ENFORCEMENT ACTIONS

An enforcement action should be recommended for all repeat and serious violations of the Animal Welfare Act.

You **must** recommend an enforcement action with any inspection report containing a repeat noncompliant item(s).

The enforcement action that you recommend should be one that you believe will gain compliance.

You **may** recommend an enforcement action with any inspection report for which you want some action taken, such as:

- a chronic/recurring noncompliant item
- a direct noncompliant item
- a serious noncompliant item
- many noncompliant items

The enforcement action recommendation should be:

- on a separate memo or note to your SACS (**not** in the inspection report narrative)
- contain an explanation as to why you are recommending that action
- photographs of animal-related noncompliances, if appropriate, or
- copies of records, if appropriate

NOTE: You can only request one enforcement action at a time.

Possible enforcement actions that you can recommend are:

- no action
- **letter of information from Regional Office** (LOI) NOTE: If there is an open investigation, DO NOT request an LOI. The issuance of an LOI will close that investigation.
- Official Warning (APHIS Form 7060): NOTE: If there is an open investigation, DO NOT request a 7060. The issuance of a 7060 will close

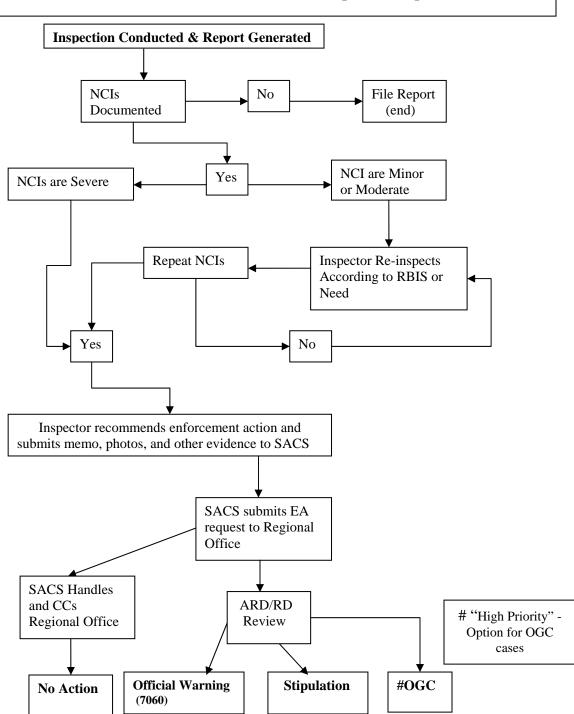
that investigation.

- **investigation** that may result in any of the following:
 - > stipulation
 - > monetary penalty
 - suspension of license
 - revocation of license
- confiscation

After the recommendation of or the completion of an enforcement action, you should continue to conduct routine inspections.

9.3.2 ANIMAL CARE

Enforcement Actions Guidance for Inspection Reports



9.3.4 ANIMAL CARE 9/06