

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

U.S. COMMODITY FUTURES)
TRADING COMMISSION,)
)
Plaintiff) Civil No. 07 C 3598
vs.)
)
LAKE SHORE ASSET MANAGEMENT) Judge Blanche M. Manning
LIMITED;) Magistrate Judge Mason
)
Defendant.)

STATUTORY EX PARTE RESTRAINING ORDER

The Court having read the Complaint for Injunctive and Other Equitable Relief, the Motion for Entry of Statutory *Ex Parte* Restraining Order, the brief in support thereof, and all other papers filed herein by the plaintiff, Commodity Futures Trading Commission (“Commission”), it appearing that the Court has jurisdiction over the subject matter and that Section 6c of the Commodity Exchange Act, as amended (“Act”), 7 U.S.C. § 13a-1 (2000), permits this Court to grant an *ex parte* restraining order; it further appearing to the satisfaction of the Court that there is good cause to believe that defendant Lakeshore Asset Management Limited has engaged, is engaging in, and is about to engage in violations of Section 4n(3)(A) of the Act, 7 U.S.C. § 6n(3)(A), and Commission Regulations 1.31, 4.23 and 4.33, 17 C.F.R. §§ 1.31, 4.23 and 4.33 (2006), and it further appearing to the satisfaction of the Court that this is a proper case for granting an *ex parte* restraining order to preserve the status quo and to protect public customers from loss and damage;

1. IT IS HEREBY ORDERED that Lake Shore Asset Management Ltd. and all persons insofar as they are acting in the capacity of agents, servants, employees, successors, assigns or attorneys of the defendants and all persons insofar as they are acting in active concert or participation with them, who receive actual notice of this Order by personal service or otherwise, shall be prohibited from directly or indirectly:

- a) Dissipating, withdrawing, transferring, removing, concealing or disposing of cash, cashier's checks, funds, assets or other property of, or within the custody, control or possession of the defendant, including funds or property of investors, wherever located, whether held in the name of the defendant, or otherwise; and
- b) Destroying, mutilating, concealing, altering or disposing of, in any manner, any of the books and records, documents, correspondence, brochures, manuals, electronically stored data, tape records or other property of the defendant, wherever located, including all such records concerning the defendant's business operations, until further order of the Court.

2. IT IS FURTHER ORDERED THAT each firm, corporation or other person or entity with notice which holds, or which holds, or which is a depository of, funds, securities, property, or other assets of or under the control of Lake Shore Asset Management Ltd. , whether held in the name of Lake Shore Asset Management Ltd. or otherwise, including funds and property of investors, is prohibited from transferring, withdrawing, removing, or disposing of any such funds, securities, property, or other assets until further order of the Court.

3. IT IS FURTHER ORDERED that representatives of the plaintiff Commission be allowed to immediately inspect the books, records and other electronically stored data, tape recordings, and other documents of the defendant and its agents, including all such records of defendant's business operations, wherever they are

situated and whether they are in the possession of the defendant or others and to copy said documents, data, and records either on or off the premises where they may be situated.

4. IT IS FURTHER ORDERED that copies of this Order may be served by any means, including facsimile transmission, electronic mail or data transfer, upon any financial institution or other entity or person that may have possession, custody or control of any documents or assets of the Defendant or that may be subject to any provision of this Order.

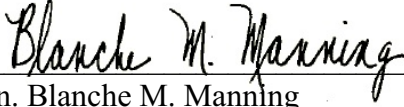
5. IT IS FURTHER ORDERED that Mary E. Spear, Senior Futures Investigator with the Commission, is appointed to serve process, including the summons and complaint, and all other papers in this cause. It is further ordered that this Order may be served by facsimile transmission, electronic mail or data transfer, courier service, certified, registered or first class mail, Federal Express or personal service.

6. IT IS FURTHER ORDERED that the Defendant shall serve all pleadings, correspondence, notices required by this Order and other materials on the Commission by delivering a copy to Diane M. Romaniuk, Senior Trial Attorney or Ava M. Gould, Senior Trial Attorney, Division of Enforcement, Commodity Futures Trading Commission, 525 W. Monroe, Suite 1100, Chicago, Illinois 60661. Electronic transmission of pleadings and correspondence to the Commission will not be accepted until expressly agreed to by Commission staff.

7. IT IS FURTHER ORDERED that this Ex Parte Statutory Restraining Order shall remain in full force and effect until **July 11, 2007 at 1:00 p.m.** CDT. This Court retains jurisdiction of this matter for all purposes.

8. IT IS FURTHER ORDERED that this matter is set for a status hearing on **July 11, 2007 at 1:00 p.m.**, before this Honorable Court.

Date: June 27, 2007



Hon. Blanche M. Manning
United States District Judge

Time: _____