

State of the Y Chromosome:
New Advances and State of the Science
for Y Chromosome DNA Testing

John M. Butler, Ph.D.

Canadian Forensic DNA Technology Workshop
 Toronto, Ontario
 June 8, 2005

Presentation Outline

- Advantages of the Y-Chromosome
- Characteristics of Core Y-STR Loci
- Available Y-STR Kits
- Available Y-STR Databases
- Y-STR Population Studies
- Statistics with Y-STR Haplotypes
- New Y-STRs
- Locus Duplication and Deletion
- Y-SNPs

"State of the Y STR Assay" in June 2000
 From J.M. Butler talk June 1, 2000 at CHI "DNA Forensics" meeting (Springfield, VA)

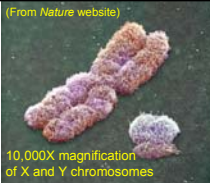
- A number of multiplex reactions have been reported in the literature but **Y STR multiplexes have not reached their potential...**
- Very little PCR optimization to-date (most work has been done with the original PCR primer sequences)
- **No commercial Y STR kit exists yet** (therefore these markers remain inaccessible to the general forensic DNA community)
- New Y STR markers are becoming available which will greatly improve the power of discrimination between unrelated individuals (e.g., DYS385) and these will need to be incorporated into future multiplex sets

What has happened in the past 5 years

- "Full" Y-chromosome sequence became available in June 2003; over 200 Y-STR loci identified (only ~20 in 2000)
- Selection of core Y-STR loci (SWGAM Jan 2003)
- Multiple commercial Y-STR kits released
 - Y-PLEX 6,5,12 (2001-03), PowerPlex Y (9/03), Yfiler (12/04)
- Many population studies performed and databases generated with thousands of Y-STR haplotypes
- Forensic casework demonstration of value of Y-STR testing along with court acceptance

THE HUMAN Y CHROMOSOME: AN EVOLUTIONARY MARKER COMES OF AGE

Mark A. Jobling & Chris Tyler-Smith
Nature Reviews Genetics (2003) 4, 598-612



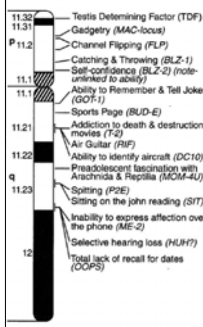
10,000X magnification of X and Y chromosomes

Abstract

- **Until recently, the Y chromosome seemed to fulfill the role of juvenile delinquent among human chromosomes — rich in junk, poor in useful attributes, reluctant to socialize with its neighbors and with an inescapable tendency to degenerate. The availability of the near-complete chromosome sequence, plus many new polymorphisms, a highly resolved phylogeny and insights into its mutation processes, now provide new avenues for investigating human evolution. Y-chromosome research is growing up.**

Traits found on the Y - Chromosome

An Early Y-Chromosome Map



- spitting
- incessant use of TV remote buttons
- if lost, cannot stop and ask for directions
- ability to recall facts about baseball/basketball/hockey/golf/etc.
- male pattern baldness
- congregates with other Y-chromosome bearers to do "guy things"
- Source of "Testosterone poisoning"

Science (1993) 261:679

Value of Y-Chromosome Markers

J.M. Butler (2005) *Forensic DNA Typing*, 2nd Edition; Table 9.1

| Application | Advantage |
|--|---|
| Forensic casework on sexual assault evidence | Male-specific amplification (can avoid differential extraction to separate sperm and epithelial cells) |
| Paternity testing | Male children can be tied to fathers in motherless paternity cases |
| Missing persons investigations | Patrilineal male relatives may be used for reference samples |
| Human migration and evolutionary studies | Lack of recombination enables comparison of male individuals separated by large periods of time |
| Historical and genealogical research | Surnames usually retained by males; can make links where paper trail is limited |

Disadvantages of the Y-Chromosome

- Loci are not independent of one another and therefore rare random match probabilities cannot be generated with the product rule; must use haplotypes (combination of alleles observed at all tested loci)
- Paternal lineages possess the same Y-STR haplotype (barring mutation) and thus fathers, sons, brothers, uncles, and paternal cousins cannot be distinguished from one another
- **Not as informative as autosomal STR results**

Forensic Advantages of Y-STRs

- **Male-specific amplification** extends range of cases accessible to obtaining probative DNA results (e.g., fingernail scrapings, sexual assault without sperm)
- **Technical simplicity due to single allele profile**; can potentially recover results with lower levels of male perpetrator DNA because there is not a concern about heterozygote allele loss via stochastic PCR amplification; number of male contributors can be determined
- **Courts have already widely accepted STR typing**, instrumentation, and software for analysis (Y-STR markers just have different PCR primers)
- **Acceptance of statistical reports using the counting method** due to previous experience with mtDNA

Scenarios Where Y-STRs Can Aid Forensic Casework

- Sexual assaults by vasectomized or azoospermic males (no sperm left behind for differential extraction)
- Extending length of time after assault for recovery of perpetrator's DNA profile (greater than 48 hours)
- Fingernail scrapings from sexual assault victims
- Male-male mixtures
- Other bodily fluid mixtures (blood-blood, skin-saliva)
- Gang rape situation to include or exclude potential contributors

Some Reported Casework Examples

J.M. Butler (2005) *Forensic DNA Typing*, 2nd Edition; Table 9.7

| Kit/Loci Used | Reference | Comments |
|---|--|--|
| In-house assay with DYS19, DYS390, DYS389II | Prinz et al. (2001) <i>Forensic Sci. Int.</i> 120: 177-188 | In one year at the New York City Office of the Chief Medical Examiner, Y-STR testing was performed in more than 500 cases with over 1000 evidence and reference samples examined. A full or partial profile was obtained on 81% of all tested evidence samples (740 worked/915 samples tested). Mixtures of at least two males were observed in 97 instances. In male:female mixtures of up to 1:4000, the male component could be clearly detected. |
| In-house assay with 9 Y-STR loci amplified in 3 PCR reactions | Dekareille and Hoste (2001) <i>Forensic Sci. Int.</i> 118: 123-125 | Y-STR typing was attempted on 166 semen traces from 89 cases that failed to yield a detectable male autosomal profile following differential extraction. About half of the cases had sufficient DNA to produce a Y-STR profile. |
| In-house assay with DYS390, DYS389II | Sibley et al. (2002) <i>Forensic Sci. Int.</i> 125: 212-216 | Y-STR results could still be obtained more than 48 hours after the sexual assault in 30% of the cases examined. In 104 swabs collected with no evidence of sperm, Y-STRs could be detected in >20% of the samples tested. |
| In-house assay with DYS19, DYS390, DYS389II | Prinz (2003) <i>Forensic Sci. Rev.</i> 15: 189-196 | Six case studies are reviewed along with advantages and disadvantages of Y-STR testing in each case: (1) different semen donors on vaginal swab and underwear; (2) possible oligospermic perpetrator gave a nice Y-STR profile but failed to have a "male" fraction with differential extraction; (3) oral intercourse with no autosomal results—not possible to enrich male cell fraction with differential extraction in cases involving saliva; (4) presence of multiple semen donors created a complex autosomal mixture that could be sorted out with Y-STR results; (5) sperm cell fraction lacked androgenin Y-specific peak due to known deletion—Y-STR results confirmed that the sperm cell fraction DNA was of male origin; and (6) Y-STR testing was used to rapidly screen 18 semen stains for comparison to 5 suspects and thus save the time of performing the differential extraction. |
| Y-PLEX 6 and Y-PLEX 5 kits | Sinha (2003) <i>Forensic Sci. Rev.</i> 15: 197-201 | Five cases are reviewed: (1) criminal paternity case with a male fetus where the alleged father could not be excluded as the biological father; (2) autosomal STR test resulted in an uninterpretable mixture—suspect was excluded at 3 of the 7 Y-STR loci tested; (3) Y-PLEX 5 STR profile matched suspect with several stains on cloth found at crime scene; (4) fingernail cuttings from a victim matched a suspect at 11 Y-STR loci while another suspect was excluded at 2 loci; (5) semen positive stain with no sperm cells produced a Y-PLEX 6 profile consistent with the male suspect. |
| Y-PLEX 6 and Y-PLEX 5 kits | Sinha et al. (2004) <i>J. Forensic Sci.</i> 49: 691-700 | Seven cases are reviewed (some are the same as Sinha (2003)) and a list of cases where Y-STR results have been accepted in U.S. courts is provided. |

Y-STRs in Casework

Sinha et al. (2004) *J. Forensic Sci.* 49: 691-700

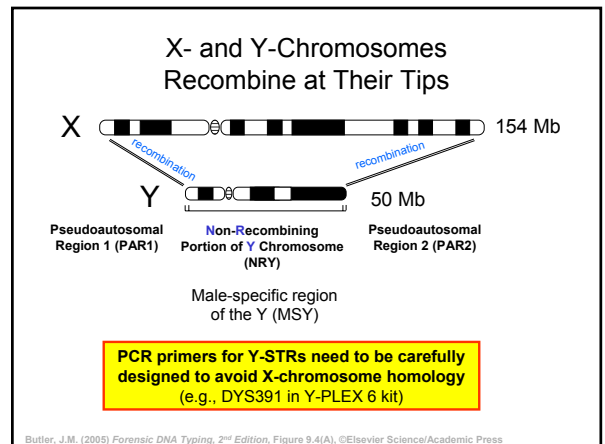
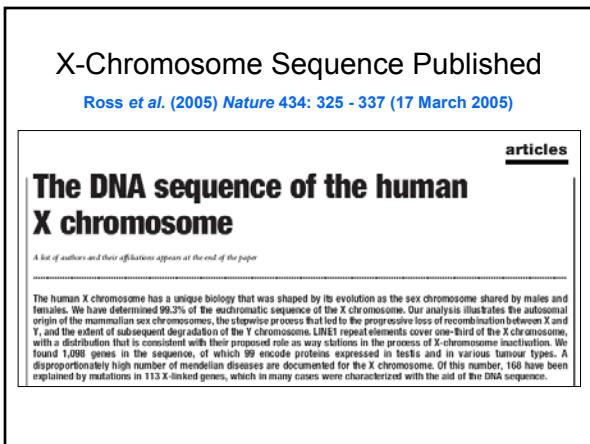
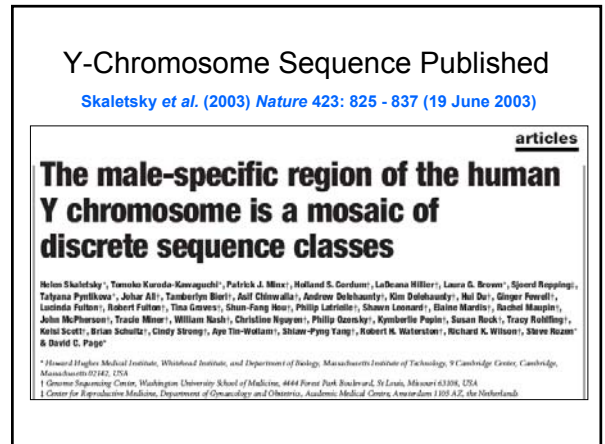
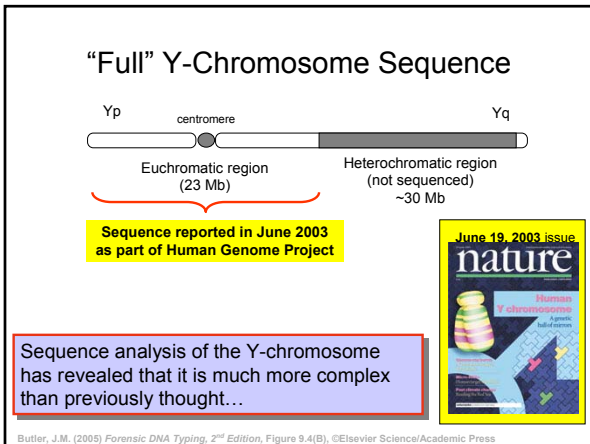
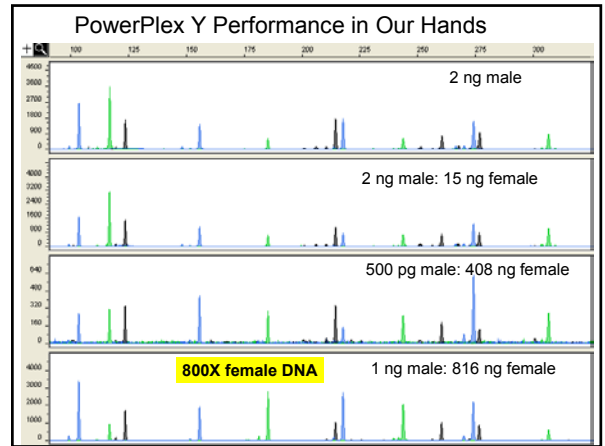
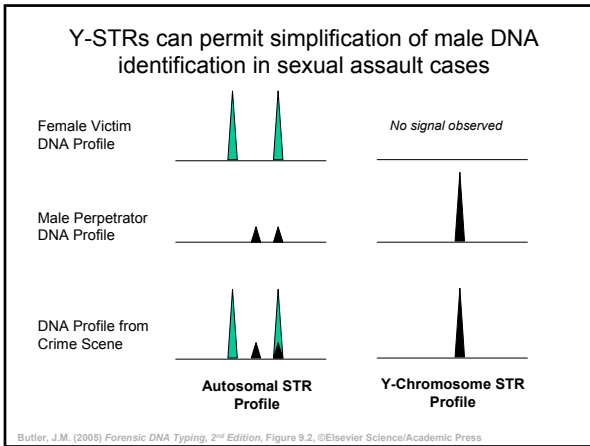
J. Forensic Sci., July 2004, Vol. 49, No. 4
 Paper ID JFS2003246
 Available online at: www.asim.org

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Utility of the Y-STR Typing Systems Y-PLEX™ 6 and Y-PLEX™ 5 in Forensic Casework and 11 Y-STR Haplotype Database for Three Major Population Groups in the United States*

TABLE 1—Y-STR cases using the Y-PLEX™ 6 and Y-PLEX™ 5 kits that have been accepted in U.S. courts.

| Case | Date | Jurisdiction | Docket No. | Notes |
|--------------------------------------|----------|--------------------------------|----------------------|---|
| State of LA vs. Samuel Williams | 10/23/01 | Orleans Parish Ibiza County | 416-355 00-557-KA | Criminal paternity case |
| State of MS vs. Leon Felker | 6/26/01 | | | Sexual assault case—alleged father had other STRs; Y-STR produced no result |
| State of GA vs. Ali R. Shabazz | 7/31/02 | Dekalb County | 01-CR-4902 | Sexual assault case |
| United States vs. Spe. Michael Kelly | 10/16/02 | Fl. Knox | ... | Sexual assault case |
| State of OH vs. Checkie Unsworth | 4/16/03 | Lucas County | G-4801-CR-200301510 | Duabert Hearing |



DYS391 Primer Improvements

Female artifact problems seen with published and Y-PLEX 6 primers

New PCR primers designed at NIST

Deleted regions compared to Y homolog

Mutations relative to Y homolog

Significant homology exists between X and Y sequences for the **DYS391** locus

We have designed primers to anneal to regions that only appear on the Y chromosome (targeted X deletion regions)

These primers produce smaller PCR products and were adopted by Promega for their **PowerPlex Y kit**

Butler et al. (2002) *Forensic Sci. Int.* 129:10-24

Different Inheritance Patterns

CODIS STR Loci

Lineage Markers

Autosomal
(passed on in part, from all ancestors)

Y-Chromosome
(passed on complete, but only by sons)

Mitochondrial
(passed on complete, but only by daughters)

Butler, J.M. (2005) *Forensic DNA Typing, 2nd Edition*, Figure 9.1, ©Elsevier Science/Academic Press

Y-STRs permit extension of possible reference samples in missing persons cases

uncle

3rd cousin

?

Butler, J.M. (2005) *Forensic DNA Typing, 2nd Edition*, Figure 9.3, ©Elsevier Science/Academic Press

Core Y-STR Loci

History of Y STR Marker Discovery

- 1992 - **DYS19** (Roewer et al.)
- 1994 - YCAI a/b, YCAII a/b, YCAIII a/b, DXYS156 (Mathias et al.)
- 1996 - **DYS389I/II**, **DYS390**, **DYS391**, **DYS392**, **DYS393** (Roewer et al.)
- 1996 - DYF371, DYS425, DYS426 (Jobling et al.)
- 1997 - DYS288, DYS388 (Kayser et al.)
- 1998 - **DYS385 a/b** (Schneider et al.)
- 1999 - A7.1 (DYS460), A7.2 (DYS461), A10, C4, H4 (White et al.)
- 2000 - DYS434, DYS435, DYS436, DYS437, **DYS438**, **DYS439** (Ayub et al.)
- 2000 - G09411 (DYS462), G10123 (de Knijff unpublished)
- 2001 - DYS441, DYS442 (Iida et al.)
- 2002 - DYS443, DYS444, DYS445 (Iida et al.); DYS446, DYS447, DYS448, DYS449, DYS450, DYS452, DYS453, DYS454, DYS455, DYS456, DYS458, DYS459 a/b, DYS463, DYS464 a/b/c/d (Redd et al.)
- 2002 - DYS468-DYS596 (**129 new Y STRs**; Manfred Kayser GDB entries)
- 2003 - DYS597-DYS645 (**50 new Y STRs**; Manfred Kayser GDB entries)

From J.M. Butler (2003) Recent developments in Y-STR and Y-SNP analysis. *Forensic Sci. Rev.* 15:91-111

Y STR Typing of Duplicated Regions "multi-copy loci"

a repeat

b repeat

Multiple primer binding sites occur giving rise to more than one PCR product for a given set of primers

Y-PLEX™ 6 results

45 Yellow SRM A

DYS385

12 13

a = b

a ≠ b

DYS385 a/b and YCAII a/b

Y-STR loci are often counted by the number of amplicons rather than the number of PCR primer pairs

Forensic Science Communications July 2004 – Volume 6 – Number 3
 Standards and Guidelines

Report on the Current Activities of the Scientific Working Group on DNA Analysis Methods Y-STR Subcommittee

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Scientific Working Group on DNA Analysis Methods Y-STR Subcommittee

Selection of U.S. Core Loci:
 DYS19,
 DYS385 a/b,
 DYS389I/II,
 DYS390,
 DYS391,
 DYS392,
 DYS393,
 DYS438,
 DYS439

Introduction
 Detecting DNA from a male perpetrator is the goal in the forensic investigation of most sexual assault cases. Y-chromosome-specific STR typing targets the male DNA and is a useful additional tool in cases that often involve a mixture of male and female DNA. Although many technical aspects of Y-STR testing are parallel to autosomal STR testing, the unilateral (patrilateral) inheritance of the Y-chromosome alleles creates a haplotype of linked loci, and the statistical evaluation and reporting of the results differ significantly. Therefore, the SWGDAM Y-STR Subcommittee was established to deal with all aspects of Y-chromosome-specific testing in forensic casework.

SWGDAM Sub-Committee on the Y Chromosome

- Formed in July 2002
- Members
 - Jack Ballantyne (UCF) – chair
 - Mecki Prinz (NYC) – co-chair
 - John Butler (NIST)**
 - Ann Gross (MN)
 - Jill Smerick (FBI)
 - Sam Baechtel (FBI)
 - John Hartmann (Orange Co.)
 - Jonathan Newman (CFS)
 - Phil Kinsey (OR)
 - Gary Sims (CA DOJ)
 - Bruce Budowle (FBI) – removed in 2004
- U.S. CORE Y-STR LOCI selected in January 2003**
- 60 sample set selected for screening markers and initial testing
- Testing of Y-PLEX 6 and Y-PLEX 5 kits in all labs
 - All results completed agreed with NIST results sent to participating labs in Dec 2002
- Jack Ballantyne's lab and John Butler's lab to examine additional Y-STR and Y-SNP markers

11 PCR products 9 primer sets

Core Y-STR Characteristics

| STR Marker | Position (Mb) | Repeat Motif | Allele Range | Mutation Rate |
|-------------|---------------|---------------|--------------|---------------|
| DYS393 | 3.17 | AGAT | 8-17 | 0.05% |
| DYS19 | 10.12 | TAGA | 10-19 | 0.20% |
| DYS391 | 12.54 | TCTA | 6-14 | 0.40% |
| DYS439 | 12.95 | AGAT | 8-15 | 0.38% |
| DYS389 I/II | 13.05 | [TCTG] [CTCA] | 9-17 / 24-34 | 0.31% |
| DYS438 | 13.38 | TTTTTC | 6-14 | 0.09% |
| DYS390 | 15.71 | [TCTA] [TCTG] | 17-28 | 0.32% |
| DYS385 a/b | 19.19, 19.23 | GAAA | 7-28 | 0.23% |
| DYS392 | 20.97 | TAT | 6-20 | 0.05% |

Positions in megabases (Mb) along the Y-chromosome were determined with NCBI build 35 (May 2004) using BLAT. Allele ranges represent the full range of alleles reported in the literature. Mutation rates summarized from YHRD (<http://www.yhrd.org>; accessed 6 Apr 2005).

Butler, J.M. (2005) Genetics and genomics of core STR loci used in human identity testing. *J. Forensic Sci.*, in press.

(A) DYS385 a/b Multi-Copy (Duplicated) Marker

Duplicated regions are 40,775 bp apart and facing away from each other

(B) DYS389 I/II Single Region but Two PCR Products (because forward primers bind twice)

Butler, J.M. (2005) *Forensic DNA Typing, 2nd Edition*, Figure 9.5, ©Elsevier Science/Academic Press

Example Y STR Fact Sheet from STRBase

www.cstl.nist.gov/biotech/strbase

PCR Product Sizes of Observed Alleles

| Allele | Set 1 | Set 2 | Set 3 | Repeat Structure | Ref. | | |
|--|-------|------------------|-------|------------------|---|------------------------|---|
| DYS392 | 7 | 237 | 95 | 293 | [TAT] ₇ www.pru.org | | |
| Other Names | Chr8 | 8 | 240 | 98 | 296 | [TAT] ₈ 560 | |
| | 10 | 246 | 104 | 302 | [TAT] ₁₀ 560 | | |
| Repeat [TAT] _n = GenBank top strand | 11 | 249 | 107 | 305 | [TAT] ₁₁ 560 | | |
| | 12 | 252 | 110 | 308 | [TAT] ₁₂ 560 | | |
| Reported Primers | Set 1 | 560 | 13 | 255 | 113 | 311 | [TAT] ₁₃ 560 |
| | Set 2 | 1367 | 14 | 258 | 116 | 314 | [TAT] ₁₄ 560 |
| | Set 3 | NIST Y STR 2004a | 15 | 261 | 119 | 317 | [TAT] ₁₅ 560 |
| | | | 16 | 264 | 122 | 320 | [TAT] ₁₆ 1584 |
| | | | 17 | 267 | 125 | 323 | [TAT] ₁₇ http://www.pru.org/ |

Allelic Ladders: Alleles 10-15 present in Y-PLEX 5 kit from EkaGene Technologies

We would like to collect variant alleles for Y STRs as they are discovered...

Y-Chromosome Standard NIST SRM 2395

Human Y-Chromosome DNA Profiling Standard

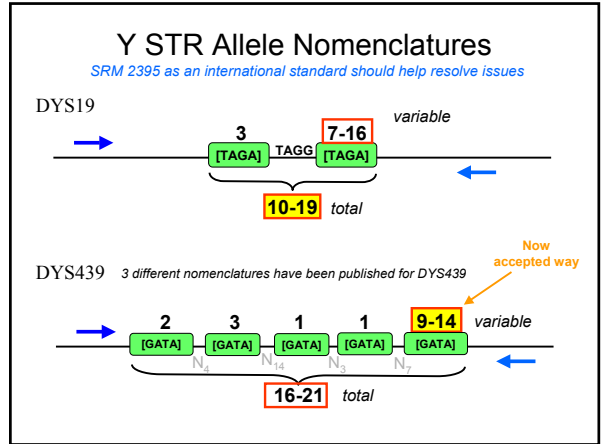
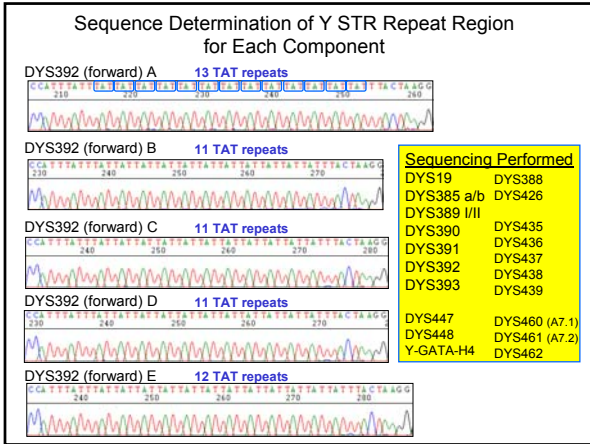
- 5 male samples + 1 female sample (neg. control)
- 100 ng of each (50 µL at ~2 ng/µL)
- 22 Y STR markers sequenced
- 9 additional Y STR markers typed
- 42 Y SNPs typed with Marigen kit

Certified for all loci in commercial Y-STR kits:
 Y-PLEX 6
 Y-PLEX 5
 Y-PLEX 12
 PowerPlex Y

SWGDAM recommended loci:
 DYS19, DYS385 a/b, DYS389I/II,
 DYS390, DYS391, DYS392,
 DYS393, DYS438, DYS439

Y-filer - adds DYS635 (C4); now sequenced

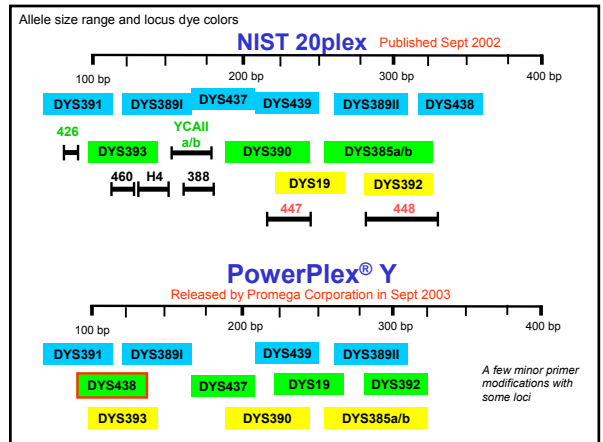
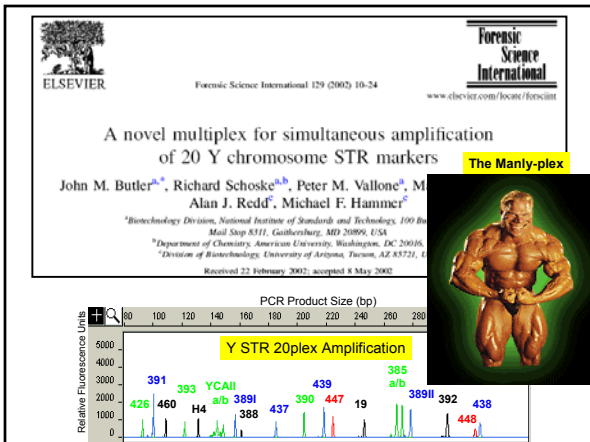
Helps meet FBI Standard 9.5 (and ISO 17025)...traceability to a national standard

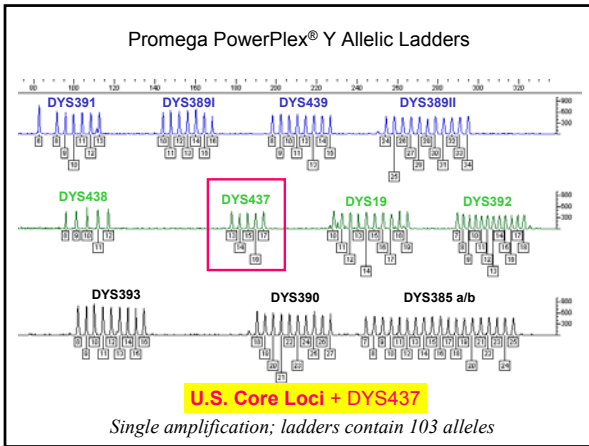


Available Y-STR Kits

Commercial Y-STR Kits

| (Minimal/extended haplotype) | (White et al.) | (Ayub et al.) | (Iida et al.) | (Redd et al.) |
|------------------------------|-----------------------------------|--|---------------|--|
| DYS19 | A7.1 (DYS460) | DYS434 | DYS441 | DYS446 |
| DYS389I/II | A7.2 (DYS461) | DYS435 | DYS442 | DYS447 |
| DYS390 | A10 | DYS436 | DYS443 | DYS449 |
| DYS391 | C4 | | DYS444 | DYS450 |
| DYS392 | H4 | DYS437 | DYS445 | DYS452 |
| DYS393 | | DYS438 | | DYS453 |
| DYS385 a/b | | DYS439 | | DYS454 |
| YCAII a/b | (Bosch et al.) G09411 (DYS462) | 43 (51) Y-STRs (217 with Manfred's) | | DYS455 |
| DYS388 | | Y-PLEX 6 (ReliaGene) | | DYS456 |
| DYS425 | | Y-PLEX 5 (ReliaGene) | | DYS459 a/b |
| DYS426 | | Y-PLEX 12 (ReliaGene) | | DYS463 |
| YCAIII a/b | | PowerPlex Y (Promega) | | DYS464 a/b/c/d |
| | | Yfiler (Applied Biosystems) | | DYS468-DYS645 |
| | | | | 166 new Y STRs (Manfred Kayser GDB entries) |





PowerPlex Y Validation Studies

Available online at www.sciencedirect.com

SCIENCE @ DIRECT®

Forensic Science International 148 (2005) 1-14
www.elsevier.com/locate/forensint

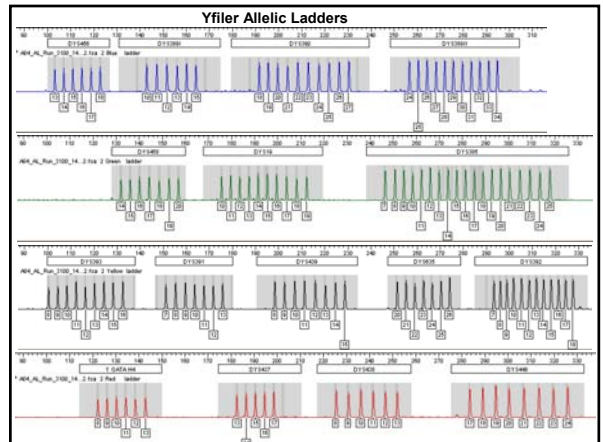
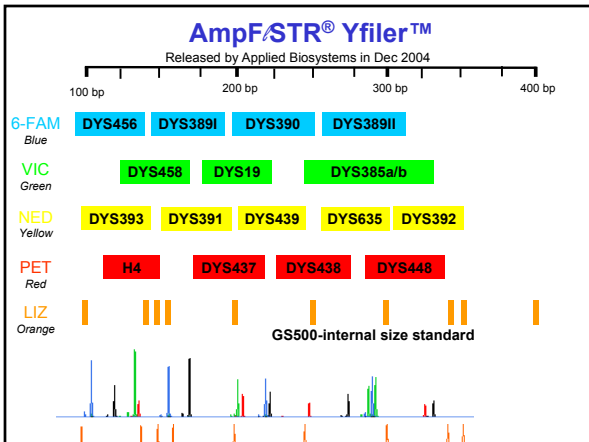
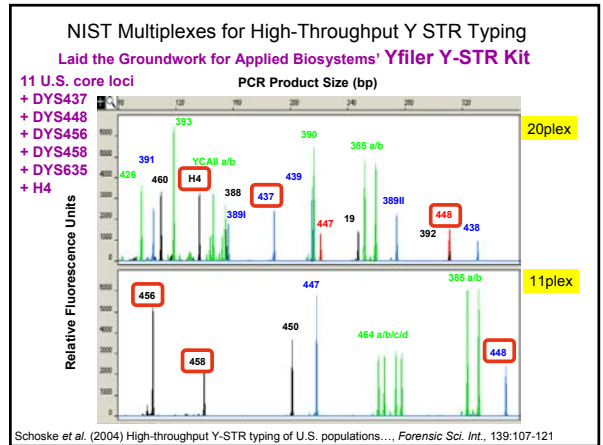
Validation of a male-specific, 12-locus fluorescent short tandem repeat (STR) multiplex[☆]

Benjamin E. Krenke^{a,*}, Lori Viculis^b, Melanie L. Richard^c, Mechthild Prinz^d,
 Scott C. Milne^e, Carl Ladd^f, Ann Marie Gross^g, Tanis Gornall^d,
 J. Roger H. Frappier^h, Arthur J. Eisenberg^h, Charles Barna^h,
 Xavier G. Aranda^h, Michael S. Adamowicz^h, Bruce Budowle^h

Validation Summary Sheet for PowerPlex Y

| Study Completed (17 studies done) | Description of Samples Tested (performed in 7 labs and Promega) | # Run |
|-----------------------------------|---|-------|
| Single Source (Concordance) | 5 samples x 8 labs | 40 |
| Mixture Ratio (male:female) | 6 labs x 2 MF mixture series x 11 ratios (1.0, 1.1, 1.10, 1.100, 1.300, 1.1000, 0.5, 300, 0.25, 300, 0.125, 300, 0.0625, 300, 0.03, 300 ng MF) | 132 |
| Mixture Ratio (male:male) | 6 labs x 2 MM mixtures series x 11 ratios (1.0, 19:1, 9:1, 5:1, 2:1, 1:1, 1:2, 1:5, 1:9, 1:19, 0:1) | 132 |
| Sensitivity | 7 labs x 2 series x 6 amounts (1/10, 5/10, 25/10, 125/10, 0/6, 0/3) | 84 |
| Non-Human | 24 animals | 24 |
| NIST SRM | 6 components of SRM 2395 | 6 |
| Precision (ABI 3100 and ABI 377) | 10 ladder replicates + 10 sample replicated + 8 ladders + 8 samples for 377 | 36 |
| Non-Probative Cases | 65 cases with 102 samples | 102 |
| Slutter | 412 males used | 412 |
| Peak Height Ratio | N/A (except for DYS385 but no studies were noted) | |
| Cycling Parameters | 5 cycles (28/27/26/25/24) x 8 punch sizes x 2 samples | 80 |
| Annealing Temperature | 5 labs x 5 temperatures (54/58/60/62/64) x 1 sample | 25 |
| Reaction volume | 5 volumes (50/25/15/12.5/6.25) x 5 amounts x 5 concentrations | 50 |
| Thermal cycler test | 4 models (480/2400/9600/9700) x 1 sample + 3 models x 3 sets x 12 samples | 76 |
| Male-specificity | 2 females x 1 titration series (0-500 ng female DNA) x 5 amounts each | 10 |
| TaqGold polymerase titration | 5 amounts (1.382, 0.692, 753, 444, 13 U) x 4 quantities (1/10, 5/10, 25/10, 13 ng DNA) | 20 |
| Primer pair titration | 5 amounts (0.5x/0.75x/1x/1.5x/2x) x 4 quantities (1/10, 5/10, 25/10, 13 ng DNA) | 20 |
| Magnesium titration | 5 amounts (1/1, 25/1, 5/1, 75/2 mM Mg) x 4 quantities (1/10, 5/10, 25/10, 13 ng DNA) | 20 |

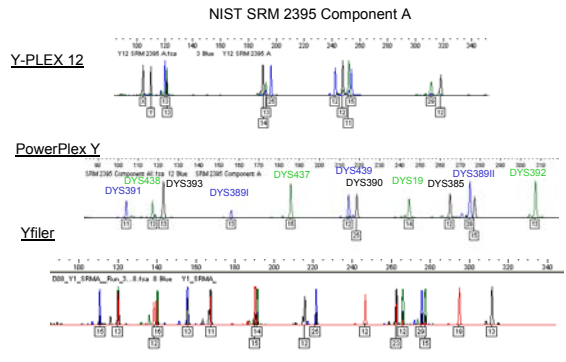
Krenke et al. (2005) *Forensic Sci. Int.* 148:1-14 TOTAL SAMPLES EXAMINED **1269**



Commercial Y-STR Kits Available

- ReliaGene Technologies (New Orleans, LA)
 - Y-PLEX™ 6:** DYS19, DYS389II, DYS390, DYS391, DYS393, DYS385 a/b
 - Y-PLEX™ 5:** DYS389II, DYS392, DYS438, DYS439
 - Y-PLEX™ 12:** DYS19, DYS385 a/b, DYS389II, DYS390, DYS391, DYS392, DYS393, DYS438, DYS439, amelogenin
- Promega Corporation (Madison, WI)
 - PowerPlex® Y:** DYS19, DYS385 a/b, DYS389II, DYS390, DYS391, DYS392, DYS393, DYS438, DYS439, DYS437
- Applied Biosystems (Foster City, CA)
 - Yfiler™:** DYS19, DYS385 a/b, DYS389II, DYS390, DYS391, DYS392, DYS393, DYS438, DYS439, DYS437, DYS448, DYS456, DYS458, DYS635 (Y-GATA-C4), Y-GATA-H4
- Serac (Bad Homburg, Germany)
 - genRES® DYSplex-1:** DYS389II, DYS390, DYS391, DYS385 a/b, amelogenin
 - genRES® DYSplex-2:** DYS19, DYS389II, DYS392, DYS393
- Biotype (Dresden, Germany)
 - Mentype® Argus Y-MH:** DYS19, DYS385 a/b, DYS389II, DYS390, DYS391, DYS392, DYS393

Our Group at NIST Was Involved in Beta-Testing All U.S. Based Y-STR Kits



Available Y-STR Haplotype Databases

New Y-Chromosome Information Resources on STRBase

Commercial Y-STR Kits

- ForenSeq® Y Chromosome Panel
- Applied Biosystems Y-STR™ (Applied Biosystems)
- Y-PLEX™ 5, Y-PLEX™ 12 (ReliaGene Technologies) - will not be sold after May 1, 2015
- Y-DYplex 1, Y-DYplex (Serac, Bad Homburg, Germany)
- Mentype® Argus Y-MH (Biotype, Dresden, Germany)

Haplotype Databases

- Y-STR Haplotype Reference Database (GRAS) haplotypes with 9 loci: <http://www.phd.eng.utah.edu>
- ReliaGene 1480 haplotypes with 11 loci: <http://www.reliagene.com/index.asp?ID=254>
- PowerPlex® Y Haplotype Database (648) haplotypes with 12 loci: <http://www.appliedbiosystems.com/genetics/powerplex/>
- Yfiler Haplotype Database (261) haplotypes with 17 loci: <http://www.appliedbiosystems.com/genetics/>
- Genetic Genealogy FamilyTree DNA Y-Search (568) records with 12, 15, or 17 loci: <http://www.familytree.com>
- Genetic Genealogy DNA Heritage Ysearch (247) haplotypes with up to 46 loci: <http://www.23andme.com>
- Genetic Genealogy 23andMe Molecular Genealogy Foundation (622) haplotypes with 24 loci: <http://www.23andme.com>

Y Chromosome Links

- Y-STR Haplotype Reference Database: <http://www.phd.eng.utah.edu>
- Department of Human Genetics at the London University: <http://www.mf.hackensack.edu/>
- Genetic Genealogy FamilyTree DNA: <http://www.familytree.com>
- Genetic Genealogy 23andMe: <http://www.23andme.com>
- Genetic Genealogy DNA Heritage: <http://www.dnabank.com>
- Genetic Genealogy 23andMe Molecular Genealogy Foundation: <http://www.23andme.com>
- Genetic Genealogy 23andMe: <http://www.23andme.com>
- Genetic Genealogy African Ancestry: <http://www.africanancestry.com/>
- Genetic Genealogy Trips Online: <http://www.trips.com/>
- Genetic Genealogy OneTree DNA Testing Center: <http://www.onetreedna.com/>

NIST Human Identity Project Team Y-Chromosome Work

Y-Chromosome Haplotype Reference Database (YHRD)



Run only with minimal haplotype

<http://www.yhrd.org>

As of 12/17/04: **28,650 haplotypes**
6,281 haplotypes
 with all US required loci

DYS19
DYS389II
DYS390
DYS391
DYS392
DYS393
DYS385 a/b

Commercial Y-STR kits exist to amplify all of the core loci in a single reaction (plus a few additional markers)

US haplotype requires **2 additional loci:**
DYS438
DYS439

Yfiler Haplotype Database

<http://www.appliedbiosystems.com/yfilerdatabase/>

| Population | # Haplotypes | #Samples Contributed by NIST |
|---------------------|--------------|------------------------------|
| African American | 985 | 259 African Americans |
| Asian | 330 | 3 Asians |
| Caucasian | 1276 | 262 Caucasians |
| Filipino | 105 | |
| Hispanic | 597 | 139 Hispanics |
| Native American | 106 | |
| Sub-saharan African | 59 | |
| Vietnamese | 103 | |
| All | 3561 | |

Data provided by NIST
663/3561 = 18.6%

PowerPlex Y Haplotype Database

<http://www.promega.com/techserv/tools/pplex/>

| | |
|-----------------------|------------------------|
| 595 Caucasians | 1311 Caucasians |
| 284 Asians | 325 Asians |
| 630 Hispanics | 894 Hispanics |
| 577 African Americans | 1108 African Americans |
| 357 Native Americans | 366 Native Americans |

2,443 total

4,004 total

March 2005

Y-STR Population Studies

A few recent Y-STR population studies

| Population | # Samples | # Loci | Reference |
|---|-----------|---------|--|
| 5 North American groups | 2,443 | 12 | Budowle et al. (2005) FSI 150:1-15 |
| U.S. Caucasians, African Americans, Hispanics | 647 | 22 (27) | Schoske et al. (2004) FSI 139:107-121 |
| Austrian | 135 | 17 | Berger et al. (2005) IJLM, in press (Yfiler) |
| 91 European groups | 12,700 | 7 | Roewer et al. (2005) Hum Genet 116:279-291 |

More than 200 Y-STR population studies have been published (most of this data is deposited in the YHRD – Y Chromosome Haplotype Reference Database)

PowerPlex Y Population Study

Available online at www.sciencedirect.com

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ELSEVIER

Forensic Science International 150 (2005) 1–15

Forensic Science International

Twelve short tandem repeat loci Y chromosome haplotypes: Genetic analysis on populations residing in North America

Bruce Budowle^{a,*}, Mike Adamowicz^b, Xavier G. Aranda^c, Charles Barma^d, Ranajit Chakraborty^e, Dan Cheswick^f, Bradley Dafoe^g, Arthur Eisenberg^h, Roger Frappierⁱ, Ann Marie Gross^j, Caril Ladd^k, Hee-Suk Lee^l, Scott C. Milne^l, Carole Meyers^l, Mechthild Prinz^l, Melanie L. Richard^l, Gabriela Saldanha^l, Amy A. Tierney^h, Lori Viculis^l, Benjamin E. Krenke^l

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^e Center for Genome Information, Department of Environmental Health, University of Cincinnati College of Medicine, Cincinnati, OH 45229, USA
^f Office of Chief Medical Examiner, New York, NY 10016, USA
^g Centre of Forensic Sciences, Biology Section, Toronto, Ont., Canada M7A 2G8
^h Minnesota Bureau of Criminal Apprehension, St. Paul, MN 55106, USA
ⁱ Michigan State Police, Crime Laboratory – DNA Unit, Lansing, MI 48913, USA
^j Arizona Department of Public Safety, Central Region Crime Laboratory – DNA Unit, Phoenix, AZ 85006, USA
^k Promega Corporation, Madison, WI 53726, USA
^l Received 7 July 2004; received in revised form 18 January 2005; accepted 21 January 2005

Initial 2,443 Samples in PowerPlex Y Haplotype Database

B. Budowle et al. / Forensic Science International 150 (2005) 1–15

3

Table 1 Sample populations and number of individuals (or haplotypes) per sample

159 Canadian samples

| Region | Location | African American | Caucasian | Hispanic | Asian | Asian Indian | Native American (Apache/Navajo) |
|----------------|-------------|------------------|-----------|----------|-------|--------------|---------------------------------|
| Canada | Ontario | 37 | 37 | | 28 | 37 | |
| Northeast US | Connecticut | 182 | 164 | 160 | | | |
| | New York | 80 | 83 | 80 | 45 | | |
| Midwest US | Michigan | 86 | 97 | 97 | | | |
| | Minnesota | | | 101 | 101 | | |
| South US | Texas | 192 | 194 | 192 | 73 | | |
| Southwest US | Arizona | | | | | | 138/219 |
| Total (N=2443) | | 577 | 595 | 630 | 347 | 37 | 387 |

PowerPlex® Y Haplotype Database
<http://www.promega.com/techserv/tools/pplex/>

Compilation of Y STR Population Data


Appendices in Rich Schoske's Ph.D. dissertation; available on STRBase

| Locus | Population Ethnicity | Sample Size | Allele Range (Allele Frequencies) | | | | | | | | | | | | | | | | | Reference |
|--------------------|------------------------------|-------------|-----------------------------------|------|--------|--------|--------|--------|--------|----|----|----|--|--|--|--|--|--------------------|----------------------|-----------|
| | | | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | | | | | | | | |
| DYS19 | EUROPE | | | | | | | | | | | | | | | | | | | |
| | Irish/British (Germany) | 100 | | | 0.14 | 0.86 | 0.23 | 0.87 | 0.81 | | | | | | | | | | Kayser et al. 1997 | |
| | Germany | 96 | 0.07 | | 0.52 | 0.279 | 0.081 | 0.046 | 0.052 | | | | | | | | | | Camacedo et al. 2001 | |
| | Basaria (Germany) | 161 | | | NA | NA | NA | NA | NA | | | | | | | | | | Amstrong et al. 2000 | |
| | Colonia (Germany) | 163 | | | 0.041 | 0.862 | 0.268 | 0.014 | 0.058 | | | | | | | | | | Hidding et al. 2000 | |
| | South-Vuerttemberg (Germany) | 210 | | | 0.1009 | 0.5201 | 0.2431 | 0.0626 | 0.0413 | | | | | | | | | | Grise et al. 2000 | |
| | Munster (Germany) | 272 | | | 0.04 | 0.87 | 0.23 | 0.12 | 0.04 | | | | | | | | | | Kayser et al. 1997 | |
| | Irish (Germany) | 100 | | | 0.04 | 0.82 | 0.25 | 0.06 | 0.03 | | | | | | | | | | Kayser et al. 1997 | |
| | Jena (Germany) | 143 | | | 0.06 | 0.95 | 0.18 | 0.16 | 0.06 | | | | | | | | | | Kayser et al. 1997 | |
| | Stuebing (Germany) | 113 | | | 0.07 | 0.5 | 0.29 | 0.09 | 0.04 | | | | | | | | | | Kayser et al. 1997 | |
| | Hannover (Germany) | 53 | | | 0.07 | 0.69 | 0.23 | 0.13 | 0.07 | | | | | | | | | | Kayser et al. 1997 | |
| | Muehlberg (Germany) | 210 | | | 0.05 | 0.47 | 0.25 | 0.17 | 0.06 | | | | | | | | | | Kayser et al. 1997 | |
| | Braunberg (Germany) | 233 | | | 0.03 | 0.45 | 0.27 | 0.15 | 0.1 | | | | | | | | | | Kayser et al. 1997 | |
| Munich 1 (Germany) | 126 | | | 0.06 | 0.46 | 0.26 | 0.18 | 0.05 | | | | | | | | | | Kayser et al. 1997 | | |
| Munich 2 (Germany) | 259 | | | 0.16 | 0.45 | 0.17 | 0.19 | 0.03 | | | | | | | | | | Kayser et al. 1997 | | |
| Berlin 1 (Germany) | 233 | | | 0.07 | 0.39 | 0.27 | 0.21 | 0.06 | | | | | | | | | | Kayser et al. 1997 | | |
| Bremen | 49 | | | 0.1 | 0.59 | 0.16 | 0.14 | | | | | | | | | | | Kayser et al. 1997 | | |
| Ludwig | 86 | | | 0.04 | 0.7 | 0.19 | 0.03 | 0.02 | | | | | | | | | | Kayser et al. 1997 | | |
| Leicester, pooled | 339 | | | 0.01 | 0.04 | 0.46 | 0.16 | 0.07 | 0.01 | | | | | | | | | Kayser et al. 1997 | | |
| British | 41 | | | 0.05 | 0.8 | 0.1 | 0.02 | 0.02 | | | | | | | | | | Kayser et al. 1997 | | |
| Braziliana | 57 | | | 0.07 | 0.19 | 0.21 | 0.31 | 0.21 | | | | | | | | | | Kayser et al. 1997 | | |
| Norway | 300 | | | 0.07 | 0.52 | 0.213 | 0.1200 | 0.013 | | | | | | | | | | Kayser et al. 1997 | | |

- Source: over 200 published population data papers
- Helps define observed allele ranges, which aids in multiplex assay development (spacing between loci in the same dye color)
- Information is available to the community through the STRBase website – permits analysis of optimal markers for particular population

Richard Schoske Dissertation

http://www.cstl.nist.gov/biotech/strbase/pub_pres/Schoske2003dis.pdf



Graduated May 11, 2003

- Worked at NIST from Nov 2000 to May 2003
- 270 page Ph.D. dissertation
- Entitled "The design, optimization and testing of Y chromosome short tandem repeat megaplexes."
- Available for download on NIST STRBase website


Rich Schoske
 PhD student from American University
 Funded by Air Force

Standard U.S. Population Dataset

<http://www.cstl.nist.gov/biotech/strbase/NISTpop.htm>

260 Caucasians, 260 African Americans, 140 Hispanics, 3 Asians = **663 males**

DNA extracted from whole blood (anonymous; self-identified ethnicities) received from Interstate Blood Bank (Memphis, TN) and Millennium Biotech Inc. (Ft. Lauderdale, FL)



To date: (~95,000 allele calls)
 Identifier (15 autosomal markers + Amelogenin) (10,608)
 Roche Linear Arrays (HV1/HV2 10 regions) (6,630)
Y STRs 22 loci—27 amplicons (17,388)
Y STRs 27 new loci (14,535)
 Yfiler kit 17 loci (11,237)
 Y SNPs 50 markers on sub-set of samples (11,498)
 Orchid 70 autosomal SNPs on sub-set (13,230)
 miniSTR testing-new loci and CODIS concordance (9,228)
 mtDNA full control region sequences by AFDIL

Genotypes with various human identity testing markers

U.S. Population Data on 22 Y-STRs

Available online at www.sciencedirect.com

Forensic Science International 139 (2004) 107-121

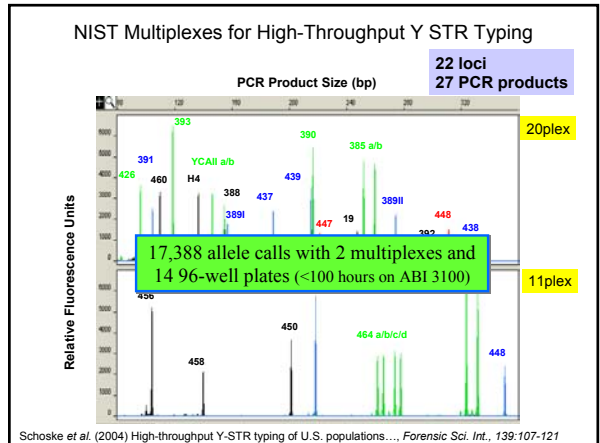
High-throughput Y-STR typing of U.S. populations with 27 regions of the Y chromosome using two multiplex PCR assays

Richard Schoske^{a,b}, Peter M. Vallone^a, Margaret C. Kline^a,
 Janette W. Redman^a, John M. Butler^{a,*}

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pdf file available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>



| US haplotype (Religene kits) | Y-STR | Pooled Population STR diversity (N=647) Rank | African American STR diversity (N=260) Rank | Caucasian STR diversity (N=244) Rank | Hispanic STR diversity (N=143) Rank |
|------------------------------|----------------|--|---|--------------------------------------|-------------------------------------|
| | DYS464 a/b/c/d | 0.956 1 | 0.954 1 | 0.934 1 | 0.937 1 |
| Yfiler (ABI) | DYS385 a/b | 0.912 2 | 0.942 2 | 0.838 2 | 0.901 2 |
| | YCAII a/b | 0.790 3 | 0.797 3 | 0.701 5 | 0.772 4 |
| | DYS458 | 0.765 4 | 0.758 5 | 0.743 3 | 0.793 3 |
| | DYS390 | 0.764 5 | 0.664 10 | 0.701 5 | 0.665 13 |
| | DYS447 | 0.747 6 | 0.767 4 | 0.683 7 | 0.748 5 |
| | DYS389II | 0.736 7 | 0.722 6 | 0.675 8 | 0.734 6 |
| | DYS448 | 0.721 8 | 0.722 6 | 0.595 11 | 0.704 8 |
| | DYS456 | 0.700 9 | 0.671 9 | 0.731 4 | 0.695 9 |
| PowerPlex Y (Promega) | DYS393 | 0.691 10 | 0.560 15 | 0.594 12 | 0.690 10 |
| | DYS19 | 0.676 11 | 0.722 6 | 0.498 19 | 0.672 12 |
| | DYS439 | 0.656 12 | 0.636 11 | 0.639 9 | 0.717 7 |
| | DYS437 | 0.637 13 | 0.499 17 | 0.583 13 | 0.624 14 |
| +C4 | H4 | 0.611 14 | 0.612 12 | 0.562 14 | 0.609 15 |
| | DYS392 | 0.609 15 | 0.434 20 | 0.596 10 | 0.673 11 |
| | DYS460 | 0.570 16 | 0.568 14 | 0.555 15 | 0.556 18 |
| | DYS389I | 0.549 17 | 0.531 16 | 0.538 17 | 0.596 16 |
| | DYS391 | 0.534 18 | 0.447 19 | 0.552 16 | 0.577 17 |
| | DYS426 | 0.519 19 | 0.375 21 | 0.482 20 | 0.522 19 |
| | DYS450 | 0.489 20 | 0.487 18 | 0.177 22 | 0.414 21 |
| | DYS393 | 0.485 21 | 0.586 13 | 0.363 21 | 0.448 20 |
| | DYS388 | 0.365 22 | 0.246 22 | 0.501 18 | 0.312 22 |

Schoske et al. (2004) High-throughput Y-STR typing of U.S. populations.... *Forensic Sci. Int.*, 139:107-121

Statistical Calculations on Y-STR Data

- Locus (gene) Diversity** = $(n/n-1)(1 - \sum p_i^2)$ where n is the number of samples in the dataset and p_i is the frequency of the i^{th} allele
- Haplotype Diversity (HD)** = $(n/n-1)(1 - \sum p_i^2)$ where n is the number of samples in the dataset and p_i is the frequency of the i^{th} haplotype
- Random Match Probability (RMP)** = 1 - HD
- Discrimination Capacity (DC)** – total number of observed haplotypes divided by the total number of individuals in the dataset
- Unique Haplotypes (UH)** – number of haplotypes that occur only once in the dataset

| Y-STR Marker Combinations | 260 African Americans | | 244 Caucasians | | 143 Hispanics | |
|---------------------------|-----------------------|--------|----------------|--------|---------------|--------|
| | HD | RMP | HD | RMP | HD | RMP |
| Y-PLEX 6 kit | 0.9974 | 0.0026 | 0.9914 | 0.0086 | 0.9934 | 0.0066 |
| "minimal" haplotype | 0.9982 | 0.0018 | 0.9946 | 0.0053 | 0.9957 | 0.0043 |
| "extended" haplotype | 0.9988 | 0.0012 | 0.9971 | 0.0029 | 0.9975 | 0.0025 |
| "U.S. haplotype" | 0.9993 | 0.0007 | 0.9974 | 0.0026 | 0.9986 | 0.0014 |
| Y-STR 11plex | 0.9993 | 0.0007 | 0.9987 | 0.0013 | 0.9992 | 0.0008 |
| Y-STR 20plex | 0.9998 | 0.0002 | 0.9998 | 0.0002 | 0.9998 | 0.0002 |
| 22 Y-STRs | 0.9999 | 0.0001 | 0.9999 | 0.0001 | 0.9999 | 0.0001 |
| Top 10 (w/o YCAII ab) | 0.9999 | 0.0001 | 0.9999 | 0.0001 | 0.9999 | 0.0001 |

| Y-STR Marker Combinations | 260 African Americans | | 244 Caucasians | | 143 Hispanics | |
|---------------------------|-----------------------|-----|----------------|-----|---------------|-----|
| | DC | UH | DC | UH | DC | UH |
| Y-PLEX 6 kit | 82.3% | 188 | 68.9% | 136 | 78.3% | 97 |
| "minimal" haplotype | 88.5% | 213 | 75.8% | 161 | 81.1% | 100 |
| "extended" haplotype | 91.9% | 227 | 83.6% | 184 | 89.5% | 120 |
| "U.S. haplotype" | 91.9% | 222 | 82.3% | 176 | 93.3% | 121 |
| Y-STR 11plex | 93.1% | 227 | 88.5% | 198 | 94.4% | 127 |
| Y-STR 20plex | 98.5% | 252 | 97.2% | 230 | 98.6% | 139 |
| 22 Y-STR Markers | 98.9% | 254 | 99.6% | 242 | 99.3% | 141 |
| Top 10 (w/o YCAII ab) | 96.9% | 244 | 97.5% | 232 | 99.3% | 141 |

Schoske et al. (2004) High-throughput Y-STR typing of U.S. populations. *Forensic Sci. Int.*, 139:107-121

Statistics with Y-STR Haplotypes

Most labs will probably go with the **counting method** (number of times a haplotype is observed in a database) as is typically done with mtDNA results

Example Y-STR Haplotype

Core US Haplotype

- DYS19 – 14
- DYS389I – 13
- DYS389II – 29
- DYS390 – 24
- DYS391 – 11
- DYS392 – 14
- DYS393 – 13
- DYS385 a/b – 11,15
- DYS438 – 12
- DYS439 – 13

Matches by Databases


- YHRD (9 loci) – 7 matches in 27,773
- YHRD (11 loci) – 0 matches in 6,281
- ReliaGene (11 loci) – 0 matches in 3,403
- PowerPlex Y (12 loci) – 0 matches in 4,004
- Yfiler (17 loci) – 0 matches in 3,561

Y-Chromosome Haplotype Reference Database

www.YHRD.org

Release "15" from 2004-12-17 16:11:24

7 matches in 27,773 individuals from 236 worldwide populations



Minimal Haplotype Result

DYS19 – 14
 DYS389I – 13
 DYS389II – 29
 DYS390 – 24
 DYS391 – 11
 DYS392 – 14
 DYS393 – 13
 DYS385 a/b – 11,15

| Population | # | Metapopulation |
|-----------------------------|---------|---------------------------|
| Bogota, Colombia [European] | 1 / 147 | Eurasian MP / European MP |
| Central Portugal | 1 / 230 | Eurasian MP / European MP |
| Cologne, Germany | 1 / 135 | Eurasian MP / European MP |
| Leipzig, Germany | 1 / 643 | Eurasian MP / European MP |
| Liguria, Italy | 1 / 81 | Eurasian MP / European MP |
| London, UK | 1 / 285 | Eurasian MP / European MP |
| Lyon, France | 1 / 125 | Eurasian MP / European MP |

Frequency Estimate Calculations

In cases where a Y-STR profile is observed a particular number of times (X) in a database containing N profiles, its frequency (p) can be calculated as follows:

$$p = X/N$$

7 matches in 27,773

$$p = 7/27,773 = 0.000252 = 0.025\%$$

An upper bound confidence interval can be placed on the profile's frequency using:

$$p + 1.96 \sqrt{\frac{p(1-p)}{N}}$$

$$0.000252 + 1.96 \sqrt{\frac{(0.000252)(1 - 0.000252)}{27,773}}$$

$$= 0.000252 + 0.000187 = 0.000439$$

$$= 0.044\% (\sim 1 \text{ in } 2270)$$

When there is no match...

In cases where the profile has not been observed in a database, the upper bound on the confidence interval is

$$1 - \alpha^{1/N}$$

0 matches in 4,004

where α is the confidence coefficient (0.05 for a 95% confidence interval) and N is the number of individuals in the database.

$$1 - \alpha^{1/N} = 1 - (0.05)^{1/4,004} = 0.000748$$

$$= 0.075\% (\sim 1 \text{ in } 1340)$$

If using database of 2,443, then the best you can do is 1 in 816

The Meaning of a Y-Chromosome Match

Conservative statement for a match report:

The Y-STR profile of the crime sample matches the Y-STR profiles of the suspect (at xxx number of loci examined). Therefore, **we cannot exclude the suspect** as being the donor of the crime sample. In addition, we cannot exclude all patrilineal related male relatives and an unknown number of unrelated males as being the donor of the crime sample.

Difficult Questions...

- Which database(s) should be used for Y-STR profile frequency estimate determination?
- Are any of the current forensic Y-STR databases truly adequate for reliable estimations of Y-STR haplotype frequencies?
 - Some individuals share identical Y-STR haplotypes due to recurrent mutations, not relatedness...
 - Is the database a random collection reflecting Y-STR haplotype frequencies of the population?
 - Is the Y-STR haplotype frequency relevant for the population of the suspect?

Issues raised by Peter de Knijff at his Promega meeting presentation (Oct 2004)

Conclusions from Peter de Knijff

From his presentation at the Promega meeting (Oct 2004)

A haplotype frequency taken from any Y-STR database should not be reported or seen as a random match probability

- Because all male relatives have the same haplotype
- Males can share haplotypes without being related

Database estimates are at most qualitative...

What Peter de Knijff Reports with a Y-STR Match

From his presentation at the Promega meeting (Oct 2004)

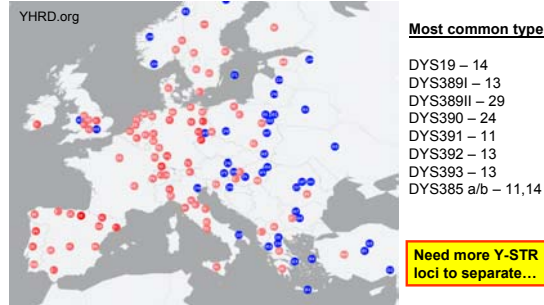
- The Y-STR profile of the stain matches with the suspect.
- Therefore, the suspect cannot be excluded as the donor of the stain.
- On the basis of this DNA evidence, I **can also not exclude all paternally related male relatives of the suspect** as possible donors of this stain.
- In addition, **an unknown number of males from the same region cannot be excluded**. A more accurate answer can only be obtained if (1) we have detailed knowledge of the population structure of the region of interest, (2) the Y-STR frequencies therein are known, and (3) we have knowledge about the family structure of the suspect.

New Y-STRs

More than 150 new Y-STR loci were characterized in June 2004. These new loci need to be studied in common sample sets including U.S. population groups in order to understand their ability to differentiate most common types and closely related individuals.

Most Common Type in Europeans

1,116 matches in 28,650 samples without DYS385 (3.9%)



606 matches in 27,773 samples (2.1%)

Most Common Type seen in 22 NIST samples (3.7%)
(from all 3 populations)

| Samples | Minimal Haplotype |
|---------|----------------------------|
| PT84633 | 11,14-29-24-14-11-13-13-13 |
| PT83902 | 11,14-29-24-14-11-13-13-13 |
| PT84244 | 11,14-29-24-14-11-13-13-13 |
| PT83874 | 11,14-29-24-14-11-13-13-13 |
| MT94875 | 11,14-29-24-14-11-13-13-13 |
| PT83535 | 11,14-29-24-14-11-13-13-13 |
| ZT80028 | 11,14-29-24-14-11-13-13-13 |
| MT96356 | 11,14-29-24-14-11-13-13-13 |
| PT84236 | 11,14-29-24-14-11-13-13-13 |
| GT37692 | 11,14-29-24-14-11-13-13-13 |
| PT83863 | 11,14-29-24-14-11-13-13-13 |
| PT84252 | 11,14-29-24-14-11-13-13-13 |
| OT05562 | 11,14-29-24-14-11-13-13-13 |
| PT83885 | 11,14-29-24-14-11-13-13-13 |
| MT97185 | 11,14-29-24-14-11-13-13-13 |
| OT07753 | 11,14-29-24-14-11-13-13-13 |
| TT51702 | 11,14-29-24-14-11-13-13-13 |
| UC10177 | 11,14-29-24-14-11-13-13-13 |
| WT51359 | 11,14-29-24-14-11-13-13-13 |
| WT52486 | 11,14-29-24-14-11-13-13-13 |
| ZT80656 | 11,14-29-24-14-11-13-13-13 |
| MT97163 | 11,14-29-24-14-11-13-13-13 |

With SWGDAM US core loci (minimal haplotype+438,439)
most common type breaks into 3 groups

| Samples | Minimal Haplotype | 438/439 |
|---------|----------------------------|---------|
| PT84633 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT83902 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT84244 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT83874 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| MT94875 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT83535 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| ZT80028 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| MT96356 | 11,14-29-24-14-11-13-13-13 | -12-12 |
| PT84236 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| GT37692 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT83863 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT84252 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| OT05562 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| PT83885 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| MT97185 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| OT07753 | 11,14-29-24-14-11-13-13-13 | -12-11 |
| TT51702 | 11,14-29-24-14-11-13-13-13 | -12-12 |
| UC10177 | 11,14-29-24-14-11-13-13-13 | -12-12 |
| WT51359 | 11,14-29-24-14-11-13-13-13 | -12-12 |
| WT52486 | 11,14-29-24-14-11-13-13-13 | -12-13 |
| ZT80656 | 11,14-29-24-14-11-13-13-13 | -12-13 |
| MT97163 | 11,14-29-24-14-11-13-13-13 | -12-13 |

With Promega's loci (minimal haplotype+438,439,437)
most common type breaks into 7 groups

| Samples | Minimal Haplotype | 438/436/437 |
|---------|----------------------------|-------------|
| PT84633 | 11,14-29-24-14-11-13-13-13 | -12-11-14 |
| PT83902 | 11,14-29-24-14-11-13-13-13 | -12-11-14 |
| PT84244 | 11,14-29-24-14-11-13-13-13 | -12-11-15 |
| PT83874 | 11,14-29-24-14-11-13-13-13 | -12-11-15 |
| MT94875 | 11,14-29-24-14-11-13-13-13 | -12-11-15 |
| PT83535 | 11,14-29-24-14-11-13-13-13 | -12-11-15 |
| ZT80028 | 11,14-29-24-14-11-13-13-13 | -12-11-16 |
| MT96356 | 11,14-29-24-14-11-13-13-13 | -12-12-14 |
| PT84236 | 11,14-29-24-14-11-13-13-13 | -12-12-14 |
| GT37692 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| PT83863 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| PT84252 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| OT05562 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| PT83885 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| MT97185 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| OT07753 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| TT51702 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| UC10177 | 11,14-29-24-14-11-13-13-13 | -12-12-15 |
| WT51359 | 11,14-29-24-14-11-13-13-13 | -12-13-14 |
| WT52486 | 11,14-29-24-14-11-13-13-13 | -12-13-14 |
| ZT80656 | 11,14-29-24-14-11-13-13-13 | -12-13-15 |
| MT97163 | 11,14-29-24-14-11-13-13-13 | -12-13-15 |

With NIST 27 Y STRs (2 multiplexes) most common type
breaks into 22 different groups (all samples differentiated)

| Samples | Minimal Haplotype | 438/436/437/464/467/468/469/470/471/472/473/474/475/476/477/478/479/480/481/482/483/484/485/486/487/488/489/490/491/492/493/494/495/496/497/498/499/500 |
|---------|----------------------------|---|
| PT84633 | 11,14-29-24-14-11-13-13-13 | -12-11-14-19,23-15,16,17,-12-12,25-22-9-15-17-11-12 |
| PT83902 | 11,14-29-24-14-11-13-13-13 | -12-11-14-19,23-14,16,17,-12-12,25-21-9-15-17-11-11 |
| PT84244 | 11,14-29-24-14-11-13-13-13 | -12-11-15-19,23-14,15,17,-12-12,25-21-9-15-17-11-12 |
| PT83874 | 11,14-29-24-14-11-13-13-13 | -12-11-15-19,23-15,16,17,-12-12,25-22-9-16-17-11-12 |
| MT94875 | 11,14-29-24-14-11-13-13-13 | -12-11-15-19,23-15,16,18,-12-12,24-22-9-17-11-11 |
| PT83535 | 11,14-29-24-14-11-13-13-13 | -12-11-15-19,23-16,-,-12-12,24-21-9-16-17-11-12 |
| ZT80028 | 11,14-29-24-14-11-13-13-13 | -12-11-16-19,23-15,17,18,-12-12,25-22-9-16-17-11-11 |
| MT96356 | 11,14-29-24-14-11-13-13-13 | -12-12-14-22,23-15,16,17,-12-12,25-21-9-15-18-11-11 |
| PT84236 | 11,14-29-24-14-11-13-13-13 | -12-12-14-19,23-15,16,-,-12-12-25-22-9-16-17-11-13 |
| GT37692 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-14,15,16,18,-12-12-26-22-9-16-16-11-13 |
| PT83863 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-14,15,17,-12-12,25-22-9-15-17-11-11 |
| PT84252 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-15,16,17,-12-12,25-22-9-16-18-11-12 |
| OT05562 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-15,16,17,-12-12,26-23-9-16-17-12-12 |
| PT83885 | 11,14-29-24-14-11-13-13-13 | -12-12-15-20,23-15,17,-,-12-12,25-22-9-17-12-12 |
| MT97185 | 11,14-29-24-14-11-13-13-13 | -12-12-15-23,23-15,16,-,-16-17-10-12 |
| OT07753 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-15,17,-,-15-17-11-12 |
| TT51702 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-14,15,17,-16-17-11-12 |
| UC10177 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-15,16,17,-15-17-11-12 |
| WT51359 | 11,14-29-24-14-11-13-13-13 | -12-12-15-19,23-15,16,17,-15-18-11-12 |
| WT52486 | 11,14-29-24-14-11-13-13-13 | -12-13-14-19,23-14,17,-16-18-11-12 |
| ZT80656 | 11,14-29-24-14-11-13-13-13 | -12-13-15-19,24-15,17,-14-17-11-12 |
| MT97163 | 11,14-29-24-14-11-13-13-13 | -12-13-15-19,23-15,17,18,-16-17-11-13 |

New Y-STR paper

June 2004 issue of American Journal of Human Genetics

Am. J. Hum. Genet. 74:1183-1197, 2004

A Comprehensive Survey of Human Y-Chromosomal Microsatellites

Manfred Kayser,^{1,*} Ralf Kittler,^{1,4} Axel Erler,^{1,4} Minntu Hedman,² Andrew C. Lee,³ Aisha Mohyuddin,^{1,5} S. Qasim Mehdi,¹ Zoë Rosser,¹ Mark Stoneking,¹ Mark A. Jobling,³ Antti Sajaniemi,² and Chris Tyler-Smith^{1,6}

¹Department of Evolutionary Genetics, Max Planck Institute for Evolutionary Anthropology, Leipzig; ²Department of Forensic Medicine, University of Helsinki, Helsinki; ³Department of Genetics, University of Leicester, Leicester, United Kingdom; ⁴Department of Biochemistry, University of Oxford, Oxford; ⁵Biomedical and Genetic Engineering Laboratories, Islamabad; and ⁶The Wellcome Trust Sanger Institute, Hinxton, Cambridge, United Kingdom

- Searched for all regions with ≥8 consecutive repeats and 2,3,4,5, or 6 bp repeat units
- Discovered 139 new polymorphic Y-STR loci (166 male-specific)
- Only studied so far in 8 different samples

NIST Work with New Y-STR Loci

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Forensic Science International xxx (2005) xxx-xxx

Announcement of population data

Allele frequencies for 27 Y-STR loci with U.S. Caucasian, African American, and Hispanic samples

John M. Butler*, Amy E. Decker, Peter M. Vullone, Margaret C. Kline

Biotechnology Division, National Institute of Standards and Technology, Gaithersburg, MD 20899-8311, USA

Received 26 January 2005; received in revised form 22 February 2005; accepted 22 February 2005

Abstract

A total of 263 U.S. Caucasians, 260 African Americans and 140 U.S. Hispanics or a subset of 31 Caucasians, 32 African Americans, and 32 Hispanics were typed for 27 Y-chromosome short tandem repeat (Y-STR) markers: DYS444, DYS446, DYS449, DYS463, DYS485, DYS486, DYS499, DYS504, DYS506, DYS508, DYS510, DYS512, DYS525, DYS532, DYS533, DYS534, DYS540, DYS556, DYS557, DYS570, DYS575, DYS576, DYS594, DYS602, DYS603, DYS641, and DYS643. Allele frequencies for each locus are reported along with nomenclature based on sequence analysis.

Number of Alleles Seen with Various Y-STR Loci in Same Set of 95 U.S. Samples

| Set | Locus ID | Dye | Length Range (bp) | # of alleles |
|-----|----------|-----|-------------------|--------------|
| B | DYS632 | VIC | 153-157 | 2 |
| G | DYS575 | FAM | 221-225 | 2 |
| E | DYS556 | FAM | 214-222 | 3 |
| E | DYS641 | NED | 222-230 | 3 |
| G | DYS540 | NED | 265-277 | 4 |
| J | DYS522 | VIC | 359-370 | 4 |
| A | DYS495 | FAM | 133-146 | 5 |
| G | DYS594 | VIC | 264-289 | 5 |
| C | DYS505 | FAM | 189-187 | 6 |
| C | DYS508 | VIC | 180-200 | 6 |
| C | DYS520 | NED | 181-201 | 6 |
| E | DYS533 | VIC | 209-230 | 6 |
| J | DYS446 | FAM | 298-325 | 6 |
| H | DYS444 | NED | 299-325 | 6 |
| B | DYS643 | FAM | 135-167 | 7 |
| D | DYS534 | NED | 202-227 | 7 |
| D | DYS557 | VIC | 196-219 | 7 |
| H | DYS525 | VIC | 303-328 | 7 |
| J | DYS532 | NED | 496-490 | 7 |
| D | DYS576 | FAM | 176-205 | 8 |
| A | DYS485 | NED | 138-164 | 8 |
| H | DYS504 | FAM | 270-303 | 9 |
| F | DYS463 | FAM | 227-277 | 9 |
| F | DYS570 | NED | 251-288 | 10 |
| A | DYS490 | VIC | 126-158 | 10 |
| B | DYS449 | NED | 341-381 | 12 |

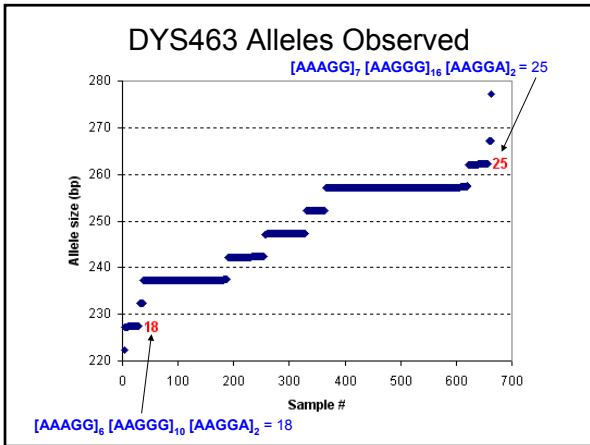
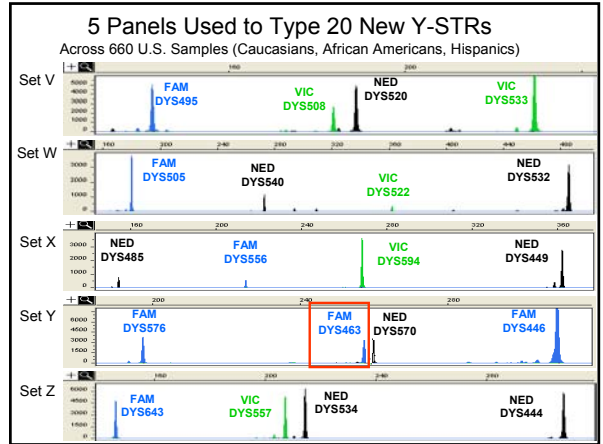
Loci Not Pursued Further...

Low Number of Alleles
 DYS632
 DYS575

Primers Gave Artifacts in Female
 DYS490 – duplicated and on chr X
 DYS504
 DYS525
 DYS557

14,535 types generated across 27 loci

Combo 1 plate
 31 Caucasians
 32 African Americans
 32 Hispanics

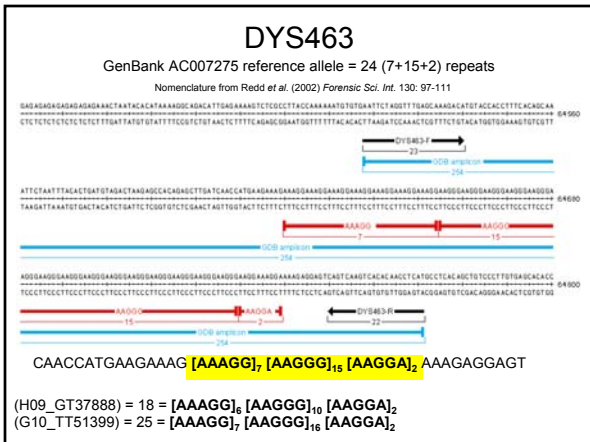


Conversion to Allele Frequency Information

| Locus | Allele | Size Range (bp) | Count | Combined Freq (N = 661) |
|--------|---------|-----------------|-------|-------------------------|
| DYS463 | 17 | 222.45 | 1 | 0.0015 |
| | 18 | 227.34-227.44 | 27 | 0.0408 |
| | 19 | 232.30-232.39 | 7 | 0.0106 |
| | 20 | 237.24-237.44 | 151 | 0.2284 |
| | 21 | 242.21-242.41 | 67 | 0.1014 |
| | 22 | 247.12-247.40 | 74 | 0.1120 |
| | 23 | 252.13-252.33 | 35 | 0.0530 |
| | 24 | 257.05-257.49 | 256 | 0.3873 |
| | 25 | 262.01-262.26 | 37 | 0.0560 |
| | 26 | 267.05-267.21 | 5 | 0.0076 |
| | 28 | 277.22 | 1 | 0.0015 |
| | failure | | 2 | |
| | TOTAL | | 661 | |

STR diversity **0.7684**

$D = (n/n-1)(1 - \sum x^2)$



Forensic Sci. Int. (2001) 124: 5-10

ELSEVIER Forensic Science International 124 (2001) 5-10

DNA Commission of the International Society of Forensic Genetics: recommendations on forensic analysis using Y-chromosome STRs

P. Gill^{a,*}, C. Brenner^b, B. Brinkmann^c, B. Budowle^d, A. Carracedo^e, M.A. Jobling^f, P. de Knijff^g, M. Kayser^h, M. Krawczakⁱ, W.R. Mayr^j, N. Morling^k, B. Olaisen^l, V. Pascali^m, M. Prinzⁿ, L. Roewer^o, P.M. Schneider^p, A. Sajantila^q, C. Tyler-Smith^r

ISFG Guidelines for Y STRs

- Locus nomenclature should be DYS number if possible
- Allelic ladders should be used
- Allele nomenclature discussed...

ISFG Updated Y-STR Recommendations



Probability of Finding No Mutation or at Least One Mutation Between Two Y-STR Haplotypes in a Single Generation

Using average mutation rate of 0.28% (Kayser et al. AJHG 2000, 66:1580-1588)

| # STRs | Prob. no mutation | Prob. at least one mutation |
|-----------|-------------------|-----------------------------|
| 1 | 0.99720000 | 0.00280000 |
| 2 | 0.99440784 | 0.00559216 |
| 3 | 0.99162350 | 0.00837650 |
| 4 | 0.98884695 | 0.01115305 |
| 5 | 0.98607818 | 0.01392182 |
| 6 | 0.98331716 | 0.01668284 |
| 7 | 0.98056387 | 0.01943613 |
| 8 | 0.97781829 | 0.02218171 |
| 9 | 0.97508040 | 0.02491960 |
| 10 | 0.97235018 | 0.02764982 |
| 11 | 0.96962760 | 0.03037240 |
| 12 | 0.96691264 | 0.03308736 |
| ... | | |
| 40 | 0.89390382 | 0.10609618 |

3.3% with 12 Y-STRs

Gusmão et al. (2005) *Forensic Sci. Int.*, in press

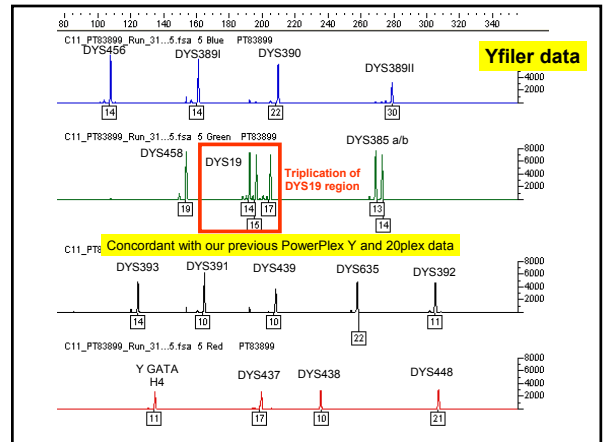
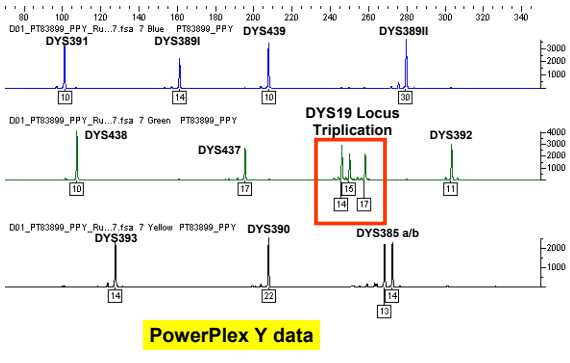
Separating Brothers with 47 Y-STRs

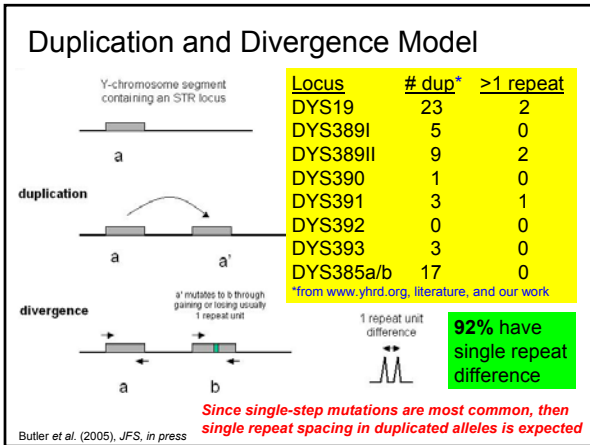
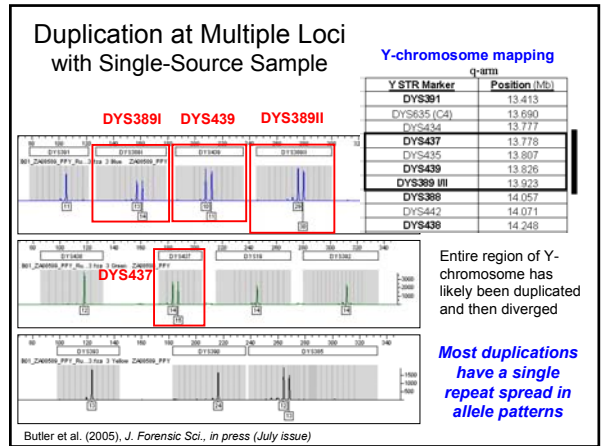
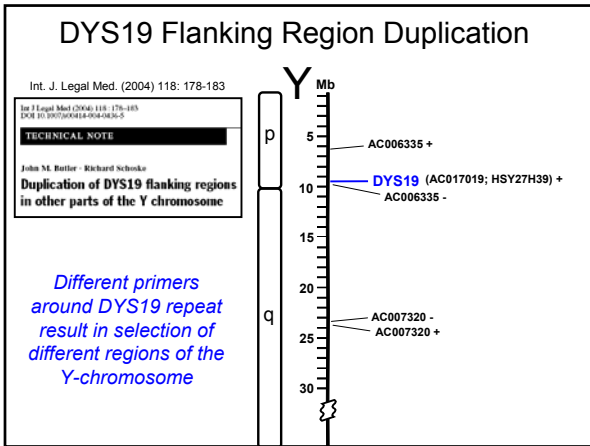
- Two suspected brothers (ZT79338 and ZT79339) are part of our ~660 U.S. sample dataset at NIST.
- Thus far, we have generated 47 Y-STR allele calls on these samples.
- **A mutation at DYS391 separates these individuals** (one contains allele 11 and the other allele 10).
- These samples share autosomal STR alleles and contain identical mtDNA sequences.

Locus Duplication and Deletion

Events that impact Y-STR interpretation

Locus Triplication at DYS19



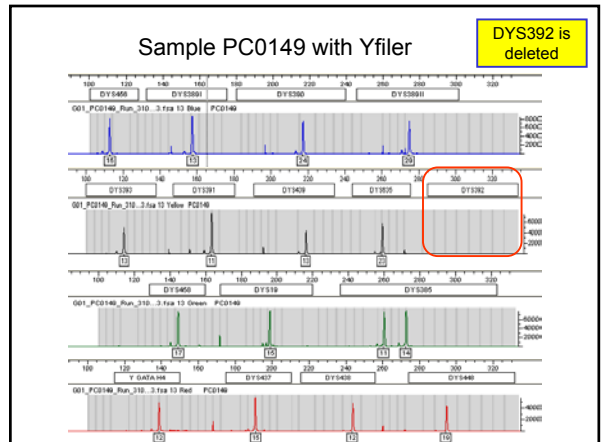
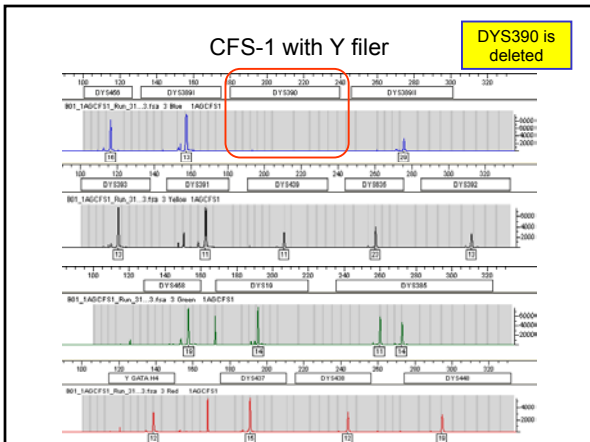


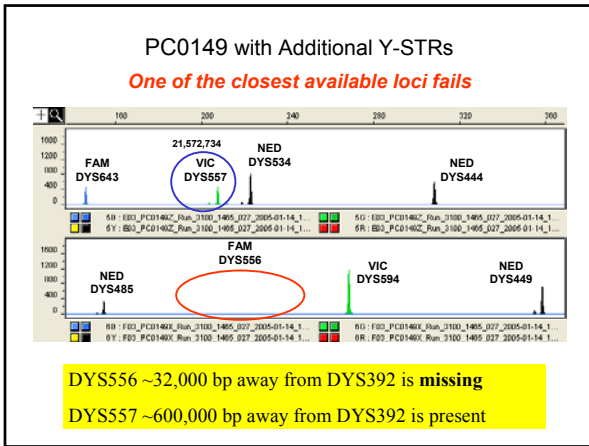
Deciphering between a Mixture of Multiple Males and Locus Duplication

- Note the number of loci containing >1 allele (other than multi-copy DYS385)
- Consider relative position on the Y-chromosome if multiple loci have two alleles
- See if repeat spread is >1 repeat unit
- Examine DYS385 for presence of >2 alleles

Locus duplication along the Y-chromosome is in many ways analogous to heteroplasmy in mitochondrial DNA, which depending on the circumstances can provide greater strength to a match between two DNA samples.

Butler et al. (2005), J. Forensic Sci., in press (July issue)





Deletions of some Y-STRs can be an inadvertent diagnosis of male infertility

King et al. (2005) Inadvertent diagnosis of male infertility through genealogical DNA testing. *J. Med. Genet.* 42:366-368

- **AZF_a deletion** (<1 in 100,000 men): expected to lack **DYS389II**, **DYS437**, **DYS438**, **DYS439**
- **AZF_b deletion** (very rare): expected to lack **DYS385** and **DYS392**
- **AZF_c deletion** (1 in 4,000 men): expected to lack **DYS464**
- Possible that "incomplete" haplotypes are not being submitted to the Y-STR haplotype databases
- Thus, Y-STRs are not neutral with respect to fertility information

Promega sells a Y-deletion test for infertility testing

Y Chromosome Deletion Detection System, Version 2.0

Technical Manual No. 610
<http://www.promega.com/tbs/tm248/tm248.pdf>

| Marker | Product |
|-----------|---------|
| 1. S114 | STR |
| 2. S111 | D1S271 |
| 3. S118 | Y11 |
| 4. S116 | Y11 |
| 5. S112 | Y11 |
| 6. S115 | Y11 |
| 7. S113 | Y11 |
| 8. S117 | Y11 |
| 9. S114 | Y11 |
| 10. S111 | Y11 |
| 11. S118 | Y11 |
| 12. S116 | Y11 |
| 13. S112 | Y11 |
| 14. S115 | Y11 |
| 15. S113 | Y11 |
| 16. S117 | Y11 |
| 17. S114 | Y11 |
| 18. S111 | Y11 |
| 19. S118 | Y11 |
| 20. S116 | Y11 |
| 21. S112 | Y11 |
| 22. S115 | Y11 |
| 23. S113 | Y11 |
| 24. S117 | Y11 |
| 25. S114 | Y11 |
| 26. S111 | Y11 |
| 27. S118 | Y11 |
| 28. S116 | Y11 |
| 29. S112 | Y11 |
| 30. S115 | Y11 |
| 31. S113 | Y11 |
| 32. S117 | Y11 |
| 33. S114 | Y11 |
| 34. S111 | Y11 |
| 35. S118 | Y11 |
| 36. S116 | Y11 |
| 37. S112 | Y11 |
| 38. S115 | Y11 |
| 39. S113 | Y11 |
| 40. S117 | Y11 |
| 41. S114 | Y11 |
| 42. S111 | Y11 |
| 43. S118 | Y11 |
| 44. S116 | Y11 |
| 45. S112 | Y11 |
| 46. S115 | Y11 |
| 47. S113 | Y11 |
| 48. S117 | Y11 |
| 49. S114 | Y11 |
| 50. S111 | Y11 |
| 51. S118 | Y11 |
| 52. S116 | Y11 |
| 53. S112 | Y11 |
| 54. S115 | Y11 |
| 55. S113 | Y11 |
| 56. S117 | Y11 |
| 57. S114 | Y11 |
| 58. S111 | Y11 |
| 59. S118 | Y11 |
| 60. S116 | Y11 |
| 61. S112 | Y11 |
| 62. S115 | Y11 |
| 63. S113 | Y11 |
| 64. S117 | Y11 |
| 65. S114 | Y11 |
| 66. S111 | Y11 |
| 67. S118 | Y11 |
| 68. S116 | Y11 |
| 69. S112 | Y11 |
| 70. S115 | Y11 |
| 71. S113 | Y11 |
| 72. S117 | Y11 |
| 73. S114 | Y11 |
| 74. S111 | Y11 |
| 75. S118 | Y11 |
| 76. S116 | Y11 |
| 77. S112 | Y11 |
| 78. S115 | Y11 |
| 79. S113 | Y11 |
| 80. S117 | Y11 |
| 81. S114 | Y11 |
| 82. S111 | Y11 |
| 83. S118 | Y11 |
| 84. S116 | Y11 |
| 85. S112 | Y11 |
| 86. S115 | Y11 |
| 87. S113 | Y11 |
| 88. S117 | Y11 |
| 89. S114 | Y11 |
| 90. S111 | Y11 |
| 91. S118 | Y11 |
| 92. S116 | Y11 |
| 93. S112 | Y11 |
| 94. S115 | Y11 |
| 95. S113 | Y11 |
| 96. S117 | Y11 |
| 97. S114 | Y11 |
| 98. S111 | Y11 |
| 99. S118 | Y11 |
| 100. S116 | Y11 |

Y-SNPs

Recent Forensic Science Service Work with Y-SNPs

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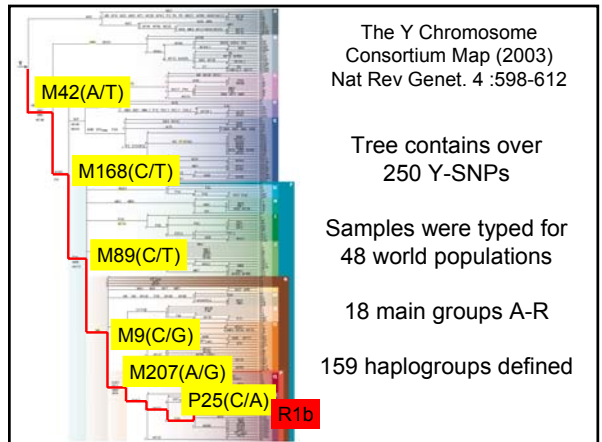
Inferring the population of origin of DNA evidence within the UK by allele-specific hybridization of Y-SNPs

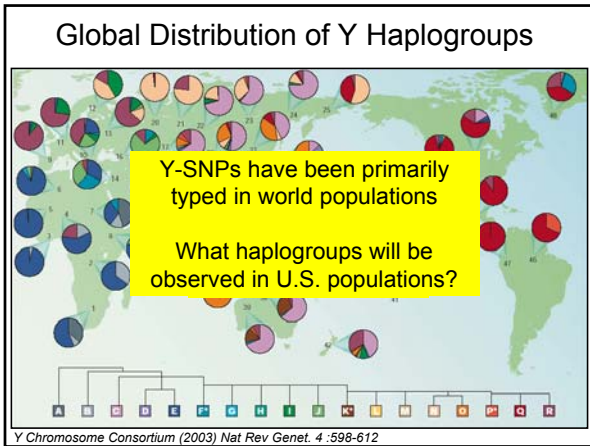
Jon H. Wetton^a, Kam W. Tsang, Haroon Khan

The Forensic Science Service, R&D, Police Court 2900, Suffolk Parkway, Birmingham Business Park, Solihull B37 7YK, UK

Received 11 October 2004; accepted 8 March 2005

Abstract
 Marked differences in Y-SNP allele frequencies between continental populations can be used to predict the biogeographic origin of a man's ancestral paternal lineage. Using 627 samples collected from individuals within the UK with pale-skinned Caucasian, dark-skinned Caucasian, African/Caribbean, South Asian, East Asian or Middle Eastern appearance we demonstrate that an individual's Y-SNP haplogroup is also strongly correlated with their physical appearance. Furthermore, experimental evaluation of the Marigen Signet™ Y-SNP kit in conjunction with the Luminex 100 detection instrument indicates that reliable and reproducible haplogrouping results can be obtained from 1 ng or more of target template derived from a variety of forensic evidence types including, blood, saliva and post-coital vaginal swabs. The test proved highly male-specific with reliable results being generated in the presence of a 1000-fold excess of female DNA, and no anomalous results were observed during degradation studies despite a gradual loss of typable loci. Hence, Y-SNP haplogrouping has considerable potential forensic utility in predicting likely ethnic appearance.





Y-SNPs in U.S. populations

What haplogroups will be observed?

How specific will certain Y-SNPs be for a U.S. population group?

Forensic utility in comparison/addition to Y-STRs

Commercial kit (Marligen) 42 Y-SNPs

Medium sized multiplexes developed in-house (CE or MS)

Approaches to Y SNP Typing

Multi-Color Capillary Electrophoresis (ABI 310 or 3100)

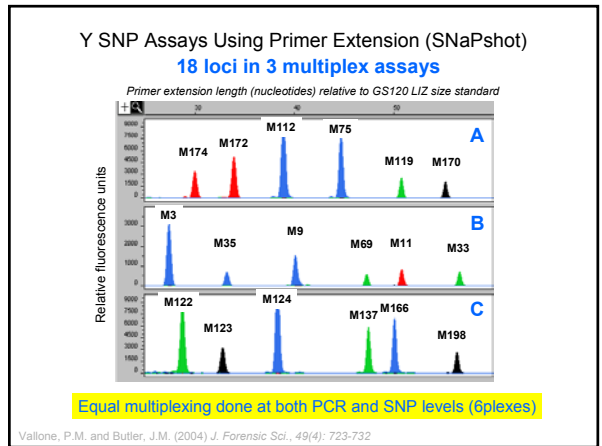
Primer extension (SNaPshot assays)

Luminex 100 Flow Cytometer

Allele-specific hybridization (Marilyn Signet Y SNP kit)

| M172-G | M172-T |
|--------|--------|
| 267 | 73.5 |
| 114 | 238.5 |

Allele fluorescent counts on Luminex system



SNP Detection by Hybridization

Luminex Bead Array Assay

Allele B: A T G
Allele A: A T C G

PCR product

100 different colored beads are possible (potential for multiplexing 50 SNP markers)

Luminex 100 Flow Cytometer

MARLIGEN BIOSCIENCE Signet™ Y-SNP Typing System (42 Y-SNPs + AMEL)

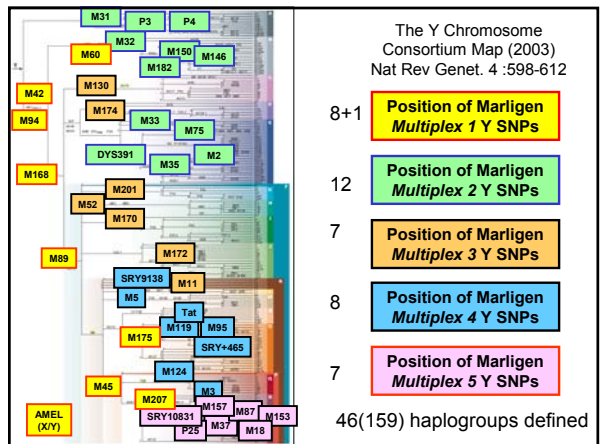
Signal from PCR product

| Marker | Allele | Signal |
|--------|--------|--------|
| M2 | G | High |
| M3 | C | High |
| M45 | A | High |

~30 seconds to process each sample

Detects labeled PCR product

Identity of bead (probe)



Y-SNPs Typed at NIST

42 SNPs + Amelogenin present in 5 multiplexes
 (commercially available kit from **Marigen**)

18 SNPs in 3 NIST-designed 6plexes (8 unique)
 10 SNPs in 2 NIST-designed 5plexes (1 unique)

19 of the SNP sites overlapped...

Resulting in a total of 51 Y-SNPs

115 African Americans
 114 Caucasians
 95 Hispanics (presently typed for 10 Y-SNPs)



Potential Use for Y SNPs...

Good ethnic separation (African American)

Good ethnic separation (Caucasian)

| SWGDAM Samples | M207 A/G | M46 G/A | M69 C/T | DYS391 C/G | M2 A/G | M170 A/C | M172 T/G | M201 G/T | M153 T/A | SRY10831 A/G | Hg | Frequency |
|----------------|----------|---------|---------|------------|--------|----------|----------|----------|----------|--------------|-----|-----------|
| AA1 | A | G | C | G | G | A | T | G | T | G | E3a | 40% |
| AA2 | A | G | C | G | G | A | T | G | T | G | | |
| AA3 | A | G | C | G | G | A | T | G | T | G | | |
| AA4 | A | G | C | G | G | A | T | G | T | G | | |
| AA5 | A | G | C | G | G | A | T | G | T | G | | |
| AA7 | A | G | C | G | G | A | T | G | T | G | | |
| AA8 | A | G | C | G | G | A | T | G | T | G | | |
| AA10 | A | G | C | G | G | A | T | G | T | G | | |
| AA11 | A | G | C | G | G | A | T | G | T | G | | |
| AA12 | A | G | C | G | G | A | T | G | T | G | | |
| AA15 | A | G | C | G | G | A | T | G | T | G | | |
| AA16 | A | G | C | G | G | A | T | G | T | G | | |
| AA18 | A | G | C | G | G | A | T | G | T | G | | |
| AA19 | A | G | C | G | G | A | T | G | T | G | | |
| AA20 | A | G | C | G | G | A | T | G | T | G | | |
| AA5 | A | G | C | G | G | A | T | G | T | G | | |
| C2 | G | A | T | C | A | A | T | G | T | G | E3* | 3% |
| C6 | A | G | T | C | A | A | G | T | G | T | J2 | 3% |
| C7 | A | G | T | C | A | A | T | G | T | G | G | 3% |
| AA9 | A | G | T | C | A | A | T | G | T | G | I | 10% |
| AA14 | A | G | T | C | A | A | T | G | T | G | | |
| C3 | A | G | T | C | A | A | T | G | T | G | | |
| C18 | A | G | T | C | A | A | T | G | T | G | | |
| AA13 | G | A | T | C | A | A | T | G | T | G | R | 36% |
| AA17 | G | A | T | C | A | A | T | G | T | G | | |
| C1 | G | A | T | C | A | A | T | G | T | G | | |
| C22 | G | A | T | C | A | A | T | G | T | G | | |
| C4 | G | A | T | C | A | A | T | G | T | G | | |
| C5 | G | A | T | C | A | A | T | G | T | G | | |
| C8 | G | A | T | C | A | A | T | G | T | G | | |
| C10 | G | A | T | C | A | A | T | G | T | G | | |
| C11 | G | A | T | C | A | A | T | G | T | G | | |
| C13 | G | A | T | C | A | A | T | G | T | G | | |
| C14 | G | A | T | C | A | A | T | G | T | G | | |
| C16 | G | A | T | C | A | A | T | G | T | G | | |
| C17 | G | A | T | C | A | A | T | G | T | G | | |
| C19 | G | A | T | C | A | A | T | G | T | G | | |
| C20 | G | A | T | C | A | A | T | G | T | G | | |
| C12 | G | A | T | C | A | A | T | G | T | A | R1a | 3% |
| C15 | G | A | T | C | A | A | T | G | A | G | R1b | 3% |

Publication on U.S. Groups with Y-SNPs

J. Forensic Sci. 2004; 49(4): 723-732

J. Forensic Sci., July 2004, Vol. 49, No. 4
 Paper ID JFS2003303
 Available online at: www.aafm.org

Peter M. Vallone,¹ Ph.D. and John M. Butler,¹ Ph.D.

Y-SNP Typing of U.S. African American and Caucasian Samples Using Allele-Specific Hybridization and Primer Extension*

Different technologies yield the same Y-SNP type
 Full concordance was observed between hybridization and primer extension technologies on 18 different Y-SNPs (>3,800 allele calls)

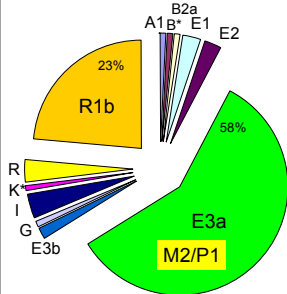
Y-SNPs will have limited value for individualizing a sample
 18 different types observed in 229 individuals

Current Y-SNPs appear to have limited value for ethnic differentiation in U.S. populations (with the exception of M2 that is only found in African Americans and not in Caucasians)

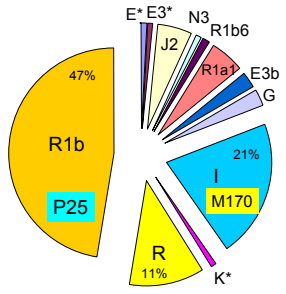


24 plates x 96 samples x 6plexes => 13,000 Y SNP allele calls

Y-SNP haplogroups for 115 African Americans



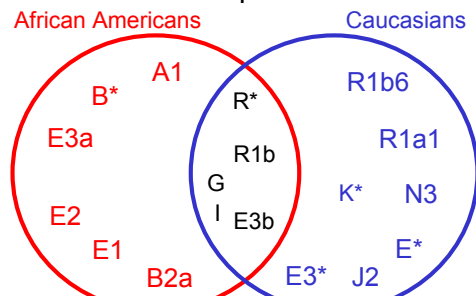
Y-SNP haplogroups for 114 Caucasians



18 different haplogroups observed in 229 males

Vallone, P.M. and Butler, J.M. (2004) *J. Forensic Sci.*, 49(4): 723-732

Observed Haplogroups in Two U.S. Populations



18 total Hgs; 5 shared

Vallone, P.M. and Butler, J.M. (2004) Y SNP typing... *Progress in Forensic Genetics* 10, pp. 85-87

Y-SNP Typing Conclusions

- Different technologies yield the same Y-SNP type
 - Full concordance was observed between hybridization and primer extension technologies on 18 different Y-SNPs (>3,800 allele calls)
- Y-SNPs will have limited value for individualizing a sample
 - 18 different types observed in 229 individuals
- Current Y-SNPs appear to have limited value for ethnic differentiation in U.S. populations
 - One exception: M2 only in African Americans; not in Caucasians

Vallone, P.M. and Butler, J.M. (2004) *J. Forensic Sci.*, 49(4): 723-732

Summary of NIST Y-Chromosome Work

- Standardize information resources on Y-STRs and nomenclature for alleles
- Understand variation in U.S. populations so the best loci can be selected for commercial kits
- Construct multiplex assays to quickly evaluate loci
- Provide reference material for laboratory calibration (SRM 2395)

Y-Chromosome Publications from NIST (1)

pdf files available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

- Butler, J.M., Schoske, R., Vallone, P.M., Kline, M.C., Redd, A.J., Hammer, M.F. (2002) A novel multiplex for simultaneous amplification of 20 Y chromosome STR markers. *Forensic Sci. Int.* 129: 10-24.
- Redd, A.J., Agellon, A.B., Kearney, V.A., Karafet, T., de Knijff, P., Park, H., Butler, J.M., Hammer, M.F. (2002) Forensic value of fourteen novel STRs on the human Y chromosome. *Forensic Sci. Int.* 130: 97-111.
- Butler, J.M. (2003) Recent developments in Y-short tandem repeat and Y-single nucleotide polymorphism analysis. *Forensic Sci. Rev.* 15:91-111.
- Schoske, R., Vallone, P.M., Ruitberg, C.M., Butler, J.M. (2003) Multiplex PCR design strategy used for the simultaneous amplification of 10 Y chromosome short tandem repeat (STR) loci. *Anal. Bioanal. Chem.*, 375: 333-343.
- Butler, J.M., Schoske, R., Vallone, P.M. Highly multiplexed assays for measuring polymorphisms on the Y-chromosome. (2003) *Progress in Forensic Genetics 9* (Brinkmann, B. and Carracedo, A., eds.), Elsevier Science: Amsterdam, The Netherlands, International Congress Series 1239, pp. 301-305.

Y-Chromosome Publications from NIST (2)

pdf files available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

- Schoske, R. (2003) The design, optimization and testing of Y chromosome short tandem repeat megaplexes. PhD dissertation, American University, 270 pp.
- Schoske, R., Vallone, P.M., Kline, M.C., Redman, J.W., Butler, J.M. (2004) High-throughput Y-STR typing of U.S. populations with 27 regions of the Y chromosome using two multiplex PCR assays. *Forensic Sci. Int.* 139: 107-121.
- Vallone, P.M. and Butler, J.M. (2004) Multiplexed assays for evaluation of Y-SNP markers in U.S. populations. *Progress in Forensic Genetics 10*, Elsevier Science: Amsterdam, The Netherlands, International Congress Series 1261, 85-87.
- Butler, J.M. and Schoske, R. (2004) Forensic value of the multi-copy Y-STR marker DYS464. *Progress in Forensic Genetics 10*, Elsevier Science: Amsterdam, The Netherlands, International Congress Series 1261, 278-280.
- Butler, J.M. and Schoske, R. (2004) Duplication of DYS19 flanking regions in other parts of the Y chromosome. *Int. J. Legal Med.*, 118: 178-183.

Y-Chromosome Publications from NIST (3)

pdf files available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

- Vallone, P.M. and Butler, J.M. (2004) Y-SNP typing of U.S. African American and Caucasian samples using allele-specific hybridization and primer extension. *J. Forensic Sci.* 49(4): 723-732.
- Butler, J.M. (2005) Constructing STR multiplex assays. *Methods in Molecular Biology: Forensic DNA Typing Protocols* (Carracedo, A., ed.), Humana Press: Totowa, New Jersey, 297: 53-66.
- Vallone, P.M., Fahr, K., Kostrzewa, M. (2005) Genotyping SNPs using a UV-photocleavable oligonucleotide in MALDI-TOF MS. *Methods in Molecular Biology: Forensic DNA Typing Protocols* (Carracedo, A., ed.), Humana Press: Totowa, New Jersey, 297: 169-178.
- Butler, J.M., Decker, A.E., Kline, M.C., Vallone, P.M. (2005) Chromosomal duplications along the Y-chromosome and their potential impact on Y-STR interpretation. *J. Forensic Sci.*, in press.

Y-Chromosome Publications from NIST (4)

pdf files available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

- Butler, J.M. and Schoske, R. (2005) U.S. population data for the multi-copy Y-STR locus DYS464. *J. Forensic Sci.*, in press.
- Butler, J.M., Appleby, J.E., Duewer, D.L. (2005) Locus-specific brackets for reliable typing of Y-chromosome short tandem repeat markers. *Electrophoresis*, in press.
- Butler, J.M., Decker, A.E., Vallone, P.M., Kline, M.C. (2005) Allele frequencies for 27 Y-STR Loci with U.S. Caucasian, African American, and Hispanic Samples. *Forensic Sci. Int.*, in press.
- Gusmão L, Butler JM, Carracedo A, Gill P, Kayser M, Mayr WR, Morling N, Prinz M, Roewer L, Schneider PM, Tyler-Smith C (2005) DNA Commission of the International Society of Forensic Genetics (ISFG): An update of the recommendations on the use of Y-STRs in forensic analysis. *Forensic Sci. Int.*, in press

International Forensic Y-User Workshops

- Next meeting (5th): Sept 26-30, 2006 (Innsbruck, Austria) – will also cover mtDNA
- 1st – Berlin, Germany June 1996
- 2nd – Berlin, Germany June 2000
- 3rd – Porto, Portugal Nov 2002
- 4th – Berlin, Germany Nov 2004

For more information, see: <http://www.yhrd.org/index.html>

