM (When FI first spoke to interview respondent about interview)

Time Ended ☐☐ : ☐☐ ☐ AM ☐ PM (When FI last spoke to interview respondent)

FI ID: ☐☐☐☐☐

FI Name: _____

Observer Name: _____

Observer Title:

☐ FS ☐ RS ☐ RD ☐ SS ☐ SAMHSA Staff ☐ Other

Census Region: (Choose one of the following):

☐ Northeast ☐ South ☐ Midwest ☐ West

Location (Choose one of the following):

☐ Urban ☐ Suburban ☐ Rural

Type of dwelling unit (Choose one of the following):

☐ Single family ☐ Apartment ☐ GQU ☐ College housing

INTERVIEWING PROCEDURES OBSERVED	Correct	Error	N/A
1. .If IR was a minor, FI first obtained consent from parent or legal guardian (Read BLUE Intro to CAI if Reliability Study T2 Interview)			
2. If interview respondent was not screening respondent, explained purpose of study and visit thoroughly			
3. Handed STUDY DESCRIPTION to the respondent (Handed BLUE SPECIAL STUDY DESCRIPTION if Reliability Study T2 Interview)			
4. Read INTRO TO CAI from Showcard Booklet verbatim to the respondent (Read BLUE INTRO TO CAI if Reliability Study T2 Interview)			
5. Chose a private location to conduct interview			
6. Set up equipment efficiently			
7. Asked initial (front-end CAPI) questions verbatim (Record the question number of all questions not read verbatim)			
8. Completed CALENDAR accurately with respondent while reading CAI script and kept calendar where respondent could see it			
9. Read INTRO TO ACASI screens verbatim			
10. Explained HEADPHONE usage, offered headphones to R, and plugged in			
11. Kept ACASI portion private (did not read ACASI), but remained attentive			
12. Asked demographic (back-end CAPI) questions verbatim. (Record all items not read verbatim).			
13. For industry & occupation questions, listened to responses and probed appropriately			
14. Read Quality Control Form and Incentive Payment instructions verbatim (Read $50 Incentive Payment instructions if Reliability Study T2 Interview)			
15. **OTHER PROCEDURAL VIOLATION NOT NOTED ON THIS CHECKLIST:**			

INTERVIEWING SUMMARY	Correct	Error	N/A
16. Presented SHOWCARDS when prompted by the CAI			
17. Was punctual			
18. Was organized			
19. Demonstrated a thorough knowledge of study			
20. Kept paper forms accessible			
21. Spoke in a clear voice			
22. Maintained a pace comfortable for the R			
23. Was courteous and respectful of R and surroundings			
24. Did not divulge R's confidential info to others			
25. Made NO biasing or inappropriate remarks			
26. Was there any respondent confusion due to something the FI said or did? If YES, describe:			
27. Was there any respondent confusion due to a procedure OR due to the CAI instrument itself? If YES, describe:			
28. Would you recommend that this FI be observed again? (Answer only after the observation of this FI has been completed.) If YES, describe:			
Reliability Study-T1 (If Applicable)	Correct	Error	N/A
29. Entered Activation Code when prompted on the IPAQCODE screen			
30. If IR was a minor, first obtained consent from parent or legal guardian for T2 interview by reading the RECRUIT1 screen verbatim			
31. Read RECRUIT2 screen verbatim			
Reliability Study-T2 (If Applicable)	Correct	Error	N/A
32. Read FOLLOWINT screen verbatim			