

August 9, 1999



UNITED STATES DEPARTMENT OF COMMERCE
Bureau of the Census
Washington, DC 20233-0001

FTSR Letter No. 168

To: U.S. Customs Service Headquarters, Customs Management Centers, Port Directors, Department of Commerce District Offices, Freight Forwarders, Exporters, Exporting Carriers, Consolidators, and All Others Concerned

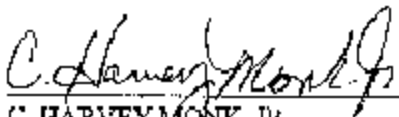
From: U.S. Census Bureau (Census Bureau) and
U.S. Customs Service (Customs)

Subject: Shipper's Export Declaration (SED) Exemption Statements to be Reported on the Bill of Lading, Air Waybill, Other Loading Documents for Carrier Use, or the Carrier Outbound Manifest

Whenever an exemption from the requirement for filing a SED is provided in the Foreign Trade Statistics Regulations (FTSR), 15 CFR Part 30, a notation, or exemption statement, describing the basis for the exemption shall be made on the first page of the bill of lading, air waybill, other loading document for carrier use, or the carrier outbound manifest. The exemption citation must reference the number of the section or provision in the FTSR where the particular exemption is provided so that the carrier at the time of lading, and the Customs Port Director at the time of exportation may verify that no declaration is required.

With the implementation of the Automated Export System (AES) a new series of exemption statements is being initiated and space requirements may result in reporting problems for filers. In order to accommodate this situation the Census Bureau is providing alternative acceptable exemption statements that filers may use when reporting an exemption from the SED filing requirement. The exemption statements listed on the attachment have been approved by Census Bureau and Customs officials for use on the above referenced documents when the SED is not required. In all cases the preferred method is to spell out the complete exemption statement on the manifest or appropriate loading document as to why the SED is not required with the appropriate FTSR (15 CFR Part 30) regulatory citation. Example: **NO SED REQUIRED FTSR 30.55h** (for value under \$2,500). However, if that is not possible because of space concerns, the attached exemption statement alternatives are acceptable. The standard exemption provisions from filing the SED are found in 15 CFR Part 30, Subpart D, Sections 30.50 through 30.58. The AES exemption provision is found in 15 CFR Part 30, Subpart E, Section 30.65.

Every effort should be made by exporters, forwarding agents and carriers to comply with these requirements. If you have any questions concerning these requirements, please contact the Regulations, Outreach, and Education Branch, Foreign Trade Division, U.S. Census Bureau on 301-457-2238, or the Director of Outbound Programs, U.S. Customs Service on 202-927-6060.


C. HARVEY MONK, JR.
Chief, Foreign Trade Division
U.S. Census Bureau


PETER J. BAJSH
Director of Outbound Programs
U.S. Customs Service

Attachment

Attachment

Shipper's Export Declaration (SED) Exemption Statements

<p>I. Standard FTSR Exemption Statements (30.55h used for example purposes only). <i>Standard exemption citations are found in 15 CFR Part 30 Subpart D, Sections 30.50 through 30.58.</i></p>	<p>a) No SED Required FTSR 30.55h b) No SED FTSR 30.55h c) NDR¹ FTSR 30.55h d) NDR 30.55h</p>
<p>II. AERP² Exemption Statements</p>	<p>a) No SED Required FTSR 30.39 SAS XX or CAS XX³ b) No SED FTSR 30.39 SAS XX or CAS XX c) NDR FTSR 30.39 SAS XX or CAS XX d) NDR 30.39 SAS XX or CAS XX</p>
<p>III. Standard AES Exemption Statements (See 15 CFR Part 30, Subpart E, Section 30.65)</p>	<p>a) No SED Required AES EIN⁴-SRN⁵ b) No SED AES EIN-SRN c) NDR AES EIN-SRN d) AES EIN-SRN e) AES ITN⁶ (if available)</p>
<p>IV. Standard AES -PASS⁷ Exemption Statements</p>	<p>a) No SED Required AES PASS EIN-SRN b) No SED AES PASS EIN-SRN c) NDR AESP EIN-SRN d) AESP EIN-SRN</p>
<p>V. Standard AES Option 4 Exemption Statements</p>	<p>a) No SED Required AES OPT4 EIN (exporter)-EIN (forwarding agent)⁸ b) No SED AES4 EIN-EIN c) NDR AES4 EIN-EIN d) AES4 EIN-EIN</p>
<p>VI. Reporting Split Shipments (SS)</p>	<p>a) "Split Shipments" should be referenced as such on the manifest in accordance with provisions contained in FTSR 30.41, "Split Shipments by air". The notation should be easily identifiable on the manifest. b) If it is preferable to include a reference to a split shipment in the exemption statements cited above, the notation SS should be included at the end of the appropriate exemption statement.</p>
<p>VII. Reporting Intransit Shipments transmitted using the Automated Manifest System (AMS)</p>	<p>When the SED for Intransit Goods, Form 7513 is filed using the AMS and the paper Form 7513 is not attached to the manifest the following exemption citations should be used: a) No 7513 Required AMS IN BOND NO. b) NDR 7513 AMS IN BOND NO. c) 7513 AMS IN BOND NO.</p>

1. NDR - No Shipper's Export Declaration Required.
2. AERP - Automated Export Reporting Program will expire December 31, 1999.
3. SAS - Shipper's Authorization Symbol assigned to Exporters plus 2 character alpha designation.
CAS - Company Authorization Symbol assigned to Forwarding Agents and Carriers plus 2 character alpha designation.
4. EIN - Internal Revenue Service Employer Identification Number.
5. SRN - Shipment Reference Number (See 15 CFR 30.63(a)(18)).
6. ITN - Internal Transaction Number (Provided by AES when transmission is approved).
7. AES PASS - Will be replaced by Option 4 filing. AES PASS will be operational until March 15, 2000.
8. If a forwarding agent is filing for an exporter both the forwarding agent's and exporter's EIN number are reported. If the exporter is filing for themselves only the exporter's EIN number is reported.