

ENFORCEMENT ACTIONS

An enforcement action should be recommended for all repeat and serious violations of the Animal Welfare Act.

You **must** recommend an enforcement action with any inspection report containing a repeat noncompliant item(s).

The enforcement action that you recommend should be one that you believe will gain compliance.

You **may** recommend an enforcement action with any inspection report for which you want some action taken, such as:

- a chronic/recurring noncompliant item
- a direct noncompliant item
- a serious noncompliant item
- many noncompliant items

The enforcement action recommendation should be:

- on a separate memo or note to your SACS (**not** in the inspection report narrative)
- contain an explanation as to why you are recommending that action
- photographs of animal-related noncompliances, if appropriate, or
- copies of records, if appropriate

NOTE: You can only request one enforcement action at a time.

Possible enforcement actions that you can recommend are:

- **no action**
- **letter of information from Regional Office (LOI)**
NOTE: If there is an open investigation, DO NOT request an LOI. The issuance of an LOI will close that investigation.
- **Official Warning (APHIS Form 7060):**
NOTE: If there is an open investigation, DO NOT request a 7060. The issuance of a 7060 will close

that investigation.

- **investigation** that may result in any of the following:
 - stipulation
 - monetary penalty
 - suspension of license
 - revocation of license
- **confiscation**

After the recommendation of or the completion of an enforcement action, you should continue to conduct routine inspections.

