

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

U.S. COMMODITY FUTURES )  
TRADING COMMISSION, )  
 )  
Plaintiff ) Civil No. 07 C 3598  
vs. )  
 )  
LAKE SHORE ASSET MANAGEMENT ) Judge Blanche M. Manning  
LIMITED; ) Magistrate Judge Mason  
 )  
Defendant. )

**STATUTORY RESTRAINING ORDER**

The Parties having appeared before the Court on July 10, 2007, and the Court having heard from the parties and having read the papers filed herein, it further appearing to the satisfaction of the Court that there is good cause to believe that defendant Lakeshore Asset Management Limited has engaged, is engaging in, and is about to engage in violations of Section 4n(3)(A) of the Act, 7 U.S.C. § 6n(3)(A), and Commission Regulations 1.31, 4.23 and 4.33, 17 C.F.R. §§ 1.31, 4.23 and 4.33 (2006), and it further appearing to the satisfaction of the Court that this is a proper case for continuing the *ex parte* restraining order entered by this Court on June 27, 2007, to preserve the status quo and to protect public customers from loss and damage;

1. IT IS HEREBY ORDERED that Lake Shore Asset Management Ltd. and all persons insofar as they are acting in the capacity of agents, servants, employees, successors, assigns or attorneys of the defendants and all persons insofar as they are

acting in active concert or participation with them, who receive actual notice of this Order by personal service or otherwise, shall be prohibited from directly or indirectly:

- a) Dissipating, withdrawing, transferring, removing, concealing or disposing of cash, cashier's checks, funds, assets or other property of, or within the custody, control or possession of the defendant, including funds or property of investors, wherever located, whether held in the name of the defendant, or otherwise; and
- b) Destroying, mutilating, concealing, altering or disposing of, in any manner, any of the books and records, documents, correspondence, brochures, manuals, electronically stored data, tape records or other property of the defendant, wherever located, including all such records concerning the defendant's business operations, until further order of the Court.

2. IT IS FURTHER ORDERED THAT each firm, corporation or other person or entity with notice which holds, or which holds, or which is a depository of, funds, securities, property, or other assets of or under the control of Lake Shore Asset Management Ltd. , whether held in the name of Lake Shore Asset Management Ltd. or otherwise, including funds and property of investors, is prohibited from transferring, withdrawing, removing, or disposing of any such funds, securities, property, or other assets until further order of the Court.

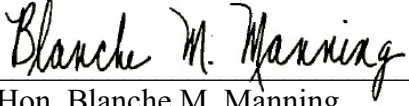
3. IT IS FURTHER ORDERED that representatives of the plaintiff Commission be allowed to immediately inspect the books, records and other electronically stored data, tape recordings, and other documents of the defendant and its agents, including all such records of defendant's business operations, wherever they are situated and whether they are in the possession of the defendant or others and to copy said documents, data, and records either on or off the premises where they may be situated.

4 IT IS FURTHER ORDERED that copies of this Order may be served by any means, including facsimile transmission, electronic mail or data transfer, upon any financial institution or other entity or person that may have possession, custody or control of any documents or assets of the Defendant or that may be subject to any provision of this Order.

5. IT IS FURTHER ORDERED that the Defendant shall serve all pleadings, correspondence, notices required by this Order and other materials on the Commission by delivering a copy to Diane M. Romaniuk, Senior Trial Attorney or Ava M. Gould, Senior Trial Attorney, Division of Enforcement, Commodity Futures Trading Commission, 525 W. Monroe, Suite 1100, Chicago, Illinois 60661. Electronic transmission of pleadings and correspondence to the Commission will not be accepted until expressly agreed to by Commission staff.

6. IT IS FURTHER ORDERED that the Ex Parte Statutory Restraining Order entered June 27, 2007, shall remain in full force and effect until further order of Court. This Court retains jurisdiction of this matter in that it is a pending case and, in any event, the court always has jurisdiction to enforce its own orders.

Date: July 13, 2007

  
Hon. Blanche M. Manning  
United States District Judge