

Environmental Evaluation of New Generation Vehicles and Vehicle Components

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Engineering Science and Technology Division

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ENVIRONMENTAL EVALUATION OF NEW GENERATION VEHICLES AND VEHICLE COMPONENTS

EXECUTIVE SUMMARY

E.1 Introduction

This report documents assessments that address waste issues and life cycle impacts associated with the vehicle materials and vehicle technologies being developed under the Partnership for a New Generation of Vehicles (PNGV) program. We refer to these vehicles as 3XVs, referring to the PNGV goal that their fuel mileage be three times better than the baseline vehicle. To meet the program's fuel consumption goals, these vehicles substitute lightweight materials for heavier materials such as steel and iron that currently dominate the composition of vehicles, and use engineering and power system changes. Alternative power systems being developed through the PNGV program include batteries for hybrid electric vehicles and fuel cells. With respect to all these developments, it is imperative to learn what effects they will have on the environment before adopting these designs and technologies on a large-scale basis.

E.2 Waste Generation Assessments

In support of PNGV goals, Oak Ridge National Laboratory has conducted waste assessments addressing all wastes generated during materials extraction and processing, hazardous wastes generated during materials processing, changes in the volume of ASR—what remains for disposal after vehicles are processed for reuse and recycling—and the nation's capacity to handle it, and, from an environmental justice point of view, the locations where extraction and materials processing wastes will be generated.

E.2.1 Solid Waste Assessment

Using data from the Association of Plastic Manufacturers in Europe and a lifecycle inventory database developed by the Ecobilan Group, this assessment estimates the quantity of waste generated during the extraction and materials processing stage of the 1994 baseline vehicle and three prototype PNGV vehicles—the P2000 by Ford, the ESX2 by DaimlerChrysler, and the Precept by GM. The assessment estimates generation of five different categories of waste—mineral waste, mixed

industrial waste, slags and ash, inert chemical waste, and hazardous waste.

The assessment finds that each of the 3XVs would generate more waste than the baseline vehicle—60%, 62%, and 82% more for the P2000, ESX2, and the Precept, respectively. The estimated total waste for the baseline vehicle is roughly 2500 lbs, while it is roughly 4500 lbs for the Precept. For the P2000 and the Precept, which rely heavily on aluminum, mineral waste accounts for 95% of the total waste. Hazardous waste is considered an area of special concern and is found to increase by 172%, 6%, and 204%, respectively, for the P2000, ESX2, and the Precept. Plastics and the new batteries—the nickel metal hydride in the P2000 and Precept, and the lithium ion battery in the ESX2—contribute most of the hazardous waste.

E.2.2 Hazardous Waste Assessment

This assessment relies primarily on release data reported by U.S. manufacturers to EPA's Toxic Release Inventory (TRI) and equates these releases to "hazardous waste." We assume that future waste generation associated with material production will be the same as historical waste production, as reported in the TRI. The assessment considers a subset of materials in the 3XVs: steel, aluminum, titanium, magnesium, platinum, lithium, and nickel. It does not include plastics and resins, which the overall waste assessment finds to be the primary contributor to the 3XVs' hazardous waste generation at the materials extraction and processing stage. The assessment finds the potential for significant increases of five specific TRI release types. PCBs, copper, and chlorine are three release types whose output is boosted by the production of the alternative metals. Although PCBs are no longer produced, they still exist in equipment that is used for and eventually retired from materials production facilities. Their release will decrease as and then be eliminated altogether once all older equipment is retired. Two other release types, nickel and ammonia, experience huge increases almost entirely because of the nickel production for the nickel-metal hydride battery. Materials production for the lithium ion battery will generate releases of lithium, a release type that does not occur in the baseline vehicle.

E.2.3 ASR and Waste Management Assessment

This assessment addresses two waste management issues related to 3XVs: changes in automotive shredder residue (ASR) at the vehicles' end-of-life stage, and the adequacy of U.S. hazardous waste management capacity to handle demand associated with 3XVs.

The assessment of ASR uses the vehicles' material composition and the known composition of ASR to estimate the mass of ASR associated with the baseline vehicle and 3XV prototypes. For example, it is known that automotive glass is not recycled and is a component of ASR currently. Thus, the assessment assumes that 100% of the mass of glass in the vehicles will be present in the ASR. This procedure is followed for each component of ASR: plastics and resins, rubber (other than tires), glass, glass and carbon fibers, fluids (other than oil), and "other."

The estimated quantity of ASR is presented by weight and as a percentage of the respective vehicle's total weight. ASR is estimated to be 582 lbs, or 18%, of the baseline vehicle. The estimated mass of ASR for each of the 3XVs is higher than the baseline vehicle with the P2000, ESX2, and Precept having 455 lbs (23%), 910 lbs (40%), and 719 lbs (28%), respectively. Plastics and fibers account for much of the ESX2 ASR, while the large "other" category in the material composition of the Precept accounts for much of its ASR.

The review of the U.S. waste regulations and waste capacity assessments reveals that there appears sufficient capacity in the United States to handle demand associated with projected economic growth, including, theoretically, the 3XVs' increased generation of hazardous waste.

E.2.4 Spatial Assessment of Materials Extraction and Processing

Adopting an environmental justice perspective that considers the spatial (and, thus, demographic and socioeconomic) distribution of environmental effects of materials production activities, this assessment identifies U.S. states and other countries likely to experience impacts associated with the extraction and materials processing for 3XVs. It also identifies states where environmental impacts are likely to be reduced because of reduced ore and coal extraction.

Every region in the United States could potentially experience some change in environmental impact with the adoption of lightweight metals. Five countries—Canada, China, South Africa, Australia, and Russia—are likely to experience increased impacts as their reserves of magnesium, bauxite, lithium, titanium, and platinum are tapped for use in 3XVs. Several developing countries with reserves of these same materials could also experience impacts.

Concern for potential environmental impacts is potentially greater for countries without the regulatory framework or enforcement capability

to assure that human health and environmental quality are not degraded by the materials production activities.

E.3 Life-cycle Assessments

For Oak Ridge National Laboratory, the Center for Clean Products and Clean Technologies at the University of Tennessee conducted three life-cycle assessments (LCAs) of materials in new generation vehicles. The three assessments conducted were:

- A comparison of exterior body closure panels made of different lightweight materials (aluminum, carbon fiber-reinforced polymer [CFRP] and glass fiber-reinforced polymer [GFRP]), to steel closure panels weighing 220 lbs as the baseline;
- A comparison of batteries for use in hybrid electric vehicles (HEVs), namely, lithium-ion (LiIon) and nickel-metal-hydride (NiMH); and
- A comparison of fuel cell vehicles (FCVs), both with and without an on-board reformer system, using direct hydrogen, gasoline, and methanol as fuels, to the conventional internal combustion engine vehicle (ICEV) as the baseline.

Each of these assessments also included a more forward-looking profile or scenario based on long-term PNGV and DOE targets or future technological trends. We refer to these as the “long-term assessments.” For the Exterior Body Panels assessment, a monocoque body made of a carbon fiber-based polymer was assumed to replace a conventional steel body, resulting in a substantial weight reduction (more than 60%). The long-term assessment for the HEV batteries was essentially a sensitivity analysis assuming a longer life span (equal to the PNGV target of 10 years) for both batteries, and a lighter, 40 kg NiMH battery (down from the 62.5 kg battery weight in the original assessment). The Fuel Cell Vehicle long-term assessment involved a drastic reduction in the platinum content of the stack (from about 180 grams to 20 grams), a 40% overall reduction in the weight of the reformer, and a reduction in the number of start-up batteries, from the original six to just one. These changes were applied to the gasoline FCV profile only, as this was considered to be the more practical option in the foreseeable future.

In these assessments, just as in any LCA, the results obtained are highly dependent on the data used and the assumptions made. The vast majority of life-cycle inventory data used in these assessments were secondary data from the DEAM database (Ecobilan 1999). Having a single secondary data source brought consistency to the results.

Fourteen impact categories that included energy and material resource use, air and water emissions, and solid and hazardous waste generation were evaluated in each environmental profile.

E.3.1 Exterior Body Panels Assessment

In the life-cycle assessment comparing closure panels made of steel and other materials, carbon fiber-reinforced polymer (CFRP) appears to be the least environmentally burdensome material in 9 of the 14 impact categories evaluated, which include nonrenewable and renewable resource use, energy use, global warming, acidification, odor/aesthetics, and water quality (BOD). This is mainly because CFRP has the maximum weight reduction potential of all the materials evaluated (about 60% over steel), resulting in a much smaller quantity of material needed. Of the remaining five categories, aluminum is environmentally preferable in smog formation, eutrophication, and water quality (TSS), while GFRP has the lowest score for ozone depletion and particulate matter generation. Aluminum's environmental standing is hampered by the high energy required and large quantity of wastes generated during production of virgin aluminum (a mix of 89% virgin and 11% recycled aluminum was used for the aluminum profile). Steel does not have the lowest impact scores in any of the categories examined; however, it is expected that UltraLight steel could better compete with the other lightweight materials.

In the monocoque analysis performed as part of the long-term assessment, CFRP's position is further strengthened (compared to the original assessment). However, its impact scores in three categories turn around from being lower than those of steel to being higher than steel. The major contributors in each case are various releases associated with using larger amounts of carbon fiber.

E.3.2 Hybrid Electric Vehicle Battery Assessment

In this assessment, a 40 kg LiIon battery was compared to a 62.5 kg NiMH battery. Though lighter in weight, the LiIon possesses more specific power, thus delivering approximately the same amount of power as the NiMH battery. Based on PNGV data, these "current performance" HEV batteries were assumed to have a life span of three years and five years, respectively. In spite of the fact that 3.33 LiIon batteries are used over the life of the vehicle in the profile, versus 2 NiMH batteries in the NiMH profile, the assessment revealed that LiIon has lower impacts in almost all the impact categories evaluated (12 out of 14). The nickel contained in the NiMH battery is a big

contributor to the high impact scores in a number of categories. Nickel production is highly energy intensive and also generates considerable quantities of sulfur dioxide, resulting in an increased acidification potential. Solid waste and particulate generation are the only two categories in which NiMH scores better than LiIon. The solid waste impact score is higher for the LiIon battery mainly due to the large quantities of solid wastes generated in the production of aluminum, which is used in the battery and cell containers, and in the current collector.

The long-term assessment considered a lighter NiMH battery and battery life of 10 years, but the results were unaffected. LiIon maintains its edge over NiMH, in the same impact categories as in the original assessment.

E.3.3 Fuel Cell Vehicle Assessment

In this comparison of a conventional ICEV with a direct-hydrogen fuel cell vehicle, a reformed gasoline FCV, and a reformed methanol FCV, the hydrogen FCV is found to have the lowest impact scores in 12 of the 14 impact categories evaluated, mainly because of zero air emissions from driving and the lowest total lifetime quantity by mass of fuel (hydrogen) required during use. In 5 of these 12 categories, the gasoline FCV is a close second.

Between the two FCVs with reformers (gasoline- and methanol-based), the only differences were in the Use stage (which includes Fuel Use and Fuel Production). The higher impacts for the methanol FCV are primarily due to the larger quantity of methanol required, given that its energy content is approximately half that of gasoline. Methanol production is also a big contributor to the methanol FCV's higher impacts, including global warming and acidification. Though the methanol production data used in this assessment results in higher impact scores in certain impact categories (e.g., energy use and global warming potential) as compared to results of other studies, it was decided to use the same data source for methanol as for the other materials (i.e., DEAM), in order to maintain consistency in the assessment.

In the original assessment, the fuel cell + reformer system contributed significantly to the overall vehicle weight, with a combined mass of more than 600 kg. The long-term profile for the gasoline FCV, on account of its reduced platinum content and reduced overall weight (reduced by ~220 kg), has the lowest impact scores in 3 categories (nonrenewable resource use, energy use, and smog formation), while the hydrogen FCV still leads in the other nine impact categories. Also,

the long-term gasoline FCV's scores are now much closer to the hydrogen FCV's scores. The hydrogen FCV, however, still remains the most environmentally preferable vehicle.

1 INTRODUCTION

The Partnership for a New Generation of Vehicles (PNGV) program is working to produce automobiles that will reduce fuel consumption by two-thirds while maintaining price, comfort, safety and performance comparable to current mid-size vehicles. Under this program, the “Big 3” U.S. automakers—Ford, DaimlerChrysler, and GM—have developed prototype vehicles, the P2000, the ESX2 and subsequent models, and the Precept, respectively. To achieve the fuel consumption goals, these vehicles substitute lightweight materials for heavier materials such as steel and iron that currently dominate the composition of automobile components, and use engineering and powertrain system changes. Alternative power systems being developed through the PNGV program include batteries for hybrid electric vehicles and fuel cells. With respect to all these developments, it is imperative to learn what effects they will have on the environment and on material supply before adopting these designs and technologies on a large-scale basis.

Prior to the work reported here, Oak Ridge National Laboratory had conducted life-cycle analyses of the P2000 and the ESX2, two of the three 3XV prototypes, as well as the baseline vehicle. ORNL also had examined infrastructure and acceptability issues, including an assessment of materials availability issues that focused on major substitute materials. Other issues addressed in previous work include the effect of new vehicle types on the existing automotive recycling and vehicle use and repair infrastructures, and consumer acceptability of the new vehicles. (Das et al. 1997, 1999, 2000).

This report documents assessments that address life cycle impact and waste issues associated with the large-scale adoption of these technologies and vehicles. We refer to these vehicles as 3X vehicles—or 3XVs—because of the PNGV goal of improving current fuel efficiency by a factor of three. The life-cycle analyses delve into the effects of various body panel material types, hybrid electric vehicle batteries, and fuel cells. The assessment of wastes addresses all wastes generated during materials extraction and processing, hazardous wastes generated during materials processing, changes in the volume of ASR and the nation’s capacity to handle it, and, from an environmental justice point of view, the locations where extraction and materials processing wastes will be generated.

The report is presented in two parts. The first part, Chapter 2, reports the waste-related assessments. It includes information about the composition of the three prototype vehicles’ materials requirements, which are used to underpin various aspects of the waste assessments. The last section of Chapter 2 addresses how the findings relate to each other, as well as to the life cycle assessments in Chapter 3, and identifies potential next steps in the analyses. The second part of the report, Chapter 3, discusses the life-cycle assessments of exterior body panels constructed of various materials, hybrid electric vehicle batteries, and fuel cells. The last section of Chapter 3 discusses the implications of the findings of the life-cycle assessments and identifies potential next steps in life cycle analysis.

2 WASTE GENERATION ISSUES

In this chapter we present the methods, assumptions and results of separate, but related, assessments of the wastes associated with the 3XVs. Table 2.1 is an overview of these assessments. The first of the four assessments presented here addresses all types of solid waste produced during the extraction and processing of materials for the 3XVs. This assessment accounts for the entire materials content of the vehicles. The second focuses on certain hazardous wastes generated during materials processing. These two assessments address materials processing wastes but do not include the wastes associated with the energy needed to power the materials processing. The third assessment addresses automobile shredder residue, while the fourth, taking the perspective of environmental justice, identifies states and countries where materials activities and their potential impacts would occur.

Table 2.1. Foci of Waste Production Assessments				
Assessment	Type of Waste		Life-cycle Stage	Treatment of “Hazardous Waste”
	Category of Waste	Discharged to...		
1 (Section 2.1)	Total solid and hazardous waste stream from the totality of vehicles' materials	Excludes wastes discharged to water	Extraction and materials processing	Aggregates all hazardous wastes
2 (Section 2.2)	Subset of toxic releases from processing of some materials	Includes releases to water, land, and off-site transfer	Materials processing only	Identifies the mass of specific types of toxic releases (which can be constituents of hazardous waste)
3 (Section 2.3)	Automobile shredder residue (ASR)	Landfill	End of life	ASR is classified as a non-hazardous waste
4 (Section 2.4)	Addresses, generally, the potential for waste to be produced in specific locales	n.a.	Extraction and materials processing	n.a.

n.a.: not applicable

These assessments of waste determine waste output per vehicle based on the material content of the three 3XVs—the P2000, the ESX2, and the Precept—as reported by their respective developers. Table 2.2 shows the material composition of the base vehicle (considered here to be the 1994 model year) and the three prototype vehicles considered in this study. These vehicle prototypes indicate considerable progress towards the PNGV goal of reducing vehicle mass by 40%. The P2000 and Precept are both aluminum-intensive vehicles, although the P2000 uses proportionally more wrought aluminum and the Precept uses more cast aluminum. The ESX2, and DaimlerChrysler’s subsequent models based on it, are composites-intensive vehicles. This vehicle materials breakdown includes the new battery types as a separate category of materials. The make-up of these batteries is derived from information obtained for and produced in the batteries assessment in Chapter 3. The battery materials for the baseline vehicles remain in the “other” category. Lexan (commonly known as “polycarbonates”), carbon fibers, and titanium are 3XV materials not used at all in the baseline vehicle.

	Baseline	P2000*	ESX2*	Precept
Wrought Al	47	462	330	304
Cast Al	159	271	120	820
Magnesium	6	86	122	7
Titanium	0	11	40	33
Platinum	0.0033	0.01	0.01	0.01
Ferrous	2168	490	528	487
Plastics	193	209	52	187
Resins (for composites)	28	40	428	86
Carbon fiber	0	8	24	22
Glass fiber	19	19	60	35
Lexan	0	30	20	0
Glass	97	36	70	57
Rubber	139	123	148	77
NiMH or LiIon batteries	0	138	88	138
Other	391	83	212	338
Total	3248	2010	2250	2591

* Includes updated information available on the latest versions of Prodigy and ESX3.

2.1 ASSESSMENT OF THE E&MP WASTE STREAM

The purpose of this assessment was to characterize the total waste stream produced in the extraction and material processing (E&MP) lifecycle stage for each of the three 3XVs considered here. This study estimates the total waste stream and categorizes it by type of waste. It builds upon previous lifecycle analysis work (Das et al. 2000) that showed that more than 75% of the 3XVs’ lifetime solid waste generation occurred in the E&MP stage. This previous work did not characterize the waste stream by type of solid waste. Additionally, the assessment reported here includes three of the 3XV prototype vehicles and examines the contribution of alternative batteries to the total waste stream.

2.1.1 Methodology and Assumptions

This assessment uses the material composition of the three 3XVs and a baseline vehicle (see Table 2.2), along with industry-reported E&MP waste streams to determine the wastes produced in the extraction and materials processing lifecycle stage for each vehicle type. Industry-reported waste streams (units of waste per unit of material produced) are multiplied by the amount of material in the vehicle to determine each material's contribution to the vehicle's waste stream. The waste streams of each material in the vehicle are added to determine total waste for each vehicle type.

The materials breakdown includes the predominant materials in the vehicles and other, smaller 3XV inputs that are considerably different from the baseline vehicle, e.g., lexan and platinum. Materials that occur in small quantities are reported in a category labeled "other."

The assessment assumes that nickel-metal hydride (NiMH) batteries power the P2000 and the Precept, while the ESX2 employs a lithium ion (LiIon) battery. The mass of the 3XVs' batteries has been subtracted from the "other" materials category. The material composition includes a single battery for each car, as opposed to lifetime battery requirements (2 NiMH and 3.3 LiIon batteries). The batteries' material composition (and waste output) was determined for the battery-specific lifecycle assessment (see Chapter 3) and included in this waste assessment. Therefore, new battery types appear as a "material" in the material composition list.

Most data sources used in this assessment have wastes designated as one of the five categories. The five categories of waste are mineral waste, mixed industrial waste, slags and ash, inert chemical waste, and regulated hazardous waste. Each is defined here.

- *Mineral waste* is the waste earth and rock generated in mining operations.
- *Slags and ash* refer to the solid waste produced by industrial boilers and furnaces.
- *Inert chemical waste* is chemical waste that could be sent to landfill sites without treatment.
- *Regulated hazardous waste*, because of its toxicity, must be sent to special storage sites.
- *Mixed industrial waste* is the general waste that does not fit into one of the other categories and in the U.S. is allowed in Subtitle D (i.e., non-hazardous waste) landfills (Boustead 1997).

The primary data sources were the Association of Plastic Manufacturers in Europe (APME 2000; Boustead 1997), the DEAM lifecycle inventory database (Ecobilan 1999), and proprietary data. The proprietary data collected directly from companies are one of only a few exceptions to this "pre-designation" of wastes into the five categories. In cases where wastes had to be assigned to a category, the analyst relied on the definitions of the waste categories and examples in the data of types of waste assigned to specific categories.

Most of the data is from European sources and includes the category "regulated chemical waste." For the purposes of this analysis we have assumed that European "regulated chemical

waste” is roughly the equivalent of “regulated hazardous waste” as defined in the U.S. Resource Conservation and Recovery Act.

This assessment includes only “solid” wastes attributable to materials extraction and processing. It excludes the waste resulting from producing the energy required by the material processing, and excludes air emissions and discharges to water.

The analysis assumes that many of the materials will be derived from a mix of virgin and secondary sources. Assumed recycled contents are 11% for wrought aluminum, 65% for cast aluminum, 40% for ferrous material, and 40% for magnesium. All other materials are assumed to be virgin materials. Data for carbon fiber includes solid waste associated with the raw material, the fiber precursor, and the carbon fiber itself. The resins with which the carbon and glass fibers will be mixed are included as a separate category. Based on current prototypes, we assume that the glass fiber of the ESX2 is formed with polyethylene terephthalate (PET), and the glass fiber for the other vehicles and the carbon fibers for all vehicles are formed with liquid epoxy resin.

For two material input categories, i.e., plastics and “other,” waste streams (pounds of waste produced per pound of material produced) had to be constructed. The constructed “plastics” waste stream includes the wastes from various automotive plastics at the ratio they occur in the baseline car. The “other” category was constructed by averaging all waste streams of the materials used in the vehicles.^a

2.1.2 E&MP Wastes from 3XVs

Table 2.3 presents the results of this waste assessment, showing the mass of each of the five types of waste. In the mineral, mixed industrial, slags, and inert chemical categories and in the total waste, the waste includes the waste from the 3XVs’ new batteries. In the regulated hazardous waste category, two figures are presented—one including the new batteries, one excluding them—to show the contribution the batteries make to this waste category.

Like a previous assessment (Das et al. 2000), this assessment shows that the total waste stream of each of the 3XVs is greater than that of the baseline vehicle. Total waste increases range from 60% to 82%. For the baseline vehicle and the ESX2, mineral waste accounts for 80% of the total E&MP waste. For the P2000 and Precept, which rely heavily on aluminum, a big contributor of mineral waste, it accounts for 95% of the total waste.

In the next three waste categories—mixed industrial, slags and ash, and inert chemicals—changes in the amount of waste produced vary widely. For example, the slags and ash produced by the P2000 and the Precept are more than 50% less than the baseline vehicle, but in the ESX2 this waste increases by nearly 100%. The P2000 and the Precept have changes that are in the same direction and similar in size, largely because of their similar material composition. “Slags and ash” is largely, but not exclusively, associated with power

^a Platinum was excluded because it was considered a relatively unique material type and because platinum data exclude extraction wastes.

Vehicle	Mineral waste	Mixed industrial waste	Slags and ash	Inert chemical waste	Regulated hazardous waste		Totals
					With new batteries	Without new batteries	
Baseline	2109.53	53.11	315.48	2.07	na	3.78	2483.97
P2000	3749.42 (78%)	65.39 (23%)	137.90 (-56%)	6.26 (202%)	10.28 (172%)	4.11 (9%)	3969.25 (60%)
ESX2	3213.71 (52%)	171.77 (223%)	623.93 (98%)	3.11 (6%)	4.01 (6%)	2.67 (-29%)	4016.50 (62%)
Precept	4273.67 (102%)	81.27 (53%)	143.73 (-54%)	7.64 (269%)	11.51 (204%)	5.34 (41%)	4517.82 (82%)

production (especially fossil-fuel electricity generation); including the energy input needed for materials production would greatly increase the wastes in this category.

Perhaps the most significant changes—because of the magnitude of the change and the type of waste—occur in the regulated hazardous waste category. Here the analysis addresses the waste that would be produced given current battery technology, as well as those that would occur given the adoption of nickel metal hydride (NiMH) or lithium ion (LiIon) batteries. Without the new batteries, the regulated hazardous waste increases slightly in the P2000 (9%), moderately in the Precept (41%), and hazardous waste declines in the ESX2. Plastics, in general, are the largest contributor of regulated hazardous waste. Although the ESX2 relies heavily on composites that mix fiber and a plastic resin together, the resin used for forming the glass fibers in the ESX2 is PET, a relatively benign plastic, according to the APME data source (APME 2000). This keeps the regulated hazardous waste stream of the ESX2 smaller than that of the P2000 and Precept. The relative contribution of plastics to the vehicles' regulated hazardous waste stream is shown in Table 2.4. In the table, plastics include the general category “plastics,” as well as resins for composites, and lexan.

Vehicle	“Plastics” (lbs) in each vehicle	Regulated Hazardous Wastes (lbs) from Plastics
Baseline	220.72	2.68
P2000	278.60	3.37
ESX2	500.15	1.51
Precept	273.37	3.71

When the new battery types are factored into the vehicles' materials, hazardous waste increases. The NiMH battery in the P2000 and the Precept increases the regulated hazardous waste 172% and 204%, respectively, above the baseline vehicle's level of regulated hazardous waste. The LiIon battery causes the ESX2's regulated hazardous waste to be only 6% greater than the baseline vehicle. Additional research is needed to identify the battery materials that bring about these increases in hazardous waste levels.

2.2 HAZARDOUS WASTE ASSESSMENT

The assessment of the total waste stream from the extraction and materials processing stage, above, estimates the size of the hazardous waste stream associated with the baseline and 3X vehicles. It does not, however, provide information about the make-up of that hazardous waste stream. Characterizing the hazardous waste stream, particularly the wastes associated with materials processing, was the purpose of the assessment documented here.

2.2.1 Methods, Assumptions, and Qualifiers

This assessment uses 1999 data available from EPA's Toxics Release Inventory (TRI) (EPA 2000b) and the Environmental Defense's *Chemical Scorecard*, which is based on TRI data. Although the TRI reports releases of toxic substances rather than of "hazardous waste" as defined by U.S. Federal law (specifically the Resource Conservation and Recovery Act or RCRA), we make the assumption that the releases to land, water, and off-site transfer are hazardous wastes. The U.S. EPA has developed a "Chemical-RCRA Waste Code Crosswalk" that shows the relationship between toxic chemicals and hazardous wastes (EPA 1997b).

The TRI is organized by SIC (standard industrial classification) Code. In it, industries report their releases to land, water, and off-site transfer—all of which are included in this assessment—as well as emissions to air—which are excluded. By using these company-reported releases and company-reported or USGS-reported (USGS 2000) materials production, a mass of releases per unit of material produced was calculated. This release-per-production number was then multiplied by the mass of the material in each of the vehicles to determine how much of each particular release would result from the production of each of the automotive materials considered here. The like releases were then summed across all materials (e.g., cadmium releases from each of the materials in the ESX2 were summed).

For SIC codes that report more than one material type, USGS Minerals Yearbook (USGS 2000) information was used to identify the total U.S. production of each of the materials within that SIC. To determine releases for a material that is within a multi-material SIC, the proportion of material of concern to total material within the SIC was applied to the total releases of that SIC. This procedure was used for the minor metals, e.g., magnesium and nickel.

This analysis covers many, but not all, of the predominant materials in the 3XVs and their potential new battery types. Specifically, it addresses wastes derived from the production of steel, aluminum, titanium, magnesium, platinum, lithium, and nickel. It does not include fibers and plastics, which are significant replacement materials in the ESX2 and the P2000.

Plastics were found to be significant contributors of hazardous waste in the “Total Waste” assessment reported in Section 2.1. Also, the analysis addresses 24 different chemicals listed on the Toxics Release Inventory, specifically those emitted in the production of the materials addressed here and also listed as the most hazardous to human health and the environment in the *Chemical Hazard Evaluation* developed at the University of Tennessee’s Energy, Environment, and Resources Center for the U.S. EPA (Davis et al. 1994). For these reasons, and because this analysis focuses on materials processing and excludes extraction wastes, the changes in mass of hazardous waste reported in this section can differ significantly from those reported in Section 2.1. Again, the purpose of this assessment is to help characterize the hazardous waste stream associated with 3XVs.

The quality of this assessment rests on the quality of the Toxic Release Inventory data, which, like most waste and release data, are industry recorded and reported, and made public by the U.S. EPA. Limitations of TRI include a threshold below which industries are not required to report releases and the exclusion of many potentially harmful chemicals.

2.2.2 Hazardous Wastes from Select 3XV Materials

Table 2.5 presents the results of this analysis. It compares the baseline and the 3XVs’ releases of specific hazardous chemical wastes during the materials processing stage. (Recall that this addresses changes in seven materials, not the entire content of the baseline or 3XVs.) The introduction of new materials has significantly affected releases of some hazardous wastes.

Processing the 3XV materials included in this analysis appears to reduce production of between 18 – 20 of the 24 wastes included in the assessment. Of these reduced wastes, twelve show a pattern of -77%, -76%, and -43% for the P2000, ESX2, and the Precept, respectively. This pattern reflects the percentage decrease in the use of steel in these vehicles, compared to the baseline vehicle. In these twelve cases, processing of the substitute materials does not produce these release types (as reported in the TRI). In the remaining cases of waste reduction, the releases from production of substitute, lightweight materials are less than the releases from the steel they displace.

For the P2000 and Precept, releases of five toxic wastes increase. Among these five are PCBs (polychlorinated biphenyls),^b copper, and chlorine, whose output is boosted by the production of the alternative metals. Despite these results, release of PCBs will not increase because they occur only in older equipment currently being retired. Also among the increases are nickel and ammonia, which experience huge increases almost entirely because of the nickel production for the nickel-metal hydride battery. For the ESX2, neither nickel nor ammonia increases because these releases result almost exclusively from nickel production for the nickel-metal hydride battery, which is the battery assumed for the P2000 and the Precept. The lithium-ion battery is assumed for the ESX2, and the production of lithium for the lithium-ion battery results in the release of 1.8 lbs of lithium carbonate per vehicle (as delivered). A percentage increase cannot be calculated because this release type does not occur in the baseline vehicle.

^b Although PCBs are no longer manufactured, they still appear in TRI data. Their occurrence is associated with the disposal of capacitors and transformers, which until 1979 used PCBs (ATSDR 1993).

Table 2.5. Changes in Hazardous Waste Releases from Processing of Select Materials: 3XVs Compared to the 1994 Baseline Vehicle

Emission	Baseline (lbs)	3XV(lbs* and % change)					
		P2000		ESX2		Precept	
Chromium	0.0524	0.0175	-67%	0.0169	-68%	0.0380	-28%
Cadmium	0.0004	0.0001	-77%	0.0001	-76%	0.0002	-43%
PCBs	0.0001	0.0003	+256%	0.0002	+118%	0.0005	+450%
Lead	0.0379	0.0086	-77%	0.0092	-76%	0.0218	-43%
Nickel	0.0285	1.0270	+3497%	0.0069	-76%	2.7612	+9571%
Anthracene	0.0001	0.0000	-77%	0.0000	-76%	0.0001	-43%
Hydrogen fluoride	0.0028	0.0006	-77%	0.0007	-76%	0.0016	-42%
Copper	3.9278	13.9687	+256%	8.6135	+199%	21.5531	+489%
Hydrogen cyanide	0.0071	0.0017	-75%	0.0019	-73%	0.0043	-40%
Styrene	0.0000	0.0001	-77%	0.0001	-76%	0.0000	-43%
Ammonia	0.0037	0.1396	+3675%	0.0019	-49%	0.3739	+10012%
Nitric acid	0.0135	0.0031	-77%	0.0033	-76%	0.0078	-43%
Trichloroethylene	0.0000	0.0000	-77%	0.0000	-76%	0.0000	-43%
Ethylene glycol	0.0005	0.0001	-77%	0.0001	-76%	0.0003	-43%
Napthalene	0.0020	0.0005	-76%	0.0005	-75%	0.0012	-40%
Phenathrene	0.0008	0.0002	-72%	0.0002	-72%	0.0005	-34%
Phenol	0.0050	0.0011	-77%	0.0012	-76%	0.0013	-43%
Phosphoric acid	0.0015	0.0003	-77%	0.0004	-76%	0.0009	-43%
Zinc	0.4740	0.1075	-77%	0.1159	-76%	0.2734	-43%
Antimony	0.0001	0.0000	-77%	0.0000	-76%	0.0000	-43%
Chlorine	0.0001	0.0005	+323%	0.0003	+176%	0.0008	+559%
Manganese	0.0970	0.0681	-30%	0.0506	-48%	0.1241	28%
Lithium carbonate**	0	0	-	1.8083	Increase*	0	-

*Some masses are so small that they round to 0.0000.

**Lithium carbonate is not emitted in the production of materials other than lithium, thus it applies only to the ESX2 with its lithium ion battery.

2.3 AUTOMOBILE SHREDDER RESIDUE AND HAZARDOUS WASTE MANAGEMENT CAPACITY ISSUES

An alteration in material weight or composition in 3XVs most likely will result in a change in waste management requirements, particularly when considering the manufacturing process of automobiles from a life-cycle perspective. In this chapter, we examine two waste management issues related to 3XVs: changes in automotive shredder residue (ASR) at the vehicles' end-of-life stage, and hazardous waste management capacity demands throughout the vehicles' life-cycle based on the Resource Conservation and Recovery Act of 1976 (RCRA), the United States' major hazardous waste legislative act.

2.3.1 Methods and Assumptions

The ASR analysis uses information about the material inputs in the baseline and 3XVs, current components of ASR, and existing recycling practices to determine the weight and composition of each vehicle type's ASR. We present the weight and materials of the 3XVs at their end-of-life. We then calculate the percentage of the weight and the materials that remain for solid waste management because they will not be recycled. ASR is classified as a nonhazardous waste under the current Federal hazardous waste regulatory framework and is disposed most frequently in municipal solid waste landfills, as opposed to hazardous waste landfills. An exception to the nonhazardous designation is made if a sample of the waste stream reveals metals content above threshold levels established in RCRA regulation.^c As this is seldom the case, we assume in this report that the metals content of ASR is below the threshold level.

Next, the demand for commercial hazardous waste treatment, storage, recycling, and disposal capacity arising during the extraction and materials processing and end-of-life stages is assessed. Specifically, the assessment considers whether sufficient hazardous waste management capacity exists to meet future waste management demand. As in the total waste assessment reported in Section 2.1, waste classified in the European data as "regulated hazardous waste" is assumed to be the equivalent of hazardous waste as defined by U.S. Federal waste management regulatory programs. Although hazardous waste represents only a small fraction of the vehicles' total waste stream, this assessment focuses on it because of Federal regulatory requirements for its management.

The analysis in this chapter is based on current U.S. Federal hazardous and solid waste management policies, although states do have regulatory authority to set environmental standards more stringent than national standards set by EPA. One example of more-stringent state regulation is California's handling of ASR. In the late 1980s, California required that ASR meet minimum treatment standards for various metal components before it could be land disposed in a municipal solid waste landfill. Another example of more stringent state environmental policies concerns hazardous waste reporting. Tennessee requires that small quantity generators (those generators generating 100 to 1000 kg of hazardous waste per month) report their hazardous waste generation through the Federal Resource Conservation and Recovery Act of 1976. EPA does not require reports on hazardous waste generation from small quantity generators (EPA 1999).

We recognize that European hazardous and solid waste regulations may differ from those in the United States. The relevant difference for this analysis is the regulatory definition of hazardous waste, i.e., what chemicals found in waste streams are defined as hazardous. The relationship between U.S. and Europeans waste categories is discussed in Section 2.3.7.1 waste.

^c See Subpart B, "Criteria for Identifying the Characteristics of Hazardous Waste and for Listing Hazardous Wastes," 40 CFR §§261.10 and .11, 2000; Subpart C, "Characteristics of Hazardous Wastes," 40 CFR, §§261.20-261.24, 2000; Subpart D, "Lists of Hazardous Wastes," 40 CFR §§ 261.30-261.35, 2000; and Appendices I through IX 40 CFR 2000).

The one area of state law on which this analysis focuses is state laws that ban landfilling of whole tires. Although it is a state-led, not Federal, policy, the banning of landfilling of whole tires affects the composition of materials for disposal or recycling at the end-of-life phase of vehicles.

Finally, we acknowledge that new markets are developing for recycled plastics and other remaining components of ASR (see, for example, Buchholz 2000). For this report, however, we assumed current recycling practices for ASR.

2.3.2 Overview of the Federal Waste Management Regulatory Framework

EPA's Office of Solid Waste has primary regulatory responsibility for Federal waste management programs. It broadly defines three waste categories: hazardous waste as defined under Subtitle C of the Resource Conservation and Recovery Act of 1976 (RCRA), municipal solid waste as regulated under Subtitle D of RCRA, and industrial and special waste. Hazardous wastes are wastes that exhibit certain characteristics (e.g., ignitability, corrosive, toxicity, or reactive). In addition, EPA may list a specific hazardous waste (e.g., treatment sludge).

Under RCRA authority, EPA has defined hazardous waste as solid, semisolid, liquid, and gaseous materials from industrial, commercial, agricultural, and community activities. In addition to defining hazardous wastes through RCRA, EPA also developed a regulatory framework that identifies wastes that must be managed as hazardous waste. Through that regulatory framework, EPA defines a waste as hazardous if it:

- Exhibits any of the characteristics of a hazardous waste defined by standard analytical test protocols and procedures,
- Is listed as a specific hazardous waste (under Subtitle C, RCRA),
- Is a mixture that contains a listed hazardous waste and other wastes,
- Has not been *excluded* from RCRA regulations as a hazardous waste (emphasis added), or
- Is a byproduct of the treatment of any hazardous waste (unless specifically excluded from RCRA) (Wentz 1995, p. 78).

About 40 million tons of hazardous waste were generated in the United States in 1997 by large quantity generators (EPA 1999). Hazardous waste must be managed in permitted hazardous waste treatment, storage, recycling, or disposal facilities. Treatment standards for hazardous waste are defined in 40 CFR §268 (2000). Despite the definition of hazardous waste as waste that exhibits certain characteristics, some waste streams may be exempted from hazardous waste regulation, including some mining/mineral wastes and slags/ashes, which will be discussed below.

Municipal solid waste is commonly thought of as garbage generated from households, businesses, and industries. EPA classifies municipal solid waste as:

Garbage, or refuse, sludge from a wastewater treatment plant, water supply treatment plant, or air pollution control facility and other discarded material, including solid, liquid, semi-solid, or contained gaseous material resulting from industrial, commercial, mining, and agricultural operations, and from community activities (EPA 2001a).

Municipal solid waste can be recycled, incinerated, sent to a waste-to-energy facility, or disposed in a municipal solid waste landfill. States handle permitting procedures for municipal solid waste facilities. EPA has set standards for air emissions from incinerators and waste-to-energy facilities and liner requirements for municipal solid waste landfills. Otherwise, states are responsible for ensuring proper management of municipal solid waste. U.S. generation of municipal solid waste in 1998 was close to 220 million tons. More than half of this waste was managed in municipal solid waste landfills (55 %), with the remainder recycled (27 %) and incinerated (17%) (EPA 2000a).

Industrial waste (sometimes referred to as nonhazardous industrial waste) is a process waste associated with manufacturing and is generated by a wide range of industries. Industrial waste is not classified as either municipal solid waste or hazardous waste by Federal or state laws. State governments have regulatory authority for ensuring proper management of industrial waste, but these programs vary widely. Each year about 7.6 billion tons of industrial wastes are generated (EPA 2001b).

2.3.3 Current Recycling Practices

Vehicles are recycled at a higher rate than most recycled products in the United States. Of the approximate 9-11 million cars taken out of service each year, EPA estimates that close to 95 percent will be recycled through dismantling, shredding, and recycling processes (EPA 1995b; *Automotive Engineering* 1992). Three operations are primarily responsible for vehicle recycling: automobile scrappage/disassembly, automobile shredders, and materials recycling. All are in Standard Industrial Classification (SIC) code 37—transportation equipment.

One primary dismantling step is to remove hazardous and recyclable fluids (oil, auto coolants, CFCs) and batteries (EPA 1995b). Another step is for dismantlers to take off the high-value parts for reuse and reconditioning. Components such as body panels, water pumps, and alternators may serve as repair or replacement parts (*Automotive Engineering* 1992). The remaining parts of the auto are sent to shredders.

Shredders shred cars into small-sized particles that are processed through magnetic separators and air classifiers. There are three major fractions that result from a shredding process: ferrous scrap, nonferrous scrap, and automotive shredder residue (ASR) or “fluff” (*Automotive Engineering* 1992; Lanoir et al. 1997; EPA 1995b). Magnetic separators recover the ferrous materials, while nonferrous metals are generally hand-sorted from a conveyor belt. EPA reports that approximately 11 million tons of recycled steel and 800,000 tons of nonferrous metals are recovered annually (EPA 1995b). Similar quantities are reported in *Automotive Engineering* (1992). The remaining material is ASR.

Currently, about 75 percent of the weight of vehicles is recycled for raw material use (EPA 1995; Berry 1992, Davis 1997). Nevertheless, there is still demand for solid waste landfill capacity for the remaining 25 percent, estimated at about 2.5 to 3 million tons disposed each year (Curlee et al. 1994; Klempner et al. 1999). EPA estimates that ASR constitutes about 1.5 percent of the total municipal landfill waste (EPA 1995b).

2.3.4 Automotive Shredder Residue (ASR) Composition

The first step in calculating the percentage of weight and material composition of the likely ASR from the three 3XVs is to determine the current characteristics of ASR. ASR is a lightweight mixture of several materials; its precise contents and percentages of materials varies from sample to sample. Although numerous characterization studies agree that ASR comprises plastics, glass, rubber, fiber, and dirt, they are not consistent with respect to the proportion of these materials in ASR. The disparity is partly the result of the research focus of each characterization study.

For example, one study characterizing ASR investigated the viability of incinerating ASR (Lanoir et al. 1997). The study focused not only on the ASR entering the incinerator, specifically plastics and metals that might be small fragments in the plastics, but the ash content of the incinerator. Another study addressed ASR composition from the perspective of issues of heavy-metal content and toxic emissions during incineration (Fisher and Mark 1999). Yet another study examined treatment standards for metals before land disposal and focused on cadmium, chromium, copper, lead, mercury, nickel, zinc, and hexavalent chromium (Radimsky and Watson 1989).

Other studies focused on broader public policy recycling issues and listed ASR components as urethane foams, fabrics, vinyl upholstery, padding, rubber, plastics, glass, and dirt (Klempner et al. 1999; Winslow et al. 1998; EPA 1995). In some research, categories were combined so that ASR components are reported as (1) fabrics, paper, and wood or (2) metals, wire, and glass (EPA 1991). Of the numerous characterization studies reviewed, two had percentages of materials by weight that were reasonably close. These two representations of ASR are presented in Table 2.6. For references on percentages of ASR components, as well as references that discuss the general categories of ASR without weights by percentage, see Appendix A.

Finally, we examined treatment of tires, which is dictated largely by state rather than Federal law as noted above. More than 30 states ban whole tires from municipal solid waste landfills, while 12 states ban all scrap tires from landfills. More important, only five states place no landfill restrictions on tire disposal in municipal solid waste landfills (Rubber Manufacturers Association, no date). EPA reports that of the 266 million scrap tires generated in 1996, 24% were landfilled, stockpiled, or illegally dumped (EPA 1999). This implies a fairly high recycling and/or reuse rate for tires.

ASR Component Material	ASR content as reported by ...	
	American Automobile Manufacturers Association (Kincaid 1996)	Automotive Engineering (1992)
Plastics	34	37
Fluids	17	17
Glass	16	16
Rubber	12	12
Other*	21	21

*Other is not specifically defined but is known to contain materials such as urethane foams, fabrics, vinyl, and carpet.

2.3.5 New Vehicle Material and ASR Balance

This study compares the 1994 baseline vehicle's material composition by weight with the three 3XVs. Material composition and weight of the 1994 baseline car and 3XVs are presented in Table 2.2. Table 2.7 further breaks down some categories to better represent material inputs in terms of the potential components of ASR. This table includes categories for fluids and tires. The weight of the fluids has been taken from the "other" category, while the weight of the tires has been taken from the rubber, ferrous and "other" categories.

Table 2.8 presents the ASR likely to remain after recycling of each vehicle type. We assume that all of the plastics, resins, rubber (other than tire rubber), glass, glass fiber, and a broader "other" category remain as ASR after recycling. Other includes materials such as fabrics, carpets, urethane foams, and vinyl. We assume that 10% of the "other fluids," i.e., those other than fuel, remain after the fluids are drained and become part of the ASR. These categories are known components of ASR.

The 18% of the baseline vehicle's weight that we estimate to remain as ASR is less than the 25% reported in the literature (largely because of the composition of the baseline vehicle). Also the relative amounts of each material type are different than the breakdown reported in the literature, with the exceptions of plastics and glass.

The amounts of ASR resulting from the ESX2 and the Precept are estimated to be greater than the baseline vehicle's ASR; the weight of the ASR resulting from the P2000 is estimated to be less than the baseline vehicle's ASR, but account for a larger percentage of the vehicle's mass (23%, compared to 18%). The baseline vehicle, the P2000, ESX2, and Precept are estimated to have 582, 455, 910, and 719 pounds of ASR, respectively. These differences are despite the lower total weight of the 3XVs. The relatively high weight of ASR for the ESX2 results from the high plastics content, while for the Precept the category "other" is the largest contributor.

Material	1994 Baseline	P2000	ESX2	Precept
Plastics	193	209	52	187
Resins (for composites)	28	40	428	86
Wrought Aluminum	47	462	330	304
Cast Aluminum	159	271	120	820
Magnesium	6	86	122	7
Platinum ¹	0	0	0	0
Titanium	0	11	40	33
Ferrous	2153	475	513	471
Rubber (other than tires)	98	82	107	36
Glass	97	36	70	57
Lexan	²	30	20	0
Glass Fiber	19	19	60	35
Carbon Fiber		8	24	22
Fluid: Fuel	106	42	42	32
Fluid: Other	79	71	71	71
Tires ³	100	100	100	100
Major metals in each battery ⁴	33	39	1	39
Other	129	24	142	289
Total	3248	2010	2250	2591

¹ There is 0.003 lbs of platinum in the baseline and 0.01 lbs in each of the 3XVs.

² Lexan in the baseline vehicle is reported as plastics.

³ The 100 lbs for four tires includes 41 lbs of rubber, 15 lbs of steel, and 44 lbs of "other." The rubber, ferrous, and "other" categories have been adjusted accordingly.

⁴ The weights of lead, nickel, and lithium in the vehicles' batteries have been removed from the "other" category.

Material	1994 Baseline	P2000	ESX2	Precept
Plastics (including resins)	231	249	480	273
Rubber: Other	98	82	107	36
Glass	97	36	70	57
Glass fiber	19	19	60	35
Lexan	0	30	20	0
Carbon Fiber	0	8	24	22
Fluid: Other	8	7	7	7
Other	129	24	142	289
Total (% of total vehicle weight)	582 (18%)	455 (23%)	910 (40%)	719 (28%)

2.3.6 Municipal Solid Waste Management Capacity

This analysis assumes that the ASR does not meet the legal definition of hazardous waste under the sampling procedures set out in the Code of Federal Regulations, and thus would be landfilled in municipal solid waste landfills. Obviously, the need for ASR disposal capacity may change as new recycling methods for plastics and other materials are developed and become economically feasible.

No Federal regulatory provision exists for assessing national capacity of municipal solid waste disposal capacity. However, there is consensus that current national municipal solid waste management is adequate (EPA 2000a; Peretz 1997). There are no projections that challenge the capability to manage waste in the future. Although the number of solid waste landfills is declining, the remaining landfills are considerably larger than the closed, smaller units. In the early 1990s, few states had more than 10 years of disposal capacity. By 1995, only two states (New Jersey and Massachusetts) had less than five years' remaining capacity, and this appeared linked to state policies on recycling and source reduction. The shortfalls appear in regions, not nationwide.

2.3.7 Hazardous Waste Management Capacity Requirements

2.3.7.1 Relating Different Waste Categorization Schemes

Section 2.1 presents an analysis of wastes generated during the vehicles' extraction and material processing life-cycle stage that breaks down the total waste stream into five categories: mineral, mixed industrial, slags/ash, inert chemicals, and regulated chemicals. These categories are derived primarily from the source data, much of which is European, and although they are highly consistent with U.S. Federal waste categories, the two schemes are not thought to perfectly mirror each other. Nevertheless, for this analysis, we explicitly link European waste categories and U.S. waste categories (see Table 2.9).

European waste categories	U.S. waste category
mineral	mining waste
mixed industrial	industrial
slags/ash	industrial
inert chemicals	industrial
regulated chemicals	hazardous waste

The following sections discuss U.S. Federal waste law relevant to each of these five categories.

Mining Waste

RCRA specifically excludes *certain* mining wastes generated through the processing of ores and minerals from regulation as hazardous wastes.^d These exclusions include a number of different types of slag, process wastewater, dusts, and sludges from the processing of materials such as copper, lead, bauxite, phosphoric acid, coal, iron, magnesium, steel, zinc and others (40 CFR §261.4(b)(7)(ii), 2000).

In addition, wastes from the “extraction, beneficiation, and processing of ores and minerals” are exempted from regulation as a hazardous waste. Beneficiation, as defined, is restricted to specific activities, such as crushing, washing, dissolution, filtration, sorting, roasting, etc. (40 CFR §261.4(b)(7)(i), 2000). Also excluded from regulation as hazardous waste are secondary materials that are generated within the primary mineral processing industry, provided that the secondary material is legitimately recycled to recover minerals and the secondary material is not accumulated (40 CFR §261.4(a)(17), §261.4(a)(17)(i), and §261.4(a)(17)(ii), 2000).

However, if the exempted waste stream is mixed with other wastes exhibiting a characteristic of hazardous waste (ignitable, reactive, corrosive, or toxic), then the entire waste stream is regulated as a hazardous waste (40 CFR §261.3(a)(1)(i), 2000); commonly referred to as the Bevill exclusions. In this analysis, we assume that this mixing does not take place.

Mixed Industrial

In this report, we assume that European mixed industrial waste is similar to U.S. industrial waste. Although industrial waste generation is copious, as noted above, it is not classified as either municipal solid waste or hazardous waste. EPA has left responsibility for proper management of this waste stream to each state, where programs vary widely. Moreover, because this examination focuses on currently adopted *Federal* programs, this waste stream falls outside its scope. It is important to bear in mind, however, that industrial waste is not regulated as a hazardous waste.

Slags/Ashes

Slags and ashes generated from the production of energy are exempt from RCRA regulation as a hazardous waste. Specifically, RCRA exempts from hazardous waste “fly ash waste, bottom ash waste, slag waste, and flue gas emission control waste, generated primarily from the combustion of coal or other fossil fuels” (40 CFR §261.4(b)(4), 2000). The non-regulation of coal wastes, including fly ash, was amplified recently when in April 2000 EPA announced that coal combustion wastes from electric power plants should not be regulated as hazardous. Instead the agency would develop national standards for management of this waste stream (Najor 2000). Those standards have not yet been published.

Inert Chemicals

We have assumed for this report that inert chemicals fall within the U.S. waste category, industrial waste, and do not meet the definition of hazardous waste.

^d Obviously, other waste streams might be generated through the mineral extraction process. If the waste meets the definition of hazardous waste, it falls under the RCRA regulatory regime.

Regulated Chemicals

For this assessment, we consider regulated chemicals from the European data to be the equivalent of RCRA-regulated hazardous waste. As noted above, waste can be considered hazardous if it exhibits a certain characteristic (ignitable, reactive, toxic, or corrosive) or if EPA has listed it as a hazardous waste (there are more than 500 listed hazardous wastes). Hazardous waste generators must file annual reports with the states; states in turn submit biennial reports to EPA. There are restrictions on storage at the hazardous waste generating site, and the waste must be treated at a permitted treatment, storage, recycling, or disposal facility. Hazardous waste generation quantities are lower than industrial or municipal solid waste generation.

2.3.7.2 Hazardous Waste Management Capacity

A key question in this analysis is whether there is sufficient capacity to manage the hazardous waste likely to be generated through the manufacturing processes of the 3XVs. Provisions in the Superfund Amendments and Reauthorization Act (SARA) of 1986 assist in this assessment. Section 104(c)(d) of SARA required that each state's governor certify to the EPA administrator on October 17, 1989 that the state had adequate capacity, either within its boundaries or through interstate agreement, to handle all the hazardous waste generated within its borders over the next 20 years. Any state failing to certify adequate capacity risked forfeiture of Federal Superfund cleanup funds. The states' 20-year projections accounted for economic growth, regulatory changes (such as newly identified RCRA hazardous waste), and waste minimization. All states submitted a capacity assurance plan in October 1989. Some states that lacked sufficient in-state capacity entered into interstate agreements. Other states, without sufficient waste management capacity in one waste-treatment method, projected that the capacity would be available over the next 20 years (most often through construction of a new facility). In its evaluation, EPA took a state approach rather than a clearly defined national approach to waste management; EPA did not declare inadequate any state capacity assurance plan, and no state had Superfund monies withheld (Peretz 1992).

A second round of capacity assurance plans were submitted to EPA in May 1994. The guidance offered by EPA for this round of submissions made it clear that the agency was assessing capacity from a national rather than state or regional perspective. In November 1994, EPA declared that adequate national capacity existed in all waste management categories.^e Although the agency agreed to monitor waste management demand and remaining capacity available at waste management facilities, the agency has not conducted another round of capacity assurance plans and does not "anticipate the need to conduct another ... for the next few years" (EPA, 1995a). From this EPA determination it can reasonably be determined that sufficient waste management capacity exists to manage additional hazardous waste that would be generated from the manufacturing of 3XVs.

^e Waste management categories assessed are: deepwell/underground injection; energy recovery of solids/sludges and liquids; fuel blending; wastewaters and sludges treatment; incineration of liquids/gases; inorganics recovery; incineration of sludges/solids; landfill; metals recovery; organics recovery; and stabilization (<http://www.epa.gov/epaoswer/hazwaste/tsds/capacity/>); accessed 2/28/01.

2.4 SPATIAL ASSESSMENT OF 3XVs' EXTRACTION AND MATERIAL PROCESSING PHASE

Within the last decade, the U.S. has begun to address issues relating to the distribution of environmental impacts, and the potential for socially and economically disadvantaged populations to bear a disproportionate share of environmental burdens. These issues have been labeled issues of “environmental justice.”

Issues relating to the distribution of environmental impacts are associated with each of the vehicles' life-cycle stages, and there are potential trade-offs between stages. As materials demand changes and wholly new materials are introduced to vehicle components, different geographic areas—and, thus, different populations—with reserves of select raw materials and processing capabilities may be affected. Also, at the end-of-life stage the volume and constituents of wastes may differ, having potential impacts in communities where the vehicle recycling and disposal occurs.

Another potential concern is the apparent tradeoff between impacts in the extraction and material processing stage and the use stage. Life-cycle analysis of two of the 3XV prototypes found that emissions of greenhouse gases (CO_2 , CH_4 , N_2O , SF_6 , CF_4 , and C_2F_6), particulate matter, and NO_x would all increase during the extraction and materials processing life-cycle stage and decrease during the use (fuel use) stage if low emission vehicle or ultra-low emission vehicle (LEV/ULEV) standards are met (Das et al. 2000). This pattern suggests a potential for impacts in vehicle use areas (the United States) to decrease, while impacts in materials production areas (foreign countries) could increase. Previous analysis of the rural vs. urban distribution of impacts also shows that for NO_x and, especially, particulate matter, impacts will shift from urban areas to rural areas. This could be a potentially positive shift with regard to human health if the activities occur in less densely populated areas, but, conversely, it could have potentially negative effects if populations in these rural areas are of relatively poor health—due to economic status and access to health care—are exposed to the emissions.

In consideration of these issues, this analysis addresses the spatial distribution of materials extraction and processing activities to determine, at a gross scale, where potential environmental impacts associated with materials extraction and processing for 3XVs will occur. Although environmental impacts are possible at each life-cycle stage, this analysis addresses only the materials extraction and processing stage.

This analysis focuses on a limited set of materials: iron ore/steel, coal, bauxite/aluminum, titanium, magnesium, lithium, and platinum. It determines where—in which states and countries—materials extraction and processing activities will occur, and, thus, where associated environmental impacts—e.g., land erosion, ground water contamination, habitat destruction, and aesthetic degradation—and emissions—e.g., particulate matter SF_6 might occur. This analysis does not attempt to determine the likelihood of any of these specific impacts.

Analysts used a variety of information sources to determine where materials extraction and processing would be likely to occur for each of the seven materials addressed here (EPA 2000b, USGS 2000, National Mining Association 2001). The analysis identifies U.S. states and foreign countries that would experience reductions or increases in materials extraction and processing activities, and associated reductions or increases in environmental impacts. Reduced impacts occur because using less ferrous materials will bring about a reduction in environmental impacts from ore and coal mining.^f Conversely, there is potential for increased environmental impacts in locales where supplies of alternative metals, e.g., bauxite for aluminum, or platinum, are located. U.S. states that would experience changes in impacts are shown in Table 2.10. Countries likely to experience changes in impacts are identified in Table 2.11.

Table 2.10. Select U.S. States Will Experience Changes in Environmental Impacts due to Material Demand for 3XVs		
Reduced Impacts	Increased Impacts	Mixed Impacts
Minnesota (ore)	Washington (magnesium, aluminum)	West Virginia (coal vs. aluminum)
Wyoming (coal)	Texas (magnesium)	Ohio (coal vs. aluminum)
Kentucky (coal)	New York (titanium, aluminum)	
	North Carolina (lithium)	
	Oregon (aluminum, titanium)	
	South Carolina (platinum)	

Table 2.11. Countries Where Environmental Impacts of Extraction and Material Processing for 3XVs Are Likely	
Countries with Largest Increased Impacts	Small, Developing Countries with Increased Impacts
Canada (magnesium, lithium aluminum)	Guinea (aluminum)
China (magnesium, aluminum)	Jamaica (aluminum)
South Africa (titanium, platinum)	Sierra Leone (titanium)
Australia (titanium, aluminum)	Chile (lithium)
Russia (aluminum, magnesium, platinum)	Sri Lanka (magnesium, aluminum, lithium)

Every region in the United States could potentially experience some change in environmental impact with the introduction of new automotive materials. However, the scale of U.S. production of the lightweight metals is small compared to international activity, suggesting that U.S. impacts may decrease while impacts abroad might increase. The major aluminum producing countries—Canada, China, Australia, and Russia—are listed as those where the

^f This analysis considers only potential environmental impacts; it does not address localized economic impacts that might occur because of increased or decreased demand for a particular material.

largest increases in impacts could occur. South Africa, a major producer of platinum and titanium, could also experience notable change. A number of small, developing countries have significant deposits of lithium, titanium, and aluminum and could experience increased demand for these resources. Although they do not have the production capacity of the larger countries listed in Table 2.11, their potential for environmental impacts may be the equivalent of the larger countries if these developing countries do not yet have a regulatory framework and the resources to administer such a framework to protect workers, the general population, and natural resources.

2.5 Waste Assessment Conclusions and Recommendations

The waste quantity assessments in Sections 2.1 and 2.2 demonstrate a likelihood that waste production will increase when lightweight materials replace steel and ferrous in automobiles. These projected increases are based on assumptions of current rates of recycling and current materials production practices and technologies. If recycling rates for aluminum increase, for example, these projected levels of waste will not be realized. However, assuming current practices, there are significant increases in the total quantity of waste associated with materials production for the new vehicles, and significant increases in hazardous waste, which is of special concern because it requires disposal at special waste repositories and presents potential human health affects.

The largest single contributor to the total waste of the 3XVs is aluminum, the extraction of which produces large volumes of mineral waste. Other lightweight metals, e.g., platinum, produce large quantities of mineral waste during their production; but because they are used in such small quantities in the new generation vehicles they contribute a relatively small amount of mineral waste. This category of waste is unregulated in the United States. It is unlikely, therefore, to present issues related to human health, although given the sheer quantity of production, better characterization of this waste stream appears warranted. Issues related to this type of waste involve land use, potential habitat destruction, and potential ground and surface water impacts.

The projected increases in hazardous waste production in vehicles (excluding batteries) result from plastics. The range of changes in hazardous waste—from a 26% decrease in the ESX2 to a 41% increase for the Precept—demonstrate that the selection of specific plastics has a significant impact on the quantity of hazardous waste associated with production of materials for the 3XVs. Given this situation, future efforts should focus on selecting plastics that meet materials specifications (e.g., for strength and rigidity) yet are not large producers of hazardous waste.

Although the life-cycle assessment of the nickel metal hydride and lithium ion batteries provides a more comprehensive picture of their potential impacts, this assessment provides an in-depth look at one impact category, i.e., solid waste. Specifically, it shows that the new battery types, particularly the nickel metal hydride battery, has the potential to significantly increase the 3XVs hazardous waste output. The nickel metal hydride battery increased hazardous waste output by 160 percentage points; the lithium ion battery's effect was smaller, increasing hazardous waste output by 35 percentage points. The breakdown in Section 2.2 of

constituents of the hazardous waste identify nickel as the primary contributor of two specific hazardous wastes, ammonia and nickel. The massive increases projected here are caveated by the quality of the TRI data on which they rely. Nevertheless, the wastes associated with these batteries warrant detailed investigation.

The detailed hazardous waste assessment does not include plastics and resins, which the overall waste assessment finds to be the primary contributor to the 3XVs' hazardous waste generation at the materials extraction and processing stage. It is difficult to parse from TRI data which plastics are contributing to which specific emissions at the various manufacturing facilities. Additional analysis is needed to accomplish this task, which could aid in the selection of specific plastics for automotive applications.

Sections 2.3 and 2.4 are initial considerations of some of the implications of the waste increases projected in the assessments reported in Sections 2.1 and 2.2. The overview of RCRA hazardous waste testing and management standards reveals that ample waste management capacity exists in the U.S. to accommodate any additional demand associated with the 3XVs at end-of-life. The assessment does not address the demand for waste management capacity at the materials extraction and processing stage, largely because it is anticipated that much of the production and, thus, the demand for capacity will occur outside the United States. The spatial assessment in Section 2.4 confirms this likelihood, especially for the lightweight metals that are the focus of the spatial assessment. It is less likely that the bulk of materials processing for resins and plastics will occur outside the United States because the United States remains a world leader in the production of plastics.

Although 3XVs present potentially large increases in the extraction and material processing waste in general and hazardous waste in particular, it is helpful to put these quantities in context. According to EPA estimates, of the 13 billion tons of industrial, agricultural, commercial, and household waste generated annually, two percent—or 279 million tons—are hazardous waste, as defined by RCRA regulations (U.S. EPA 1997a). By comparison, hazardous waste accounts only 0.2% or less of the extraction and materials processing waste for 3XVs. EPA also reports that Americans generate 1.6 million tons of hazardous household waste annually (this excludes industrial, agricultural, and commercial hazardous waste; U.S. EPA 1997a). This amounts to 12 lbs per person annually. The materials for the Precept and P2000 would generate a slightly smaller amount of hazardous waste and the ESX2 would generate about one-third as much hazardous waste as each person in the U.S. generates in his own home annually.

3 LIFE-CYCLE ASSESSMENTS

3.1 INTRODUCTION TO THE LIFE-CYCLE ASSESSMENTS

The life-cycle assessments, reported here and conducted by the University of Tennessee Center for Clean Products and Clean Technologies, address exterior body panels, hybrid electric vehicle batteries, and fuel cell vehicles. These assessments are the outgrowth of a previous study (Das et al. 2000, UT-CCPCT, 1999) that evaluated two PNGV prototype vehicles, the aluminum-intensive Ford P2000 and the composite-intensive DaimlerChrysler ESX2. That earlier study, in its conclusions, identified these three areas for future research work, recommending more detailed assessments involving carbon fiber composites, lithium-ion batteries, and fuel cells. (A glossary of acronyms and terms is found in Appendix B.)

3.1.1 The Life-Cycle Approach

The environmental impacts associated with a vehicle based on newer technologies and using new generation materials can be more accurately and completely assessed if all the life-cycle stages are considered. The use of certain new materials may seem environmentally preferable in a particular life-cycle stage, but the new materials could produce significant environmental burdens in other life-cycle stages that the original materials did not, rendering their selection unjustifiable. Incorporating life-cycle considerations into the design process, therefore, is a proactive approach that prevents the imposition of unforeseen burdens on the environment by providing more complete information on potential impacts to product designers.

Life-Cycle Assessment (LCA) is a comprehensive method for evaluating the full environmental consequences of a product system. The four major components of an LCA are

1. Goal Definition and Scoping,
2. Life-Cycle Inventory (LCI),
3. Life-Cycle Impact Assessment (LCIA), and
4. Improvement Assessment.

Goal Definition and Scoping involves defining the functional and service units, and the boundaries that will determine the focus of the assessment. LCI involves the quantification of material and energy inputs, air emissions, liquid effluents, and solid wastes. This quantification results in an environmental profile for a product or product system. LCIA involves the translation of the inventory values into environmental impacts. The Improvement Assessment is the decision-making phase of an LCA, where opportunities to improve the environmental profile are explored by examining the LCA results.

3.1.2 Life-Cycle Stages

A LCA usually considers the following life-cycle stages:

Raw Materials Extraction

Activities related to the acquisition of natural resources from the earth and water; includes actions such as mining non-renewable material and harvesting biomass. For automobiles, major materials include iron, bauxite, and crude oil.

Materials Processing

Processing of natural resources by reaction, separation, purification, and alteration, in preparation for the manufacturing stage. Examples include iron to steel, bauxite to aluminum, and crude oil to polymers.

Manufacturing

Production of components, parts, and sub-assemblies by manufacturers and their suppliers; assembly of automobiles by automakers.

Use, Maintenance, and Repair

Use of products by their owners. For complex electronic and/or mechanical products such as automobiles, includes regular maintenance and repair, conducted by the users themselves or by servicing facilities. In these assessments, 'Fuel Use' (also called driving) and 'Fuel Production' combine to make up the Use life-cycle stage.

End-of-Life

Disposition of products at the end of their useful lives. Options include landfilling, recycling, incineration, and, where possible, reuse. For vehicles and vehicle components at the end of their useful lives, processing includes dismantling, shredding, ferrous and nonferrous metal separation, and ultimate disposal of automobile shredder residue (ASR) either in landfills or through incineration.

Additionally, there is some other terminology that is used to describe life-cycle stages in LCA. "Cradle-to-Gate" is often used to define the combination of Extraction, Materials Processing, and Manufacturing, while "Cradle-to-Grave" refers to all the stages. "Upstream" is a term that is often used to refer to the stages that occur before the stage of interest (e.g., if reviewing EOL information, 'upstream' would refer to Extraction through Use); similarly, "downstream" is also used to refer to those stages coming after the stage of interest.

3.1.3 Life-Cycle Data

Each unit process in the life cycle of the automobile or automotive component (or any product) is characterized by a list of inputs and outputs associated with it, as depicted in Figure 3.1 below:

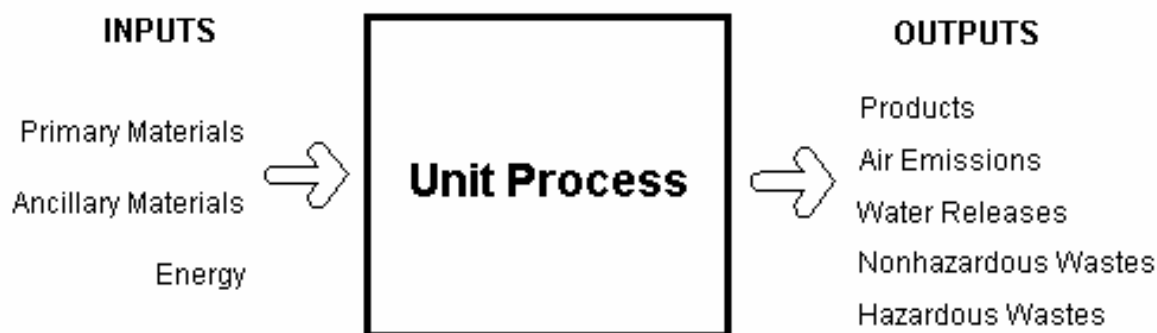


Figure 3.1. LCI Inputs and Outputs to a Unit Process

All inventory data is collected and normalized to the functional unit and service unit of the product. The functional unit is the quantity or mass of a product that is traversing its entire life (all life-cycle stages); the service unit is the quantification of the Use life-cycle stage, which can be measured in various units. For an automobile, the functional unit could be one car, and the service unit would typically be a useful life of around 120,000 miles.

Within inventory data, the data categories typically include:

Material Inputs

- Primary Materials (materials that become part of the product)
- Ancillary Materials (materials needed in processing that are not “primary”)

Energy Inputs

- Fuel or Process Energy
- Feedstock Energy (energy embodied in the product)
- Transportation Energy

Emissions and Wastes

- Air Emissions
- Water Releases and Emissions
- Nonhazardous Wastes
- Hazardous Wastes

Products

- Primary Products
- Co-products

An issue that often comes up in managing inventory data is allocation. Most often, in cases where a unit process results in multiple products or co-products, the inputs and outputs associated with the process are allocated between the products and co-products on a mass basis.

Also, with regard to life-cycle data, it should be noted that the results that are obtained from any LCA are greatly dependent on the assumptions made and the data used in the LCI. This partly explains why two different study groups can perform an LCA on the same product and derive differing sets of results. In these analyses, some additional information is provided to help see some of the variation that exists in LCI data. One example is in the FCV assessment, in the discussion around Table 3.24, while Appendix D provides more examples of data variation.

3.1.4 Impact Categories

After obtaining the LCI for the product, the next step is LCIA, where individual inputs and outputs are assigned to specific impact categories based on the known characteristics of each. After this assigning, each functional unit-normalized inventory value becomes an impact

“score,” and the impact scores for all inventory items are summed within each impact category to create the final, total values or scores for each impact category.

Within LCIA, there are a multitude of impact assessment methodologies that exist and are currently used by different LCA practitioners. The one chosen for use by (and partially developed by) the CCPCT is the “CHEMS, plus” methodology, which is the baseline methodology set up for use in the Life-Cycle Design (LCD) Toolkit (see below). Within most LCIA methodologies, a single input or output may contribute to more than one impact category, and multiple inputs and outputs can contribute to the same impact category. The range of potential impact categories included in the “CHEMS, plus” LCIA methodology are listed below:

- Nonrenewable resource use/depletion*
- Renewable resource use*
- Energy use*
- Global warming*
- Stratospheric ozone depletion*
- Photochemical smog*
- Acidification*
- Particulate matter*
- Aesthetic impacts*
- Solid waste landfill space*
- Hazardous waste landfill space*
- Radioactive waste landfill space
- Radioactive releases
- Water eutrophication or nutrification (nutrient enrichment)*
- Water quality (BOD*, suspended solids*, and pH)
- Acute occupational human health effects
- Chronic occupational human health effects
- Acute consumer human health effects
- Chronic consumer human health effects
- Acute local population human health effects
- Chronic local population human health effects
- Aquatic toxicity
- Terrestrial ecotoxicity

The majority of the impact categories listed here—those marked with an asterisk (*)—were included in the three assessments, however, some were excluded due to the unavailability of data for certain materials and processes. See Appendix C for definitions of the impact categories included in the assessments.

For each of the three assessments, results have been presented for the impact categories identified in the above list, using the Life-Cycle Design Toolkit developed by the University of Tennessee CCPCT, under the dual sponsorship of the U.S. EPA and the Saturn Corporation (UT-CCPCT 2000). The LCD Toolkit enables product designers to assess the environmental impacts associated with major and minor design modifications, such as the use of alternate materials or components, fastening systems, and manufacturing processes. It provides

interfaces for entering and organizing LCI data, building environmental profiles around that data, and comparing results to identify the life-cycle stages, processes, and/or materials that account for the greatest environmental burdens. The LCD Toolkit's results are expressed in terms of scores for each impact category that can be broken down by life-cycle stages or individual processes, or can be attributed to individual materials.

3.2 METHODOLOGY AND OVERALL ASSUMPTIONS

3.2.1 Methodology

Once the scoping work was completed, the materials breakdown was obtained for each of the three assessments (i.e., body panels, NiMH and LiIon HEV batteries, and ICEVs and FCVs). Certain assumptions had to be made where complete component or material breakdown information was not available. These assumptions are detailed in the individual sections for each assessment.

Existing secondary data sources were explored to find Extraction and Materials Processing (E&MP) information on the materials involved in each of the three assessments. A secondary database that best fit the upstream data needs for these assessments was then selected. In cases where upstream data were missing or insufficient, attempts were made to obtain the data from other primary or secondary sources. Processes in the other life-cycle stages, Manufacturing, Use, and End-of-Life (EOL), were simultaneously identified. Efforts were then made to obtain materials, energy, and emissions information for these processes. This led to the identification of additional materials needed in the analyses, such as fuels and ancillary materials.

Once all the LCI data on each process were obtained, all process data were entered into the LCD Toolkit via the Process Builder (an interface used to build process-level data in the Toolkit). The processes were then linked within the Profile Builder to create environmental profiles ready to be analyzed, one for each product being considered. The profiles were then analyzed in the Toolkit. The results of each analysis were outputted in the form of impact scores, and each set of results was compared against the others in that assessment (the baseline versus the alternatives and the alternatives versus the alternatives). (For definitions of the impact categories and the units that are used in each category, see Appendix C: Impact Category Definitions.) For example, the impact scores obtained from analyzing the profile for the NiMH HEV battery were compared against the scores for the LiIon HEV battery.

Additional long-term (LT) analyses, based on future technological trends, targets, and/or ongoing research, were conducted for each of the three assessments. While the baseline analyses are focused on technology currently employed or in development, the long-term ones are based more on assumptions based on long-term PNGV and DOE targets. The future scenarios considered are

- A switch to a CFRP-based “monocoque”⁹ car body design

⁹ A monocoque is a rigid shell or exoskeleton that is meant to absorb all or most of the stresses to which the vehicle body is subjected, and requires no other structures or subsystems to maintain system integrity.

- Reduced-weight HEV batteries with longer life spans
- Reduced-weight reformer-based FCVs containing much less platinum than current designs

3.2.2 Overall Assumptions

Data for the E&MP life-cycle stages were obtained mostly from the Data for Environmental Analysis and Management (DEAM) database that forms part of the Tool for Environmental Analysis and Management (TEAM) software developed by the Ecobilan Group (1999).

Because manufacturing data were not readily available and given the time constraints of this study, it was not possible to obtain manufacturing data from primary sources. Moreover, the contribution made by the Manufacturing stage to the total life-cycle environmental impacts of automobiles is typically insignificant (UT-CCPCT, 2000; Keoleian, 1997). Thus, it was decided not to include data from this life-cycle stage in the assessments.

The Use stage for all three assessments was uniformly assumed to be 120,000 miles of driving, and includes Fuel Use and Fuel Production. The other assumptions made for the Use stage that were specific to each assessment are discussed in the individual sections that follow.

For the EOL stage, different assumptions were made for each of the three assessments, and they are discussed in the following sections.

3.3 EXTERIOR BODY PANELS ASSESSMENT

3.3.1 Background and Scope

Carbon fiber composites have successfully been used in aerospace applications to replace heavier materials, because of their light weight and high strength. Although the same benefits would be highly desirable in automotive applications, the high price of carbon fiber has inhibited its widespread use in the automobile industry. However, in the last few years, considerable work has been done in developing lower-cost carbon fiber for use in composites for automotive applications.

This life-cycle assessment was conducted to compare the potential environmental impacts from the production, use and disposal of automotive body closure panels made of carbon fiber-reinforced polymer (CFRP) composite material to those made of steel (as the baseline) and other lightweight materials, namely, aluminum and glass fiber-reinforced polymer (GFRP). These materials were defined by the PNGV as the ones of primary interest for automotive exterior panel lightweighting efforts (NRC 2000, p. 47).

For the baseline assessment, one set of steel automotive closure panels (4 door panels, the hood, and the deck lid), with a total weight of approximately 220 lbs (NRC 2000, p. 50), was

chosen as the functional unit. The service unit was defined as a useful lifetime of 120,000 miles.

An additional assessment was performed that looked more carefully at carbon fiber's weight reduction potential for the whole vehicle. This long-term analysis (also called the "monocoque analysis") assumes a radical change from the conventional body design to a CFRP-based shell-like monocoque body construction. The results of this analysis provide an opportunity to evaluate the environmental impacts associated with replacing a much larger mass of steel with a carbon fiber-based composite material.

3.3.2 Assessment-Specific Assumptions

The assumptions that pertain to the assessment of CFRP composite body panels are described here. Assumptions about specific materials follow the general assumptions below.

- 1) The closure panels of a mid-size passenger car (consisting of the 4 doors, hood, and deck lid [trunk lid]) made of four different materials were compared in this assessment:
 - Steel (the baseline material)
 - Aluminum
 - Carbon Fiber-reinforced Polymer (CFRP) Composite
 - Glass Fiber-reinforced Polymer (GFRP) Composite

These are the materials the PNGV has determined are viable candidates for the replacement of a steel Body-in-White (BIW) and closure panels in the sixth PNGV review report (NRC 2000).

- 2) For this assessment, only the closure panels were followed through the life cycle. Thus, E&MP included only the ore extraction and materials processing (preparation) of the material. In the Use life-cycle stage, only fuel consumption and emissions generation associated with the closure panels (not the whole car) were included. These Use stage values were calculated using the 1994 Taurus-class vehicle fuel efficiency and emissions as a baseline. In the EOL life-cycle stage, only the processing of these panels was included.
- 3) The Manufacturing life-cycle stage was excluded due to unavailability of data on the actual production of the closure panels from these four materials. However, as mentioned previously, this life-cycle stage is typically the one with the smallest impacts (along with the EOL stage).
- 4) Material substitution factors (Sullivan and Hu 1995) were used to calculate the necessary weight of each set of closure panels. The weight of a set of steel panels was first determined (from PNGV data) (NRC 2000, p. 50), and then the substitution factors were applied to obtain the weights of the closure panels produced from the other three materials. The substitution factors took into account issues such as manufacturability of the components, as well as the fact that it is not possible to go as low in mass as one might like with carbon fiber due to the resulting ultra-thin panel produced (Sullivan 2000).

- 5) With regard to vehicle life, it was assumed that each closure panel would last the life of the vehicle.
- 6) In an effort to conduct a more complete analysis, secondary weight savings were incorporated. Also known as mass decomposing, the idea is that at each opportunity to reduce the overall weight of a vehicle through materials replacement, further weight savings are now possible in the other systems and subsystems that operate the vehicle (e.g., powertrain, chassis) due to having some of the previous burden removed. These other systems can now be reviewed to find potential material reductions within them. A factor of 50% secondary weight savings (Das 2000) was used to estimate the effect. However, because the functional unit of the analysis is the exterior panels, secondary weight savings could only be integrated into the Use life-cycle stage, where the overall vehicle weight and subsequent vehicle fuel efficiency and emissions were modified.
- 7) In the EOL stage, it was assumed that ferrous and nonferrous materials are recovered and recycled, while all other materials (including composites) are landfilled as ASR. EOL processing was based on current processes of shredding and nonferrous metal separation (NFMS). The inputs and outputs for these processes were reduced to unit values and then multiplied by the quantity of ferrous, nonferrous or other material traversing EOL. All of the CFRP and GFRP, therefore, were assumed to end up as ASR (thus landfilled). All materials went through shredding, while only the aluminum panels went through NFMS.

3.3.3 Steel Assumptions

It was found that most automotive outer body panels are made of galvanized steel, a cold-rolled type of steel that is post-treated with zinc to prevent rusting. It was also found that most steels that have post cold rolling treatments applied tend to be basic oxygen furnace (BOF) steel (mostly virgin)^h; thus, BOF type hot-rolled steel, followed by a cold rolling process, was assumed to be the material for steel closure panels. Data on galvanizing was not available and was therefore excluded. Figure 3.2 shows a graphical representation of the steel profile.

3.3.4 Aluminum Assumptions

It was determined that the *average* amount of recycled content in wrought aluminum used in automobiles is 11% (wrought aluminum is the type of aluminum typically used to manufacture automobile body panels) (AA 1998). Thus, it was assumed that average automotive wrought aluminum would be used to produce closure panels made with aluminum. Data on the production of 100% virgin and 100% recycled aluminum were mixed appropriately to produce an inventory for the 89% virgin/11% recycled wrought aluminum (labeled “automotive wrought aluminum”). Figure 3.2 shows a graphical representation of the aluminum profile.

^h Steel is also produced in electric arc furnaces (EAFs), where 100%-recycled steel is used to produce new steel.

3.3.5 CFRP Assumptions

Based on discussions with several experts (Dearlove 2000, Gibson and Williams 2000, Sullivan, Johnson and DeVries 2000), it was decided that the most appropriate mix of carbon fiber (CF) with a polymer for this application would be a 30% by mass mix of CF in epoxy resin. This decision came out of the discussion that the mixing percentage is dependent on the polymer matrix used with the CF (the options typically being epoxy resin, a vinyl ester or polyester) (SAE 2000a), and the trade-offs involved. Figure 3.3 shows a graphical representation of the CFRP profile.

3.3.6 GFRP Assumptions

For GFRP, it was decided to use the same materials and same mix as was used in our previous study (UT-CCPCT 1999) that included an assessment of the body panels of the DaimlerChrysler ESX2 prototype: 85% PET with 15% glass fiber (GF). Other options included using a higher mix of GF (~20-40%) with other polymers like epoxy or a vinyl ester. Figure 3.3 shows a graphical representation of the GFRP profile.

3.3.7 Monocoque (LT) Analysis Assumptions

A carbon fiber-based monocoque design was chosen to compare against the baseline of steel. The monocoque design chosen was General Motors' 1991 Ultralite concept car, which was built by Scaled Composites, LLC in Mojave, California. The mass of the CFRP monocoque (190.5 kg for the BIW, including closures) came from a study conducted by The Hypercar Center, Rocky Mountain Institute (Mascarin et al. 1995).

The equivalent quantity of steel in the baseline vehicle was estimated to be 1,077 lbs, starting with a body mass of 1,134 lbs (NRC 2000), which included the BIW (590 lbs), closure panels (220 lbs), and other body panels and attachment components. It was assumed that 5% of the total (about 57 lbs) would be hinges, fasteners, and other parts that would still be needed in the Ultralite.

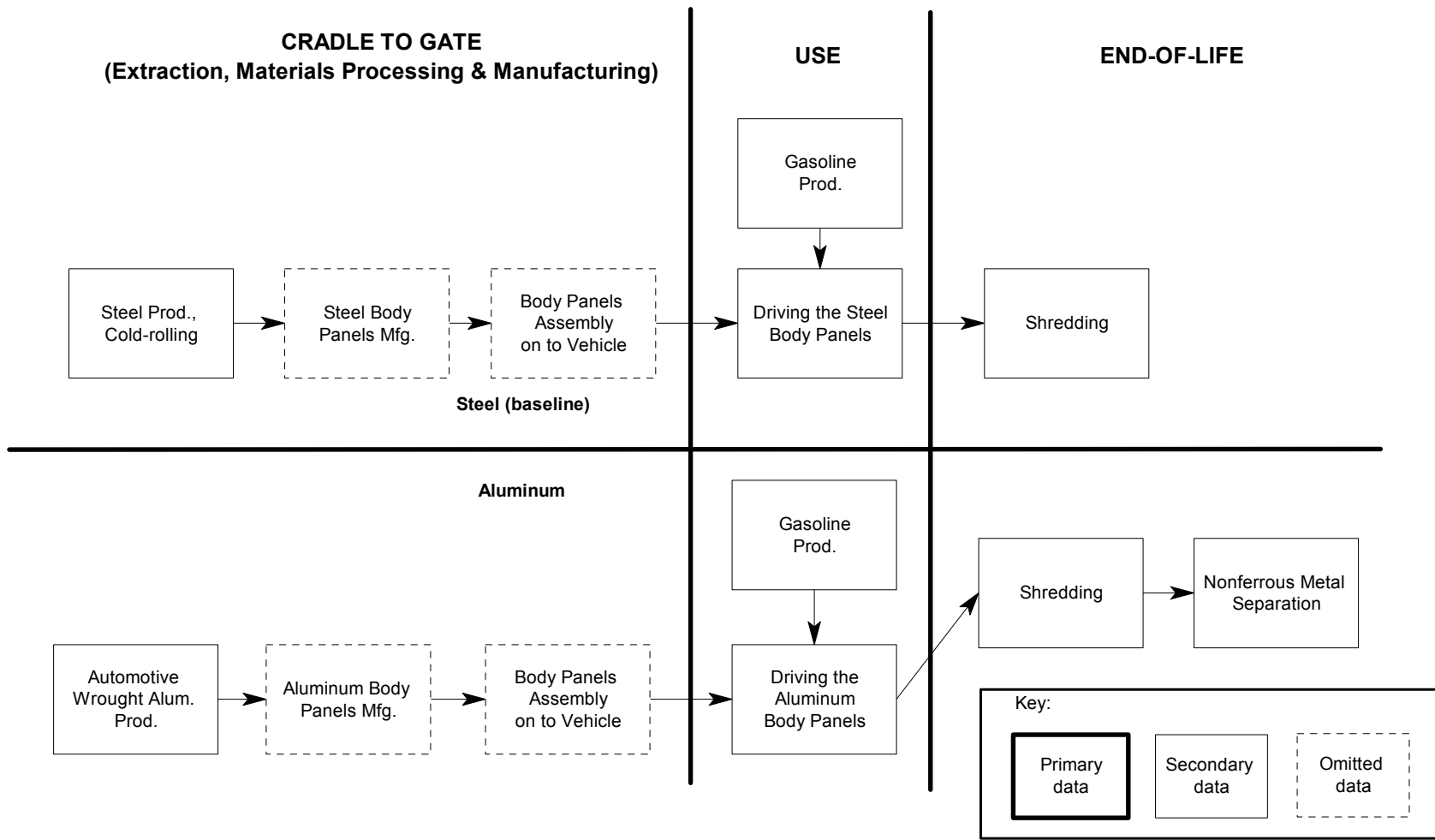


Figure 3.2. The Steel and Aluminum Profiles

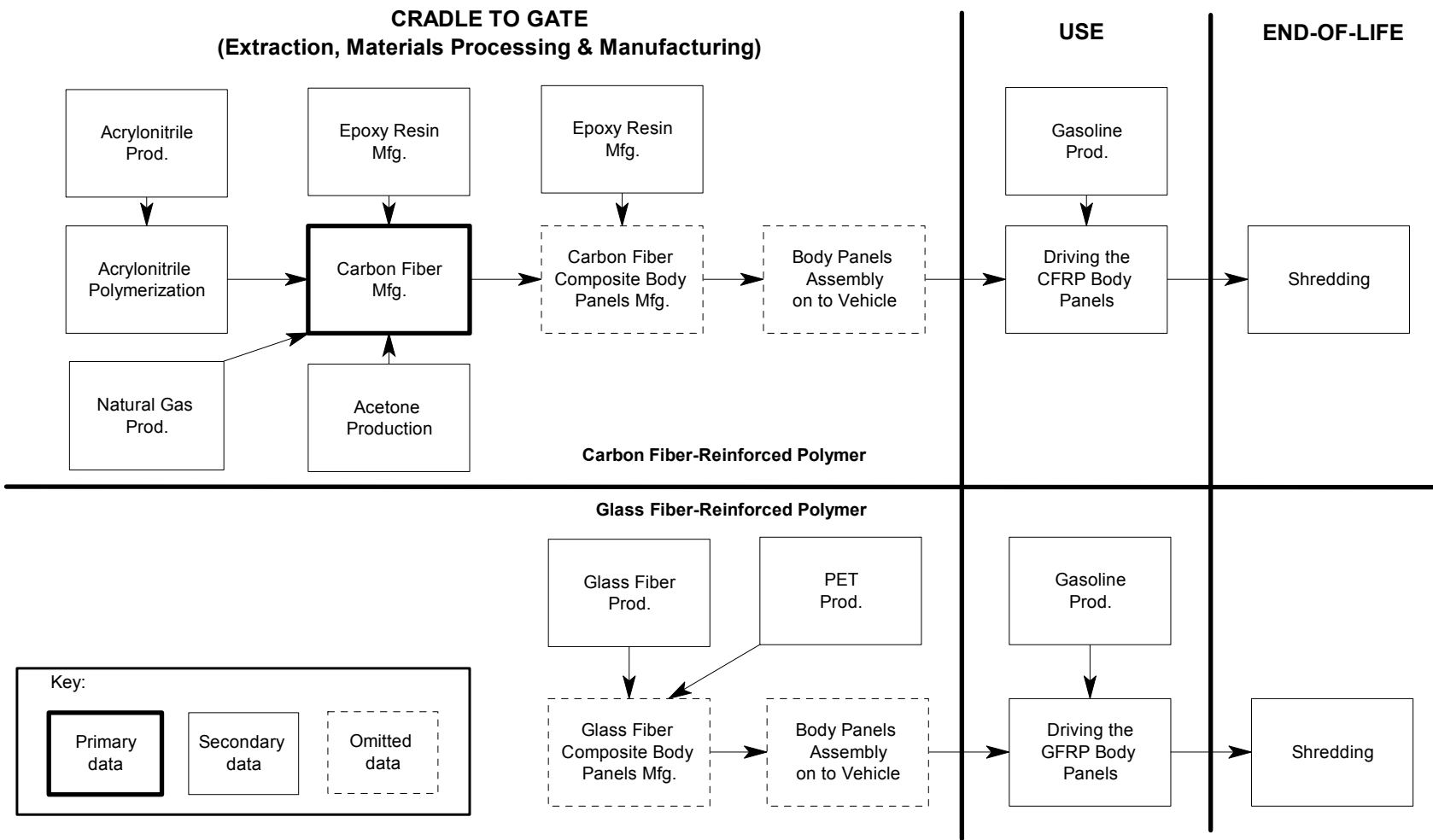


Figure 3.3. The CFRP and GFRP Profiles

3.3.8 Exterior Body Panel Results

Based on the material characteristics assumed and substitution factors employed (see Section 3.1), the mass of each set of closure panels is provided in Table 3.1, along with the fuel efficiencies calculated and the lifetime quantity of fuel (gasoline) consumed in each case. As indicated in the table, the baseline vehicle had a mass of 3,248 lbs and a fuel efficiency of 26.6 mpg.

	Profiles			
	Steel	Aluminum	CFRP	GFRP
Material type/composition	100% virgin cold-rolled BOF	89% virgin/11% recycled	30% CF/70% epoxy resin.	15% GF/85% PET
Material substitution factor	1.00	0.55	0.40	0.69
Mass of closure panels (lbs)	220	121	88	152
Mass of vehicle (lbs)	3,248	3,100	3,050	3,146
Vehicle fuel efficiency (mpg)	26.60	27.45	27.74	27.19
Lifetime fuel consumed by vehicle (lbs)	27,762	26,901	26,626	27,164
Lifetime fuel consumed by panels* (lbs)	1,880	1,050	768	1,311
EOL disposition	Recycled	Recycled	ASR / landfill	ASR / landfill

* These values were obtained by multiplying the lifetime fuel consumed by the vehicle by the ratio of the mass of the closure panels to the mass of the whole vehicle.

The impact scores obtained (see Table 3.2) indicate that CFRP is the least environmentally burdensome material in 9 of the 14 impact categories evaluated, which include nonrenewable and renewable resource use, energy use, global warming, acidification, odor/aesthetics, water quality (BOD), and landfill space (both hazardous and non-hazardous). Of the remaining five categories, GFRP has the lowest impacts in ozone depletion potential and PM formation, while aluminum has the lowest impact scores in the areas of smog formation, eutrophication, and water quality (TSS).

Steel has the highest scores in a number of impact categories, mainly because of its weight. The use of UltraLight steel, though, is believed to result in a weight reduction of approximately 32% when used for making closure panels (AISI 2001). This substantial reduction, if achieved, would enable steel to compete much better, environmentally, with the other lightweight materials. (UltraLight steel was not included in the analyses due to lack of data on its production.)

Comparison charts were created and are presented here to look specifically at the relationships between each alternative material and steel and between various alternative materials in all impact categories.

Table 3.2 Impact Scores for the Exterior Body Panels Assessment					
Impact Category	Units	Steel	Aluminum	CFRP	GFRP
Impacts from Inputs					
Nonrenewable resource use	(lbs)	2,983.09	2,542.57	1,396.95	1,973.88
Renewable resource use	(lbs)	120,581.14	59,929.76	44,079.69	73,235.42
Energy use	(MMBTUs)	44.24	37.02	22.11	32.02
Impacts from Outputs					
Global Warming	(lbs CO ₂ -eq.)	8,474.52	6,236.82	4,055.77	5,879.96
Ozone Depletion	(lbs CFC11-eq.)	9.18E-06	3.20E-05	7.07E-06	3.77E-06
Acidification	(lbs SO ₂ -eq.)	12.74	17.72	11.47	13.27
Smog	(lbs ethene-eq.)	1.40	0.90	1.38	2.91
Particulates	(lbs PM)	6.17	7.73	1.68	1.53
Odor (aesthetics)	(million m ³)	17.81	10.61	7.91	12.30
Solid waste landfill space	(ft ³)	8.24	29.25	6.34	9.25
Hazardous waste landfill space	(ft ³)	0.21	0.22	0.14	0.15
Eutrophication	(lbs phosphate-eq.)	0.44	0.24	0.28	0.31
Water quality - BOD	(lbs BOD)	1.83	1.03	0.82	1.40
Water quality - TSS	(lbs TSS)	8.38	4.79	8.53	6.99

Notes: **Bold** indicates lowest impacts.

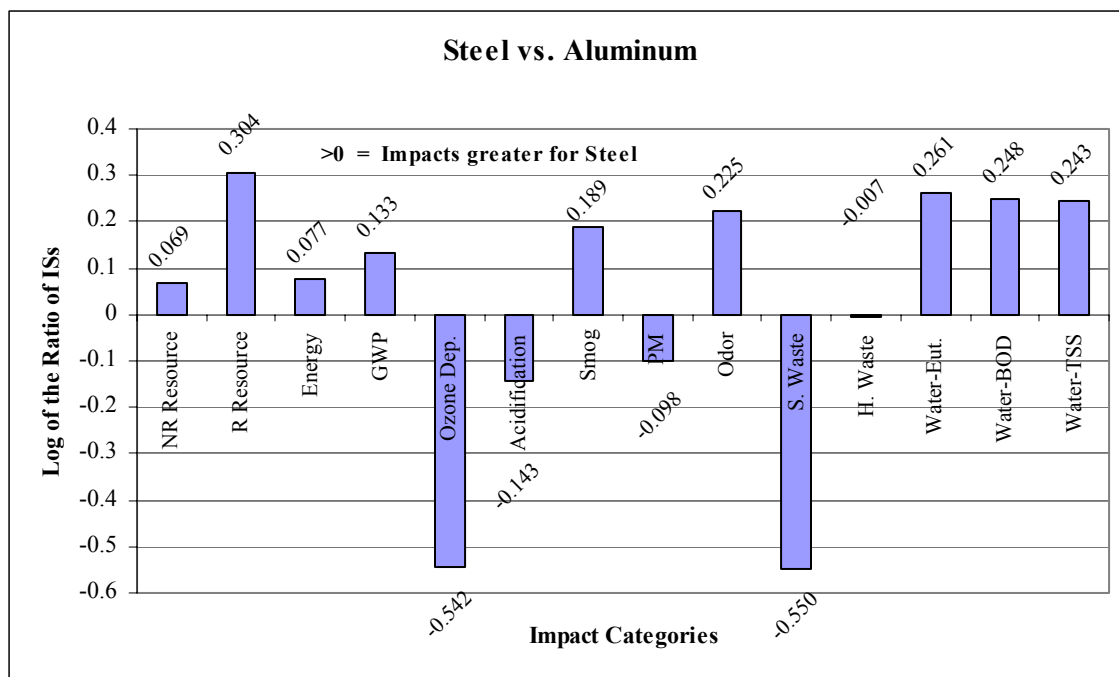


Figure 3.4. Exterior Body Panels – Steel vs. Aluminum Comparison

A comparative chart depicting all impact categories for steel vs. aluminum is presented in Figure 3.4. Each bar indicates the extent to which the impact score associated with a particular profile is greater or less than that of the profile it is being compared with, for example, the GWP of steel is 1.36 times that of aluminum. However, this ratio has not been presented as is, but normalized by taking its log value (which is 0.133 in the case of GWP), which moves the equivalent point from 1 to 0 (creates a baseline of “0”). Log values greater than zero show up as bars above the “0” line, indicating that one particular alternative (in this case steel) has greater impact. On the other hand, log values less than zero show up as bars below the “0” line, indicating that the other alternative (in this case aluminum) has greater impact. While this chart indicates the magnitude of the differences in impact scores within each category, it does not show how significant or insignificant the numbers themselves are. For example, though the ozone depletion bar shows up as a huge negative value, the actual numbers (in lbs of CFC11 equivalents) are quite small (see Table 3.2).

In its comparison with CFRP (Figure 3.5), steel turns out to be worse in all impact categories except water quality (total suspended solids). The difference in the scores is the most striking in the particulates impact category.

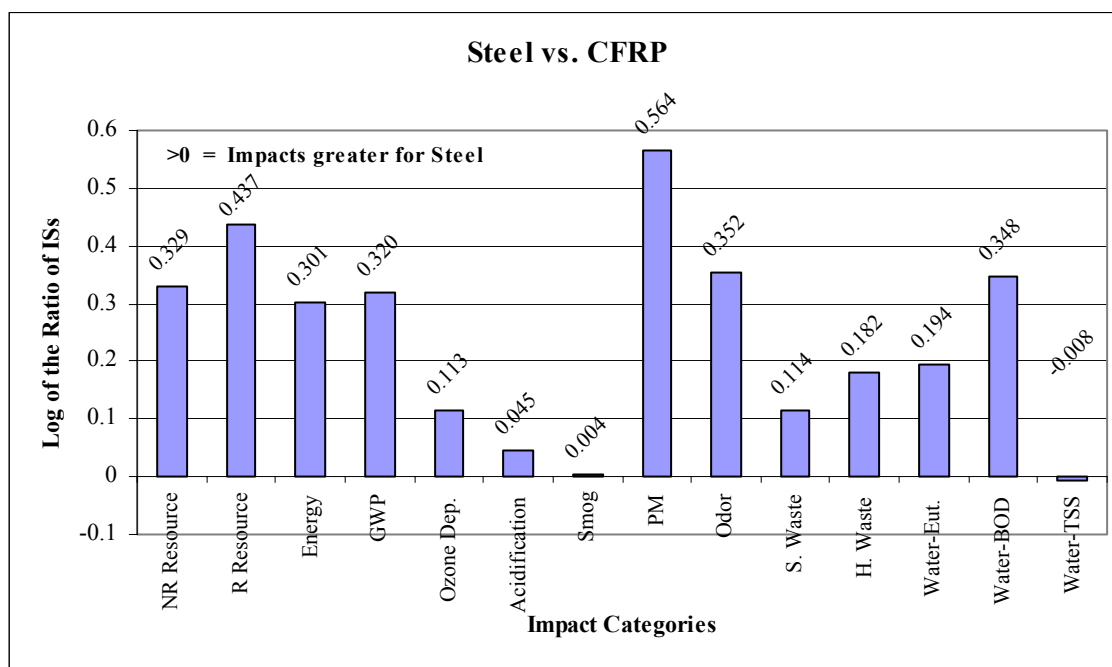


Figure 3.5. Exterior Body Panels – Steel vs. CFRP Comparison

Steel is better than GFRP in only 3 of the 14 impact categories evaluated: smog formation, solid waste generation, and acidification (Figure 3.6). Again, the most striking difference is in the particulates impact category.

Lastly, since CFRP appears to have the lowest overall impacts, that profile’s impacts are compared directly to aluminum and GFRP in Figures 3.7 and 3.8, respectively. In Figure 3.7, it can be seen that CFRP has higher impact scores in only three impact categories: smog

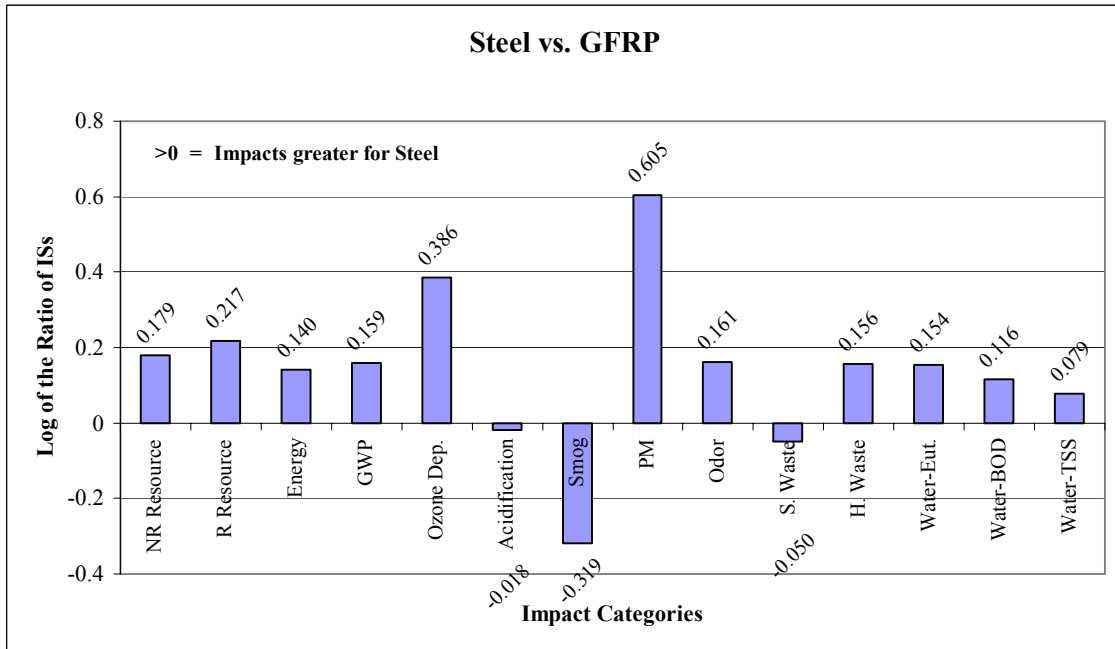


Figure 3.6. Exterior Body Panels – Steel vs. GFRP Comparison

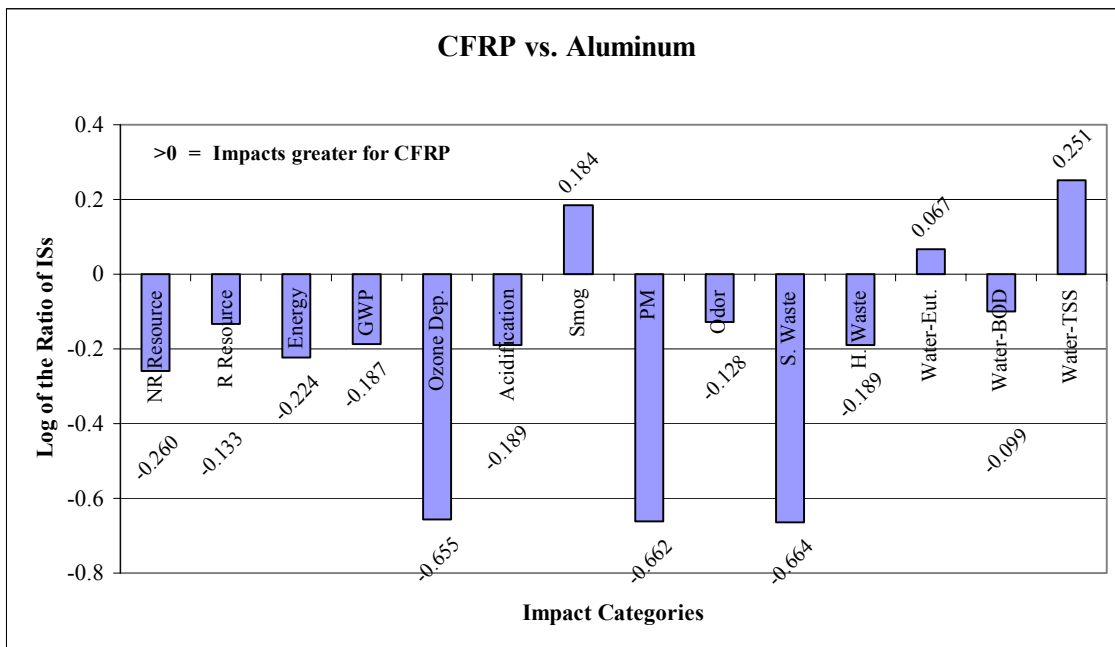


Figure 3.7. Exterior Body Panels – CFRP vs. Aluminum Comparison

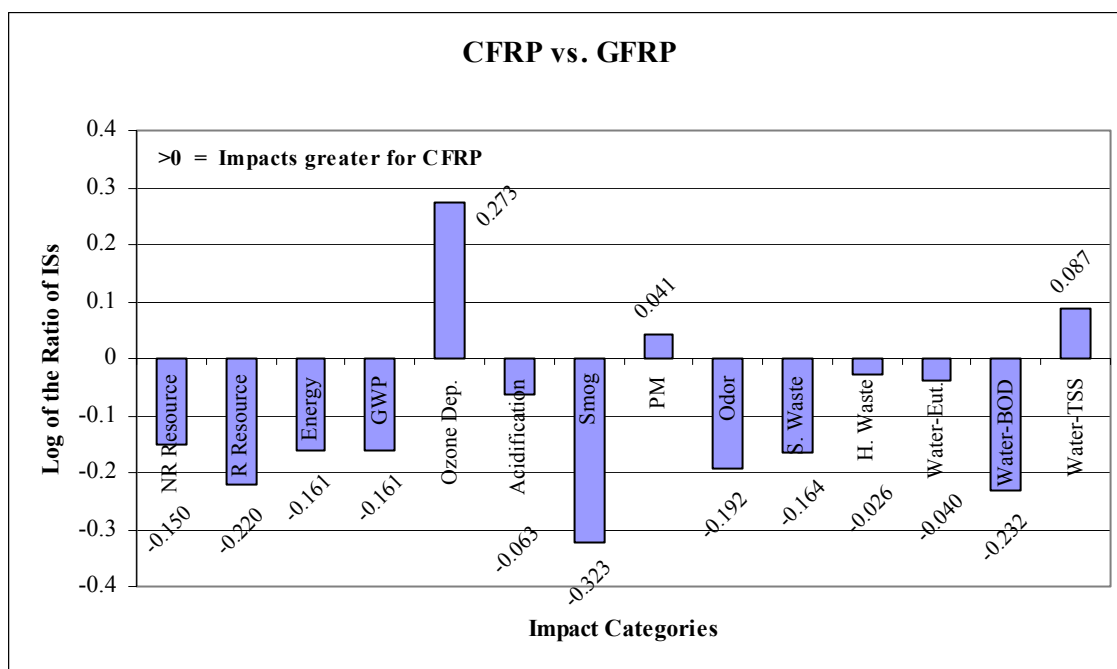


Figure 3.8. Exterior Body Panels – CFRP vs. GFRP Comparison

formation and two water quality categories, eutrophication and total suspended solids. Ozone depletion, particulates and solid waste generation are the categories with the most pronounced differences in favor of CFRP. In these three categories, aluminum's score is almost five times that of CFRP. In Figure 3.8, a very similar picture is seen with the main difference being a switch in two impact categories where CFRP now has higher scores: ozone depletion and particulates. It should also be noted that in both of these comparisons, the impact categories of nonrenewable resource use, energy use, global warming, and solid waste generation all reveal significantly lower impacts for CFRP.

Shifting focus to individual impact categories, as exhibited in Figure 3.9, CFRP has the lowest nonrenewable resource use impacts, with fuel production during Use dominating this category, and E&MP almost completely accounting for the rest.

Table 3.3 reveals that the top contributor in each case is gasoline production, accounting for ~70-84% of the total nonrenewable resource impacts for steel, CFRP, and GFRP. In the case of aluminum, gasoline production is still number one, but accounts for only about 45% of the total impacts in this category. The production of automotive wrought aluminum comes in second and third, accounting for approximately another 40% of the nonrenewable resource use impacts for aluminum.

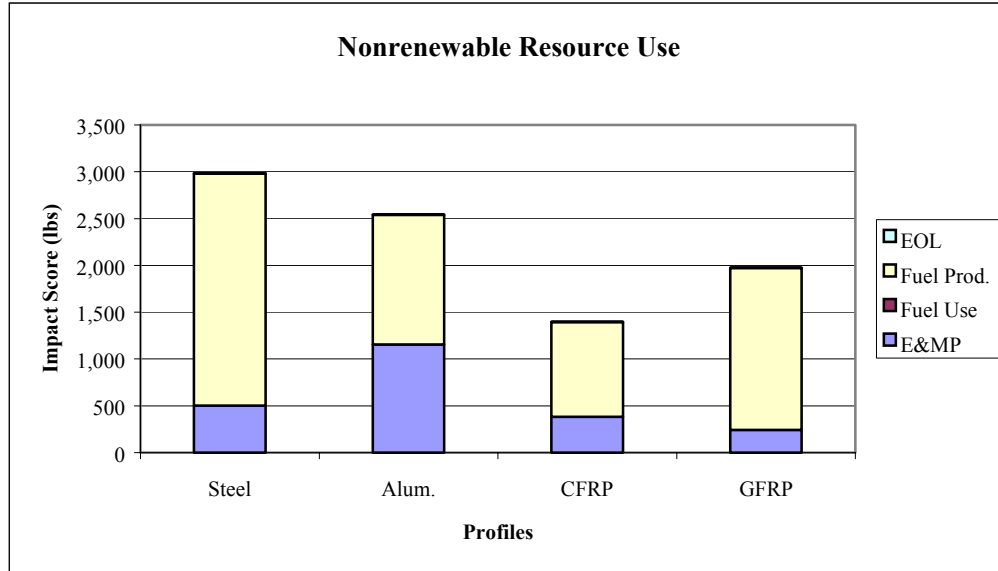


Figure 3.9. Exterior Body Panels – Nonrenewable Resource Use

Nonrenewable Resource use (lbs)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
Steel	501.3	0	2,480.0	1.8	2,983.1
Aluminum	1,154.6	0	1,385.1	2.9	2,542.6
CFRP	383.1	0	1,013.1	0.7	1,396.9
GFRP	243.2	0	1,729.4	1.3	1,973.9
Biggest Contributors	Life-cycle Stage	Process	Input	Score (lbs)	Percent of Total
Steel – 1	Use	Gasoline Prod.	Petroleum	2,032.6	68.1%
Steel – 2	Use	Gasoline Prod.	Natural gas	355.2	11.9%
Steel – 3	E&MP	Steel Prod.	Iron ore	274.8	9.2%
Aluminum - 1	Use	Gasoline Prod.	Petroleum	1,135.2	44.7%
Aluminum - 2	E&MP	Auto. Wrought Alum. Prod.	Coal	521.5	20.5%
Aluminum - 3	E&MP	Auto. Wrought Alum. Prod.	Bauxite ore	488.9	19.2%
CFRP – 1	Use	Gasoline Prod.	Petroleum	830.4	59.4%
CFRP – 2	Use	Gasoline Prod.	Natural gas	145.1	10.4%
CFRP – 3	E&MP	Epoxy Resin Prod.	Natural gas	104.0	7.4%
GFRP – 1	Use	Gasoline Prod.	Petroleum	1,412.0	71.8%
GFRP – 2	Use	Gasoline Prod.	Natural gas	246.7	12.5%
GFRP – 3	E&MP	Glass fiber composite Mfg.	Petroleum	147.6	7.5%

The Energy Use impacts are controlled by the Use stage, which includes Fuel Production and Fuel Use (Figure 3.10). Here again, CFRP has the least overall impacts. Aluminum is fairly energy-intensive to produce, and this fact is borne out in a comparison of the E&MP impacts of the four different materials, which shows that the energy used in the E&MP stage is lowest for steel and highest for aluminum.

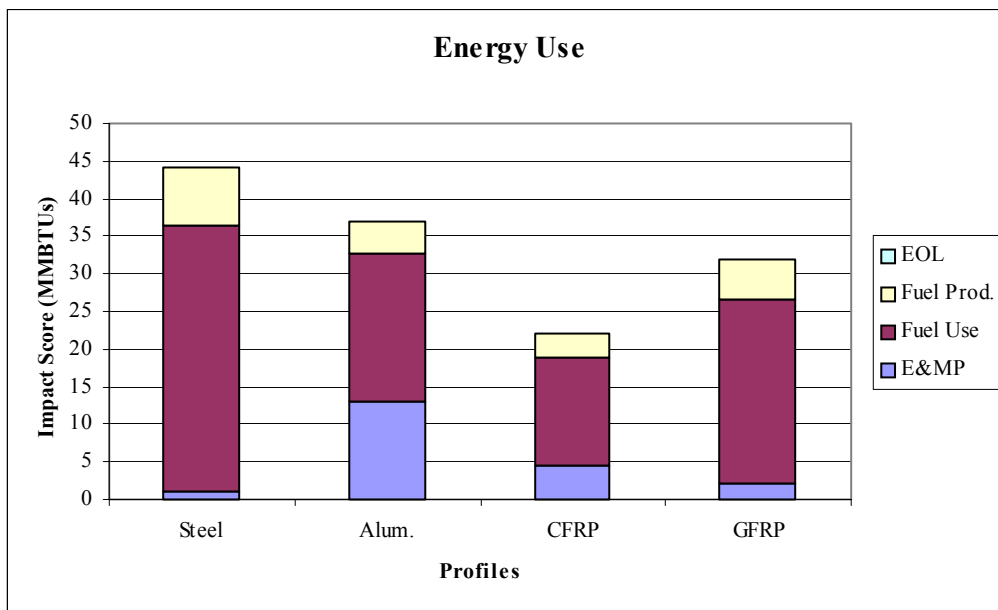


Figure 3.10. Exterior Body Panels – Energy Use

The top three contributors to the Energy Use impacts are shown in Table 3.4. Driving (fuel use) and gasoline production (fuel production) together make up about 97%, 78%, and 92% of the energy use impacts in the case of steel, CFRP, and GFRP, respectively. In the case of aluminum, driving accounts for 53% of the energy use, while aluminum production accounts for another 31%.

The GWP impacts (Figure 3.11) follow a pattern similar to energy use, except that the difference between steel and aluminum in the E&MP stage here is not as pronounced as it is in the case of energy use. This difference occurs because there are GWP emissions in the E&MP stage that are not related to electricity generation, which dominates the aluminum profile.

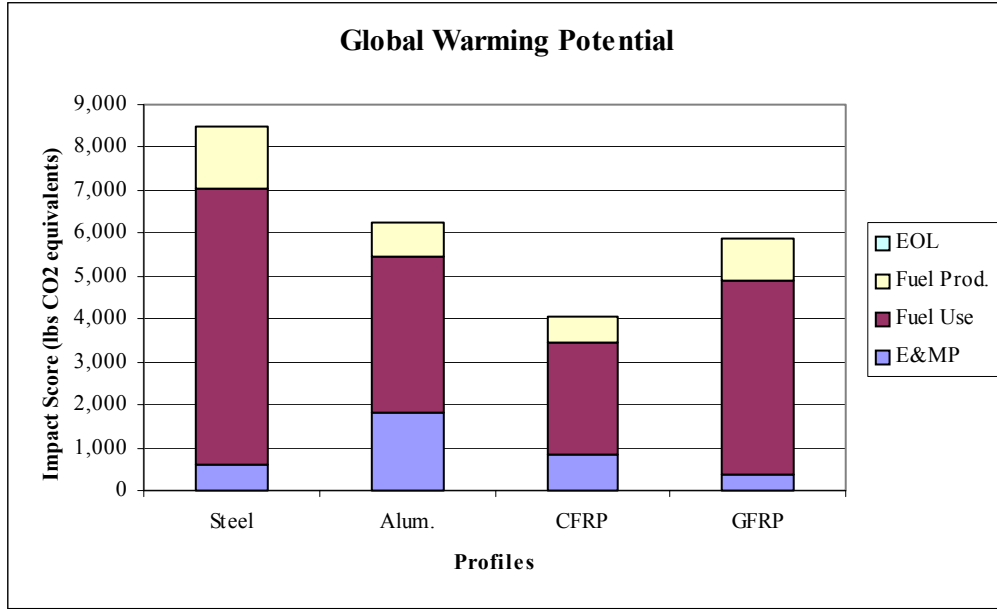


Figure 3.11. Exterior Body Panels – Global Warming Potential

Energy Use (MMBTUs)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
Steel	1.19	35.25	7.78	0.009	44.24
Aluminum	12.97	19.69	4.35	0.016	37.02
CFRP	4.53	14.40	3.18	0.004	22.11
GFRP	2.00	24.58	5.43	0.006	32.02
Biggest Contributors	Life-cycle Stage	Process	Input	Score (MMBTUs)	Percent of Total
Steel - 1	Use	Driving	Gasoline	35.25	79.7%
Steel - 2	Use	Gasoline Prod.	Natural gas	6.80	15.4%
Steel - 3	Use	Gasoline Prod.	Coal	0.88	2.0%
Aluminum - 1	Use	Driving	Gasoline	19.69	53.2%
Aluminum - 2	E&MP	Auto. Wrought Alum. Prod.	Electricity	6.48	17.5%
Aluminum - 3	E&MP	Auto. Wrought Alum. Prod.	Coal	5.01	13.5%
CFRP - 1	Use	Driving	Gasoline	14.40	65.1%
CFRP - 2	Use	Gasoline Prod.	Natural gas	2.78	12.6%
CFRP - 3	E&MP	Epoxy Resin Prod.	Natural gas	1.47	6.7%
GFRP - 1	Use	Driving	Gasoline	24.58	76.8%
GFRP - 2	Use	Gasoline Prod.	Natural gas	4.74	14.8%
GFRP - 3	E&MP	Glass fiber composite Mfg.	Natural gas	1.01	3.2%

The major contributors to the Global Warming impacts are, again, Driving and Gasoline Production, as can be observed from Table 3.5. However, production of materials in the E&MP stage shows up as one of the top three contributors in each case (steel, automotive wrought aluminum, epoxy resin, and glass fiber). For aluminum, material production is number two, whereas it is the number three contributor in every other case.

GWP (lbs CO ₂ equiv.)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
Steel	592.75	6,453.89	1,423.59	4.29	8,474.52
Aluminum	1,832.19	3,603.73	795.09	5.81	6,236.82
CFRP	835.51	2,637.00	581.55	1.72	4,055.77
GFRP	385.88	4,498.40	992.72	2.96	5,879.96
Biggest Contributors	Life-cycle Stage	Process	Output	Score (lbs CO₂ equiv.)	Percent of Total
Steel – 1	Use	Driving	CO ₂	6,449.00	76.1%
Steel – 2	Use	Gasoline Prod.	CO ₂	1,260.07	14.9%
Steel – 3	E&MP	Steel Prod.	CO ₂	532.64	6.3%
Aluminum - 1	Use	Driving	CO ₂	3,601.00	57.7%
Aluminum - 2	E&MP	Auto. Wrought Alum. Prod.	CO ₂	1,704.13	27.3%
Aluminum - 3	Use	Gasoline Prod.	CO ₂	703.76	11.3%
CFRP – 1	Use	Driving	CO ₂	2,635.00	65.0%
CFRP – 2	Use	Gasoline Prod.	CO ₂	514.75	12.7%
CFRP – 3	E&MP	Epoxy Resin Prod.	CO ₂	364.74	9.0%
GFRP – 1	Use	Driving	CO ₂	4,495.00	76.4%
GFRP – 2	Use	Gasoline Prod.	CO ₂	878.70	14.9%
GFRP – 3	E&MP	Glass fiber composite Mfg.	CO ₂	375.93	6.4%

There are two noteworthy points regarding the solid waste landfill space category, as seen in Figure 3.12. First, aluminum has a huge amount of solid waste associated with its production (primarily slag and ash), which shows up in the E&MP stage. Second, the EOL stage becomes significant for both CFRP and GFRP because these materials are assumed to be landfilled, after going through the shredding process.

In the Solid Waste category, the major impacts for the two composite materials, CFRP and GFRP, are from landfilling them as part of ASR at the EOL stage. Table 3.6 shows that gasoline production leads other contributors of solid waste for steel, and is the second largest waste generator for both of the composite materials. In the case of aluminum, two wastes from aluminum production account for over 87% of the impacts, with gasoline production waste accounting for only 7%.

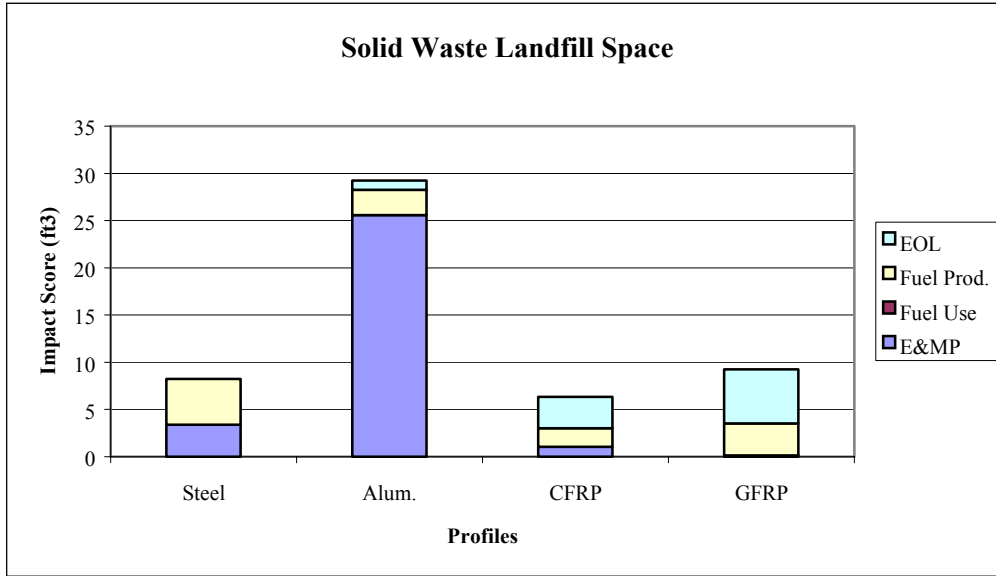


Figure 3.12. Exterior Body Panels – Solid Waste Landfill Space

SWLS (ft ³)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
Steel	3.40	0	4.82	0.01	8.24
Aluminum	25.57	0	2.69	0.99	29.25
CFRP	1.04	0	1.97	3.33	6.34
GFRP	0.15	0	3.36	5.74	9.25
Biggest Contributors	Life-cycle Stage	Process	Output	Score (ft ³)	Percent of Total
Steel - 1	Use	Gasoline Prod.	Slag and ash	3.79	46.0%
Steel - 2	E&MP	Steel Prod.	Unspecified solid waste	2.06	24.9%
Steel - 3	E&MP	Steel Prod.	Slag and ash	1.23	14.9%
Aluminum - 1	E&MP	Auto. Wrought Alum. Prod.	Slag and ash	20.82	71.2%
Aluminum - 2	E&MP	Auto. Wrought Alum. Prod.	Unspecified solid waste	4.72	16.1%
Aluminum - 3	Use	Gasoline Prod.	Slag and ash	2.12	7.2%
CFRP - 1	EOL	Ext. Body Panels Processing	ASR	3.32	52.4%
CFRP - 2	Use	Gasoline Prod.	Slag and ash	1.55	24.4%
CFRP - 3	E&MP	US electric grid	Coal waste	0.48	7.6%
GFRP - 1	EOL	Ext. Body Panels Processing	ASR	5.73	61.9%
GFRP - 2	Use	Gasoline Prod.	Slag and ash	2.64	28.6%
GFRP - 3	Use	Gasoline Prod.	Unspecified solid waste	0.57	6.1%

3.3.9 Monocoque Analysis Results

As mentioned earlier in the assumptions for this analysis in Section 3.3.7, the quantity of steel assumed to be replaced is 1,077 lbs. The mass of the CFRP monocoque that replaces the steel is 190.5 kg, or about 420 lbs. The likely composition of the CFRP composite for the monocoque includes a CFRP skin with a PVC core (Williams, 2001), as indicated in Table 3.7.

Table 3.7. Profiles Evaluated for the Monocoque Analysis			
Assessment Data	Units	Profiles	
		Steel	CFRP Monocoque
Mass of BIW+panels / monocoque	(lbs)	1,077	420
Material information:			
1) Steel = 100% cold-rolled BOF steel			
2) Monocoque = 30% PVC, 49% carbon fiber, 21% epoxy resin (by mass)			
Mass of vehicle	(lbs)	3,248	2,263
Mass of fuel consumed in Use*	(lbs)	9,206	4,251
Vehicle fuel efficiency	(mpg)	26.60	32.25
EOL disposition	---	Recycled	ASR / landfill

*By the associated parts only (linearly scaled from entire vehicle fuel need via weight relationship).

It is observed from the impact scores presented in Table 3.8 (and in Figure 3.13) that steel, which had the highest scores in nearly every impact category in all the profiles analyzed in the original assessment, now becomes environmentally preferable in three impact categories — ozone depletion, acidification, and smog formation. In all other categories, CFRP still has the lowest scores. Although the difference is minimal in the cases of ozone depletion and acidification, the turnaround from the original results shows that certain environmental impacts might become significant when larger quantities of carbon fiber-based composites are used, as in this case.

In burrowing down into the carbon fiber production data and impacts, the following is found. Approximately 75% of the ozone depletion impacts for the CFRP monocoque are from electricity generation for carbon fiber production. For acidification, approximately 15% of the impacts are from the release of ammonia and NO_x during carbon fiber production, which is the second largest contributor after gasoline production (~30%). In smog formation, about 37% of the impacts come from the release of unspiciated NMHCs from carbon fiber production, with another ~13% coming from the generation of unspiciated hydrocarbons from acrylonitrile production (a precursor to carbon fiber).

Table 3.8. Impact Scores for the Monocoque Analysis			
Impact Category	Units	Profiles	
		Steel	CFRP Monocoque
Impacts from Inputs			
Nonrenewable resource use	(lbs)	14,582.96	7,606.21
Renewable resource use	(lbs)	590,299.50	243,950.42
Energy use	(MMBTUs)	216.57	116.48
Impacts from Outputs			
Global Warming	(lbs CO ₂ -eq.)	41,486.64	21,932.45
Ozone Depletion	(lbs CFC11-eq.)	4.49E-05	5.01E-05
Acidification	(lbs SO ₂ -eq.)	62.35	65.27
Smog	(lbs ethene-eq.)	6.84	9.58
Particulates	(lbs PM)	30.21	6.12
Odor (aesthetics)	(million m ³)	87.19	44.15
Solid waste landfill space	(ft ³)	40.34	33.40
Hazardous waste landfill space	(ft ³)	1.04	0.64
Eutrophication	(lbs phosphate-eq.)	2.15	1.35
Water quality – BOD	(lbs BOD)	8.97	4.27
Water quality – TSS	(lbs TSS)	41.03	26.59

Notes: **Bold** indicates lowest impacts.

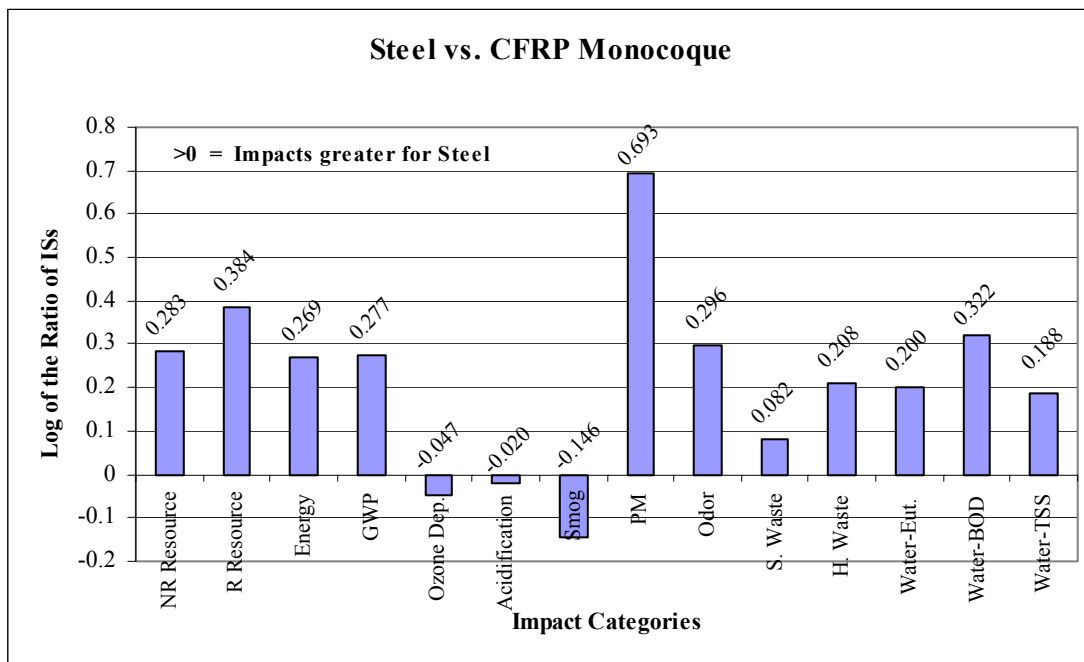


Figure 3.13. Steel vs. CFRP Monocoque Comparison

3.4 HYBRID ELECTRIC VEHICLE BATTERY ASSESSMENT

3.4.1 Background and Scope

This study involved a comparative assessment of the potential life-cycle environmental impacts of lithium-ion (LiIon) and nickel-metal hydride (NiMH) batteries for use in hybrid electric vehicles (HEVs). The focus of the baseline analyses was on current technology for the two battery types, while the long-term (LT) analyses compared the same batteries, assuming that they both would meet PNGV targets for life span and overall weight.

Lead-acid (PbA) batteries have traditionally been used in automobiles for starting, lighting and ignition (SLI), and were the initial choice for use as a power source for electric vehicles when they were first introduced. However, due to several issues, the present-day PbA battery does not appear to be the best choice for use in electric vehicles (EVs) or HEVs. PbA batteries were initially included in this assessment as a competing technology, but were subsequently dropped from consideration due to their low commercialization potential arising from the battery's heavy weight, limited driving range, low specific power, and the toxicity of lead.

HEVs and lightweight materials were among the four key technologies the PNGV identified in January 1998 as focus areas for further research and development efforts. In addition, HEVs are seen by some to be the technology of choice for cars and light trucks of the future (Wormald 2000). Batteries for HEVs have to be designed to provide high bursts of energy for short durations, unlike EV batteries that need only moderate levels of power for much longer periods of time. NiMH batteries are already used in HEVs available in the market today (namely, the *Honda Insight* and the *Toyota Prius*, which garnered the best fuel efficiencies as reported in the ACEEE's Green Book (DiCicco, Kliesch and Thomas 2000)), while LiIon technology holds great promise for use in HEVs in the future, as it can provide more energy and power in smaller, lighter packages (it was also the battery of choice in the Nissan Altra EV and in DaimlerChrysler's prototype vehicle, the ESX3). Lithium is the lightest element known that exists in a non-gaseous state, making it suitable for use in applications such as 3XVs, where weight reductions are constantly sought.

For this environmental life-cycle evaluation, the functional and service units were defined as one battery pack used to power one HEV over a lifetime of 120,000 miles.

3.4.2 Assessment-Specific Assumptions

A number of assumptions, specific to this assessment have been made:

- 1) The HEV batteries compared in this assessment are based on the PNGV target of 25 kW for the pulse discharge in power-assist mode (NRC 2000). The two technologies compared are Lithium-ion (LiIon) and Nickel-Metal Hydride (NiMH).
- 2) For the baseline analysis, the weight of each battery was calculated using the PNGV target value of pulse discharge constant for 18s (25 kW in power-assist mode), and dividing that number by the specific power value deemed by the PNGV as the "current performance" for each battery type (625 W/kg for LiIon, and 400 W/kg for NiMH)

(NRC 2000). This calculation results in the following battery weights: LiIon → 40 kg; NiMH → 62.5 kg. Thus, in spite of their power differences, the two batteries were equated in terms of performance by considering different weights. For the long-term analysis, both batteries were assumed to weigh 40 kg. (In fact, the Toyota Prius HEVs are soon expected to be equipped with 40 kg NiMH batteries (NRC 2000).

- 3) The materials breakdown by mass percent was first obtained for each battery type, and multiplied by calculated total weights for each battery to produce a battery-specific materials breakdown.
- 4) The percentage breakdowns for the two batteries were obtained from two different documents produced by Argonne National Laboratory (ANL). The breakdown obtained for the LiIon battery was for a cell (ANL 2000); the breakdown obtained for the NiMH battery was for an entire battery (ANL 1998). Thus, the remaining materials for the LiIon battery (calculated to be ~13%) had to be assumed, and the percentage breakdown adjusted to include these other materials.
- 5) It was not clearly defined whether the breakdowns included or excluded the final packaging materials that would be needed to place the battery into the vehicle (e.g., battery tray, electronic components needed to connect the battery to the vehicle). Thus, they were excluded from the analysis.
- 6) With regard to the PNGV goal for battery life of 10 years, the “current performance” as defined by PNGV for each battery type was 3 years and 5 years for LiIon and NiMH HEV batteries, respectively (NRC 2000). To produce an equivalent functional unit in the baseline analysis and relate these batteries to the PNGV goal of 10 years, the data in the E&MP life-cycle stages for LiIon and NiMH batteries was multiplied by 3.33 and 2, respectively, to bring the current performance values up to the 10 year goal. In the long-term analysis, both batteries were assumed to have a lifespan of 10 years.
- 7) To produce an equivalent service unit of 120,000 miles, a fuel efficiency of 80 miles per gallon (mpg) was assumed for the LiIon battery-powered HEV, and then an “adjusted” fuel efficiency was calculated for the NiMH-powered HEV based on the weight difference of the two vehicles. The efficiency calculated for the NiMH HEV was 78.91 mpg. These values were used to calculate the amount of fuel required by the entire HEV and, subsequently, only the batteries in the Use life-cycle stage. The scaling was done using the ratio of the mass of the battery to the total HEV mass.
- 8) The vehicle emissions values used in the analysis came from Tier 2, Bin 2 based on PNGV targets (except for the CO value, which is quite high in the Tier 2 standards) (EPA 2000, Diselnet 2001, Wilson, Mullen and Laich 2000).ⁱ The CO value was taken from the California SULEV standards. The SULEV standards for non-methane organic gases (NMOG), NO_x and PM, incidentally, are identical to Bin 2 of Tier 2, with only the CO emissions being different. Values for CO₂ (Unnasch 2000) and CH₄ were calculated from information provided by other sources.
- 9) In the EOL stage, 100% materials recycling was assumed for both battery types, due to the fact that several recycling facilities already exist for both LiIon and NiMH batteries.

ⁱ Bin 2 of the Tier 2 standards was chosen because it is the most stringent of Tier 2 (except for Bin 1 which is the zero emissions category) and is therefore best applicable to reformer-based fuel cell vehicles.

3.4.3 Lilon Assumptions

The following assumptions, specific to the lithium ion battery, have been made:

- 1) From the materials percentage breakdown obtained from the ANL report on LiIon battery costs (ANL 2000), several assumptions had to be made about the further breakdown of those major materials into specific materials (e.g., a mass percent was given for the separators, and an assumption was made that the separator is made of 50% polypropylene and 50% polyethylene). The assumption that LiMn_2O_4 is the cathode active material was another such assumption. This entry was broken down into lithium and manganese on a molar weight basis.
- 2) The electrolyte's solvents were assumed to be constituted of ethylene carbonate (EC) and diethylene carbonate (DEC), out of choices ranging from mixes of up to six different solvents. This was based on data reviewed which stated that these solvents could be mixed to produce a usable electrolyte solvent for graphitic anodes (the material used for the anode in this analysis). Furthermore, since no LCI data on the production of either of these solvents were available, data on the two chemicals (ethylene oxide and carbon dioxide) that are used to produce EC were used. A 50/50 mix of these two chemicals was used to comprise the entire mass for the solvent.
- 3) A final percentage was calculated that was defined as the "rest of the battery" (13%). It was assumed that this consists of control circuitry, module packaging and the battery case. The total percentage for this listing was split evenly into 3 values of 4.33% each. Furthermore, the control circuitry percent was assumed to consist of circuit boards and wiring, with the further assumption of 50 mass% circuit boards and 50 mass% wiring. Lastly, the wiring was assumed to consist of PVC and copper, with the copper accounting for 85% of the mass of the wiring, leaving 15% for the PVC sheath/coating.
- 4) In some cases, where data on certain materials in the breakdown were not available, other materials were picked as surrogates, or that material was left out. One example is the lithium in the active material – magnesium was used as its surrogate; another example is the carbon in the cathode – graphite was used as its surrogate. Another example was the binder polyvinylidene fluoride (PVDF), which was a small amount (~0.3% of the battery mass) and was thus left out of the analysis. The final percentage of the total battery mass accounted for was 89.6%.

Table 3.9 shows the materials breakdown for the LiIon (and LiIon-LT) HEV battery profiles, and Figure 3.14 shows the LiIon profile as it was built in the Toolkit. In Table 3.9, the "Original" mass breakdown details the information as it was obtained; the "Final" mass breakdown allowed for the inclusion of the manganese and lithium (used magnesium as lithium's surrogate) in the cathode active material; and the last two columns show information on the materials that were included in the analysis.

Table 3.9. Materials Breakdown for the Lilon and Lilon-LT HEV Batteries						
Component	Material	Mass Breakdown		Included in Analysis?		
		Original	Final	x =	Mass	Mass
		(kg)	(kg)	yes	(kg)	(%)
Anode						
Electrode	Graphite	1.347	1.347	x	1.347	3.37%
Binder	PVDF	0.075	0.075			
Carbon black		0.037	0.037	x	0.037	0.09%
NMP		0.037	0.037			
Current collector	Copper	4.454	4.454	x	4.454	11.14%
Cathode						
Active material (LiMn ₂ O ₄)		7.170				
	Manganese		4.357	x	4.357	10.89%
Lithium	(using magnesium)		0.275	x	0.275	0.69%
Carbon		0.720	0.720	x	0.720	1.80%
Binder	PVDF	0.040	0.040			
Carbon black		0.020	0.020	x	0.020	0.05%
NMP		0.020	0.020			
Current collector	Aluminum	2.077	2.077	x	2.077	5.19%
Electrolyte						
Lithium salt		0.069	0.069			
Solvents (assuming EC & DEC)						
Carbon dioxide		2.321	2.321	x	2.321	5.80%
Ethylene oxide		2.321	2.321	x	2.321	5.80%
Rest of battery						
Tabs, end plates, terminal assem.	Steel*	3.448	3.448	x	3.448	8.62%
Cell container	Aluminum	7.506	7.506	x	7.506	18.77%
Module packaging	PET*	1.733	1.733	x	1.733	4.33%
Battery case	Aluminum*	1.733	1.733	x	1.733	4.33%
Separators	PP/PE					
Polypropylene		0.878	0.878	x	0.878	2.20%
Polyethylene		0.878	0.878	x	0.878	2.20%
Control circuitry						
Circuit boards		0.867	0.867	x	0.867	2.17%
Wiring – copper		0.737	0.737	x	0.737	1.84%
Wiring – PVC		0.130	0.130	x	0.130	0.33%
Other cell components		1.382	1.382			
Totals		40.001	37.464		35.841	89.60%

* With little to no data on these components, analysts assumed the components to be made of the materials listed in the table.

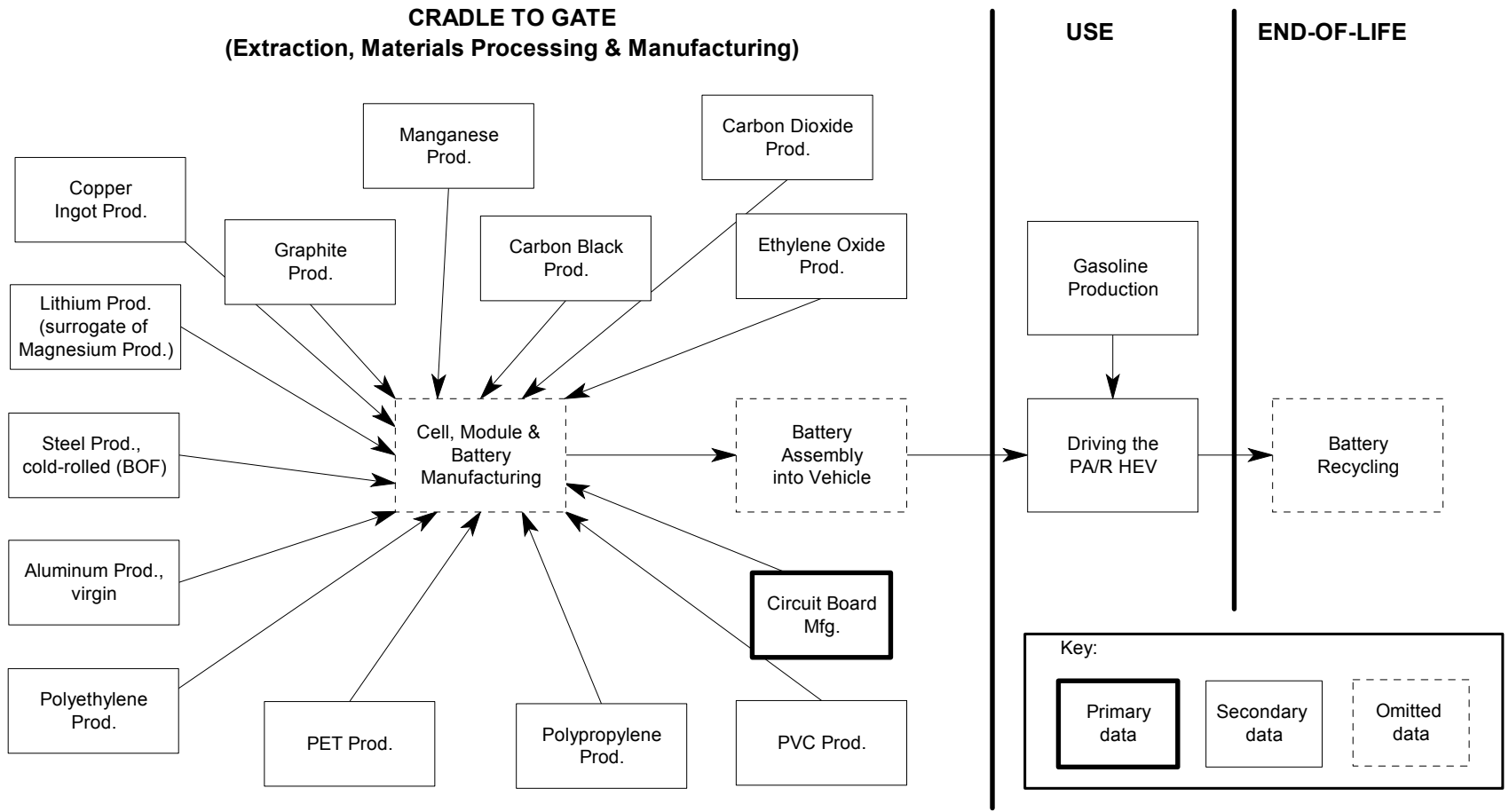


Figure 3.14. The LiIon HEV Battery Profile

3.4.4 NiMH Assumptions

The following assumptions, specific to the nickel metal hydride battery, have been made:

- 1) From the materials percentage breakdown obtained for a NiMH battery, several assumptions had to be made about the further breakdown of those major materials into specific materials (e.g., a mass percent was given for the hydride, and a further percentage breakdown of the hydride itself was obtained from other literature).
- 2) The breakdown obtained from the literature listed an “other” category, which was assumed to be all wiring for electronics. This percentage was again broken down into 85% copper and 15% PVC sheathing/coating.
- 3) Data on one material in the breakdown (zirconium) were not available. Thus, after some review of the processes used to extract and process zirconium, titanium was used as a surrogate. The final percentage of the total battery mass accounted for was 95.52%.

Table 3.10 shows the materials breakdown for the NiMH HEV battery profile, Table 3.11 shows the materials breakdown for the NiMH-LT HEV battery profile, and Figure 3.15 shows the NiMH profile as it was built in the Toolkit. In Table 3.10, the “Original” mass breakdown details the information as it was obtained; the “Final” mass breakdown allowed for the inclusion of the nickel from the nickel hydroxide; and the last two columns show information on the materials that were included in the analysis. Table 3.11 shows almost the same information as Table 3.10, however the three “Mass Breakdown” columns show the NiMH breakdown, the changes made in substituting polypropylene for the stainless steel in the battery casing, and the changes made in scaling the remaining data down to 40 kg.

3.4.5 Long-Term Scenario

As the LiIon HEV battery is still under development, it is quite likely that the battery actually used to power future HEVs will be different from the “current performance” battery. Moreover, the NiMH battery would also undergo changes to improve its performance for use in hybrid vehicles of the future. For this reason, it was decided to conduct additional analyses that took into account an increased lifespan for both battery types, and weight reduction opportunities that would enable both batteries to meet PNGV targets. In this long-term scenario, three different assumptions were made about the batteries, namely:

- both batteries weigh 40 kg;
- both can achieve the PNGV goal of a 10-year life; and
- the NiMH battery has a lighter, plastic (polypropylene) casing instead of the original stainless steel.

Table 3.10. Materials Breakdown for the NiMH HEV Battery						
Component	Material	Mass Breakdown		Included in Analysis?		
		Original (kg)	Final (kg)	x = yes	Mass (kg)	Mass % (%)
Anode						
Electrode - Hydride						
Vanadium		1.069	1.069	x	1.069	1.71%
Nickel		2.546	2.546	x	2.546	4.07%
Zirconium	(using titanium)	2.042	2.042	x	2.042	3.27%
Titanium		1.005	1.005	x	1.005	1.61%
Chromium		0.437	0.437	x	0.437	0.70%
Cobalt		0.495	0.495	x	0.495	0.79%
Iron		0.469	0.469	x	0.469	0.75%
Anode substrate	Iron	9.063	9.063	x	9.063	14.50%
Cathode						
Electrode - Nickel hydroxide	Ni(OH) ₂	7.563				
	Nickel		4.764	x	4.764	7.62%
Cathode substrate	Nickel	10.250	10.250	x	10.250	16.40%
Electrolyte						
Potassium hydroxide (POH)		1.875	1.875	x	1.875	3.00%
Water		3.750	3.750	x	3.750	6.00%
Rest of battery						
Container/casing	Stainless Steel					
Steel, cold-rolled		13.956	13.956	x	13.956	22.33%
Chromium		3.263	3.263	x	3.263	5.22%
Nickel		0.906	0.906	x	0.906	1.45%
Separators	Polypropylene	3.125	3.125	x	3.125	5.00%
Other (e.g., electronics)						
Wiring - copper		0.584	0.584	x	0.584	0.94%
Wiring - PVC		0.103	0.103	x	0.103	0.17%
Totals		62.500	59.702		59.702	95.52%

Component	Material	Mass Breakdown			Included in Analysis?		
		NiMH	PP-sub*	Scaling^	x =	Mass	Mass %
		(kg)	(kg)	(kg)	yes	(kg)	(%)**
Anode							
Electrode - Hydride							
Vanadium		1.069	1.069	0.921	x	0.921	2.30%
Nickel		2.546	2.546	2.193	x	2.193	5.48%
Zirconium	(using titanium)	2.042	2.042	1.758	x	1.758	4.40%
Titanium		1.005	1.005	0.866	x	0.866	2.16%
Chromium		0.437	0.437	0.376	x	0.376	0.94%
Cobalt		0.495	0.495	0.426	x	0.426	1.06%
Iron		0.469	0.469	0.404	x	0.404	1.01%
Anode substrate	Iron	9.063	9.063	7.804	x	7.804	19.51%
Cathode							
Electrode - Nickel hydroxide	Ni(OH) ₂	7.563	7.563				
	Nickel	(4.764)	(4.764)	4.103	x	4.103	10.26%
Cathode substrate	Nickel	10.250	10.250	8.826	x	8.826	22.07%
Electrolyte							
Potassium hydroxide (POH)		1.875	1.875	1.615	x	1.615	4.04%
Water		3.750	3.750	3.229	x	3.229	8.07%
Rest of battery							
Container/casing	SS → PP*	18.125	2.076	1.788	x	1.788	4.47%
Separators	PP	3.125	3.125	2.691	x	2.691	6.73%
Other (e.g., electronics)							
Wiring - copper		0.584	0.584	0.503	x	0.503	1.26%
Wiring - PVC		0.103	0.103	0.089	x	0.089	0.22%
Totals		62.500	46.451	37.590		37.590	93.98%

* Stainless steel was used as the battery casing material in the NiMH profile, and was changed to polypropylene for the NiMH-LT profile.

^ Scaling to a total of 40 kg, including all the nickel hydroxide. The total is not 40 kg due to the inclusion of only the nickel part of the nickel hydroxide (the difference between those two plus the shown total equals 40 kg).

** All percentages calculated as the component's included mass divided by a total mass of 40 kg.

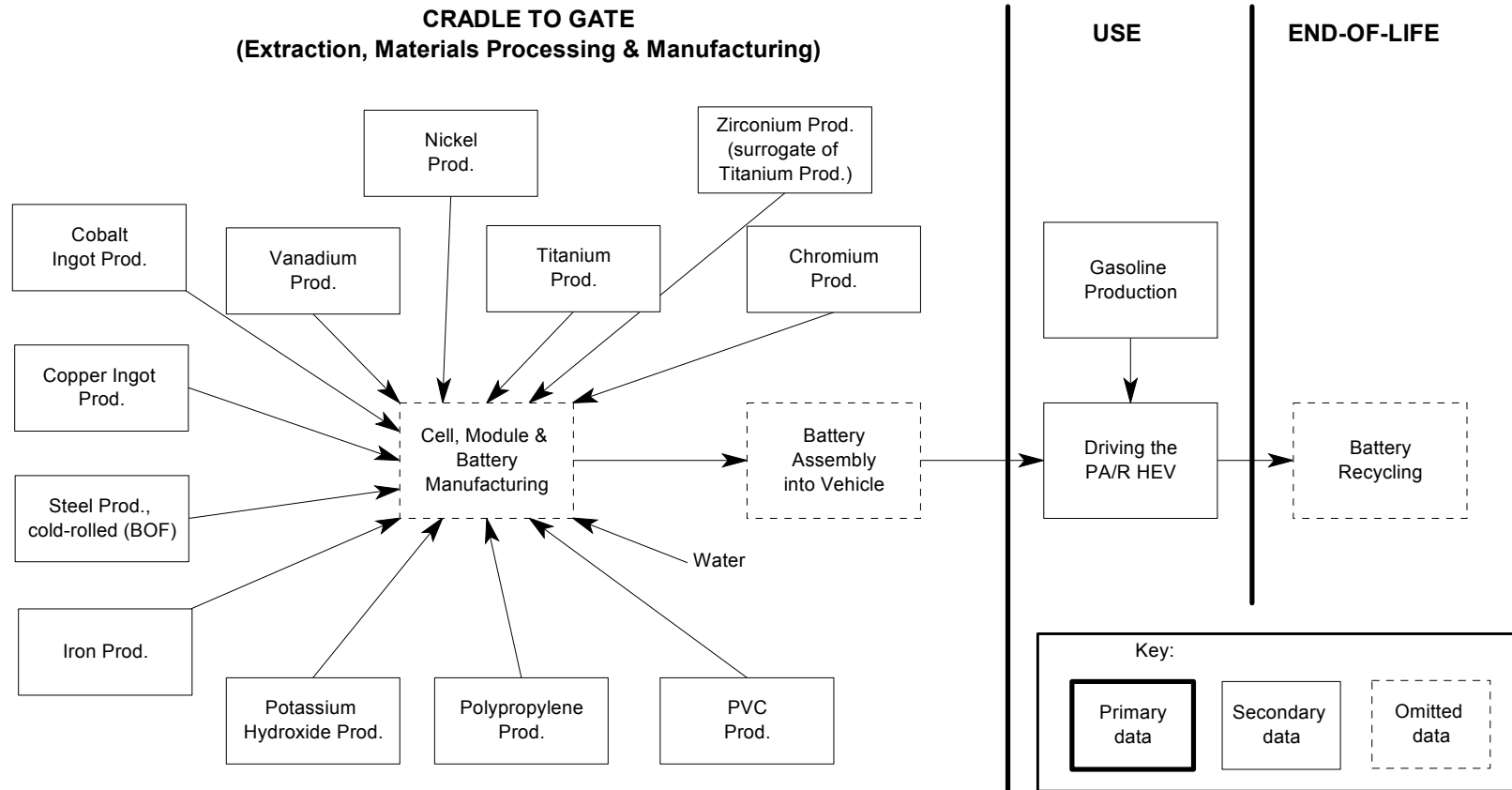


Figure 3.15. The NiMH HEV Battery Profile

3.4.6 NiMH and Lilon Battery Results

The performance characteristics of batteries evaluated in this assessment are based on PNGV data that defined current technology in HEV battery development. A more forward-looking scenario, assuming a lighter weight for the NiMH battery and an increased life span for both battery types, is portrayed in the results for the long-term analyses (LiIon-LT and NiMH-LT).

Table 3.12 reveals some of the important data characteristics of the HEV batteries that were used to obtain the results, and also shows the emissions that were calculated from the Use life-cycle stage. Important items to re-mention with regard to this table include:

- the “current performance” NiMH battery weighed more than its LiIon counterpart, and a greater percentage of its materials were accounted for;
- the life span of the “current performance” NiMH battery was more than that of the LiIon;
- the weight difference for the “current performance” batteries has only a small effect on vehicle fuel efficiency, yet the Use stage emissions (associated only with each battery’s mass) are reduced by as much as 33%; and
- the two long-term batteries are equal in terms of mass and lifespan; thus, their comparison is essentially a comparison of the materials contained in them (focused on the E&MP stage, in particular), while the environmental impacts in the Use stage are equal.

Assessment Data	Units	Profiles			
		LiIon	NiMH	LiIon-LT	NiMH-LT
Mass of battery	(kg)	40.0	62.5	40.0	40.0
Total mass accounted for	(kg)	35.8	59.7	35.8	37.59
Percent total mass accounted for	(%)	89.50%	95.52%	89.50%	93.98%
Mass of lithium / Ni+other hydride	(kg)	0.275	2.55+5.52	0.275	2.19+4.75
Life span	(years)	3	5	10	10
Vehicle fuel efficiency	(mpg)	80.0	78.9	80.0	80.0
Lifetime fuel consumed by vehicle	(lbs)	9,231.0	9,358.5	9,231.0	9,231.0
Lifetime fuel consumed by battery	(lbs)	325.7	506.0	325.7	325.7
Emissions from the Use Life-cycle Stage					
CH ₄	(lbs/use life)	0.15	0.23	0.15	0.15
CO	(lbs/use life)	9.34	14.30	9.34	9.34
CO ₂	(lbs/use life)	1,231.10	1,912.21	1,231.10	1,231.10
NMOG	(lbs/use life)	0.09	0.14	0.09	0.09
NO _x	(lbs/use life)	0.19	0.29	0.19	0.19
PM	(lbs/use life)	0.09	0.14	0.09	0.09

The HEV Battery Assessment results are shown in Table 3.13. In reviewing the baseline comparison results, it is seen that the LiIon battery produces lower impact scores than the NiMH battery in 12 out of the 14 impact categories included in the analysis. In some of these cases, the differences are not great (e.g., nonrenewable resource use); in others, the differences are quite significant (e.g., acidification, hazardous waste landfill space, water quality – TSS). In the long-term (LT) comparison, similar results are seen, but with a reduced percentage difference between most of the impact scores. Within the LT comparison, using the LiIon-LT as the “baseline,” the differences vary from as little as 0% (water quality - BOD) to as much as ~300% (hazardous waste landfill space). Comparing across all of the four sets of results, one of the long-term profiles (LiIon-LT), as expected, has the lowest scores in most impact categories.

Table 3.13. Impact Scores for the HEV Battery Assessment					
Impact Category	Units	LiIon	NiMH	LiIon-LT	NiMH-LT
Impacts from Inputs					
Nonrenewable resource use	(lbs)	1,735.48	2,147.85	821.43	929.57
Renewable resource use	(lbs)	34,657.78	60,908.46	22,691.54	27,782.33
Energy use	(MMBTUs)	21.47	27.78	11.66	13.57
Impacts from Outputs					
Global warming	(lbs CO ₂ -eq.)	3,570.21	4,815.48	2,108.29	2,388.65
Ozone depletion	(lbs CFC11-eq.)	<i>0.00025</i>	<i>0.00090</i>	<i>0.00007</i>	<i>0.00037</i>
Acidification	(lbs SO ₂ -eq.)	19.82	216.11	7.16	88.46
Smog	(lbs ethene-eq.)	0.67	0.94	0.32	0.44
Particulates	(lbs PM)	6.57	4.33	2.15	1.22
Odor (aesthetics)	(million m ³)	4.78	8.87	3.57	4.68
Solid waste landfill space	(ft ³)	21.68	2.85	7.09	1.10
Hazardous waste landfill space	(ft ³)	0.11	0.53	0.06	0.24
Eutrophication	(lbs phosphate-eq.)	0.08	0.21	0.08	0.11
Water quality – BOD	(lbs BOD)	0.34	0.50	0.32	0.32
Water quality – TSS	(lbs TSS)	1.72	5.57	1.52	2.81

Notes: **Bold** indicates lowest impact scores.

A comparative chart representing the ratio of the impact scores for the baseline profiles evaluated in the HEV battery assessment is shown in Figure 3.16. It is seen that LiIon has greater impacts only in the particulate matter and solid waste categories, while NiMH has greater impacts in all other categories. The key issues to point out would be the acidification difference (NiMH an order of magnitude higher than LiIon due to sulfur dioxide emissions from Nickel Production) and the solid waste landfill space difference (LiIon an order of magnitude higher than NiMH). These issues are discussed more in the focus (impact category-specific) graphs presented later in this section.

Figure 3.17 shows a comparison of the long-term LiIon and NiMH battery profiles. The picture here is similar to that of the comparison between the two current performance battery profiles. However, the water quality (BOD), which had a greater impact score for

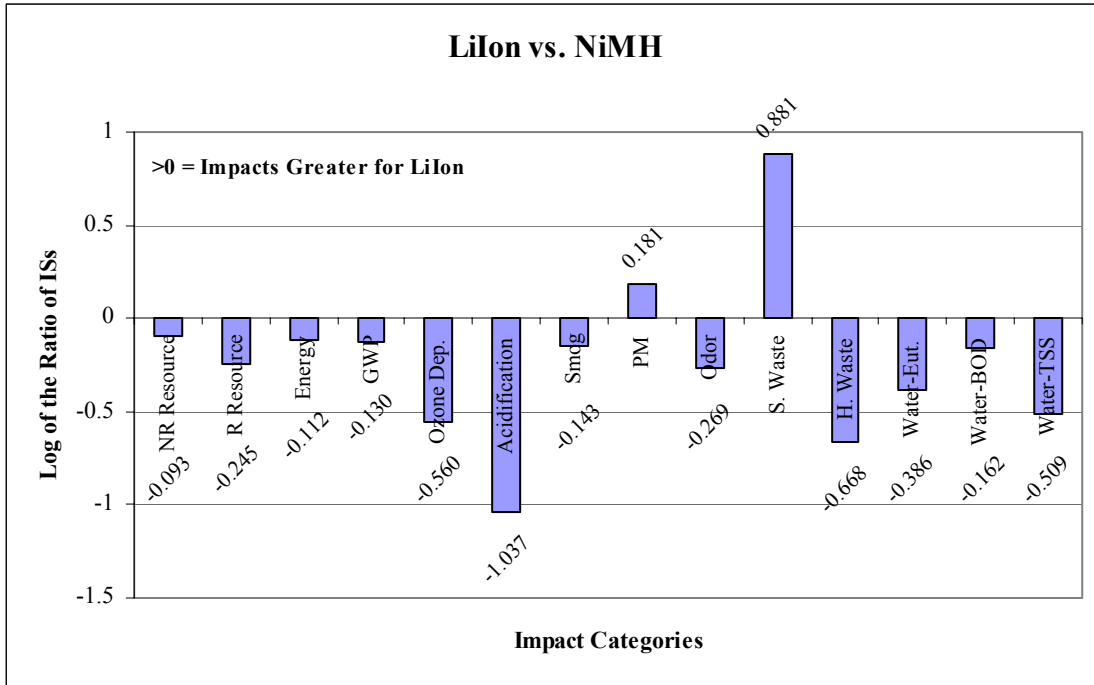


Figure 3.16. HEV Batteries – Lilon vs. NiMH Comparison

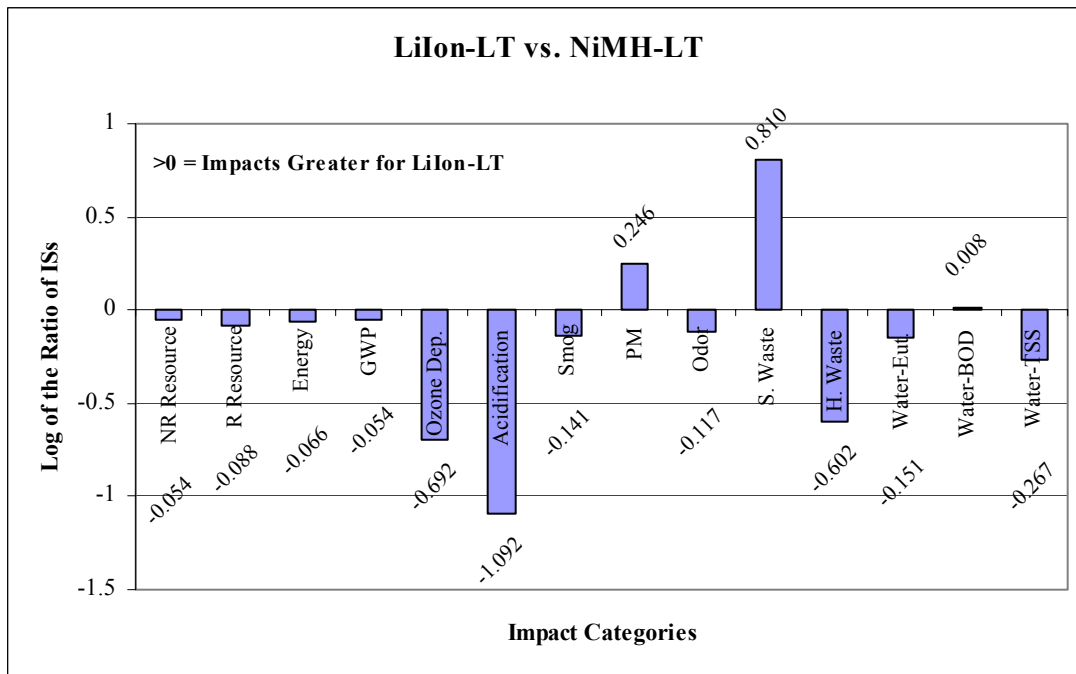


Figure 3.17. HEV Batteries – Lilon-LT vs. NiMH-LT Comparison

NiMH, now has an almost equal score for the two. This is because the mass of the NiMH battery has been reduced, while the mass of the LiIon battery still remains the same.

The projected improvements in battery life for the LiIon battery improve environmental performance dramatically (Figure 3.18). All the scores show considerable improvement in going from a life span of 3 years to 10 years, where only one battery is needed over the life of the vehicle instead of 3.3.

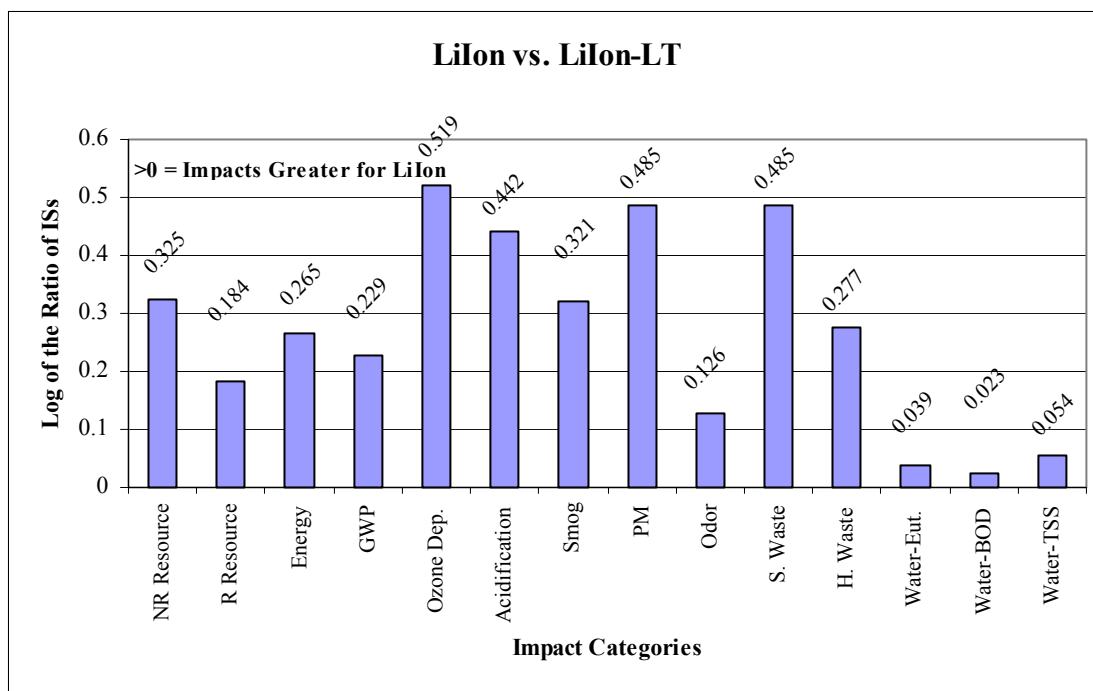


Figure 3.18. HEV Batteries – Lilon vs. Lilon-LT Comparison

For NiMH batteries, improvements in going from NiMH to NiMH-LT are quite significant, as two key variables were altered: a mass reduction of 36% (from 62.5 kg to 40 kg), plus an increased life span (from 5 to 10 years). (See Figure 3.19.)

Four focus graphs were generated for this assessment, and they include the impact categories: energy use, GWP, acidification, and solid waste landfill space. For energy use (Figure 3.20) and GWP (Figure 3.21), the LiIon impact scores are less than those of NiMH in both of the depicted life-cycle stages (E&MP and Use —Fuel Production and Fuel Use). However, in comparing the two long-term profiles, though LiIon-LT still has lower overall impacts than NiMH-LT, the differences are solely due to the E&MP stage, while the Fuel Production and Fuel Use scores for the two are equal. Tables 3.14 and 3.15 show the life-cycle stage breakdown of the impact scores and the top three contributors to each score for the energy use and GWP impact categories, respectively.

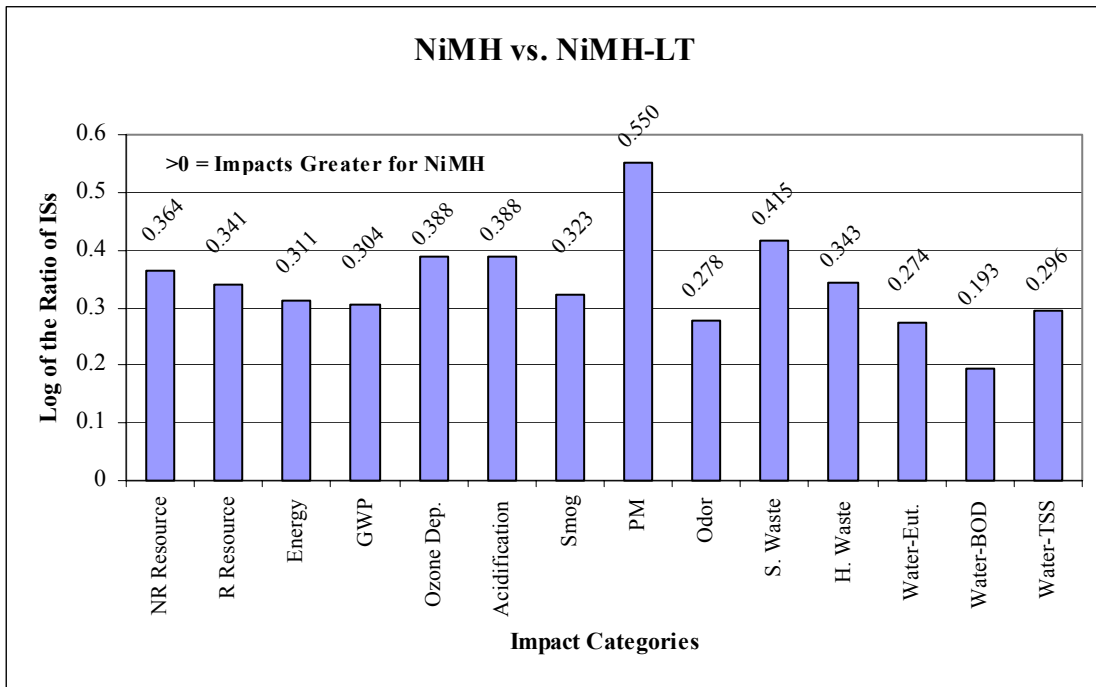


Figure 3.19. HEV Batteries – NiMH vs. NiMH-LT Comparison

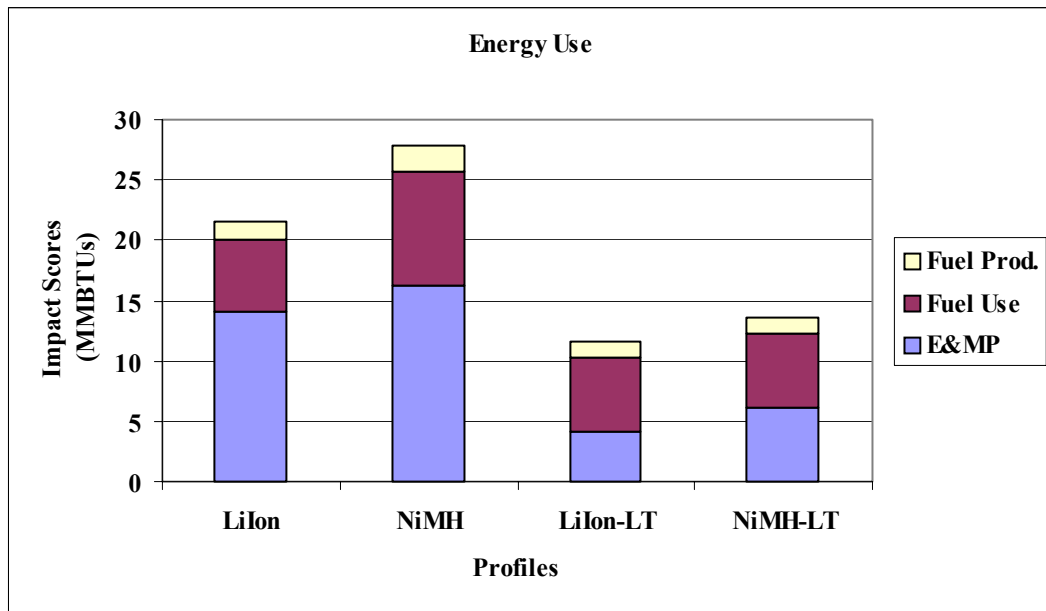


Figure 3.20. HEV Batteries - Energy Use

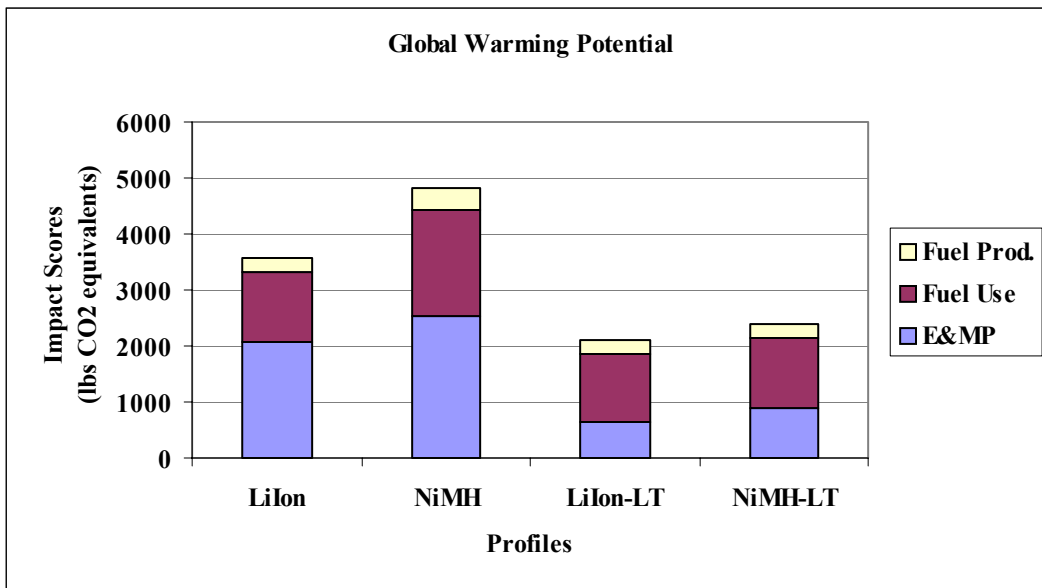


Figure 3.21. HEV Batteries - Global Warming Potential

Energy Use (MMBTUs)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
LiIon	14.01	6.11	1.35	N/A	21.47
NiMH	16.20	9.49	2.09	N/A	27.78
LiIon-LT	4.20	6.11	1.35	N/A	11.66
NiMH-LT	6.12	6.11	1.35	N/A	13.57

Biggest Contributors	Life-cycle Stage	Process	Input	Score (MMBTUs)	Percent of Total
LiIon – 1	Use	Driving	Gasoline	6.11	28.5%
LiIon – 2	E&MP	Aluminum Prod.	Electricity	4.99	23.2%
LiIon – 3	E&MP	Aluminum Prod.	Coal	3.86	18.0%
NiMH – 1	Use	Driving	Gasoline	9.49	34.2%
NiMH – 2	E&MP	Nickel Prod.	Petroleum	2.62	9.4%
NiMH – 3	E&MP	Nickel Prod.	Coal	2.39	8.6%
LiIon-LT – 1	Use	Driving	Gasoline	6.11	52.4%
LiIon-LT – 2	E&MP	Aluminum Prod.	Electricity	1.50	12.8%
LiIon-LT – 3	Use	Gasoline Prod.	Natural gas	1.18	10.1%
NiMH-LT – 1	Use	Driving	Gasoline	6.11	45.0%
NiMH-LT – 2	Use	Gasoline Prod.	Natural gas	1.18	8.7%
NiMH-LT – 3	E&MP	Nickel Prod.	Petroleum	1.07	7.9%

The life-cycle stage breakdowns for the two “current performance” profiles (Table 3.14) show that E&MP values are ~1.9 times and ~1.4 times the entire Use life-cycle stage values for LiIon and NiMH respectively. For the LT profiles, the reduction of ~10 MMBTUs in the E&MP stage reverses this relationship, making the Use stage more significant, as the E&MP values become only ~0.6 times and ~0.8 times the Use stage values. This large decrease that can also be seen in the profile totals results in a ~46% reduction between the two LiIon scenarios and a ~51% reduction between the two NiMH scenarios.

Table 3.14 shows that Driving (Fuel Use) contributes from ~29%–45% of the energy use impacts for all profiles except LiIon-LT, where driving accounts for over half of the total profile energy use. The remaining impacts are from the production of energy-intensive materials used in each of the batteries and the lifetime quantity of fuel (gasoline) required in each case. Gasoline production shows up as one of the top three contributors only for the long-term profiles. This is because of their lower lifetime energy totals, making Fuel Use more significant in their case than in the case of the current technology profiles. As for the materials, the big contributor is nickel for the NiMH profiles, and aluminum for the LiIon. Nickel is used in the NiMH battery in the anode, and in the cathode as nickel hydroxide and substrate material. Aluminum is used in the battery and cell containers and the current collector of the LiIon battery, and shows up as a big contributor due to its high energy-intensity.

GWP (lbs CO ₂ equiv.)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
LiIon	2,088.46	1,235.09	246.66	N/A	3,570.21
NiMH	2,540.12	1,892.24	383.12	N/A	4,815.48
LiIon-LT	626.54	1,235.09	246.66	N/A	2,108.29
NiMH-LT	906.90	1,235.09	246.66	N/A	2,388.65
Biggest Contributors	Life-cycle Stage	Process	Output	Score (lbs CO ₂ equiv.)	Percent of Total
LiIon – 1	E&MP	Aluminum Prod.	CO ₂	1,310.71	36.7%
LiIon – 2	Use	Driving	CO ₂	1,231.10	34.5%
LiIon – 3	Use	Gasoline Prod.	CO ₂	218.33	6.1%
NiMH – 1	Use	Driving	CO ₂	1,886.15	39.2%
NiMH – 2	E&MP	Nickel Prod.	CO ₂	1,171.55	24.3%
NiMH – 3	E&MP	Titanium Prod.	CO ₂	605.65	12.6%
LiIon-LT – 1	Use	Driving	CO ₂	1,231.10	58.4%
LiIon-LT – 2	E&MP	Aluminum Prod.	CO ₂	393.21	18.7%
LiIon-LT – 3	Use	Gasoline Prod.	CO ₂	218.33	10.4%
NiMH-LT – 1	Use	Driving	CO ₂	1,231.10	51.5%
NiMH-LT – 2	E&MP	Nickel Prod.	CO ₂	479.67	20.1%
NiMH-LT – 3	E&MP	Titanium Prod.	CO ₂	260.78	10.9%

As with energy use, LiIon has lower global warming potential scores than NiMH in both life-cycle stages (Table 3.15). As this impact category is somewhat tied to the energy use impact category, the results are similar. Also, for the two current-technology batteries, the emissions from E&MP (upstream) are greater than the emissions from the Use life-cycle stage (including fuel use and fuel production). This contrasts to vehicles as a whole, in which the Use life-cycle stage is typically more significant in many air emission impact categories than the other life-cycle stages, and these results imply that both batteries can improve the GWP “footprint.” This improvement is realized in going from current technology to the long-term, as is revealed in the GWP impact results for the LiIon-LT and NiMH-LT profiles. Both have a lower GWP impact score for E&MP than for the Use stage.

From among the biggest contributors, driving (Fuel Use) gets the top spot in all except the “current performance” LiIon battery profile. For this profile, the choice of aluminum as a cell container creates higher GWP impacts because of the energy intensity of virgin aluminum production (also visible in the energy use results). Aluminum production, however, is overshadowed by driving, in going from current technology to long-term, as seen in the GWP impacts for the LiIon-LT profile. The other top contributors to NiMH profiles’ global warming impacts are nickel and titanium (the latter used instead of zirconium; see Table 3.10), both energy-intensive materials, contributing considerably to GWP.

In the acidification impact category (Figure 3.22), the NiMH profiles’ results are driven by the contribution of sulfur dioxide from nickel production, accounting for almost 95% of the results for NiMH.

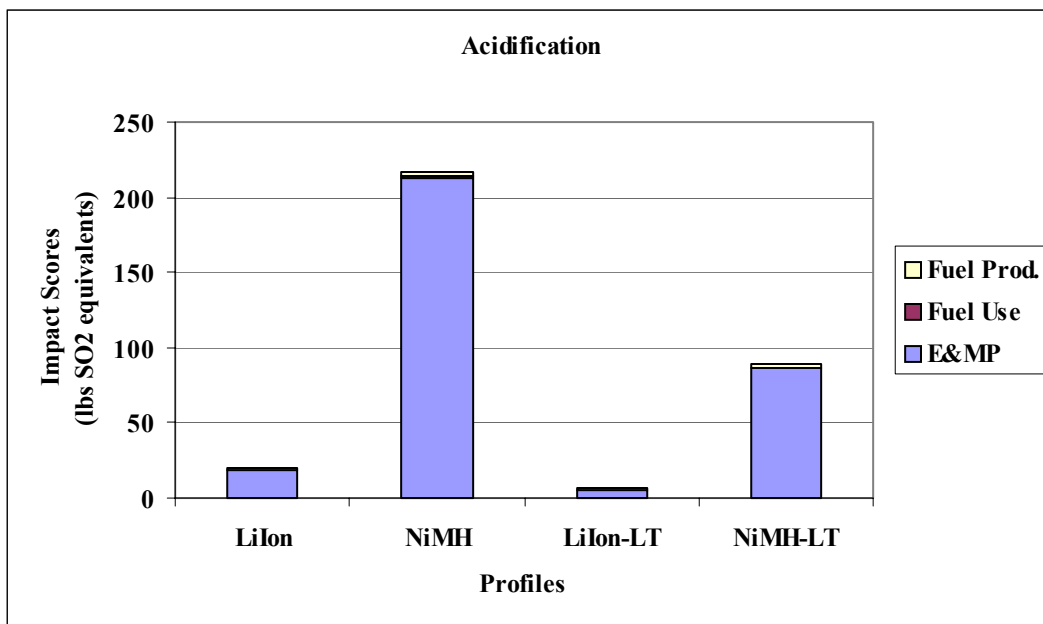


Figure 3.22. HEV Batteries - Acidification

Although the pattern of acidification scores for Fuel Use and Fuel Production (Table 3.16) is similar to other impact categories, the sulfur dioxide emissions from E&MP take the acidification scores for the NiMH batteries an order of magnitude higher than those for LiIon.

Acidification (lbs SO₂ equiv.)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
LiIon	18.09	0.13	1.60	N/A	19.82
NiMH	213.42	0.20	2.49	N/A	216.11
LiIon-LT	5.43	0.13	1.60	N/A	7.16
NiMH-LT	86.73	0.13	1.60	N/A	88.46
Biggest Contributors	Life-cycle Stage	Process	Output	Score (lbs SO₂ equiv.)	Percent of Total
LiIon – 1	E&MP	Aluminum Prod.	SO _x	5.62	28.4%
LiIon – 2	E&MP	Copper Prod.	SO ₂	5.21	26.3%
LiIon – 3	E&MP	Aluminum Prod.	NO _x	3.20	16.1%
NiMH – 1	E&MP	Nickel Prod.	SO ₂	204.40	94.6%
NiMH – 2	E&MP	Titanium Prod.	SO _x	2.02	0.9%
NiMH – 3	E&MP	Nickel Prod.	NO ₂	1.74	0.8%
LiIon-LT – 1	E&MP	Aluminum Prod.	SO _x	1.69	23.6%
LiIon-LT – 2	E&MP	Copper Prod.	SO ₂	1.56	21.8%
LiIon-LT – 3	Use	Gasoline Prod.	SO ₂	1.05	14.7%
NiMH-LT – 1	E&MP	Nickel Prod.	SO ₂	83.69	94.6%
NiMH-LT – 2	Use	Gasoline Prod.	SO ₂	1.05	1.2%
NiMH-LT – 3	E&MP	Titanium Prod.	SO _x	0.87	1.0%

For the acidification impact category, most of the big contributors are from E&MP and point to the more energy- and materials-intensive materials. In the case of the two long-term profiles, gasoline production shows up as one of the contributors, accounting for about 15% of the impacts for LiIon-LT, but only 1.2% for NiMH-LT. This is because nickel production clearly dominates the acidification impacts for NiMH batteries, contributing 95% of the total values in each case. Nitrogen oxides and sulfur oxides emissions from the production of aluminum, copper, and titanium are responsible for the rest of the acidification impacts.

In the final focus graph for this assessment, the solid waste landfill space impact category is looked at more closely (see Figure 3.23 and Table 3.17). In this breakdown, the results flip as compared to the other focus graphs included in this assessment: NiMH has a much lower total score than LiIon, for both the “current performance” and long-term scenarios. Solid wastes from aluminum production alone contribute significantly more to the total score for LiIon than the total life-cycle score for NiMH. However, in looking at the Use life-cycle stage alone

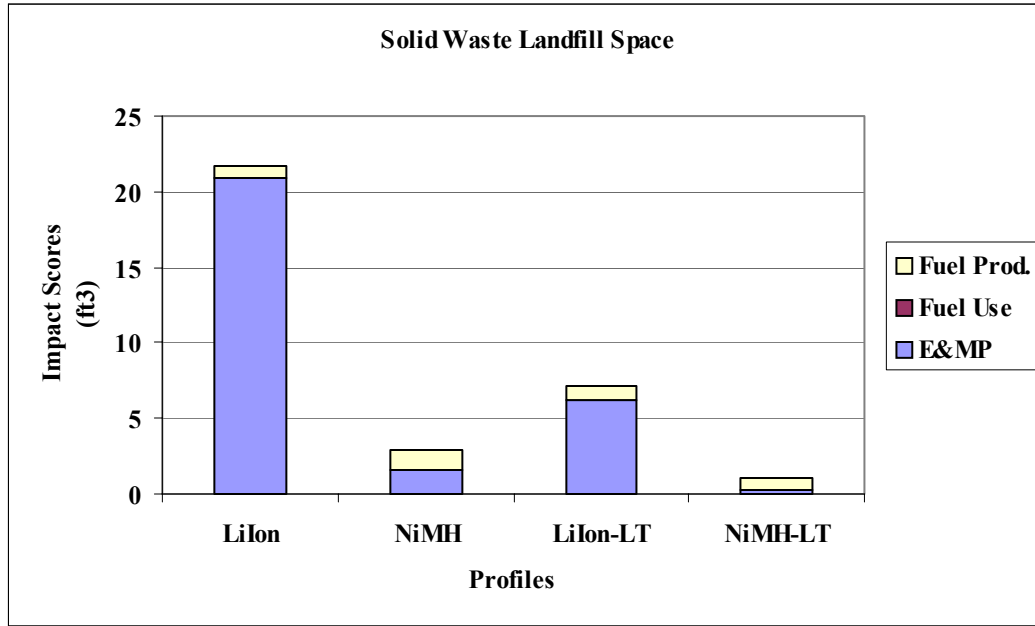


Figure 3.23. HEV Batteries - Solid Waste Landfill Space

SWLS (ft ³)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
LiIon	20.85	0	0.84	N/A	21.68
NiMH	1.55	0	1.30	N/A	2.85
LiIon-LT	6.25	0	0.84	N/A	7.09
NiMH-LT	0.26	0	0.84	N/A	1.10
Biggest Contributors	Life-cycle Stage	Process	Output	Score (ft ³)	Percent of Total
LiIon – 1	E&MP	Aluminum Prod.	Slag and ash	16.06	74.1%
LiIon – 2	E&MP	Aluminum Prod.	Unspecified solid waste	3.63	16.7%
LiIon – 3	Use	Gasoline Prod.	Slag and ash	0.66	3.0%
NiMH – 1	Use	Gasoline Prod.	Slag and ash	1.02	35.8%
NiMH – 2	E&MP	Steel Prod.	Unspecified solid waste	0.57	20.0%
NiMH – 3	E&MP	Steel Prod.	Slag and ash	0.34	11.9%
LiIon-LT – 1	E&MP	Aluminum Prod.	Slag and ash	4.82	68.0%
LiIon-LT – 2	E&MP	Aluminum Prod.	Unspecified solid waste	1.09	15.4%
LiIon-LT – 3	Use	Gasoline Prod.	Slag and ash	0.66	9.3%
NiMH-LT – 1	Use	Gasoline Prod.	Slag and ash	0.66	59.9%
NiMH-LT – 2	Use	Gasoline Prod.	Unspecified solid waste	0.14	12.9%
NiMH-LT – 3	E&MP	Potassium Hydroxide Prod.	Non mineral waste (inert)	0.11	9.8%

(Fuel Use and Fuel Production), LiIon is the better performer, with a lower score in the case of current performance profiles and a score equal to that of NiMH when the two long-term profiles are compared.

The biggest contributors to the LiIon impact scores in this category are solid wastes generated in the production of aluminum, accounting for almost 91% of the total score in the case of the “current performance” LiIon. The impact score in the Use life-cycle stage is equal for the two long-term batteries, and only slightly higher for NiMH, as compared to LiIon. However, looking at just the NiMH battery profiles, the biggest contributor to the solid waste landfill space score is gasoline production, contributing to the extent of about 73% in the case of NiMH-LT, and about 36% in the case of the “current performance” NiMH. Steel production shows up as another major contributor for NiMH, accounting for approximately 32% of the total impact score. Stainless steel is replaced by polypropylene as the casing material for the NiMH-LT battery and steel production, therefore, drops off the list of biggest contributors for that profile.

3.5 FUEL CELL VEHICLE ASSESSMENT

3.5.1 Background and Scope

Fuel cells have attracted a great deal of attention in the last few years as potential replacements for conventional gasoline- or diesel-powered internal combustion engines. Because the technology for producing fuel cells at reasonable cost is still under development, they are seen more as a long-term solution for providing a clean, efficient means of generating electric power for future transportation needs. Fuel cells generate zero to very little pollution, depending on the type of fuel used for providing the hydrogen gas that is required for them to operate. If hydrogen gas is supplied directly to the vehicle, the vehicle is termed a direct-hydrogen fuel cell vehicle (FCV). If any other type of fuel is supplied to the vehicle (options include natural gas, methanol, ethanol, and gasoline), a fuel-reforming system must be included on-board to extract the hydrogen from the supplied fuel (except for a type of FCV called the “direct methanol FCV,” which does not need a reformer). While the fuel reforming process does ease some issues about developing a hydrogen infrastructure, it does generate some emissions and brings significant, additional complexity into the FCV design process.

This study evaluated the potential life-cycle environmental impacts of a FCV using a 50 kW proton exchange membrane (PEM) fuel cell system (both with and without a fuel reformer), and compared them with those of a gasoline-fueled internal combustion engine vehicle (ICEV). The fuels considered for the fuel cell systems were direct hydrogen (without reformer), and methanol and gasoline (with reformer). Exclusive of the propulsion systems, the rest of the vehicle was assumed to be the same across all the profiles.

The functional and service units for this assessment were defined as one mid-sized vehicle, powered by different propulsion systems over a lifetime of 120,000 miles.

3.5.2 Assessment-Specific Assumptions

Assumptions that relate to each of the scenarios are identified here.

- 1) The mid-sized passenger cars compared in this assessment were the
 - 1994 Taurus-class sedan → the ‘baseline’ ICEV
 - Hydrogen-fueled FCV (without reformer) → H2FCV
 - Methanol-fueled FCV (with reformer) → RFCV-MeOH
 - Gasoline-fueled FCV (with reformer) → RFCV-gas
 - Gasoline-fueled FCV (with reformer, Long-term) → RFCV-gas-LT
- 2) A materials breakdown was obtained from Arthur D. Little for a 50 kW fuel cell system (modeled by them for a cost assessment project for DOE), which formed the basis for the calculations (Carlson 2001).
- 3) It was assumed that a 50 kW fuel cell system is capable of delivering performance equivalent to that of a conventional ICE-powered mid-size vehicle, due to the improved torque characteristics of electric motors over internal combustion engines (SAE 2001). The Taurus-class vehicle used as the baseline in this analysis has a power rating of approximately 110 kW.
- 4) The list of components assumed to be replaced in a conventional ICEV when switching to a FC-based propulsion system are:
 - Engine (cylinder head, engine block, fuel injection system, engine air system, ignition system, starter system, generator, and lubrication system)
 - Cooling system (water pump, radiator, and fan)
 - Air cleaning system (air filter, etc.)
 - Exhaust system (catalytic converter, heat shields, muffler, and exhaust piping)
 - Lead-acid SLI battery
- 5) The fuel supply system was excluded from each profile evaluated, primarily due to uncertainty in the choice of a suitable hydrogen storage system (compressed, liquefied, or hydride).
- 6) Fuel efficiency of the 1994 vehicle was assumed to be the PNGV baseline, 26.6 mpg.
- 7) FCV fuel efficiencies were based on scaling factors obtained from Greet 1.5a (Wang 2000a, 2000b), in mpg for the gasoline FCV and miles per gallon gasoline equivalent (mpgge)^j for the hydrogen and methanol FCVs. Where needed, mpgge values were converted to mpg values using the ratios of lower heat values of each fuel to gasoline, using the following equation (NREL 2001; Thomas, James and Lomax 2000).

$$\text{mpg} = \text{mpgge} \times \frac{\text{Lower Heat Value of Alternative Fuel}}{\text{Lower Heat Value of Gasoline}}$$

- 8) Lifetime fuel requirement and emissions comparisons for each vehicle were based on a service life of 120,000 miles. The following formulas were used to compute the lifetime fuel required for each vehicle.

$$\text{Miles per lb of fuel used} = \frac{\text{mpg}}{\text{density in lbs/gal of fuel}}$$

$$\text{Lifetime fuel used (in kg)} = \frac{120,000 \text{ miles}}{\text{Miles per lb} \times 2.205}$$

^j The term “gallon gasoline equivalent” or “gge,” often used in the context of alternative fuels, is the volume of alternative fuel it takes to equal the energy content of one gallon of gasoline.

- 9) It was assumed that each propulsion system would last the life of the vehicle.
- 10) NMOGs (Non-methane Organic Gases) in the new Tier 2 standards were assumed to be the same as NMHCs (Non-methane Hydrocarbons).
- 11) For EOL processing, it was assumed that all the materials undergo separation by way of shredding and nonferrous metal separation into 3 material streams: ferrous, nonferrous, and ASR; all metals are recycled and all non-metals are landfilled as ASR.

3.5.3 1994 Baseline Vehicle

Assumptions specific to the baseline vehicle are

- 1) Use stage emissions were based on previous work (average emissions from EPA testing of vehicles in the Taurus class).
- 2) The materials breakdown of the components replaced in an ICEV was obtained from previous work done by the CCPCT.
- 3) Figure 3.24 shows a graphical representation of the baseline vehicle propulsion system profile. (Note that the figure represents only the propulsion system profile, not the entire vehicle profile.)

3.5.4 Direct Hydrogen Fuel Cell Vehicle

Assumptions specific to the hydrogen fuel cell vehicle are

- 1) The fuel used was gaseous hydrogen (produced from natural gas cracking).
- 2) Hydrogen production data included compression.
- 3) Zero emissions were assumed during the use stage (Wang 2000a, California Energy Commission 2001, Fuel Cells 2000, 2001).^k
- 4) Figure 3.25 is a graphical representation of the FCV propulsion system profile. (Note that the figure represents only the propulsion system, not the entire vehicle profile.)

3.5.5 Methanol- and Gasoline-fueled Fuel Cell Vehicles

Assumptions specific to methanol and gasoline-fueled fuel cell vehicles are

- 1) NMOG, NO_x, and PM emissions were obtained directly from Tier 2, Bin 2, based on PNGV targets (Wilson, Mullen and Laich, 2000).
- 2) CO, CO₂, and CH₄ emissions were calculated using reduction factors obtained from Greet 1.5a (CO₂ and CH₄ were not available in Tier 2, while CO emissions in the standard were much higher than even our baseline).
- 3) Figure 3.25 is a graphical representation of the FCV propulsion system profile.

^k In the reference to Wang 2000a, the PM-10 emissions shown are from brake and tire wear, and not from fuel cell system operation.

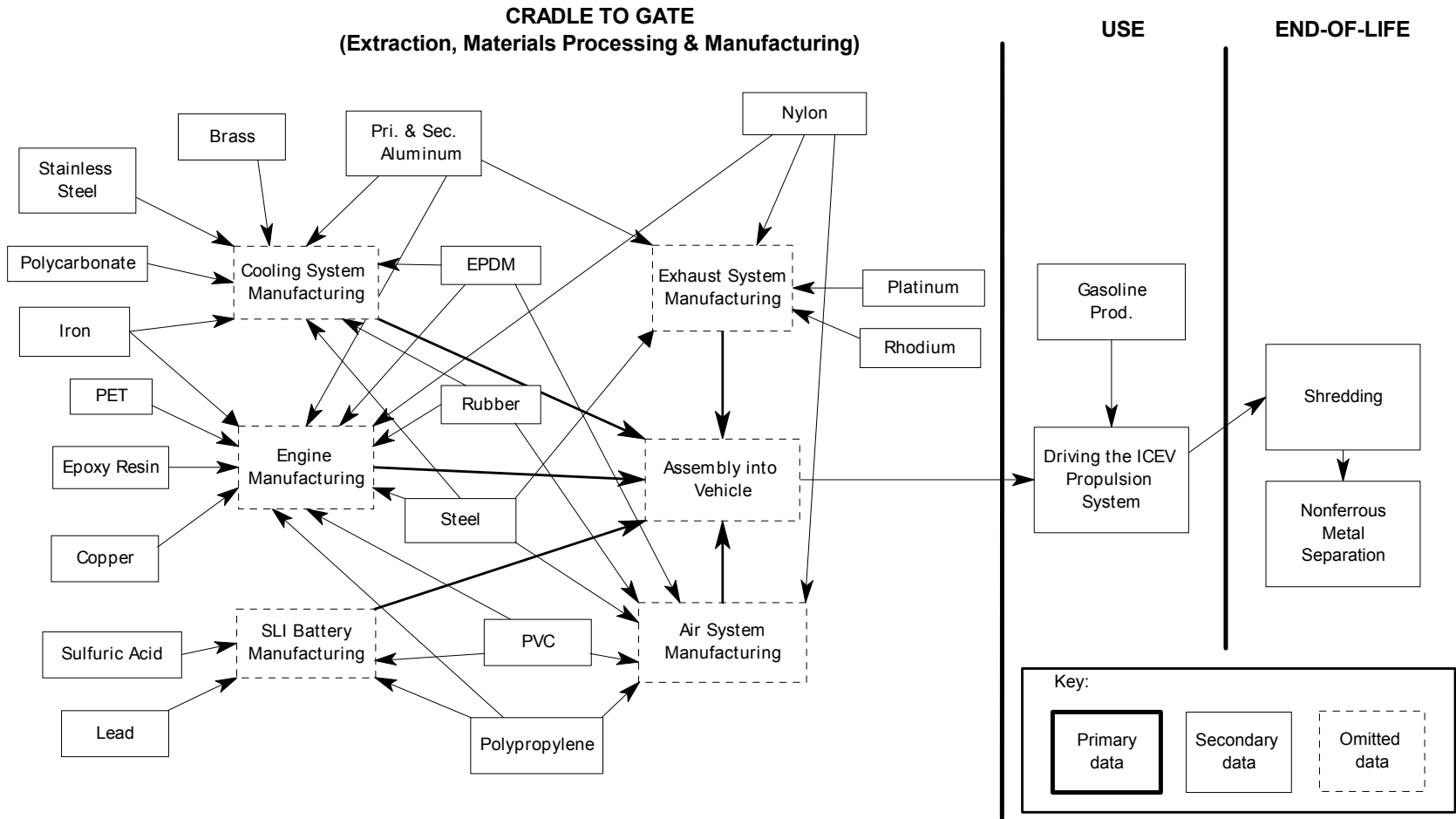


Figure 3.24. The ICEV Propulsion System Profile

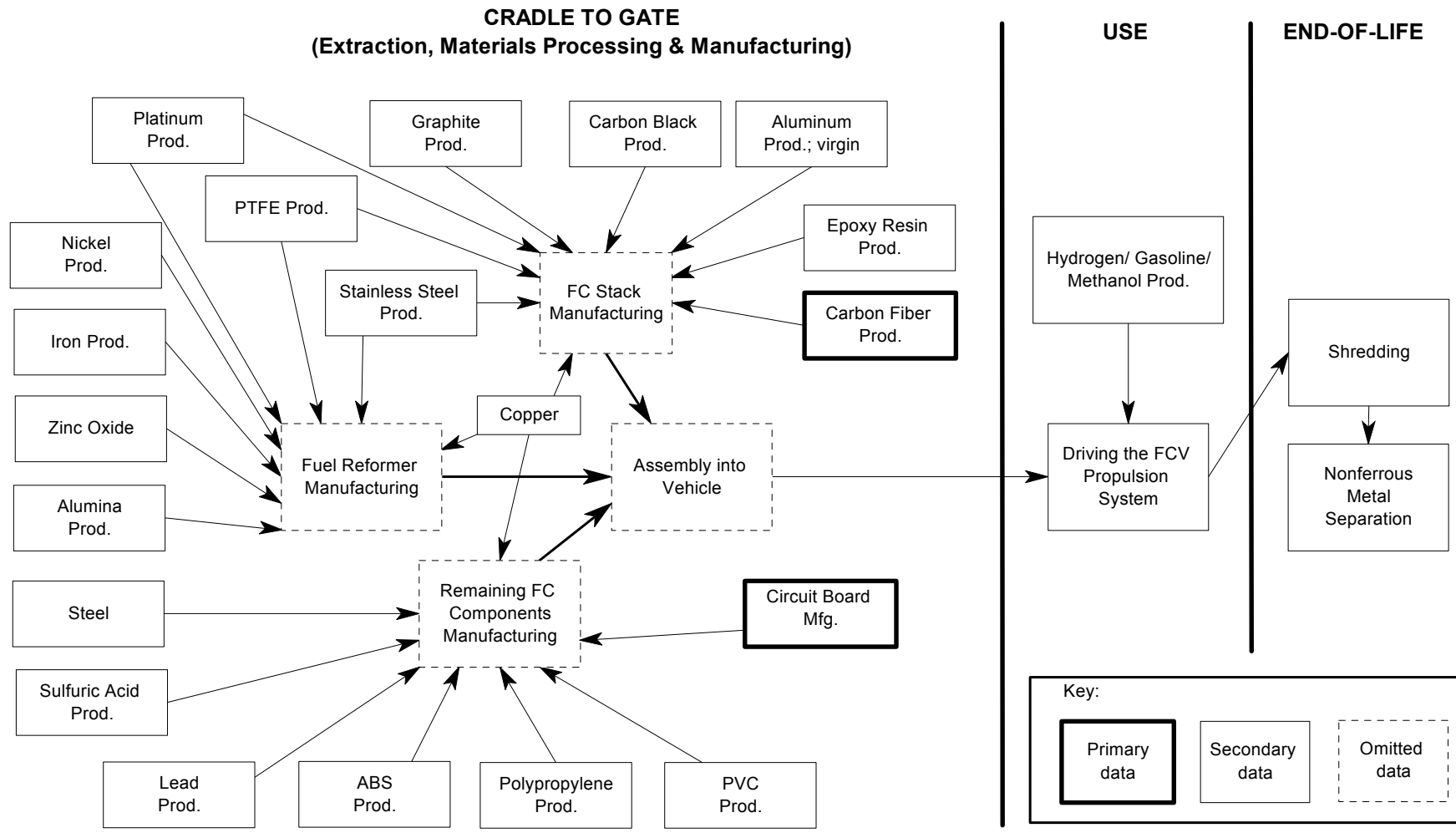


Figure 3.25. A Representation of the Multiple FCV Profiles

3.5.6 Long-Term Scenario

An additional analysis was performed to take into account the long-term PNGV and DOE targets for FCVs. The reformed gasoline FCV was chosen to enact these changes since this particular vehicle (of the ones analyzed in this assessment) appears to be the most likely to be used first, due to gasoline's already existing infrastructure, the fact that some American automobile manufacturers are reluctant to use methanol (SAE 2001), and that a fair amount of opposition exists to methanol's use (SAE 2001).

This long-term scenario analysis focused on two aspects of the fuel cell system: the total fuel cell system weight and the amount of platinum in the fuel cell stack. Accordingly, the following changes were made to the RFCV-gas inventory breakdown to come up with a new breakdown for RFCV-gas-LT.

- 1) Within the fuel cell stack, the platinum amount was reduced from about 181 grams to 20 grams, a value close to the PNGV target of 10 grams and a value expected to be achievable by many within the fuel cell industry.^l
- 2) Also within the fuel cell stack, the weight of the bipolar plates was cut in half, from about 128 kg to 64 kg, based on the knowledge that newer materials are already being used (e.g., high production Grafoil, a "soft, natural graphite material") (SAE 2001).^m
- 3) In the fuel cell reformer, the system weight was reduced by 40%. This was done due to information found that suggests that the reformer system itself will most likely be reduced as a whole versus individual materials or components being reduced in weight. One source cited a 50% reduction in the reformer weight, in going from the previous generation to the next (PR Newswire 2000).
- 4) In the balance-of-plant (BOP) for the fuel cell system (components that are not in the fuel cell stack or reformer but are still part of the fuel cell system), the number of start-up batteries was reduced from 6 to 1, for a weight reduction of about 70 kg. The batteries were accounted for primarily by the materials lead, sulfuric acid and polypropylene.
- 5) In reducing the vehicle weight by about 220 kg (12%), the RFCV-gas-LT fuel efficiency was increased by about 8.5% from the original gas-reformed FCV, from 53.2 mpg to 57.7 mpg. The increase was calculated using the relationship that a 10% reduction in vehicle weight would incur an approximate 7% increase in fuel efficiency. Though this relationship is true only for ICEV-based weight changes, without other information to accurately estimate the relationship for FCVs, it was used as a surrogate. This facilitated calculating the reduced quantity of gasoline needed over the lifetime. Also, the use stage emissions and downstream EOL processing were reduced to reflect the alterations made to the vehicle.

The detailed materials breakdown created for the four different vehicle configurations is provided in Table 3.18.

^l In a discussion with an employee of a leading fuel cell research and manufacturing company, it was learned that the 50 kW PEM fuel cell systems they are currently developing contain, on average, 20 grams of platinum.

^m It should also be noted that in using Grafoil, if less materials processing is required, the overall life-cycle burdens should be reduced.

Material	Quantity (kg)			
	ICEV	H2FCV	RFCV	RFCV-LT
Plastics				
Polyurethane	35	35	35	35
Polyvinyl Chloride (PVC)	20	23.7	23.7	23.7
Polyethylene	6.2	6.2	6.2	6.2
Acrylonitrile Butadiene Styrene (ABS)	11.1	17.7	17.7	17.7
Polyethylene Terephthalate (PET)	2.2	1.9	1.9	1.9
Polystyrene (PS)	1.1	1.1	1.1	1.1
Epoxy Resin	0.8	0.6	0.6	0.6
Polyamide 66 (nylon 6,6)	11.9	8.2	8.2	8.2
Polypropylene (PP)	26.6	31.5	31.5	26.8
Ethylene Propylene Diene Monomer (EPDM)	10.1	7.9	7.9	7.9
Polycarbonate (PC)	5.4	5.2	5.2	5.2
Vinyl Acetate	0	41.5	41.5	20.7
Nonferrous Metals				
Virgin Aluminum	25.3	44.1	44.1	44.1
Recycled Aluminum	71	72.7	72.7	72.7
Lead	13	40.9	40.9	7.9
Copper	24.8	68.3	135.9	108.9
Zinc	2.02	0.64	0.64	0.64
Chromium	4.3	4.8	19.5	13.6
Nickel	0.95	1.09	15.01	9.44
Aluminum Oxide (Alumina)	0.27	0.27	22.97	13.89
Tin (Sn)	0.07	0.07	0.07	0.07
Tungsten	0.01	0.01	0.01	0.01
Silver	0.003	0.003	0.003	0.003
Platinum	0.0015	0.181	0.208	0.037
Rhodium	0.0003	0	0	0
Ferrous Metals				
Simulated Iron	155	55.6	72.3	65.6
BOF Hot Rolled Steel	126	126	126	126
BOF Cold Rolled Steel	475.1	453.5	516.1	491.1
EAF Hot Rolled Steel	211.2	182.1	182.1	182.1
Ferrite	1.5	1.5	1.5	1.5
Fluids				
Water	9	9	9	9
Ethylene Glycol	4.3	0	0	0
Engine Oil	3.5	0	0	0
Other Materials				
Rubber	103.5	101	101	101
Glass	42	42	42	42
Paper	0.2	0.2	0.2	0.2
Carpeting (assumed Nylon 6,6)	11	11	11	11
Sulfuric Acid	2.2	33.9	33.9	5.7
Graphite	0.092	86.63	86.63	43.36
Carbon Black	0	1.32	1.32	1.32
Carbon Fiber	0	10.6	10.6	10.6
PWBs	0	4.2	4.2	4.2
Total Mass of Materials Included in Assessment	1416.72	1532.11	1730.36	1520.96
Total Vehicle Mass	1467.84	1583.27	1781.48	1562.28
Mass Percentage Included	96.52%	96.77%	97.13%	97.36%

3.5.7 Fuel Cell Vehicle Results

The results obtained using the Life-Cycle Design Toolkit for analyzing the profiles compared in this assessment are presented and discussed in this section.

For this assessment, the baseline ICEV was a mid-sized Taurus class passenger car weighing about 1468 kg, without the fuel tank system and its contents (i.e., gasoline). The total mass of components in the ICEV that would most likely have to be replaced in order to equip the vehicle with a fuel cell-based propulsion system was approximately 277 kg. These components are listed in Section 3.5.2. The total mass of the fuel cell system with reformer was estimated to be about 590 kg (371 kg for the long-term case), while the system without reformer was 392 kg.

The specifications of the five vehicles compared are provided in Table 3.19.

	Profiles				
	ICEV	H2FCV	RFCV-MeOH	RFCV-gas	RFCV-gas-LT
Mass of Vehicle (kg)	1,468	1,583	1,781	1,781	1,562
Mass of switched subsystems (kg)	277	392	590	590	371
Mass of other vehicle parts (kg)	1,191	1,191	1,191	1,191	1,191
Percent total mass accounted for (kg)	96.5%	96.8%	97.1%	97.1%	97.4%
Fuel	Gasoline	Hydrogen	Methanol	Gasoline	Gasoline
Fuel efficiency (mpgge)	26.6	79.8	61.2	53.2	57.7
Lifetime fuel consumed by vehicle (kg)	12,591	1,611	12,033	6,295	5,804

The vehicle mass in each of the FCV profiles—both with and without the reformer system—is higher than the baseline. This higher weight somewhat handicaps the otherwise much cleaner and more fuel efficient FCVs in their comparison with the baseline ICEV. The mass of other vehicle components, i.e., those that are not affected by the change in propulsion system, was assumed to remain the same in each case (1,191 kg). The fuel efficiencies of the FCVs, in mpgge, were derived from GREET 1.5a (Wang 2000a).

The lifetime quantity of fuel consumed (in kg) by the hydrogen FCV is the lowest, followed by the two gasoline FCVs, while the methanol FCV and the ICEV have the highest lifetime fuel consumption and are roughly equal to each other.

The impact scores obtained by analyzing the five profiles in the LCD Toolkit are provided in Table 3.20.

Table 3.20. Impact Scores for the Fuel Cell Vehicle Assessment						
Impact Category	Units	ICEV	H2FCV	RFCV-MeOH	RFCV-gas	RFCV-gas-LT
Impacts from Inputs						
Nonrenewable resource use	(lbs)	42,018	29,418	62,012	30,536	24,694
Renewable resource use	(lbs)	1,691,866	388,903	429,857	1,177,081	943,690
Energy use	(MMBTUs)	672	363	829	423	355
Impacts from Outputs						
Global Warming	(lbs CO ₂ -eq.)	124,688	49,582	154,021	77,011	63,802
Ozone Depletion	(lbs CFC11-eq.)	0.00065	0.00185	0.00276	0.00280	0.00185
Acidification	(lbs SO ₂ -eq.)	237	1,688	2,399	2,027	525
Smog	(lbs ethene-eq.)	21.47	11.36	79.61	12.84	11.17
Particulates	(lbs PM)	56.00	45.62	87.25	59.25	50.98
Odor (aesthetics)	(million m ³)	271.65	23.98	75.96	158.90	135.04
Solid waste landfill space	(ft ³)	140.07	117.90	205.27	129.53	120.05
Hazardous waste landfill space	(ft ³)	3.40	0.30	0.77	1.87	1.74
Eutrophication	(lbs phosphate-eq.)	7.12	0.82	1.41	4.03	3.74
Water quality - BOD	(lbs BOD)	27.28	0.40	4.22	13.87	12.77
Water quality - TSS	(lbs TSS)	148.89	13.50	29.41	77.92	71.10

Notes: **Bold** indicates lowest impact score.

The hydrogen FCV has the lowest environmental impacts in most of the impact categories evaluated (9 out of 14), mainly because of zero air emissions from driving and the lowest total lifetime quantity of fuel (hydrogen) consumed during use. The RFCV-gas-LT wins in 3 of the remaining categories (nonrenewable resource use, energy use, and smog formation) because of its lighter-weight reformer system and reduced lifetime fuel requirement, as compared to the other two reformer-based FCVs. Between the RFCV-MeOH and RFCV-gas, the only differences are in the Use stage (which includes Fuel Production and Fuel Use). The higher impacts for the methanol FCV in 7 of the 14 impact categories (including nonrenewable resource use, energy use, global warming, acidification, and particulates) are partly due to the much higher quantity of methanol required, as compared to the gasoline FCV, based on methanol's lower energy content, which is approximately half that of gasoline. Another reason for methanol's greater impacts is the methanol production process, which is more resource and energy intensive than that of gasoline, and also results in the generation of higher levels of global warming and acidification-causing emissions (namely, CO₂, SO_x, and NO_x), per kg of fuel produced.

In general, the high acidification impacts in the case of the RFCV-gas and RFCV-MeOH are from their high platinum content and, more specifically, the sulfur dioxide emissions associated with platinum production. The high ozone depletion impact scores for the FCVs are caused by Halon-1301 releases during the production of materials such as copper, platinum, nickel, and ABS, each of which is used in increased quantities in these vehicles, as compared to the ICEV. The ozone depletion scores, however, are quite insignificant in terms of actual values.

The ratios of the impact scores for the ICEV vs. the hydrogen FCV are graphically represented in Figure 3.26. Among the 12 categories in which the ICEV is worse than the hydrogen FCV, the impact difference is the largest for water quality (BOD). Of the two remaining categories in which the ICEV turns out to be better, the impact difference between the two vehicles is the largest for acidification.

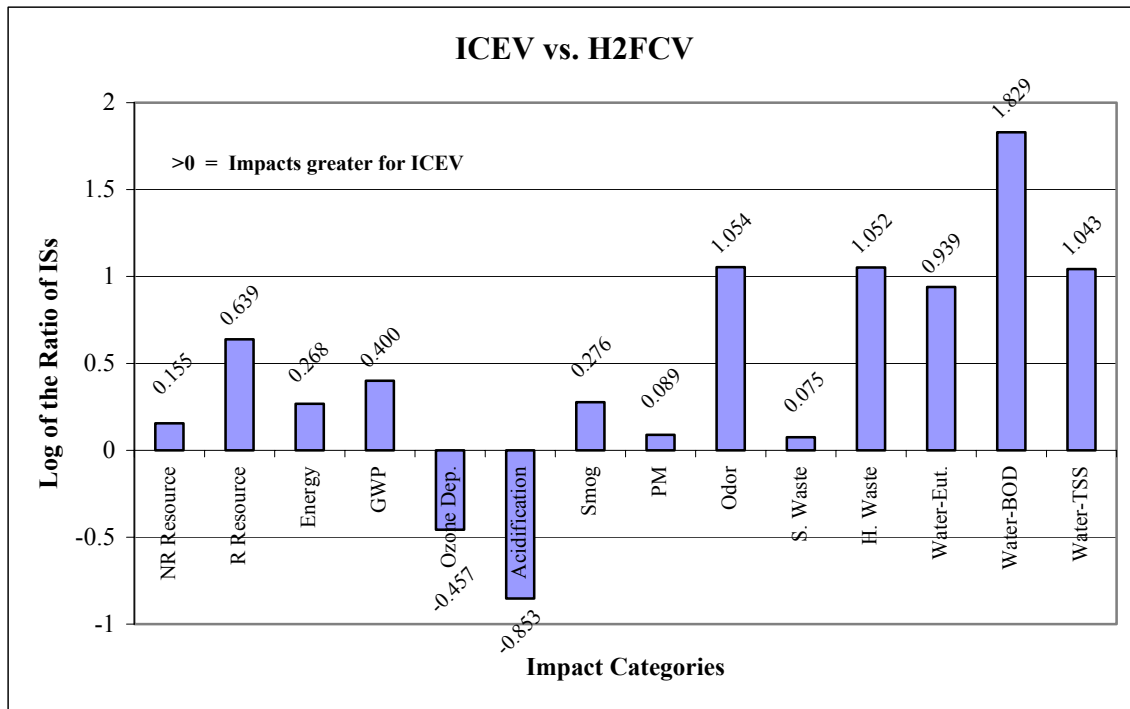


Figure 3.26. FCVs – ICEV vs. H2FCV Comparison

The overall picture remains the same for the comparison between the ICEV and the RFCV-gas, as shown in Figure 3.27; however, the extent of the differences is reduced in categories where the ICEV has greater impacts, and magnified where the RFCV-gas has greater impacts.

When the ICEV is compared to the RFCV-gas-LT (Figure 3.28), the impact score in one category (particulate matter) switches from being better for the ICEV to being better for the RFCV-gas-LT. This change results from the reduction in platinum content in the long-term scenario (from 200 grams in the RFCV-gas to 20 grams in the RFCV-gas-LT), which accounts for 62% of the reduction in the particulate matter impact score. The ratios of the remaining scores reduce where the RFCV-gas previously had higher scores and increase where the ICEV previously had higher scores. In going from the ICEV to the RFCV-gas-LT, 12 of the 14 impact categories show reductions in the range of 9% (PM) to 53% (water quality - BOD), with most of the reductions between 40% and 50%. However, two impact categories show increases in going to the RFCV-gas-LT, ozone depletion and acidification, where about 185% and 122% increases are seen, respectively. These increases, though, are still a significant improvement as compared to the RFCV-gas profile.

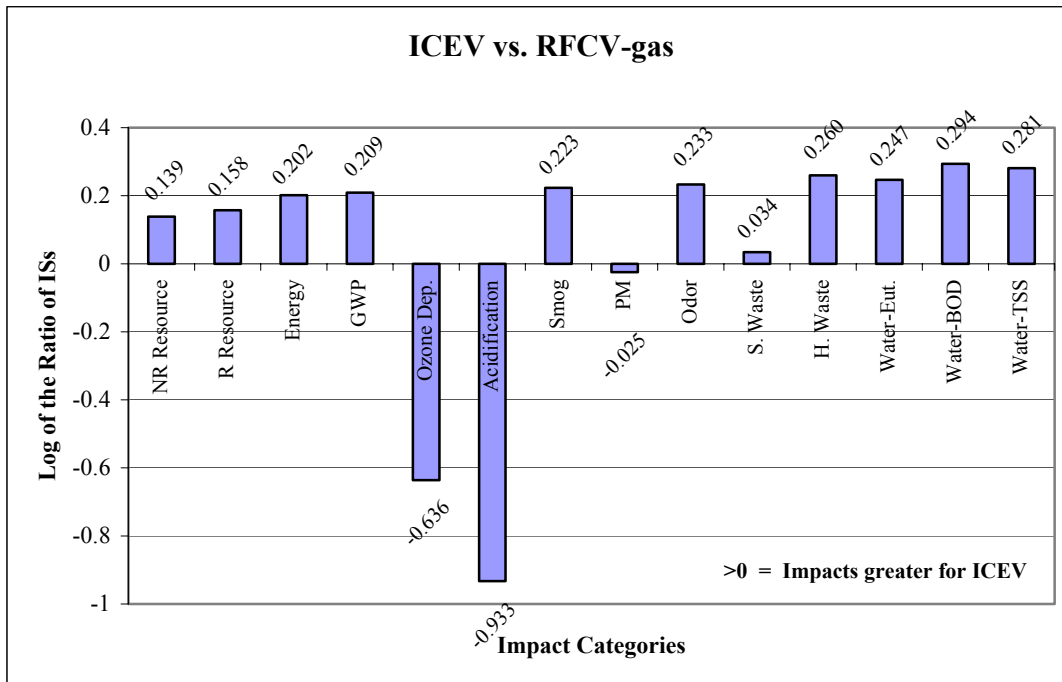


Figure 3.27. FCVs – ICEV vs. RFCV-gas Comparison

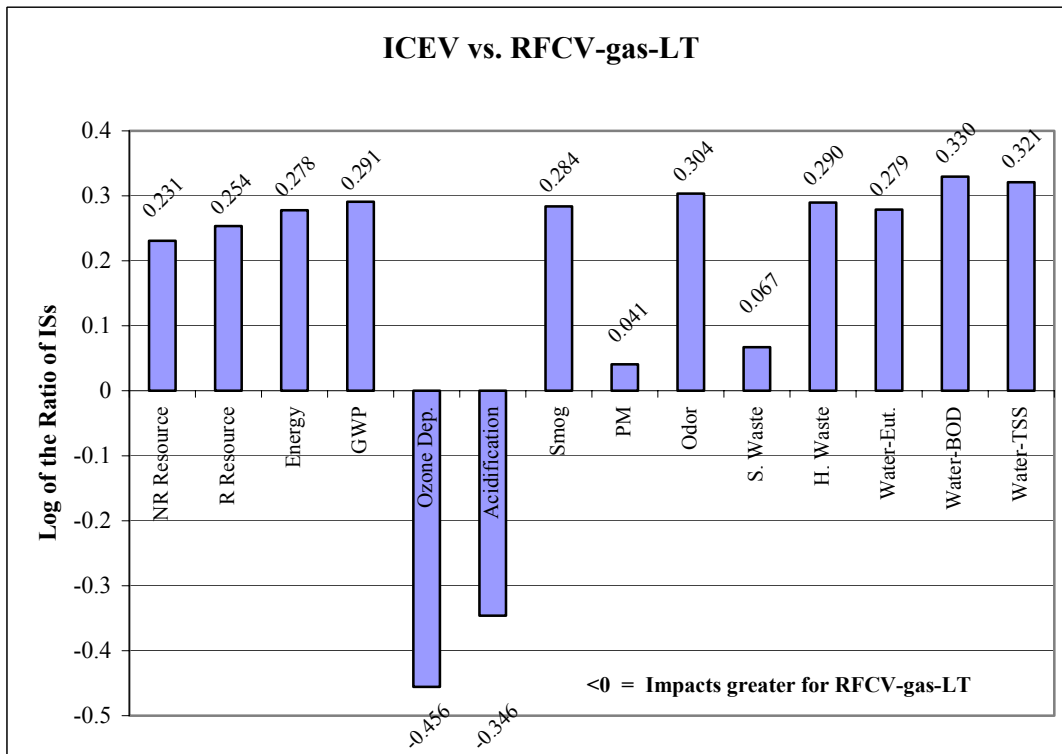


Figure 3.28. FCVs – ICEV vs. RFCV-gas-LT Comparison

In the comparison of the ICEV and the methanol FCV (Figure 3.29), the ICEV has greater impacts in 6 of the 14 impact categories evaluated, while the methanol FCV has greater impacts in the remaining eight. The methanol FCV loses to the ICEV in a number of impact categories in which the RFCV-gas was better than the ICEV (namely, nonrenewable resource use, energy use, GWP, smog formation, and solid waste landfill space) because of the higher quantity of methanol required, and the associated higher quantities of material resources, energy, and emissions associated with methanol production, as mentioned earlier.

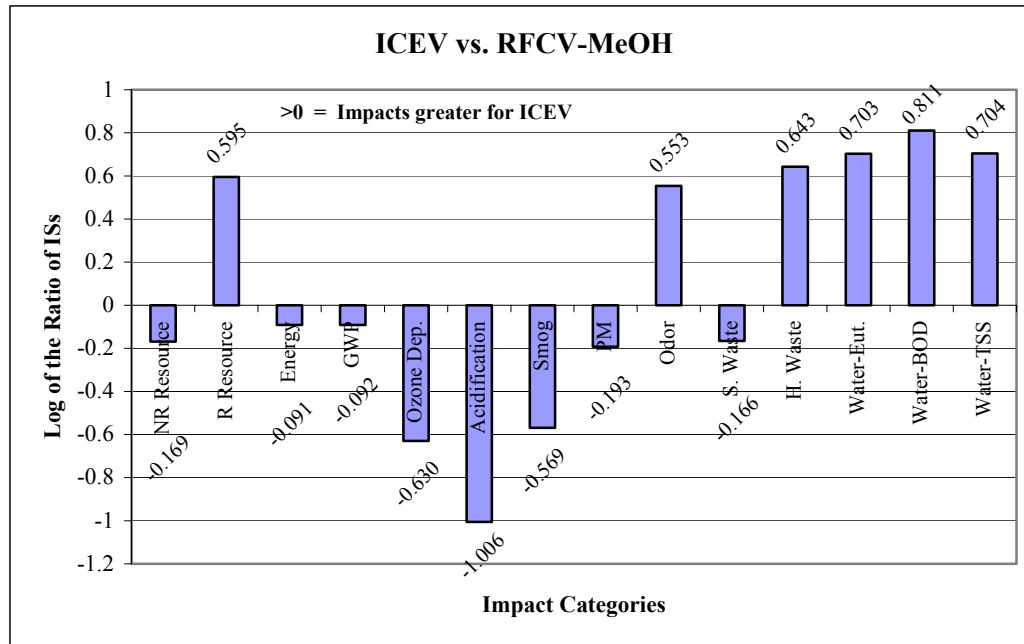


Figure 3.29. FCVs – ICEV vs. RFCV-MeOH Comparison

In the comparison of RFCV-MeOH to RFCV-gas (Figure 3.30), the overall results are very similar to the RFCV-MeOH to ICEV except that the ozone depletion impact category switches from being worse for the RFCV-MeOH to better. The main reason for this is that the ozone depletion score for the RFCV-gas (0.0028 lbs CFC-11 equivalents) is over four times worse than the ozone depletion score for the ICEV (0.00065 lbs CFC-11-equivalents).

The reductions in weight and platinum content of the RFCV-gas-LT improve the environmental profile considerably (Figure 3.31). On a percentage basis, all the scores except one decrease in the range of 7% (solid and hazardous waste landfill space and eutrophication) to 34% (ozone depletion), with most being between 13% and 20%. However, the extent of the difference is much more pronounced in the case of acidification (which decreased by 74%), due to the extent of reduction in platinum quantity in going to the long-term scenario (i.e., from about 181 grams to 20 grams). Platinum production results in the generation of sulfur oxides responsible for increased acidification potential.

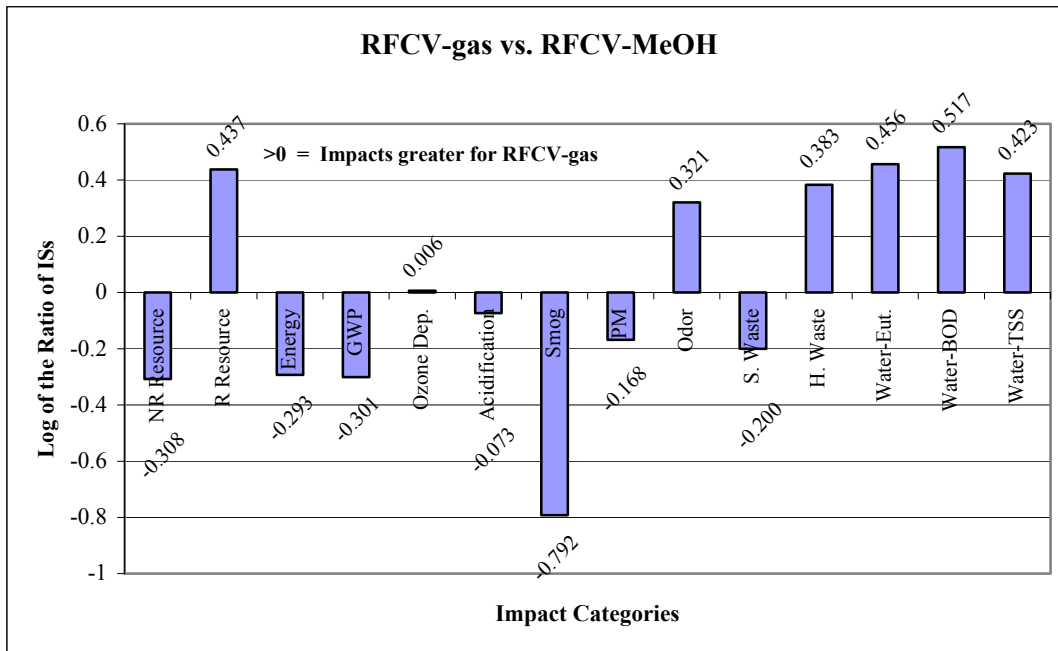


Figure 3.30. FCVs – RFCV Comparison – Gas vs. MeOH

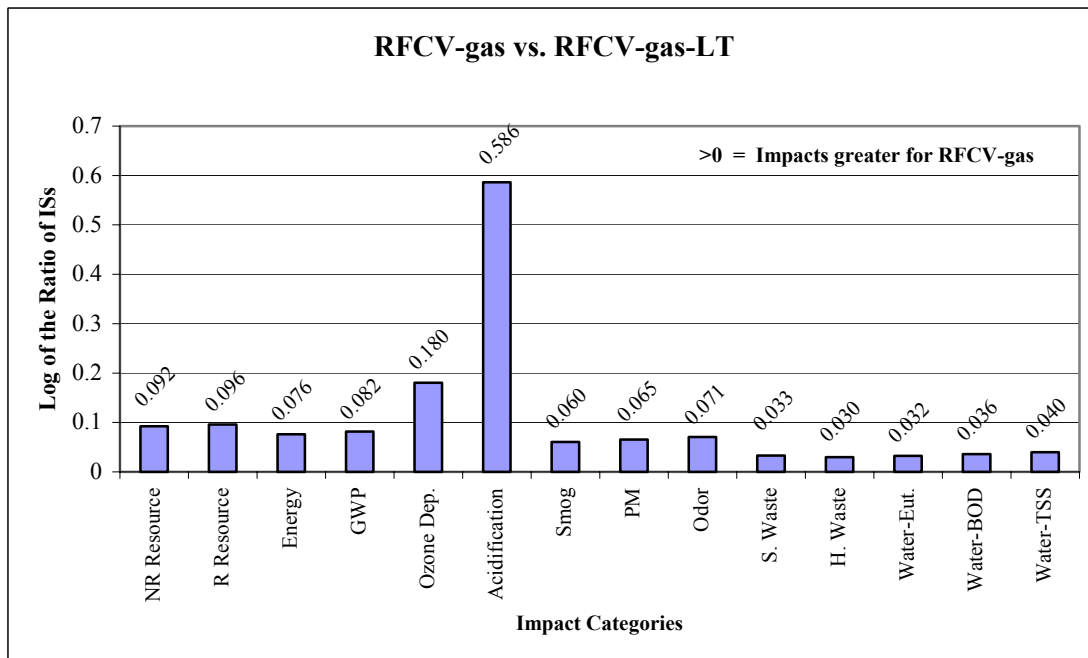


Figure 3.31. FCVs – Gas Comparison – gas vs. gas-LT

In each of the four focus graphs shown (Figures 3.32 to 3.35), the methanol FCV has the highest impacts. In the nonrenewable resource use category, the two life-cycle stages that show up on the bar chart are Fuel Production and E&MP. The ICEV is second to the methanol FCV in nonrenewable resource use impacts, with the RFCV-gas-LT having the lowest impacts.

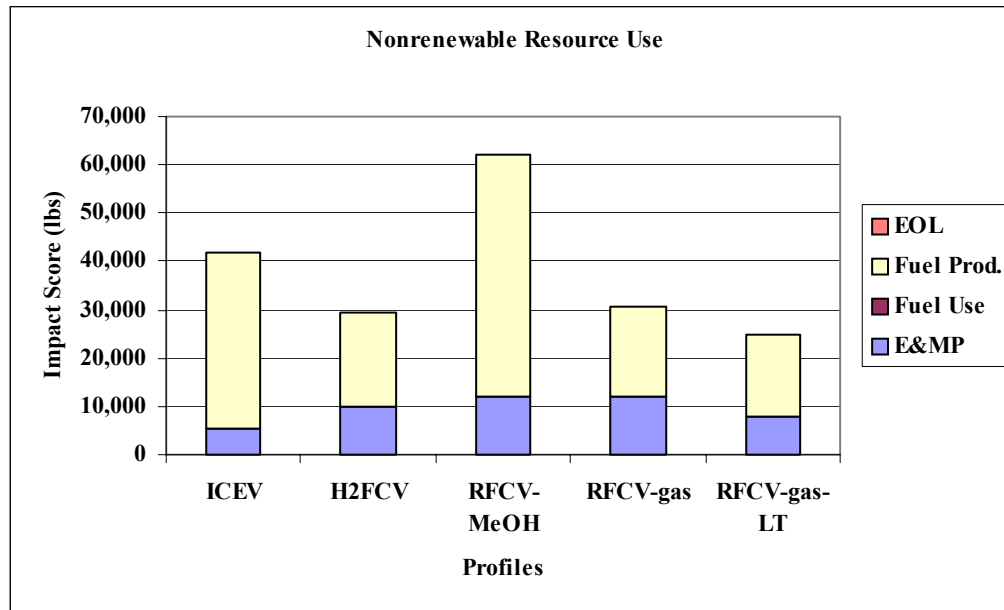


Figure 3.32. FCVs – Nonrenewable Resource Use

As may be observed from Table 3.21, fuel production in the Use stage tops the list for each of the five vehicles, accounting for as much as 84% of the nonrenewable resource impacts in the case of the ICEV. Platinum production in the E&MP stage accounts for 9-10% in the case of the H2FCV and RFCV-gas, but does not figure in the top three for either the RFCV-gas-LT, due to the considerably reduced use of platinum in the vehicle, or the methanol FCV, where it is overshadowed by methanol production.

The patterns of use are similar for the energy use impacts (Figure 3.33). Although fuel use now shows up as a significant contributor, which was previously non-existent in the nonrenewable resource use category, the balance between the ICEV and the methanol FCV remains about the same. The fuel use energy in the case of methanol is much lower than for the ICEV, but the production of methanol is much more energy intensive than gasoline.

The top contributor to Energy Use is Driving (or Fuel Use) in the case of the ICEV (77%), RFCV-gas-LT (68%), RFCV-gas (62%), as well as the hydrogen FCV (50%). For the methanol FCV, however, production of methanol turns out to be the top contributor (46%), followed by Driving (27%). (See Table 3.22.)

Nonrenewable Resource Use (lbs)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
ICEV	5,370.04	0	36,617.00	30.94	42,017.97
H2FCV	10,008.27	0	19,373.60	36.16	29,418.02
RFCV-MeOH	12,185.08	0	49,782.74	43.84	62,011.67
RFCV-gas	12,185.08	0	18,307.05	43.84	30,535.97
RFCV-gas-LT	7,777.80	0	16,879.12	37.40	24,694.32

Biggest Contributors	Life-cycle Stage	Process	Input	Score (lbs)	Percent of Total
ICEV – 1	Use	Gasoline Prod.	Petroleum	30,012.11	71.4%
ICEV – 2	Use	Gasoline Prod.	Natural gas	5,243.81	12.5%
ICEV – 3	E&MP	Steel Prod.	Iron ore	1,643.96	3.9%
H2FCV – 1	Use	Natural Gas Prod.	Natural gas	13,272.25	45.1%
H2FCV – 2	Use	Hydrogen Prod.	Natural gas	3,771.85	12.8%
H2FCV – 3	E&MP	Platinum Prod.	Coal	2,623.73	8.9%
RFCV-MeOH – 1	Use	Methanol Prod.	Natural gas	29,764.67	48.0%
RFCV-MeOH – 2	Use	Methanol Prod.	Coal	13,847.71	22.3%
RFCV-MeOH – 3	Use	Methanol Prod.	Petroleum	6,170.36	10.0%
RFCV-gas – 1	Use	Gasoline Prod.	Petroleum	15,004.86	49.1%
RFCV-gas – 2	E&MP	Platinum Prod.	Coal	3,015.78	9.9%
RFCV-gas – 3	Use	Gasoline Prod.	Natural gas	2,621.70	8.6%
RFCV-gas-LT – 1	Use	Gasoline Prod.	Petroleum	13,834.50	56.0%
RFCV-gas-LT – 2	Use	Gasoline Prod.	Natural gas	2,417.21	9.8%
RFCV-gas-LT – 3	E&MP	Steel Prod.	Iron ore	1,687.86	6.8%

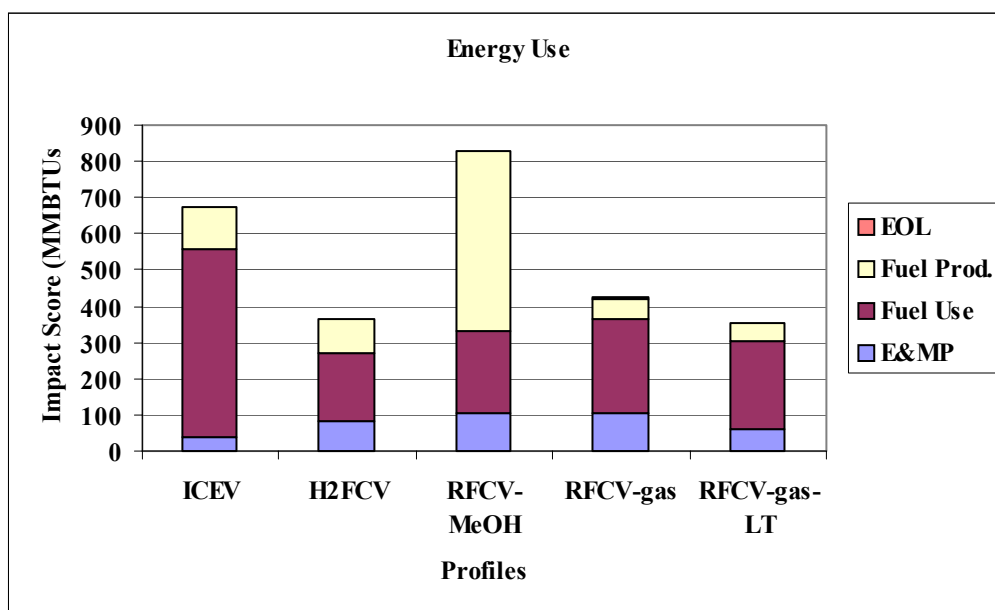


Figure 3.33. FCVs – Energy Use

Energy Use (MMBTUs)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
ICEV	36.79	520.53	114.88	0.16	672.35
H2FCV	85.18	183.04	94.51	0.19	362.92
RFCV-MeOH	104.72	226.31	498.15	0.23	829.40
RFCV-gas	104.72	260.24	57.43	0.23	422.62
RFCV-gas-LT	61.48	239.94	52.95	0.20	354.58
Biggest Contributors	Life-cycle Stage	Process	Input	Score (MMBTUs)	Percent of Total
ICEV - 1	Use	Driving	Gasoline	520.53	77.4%
ICEV - 2	Use	Gasoline Prod.	Natural gas	100.39	14.9%
ICEV - 3	Use	Gasoline Prod.	Coal	13.01	1.9%
H2FCV - 1	Use	Driving	Compressed hydrogen gas	183.04	50.4%
H2FCV - 2	Use	Hydrogen Prod.	Natural gas	72.16	19.9%
H2FCV - 3	E&MP	Platinum Prod.	Coal	25.20	6.9%
RFCV-MeOH - 1	Use	Methanol Prod.	Natural gas	246.31	29.7%
RFCV-MeOH - 2	Use	Driving	Methanol	226.31	27.3%
RFCV-MeOH - 3	Use	Methanol Prod.	Coal	133.02	16.0%
RFCV-gas - 1	Use	Driving	Gasoline	260.24	61.6%
RFCV-gas - 2	Use	Gasoline Prod.	Natural gas	50.19	11.9%
RFCV-gas - 3	E&MP	Platinum Prod.	Coal	28.97	6.9%
RFCV-gas-LT - 1	Use	Driving	Gasoline	239.94	67.7%
RFCV-gas-LT - 2	Use	Gasoline Prod.	Natural gas	46.27	13.1%
RFCV-gas-LT - 3	Use	Gasoline Prod.	Coal	6.00	1.7%

The global warming impact category in Figure 3.34 follows the same pattern as energy use, except in the case of the hydrogen FCV. The GWP impacts from Fuel Use for the hydrogen FCV are zero, because no emissions were associated with driving the hydrogen-based vehicle. All the GWP impacts for the hydrogen FCV, therefore, are either from the production of materials that go into the vehicle or the production of hydrogen required to fuel it. In fact, more than 50% of the GWP impacts for the hydrogen FCV are from emissions of global warming gases associated with hydrogen production.

The biggest contributors to global warming impacts for the ICEV and the gasoline FCVs (Table 3.23) are CO₂ emissions from Driving. For the methanol and hydrogen FCVs, the biggest contributor is Fuel Production. There are no emissions associated with driving the hydrogen FCV, and the global warming emissions from driving the methanol FCV are less than those of the other vehicles.

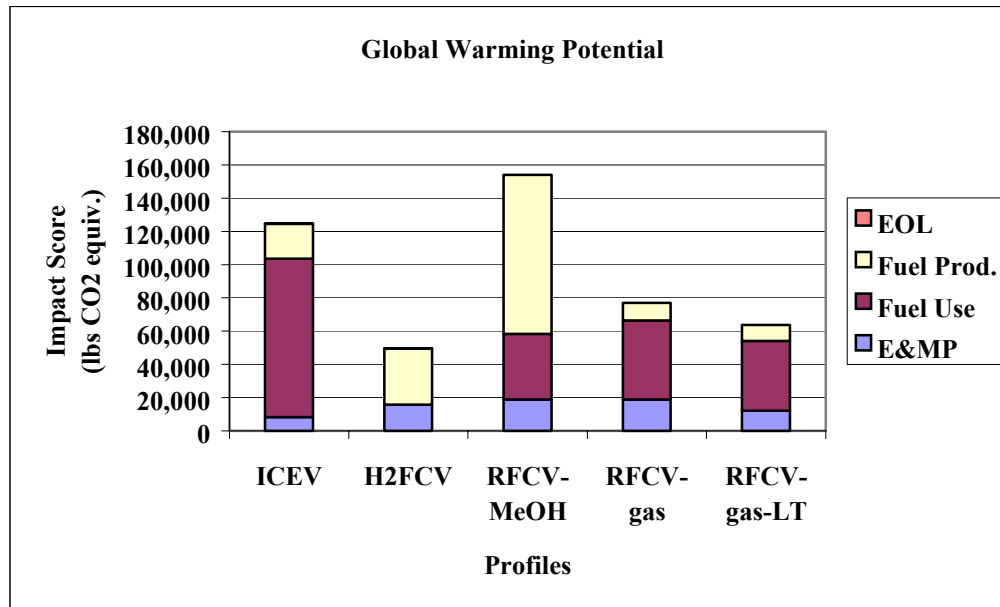


Figure 3.34. FCVs – Global Warming Potential

GWP (lbs CO ₂ equiv.)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
ICEV	8,317.39	95,281.47	21,019.42	69.78	124,688.05
H2FCV	15,694.17	0	33,807.57	80.48	49,582.22
RFCV-MeOH	18,772.21	39,534.14	95,618.36	96.47	154,021.18
RFCV-gas	18,772.21	47,633.49	10,508.87	96.47	77,011.05
RFCV-gas-LT	12,158.67	41,869.23	9,689.20	84.80	63,801.89

Biggest Contributors	Life-cycle Stage	Process	Output	Score (lbs CO ₂ equiv.)	Percent of Total
ICEV - 1	Use	Driving	CO ₂	95,209.24	76.4%
ICEV - 2	Use	Gasoline Prod.	CO ₂	18,605.08	14.9%
ICEV - 3	E&MP	Steel Prod.	CO ₂	3,186.26	2.6%
H2FCV - 1	Use	Hydrogen Prod.	CO ₂	19,384.89	39.1%
H2FCV - 2	Use	Natural Gas Prod.	CO ₂	5,160.82	10.4%
H2FCV - 3	E&MP	Platinum Prod.	CO ₂	5,135.98	10.4%
RFCV-MeOH - 1	Use	Methanol Prod.	CO ₂	85,283.46	55.4%
RFCV-MeOH - 2	Use	Driving	CO ₂	39,519.70	25.7%
RFCV-MeOH - 3	Use	Methanol Prod.	Methane	9,878.92	6.4%
RFCV-gas - 1	Use	Driving	CO ₂	47,619.04	61.8%
RFCV-gas - 2	Use	Gasoline Prod.	CO ₂	9,301.80	12.1%
RFCV-gas - 3	E&MP	Platinum Prod.	CO ₂	5,903.43	7.7%
RFCV-gas-LT - 1	Use	Driving	CO ₂	41,856.53	65.6%
RFCV-gas-LT - 2	Use	Gasoline Prod.	CO ₂	8,576.27	13.4%
RFCV-gas-LT - 3	E&MP	Steel Prod.	CO ₂	3,271.36	5.1%

An additional note should be made here about methanol production. With regard to the production of methanol via natural gas reforming, the data used in this study (DEAM data) appear to have higher values for the consumption of energy and nonrenewable resources, and the generation of global warming gases than other published literature. In an attempt to show the differences, the following table (Table 3.24) and information are presented. To show some of the differences, the data were compared to GREET data (Wang, 2000b) and to data from a report prepared by (S&T)² Consultants for Methanex Corporation ((S&T)² Consultants, Inc., 2000).

Table 3.24. Comparison of GWP Scores from Different Data Sources			
GWP (lbs CO₂ equiv.)	Use – Fuel Use	Use – Fuel Prod.	Total
This study's data			
ICEV	95,281	21,019	116,300
RFCV-MeOH	39,534	95,618	135,152
GREET data*			
ICEV	106,085	29,894	135,979
RFCV-MeOH	34,921	11,111	46,032
(S&T) ² data*			
ICEV	89,550	32,116	121,666
RFCV-MeOH	46,614	17,222	63,836

* Converted from units of grams/mile to lbs/life.

The above table shows that the ICEV's total GWP impact score from this study appears to be in agreement with the corresponding scores from the other two data sources. In the case of the methanol FCV, however, this study results in a much higher GWP score (about 2-3 times higher) than the other two. As stated previously, LCA results are highly dependent on the data used, as is clearly evident from the above comparison. The higher values observed in this study for the methanol FCV's impact scores in the energy use, nonrenewable resource use, and GWP categories are directly attributable to the methanol production data used in this study.

The Acidification impacts are clearly dominated by the E&MP stage (except in the case of the ICEV), as shown in Figure 3.35. The acidification impacts for the ICEV are much lower than for any other vehicle, with more than 50% coming from Fuel Production. For each of the FCVs, on the other hand, the bulk of the acidification impacts come from platinum production in the E&MP stage, contributing to the extent of 90% in the case of the hydrogen FCV.

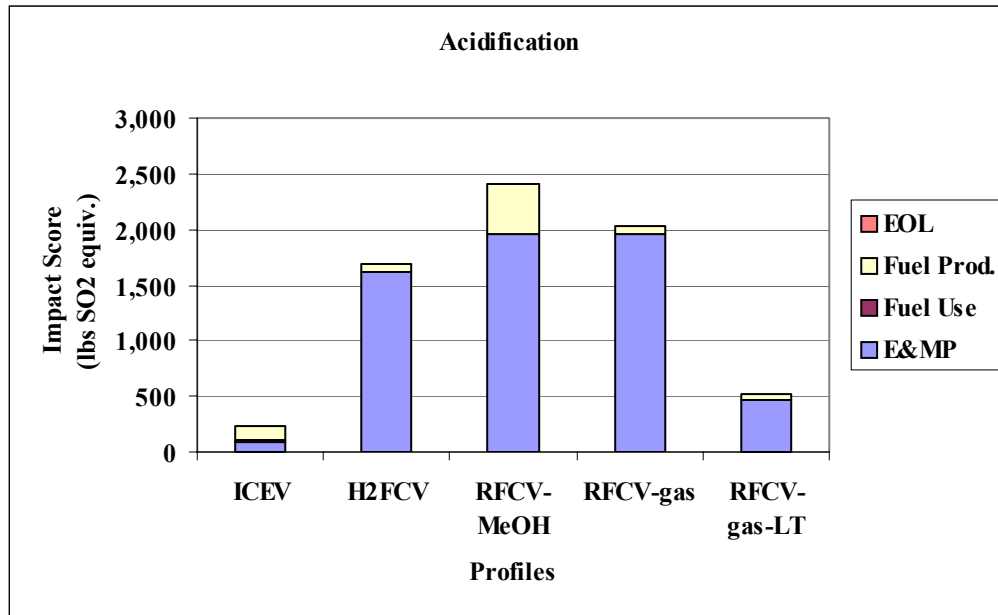


Figure 3.35. FCVs – Acidification

Acidification (lbs SO ₂ equiv.)	E&MP	Use – Fuel Use	Use – Fuel Prod.	EOL	Total
ICEV	83.91	15.74	136.60	0.52	236.76
H2FCV	1,621.61	0	66.22	0.60	1,688.43
RFCV-MeOH	1,954.40	3.70	440.42	0.72	2,399.24
RFCV-gas	1,954.40	3.70	68.29	0.72	2,027.12
RFCV-gas-LT	458.58	3.26	62.97	0.63	525.43

Biggest Contributors	Life-cycle Stage	Process	Output	Score (lbs SO ₂ equiv.)	Percent of Total
ICEV - 1	Use	Gasoline Prod.	SO ₂	89.86	38.0%
ICEV - 2	Use	Gasoline Prod.	NO ₂	43.83	18.5%
ICEV - 3	Use	Driving	NO _x	15.74	6.6%
H2FCV - 1	E&MP	Platinum Prod.	SO _x	1,520.89	90.1%
H2FCV - 2	Use	US electric grid	SO ₂	27.89	1.7%
H2FCV - 3	Use	Natural Gas Prod.	NO _x	25.47	1.5%
RFCV-MeOH - 1	E&MP	Platinum Prod.	SO _x	1,748.15	72.9%
RFCV-MeOH - 2	Use	Methanol Prod.	SO _x	284.89	11.9%
RFCV-MeOH - 3	Use	Methanol Prod.	NO _x	151.83	6.3%
RFCV-gas - 1	E&MP	Platinum Prod.	SO _x	1,748.15	86.2%
RFCV-gas - 2	E&MP	Nickel Prod.	SO ₂	83.09	4.1%
RFCV-gas - 3	Use	Gasoline Prod.	SO ₂	44.92	2.2%
RFCV-gas-LT - 1	E&MP	Platinum Prod.	SO _x	304.79	58.0%
RFCV-gas-LT - 2	E&MP	Nickel Prod.	SO ₂	52.27	9.9%
RFCV-gas-LT - 3	Use	Gasoline Prod.	SO ₂	41.42	7.9%

The top acidification contributor to each of the three FCVs is platinum production, as mentioned earlier. Nickel production comes in as number two for the gasoline FCVs. Nickel is used in the fuel reformer as nickel catalyst, and is also a constituent of stainless steel, of which it comprises about 5% by mass. Another contributor is fuel production in each case. Further details may be found in Table 3.25.

3.6 LCA CONCLUSIONS AND RECOMMENDATIONS

This section summarizes the key findings and conclusions of the life-cycle assessments and makes recommendations for future work that would build upon and add further value to these assessments.

In the Exterior Body Panels assessment, CFRP has the lowest environmental impact scores in 9 out of 14 impact categories. This is mainly due to the fact that CFRP has the maximum weight reduction potential of all the materials evaluated (about 60% over steel), resulting in a much smaller quantity of material needed. In the remaining five impact categories, GFRP has the lowest scores in ozone depletion and particulates, while aluminum has the least impact in smog formation, and in two water quality impacts (eutrophication and total suspended solids).

The upstream processes involved in the production of automotive wrought aluminum (89% virgin, 11% recycled) considered in this assessment increase its impacts significantly as compared to the other lightweighting materials. The aluminum production inventory included data that was based on a hydropower-heavy electric grid for the process electricity; using a U.S.-average grid would further increase aluminum's environmental "footprint". However, the environmental impacts of aluminum in automobile bodies can be greatly reduced by using a much larger quantity of recycled aluminum. This is possible if wrought aluminum is segregated by alloy, and alloying and trace element composition is carefully controlled (as is already done in the manufacture of virgin wrought aluminum) (Snedeker 2001).

Though UltraLight steel was not included as a potential candidate material in this assessment, it is certainly likely to be a major contender in the competition to replace conventional steel, from an environmental perspective. It is recommended that another study be conducted to specifically look into the life-cycle environmental impacts of UltraLight steel auto body (ULSAB) panels or UltraLight steel auto closures (ULSAC).

It is also recommended that part repairability should be examined more closely, as the need to completely replace (rather than repair a part) could significantly increase the life-cycle impacts of any material. It is already known that, in the majority of crash cases, steel and aluminum body panels can be repaired and repainted (depending on the extent of damage sustained by the panel in a crash). What is not known is the extent of damage that a CFRP or GFRP part can sustain before becoming unrepairable. Having to replace CFRP or GFRP parts may overshadow their environmental advantages as compared to repairing steel or aluminum.

This evaluation did not fully assess and compare toxic chemical impacts among the materials. It is known that toxic gases are released during the production of carbon fiber. However, the quantity and type of release can vary depending on the polymer matrix the carbon fiber is to be used with. While this study did quantify the releases of toxic air emissions from carbon fiber production, it was not possible to compare them to those of other materials because similar data were not available for releases of toxic chemicals during production of those other materials. Any future analysis of these materials should include the toxicity impact categories to provide further insight into the effects of the use of such chemicals.

The previous discussion on the environmental preferability of CFRP was based on weight reductions achieved by replacing only the steel closure panels (weighing 220 lbs) with different lightweight materials. However, the monocoque analysis results show that more CFRP may not necessarily be better. By replacing a larger mass of steel with more CFRP, steel becomes environmentally preferable in 3 impact categories – ozone depletion, acidification, and smog formation. In all other categories, CFRP still has the lowest scores. Though the difference is minimal in the case of ozone depletion and acidification, the turnaround from the original results goes to show that certain environmental impacts might become significant when larger quantities of carbon fiber-based composites are used, due to the manufacturing impacts of CFRP.

Additionally, it should be noted that carbon fiber is being looked at for many different applications in automobiles, such as hydrogen tanks (SAE 2001), windshield wiper pillars (SAE 2000b), heat shields for brake cooling (SAE 2000), seats (SAE 2001) and front and rear bumpers (SAE 2001). As more potential weight savings are recognized through the use of carbon fiber throughout the automobile, and as the cost of manufacturing carbon fiber continues to fall and become more competitive with the other materials considered here, further analysis is warranted to find the associated environmental trade-offs.

It is recommended that in the future:

- An LCA be undertaken to look at the potential environmental savings that could be realized by increasing the recycled content of automotive wrought aluminum;
- Ultralight steel be included in future comparative LCAs to see what trade-offs are involved;
- The impacts from part repairability and replacability be included in material-based LCAs; and
- LCAs be undertaken to look at the other materials that carbon fiber and CFRP might replace as carbon fiber becomes more attractive as a lightweighting material.

The HEV Battery assessment revealed that the “current performance” LiIon battery’s environmental profile has lower impact scores than its NiMH counterpart in almost all the impact categories evaluated (12 out of 14), in spite of the fact that 3.33 LiIon batteries are used over the life of the vehicle, versus 2 NiMH batteries. One impact category in which NiMH scores lower than LiIon is solid waste landfill space, where the major contributor to LiIon’s E&MP stage is “slags and ash” from the production of aluminum, which was used in the current collectors (~2 kg) and cell containers (~7.5 kg) and assumed to be used in the battery casing (~1.7 kg).

In going to the “long-term” scenario, where the NiMH battery mass was reduced to become equal to that of the LiIon (40 kg) and meet the PNGV goals for HEV power-assist battery weight, and both batteries were assumed to last 10 years, the overall conclusion remains unchanged: LiIon is still environmentally superior to NiMH, based on the assumptions used in this analysis. LiIon-LT has lower scores in the same 12 categories, as compared to NiMH-LT.

The projected improvements in both batteries in the “long-term” scenario result in significant improvements in their overall environmental performance, as is observed in each of the impact categories evaluated. As an example, reductions of 40-50% are realized in the GWP impacts of both batteries, in going from the current performance scenario to the long-term.

There are other promising battery technologies currently under development for automotive use, such as lithium polymer, that warrant further assessment. Batteries are also being developed for use in hybrid vehicles that use fuel cell systems instead of internal combustion engines. In addition, to meet the increasing demand on vehicles’ electrical systems, because of increased computerized control, provision of electronic security systems, growing emphasis on safety, and enhanced levels of on-board comfort and entertainment, the use of higher voltage systems is being contemplated. Batteries that can provide 36 or 42 volts are being developed, to either replace the existing 12-volt SLI battery, or as an auxiliary power source.

Thus, for future work, it is recommended that:

- Lithium polymer batteries be included in a comparison with LiIon and NiMH, with the analysis based more on primary data (from actual manufacturers) than secondary data;
- HEV batteries being developed by some auto manufacturers for use in fuel cell hybrid vehicles be evaluated for their environmental performance, compared either to conventional vehicles, or to ICE-based HEVs; and
- A study be conducted to see how the battery (SLI and hybrid) needs might change in going from a 12-volt to a 36 or 42-volt system for auxiliary power (and see how the batteries’ environmental performance is affected by such a change).

In the Fuel Cell Vehicle assessment, the hydrogen FCV has the lowest impact scores in 9 of the 14 impact categories evaluated, mainly because of zero air emissions from driving and the lowest total lifetime quantity (by mass) of fuel (hydrogen) required during use. In 3 of the remaining 5 categories, namely, nonrenewable resource use, energy use, and smog formation, the long-term reformed gasoline FCV (RFCV-gas-LT) is the least environmentally burdensome. The ICEV has the lowest scores in only 2 impact categories – ozone depletion and acidification. The ozone depletion impacts are higher for the FCVs because of the releases of Halon-1301 associated with the production of increased quantities of copper, platinum, nickel, and ABS, while acidification is higher for the FCVs primarily due to the much higher quantities of platinum used in them.

Between the two FCVs with reformers (gasoline- and methanol-based), the only differences are in the Use stage (which includes Fuel Use and Fuel Production). The high impacts for the methanol FCV are due to the larger quantity of methanol required, given its energy content which is approximately half that of gasoline. Consequently, almost twice the quantity by mass

of methanol is needed versus gasoline. Moreover, methanol production (based on the data used in this study) is also a big contributor to the methanol FCV's higher impacts, including global warming and acidification potential.

In the current technology scenario, the fuel cell + reformer system contributes significantly to the overall vehicle weight, with a combined mass of more than 600 kg. As technology advances and contributes to reducing the system weight, which will help meet the PNGV target for specific power of 250 W/kg, the impacts from FCVs will be considerably reduced, as is evident from the impact scores obtained for the long-term case (RFCV-gas-LT).

The platinum used in the RFCV-gas and RFCV-MeOH is responsible for 80-90% of the acidification impacts for these vehicles (about 200 g of platinum are contained in the current-technology FCVs and approximately 20 g in the RFCV-gas-LT, as compared to 1.5 g in the ICEV). The primary contributor to acidification impacts is the emission of sulfur oxides (SO_x).

In this assessment, only the reformed gasoline FCV profile was modified to create the RFCV-gas-LT profile to show the effects of weight reduction and lowered platinum content in the long term. However, if similar changes were to be made to the H₂FCV, it would only further decrease the hydrogen FCVs scores, most likely making it the overall leader looking at across-the-board differences compared to the ICEV's scores.

Before FCVs are put on the market, however, considerable work needs to be done on reducing the cost of fuel cell systems, which is currently very high. While the cost of a fuel cell system with reformer is presently estimated to lie in the range of \$200-300 per kW, the PNGV target is \$50/kW (Arthur D. Little, Inc. 2000). Work is already underway to reduce the overall weight of the system, bring down the platinum content to the target value of 0.2 g per peak kW, and make improvements that would result in lower cost components, such as those in the membrane-electrode assembly (MEA), which currently contributes to a large part of the stack cost.

It is recommended that in the future:

- Various options for on-board hydrogen storage and fuel tank materials, which were excluded from this assessment, be examined; and
- Since this assessment assumed that each propulsion system would last the life of the vehicle, further work is necessary to determine the expected life, serviceability, and repairability of individual fuel cell system components, as their replacement frequency could greatly affect the environmental impacts.

Lastly, common to all three LCAs undertaken in this project, it is recommended that the generation of toxics be included in future assessments. In this effort, the toxics information provided by the secondary data used was inconsistent, and not enough time was allotted in the project to complete the toxics information. Future work should ensure that the toxics impacts are incorporated into the LCA to bring more of the environmental and human health effects into the comparisons.

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APPENDIX A

REFERENCES RELATING TO THE CONSTITUENTS OF ASR

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APPENDIX B

GLOSSARY OF ACRONYMS AND TECHNICAL TERMS USED IN THE LCA

3XV	New generation vehicle, three times more fuel efficient (based on miles per gallon) than the 1994 baseline vehicle (a Taurus-class, 4-door mid-sized sedan)
Ancillary	With regard to materials inputted into a process, <i>ancillary</i> materials are those materials that do not become part of the product, but are needed to make the process work (see also <i>primary</i>).
ANL	Argonne National Laboratory
AP	Acidification Potential
ASR	Automobile Shredder Residue
BIW	Body-in-White
BOD	Biochemical Oxygen Demand
BOF	Basic Oxygen Furnace
BTU	British Thermal Unit
BUWAL	Bundesamt für Umwelt, Wald und Landschaft (German name for the Swiss Agency FOEFL)
CCPCT	Center for Clean Products and Clean Technologies
CF	Carbon Fiber
CFC	Chlorofluorocarbon
CFC11	Chlorofluorocarbon11 (also “trichlorofluoromethane”)
CFC11-eq.	Chlorofluorocarbon11 equivalent
CFRP	Carbon Fiber-Reinforced Polymer
CH ₄	Methane
CHEMS	Chemical Hazard Evaluation and Management System
CO	Carbon Monoxide
CO ₂	Carbon Dioxide
CO ₂ -eq.	CO ₂ equivalents
Cradle-to-gate	A term that refers to the combination of the Extraction, Materials Processing, and Manufacturing life-cycle stages.
Cradle-to-grave	A term that refers to the combination of all life-cycle stages.
CTG	Cradle-to-gate
DEAM	Data for Environmental Analysis and Management
DEC	Diethylene Chloride
DOE	Department of Energy
Downstream	Sometimes used to refer specifically to the End-of-Life life-cycle stage. Also is a referencing term that refers to the life-cycle stages that occur after the life-cycle stage of interest. If reviewing Manufacturing stage information, <i>downstream</i> would refer to the Use and EOL life-cycle stages (see also <i>upstream</i>).
E&MP	Extraction and Materials Processing
EAF	Electric Arc Furnace
EC	Ethylene Chloride

Environmental Profile	A term used in LCA that typically refers to the cradle-to-grave inventory or impacts for a particular product as relates to the product's functional and service units.
EOL	End-of-Life
EPA	Environmental Protection Agency
ETH	Eidgenössische Technische Hochschule Zürich (Swiss for the "Swiss Federal Institute of Technology Zurich")
FCV	Fuel Cell Vehicle
FOEFL	Federal Office of Environment, Forests and Landscape (Swiss)
ft ³	Cubic Feet
Functional unit	Typically in LCA, the quantity (e.g., number of paper cup[s]) or mass (mass of the paper cup[s]) of a product traversing the entire life-cycle (applies to all life-cycle stages – cradle-to-grave). This value is used as a starting point from which to develop the LCI for an environmental profile.
H2FCV	Direct Hydrogen Fuel Cell Vehicle
HEV	Hybrid Electric Vehicle
GFRP	Glass Fiber-Reinforced Polymer
GREET	Greenhouse gases, Regulated Emissions, and Energy use in Transportation
GWP	Global Warming Potential
kg	Kilogram
ICE	Internal Combustion Engine
ICEV	Internal Combustion Engine Vehicle
Impact score	LCA impact results are expressed as impact scores in various units of measurement, depending on the impact category.
IS	Impact Score
lbs	Pounds
LCA	Life-Cycle Assessment
LCD	Life-Cycle Design
LCI	Life-Cycle Inventory
LCIA	Life-Cycle Impact Assessment
LiIon	Lithium Ion
LT	Long-term
m ³	Cubic Meters
MEA	Membrane Electrode Assembly
MeOH	Methanol
MMBTU	One million BTU
mpg	Miles per Gallon
mpgge	Miles per Gallon Gasoline Equivalent
NFMS	Nonferrous Metal Separation
NiMH	Nickel Metal Hydride
NMHC	Non Methane Hydrocarbon
NMOG	Non Methane Organic Gas
NMP	N-methylpyrrolidone

NO ₂	Nitrogen Dioxide
NO _x	Nitrogen Oxides
OAAT	Office of Advanced Automotive Technologies
ORNL	Oak Ridge National Laboratory
ODP	Ozone Depletion Potential
OTV	Odor Threshold Value
PbA	Lead-Acid
PEM	Proton Exchange Membrane
PET	Polyethylene Terephthalate
Phosphate-eq.	Phosphate equivalents
PGM	Platinum Group Metal
PM	Particulate Matter
PNGV	Partnership for a New Generation of Vehicles
POCP	Photochemical Oxidant Creation Potential
Primary	With regard to material inputs to a process, <i>primary</i> materials are those that become part of the product (see also <i>ancillary</i>). With regard to data in general, <i>primary</i> data refers to first-hand data that typically came from a specific company or manufacturing facility (see also <i>secondary</i>).
Profile	See <i>Environmental profile</i>
PVC	Polyvinyl Chloride
PVDF	Polyvinylidene Fluoride
PWB	Printed Wiring Board
RCRA	Resource Conservation and Recovery Act
RFCV	Reformed Fuel Cell Vehicle
Score	See <i>Impact score</i>
Secondary	With regard to data in general, refers to data that came from a nonspecific, generic source (e.g., LCA databases; see also <i>primary</i>).
Service unit	Typically in LCA, the value chosen to represent the lifespan of a product. For cars this may be miles, for a toaster it may be pieces of toast toasted. This value works in concert with the product's functional unit to build a product's environmental profile (starting with the LCI) to define how that product is used during the Use life-cycle stage (applies only to the Use life-cycle stage).
SLI	Starting Lighting Ignition
SO ₂	Sulfur Dioxide
SO ₂ -eq.	SO ₂ equivalents
SO _x	Sulfur Oxides
SULEV	Super Ultra Low Emission Vehicle
TEAM	Tool for Environmental Analysis and Management
TSS	Total Suspended Solids
ULSAB	UltraLight Steel Auto Body
ULSAC	UltraLight Steel Auto Closures

Upstream	Sometimes used to refer specifically to the Extraction and Materials Processing life-cycle stages. Also is a referencing term that refers to the life-cycle stages that occur before the life-cycle stage of interest. If reviewing Use stage information, <i>upstream</i> would refer to the cradle-to-gate life-cycle stages (see also <i>downstream</i>).
VOC	Volatile Organic Compound

APPENDIX C

IMPACT CATEGORY DEFINITIONS

In this appendix is presented a brief description of each impact category included in this assessment.

Nonrenewable Resource Use/Depletion – This refers to the extraction of nonrenewable (stock) resources such as fossil fuels, wood or minerals. Depletion of materials results from the extraction of nonrenewable resources. Nonrenewable resource impact scores are based on the amount of primary, ancillary, and fuel inputs of nonrenewable materials, and are calculated in units of mass.

Renewable Resource Use – This refers to the use of renewable (flow) resources such as water or biological resources (i.e., forest products, other plants or animals). Depletion of materials, which results from the extraction of renewable resources faster than they are renewed, may occur but is not specifically modeled or identified in the renewable resource impact score. Renewable resource impact scores are based on process inputs in the LCI: primary, ancillary, water, and fuel inputs of renewable materials. The scores are calculated in units of mass.

Energy Use – General energy consumption is used as an indicator of potential environmental impacts from the entire energy generation cycle. Energy use impact scores are based on *fuel* and *electricity* inputs. Impact scores are based on the overall inventory amount of energy use, converted to common units of BTU or MJ.

Global Warming Potential – The buildup of CO₂ and other greenhouse gases in the atmosphere may generate a “greenhouse effect” of rising temperature and climate change. Global warming potential (GWP) refers to the release of CO₂ and other chemicals that may contribute to this effect. The impact scores for global warming (global climate change) effects are calculated using the mass of a global warming gas released to air modified by a GWP equivalency factor. The GWP equivalency factor is an estimate of a chemical’s atmospheric lifetime and radiative forcing that may contribute to global climate change compared to the reference chemical CO₂. Therefore, GWPs are in units of lbs-CO₂ equivalents. GWPs have been published for known global warming chemicals within differing time horizons. The 100-year time horizon is the chosen time horizon for the “CHEMS, plus” methodology. Although LCA does not have a temporal component of the inventory, these impacts are expected to be far enough into the future that releases occurring throughout the life cycle of a product would be within the 100-year time frame.

Stratospheric Ozone Depletion – The stratospheric ozone layer filters out harmful ultraviolet radiation from the sun. Chemicals such as chlorofluorocarbons, if released to the atmosphere, may result in ozone-destroying chemical reactions. Stratospheric ozone depletion refers to the release of chemicals that may contribute to this effect. Impact scores will be based on the identity and amount of ozone depleting chemicals released to air. Currently identified ozone depleting chemicals are those with ozone depletion potentials (ODPs), which measure the change in the ozone column in the equilibrium state of a substance compared to the reference chemical chlorofluorocarbon (CFC)-11. Thus, ODPs are in units of lbs-CFC11 equivalents.

Acidification – This refers to the release of chemicals that may contribute to the formation of acid precipitation. Impact score calculation is based on the amount of chemical released to air that would cause acidification and the acidification potentials (APs) equivalency factor for that chemical. The AP equivalency factor is the number of hydrogen ions that can theoretically be formed per mass unit of the pollutant being released compared to sulfur dioxide (SO₂). Therefore, the APs are in units of lbs-SO₂ equivalents.

Photochemical Smog Formation – Photochemical oxidants are produced in the atmosphere from sunlight reacting with hydrocarbons and nitrogen oxides. At higher concentrations they may cause or aggravate health problems, plant toxicity, and deterioration of certain materials. Photochemical oxidant creation potential (POCP) refers to the release of chemicals that may contribute to this effect. The POCP is based on simulated trajectories of tropospheric ozone production with and without volatile organic compounds (VOCs) present. The POCP is a measure of a specific chemical compared to the reference chemical ethylene, thus the POCP is in units of lbs-ethylene equivalents. Impact scores are based on the identity and amount of chemicals with POCP equivalency factors released to the air and the chemical-specific equivalency factor.

Particulates – This refers to the release and build-up of particulate matter primarily from combustion processes. Impact scores are based on the mass of particulate release amounts to the air.

Odor (aesthetics) – This refers to impacts that detract from the quality of the local environment from a human perspective. Impact scores are based on the identity and amount of odor-causing chemicals released to the air and their odor threshold value (OTV). The OTV is a concentration which, when divided into the mass output of a chemical results in an impact score in units of volume of malodorous air.

Solid Waste Landfill Space – This pertains to the use of suitable and designated landfill space as a natural resource and includes municipal waste or construction debris landfill space. A solid waste landfill impact score is calculated using solid waste outputs disposed of in a solid waste (nonhazardous) landfill. Impact score calculation is based on the volume of solid waste, and waste densities are used to convert from mass-based to volume-based values.

Hazardous Waste Landfill Space – This pertains to the use of suitable and designated landfill space as a natural resource and includes hazardous waste as designated and regulated under the Resource Conservation and Recovery Act (RCRA). Impact score calculation is based on the volume of hazardous waste, and waste densities are used to convert from mass-based to volume-based values.

Eutrophication – Eutrophication (nutrient enrichment) impacts to water are based on the identity and concentrations of eutrophication chemicals either directly released to surface water or released to surface water after treatment. Equivalency factors for eutrophication have been developed assuming nitrogen (N) and phosphorus (P) are the two major limiting nutrients of importance to eutrophication. Therefore, the partial equivalencies are based on the

ratio of N to P in the average composition of algae ($C_{106}H_{263}O_{110}N_{16}P$) compared to the reference compound phosphate (PO_4^{3-}). Thus, the factors are in units of lbs-phosphate equivalents.

Water quality - BOD – This is based on the identity and quantity (mass) of biological oxygen demand (BOD) as a wastewater/water quality parameter as released to a surface water.

Water quality - TSS – This is based on the identity and quantity (mass) of total suspended solids (TSS) as a wastewater/water quality parameter as released to a surface water.

APPENDIX D

A CLOSER LOOK AT THE DATA - PARTICULARS AND ENERGY INTENSITY DATA

In this appendix, we present information on the data itself, in an effort to highlight the dependence on data quality in LCAs.

Table D.1. Information on the Data Used in the Assessments

Column Information Key:							
a - Were notes of any kind provided with the data? ['y' - yes; '-' - no]							
b - Need an electric grid to complete inventory? ['y' - yes; '-' - no]							
c - Radioactive releases included in the inventory? ['y' - yes, many individual entries included; '2' - only unspecified categories for each media (air + water) included; '-' - no]							
d - Transportation included in the inventory? ['y' - yes; '-' - nothing stated about transport]							
e - Solid waste information presentation: 'a' - as expected (e.g., haz. waste, slags); 'u' - "ultimate fate characterization" (e.g., carbon, cadmium and nitrogen as solid wastes); '-' - none included							
DEAM Data	Source	Date	a	b	c	d	e
ABS Prod.	Boustead	97	y	-	-	-	a
Acrylonitrile Prod.	Boustead	97	y	-	-	-	a
Alum. ingot - 100% virgin	Energetics-DOE	97	y	-	2	-	a
Alum. ingot - 100% recycled	Energetics-DOE	97	y	-	2	-	a
Aluminum Oxide Prod.	FOEFL, BUWAL	91	y	y	-	-	a
Automotive Wrought Aluminum	Energetics-DOE	97	y	-	2	-	a
Carbon Black Prod.	ETH, Chimie Industrielle	93-96	y	y	y	-	u
Carbon Dioxide Prod.	Procédés de pétrochimie	85	y	y	-	-	u
Cobalt Prod.	IDEMAT	95	y	-	-	-	a
Epoxy Resin Prod. (liquid)	Boustead	97	y	-	-	-	a
Ethylene Glycol Prod.	Procédés de pétrochimie	85	y	y	-	-	a
Ethylene Oxide Prod.	Procédés de pétrochimie	85	y	y	-	-	a
Fuel Oil #2	Seven sources cited	83-93	y	-	2	y	a
Glass Bottle Prod. (colorless)	BUWAL 250	94-98	y	-	-	-	a
Graphite Prod.	None Provided	<---	y	y	-	-	-
Hydrogen Prod.-from NG cracking	None Provided	<---	y	y	-	-	a
Iron Ore Mining	FOEFL, BUWAL	75-80	y	-	2	-	a
Magnesium Prod.	IDEMAT	95	y	-	-	-	-
Methanol Prod.	Four sources cited	91-94	y	-	-	-	a
Nickel Prod.	ETH CD-ROM	91	y	-	-	y	u
PET Resin Prod.	Boustead	98	y	-	-	-	a
Platinum Prod.	ETH CD-ROM	96	y	-	-	y	u
Polyethylene Prod. (all grades)	PWMI	93	y	-	-	-	a
Potassium Hydroxide Prod.	FOEFL, BUWAL	91	y	y	y	-	u
PVC Prod.	Boustead	97	y	-	-	-	a
Steel Prod., hot-rolled (BOF)	FOEFL, BUWAL, other	75-90	y	-	2	-	a
Sulfuric Acid Prod.	Fertilizer Inst., EPA	94; 98	y	-	2	-	a
Titanium Prod.	IDEMAT	95	y	-	-	y	a
Vanadium Prod.	IDEMAT	95	y	-	-	y	a
Vinyl Acetate Prod.	BUWAL n°232	94	y	y	y	-	u
Zinc Prod.	ETH CD-ROM	96	y	-	-	y	u

In Table D.1, some of the data utilized in these assessments are listed along side information on their source, applicable date and other specifics. The specifics are individual pieces of information about each dataset that further characterize that dataset through comparison to all datasets. Note that all of the secondary data used in these assessments came from Ecobalance's "DEAM" database.

As can be seen just from the data shown (which span all three assessments), there is significant source and temporal variations in the data. These variations create reduced consistency and thus quality in the assessments where the data is used. Additionally, Table D.1 shows that some datasets include certain data specifics while others leave that information out (see the provided key for a description of the abbreviations used).

In LCA, consistency is sought in data used in assessments to improve data quality. As LCA practitioners, international governments, non-profit organizations and environmental defense groups work toward bringing greater consistency to LCA data, the value of LCA as a tool for environmental evaluation will improve. There are currently efforts on-going worldwide to "homogenize" life-cycle inventory data so that some consistency can be expected by life-cycle practitioners, and so that the quality of assessments that use that data can be improved. Efforts include those such as the U.S. EPA's Electricity Database Workshop organized to work toward establishing more consistent electricity data in LCIs (Curran 2001).

Other data information presented here is a listing of the energy intensities of materials used in these analyses. Table D.2 reveals the energy intensity (EI) values for most of the materials used in these assessments. The "Total EI" values were calculated by dividing the supplied "total primary energy" by the amount of product being produced in each dataset (which varied from less than 1 kg to 1,000 kg). "Total supplied energy" refers to the total, cumulative energy inputted into a material's extraction and processing up to the point of the final material (for example, hot-rolled BOF steel or aluminum ingot). This value includes the fuel and feedstock values. By including the feedstock energy, the value increases the fossil fuel-based materials' (petroleum, coal and natural gas) energy intensity; the non-fossil fuel-based materials' energy intensities are not changed, as little to no feedstock energy is associated with these materials. The "Fuel EI" values show the energy intensity value created by dividing only the fuel portion of the total supplied energy by the amount of product produced.

It is expected that the platinum group metals (PGMs - platinum, palladium, rhodium, ruthenium, iridium and osmium) would be at the top of such a list as these precious metals require extraordinary amounts of extraction and refining to produce quality materials in the ounce range.

Table D.2. Energy Intensity Data		
DEAM Data (Process)	Energy Intensity (EI) Values	
	Fuel EI (MJ/kg)	Total EI (MJ/kg)
Rhodium Prod.	362,295	362,295
Platinum Prod.	185,697	185,697
Silver Prod.	1,223	1,223
Titanium Prod.	566	566
Vanadium Prod.	415	415
Tungsten Prod.	329	329
Magnesium Prod.	269	294
Nickel Prod.	251	255
Chromium Prod.	222	233
Alum. ingot – 100% virgin	213	241
Tin Prod.	204	206
Automotive Wrought Aluminum	191	215
Cobalt Prod.	130	130
EPDM Prod.	92	151
Epoxy Resin Prod. (liquid)	91	130
Polyamide 6,6 (Nylon 6,6)	88	133
Ferrite Mfg. - EB	84	93
PMMA Sheet Prod.	84	121
Copper Ingot Prod.	74	74
Polycarbonate Prod. (PC)	71	106
Manganese Prod.	70	70
Polyurethane Foam Prod., Flexible	64	96
Zinc Prod.	58	59
Methanol Prod.	52	80
Ethylene Glycol Prod.	45	74
Paper Prod., Bleached	44	60
Acrylonitrile Prod.	38	79
Acetone Prod.	37	75
PET Resin Prod.	36	73
ABS Prod.	34	76
Polystyrene, high impact (HIPS)	34	77
PVC Prod.	32	61
HDPE Prod.	31	75
Polypropylene Prod. (PP)	30	75
Polyester Resin Prod. - EB	26	49
Lead Prod. (50% recycled)	19	20
PE Prod. (all grades)	18	79
Vinyl Acetate Prod.	17	47
Aluminum Oxide Prod.	13	13
Steel Prod., hot-rolled (EAF)	13	14
Steel Prod., hot-rolled (BOF)	13	27
Gasoline Prod.	12	58
Natural Gas Prod.	11	64
Alum. ingot – 100% recycled	10	10

Table D.2. Energy Intensity Data (continued)		
DEAM Data (Process)	Energy Intensity (EI) Values	
	Fuel EI (MJ/kg)	Total EI (MJ/kg)
Potassium Hydroxide Prod.	8	8
LPG Prod.	8	52
Lubricant Prod.	8	52
Diesel Fuel Prod.	7	52
Fuel Oil #2	7	52
Glass Bottle Prod. (colorless)	7	7
Carbon Black Prod.	7	92
Ethylene Oxide Prod.	6	45
Fuel Oil #6	5	48
Petroleum Coke Prod.	5	48
Carbon Dioxide Prod.	2	28
Sulfuric Acid Prod.	-1	16

APPENDIX E
SNAPSHOTS OF THE LCD TOOLKIT

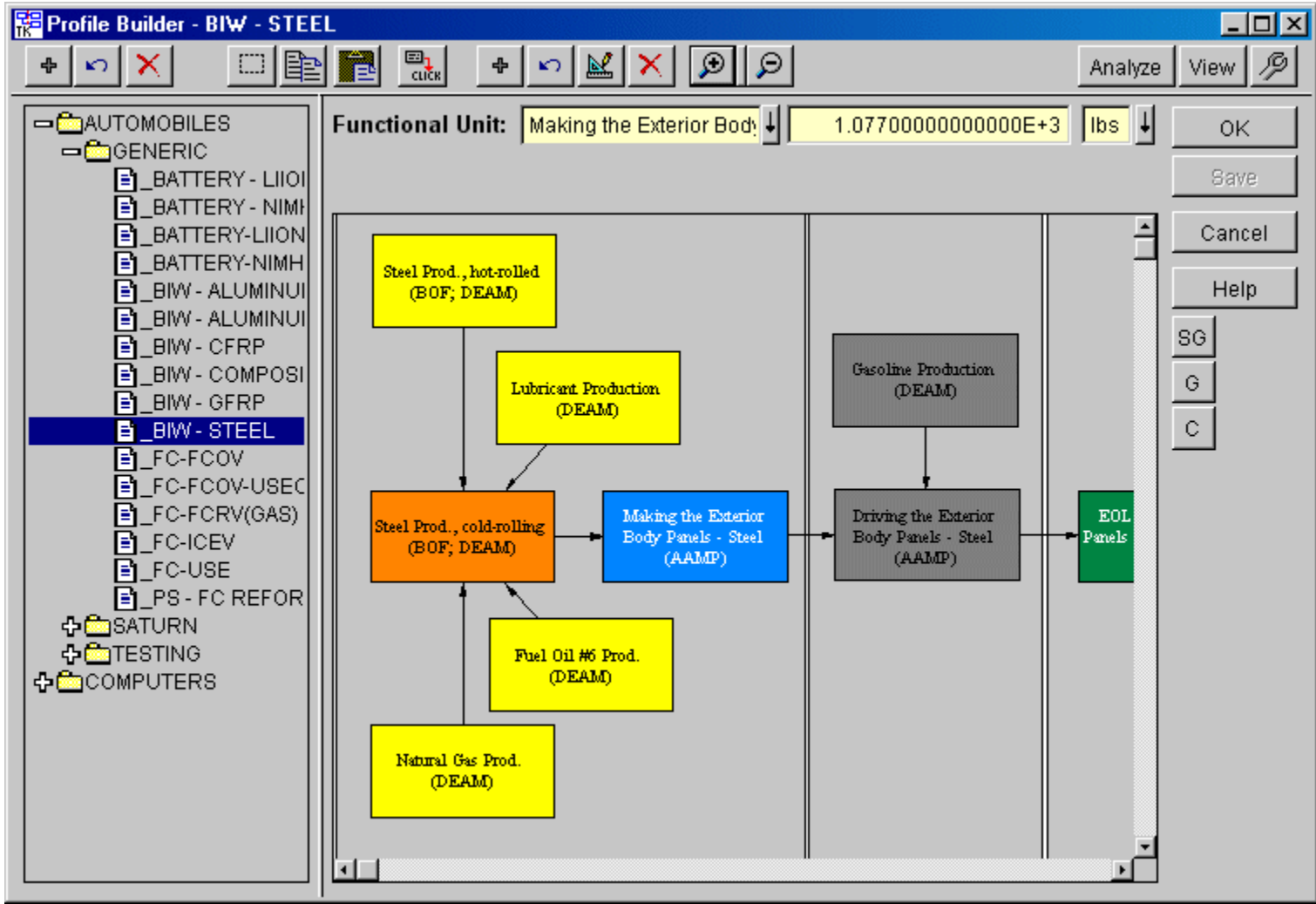


Figure E.1. The LCD Toolkit's Profile Builder – Manages the Building of Profiles from Processes

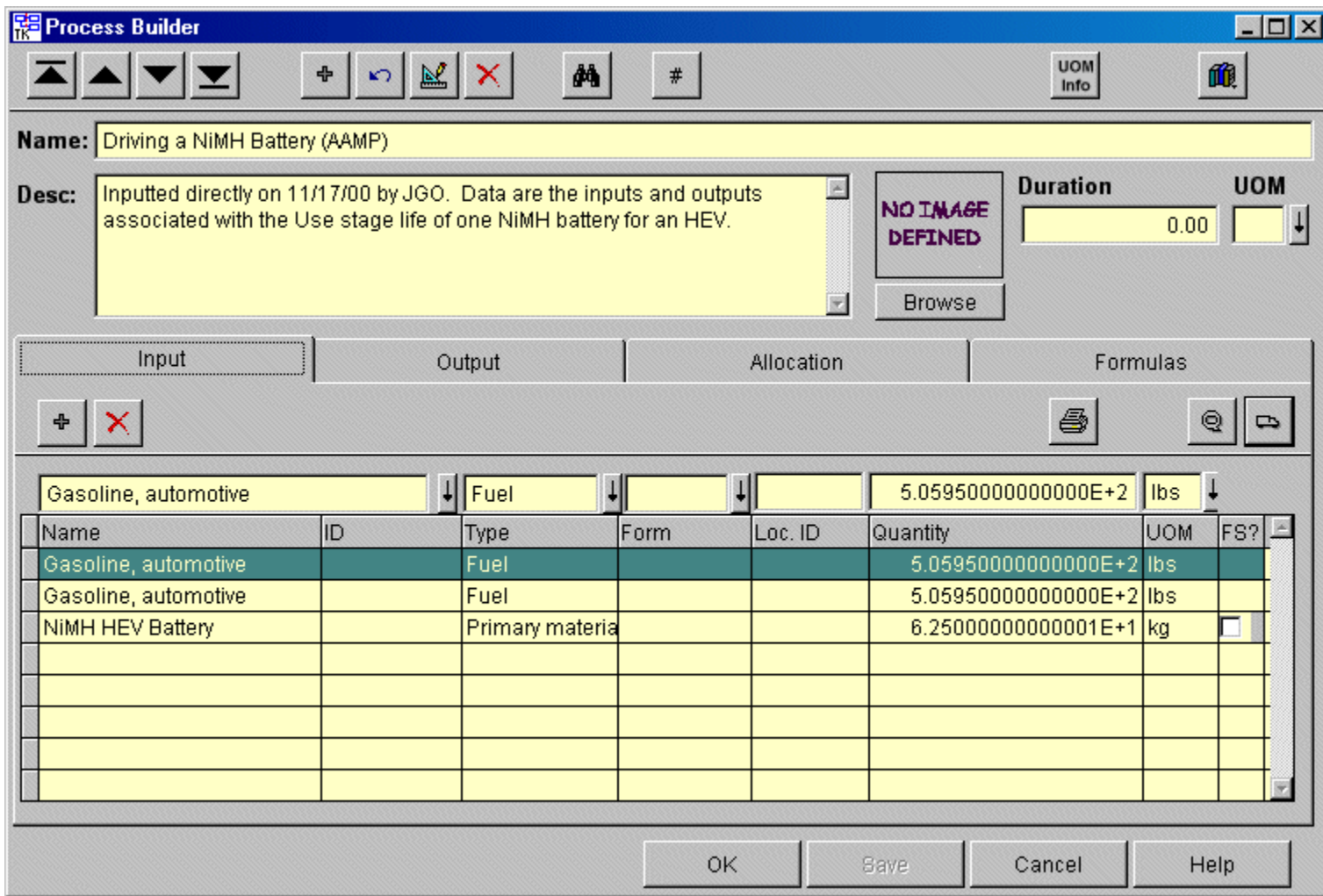


Figure E.2. The LCD Toolkit's Process Builder – Manages the Building of Processes from Individual Inputs and Outputs

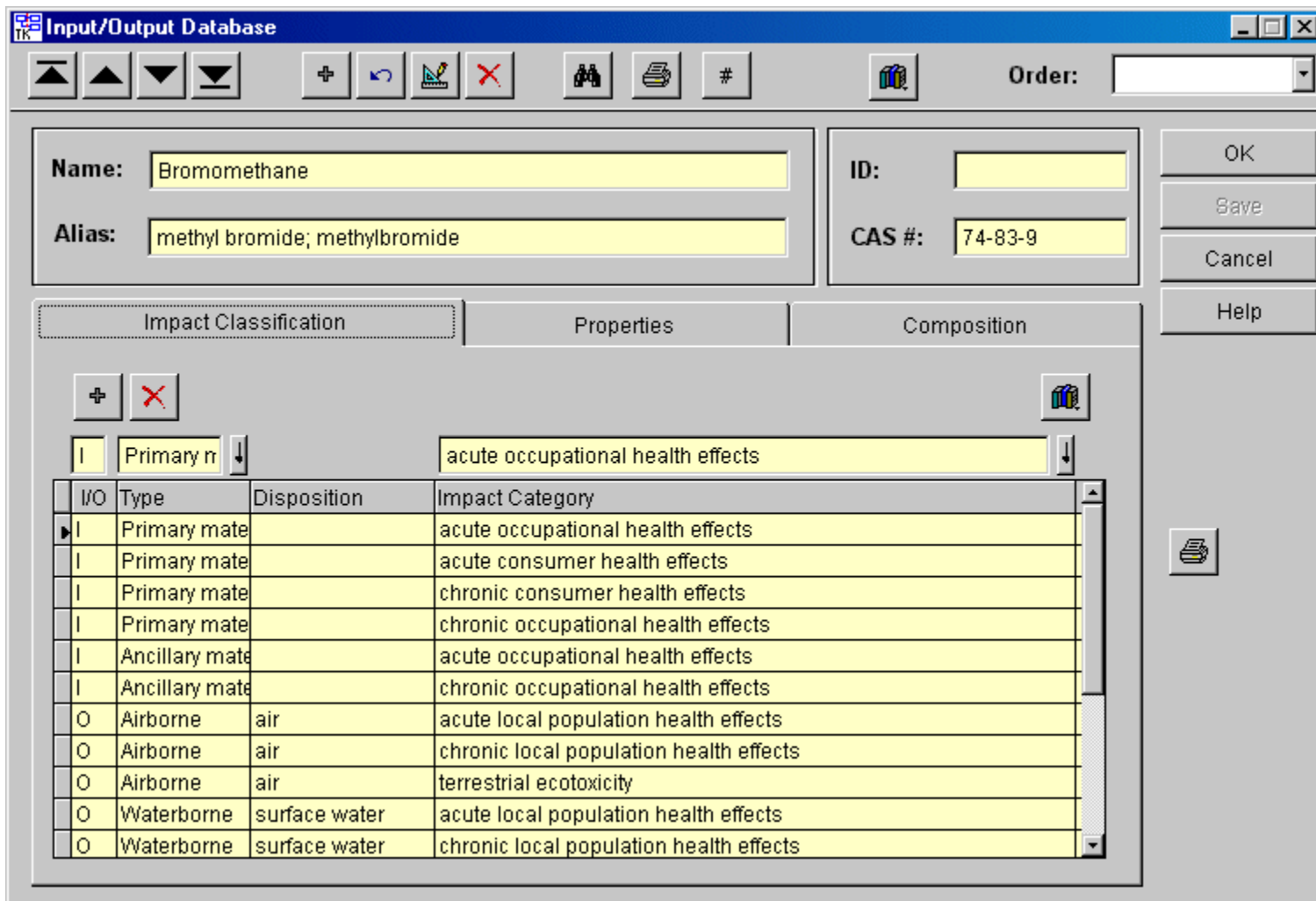


Figure E.3. The LCD Toolkit's Input/Output Database – Manages all the Inputs and Outputs used to build Processes