

5386 **Chapter 3** How Well Do We Understand the Causes of
5387 Observed Changes in Extremes, and What Are the
5388 Projected Future Changes?
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5403 **KEY FINDINGS**

5404 **Observed Changes**

5405 Changes in some weather and climate extremes are attributable to human-induced
5406 changes in greenhouse gases.

- 5407 • Human-induced warming has likely caused much of the average temperature increase
5408 in North America over the past 50 years. This affects changes in temperature
5409 extremes.
- 5410 • Heavy precipitation events averaged over North America have increased over the past
5411 50 years, consistent with the increased water holding capacity of the atmosphere in a
5412 warmer climate and observed increases in water vapor over the oceans.

5413 • It is likely that human activities have caused a discernable increase in sea surface
5414 temperatures in the hurricane formation region of the tropical Atlantic Ocean over the
5415 past 100 years. The balance of evidence suggests that human activity has caused a
5416 discernable increase in tropical storm/hurricane and major hurricane frequency in the
5417 North Atlantic.

5418

5419 **Projected Changes**

- 5420 • Future changes in extreme temperatures will generally follow changes in average
5421 temperature:
- 5422 – Abnormally hot days and nights and heat waves are very likely to become more
5423 frequent.
 - 5424 – Cold days and cold nights are very likely to become much less frequent.
 - 5425 – The number of days with frost is very likely to decrease.
- 5426 • Droughts are likely to become more frequent and severe in some regions as higher air
5427 temperatures increase the potential for evaporation.
- 5428 • Over most regions, precipitation is likely to be less frequent but more intense, and
5429 precipitation extremes are very likely to increase.
- 5430 • According to theory and models for North Atlantic and North Pacific hurricanes and
5431 typhoons (both basin-wide and land-falling):
- 5432 – Hurricane/typhoon core rainfall rates will likely increase by about 6 to 18% per
5433 degree Celsius tropical sea surface warming.
 - 5434 – It is likely that surface wind speeds of the strongest hurricanes/typhoons will
5435 increase by about 2 to 10% per degree Celsius tropical sea surface warming.

- 5436 – Frequency changes are too uncertain for confident projections.
- 5437 – The spatial distribution of hurricanes/typhoons will likely change.
- 5438 – Due to projected sea level rise, the potential for storm surge damage will very
- 5439 likely increase.
- 5440 • There are likely to be more frequent deep low-pressure systems (strong storms)
- 5441 outside the tropics, with stronger winds and more extreme wave heights.

5442

5443 **3.1 Introduction**

5444 Understanding physical mechanisms of extremes involves processes governing the timing

5445 and location of extreme behavior, such as ENSO cycles, as well as the mechanisms of

5446 extremes themselves (e.g., processes producing heavy precipitation). In other words,

5447 processes creating an environment conducive to extreme behavior and processes of the

5448 extreme behavior itself. This includes not only the extreme events but also the factors

5449 governing their timing and location.

5450

5451 A deeper understanding of physical mechanisms is of course important for understanding

5452 why extremes have occurred in the past and for predicting their occurrence in the future.

5453 Understanding physical mechanisms serves a further purpose for projected climate

5454 changes. Because the verification time for climate-change projections can be many

5455 decades into the future, strict verification of projections is not always possible. Other

5456 means of attaining confidence in projections are therefore needed. Confidence in

5457 projected changes in extremes increases when the physical mechanisms producing

5458 extremes in models are consistent with observed behavior. This requires careful analysis

5459 of the observed record as well as model output. Assessment of physical mechanisms is
5460 also necessary to determine the physical realism of changes in extremes. While physical
5461 consistency of simulations with observed behavior is not sufficient evidence for accurate
5462 projection, it is necessary.

5463

5464 **3.2 What Are the Physical Mechanisms of Observed Changes in Extremes?**

5465 **3.2.1 Detection and Attribution of Anthropogenic Influences on Climate Extremes**

5466 **Over North America**

5467 Climate change detection, as discussed in this chapter, is distinct from the concept that is
5468 used in Chapter 2. In that chapter, detection refers to the identification of change in a
5469 climate record that is statistically distinguishable from the record's previous
5470 characteristics. A typical example is the detection of a statistically significant trend in a
5471 temperature record. Here, detection and attribution involves the assessment of observed
5472 changes in relation to those that are expected to have occurred in response to external
5473 forcing. Detection of climatic changes in extremes involves demonstrating statistically
5474 significant changes in properties of extremes over time. Attribution further links those
5475 changes with variations in climate forcings, such as changes in greenhouse gases, solar
5476 radiation or frequency of volcanoes. Attribution is a necessary step toward identifying the
5477 physical causes of changes in extremes. Attribution often uses quantitative comparison
5478 between climate-model simulations and observations, comparing expected changes due to
5479 physical understanding integrated in the models with those that have been observed. By
5480 comparing observed changes with those anticipated to result from external forcing,
5481 detection and attribution studies also provide an assessment of the performance of climate

5482 models in simulating climate change. The relationships between observed and simulated
5483 climate change that are diagnosed in these studies also provide an important means of
5484 constraining projections of future change made with those models.

5485

5486 **3.2.1.1 Detection and Attribution of Anthropogenic Changes in Mean Climate That** 5487 **Affect Climate Extremes**

5488 This section discusses the present understanding on the causes of large-scale changes in
5489 the climatic state over North America. Simple statistical reasoning indicates that
5490 substantial changes in the frequency and intensity of extreme events can result from a
5491 relatively small shift in the average of a distribution of temperatures, precipitation or
5492 other climate variables (Katz and Brown 1992). Expected changes in temperature
5493 extremes are largely but not entirely due to changes in seasonal mean temperatures. Some
5494 differences between the two arise because moderate changes are expected in the shape of
5495 the temperature distribution affecting climate extremes, for example, due to changes in
5496 snow cover, soil moisture, and cloudiness (e.g., Hegerl et al., 2004; Kharin et al., 2007).
5497 In contrast, increases in mean precipitation are expected to increase the precipitation
5498 variance , thus increasing precipitation extremes, but decreases in mean precipitation do
5499 not necessarily imply that precipitation extremes will decrease, because of the different
5500 physical mechanisms that control mean and extreme precipitation (e.g., Allen and
5501 Ingram, 2002; Kharin et al., 2007). Therefore, changes in the precipitation background
5502 state are also interesting for interpreting changes in extremes, although more difficult to
5503 interpret (Groisman et al., 1999). Relevant information about mean temperature changes

5504 appeared in Chapter 2. More detailed discussion of historical mean changes appears in
5505 CCSP Synthesis and Assessment Products 1-1, 1-2 and 1-3.

5506

5507 Global-scale analyses using space-time detection techniques have robustly identified the
5508 influence of anthropogenic forcing on the 20th century near-surface temperature changes.
5509 This result is robust to applying a variety of statistical techniques and using many
5510 different climate simulations (Hegerl et al., 2007). Detection and attribution analyses also
5511 indicate that over the past century there has likely been a cooling influence from aerosols
5512 and natural forcings counteracting some of the warming influence of the increasing
5513 concentrations of greenhouse gases. Spatial information is required in addition to
5514 temporal information to reliably detect the influence of aerosols and distinguish them
5515 from the influence of increased greenhouse gases.

5516

5517 A number of studies also consider sub-global scales. Studies examining North America
5518 find a detectable human influence on 20th century temperature changes, either by
5519 considering the 100-year period from 1900 (Stott 2003) or the 50-year period from 1950
5520 (Zwiers and Zhang 2003, Zhang et al. 2006). Based on such studies, a substantial part of
5521 the warming over North America has been attributed to human influence (Hegerl et al.,
5522 2007).

5523

5524 Further analysis has compared simulations using changes in both anthropogenic
5525 (greenhouse gas and aerosol) and natural (solar flux and volcano eruption) forcings with
5526 others that neglect anthropogenic changes. There is a clear separation in North American

5527 temperature changes of ensembles of simulations including just natural forcings from
5528 ensembles of simulations containing both anthropogenic and natural forcings (Karoly et
5529 al. 2003, IDAG 2005, Karoly and Wu 2005, Wang et al. 2006, Knutson et al. 2006,
5530 Hegerl et al. 2007), especially for the last quarter of the 20th century, indicating that the
5531 warming in recent decades is inconsistent with natural forcing alone.

5532

5533 Attribution of observed changes on regional (subcontinental) scales has generally not yet
5534 been accomplished. One reason is that as spatial scales considered become smaller, the
5535 uncertainty becomes larger (Stott and Tett 1998, Zhang et al., 2006) because internal
5536 climate variability is typically larger than the expected responses to forcing on these
5537 scales. Also, small-scale forcings and model uncertainty make attribution on these scales
5538 more difficult. Therefore, interpreting changes on sub-continental scales is difficult (see
5539 discussion in Hegerl et al., 2007). For example, in Alaska warming has been large but
5540 high levels of internal variability lead to an overlap of naturally forced and all-forcing
5541 simulations even at the end of the 20th century (Wang et al. 2007). In central North
5542 America, there is a relatively small warming over the 20th century compared to other
5543 regions around the world (Hegerl et al. 2007) and the observed changes lie (just) within
5544 the envelop of changes simulated by models using natural forcing alone. In this context,
5545 analysis of a multi-model ensemble by Kunkel et al. (2006) for a central U.S. region
5546 suggests that the region's warming from 1901 to 1940 and cooling from 1940 to 1979
5547 may have been a consequence on unforced internal variability.

5548

5549 Burkholder and Karoly (2007) detected an anthropogenic signal in multidecadal trends of
5550 a U.S. climate extremes index. The observed increase is largely due to an increase in the
5551 number of months with monthly mean daily maximum and daily minimum temperatures
5552 that are much above normal and an increase in the area of the US that experienced a
5553 greater than normal proportion of their precipitation from extreme one-day events.
5554 Twentieth century simulations from coupled climate models show a similar, significant
5555 increase in the same US climate extremes index for the late twentieth century. There is
5556 some evidence of an anthropogenic signal in regions a few hundred kilometers across
5557 (Karoly and Wu 2005, Knutson et al. 2006, Zhang et al. 2006, Burkholder and Karoly
5558 2007), suggesting the potential for progress in regional attribution if careful attention is
5559 given to the choice of appropriate time scales, region sizes and fields analyzed and if all
5560 relevant forcings are considered.

5561

5562 Warming from greenhouse gas increases is expected to increase the moisture content of
5563 the atmosphere and lead to a small increase in global mean precipitation. More important,
5564 the increase in water holding capacity of the atmosphere is expected to affect more
5565 strongly changes in heavy precipitation, for which the Clausius-Clapeyron relation
5566 provides an approximate physical constraint (e.g., Allen and Ingram, 2002). Observed
5567 changes in moisture content and mean and extreme precipitation are generally consistent
5568 with these expectations (Chapter 2 of this document, Trenberth et al. 2007). In addition,
5569 greenhouse gas increases are also expected to cause enhanced horizontal transport of
5570 water vapor that is expected to lead to a drying of the subtropics and parts of the tropics

5571 (Kumar et al., 2004; Neelin et al., 2006), and a further increase in precipitation in the
5572 equatorial region and at high latitudes (Emori and Brown, 2005; Held and Soden, 2006).
5573

5574 Several studies have demonstrated that simulated global land mean precipitation in
5575 climate model integrations including both natural and anthropogenic forcings is
5576 significantly correlated with that observed (Allen and Ingram, 2002; Gillett et al., 2004b;
5577 Lambert et al., 2004), thereby detecting external influence in observations of
5578 precipitation. This external influence on global land mean precipitation during the 20th
5579 century is dominated by volcanic forcing. Anthropogenic influence on the spatial
5580 distribution of global land precipitation, as represented by zonal-average precipitation
5581 changes, has also been detected (Zhang et al. 2007). Both changes are significantly larger
5582 in observations than simulated in climate models, raising questions about whether models
5583 underestimate the response to external forcing in precipitation changes (see also Wentz et
5584 al 2007). Changes in North American continental-mean rainfall have not yet been
5585 attributed to anthropogenic influences. A large part of North America falls within the
5586 latitude band identified by Zhang et al. (2007) where the model simulated response to
5587 forcing is not in accord with the observed response. However, both models and
5588 observations show a pattern of wetting north of 50N and drying between 0-30N, and this
5589 together with agreement on moistening south of the equator provides support for the
5590 detection of a global anthropogenic influence.
5591

5592 3.2.1.2 Detection and Attribution of Other Modes of Climate-system Behavior**5593 Affecting Climate Extremes**

5594 North American extreme climate is also substantially affected by changes in atmospheric
5595 circulation (e.g., Thompson and Wallace 2001). Natural low frequency variability of the
5596 climate system is dominated by a small number of large-scale circulation patterns such as
5597 the El Niño Southern Oscillation (ENSO), the Pacific Decadal Oscillation (PDO), and the
5598 Northern Annular Mode (NAM). The impact of these modes on terrestrial climate on
5599 annual to decadal time scales can be profound. In particular, there is considerable
5600 evidence that the state of these modes affects substantially the risk of extreme
5601 temperature (Thompson and Wallace 2002, Kenyon and Hegerl 2007), droughts
5602 (Hoerling and Kumar 2003), and short-term precipitation extremes (e.g., Gershunov and
5603 Cayan 2003, Eichler and Higgins 2006) over North America.

5604

5605 Some evidence of anthropogenic influence on these modes appears in surface-pressure
5606 analyses. Gillett et al. (2003, 2005, 2006) and Wang et al. (2007) diagnosed
5607 anthropogenic influence on Northern Hemisphere sea level pressure change, although the
5608 model-simulated change is not as large as has been observed. Model-simulated changes
5609 in extremes related to circulation changes may therefore be affected. The change in sea
5610 level pressure largely manifests itself through an intensification of the Northern and
5611 Southern Annular Modes with reduced pressure above both poles and equatorward
5612 displacement of mass. However, apart from these modes, the extent to which modes of
5613 variability are excited or altered by external forcing remains uncertain. While some
5614 modes might be expected to change as a result of anthropogenic effects such as the

5615 enhanced greenhouse effect, there is little a priori expectation about the direction or
5616 magnitude of such changes. In addition, models may not simulate well the behavior of
5617 these modes in some regions and seasons.

5618

5619 ENSO is the leading mode of variability in the tropical Pacific, and it has impacts on
5620 climate around the globe (Trenberth et al. 2007, see also Chapter 1 of this report). There
5621 have been multi-decadal oscillations in the ENSO index throughout the 20th century, with
5622 more intense El Niño events since the late 1970s, which may reflect in part a mean
5623 warming of the eastern equatorial Pacific (Mendelsohn et al., 2005). There is presently
5624 no clear consensus on the possible impact of anthropogenic forcing on observed ENSO
5625 variability (Merryfield 2006, Meehl et al. 2007).

5626

5627 Decadal variability in the North Pacific is characterised by variations in the strength of
5628 the Aleutian Low coupled to changes in North Pacific SST. The leading mode of decadal
5629 variability in the North Pacific is usually termed the Pacific Decadal Oscillation (PDO)
5630 and has a spatial structure in the atmosphere and upper North Pacific Ocean similar to the
5631 pattern that is associated with ENSO. Pacific Decadal variability can also be
5632 characterized by changes in sea level pressure in the North Pacific, termed the North
5633 Pacific Index (Deser et al., 2004). One recent study showed a consistent tendency
5634 towards the positive phase of the PDO in observations and model simulations that
5635 included anthropogenic forcing (Shiogama et al., 2005), though differences between the
5636 observed and simulated PDO patterns, and the lack of additional studies, limit confidence
5637 in these findings.

5638

5639 ENSO and Pacific decadal variability affect the mean North American climate and its
5640 extremes (e.g., Kenyon and Hegerl, 2007), particularly when both are in phase, at which
5641 time considerable energy is propagated from tropical and northern Pacific sources
5642 towards the North American land mass (Yu et al. 2007, Zwiers and Yu 2007).

5643

5644 The Northern Annular Mode (NAM) is an approximately zonally symmetric mode of
5645 variability in the Northern Hemisphere (Thompson and Wallace, 1998, Chapter 1 of this
5646 report), and the North Atlantic Oscillation (NAO) (Hurrell, 1996) may be viewed as its
5647 Atlantic counterpart. The NAM index exhibited a pronounced trend towards its positive
5648 phase between the 1960s and the 1990s, corresponding to a decrease in surface pressure
5649 over the Arctic and an increase over the subtropical North Atlantic (e.g., Hurrell, 1996;
5650 Thompson et al., 2000; Gillett et al., 2003a). Several studies have shown this trend to be
5651 inconsistent with simulated internal variability (Osborn et al., 1999; Gillett et al., 2000;
5652 Gillett et al., 2002b; Osborn, 2004; Gillett, 2005) and similar to, although larger than,
5653 simulated changes in coupled climate models in response to 20th century forcing,
5654 particularly, greenhouse gas forcing and ozone depletion (Gillett et al., 2002b, Osborn,
5655 2004, Gillet 2005, Hegerl et al. 2007). The mechanisms underlying Northern Hemisphere
5656 circulation changes also remain open to debate (see e.g., Hoerling et al., 2005; Hurrell et
5657 al., 2005, Scaife et al. 2005).

5658

5659 Over the period 1968–1997, the trend in the NAM was associated with approximately
5660 50% of the winter surface warming in Eurasia, a decrease in winter precipitation over

5661 Southern Europe and an increase over Northern Europe, due the northward displacement
5662 of the storm track (Thompson et al., 2000). Such a change would have substantial
5663 influence on North America, too, reducing the probability of cold extremes in winter
5664 even over large areas (for example, Thompson and Wallace, 2001; Kenyon and Hegerl,
5665 2007), although part of the northeastern U.S. tends to show a tendency for more cold
5666 extremes with the NAO trend (Wettstein and Mearns, 2002).

5667

5668 **3.2.2 Changes in Temperature Extremes**

5669 As discussed in Chapter 2, observed changes in temperature extremes are consistent with
5670 the observed warming of the climate (Alexander et al., 2006). Globally, there has been a
5671 widespread reduction in the number of frost days in mid-latitude regions in recent
5672 decades, an increase in the number of warm extremes, particularly warm nights, and a
5673 reduction in the number of cold extremes, such as cold nights.

5674

5675 There is now evidence that anthropogenic forcing has likely affected extreme
5676 temperatures. Christidis et al. (2005) analyzed a new dataset of gridded daily
5677 temperatures (Caesar et al., 2006) using the indices shown by Hegerl et al. (2004) to have
5678 potential for attribution, namely the average temperature of the most extreme 1, 5, 10 and
5679 30 days of the year. Christidis et al. (2005) detected robust anthropogenic changes in a
5680 global analysis of indices of extremely warm nights using fingerprints from the HadCM3
5681 model, with some indications that the model over-estimates the observed warming of
5682 warm nights. Human influence on cold days and nights was also detected, but in this case
5683 the model underestimated the observed changes, significantly so in the case of the coldest

5684 day of the year. Anthropogenic influence was not detected in observed changes in
5685 extremely warm days. Tebaldi et al. (2006) find that changes simulated by an ensemble
5686 of eight global models that include anthropogenic and natural forcing changes agrees well
5687 with observed global trends in heat waves, warm nights and frost days over the last four
5688 decades.

5689

5690 North American observations also show a general increase in the number of warm nights,
5691 but with a decrease in the center of the continent that models generally do not reproduce
5692 (e.g., Christidis et al 2005). However, analysis for North America of models (Table 3.1)
5693 used by Tebaldi et al. (2006) shows reasonable agreement between observed and
5694 simulated changes in the frequency of warm nights, number of frost days and growing
5695 season length over the latter half of the 20th century when averaged over the continent
5696 (Fig. 3.1a,b,c). There is also good agreement between the observed and ensemble mean
5697 simulated spatial pattern of change in frost days (Fig.3.2a,b) over the latter half of the
5698 20th century. Note that the observational estimate has a much greater degree of temporal
5699 (Fig. 3.1) and spatial (Fig. 3.2) variability than the model result. The model result is
5700 derived from an ensemble of simulations produced by many models, some of which
5701 contributed multiple realizations. Averaging over many simulations reduces much of the
5702 spatial and temporal variability that arises from internal climate variability. The
5703 variability of individual model realizations is comparable to the single set of
5704 observations, which is well bounded by the two standard deviation confidence interval
5705 about the model ensemble average. Furthermore, Meehl et al. (2007b) demonstrate that
5706 ensemble simulations using two coupled climate models driven with human and natural

5707 forcings approximate well the observed changes, but when driven with natural forcings
5708 only cannot reproduce the observed changes, indicating a human contribution to observed
5709 changes in heat waves, frost days and warm nights. Output from one of these ensembles,
5710 produced by the Parallel Climate Model, also shows significant trends in the Karl-Knight
5711 heat-wave index (Karl and Knight, 1997) in the eastern half of the U.S. for 1961-1990
5712 that are similar to observed trends (Fig. 3.3).

5713

5714 There have also been some methodological advances whereby it is now possible to
5715 estimate the impact of external forcing on the risk of a particular extreme event. For
5716 example, Stott et al (2004), assuming a model-based estimate of temperature variability,
5717 estimate that past human influence may have more than doubled the risk of European
5718 mean summer temperatures as high as those recorded in 2003. Such a methodology has
5719 not yet been applied to North American extremes, though Hoerling et al. (2007) have
5720 used the method to conclude that the very hot 2006 in the United States was primarily
5721 due to human influences.

5722

5723 **3.2.3 Changes in Precipitation Extremes**

5724 **3.2.3.1 Heavy Precipitation**

5725 Allen and Ingram (2002) suggest that while global annual mean precipitation is
5726 constrained by the energy budget of the troposphere, extreme precipitation is constrained
5727 by the atmospheric moisture content, as governed by the Clausius-Clapeyron equation,
5728 though this constraint may be most robust in extratropical regions and seasons where the
5729 circulation's fundamental dynamics are not driven by latent heat release (Pall et al. 2007).
5730 For a given change in temperature the constraint predicts a larger change in extreme

5731 precipitation than in mean precipitation, which is consistent with changes in precipitation
5732 extremes simulated by the ensemble of GCMs available for the IPCC Fourth Assessment
5733 Report (Kharin et al, 2007). Emori and Brown (2005) discuss physical mechanisms
5734 governing changes in the dynamic and thermodynamic components of mean and extreme
5735 precipitation and conclude that changes related to the dynamic component (i.e., that due
5736 to circulation change) are secondary factors in explaining the larger increase in extreme
5737 precipitation than mean precipitation seen in models. On the other hand, Meehl et al.
5738 (2005) demonstrate that while tropical precipitation intensity increases are related to
5739 water vapour increases, mid-latitude intensity increases are related to circulation changes
5740 that affect the distribution of increased water vapor.

5741

5742 Climatological data show that the most intense precipitation occurs in warm regions
5743 (Easterling et al., 2000) and diagnostic analyses have shown that even without any
5744 change in total precipitation, higher temperatures lead to a greater proportion of total
5745 precipitation in heavy and very heavy precipitation events (Karl and Trenberth, 2003). In
5746 addition, Groisman et al. (1999) have demonstrated empirically, and Katz (1999)
5747 theoretically, that as total precipitation increases a greater proportion falls in heavy and
5748 very heavy events if the frequency of raindays remains constant. Trenberth et al. (2005)
5749 point out that a consequence of a global increase in precipitation intensity should be an
5750 offsetting global decrease in the duration or frequency of precipitation events, though
5751 some regions could have differing behavior, such as reduced total precipitation or
5752 increased frequency of precipitation.

5753

5754 Simulated changes in globally averaged annual mean and extreme precipitation appear to
5755 be quite consistent between models. The greater and spatially more uniform increases in
5756 heavy precipitation as compared to mean precipitation may allow extreme precipitation
5757 change to be more robustly detectable (Hegerl et al., 2004).

5758

5759 Evidence for changes in observations of short-duration precipitation extremes varies with
5760 the region considered (Alexander et al., 2006) and the analysis method that is employed
5761 (e.g., Trenberth et al., 2007). Significant increases in observed extreme precipitation have
5762 been reported over the United States, where the increase is qualitatively similar to
5763 changes expected under greenhouse warming (e.g., Karl and Knight, 1998; Semenov and
5764 Bengtsson, 2002; Groisman et al., 2005). However, a quantitative comparison between
5765 area-based extreme events simulated in models and station data remains difficult because
5766 of the different scales involved (Osborn and Hulme, 1997, Kharin et al. 2005) and the
5767 pattern of changes does not match observed changes. Part of this difference is expected
5768 since most current GCMs do not simulate small-scale (< 100 km) variations in
5769 precipitation intensity, as occurs with convective storms. Nevertheless, when compared
5770 with a gridded reanalysis product (ERA40), the ensemble of currently available
5771 AOGCMs reproduces observed precipitation extremes reasonably well over North
5772 America (Kharin et al., 2007). An attempt to detect anthropogenic influence on
5773 precipitation extremes using global data based on the Frich et al. (2002) indices used
5774 fingerprints from atmospheric model simulations with prescribed sea surface temperature
5775 (Kiktev et al., 2003). This study found little similarity between patterns of simulated and
5776 observed rainfall extremes. This is in contrast to the qualitative similarity found in other

5777 studies (Semenov and Bengtsson, 2002; Groisman et al., 2005; Fig. 3.4). Tebaldi et al.
5778 (2006) reported that an ensemble of eight global climate models simulating the 20th
5779 century showed a general tendency toward more frequent heavy-precipitation events over
5780 the past four decades, most coherently in the high latitudes of the Northern Hemisphere,
5781 broadly consistent with observed changes (Groisman et al., 2005). This is also seen when
5782 analyzing these models for North America (Fig. 3.1d). The pattern similarity of change in
5783 precipitation extremes over this period is more difficult to assess, particularly on
5784 continental and smaller scales.

5785

5786 **3.2.3.2 Runoff and Drought**

5787 Changes in runoff have been observed in many parts of the world, with increases or
5788 decreases corresponding to changes in precipitation. Climate models suggest that runoff
5789 will increase in regions where precipitation increases faster than evaporation, such as at
5790 high Northern latitudes (Milly et al., 2005; Wu et al., 2005). Gedney et al. (2006a)
5791 attributed increased continental runoff in the latter decades of the 20th century in part to
5792 suppression of transpiration due to CO₂-induced stomatal closure. However, their result is
5793 subject to considerable uncertainty in the runoff data (Peel and McMahon, 2006; Gedney
5794 et al. 2006b). Qian et al. (2006) simulate observed runoff changes in response to observed
5795 temperature and precipitation alone, and Milly et al. (2005) demonstrate that 20th century
5796 runoff trends simulated by several global climate models are significantly correlated with
5797 observed runoff trends. Wu et al. (2005) find that observed increases in Arctic river
5798 discharge are simulated in a global climate model with anthropogenic and natural forcing,
5799 but not in the same model with natural forcings only. Anthropogenic changes in runoff

5800 may be emerging, but attribution studies specifically on North American runoff are not
5801 available.

5802

5803 Mid-latitude summer drying is another anticipated response to greenhouse gas forcing
5804 (Meehl et al., 2006) and drying trends have been observed in the both the Northern and
5805 Southern hemispheres since the 1950's (Trenberth et al., 2006). Burke et al. (2006), using
5806 the HadCM3 model with all natural and anthropogenic external forcings and a global
5807 Palmer Drought Severity Index (PDSI) dataset compiled from observations by Dai et al.
5808 (2004), detect the influence of anthropogenic forcing in the observed global trend
5809 towards increased drought in the second half of the 20th century, although the model trend
5810 was weaker than observed and the relative contributions of natural external forcings and
5811 anthropogenic forcings was not assessed. Nevertheless, this supports the conclusion that
5812 anthropogenic forcing has influenced the global occurrence of drought. However, the
5813 spatial pattern of observed PDSI change over North America is dissimilar to that in the
5814 coupled model, so no anthropogenic influence has been detected for North America
5815 alone.

5816

5817 Nevertheless, the long term trends in the precipitation patterns over North America are
5818 well reproduced in atmospheric models driven with observed changes in sea-surface
5819 temperatures (Schubert et al., 2003; Seager et al., 2005), indicating the importance of sea-
5820 surface temperatures in determining North American drought (see also, for example,
5821 Hoerling and Kumar, 2003). Specifically, Schubert et al. (2003) and Seager et al. (2005),
5822 using AGCMs forced with observed SSTs, show that some SST anomaly patterns,

5823 particularly in the tropical Pacific, can produce drought over North America. Using the
5824 observed SST anomalies, both studies successfully reproduce many aspects of the 1930's
5825 drought. Only the Seager et al. (2005) model simulates the 1950's drought over North
5826 America, indicating that more modelling studies of this kind are needed.

5827

5828 **3.2.4 Tropical Cyclones**

5829 Long-term (multidecadal to century) scale observational records of tropical cyclone
5830 activity (frequency, intensity, power dissipation, etc.) were described in Chapter 2. Here
5831 discussion focuses on whether the any changes can be attributed to particular causes,
5832 including anthropogenic forcings. Tropical cyclones respond to their environment in
5833 quite different manners for initial development, intensification, determination of overall
5834 size, and motion. Therefore this section begins with a brief summary of the major
5835 physical mechanisms and understanding.

5836

5837 **3.2.4.1 Development Criteria and Mechanisms**

5838 Gray (1968) drew on a global analysis of tropical cyclones and a large body of earlier
5839 work to arrive at a set of criteria for tropical cyclone development, which he called
5840 Seasonal Genesis Parameters:

- 5841 • Sufficient available oceanic energy for the cyclone to develop, usually defined as
5842 a requirement for ocean temperatures $> 26^{\circ}\text{C}$ down to a depth of 60 m;
- 5843 • Sufficient cyclonic (counterclockwise in Northern Hemisphere, clockwise in
5844 Southern Hemisphere) rotation to enhance the capacity for convective heating to
5845 accelerate the vertical winds,

- 5846 • A small change in horizontal wind with height (weak shear) so that the upper
5847 warming can become established over the lower vortex.
- 5848 • A degree of atmospheric moist instability to enable convective clouds to develop;
5849 • A moist mid-level atmosphere to inhibit the debilitating effects of cool
5850 downdrafts; and
- 5851 • Some form of pre-existing disturbance, such as an easterly wave, capable of
5852 development into a tropical cyclone.

5853

5854 A more recent study by Camargo et al. (2007) has developed a new genesis index, which
5855 is based on monthly mean values of 850 hPa relative vorticity, 700 hPa humidity, 850-
5856 250 hPa wind shear, and Potential Intensity (Bister and Emanuel, 1998). Some skill has
5857 been demonstrated in applying it to re-analysis data and global climate models to
5858 estimate the frequency and location of storms.

5859

5860 In the North Atlantic, the bulk of tropical cyclone developments arise from easterly
5861 waves, though such development is a relatively rare event, with only around 10-20% of
5862 waves typically developing into a tropical cyclone (Dunn 1940, Frank and Clarke 1980,
5863 Pasch et al 1998, Thorncroft and Hodges 2001). Thus, any large-scale mechanism that
5864 can help produce more vigorous easterly waves leaving Africa or provide an environment
5865 to enhance their development is of importance. ENSO is a major influence; during El
5866 Nino years, tropical cyclone development is suppressed by a combination of associated
5867 increased vertical wind shear, general drying of the mid-levels and oceanic cooling (e.g.,
5868 Gray 1984). The Madden-Julian Oscillation (MJO) influences cyclogenesis in the Gulf of

5869 Mexico region on 1-2 month time scales (Maloney and Hartmann 2000). Approximately
5870 half of the North Atlantic tropical cyclone developments are associated with upper-level
5871 troughs migrating into the tropics (e.g. Pasch et al 1998; Davis and Bosart, 2001; 2006).
5872 The large scale zonal wind flow may also modulate development of easterly wave
5873 troughs into tropical cyclones (Holland 1995, Webster and Chang 1988). The easterly
5874 wave development process is particularly enhanced in the wet, westerly phase of the
5875 MJO.

5876

5877 The eastern and central North Pacific experience very little subtropical interaction and
5878 appear to be dominated by easterly wave development (e.g. Frank and Clarke 1980). The
5879 two major environmental influences are the ENSO and MJO, associated with the same
5880 effects as described for the North Atlantic. The MJO is a particularly large influence,
5881 being associated with a more than 2:1 variation in tropical cyclone frequency between the
5882 westerly-easterly phases (Liebmann et al 1994, Molinari and Vollaro 2000).

5883

5884 Suitable conditions in the western Pacific development region are present throughout the
5885 year. Developments in this region are associated with a variety of influences, including
5886 easterly waves, monsoon development and mid-latitude troughs (e.g. Ritchie and Holland
5887 1999). The dominant circulation is the Asiatic monsoon, and tropical cyclones typically
5888 form towards the eastern periphery of the main monsoonal trough, or further eastwards
5889 (Holland, 1995), though development can occur almost anywhere (e.g. Lander 1994).
5890 ENSO has a major impact, but it is opposite to that in the eastern Pacific and Atlantic,

5891 with western Pacific tropical cyclone development being enhanced during the El Nino
5892 phase (Chan 1985, Lander 1994, Wang and Chan 2002).

5893

5894 *Mesoscale influences* include those that occur on scales similar to, or smaller than the
5895 tropical cyclone circulation and seem to be operative in some form or other to all ocean
5896 basins. These influences include interactions amongst the vorticity fields generated by
5897 Mesoscale Convective Complexes (MCCs), which may enhance cyclogenesis under
5898 suitable atmospheric conditions, but also may introduce a stochastic element in which the
5899 interactions may also inhibit short-term development (Houze 1977; Zipser 1977; Ritchie
5900 and Holland 1997; Simpson et al. 1997; Ritchie 2003; Bister and Emanuel 1997;
5901 Hendricks et al. 2004; Montgomery et al. 2006) and inherent barotropic instability (e.g.
5902 Schubert et al. 1991; Ferreira and Schubert 1997).

5903

5904 **3.2.4.1.1 Factors Influencing Intensity and Duration**

5905 Once a cyclone develops it proceeds through several stages of intensification. The
5906 maximum achievable intensity of a tropical cyclone appears to be limited by the available
5907 energy in the ocean and atmosphere. This has led to various thermodynamic assessments
5908 of the Potential Intensity (PI) that can be achieved by a cyclone for a given
5909 atmospheric/oceanic thermodynamic state (Emanuel 1987, 1995, 2000, Holland 1997,
5910 Tonkin et al 1999, Rotunno and Emanuel 1987). The basis for these assessments is
5911 characteristically the sea surface temperature and the thermodynamic structure of the
5912 near-cyclone atmospheric environment, with particular emphasis on the temperature at
5913 the outflow level of air ascending in the storm core.

5914

5915 In most cases tropical cyclones do not reach this thermodynamic limit, due to a number
5916 of processes that have a substantial negative influence on intensification. Major negative
5917 impacts may include: vertical shear of the horizontal wind (Frank and Ritchie 1999,
5918 DeMaria 1995), oceanic cooling by cyclone-induced mixing of cool water from below
5919 the mixed layer to the surface (Price 1981, Bender and Ginis 2000, Schade and Emanuel
5920 1999); potential impacts of sea spray on the surface exchange process (Wang et al. 2001,
5921 Andreas and Emanuel 2001); processes that force the cyclone into an asymmetric
5922 structure (Wang 2002, Corbosiero and Molinari 2003); ingestion of dry air, perhaps also
5923 with suspended dust (Neal and Holland 1976, Dunion and Velden 2004); and internal
5924 processes. Since many of these factors tend to be transitory in nature, the longer a
5925 cyclone can spend in a region with plentiful thermodynamic energy, the better its chances
5926 of approaching the PI. This is reflected in, for example, the observation that over 80% of
5927 major hurricanes in the North Atlantic occur in systems that formed at low latitudes in the
5928 eastern region, the so-called Cape Verde storms.

5929

5930 A weakening tropical cyclone may merge with an extratropical system, or it may
5931 redevelop into a baroclinic system (Jones et al. 2003). Since the system carries some of
5932 its tropical vorticity and moisture, it can produce extreme rains and major flooding. The
5933 transition is also often accompanied by a rapid acceleration in translation speed, which
5934 leads to an asymmetric wind field with sustained winds that may be of hurricane force on
5935 the right (left) side of the storm track in the northern (southern) hemisphere, despite the
5936 overall weakening of the cyclone circulation.

5937

5938 **3.2.4.1.2 Movement Mechanisms**

5939 Tropical cyclones are steered by the mean flow in which they are embedded, but they
5940 also propagate relative to this mean flow due to dynamical effects (Holland 1984, Fiorino
5941 and Elsberry 1989). This combination leads to the familiar hyperbolic (recurving) track
5942 of tropical cyclones as storms initially move westward, embedded in the low-latitude
5943 easterly flow, then more poleward and eventually eastward as they encounter the mid-
5944 latitude westerlies.

5945

5946 An important result of this pattern of movement is that storms affecting the Caribbean,
5947 Mexico, Gulf States, Lower Eastern Seaboard and Pacific Trust Territories have mostly
5948 developed in low-latitudes (which also comprise the most intense systems). Eastern
5949 Pacific cyclones tend to move away from land, and those that recurve are normally
5950 suffering from combined negative effects of cold water and vertical shear. Upper Eastern
5951 US Seaboard and Atlantic Canada cyclones are typically recurving and undergoing
5952 various stages of extratropical transition.

5953

5954 **3.2.4.2 Attribution Preamble**

5955 Determining the causal influences on the observed changes in tropical cyclone
5956 characteristics is currently subject to vigorous community debate. Chief amongst the
5957 more contentious topics are data deficiencies in early years, natural variability on decadal
5958 time scales, and trends associated with greenhouse warming. A summary of the published
5959 contributions to this debate at the end of 2006 is contained in a report and accompanying

5960 statement that was put together by the attendees at a World Meteorological Society
5961 Workshop on Tropical Cyclones held in November 2006 (WMO 2006, Knutson et al
5962 2006b). Of direct relevance in the WMO statement are the following:

- 5963 • Though there is evidence both for and against the existence of a detectable
5964 anthropogenic signal in the tropical cyclone climate record to date, no firm
5965 conclusion can be made on this point.
- 5966 • It is likely that some increase in tropical cyclone intensity and rainfall will occur
5967 if the climate continues to warm. Model studies and theory project a 3-5%
5968 increase in wind speed per degree increase of tropical sea surface temperatures.
- 5969 • No individual tropical cyclone can be directly attributed to climate change.
- 5970 • Some studies of the observational record conclude that the reported global
5971 increase in tropical cyclone activity is questionable owing to data problems, since
5972 tropical cyclone monitoring has improved continuously.
- 5973 • There is an observed multi-decadal variability of Atlantic hurricanes whose
5974 causes, whether natural, anthropogenic or a combination, are currently being
5975 debated. This variability makes detecting any long-term trends in tropical cyclone
5976 activity difficult.
- 5977 • Current theories and computer models predict an increase in wind speed and
5978 rainfall of tropical cyclones in a warmer climate.
- 5979 • Recent climate model simulations project a decrease or no change in global
5980 tropical cyclone numbers in a warmer climate, although there is low confidence in
5981 this projection.
- 5982

5983 We note that these were consensus views, and no attempt was made to assign likely
5984 probabilities to the possible outcomes. The International Panel for Climate Change
5985 (IPCC) arrived at similar findings, but also focused on the observed changes in the North
5986 Atlantic (IPCC 2007):

5987

- 5988 • There is observational evidence for an increase of intense tropical cyclone
5989 activity in the North Atlantic since about 1970, correlated with increases of
5990 tropical sea surface temperatures.
- 5991 • There are also suggestions of increased intense tropical cyclone activity in
5992 some other regions where concerns over data quality are greater.
- 5993 • Multi-decadal variability and the quality of the tropical cyclone records prior
5994 to routine satellite observations in about 1970 complicate the detection of
5995 long-term trends in tropical cyclone activity.
- 5996 • There is no clear trend in the annual global numbers of tropical cyclones.

5997

5998 The IPCC also made the following probability assessments on intense tropical cyclone
5999 activity:

- 6000 • Likely that increases have occurred in some regions since 1970;
- 6001 • More likely than not a human contribution to the observed trend;
- 6002 • Likely that there will be future trends in tropical cyclone intensity and heavy
6003 precipitation associated with ongoing increases of tropical SSTs;
- 6004 • Less confidence in projections of a global decrease in the numbers of tropical
6005 cyclones.

6006

6007 Emanuel (2005) and Webster et al. (2005) showed a clear increase in the more intense
6008 Northwest Pacific cyclones (as shown by category 4 and 5 frequency or PDI) since the
6009 commencement of the satellite era. These increases have been closely related to
6010 concomitant changes in SSTs in this region. On the other hand there are also concerns
6011 about the quality of the data (WMO 2006) and there has been little focused research on
6012 attributing the changes in this region. For these reasons this report accepts the overall
6013 findings of WMO (2006) and IPCC (2007) as they relate to the North Pacific.

6014

6015 One area where there is consensus is on tropical cyclone rainfall. WMO (2007) and IPCC
6016 (2007) concur on there being a likely increase in heavy rainfall associated with tropical
6017 cyclones, though the actual level of increase is not clear.

6018

6019 The remainder of the attribution section on tropical cyclones concentrates on attribution
6020 in the North Atlantic, where the available data and published work enables more detailed
6021 attribution analysis compared to other basins.

6022

6023 **3.2.4.3 Attribution of North Atlantic Changes**

6024 Chapter 2 provides an overall summary of the observed variations and trends in storm
6025 frequency, section 3.3.9.6 considers future scenarios, and Holland and Webster (2007)
6026 present a detailed analysis of the changes in North Atlantic tropical cyclones, hurricanes
6027 and major hurricanes over the past century, together with a critique of the potential

6028 attribution mechanisms. Here we examine these changes in terms of the potential
6029 causative mechanisms.

6030

6031 **3.2.4.3.1 Storm Intensity**

6032 There has been no distinct trend in the mean intensity of all storms, hurricanes, or major
6033 hurricanes (Chapter 2). Holland and Webster (2007) also found that there has been a
6034 marked oscillation in major hurricane proportions, which has no observable trend. The
6035 attribution of this oscillation has not been adequately defined, but it is known that it is
6036 associated with a similar oscillation in the proportion of hurricanes that develop in low
6037 latitudes and thus experience environmental conditions that are more conducive to
6038 development into an intense system than those at more poleward locations. The lack of a
6039 mean intensity trend or a trend in major hurricane proportions is in agreement with
6040 modeling and theoretical studies that predict a relatively small increase of around 1 to 7%
6041 for the observed 0.5 to 0.7°C trend in tropical North Atlantic SSTs (Henderson-Sellers et
6042 al 1998, Knutson et al 1998; 2001; Knutson and Tuleya 2004; 2007).

6043

6044 Multidecadal increases of maximum intensity due to multidecadal increases of SST may
6045 play a relatively small role in increases of overall hurricane activity, and increases in
6046 frequency (discussed in the next section), for which variations in duration due to large-
6047 scale circulation changes may be the dominant factors. The relationship between SST,
6048 circulation patterns, and hurricane activity variability is not as well understood as the
6049 thermodynamic relationships that constrain maximum intensity.

6050

6051 3.2.4.3.2 Storm Frequency and Integrated Activity Measures

6052 Emanuel (2005a; 2007a) examined a Power Dissipation Index (PDI), which combines the
6053 frequency, lifetime and intensity, and is related to the cube of the maximum winds
6054 summed over the lifetime of the storm. In Chapter 2, it was concluded that there has been
6055 a substantial increase in tropical cyclone activity, as measured by the Power Dissipation
6056 Index (PDI), since about 1970, strongly correlated with low-frequency variations in
6057 tropical Atlantic SSTs. It is likely that hurricane activity (PDI) has increased substantially
6058 since the 1950s and 60s in association with warmer Atlantic SSTs. It is also likely that
6059 PDI has generally tracked SST variations on multidecadal time scales in the tropical
6060 Atlantic since 1950. Holland and Webster (2007) have shown that the PDI changes have
6061 arisen from a combination of increasing frequency of tropical cyclones of all categories:
6062 tropical storms, hurricanes and major hurricanes; and a multi-decadal oscillation in the
6063 proportion of major hurricanes. They found no evidence of a trend in the major hurricane
6064 proportions or in overall intensity, but a marked trend in frequency.

6065

6066 While there is a close statistical relationship between low frequency variations of tropical
6067 cyclone activity (e.g., the PDI and storm frequency) and SSTs (Ch. 2), this almost
6068 certainly arises from a combination of factors, including joint relationships to other
6069 atmospheric process that effect cyclone development, such as vertical windshear (Shapiro
6070 1982, Kossin and Vitmer 2007, Goldenberg et al 2001, Shapiro and Goldenberg 1998). It
6071 is also notable that the recent SST increases have been associated with a concomitant
6072 shift towards increased developments in low latitudes and the eastern Atlantic, regions

6073 where the conditions are normally more conducive to cyclogenesis and intensification
6074 (Holland and Webster 2007, Ch. 2).

6075

6076 Low-frequency variations in Atlantic tropical cyclone activity have previously been
6077 attributed to a natural variability in Atlantic SSTs associated with the Atlantic Multi-
6078 decadal Oscillation (Bell and Chelliah 2006, Goldenberg et al. 2001). However, these
6079 studies either did not consider the trends over the 20th century in SST (Goldenberg et al.
6080 2001) or did not cover a long enough period to confidently distinguish between
6081 oscillatory (internal climate variability) behavior and radiatively forced variations or
6082 trends. For example, the multi-decadal AMM2 mode in Bell and Chelliah (2006) first
6083 obtains substantial amplitude around 1970. Their circulation-based indices are of
6084 insufficient length to determine whether they have a cyclical or trend-like character, or
6085 some combination thereof.

6086

6087 While there is undoubtedly a natural variability component to the observed tropical
6088 Atlantic SSTs, it is also likely that a discernable warming trend, due to greenhouse gases,
6089 has occurred, especially over the past 30-40 years. For example, Santer et al. (2006; see
6090 also Gillett et al. 2007) have shown that the observed trends in Atlantic tropical SSTs are
6091 unlikely to be caused entirely by internal climate variability, and that the pronounced
6092 Atlantic warming since around 1970 that is reproduced in their model is predominantly
6093 due to increased greenhouse gases. These conclusions are supported by several other
6094 studies that use different methodologies (e.g., Knutson et al. 2006; Trenberth and Shea
6095 2006; Mann and Emanuel 2006; Karoly and Wu 2005). There is also evidence for a

6096 detectable greenhouse gas-induced SST increase in the NW Pacific tropical cyclogenesis
6097 region (Santer et al. 2006, Gillett et al. 2007; see also Knutson et al. 2006 and Karoly and
6098 Wu 2005).

6099

6100 We conclude that there has been an observed SST increase of 0.5-0.7°C over the past
6101 century in the main development region for tropical cyclones in the Atlantic. Based on
6102 comparison of observed SST trends and corresponding trends in climate models with and
6103 without external forcing, it is likely that increased greenhouse gases have caused a
6104 discernible increase in SSTs both the North Atlantic and the NW Pacific tropical storm
6105 basins over the past 100 yrs and also for the period since about 1950.

6106

6107 Chapter 2 also concludes that it is likely that there has been an increase in tropical
6108 storm/hurricane and major hurricane frequency in the North Atlantic over the past
6109 century or so, a time during which tropical Atlantic SSTs also increased. Ongoing efforts
6110 to reconstruct a complete record of Atlantic tropical cyclone counts back to 1900 or the
6111 late 1800s find evidence (in several analyses) for a statistically significant increasing
6112 trend since 1900. The evidence is much less compelling for significant positive trends
6113 beginning in the late 1800s, although there is increasing uncertainty in the data as one
6114 proceeds further back in time. There has not been a significant trend in U.S. landfalling
6115 activity since the late 1880s as the overall impacts of the increasing trend in North
6116 Atlantic tropical cyclones appear to have been to some extent ameliorated by concomitant
6117 shifts into eastern North Atlantic developments, which are less likely to directly affect US
6118 coastal regions.

6119

6120 Attribution of these past changes in tropical storm/hurricane activity (e.g., PDI) and
6121 frequency to various climate forcings is hampered by the lack of adequate model
6122 simulations of tropical cyclone climatologies. In the case of global scale temperature
6123 increase formal detection-attribution studies have detected strong evidence for the
6124 presence of the space-time pattern of warming expected due to greenhouse gas increases.
6125 These studies find that other plausible explanations, such as solar and volcanic forcing
6126 together with climate variability alone, fail to explain the observed changes sufficiently.
6127 The relatively good agreement between observed and simulated trends based on climate
6128 model experiments with estimated past forcings lends substantial confidence to
6129 attribution statements for SST. However, since adequate model-based reconstructions of
6130 historical tropical cyclone variations are not currently available, we do not have estimates
6131 of expected changes in tropical cyclone variations due to a complete representation of the
6132 changes in the physical system that would have been caused by greenhouse gas increases
6133 and other forcing changes. We therefore must rely on statistical analyses and expert
6134 judgement to make attribution assessments. Further discussion of these issues is
6135 contained in section 3.3.9.6 (Reconciliation of Future Projections and Past Variations).

6136

6137 The strong relationship with SST—and particularly the large increase in both tropical
6138 cyclone activity (PDI) and SST since 1970, along with the observed increases in tropical
6139 storm/hurricane and major hurricane frequency and SSTs over the past century or so—
6140 provides evidence in support of a discernible impact of anthropogenic forcing on Atlantic
6141 tropical cyclone activity. Although there is evidence both for and against this

6142 interpretation (e.g., lack of trend in U.S. landfalling hurricanes), the balance of evidence
6143 now suggests that human activity has caused a discernible increase in tropical storm,
6144 hurricane and major hurricane frequency. It is more difficult to judge whether
6145 anthropogenic forcing will cause further increases in activity as the climate continues to
6146 warm, since the precise physical reasons for the relationship have not been fully
6147 elucidated. It is noted that relevant anthropogenic forcing includes increasing greenhouse
6148 gases, as well as changes in aerosol forcing, and possibly decreasing stratospheric ozone
6149 and other factors associated with cooling upper atmospheric (~100mb) temperatures in
6150 recent decades (Emanuel 2007a).

6151

6152 This assessment is consistent with the IPCC (2007) conclusion that it is more likely than
6153 not that there has been a human contribution to the observed increase in intense tropical
6154 cyclone activity. It is further supported by several recent related studies, including
6155 Trenberth and Shea (2006), Mann and Emanuel (2006), Santer et al (2006), Elsner
6156 (2006), Emanuel (2007a), Gillett et al. (2007), Kossin and Vitmer (2007), Vitmer and
6157 Kossin (2007), Vecchi and Knutson (2007), and Holland and Webster (2007a).

6158

6159 **3.2.4.3.3 Storm lifetime, Track and Extratropical Transition**

6160 There has been insufficient work done on the changes, or otherwise, in these important
6161 aspects of tropical cyclones to arrive at any firm conclusions.

6162

6163 3.2.5 Extratropical Storms

6164 Chapter 2 documents changes in strong extratropical storms during the twentieth century,
6165 especially for oceanic storm track bordering North America. Changes include altered
6166 intensity and tracks of intense storms (Wang et al. 2006, Caires and Sterl 2005). Analysis
6167 of physical mechanisms is lacking. Natural cycles of large-scale circulation affect
6168 variability, through the North Atlantic Oscillation (e.g., Lozano and Swail, 2002, Caires
6169 and Sterl 2005) or the related Northern Annular Mode (Hurrell 1995, Ostermeier and
6170 Wallace 2003). Changes in sea-surface temperature (Graham and Diaz 2001) and
6171 baroclinicity (Fyfe 2003) may also play a role. Analysis of a multi-century GCM
6172 simulation by Fischer-Bruns et al. (2005) suggests that changes in solar activity and
6173 volcanic activity have negligible influence on strong-storm activity. However, it is likely
6174 that anthropogenic influence has contributed to extratropical circulation change during
6175 the latter half of the 20th century (Hegerl et al, 2007; see also Gillett et al., 2003, 2005,
6176 2006; Wang et al 2007), which would have influenced storm activity. There is also some
6177 evidence that anthropogenic forcing has affected related variables such as geostrophic
6178 wind energy and significant wave height (Wang et al 2007) during the latter half of the
6179 20th century, although as with sea-level pressure change, the model simulated response to
6180 forcing is not as large as observed. On the other hand, the WASA Group (1998), using
6181 long records of station data, suggest that observed changes in storminess in Northern
6182 Europe over the latter part of the 20th century are not inconsistent with natural internal
6183 low-frequency variability. However, analyses based on direct observations suffer from
6184 incomplete spatial and temporal coverage, especially in storm-track regions over adjacent
6185 oceans, and generally cover regions that may be too small to allow detection of externally

6186 forced signals (Hegerl et al., 2007). Studies of global reanalysis products generally cover
6187 less than 50 years. While 50-year records are generally considered adequate for detection
6188 and attribution research (Hegerl et al, 2007), a difficulty with reanalysis products is that
6189 they are affected by inhomogeneities resulting from changes over time in the type and
6190 quantity of data that is available for assimilation (e.g., Trenberth et al. 2005).

6191

6192 A number of investigations have considered the climate controls on the storm intensities
6193 or on the decadal trends of wave heights generated by those storms. Most of this attention
6194 has been on the North Atlantic, and as noted above the important role of the North
6195 Atlantic Oscillation has been recognized (e.g., Neu, 1984; WASA, 1998; Gulev and
6196 Grignorieva, 2004). Fewer investigations have examined the climate controls on the
6197 storms and waves in the North Pacific, and with less positive conclusions (Graham and
6198 Diaz, 2001; Gulev and Grignorieva, 2004). In particular, definite conclusions have not
6199 been reached concerning the climate factor producing the progressive increase seen in
6200 wave heights, apparently extending at least back to the 1960s. However, Wang et al.
6201 (2007) indicate that anthropogenically forced circulation change may have been an
6202 important factor in changes of significant wave heights.

6203

6204 A definite control on the wave conditions experienced along the west coast of North
6205 America is occurrences of major El Niños such as those in 1982-83 and 1997-98. Both of
6206 these events in particular brought extreme wave conditions to south-central California,
6207 attributed primarily to the more southerly tracks of the storms compared with non-El
6208 Niño years. Allan and Komar (2006) found a correlation between the winter-averaged

6209 wave heights measured along the west coast and the multivariate ENSO index (MEI),
6210 showing that while the greatest increase during El Niños takes place at the latitudes of
6211 south-central California, some increase occurs along the entire west coast, evidence that
6212 the storms are stronger as well as having followed more southerly tracks. The wave
6213 climates of the west coast therefore have been determined by the decadal increase found
6214 by Allan and Komar (2000, 2006), but further enhanced during occurrences of major El
6215 Niños.

6216

6217 **3.2.6 Convective Storms**

6218 Trenberth et al. (2005) point out that since the amount of moisture in the atmosphere is
6219 likely to rise much faster as a consequence of rising temperatures than the total
6220 precipitation, this should lead to an increase in the intensity of storms, offset by decreases
6221 in duration or frequency of events. Environmental conditions that are most likely
6222 associated with severe and tornadic thunderstorms have been derived from reanalysis
6223 data (Brooks et al. 2003b). Brooks and Dotzek (2007) applied those relationships to count
6224 the frequency of favorable environments for significant severe thunderstorms (hail of at
6225 least 5 cm diameter, wind gusts of at least 33 m s^{-1} , and/or a tornado of F2 or greater
6226 intensity) for the area east of the Rocky Mountains in the US for the period 1958-1999.
6227 The count of favorable environments decreased by slightly more than 1% per year from
6228 1958 until the early-to-mid 1970s, and increased by approximately 0.8% per year from
6229 then until 1999, so that the frequency was approximately the same at both ends of the
6230 analyzed period. They went on to show that the time series of the count of reports of very
6231 large hail (7 cm diameter and larger) shows an inflection at about the same time as the

6232 inflection in the counts of favorable environments. A comparison of the rate of increase
6233 of the two series suggested that the change in environments could account for
6234 approximately 7% of the change in reports from the mid-1970s through 1999, with the
6235 rest coming from non-meteorological sources.

6236

6237 **3.3 Projected Future Changes in Extremes, Their Causes, Mechanisms, and** 6238 **Uncertainties**

6239 Projections of future changes of extremes are relying on an increasingly sophisticated set
6240 of models and statistical techniques. Studies assessed in this section rely on multi-
6241 member ensembles (3 to 5 members) from single models, analyses of multi-model
6242 ensembles ranging from 8 to 15 or more AOGCMs, and a perturbed physics ensemble
6243 with a single mixed layer model with over 50 members. The discussion here is intended
6244 to identify the characteristics of changes of extremes in North America and set in the
6245 broader global context.

6246

6247 **3.3.1 Temperature**

6248 The IPCC Third Assessment Report concluded there was a very likely risk of increased
6249 high temperature extremes (and reduced risk of low temperature extremes), with more
6250 extreme heat episodes in a future climate. This latter result has been confirmed in
6251 subsequent studies (e.g., Yonetani and Gordon, 2001). An ensemble of more recent
6252 global simulations projects marked increase in the frequency of very warm daily-
6253 temperature minima (Fig. 3.1a). Kharin and Zwiers (2005) show in a single model that
6254 future increases in temperature extremes follow increases in mean temperature over most

6255 of the world including North America. They show a large reduction in the wintertime
6256 cold temperature extremes in regions where snow and sea ice decrease due to changes in
6257 the effective heat capacity and albedo of the surface. They also show that summertime
6258 warm temperature extremes increase in regions where the soil dries due to a smaller
6259 fraction of surface energy used for evaporation. Furthermore, that study showed that in
6260 most instances warm-extreme changes are similar in magnitude to the increases in daily
6261 maximum temperature, but cold extremes shift to warmer temperatures faster than daily
6262 minimum temperatures, though this result is less consistent when model parameters are
6263 varied in a perturbed physics ensemble where there are increased daily temperature
6264 maxima for nearly the whole land surface. However, the range in magnitude of increases
6265 was substantial indicating a sensitivity to model formulations (Clark et al., 2006).

6266

6267 Events that are rare could become more commonplace. Recent studies using both
6268 individual models (Kharin and Zwiers, 2005) and an ensemble of models (Wehner 2006,
6269 Kharin, et al 2007) show that events that currently reoccur on average once every 20
6270 years (i.e., have a 5% chance of occurring in a given year) will become significantly more
6271 frequent over North America. For example, by the middle of the 21st century, in
6272 simulations of the SRES A1B scenario, the recurrence period (or expected average
6273 waiting time) for the current 20-year extreme in daily average surface-air temperature
6274 reduces to three years over most of the continental United States and five years over most
6275 of Canada (Kharin, et al 2007). By the end of the century (Fig. 3.5a), the average
6276 reoccurrence time may further reduce to every other year or less (Wehner, 2006).

6277

6278 Similar behavior occurs for seasonal average temperatures. For example, Weisheimer and
6279 Palmer (2005) examined changes in extreme seasonal (DJF and JJA) temperatures in 14
6280 models for 3 scenarios. They showed that by the end of 21st century, the probability of
6281 such extreme warm seasons is projected to rise in many areas including North America.
6282 Over the North American region, an extreme seasonal temperature event that occurs 1 out
6283 of 20 years in the present climate becomes a 1 in 3 year event in the A2 scenario by the
6284 end of this century. This result is consistent with that from the perturbed physics
6285 ensemble of Clark et al. (2006) where, for nearly all land areas, extreme JJA temperatures
6286 were at least 20 times and in some areas 100 times more frequent compared to the control
6287 ensemble mean, making these changes greater than the ensemble spread.

6288

6289 Others have examined possible future cold-air outbreaks. Vavrus et al. (2006) analysed 7
6290 AOGCMs run with the A1B scenario, and defined a cold air outbreak as 2 or more
6291 consecutive days when the daily temperatures were at least 2 standard deviations below
6292 the present-day winter-time mean. For a future warmer climate, they documented a
6293 decline in frequency of 50 to 100% in NH winter in most areas compared to present-day,
6294 with some of the smallest reductions occurring in western North America due to
6295 atmospheric circulation changes (blocking and ridging on West Coast) associated with
6296 the increase of GHGs.

6297

6298 Several recent studies have addressed explicitly possible future changes in heat waves
6299 (very high temperatures over a sustained period of days), and found that in a future
6300 climate there is an increased risk of more intense, longer-lasting and more frequent heat

6301 waves (Meehl and Tebaldi, 2004; Schär et al., 2004; Clark et al., 2006). Meehl and
6302 Tebaldi (2004) related summertime heat waves to circulation patterns in the models and
6303 observations. They found that the more intense and frequent summertime heat waves
6304 over the southeast and western U.S. were related in part to base state circulation changes
6305 due to the increase in GHGs. An additional factor for extreme heat is drier soils in a
6306 future warmer climate (Brabson et al., 2005; Clark et al., 2006). The “Heat Index”, a
6307 measure of the apparent temperature felt by humans that includes moisture influences,
6308 was projected in a GFDL model study to increase substantially more than the air
6309 temperature in a warming climate in many regions (Delworth et al. 1999). The regions
6310 most prone to this effect included humid regions of the tropics and summer hemisphere
6311 extratropics, including the Southeast U.S. and Caribbean. A multi-model ensemble
6312 showed that simulated heat waves increase during the latter part of the 20th century, and
6313 are projected to increase globally and over most regions including North America
6314 (Tebaldi et al., 2006), though different model parameters can influence the range in the
6315 magnitude of this response (Clark et al., 2006).

6316

6317 Warm episodes in ocean temperatures can stress marine ecosystems, causing impacts
6318 such as coral bleaching (e.g., Liu et al. 2006). Key factors appear to be clear skies, low
6319 winds and neap tides occurring near annual maximum temperatures since they promote
6320 heating with little vertical mixing of warm waters with cooler, deeper layers (Strong et al.
6321 2006). At present, widespread bleaching episodes do not appear to be related to
6322 variability such as ENSO cycles (Arzayus and Skirving 2004) or Pacific Decadal
6323 Oscillation (Strong et al. 2006). The 2005 Caribbean coral bleaching event has been

6324 linked to warm ocean temperatures that appear to have been partially due to long-term
6325 warming associated with anthropogenic forcing and not a manifestation of unforced
6326 climate variability alone (Donner et al. 2007). Warming trends in the ocean increase the
6327 potential for temperatures to exceed thresholds for mass coral bleaching, and thus may
6328 greatly increase the frequency of bleaching events in the future, depending on the ability
6329 of corals and their symbionts to adapt to increasing water temperatures (see Donner et al.
6330 2007 and references therein).

6331

6332 A decrease in diurnal temperature range in most regions in a future warmer climate was
6333 reported in Cubasch et al. (2001) and is substantiated by more recent studies (e.g., Stone
6334 and Weaver, 2002), which are assessed in the 2007 IPCC report (Meehl et al. 2007a,
6335 Christensen et al. 2007). However, noteworthy departures from this tendency have been
6336 found in the western portion of the US (particularly the Southwest), where increased
6337 diurnal temperature ranges occur in several regional (e.g., Bell et al. 2004, Leung et al.
6338 2004) and global (Christensen et al., 2007) climate-change simulations. Increased diurnal
6339 temperature range often occurs in areas that experience drying in the summer.

6340

6341 **3.3.2 Frost**

6342 As the mean climate warms, the number of frost days are expected to decrease (Cubasch
6343 et al. 2001). Meehl et al (2004a) have shown that there would indeed be decreases in frost
6344 days in a future warmer climate in the extratropics, particularly along the northwest coast
6345 of North America, with the pattern of the decreases dictated by the changes in
6346 atmospheric circulation from the increase in GHGs. Results from a multi-model ensemble

6347 show simulated and observed decreases in frost days for the 20th century continuing into
6348 the 21st century over North America and most other regions (Meehl et al. 2007a, Fig.
6349 3.1b). By then end of the 21st century, the number of frost days averaged over North
6350 America has decreased by about 1 month in the 3 future scenarios considered here.

6351

6352 In both the models and the observations, the number of frost days is decreasing over the
6353 20th century (Fig. 3.1b). This decrease is generally related to warming climate, although
6354 the pattern of the warming and pattern of the frost-days changes (Fig. 3.2) are not well
6355 correlated. The decrease in the number of frost days per year is biggest in the Rockies
6356 and along the west coast of North America. The 21st century frost day pattern of change
6357 is similar to the 20th century pattern, just much larger in magnitude. In some places by
6358 2100, the number of frost days decrease by more than 2 months.

6359

6360 These changes would have a large impact on biological activity both positive and
6361 negative (See chapter 1 for more discussion). An example of a positive change is that
6362 there would be increase in growing season length directly related to the decrease in frost
6363 days per year. A negative example is fruit trees, which need a certain number of frost
6364 periods per winter season to set their buds. In places, this threshold would no longer be
6365 exceeded. Note also that changes in wetness and CO₂ content of the air would also impact
6366 the biological changes.

6367

6368

6369

6370 **3.3.3 Growing Season Length**

6371 A quantity related to frost days in many mid and high latitude areas, particularly in the
6372 Northern Hemisphere, is growing season length as defined by Frich et al. (2002), and this
6373 has been projected to increase in future climate in most areas (Tebaldi et al., 2006). This
6374 result is also shown in a multi-model ensemble where the simulated increase in growing
6375 season length in the 20th century continues into the 21st century over North America and
6376 most other regions (Meehl et al. 2007a, Fig. 3.1c). The growing season length has
6377 increased by about 1 week over the 20th century when averaged over all of North
6378 America in the models and observations. By the end of the 21st century, the growing
6379 season is on average more than 2 weeks longer than present day. (For more discussion on
6380 the reasons these changes are important, see chapter 1)

6381

6382 **3.3.4 Snow Cover and Sea Ice**

6383 Warming generally leads to reduced snow and ice cover (Meehl et al. 2007a). Reduction
6384 in perennial sea ice may be large enough to yield a summertime, ice-free Arctic Ocean by
6385 the end of the 21st century (Arzel et al. 2006; Zhang and Walsh 2006). Summer Arctic
6386 Ocean ice also may undergo substantial, decadal-scale abrupt changes rather than smooth
6387 retreat (Holland et al. 2006). The warming may also produce substantial reduction in the
6388 duration of seasonal ice in lakes across Canada and the U.S. (Hodgkins et al. 2002, Gao
6389 and Stefan 2004, Williams et al. 2004, Morris et al. 2005) and in rivers (Hodgkins et al.
6390 2003, Huntington et al. 2003). Reduced sea ice in particular, may produce more strong
6391 storms over the ocean (Section 3.3.10). Reduced lake ice may alter the occurrence of
6392 heavy lake-effect snowfall (Section 3.3.8). The annual cycle of snow cover and river

6393 runoff may be substantially altered in western U.S. basins (Miller et al. 2003, Leung et al.
6394 2004), affecting water-resource management and potentially exacerbating the impacts of
6395 droughts.

6396

6397 **3.3.5 Precipitation**

6398 Climate models continue to confirm the earlier results that in a future climate warmed by
6399 increasing GHGs, precipitation intensity (i.e., precipitation amount per event) is projected
6400 to increase over most regions (Wilby and Wigley, 2002; Kharin and Zwiers, 2005; Meehl
6401 et al., 2005a; Barnett et al., 2006), and the increase of precipitation extremes is greater
6402 than changes in mean precipitation (Kharin and Zwiers, 2005). Rare events precipitation
6403 events could become more commonplace in North America (Wehner, 2006, Kharin et al.
6404 2007). For example, by the middle of the 21st century, in simulations of the SRES A1B
6405 scenario, the recurrence period (or expected average waiting time) for the current 20-year
6406 extreme in daily total precipitation reduces to between 12 and 15 years over much of
6407 North America (Kharin, et al 2007). By the end of the century (Fig. 3.5b), the expected
6408 average reoccurrence time may further reduce to every six to eight years (Wehner, 2006,
6409 Kharin, et al 2007). Note the area of little change in expected average reoccurrence time
6410 in the central United States in Fig. 3.5b.

6411

6412 As discussed in section 3.2.3 of this chapter and in Hegerl et al. (2007), the substantial
6413 increase in precipitation extremes is related to the fact that the energy budget of the
6414 atmosphere constrains increases of large-scale mean precipitation, but extreme
6415 precipitation responds to increases in moisture content and thus the nonlinearities

6416 involved with the Clausius-Clapeyron relationship. This behavior means that for a given
6417 increase in temperature, increases in extreme precipitation can be relatively larger than
6418 the mean precipitation increase (e.g., Allen and Ingram, 2002), so long as the character of
6419 the regional circulation does not change substantially (Pall et al., 2007). Additionally,
6420 timescale can play a role whereby increases in the frequency of seasonal mean rainfall
6421 extremes can be greater than the increases in the frequency of daily extremes (Barnett et
6422 al., 2006). The increase of mean and extreme precipitation in various regions has been
6423 attributed to contributions from both dynamic (circulation) and thermodynamic (moisture
6424 content of the air) processes associated with global warming (Emori and Brown, 2005)
6425 although the precipitation mean and variability changes are largely due to the
6426 thermodynamic changes over most of North America. Changes in circulation also
6427 contribute to the pattern of precipitation intensity changes over northwest and northeast
6428 North America (Meehl et al., 2005a). Kharin and Zwiers (2005) showed that changes to
6429 both the location and scale of the extreme value distribution produced increases of
6430 precipitation extremes substantially greater than increases of annual mean precipitation.
6431 An increase in the scale parameter from the gamma distribution represents an increase in
6432 precipitation intensity, and various regions such as the Northern Hemisphere land areas in
6433 winter showed particularly high values of increased scale parameter (Semenov and
6434 Bengtsson, 2002; Watterson and Dix, 2003). Time slice simulations with a higher
6435 resolution model ($\sim 1^\circ$) show similar results using changes in the gamma distribution,
6436 namely increased extremes of the hydrological cycle (Voss et al., 2002).
6437
6438

6439 **3.3.6 Flooding and Dry Days**

6440 Changes in the precipitation extremes have a large impact on both flooding and the
6441 number of precipitation free days. The discussion of both is combined because their
6442 changes are related, in spite of the apparent contradiction.

6443

6444 A number of studies have noted that increased rainfall intensity may imply increased
6445 flooding. McCabe et al. (2001) and Watterson (2005) showed there was an increase in
6446 extreme rainfall intensity in extratropical surface lows, particularly over Northern
6447 Hemisphere land. However, analyses of climate changes from increased greenhouse
6448 gases gives mixed results, with increased or decreased risk of flooding depending on the
6449 model analyzed (Arora and Boer 2001, Milly et al. 2002, Voss et al. 2002).

6450

6451 Global and North American averaged time series of the Frich et al. (2002) indices in the
6452 multi-model analysis of Tebaldi et al. (2006) show simulated increases in heavy
6453 precipitation during the 20th century continuing through the 21st century (Meehl et al.
6454 2007a, Fig. 3.1d), along with a somewhat weaker and less consistent trend for increasing
6455 dry periods between rainfall events for all scenarios (Meehl et al. 2007a). Part of the
6456 reason for these results is that precipitation intensity increases almost everywhere, but
6457 particularly at mid and high latitudes, where mean precipitation increases (Meehl et al.,
6458 2005a).

6459

6460 There are regions of increased runs of dry days between precipitation events in the
6461 subtropics and lower midlatitudes, but a decreased number of consecutive dry days at

6462 higher midlatitudes and high latitudes where mean precipitation increases. Since there are
6463 areas of both increases and decreases of consecutive dry days between precipitation
6464 events in the multi-model average, the global mean trends are smaller and less consistent
6465 across models. Consistency of response in a perturbed physics ensemble with one model
6466 shows only limited areas of increased frequency of wet days in July, and a larger range of
6467 changes of precipitation extremes relative to the control ensemble mean in contrast to the
6468 more consistent response of temperature extremes (discussed above), indicating a less
6469 consistent response for precipitation extremes in general compared to temperature
6470 extremes (Barnett et al., 2006).

6471

6472 Associated with the risk of drying is a projected increase in chance of intense
6473 precipitation and flooding. Though somewhat counter-intuitive, this is because
6474 precipitation is projected to be concentrated into more intense events, with longer periods
6475 of little precipitation in between. Therefore, intense and heavy episodic rainfall events
6476 with high runoff amounts are interspersed with longer relatively dry periods with
6477 increased evapotranspiration, particularly in the subtropics (Frei et al., 1998; Allen and
6478 Ingram, 2002; Palmer and Räisänen, 2002; Christensen and Christensen, 2003; Beniston,
6479 2004; Christensen and Christensen, 2004; Pal et al., 2004; Meehl et al., 2005a). However,
6480 increases in the frequency of dry days do not necessarily mean a decrease in the
6481 frequency of extreme high rainfall events depending on the threshold used to define such
6482 events (Barnett et al., 2006). Another aspect of these changes has been related to the
6483 mean changes of precipitation, with wet extremes becoming more severe in many areas
6484 where mean precipitation increases, and dry extremes becoming more severe where the

6485 mean precipitation decreases (Kharin and Zwiers, 2005; Meehl et al., 2005a; Räisänen,
6486 2005a; Barnett et al., 2006). However, analysis of a 53-member perturbed-physics
6487 ensemble indicates that the change in the frequency of extreme precipitation at an
6488 individual location can be difficult to estimate definitively due to model parameterization
6489 uncertainty (Barnett et al., 2006).

6490

6491 **3.3.7 Drought**

6492 A long-standing result from global coupled models noted in Cubasch et al. (2001) has
6493 been a projected increase of summer drying in the midlatitudes in a future warmer
6494 climate, with an associated increased risk of drought. The more recent generation of
6495 models continues to show this behavior (Burke et al., 2006; Meehl et al., 2006b, 2007a;
6496 Rowell and Jones, 2006). For example, Wang (2005) analyzed 15 recent AOGCMs to
6497 show that in a future warmer climate, the models simulate summer dryness in most parts
6498 of northern subtropics and midlatitudes, but there is a large range in the amplitude of
6499 summer dryness across models. Hayhoe et al. (2007) found in an ensemble of AOGCMs
6500 an increased frequency of droughts lasting a month or longer in the northeastern U.S.
6501 Droughts associated with summer drying could result in regional vegetation die-offs
6502 (Breshears et al., 2005) and contribute to an increase in the percentage of land area
6503 experiencing drought at any one time. For example, extreme drought increases from 1%
6504 of present day land area (by definition) to 30% by the end of the century in the Hadley
6505 Centre AOGCM's A2 scenario (Burke et al., 2006). Drier soil conditions can also
6506 contribute to more severe heat waves as discussed above (Brabson et al., 2005).

6507

6508 A recent analysis of Milly et al. (2005) shows that several AOGCMs project greatly
6509 reduced annual water availability over the southwest US and northern Mexico in the
6510 future (Fig. 3.6). In the historical context, this area is subject to very severe and long
6511 lasting droughts (Cook et al. 2004). The tree-ring record indicates that the late 20th
6512 century was a time of greater than normal water availability. However, the consensus of
6513 most climate models is for a reduction of cool season precipitation across the Southwest
6514 and northwest Mexico (Christensen et al., 2007). This is consistent with a recent 10-year
6515 shift to shorter and weaker winter rainy seasons and an observed northward shift in
6516 northwest Pacific winter storm tracks (Yin, 2005). Reduced cool season precipitation
6517 promotes drier summer conditions by reducing the amount of soil water available for
6518 evapotranspiration in summer.

6519

6520 The model projections of reduced water availability over the southwest US and Mexico
6521 in the future needs further study. The uncertainty associated with these projections is
6522 related to the ability of models to simulate the precipitation distribution and variability in
6523 the present climate and to correctly predict the response to future changes. For example,
6524 the uncertainty associated with the ENSO response to climate change (Zelle et al. 2005,
6525 Meehl et al. 2007a) also impacts the projections of future water availability in southwest
6526 US and northern Mexico (e.g., Meehl and Tebaldi 2007). See Chapter 1 for more
6527 discussion on the importance of drought.

6528

6529

6530

6531 3.3.8 Snowfall

6532 Extreme snowfall events could change as a result of both precipitation and temperature
6533 change. Although reductions in North American snow depth and areal coverage have
6534 been projected (Frei and Gong, 2005; Bell and Sloan, 2006; Déry and Wood, 2006), there
6535 appears to be little analysis of changes in extreme snowfall. An assessment of possible
6536 future changes in heavy lake-effect snowstorms (Kunkel et al. 2002) from the Laurentian
6537 Great Lakes found that surface air temperature increases are likely to be the dominant
6538 factor. They examined simulations from 2 different climate models and found that
6539 changes in the other factors favorable for heavy snow events were relatively small. In the
6540 snowbelts south of Lakes Ontario, Erie and Michigan, warming decreases the frequency
6541 of temperatures in the range of -10 °C to 0 °C that is favorable for heavy lake-effect
6542 snowfall. Thus, decreases in event frequency are likely in these areas. However, in the
6543 northern, colder snowbelts of the Great Lakes, such as the Upper Peninsula of Michigan,
6544 moderate increases in temperature have minor impacts on the frequency of favorable
6545 temperatures because in the present climate temperatures are often too cold for very
6546 heavy snow; warming makes these days more favorable, balancing the loss of other days
6547 that become too warm. Thus, the future frequency of heavy events may change little in
6548 the northern snowbelts.

6549

6550 Increased temperature suggests that heavy snow events downwind of the Great Lakes will
6551 begin later in the season, and on most lakes end earlier. Also, increased temperature with
6552 concomitant increased atmospheric moisture implies that in central and northern Canada,

6553 Alaska, and other places cold enough to snow (e.g., high mountains) the intensity of
6554 heavy snow events may increase.

6555

6556 **3.3.9 Tropical Storms**

6557 **3.3.9.1 Introduction**

6558 In response to future anthropogenic climate warming (IPCC 2001) tropical cyclones
6559 could potentially change in a number of important ways, including frequency, intensity,
6560 size, duration, tracks, area of genesis or occurrence, precipitation, and storm surge
6561 characteristics.

6562

6563 Overarching sources of uncertainty in future projections of hurricanes include
6564 uncertainties in future emission scenarios for climatically important radiative forcings,
6565 global-scale climate sensitivity to these forcings and the limited capacity of climate
6566 models to adequately simulate intense tropical cyclones. The vulnerability to storm surge
6567 flooding from future hurricanes will very likely be enhanced to some degree due to
6568 continuing global sea level rise associated with anthropogenic warming, modulated by
6569 local sea level changes due to other factors such as local land elevation changes and
6570 regionally varying sea level rise patterns. These related topics are covered in more detail
6571 in other CCSP Synthesis and Assessment Products 2-1, 3-2, and 4-1, or IPCC Fourth
6572 Assessment Report chapters on climate sensitivity, future emission scenarios, and sea
6573 level rise. An assessment of the state of understanding of tropical cyclones and climate
6574 change as of 2006 has been prepared by the tropical cyclone community (IWTC VI,
6575 2006; section 3.2.4 of this document). Although not published in the literature as yet, the

6576 full summary statement and condensed summary are available online at
6577 <http://www.wmo.ch/web/arep/arep-home.html>.

6578

6579 Future projections of hurricanes will depend upon not only on global mean climate
6580 considerations, but also on regional-scale projections of a number of aspects of climate
6581 that can potentially affect tropical cyclone behavior. These include:

- 6582 • The local potential intensity (Emanuel 2005a; 2006a, Holland 1997), which
6583 depends on sea surface temperatures, atmospheric temperature and moisture
6584 profiles, and near-surface ocean temperature stratification;
- 6585 • Influences of vertical wind shear, large-scale vorticity, and other circulation
6586 features (Gray 1968; 1984; Goldenberg et al. 2001; Bell and Chelliah 2006); and,
- 6587 • The characteristics of precursor disturbances such as easterly waves and their
6588 interaction with the environment (Dunn 1940, Frank and Clarke 1980, Pasch et al
6589 1998, Thorncroft and Hodges 2001).

6590 Details of future projections in regions remote from the tropical storm basin in question
6591 may also be important. For example, El Nino fluctuations in the Pacific influence
6592 Atlantic basin hurricane activity (Chapter 2, Section 3.2 of this chapter). West African
6593 monsoon activity has been correlated with Atlantic hurricane activity (Gray 1990), as
6594 have African dust outbreaks (Evans et al. 2006). Zhang and Delworth (2006) show how a
6595 warming of the northern tropical Atlantic SST relative to the southern tropical Atlantic
6596 produces atmospheric circulation features, such as reduced vertical wind shear of the
6597 mean wind field, that are correlated with low-frequency variations in major hurricane
6598 activity (Goldenberg et al. 2001).

6599

6600 The high sensitivity of tropical storm and hurricane activity in the Atlantic basin to
6601 modest environmental variations suggests the possibility of strong sensitivity of hurricane
6602 activity to anthropogenic climate change, though the nature of such changes remains to
6603 be determined. Confidence in any future projections of anthropogenic influence on
6604 Atlantic hurricanes will depend on the reliability of future projections of the local
6605 thermodynamic state (e.g., potential intensity) as well as circulation changes driven by
6606 both local and remote influences, as described above. Projected effects of global warming
6607 on El Niño remain uncertain (Timmermann, 1999; Zelle et al., 2005; Meehl et al. 2007a).
6608 There is climate model-based evidence that the time-mean climate late in the 21st century
6609 will be characterized by higher tropical-cyclone potential intensity in most tropical-
6610 cyclone regions, and also tend toward having a decreased east-west overturning
6611 circulation in the Pacific sector in the 21st century, with likely consequences for vertical
6612 wind shear and other characteristics in the tropical Atlantic (Vecchi and Soden 2007).

6613

6614 Even assuming that the climate factors discussed above can be projected accurately,
6615 additional uncertainties in hurricane future projections arise from uncertainties in
6616 understanding and modeling the response of hurricanes to changing environmental
6617 conditions. This is exacerbated by projections that the large-scale conditions for some
6618 factors, such as decadal means and seasonal extremes of SSTs, will be well outside the
6619 range of historically experienced values. This raises questions of the validity of statistical
6620 models trained in the present day climate (Ryan et al. 1992; Royer et al. 1998), thus the
6621 emphasis here is placed on physical models and inferences as opposed to statistical

6622 methods and extrapolation. Thus, we consider projections based on global and regional
6623 nested modeling frameworks as well as more idealized modeling or theoretical
6624 frameworks developed specifically for hurricanes. The idealized approaches include
6625 potential intensity theories as well as empirical indices which attempt to relate tropical
6626 cyclone frequency to large-scale environmental conditions. Global and regional nested
6627 models simulate the development and life cycle of tropical storm-like phenomena that are
6628 typically much weaker and with a larger spatial scale than observed tropical cyclones.
6629 These model storms are identified and tracked using automated storm tracking
6630 algorithms, which typically differ in detail between studies but include both intensity and
6631 “warm-core” criteria which must be satisfied. Models used for existing studies vary in
6632 horizontal resolution, with the low-resolution models having a grid spacing of about 300
6633 km, medium resolution with grid spacing of about 120 km, and high resolution with grid
6634 spacing of 20-50 km.

6635

6636 **3.3.9.2 Tropical Cyclone Intensity**

6637 Henderson-Sellers et al. (1998), in an assessment of tropical cyclones and climate
6638 change, concluded that the warming resulting from a doubling of CO₂ would cause the
6639 potential intensity of tropical cyclones to remain the same or increase by 10 to 20%.
6640 (Their estimate was given in terms of central pressure fall; all other references to intensity
6641 in this section will refer to maximum surface winds, except where specifically noted
6642 otherwise.) They also noted limitations of the potential intensity theories, such as sea
6643 spray influences and ocean interactions. Further studies using a high resolution hurricane
6644 prediction model for case studies or idealized experiments under boundary conditions

6645 provided from high CO₂ conditions (Knutson et al. 1998; Knutson and Tuleya 1999;
6646 2004; 2007) have provided additional model-based evidence to support these theoretical
6647 assessments. For a CO₂-induced tropical SST warming of 1.75C, they found a 14%
6648 increase in central pressure fall (Fig. 3.7) and a 6% increase in maximum surface wind or
6649 a maximum wind speed sensitivity of about 4% per degree Celsius (Knutson and Tuleya
6650 2007). In a related study, Knutson et al. (2001) demonstrated that inclusion of an
6651 interactive ocean in their idealized hurricane model did not significantly affect the
6652 percentage increase in hurricane intensity associated with CO₂-induced large-scale SST
6653 warming. Caveats to these idealized studies are the simplified climate forcing (CO₂ only
6654 versus a mixture of forcings in the real world) and neglect of potentially important factors
6655 such as vertical wind shear and changes in tropical cyclone distribution.

6656

6657 Global climate model experiments have historically been performed at resolutions which
6658 precluded the simulation of realistic hurricane intensities (e.g., major hurricanes). To
6659 date, the highest resolution tropical cyclone/climate change experiment published is that
6660 of Oouchi et al. (2006). Under present climate conditions, they simulated tropical
6661 cyclones with central pressures as low as about 935 hPa and surface wind speeds as high
6662 as about 53 m/sec. Oouchi et al. report a 14% increase in the annual maximum tropical
6663 cyclone intensity globally and a 20% increase in the Atlantic, both in response to a
6664 greenhouse-warming experiment with global SSTs increasing by about 2.5°C. A notable
6665 aspect of their results is the finding that the occurrence rate of the most intense storms
6666 increased despite a large reduction in the global frequency of tropical cyclones.
6667 Statistically significant intensity increases in their study were limited to two of six basins

6668 (North Atlantic and South Indian Ocean). Bengtsson et al. (2007) also find a slightly
6669 reduced tropical storm frequency in the Atlantic coupled with an increase in the
6670 intensities (measured in terms of relative vorticity) of the most intense storms. The latter
6671 finding only became apparent at relative high model resolution (~30-40 km grid).
6672
6673 Other studies using comparatively lower resolution models have reported tropical-
6674 cyclone intensity results. However, the simulated response of intensity to changes in
6675 climate in lower resolution models may not be reliable as they have not been able to
6676 simulate the marked difference in achievable tropical-cyclone intensities for different
6677 SST levels (e.g., Yoshimura et al. 2006) as documented for observed tropical cyclones
6678 (DeMaria and Kaplan 1994; Whitney and Hobgood 1997; Baik and Paek 1998). Given
6679 this important caveat, the lower resolution model results for intensity are mixed: Tsutsui
6680 (2002) and McDonald et al. (2005) report intensity increases under warmer climate
6681 conditions, while Sugi et al. (2002), Bengtsson et al. (2006), and Hasegawa and Emori
6682 (2005; western North Pacific only) , and Chauvin et al. (2006; North Atlantic only) found
6683 either no increase or a decrease of intensity.
6684
6685 Vecchi and Soden (2007) present maps of projected late 21st century changes in
6686 Emanuel's potential intensity, vertical wind shear, vorticity, and mid-tropospheric
6687 relative humidity as obtained from the latest (IPCC AR4, 2007) climate models (Fig.
6688 3.8). While their results indicate an increase in potential intensity in most tropical cyclone
6689 regions, the Atlantic basin in particular displays a mixture with about two-thirds of the
6690 area showing increases and about one-third slight decreases. In some regions, they also

6691 found a clear tendency for increased vertical wind shear and reduced mid-tropospheric
6692 relative humidity – factors that are detrimental for tropical storm development. In the
6693 Gulf of Mexico and closer to the U.S. and Mexican coasts the potential intensity
6694 generally increases. The net effect of these composite changes remains to be modelled in
6695 detail, although existing global modelling studies (Oouchi et al. 2006; Bengtsson et al.
6696 2007) suggest increases in the intensities and frequencies of the strongest storms. In the
6697 Eastern Pacific, the potential intensity is predicted to increase across the entire basin,
6698 although the vertical wind shear increases may counteract this to some extent.

6699

6700 A more recent idealized calculation by Emanuel et al. (2006) finds that artificially
6701 increasing the modelled potential intensity by 10% leads to a marked increase in the
6702 occurrence rate of relatively intense hurricanes (Fig. 3.9a), and to a 65% increase in the
6703 PDI. Increasing vertical wind shear by 10% leads to a much smaller decrease in the
6704 occurrence rate of relatively intense hurricanes (Fig. 3.9b) and a 12% reduction in the
6705 PDI. This suggests that increased potential intensity in a CO₂-warmed climate implies a
6706 much larger percentage change in potential destructiveness of storms from wind damage
6707 than the percentage change in wind speed itself.

6708

6709 In summary, theory and high-resolution idealized models indicate increasing intensity
6710 and frequency of the strongest hurricanes/typhoons in a CO₂-warmed climate. Parts of the
6711 Atlantic basin may have small decreases in the upper limit intensity, according to one
6712 multi-model study of theoretical potential intensity. Expected changes in tropical cyclone
6713 intensity and their confidence is therefore assessed as follows: in the Atlantic and North

6714 Pacific basins, some increase of maximum surface wind speeds of the strongest
6715 hurricanes and typhoons is likely. We estimate the likely range for the intensity change
6716 (in terms of maximum surface winds) to be +2% to +10% per degree Celsius tropical sea
6717 surface warming over most tropical storm regions. This range is based on our subjective
6718 judgement that the likely range is from about half to twice the sensitivity found in current
6719 hurricane models and theory. Furthermore, the balance of evidence suggests that
6720 maximum intensities may decrease in some regions, particularly in parts of the Atlantic
6721 basin, even though sea surfaces are expected to warm in all regions.

6722

6723 This assessment assumes that there is no change in geographical distribution of the
6724 storms (i.e. the storms move over the same locations, but with a generally warmer
6725 climate). On the other hand, there is evidence (Holland and Webster 2007a) that changes
6726 in distribution (e.g. tropical-cyclone development occurring more equatorward, or
6727 poleward of present day) have historically been associated with large changes in the
6728 proportion of major hurricanes. It is uncertain how such distributions will change in the
6729 future (see below), but such changes potentially could strongly modify the projections
6730 reported here.

6731

6732 **3.3.9.3 Tropical Cyclone Frequency and Area of Genesis**

6733 In contrast to the case for tropical-cyclone intensity, the existing theoretical frameworks
6734 for relating tropical-cyclone frequency to global climate change are relatively less well-
6735 developed. Gray (1979) developed empirical relationships that model the geographical
6736 variation of tropical-cyclone genesis in the present climate relatively well, but several

6737 investigators have cautioned against the use of these relationships in a climate change
6738 context (Ryan et al. 1992, Royer et al. 1998). Royer et al. proposed a modified form of
6739 the Gray relationships based on a measure of convective rainfall as opposed to SST or
6740 oceanic heat content, but this alternative has not been widely tested. They showed that
6741 tropical-cyclone frequency results for a future climate scenario depended strongly on
6742 whether the modified or unmodified genesis parameter approach was used. More
6743 recently, Emanuel and Nolan (2004) and Nolan et al. (2006) have developed a new
6744 empirical scheme designed to be more appropriate for climate change application (see
6745 also Camargo et al. 2006), but tropical-cyclone frequency/climate change scenarios with
6746 this framework have not been published to date.

6747

6748 Vecchi and Soden (2007) have assessed the different components of the Emanuel and
6749 Nolan (2004) scheme using outputs from the IPCC AR4 models. Their results suggest
6750 that a decrease in tropical cyclone frequency may occur over some parts of the Atlantic
6751 basin associated with a SW-NE oriented band of less favorable conditions for tropical
6752 cyclogenesis and intensification, including enhanced vertical wind shear, reduced mid-
6753 tropospheric relative humidity, and slight decrease in potential intensity. The enhanced
6754 vertical shear feature (present in about 14 of 18 models in the Caribbean region) also
6755 extends into the main cyclogenesis region of the Eastern Pacific basin. Physically, this
6756 projection is related to the weakening of the east-west oriented Walker Circulation in the
6757 Pacific region, similar to that occurring during El Nino events. During El Nino conditions
6758 in the present-day climate, hurricane activity is reduced, as occurred for example in the
6759 latter part of the 2006 season. While this projection may appear at odds with

6760 observational evidence for an increase in Atlantic tropical storm counts during the past
6761 century (Holland and Webster 2007a; Vecchi and Knutson 2007), there is evidence that
6762 this has occurred in conjunction with a regional decreasing trend in storm occurrence and
6763 formation rates in the western part of the Caribbean and Gulf of Mexico (Vecchi and
6764 Knutson 2007; Holland 2007). Earlier, Knutson and Tuleya (2004) had examined the
6765 vertical wind shear of the zonal wind component for a key region of the tropical Atlantic
6766 basin using nine different coupled models from the CMIP2+ project. Their analysis
6767 showed a slight preference for increased vertical shear under high CO2 conditions if all
6768 of the models are considered, and a somewhat greater preference for increased shear if
6769 only the six models with the most realistic present-day simulation of shear in the basin
6770 are considered. Note that these studies are based on different sets of models, and that a
6771 more idealized future forcing scenario was used in the earlier Knutson and Tuleya study.
6772

6773 Alternative approaches to the empirical analysis of large-scale fields are the global and
6774 regional climate simulations, in which the occurrence of model tropical cyclones can be
6775 tracked. Beginning with the early studies of Broccoli and Manabe (1990), Haarsma et al.
6776 (1993), and Bengtsson et al. (1996), a number of investigators have shown that global
6777 models can generate tropical storm-like disturbances in roughly the correct geographical
6778 locations with roughly the correct seasonal timing. The annual occurrence rate of these
6779 systems can be quite model dependent (Camargo et al. 2005) and is apparently sensitive
6780 to various aspects of model physics (e.g., Vitart et al. 2001).
6781

6782 The notion of using global models to simulate the climate change response of tropical
6783 cyclone counts is given some support by several studies showing that such models can
6784 successfully simulate certain aspects of interannual to interdecadal variability of tropical-
6785 cyclone occurrence seen in the real world (Vitart et al. 1997; Carmargo et al. 2005; Vitart
6786 and Anderson 2001). A recent regional model dynamical downscaling study (Knutson et
6787 al. 2007) with an 18 km grid model, and a more idealized modelling approach (Emanuel
6788 et al. 2007) both indicate that the increase in hurricane activity in the Atlantic from 1980-
6789 2005 can be reproduced in a model using specified SSTs and large-scale historical
6790 atmospheric information from reanalyses.

6791

6792 Since tropical storms are relatively rare events and can exhibit large interannual to
6793 interdecadal variability, large sample sizes (i.e. many seasons) are typically required to
6794 test the significance of any changes in a model simulation against the model's "natural
6795 variability".

6796

6797 The most recent future projection results obtained from medium and high resolution (120
6798 km-20 km) GCMs are summarized in Table 3.2. Among these models, the higher
6799 resolution ones indicate a consistent signal of fewer tropical cyclones globally in a
6800 warmer climate, while two lower resolution models find essentially no change. There are,
6801 however, regional variations in the sign of the changes, and these vary substantially
6802 between models (Table 3.2). For the North Atlantic in particular, more tropical storms are
6803 projected in some models, despite a large reduction globally (Sugi et al. 2002; Oouchi et
6804 al. 2006), while fewer Atlantic tropical cyclones are projected by other models (e.g.,

6805 McDonald et al. 2005; Bengtsson et al. 2007). It is not clear at present how the Sugi et al.
6806 (2002) and Oouchi et al. (2006) results for the Atlantic reconciles with the tendency for
6807 increased vertical wind shear projected for parts of that basin by most recent models
6808 (Vecchi and Soden 2007). For example, Oouchi et al. (2006) did not analyze how
6809 Atlantic vertical wind shear changed in their warming experiment. However, their results
6810 suggest that a future increase in tropical cyclone frequency in the Atlantic is at least
6811 plausible, based on current models. Chauvin et al. (2006) and Emanuel et al. (2007) find,
6812 in multi-model experiments, that the sign of the changes in tropical cyclone frequency in
6813 the north Atlantic basin depends on the climate model used . All of these results cited
6814 here should be treated with some caution, as it is not always clear that these changes are
6815 greater than the model's natural variability, or that the natural variability or the tropical-
6816 cyclone genesis process are being properly simulated in the models.

6817

6818 From the above summarized results, it is not clear that current models provide a confident
6819 assessment of even the sign of change of tropical storm frequency in the Atlantic, East
6820 Pacific, or Northwest Pacific basins. From an observational perspective, recent studies
6821 (Chapter 2) report that there has been a long term increase in Atlantic tropical-cyclone
6822 counts since the late 1800s, although the magnitude and in some cases statistical
6823 significance of the trend depends on adjustments for missing storms early in the record.

6824

6825 Based on the above available information, we assess that it is unknown how late 21st
6826 century tropical cyclone frequency in the Atlantic and North Pacific basins will change,
6827 compared to the historical period (~1950-2006).

6828 3.3.9.4 Tropical Cyclone Precipitation

6829 The notion the tropical cyclone precipitation rates could increase in a warmer climate is
6830 based on the hypothesis that moisture convergence into tropical cyclones will be
6831 enhanced by the increased column integrated water vapor – with the increased water
6832 vapor being extremely like to accompany a warming of tropical SSTs. The increased
6833 moisture convergence would then be expected to lead to enhanced precipitation rates.
6834 This mechanism has been discussed in the context of extreme precipitation in general by
6835 Trenberth (1999), Allen and Ingram (2002), and Emori and Brown (2005). In contrast to
6836 the near-storm or storm core precipitation rate, accumulated rainfall at a locality along the
6837 storm's path is strongly dependent upon the speed of the storm, and there is little
6838 guidance at present on whether any change in this factor is likely in a future warmed
6839 climate.

6840

6841 An enhanced near-storm tropical rainfall rate for high CO₂ conditions has been
6842 simulated, for example, by Knutson and Tuleya (2004, 2007) based on an idealized
6843 version of the GFDL hurricane model. The latter study reported an increase of 21.6% for
6844 a 1.75°C tropical SST warming (Fig. 3.10), or about 12% per degree Celsius SST
6845 increase. Using a global model, Hasegawa and Emori (2005) found an increase in
6846 tropical-cyclone-related precipitation in a warmer climate in the western North Pacific
6847 basin, despite a decrease in tropical-cyclone intensity there in their model. Chauvin et al
6848 (2006) found a similar result in the North Atlantic in their model, and Yoshimura et al.
6849 (2006) found a similar result on a global domain. There are issues with all of these
6850 modelling studies as they are of course resolution and thus generally depend on

6851 parameterization of much of the rainfall within the grid box. Further there is a tendency
6852 towards tropical cyclone rainfall simulations that have a high bias in core rainfall rates
6853 (e.g. Marchok et al. 2007). Nevertheless, the consistent result of an increased rainfall with
6854 greenhouse warming over a number of models, together with the theoretical expectations
6855 that this will occur lends credibility to there being a real trend.

6856

6857 Based on the modeling studies to date, the relatively straightforward proposed physical
6858 mechanism, and the observed increases in extremely heavy rainfall in the U.S. (although
6859 not established observationally for hurricane-related rainfall (Groisman et al. 2004)) we
6860 assess the projections that hurricane related rainfall (per storm) will increase in the 21st
6861 century as likely. Note that if the frequency of tropical cyclones decreases, the total
6862 rainfall from tropical cyclones may decrease. The expected general magnitude of the
6863 change for storm core rainfall rates is about +6% to +18% per degree Celsius increase in
6864 tropical sea surface temperature.

6865

6866 **3.3.9.5 Tropical Cyclone Size, Duration, Track, Storm Surge, and Regions of** 6867 **Occurrence**

6868 In this section, other possible impacts of greenhouse gas induced climate warming on
6869 tropical cyclones are briefly assessed. The assessment is highly preliminary and the
6870 discussion for these relatively brief owing to the lack of detailed studies on these possible
6871 impacts at this time.

6872

6873 Wu and Wang (2004) explored the issue of tropical cyclone track changes in a climate
6874 change context. Based on experiments derived from one climate model, they found some
6875 evidence for inferred track changes in the NW Pacific, although the pattern of changes
6876 was fairly complex.

6877

6878 Concerning storm duration, using an idealized hurricane simulation approach in which
6879 the potential intensity of a large sample of Atlantic basin storms with synthetically
6880 generated storm tracks was artificially increased by 10%, Emanuel (2006b) found that the
6881 average storm lifetime of all storms increased by only 3%, whereas the average duration
6882 at hurricane intensity for those storms that attained hurricane intensity increased by 15%.
6883 However, in the Atlantic and NE Pacific, future changes in duration are quite uncertain,
6884 owing to the uncertainties in formation locations and potential circulation changes
6885 mentioned previously.

6886

6887 Few studies have attempted to assess possible future changes in hurricane size. Knutson
6888 and Tuleya (1999) noted that the radius of hurricane-force winds increased a few percent
6889 in their experiments in which the intensities also increased a few percent.

6890

6891 An important question for regions along the periphery of tropical cyclone basins is
6892 whether regions with have never or only infrequently experienced tropical cyclones in
6893 recorded history may experience them more frequently in the future owing to climate
6894 change. Little guidance is available at present on this important question.

6895

6896 Storm surge depends on many factors, including storm intensity, size and track, local
6897 bathymetry and the structure of coastal features such as wetlands and river inlets.
6898 Unknowns in storm frequency, tracks, size and future changes to coastal features lead to
6899 considerable uncertainty in assessing storm surge changes. However, the high confidence
6900 of there being future sea level rise as well as the likely increase of intensity of the
6901 strongest hurricanes, leads to an assessment that the potential for storm surge damage
6902 (per hurricane) is very likely to increase.

6903

6904 In summary, tropical cyclone size, duration, track and regions of occurrence are
6905 important questions that need to be addressed. However, based on available published
6906 work and previous assessments, it is unknown how these will change in the future
6907 (IWTC-VI 2006). Storm surge damage (per hurricane) is likely to rise.

6908

6909 **3.3.9.6 Reconciliation of Future Projections and Past Variations**

6910 In this section, we attempt to reconcile the future projections discussed above with the
6911 past observed variations in TC activity. The balance of evidence suggests that human
6912 activity has caused a discernible increase in tropical storm/hurricane and major hurricane
6913 frequency in the North Atlantic. U.S. landfalling hurricane frequency has not increased.
6914 However, it is more difficult to judge whether anthropogenic forcing will cause further
6915 increases in basin-wide activity as the climate continues to warm, since the precise
6916 physical reasons for the observed increases have not been fully elucidated. It is noted that
6917 relevant anthropogenic forcing includes increasing greenhouse gases, as well as changes
6918 in aerosol forcing, and possibly decreasing stratospheric ozone and other factors

6919 associated with cooling upper atmospheric (~100mb) temperatures in recent decades
6920 (Emanuel 2007a). A recent modeling study (Knutson et al. 2007) indicates that the
6921 increase in hurricane activity in the Atlantic from 1980-2005 can be reproduced using a
6922 high-resolution nested regional model downscaling approach. However the various
6923 changes in the large-scale atmospheric and SST forcings used to drive their regional
6924 model were prescribed from observations.

6925

6926 No published model study has directly simulated a substantial century-scale rise in
6927 Atlantic tropical cyclone counts similar to those reported for the observations (e.g., Ch.
6928 2). In fact the 20th century behavior in TC frequency has not yet been documented for
6929 existing models. One exception is Bengtsson et al. (2007) who simulate little change in
6930 tropical storm frequencies comparing the late 1800s and late 1900s. Given the future
6931 regional climate projections arising from the models, including the multi-model
6932 consensus increase of vertical wind shear in the IPCC AR4 models (Vecchi and Soden
6933 2007), the substantial variability among existing models of such projected characteristics
6934 as Atlantic vertical wind shear and the differing mixtures of climate forcings that may be
6935 relevant in the two periods, we anticipate that it would be difficult to confidently
6936 extrapolate the strong increasing trend in 20th century storm counts using future
6937 consensus projections available from existing models. Nonetheless, a significant trend (or
6938 anthropogenic signal, whether trend-like or not) detected in observed tropical cyclone
6939 activity and attributed to increasing greenhouse gases could imply that a future increase
6940 in tropical cyclone frequency in the Atlantic is much more likely than assessed here.

6941

6942 **3.3.10 Extratropical Storms**

6943 Scientists have used a variety of methods for diagnosing extratropical storms in GCM
6944 projections of future climate. These include sea-level pressure (Lambert and Fyfe 2006),
6945 strong surface winds (Fischer-Bruns et al. 2005), lower atmosphere vorticity (Bengtsson
6946 et al. 2006) and significant wave heights (Wang et al. 2004; Caires et al. 2006).
6947 Consequently, there are no consistent definitions used to diagnose extreme extratropical
6948 storms. Some analyses do not, for example, determine events in extreme percentiles but
6949 rather consider storms that deepen below a threshold sea-level pressure (e.g., Lambert
6950 and Fyfe, 2006), though such thresholds may effectively select the most extreme
6951 percentiles.

6952

6953 Wave heights of course indicate strong storms only over oceans, but the strongest
6954 extratropical storms typically occur in ocean storm tracks, so all three methods focus on
6955 similar regions. Ocean storms in the North Atlantic and North Pacific are relevant for this
6956 study because they affect coastal areas and shipping to and from North America. GCMs
6957 projecting climate change can supply sea-level pressure and surface winds, but they
6958 typically do not compute significant wave heights. Rather, empirical relationships (Wang
6959 et al. 2004; Caires et al. 2006) using sea-level pressure anomalies and gradients provide
6960 estimates of significant wave heights.

6961

6962 Despite the variety of diagnoses, some consistent changes emerge in analyses of
6963 extratropical storms under anthropogenic greenhouse warming. Projections of future
6964 climate indicate strong storms will be more frequent (Fig. 3.11; Wang et al. 2004,

6965 Fischer-Bruns et al. 2005, Bengtsson et al. 2006, Caires et al. 2006, Lambert and Fyfe
6966 2006, Pinto et al. 2007), though the overall number of storms may decrease. These
6967 changes are consistent with observed trends over the last half of the twentieth century
6968 (Paciorek et al. 2002). More frequent strong storms may reduce the frequency of all
6969 extratropical storms by increasing the stability of the atmosphere (Lambert and Fyfe
6970 2006). Analyses of strong winds (Fischer-Bruns et al. 2005, Pinto et al. 2007), lower
6971 atmosphere vorticity (Bengtsson et al. 2006) and significant wave heights (Wang et al.
6972 2004; Caires et al. 2006) from single models suggest increased storm strength in the
6973 northeast Atlantic, but this increase is not apparent an analysis using output from multiple
6974 GCMs (Lambert and Fyfe 2006). Differences may be due to the focus on cold season
6975 behavior in the wind and wave analyses, whereas Lambert and Fyfe's (2006) analysis
6976 includes the entire year.

6977

6978 The warming projected for the 21st century is largest in the high latitudes due to a
6979 poleward retreat of snow and ice resulting in enhanced warming (Meehl et al. 2007a).
6980 Projected seasonal changes in sea ice extent show summertime ice area declining much
6981 more rapidly than wintertime ice area and that sea ice thins largest where it is initially the
6982 thickest, which is consistent with observed sea ice thinning in the late 20th century (Meehl
6983 et al. 2007a). Increased storm strength the northeast Atlantic found by some may be
6984 linked to the poleward retreat of arctic ice (Fischer-Bruns et al. 2005) and a tendency
6985 toward less frequent blocking and more frequent positive phase of the Northern Annular
6986 mode (Pinto et al. 2007), though further analysis is needed to diagnose physical
6987 associations with ice line, atmospheric temperature and pressure structures and storm

6988 behavior. Whether or not storm strength increases, the retreat of sea ice together with
6989 changing sea levels will likely increase the exposure of arctic coastlines to damaging
6990 waves and erosion produced by strong storms (Lynch et al. 2004, Brunner et al. 2004,
6991 Cassano et al. 2006), continuing an observed trend of increasing coastal erosion in arctic
6992 Alaska (Mars and Houseknecht, 2007). Rising sea levels, of course, may expose all
6993 coastlines to more extreme wave heights (e.g., Cayan et al., 2007).

6994

6995 **3.3.11 Convective Storms**

6996 Conclusions about possible changes in convective precipitating storms (CPSs) and
6997 associated severe-weather hazards under elevated greenhouse gas concentrations have
6998 remained elusive. Perhaps the most important reason for this is the mesoscale (10s of km)
6999 and smaller dynamics that control behavior of these storms, particularly the initiation of
7000 storms. Marsh et al. (2007) and Trapp et al. (2007) have evaluated changes in the
7001 frequency of environments that are favorable for severe thunderstorms in GCM
7002 simulations of greenhouse-enhanced climates. In both cases, increases in the frequency of
7003 environments favorable to severe thunderstorms are seen, but the absence of the
7004 mesoscale details in the models means that the results are preliminary. Nevertheless, the
7005 approach and the use of nested models within the GCMs show promise for yielding
7006 estimates of changes in extreme convective storms.

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8064

8065 **Table 3.1 Models and scenarios used for computing the Frich et al. (2002) indices**
 8066 **for North America that appear in this document.**

Scenario	Models
SRES A1B	ccsm3.0 cnrm gfdl2.0 gfdl2.1 inmcm3 ipsl miroc3_2_medres miroc3_2_hires mri_cgcm2_3_2a
SRES A2	cnrm gfd2.0 gfdl2.1 inmcm3 ipsl miroc3_2_medre mri_cgcm2_3_2a
SRES B1	ccsm3.0 cnrm gfdl2.0 gfdl2.1 inmcm3 ipsl miroc3_2_medres miroc3_2_hires

8067

8068 **Table 3.2 Summary of tropical storm frequency, expressed as a percent of present**
 8069 **day levels, as simulated by several climate GCMs under global warming conditions.**

Reference	Model	Resolution	Experiment				
				Global	N Atlantic	NW Pacific	NE Pacific
Sugi et al. 2002	JMA timeslice	T106 L21 (~120km)	10y 1xCO ₂ , 2xCO ₂	<u>66</u>	<u>161</u>	<u>34</u>	33
Tsutsui 2002	NCAR CCM2	T42 L18	10y 1xCO ₂ 2xCO ₂ from 115y CO ₂ 1% pa	102	86	111	91
McDonald et al. 2005	HadAM3 timeslice	N144 L30 (~100km)	15y IS95a 1979-1994 2082-2097	<u>94</u>	<u>75</u>	<u>70</u>	<u>180</u>
Hasegawa and Emori 2005	CCSR/NIES /FRCGC timeslice	T106 L56 (~120km)	5x20y at 1xCO ₂ 7x20y at 2xCO ₂			96	
Yoshimura et al. 2006	JMA timeslice	T106 L21 (~120km)	10y 1xCO ₂ , 2xCO ₂	<u>85</u>			
Bengtsson et al. 2006	ECHAM5- OM	T63 L31 1.5° L40	A1B 3 members 30y 20C and 21C	94			
Oouchi et al. 2006	MRI/JMA timeslice	TL959 L60 (~20km)	10y A1B 1982-1993	<u>70</u>	<u>134</u>	<u>62</u>	<u>66</u>

			2080-2099				
Chauvin et al. 2006	ARPEGE- Climat time slice	Stretched non-uniform grid (~50 km)	10y CNRM SRES-B2: Hadley SRES-A2:		118 <i>75</i>		
Bengtsson et al. 2007	ECHAM5 time slice	up to T319 (down to ~30-40 km grid)	20yr, A1B scenario	---	<i>87</i>	<i>72</i>	<i>107</i>

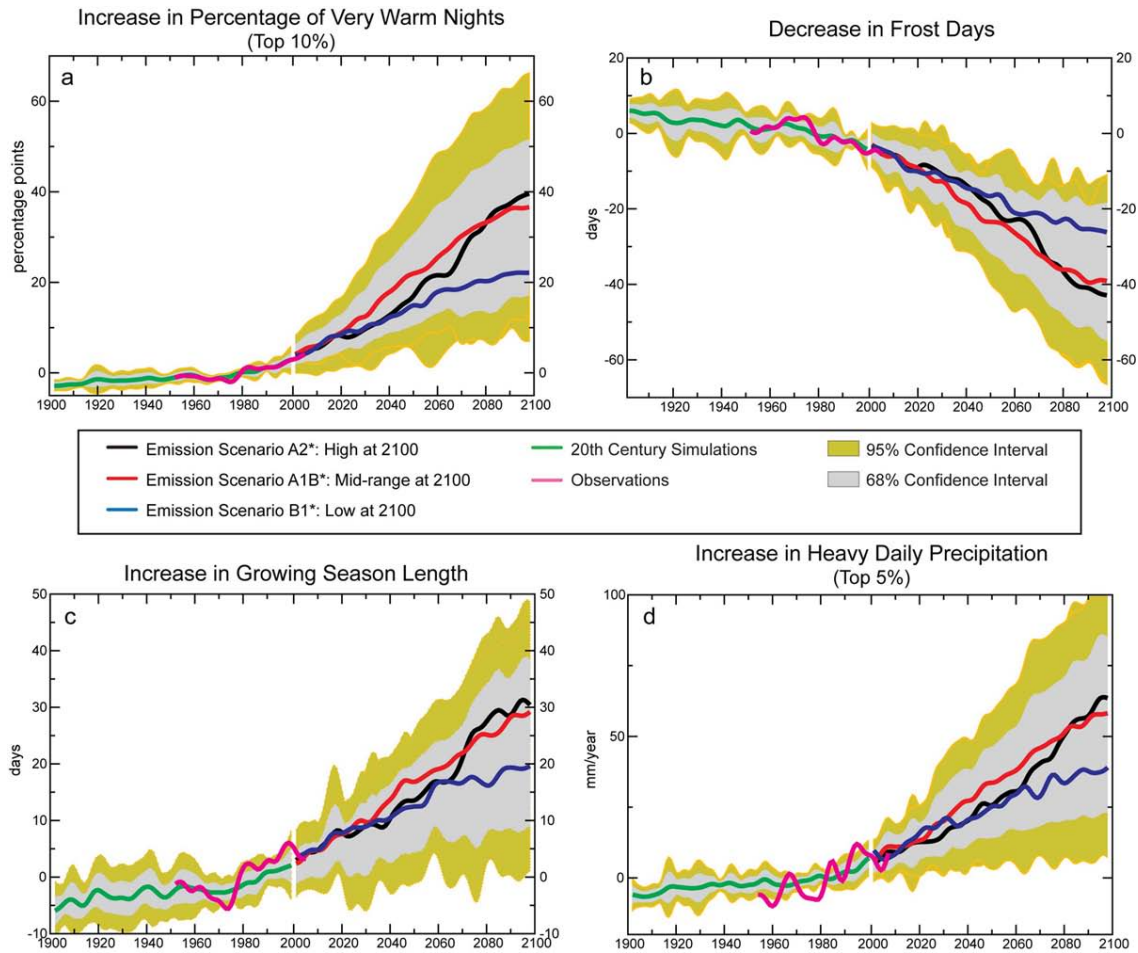
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8071 **Bold** = significantly **more** tropical storms in the future simulation

8072 *Italic* = significantly *fewer* tropical storms in the future simulation

8073 Plain text = not significant or significance level not tested

8074



8075

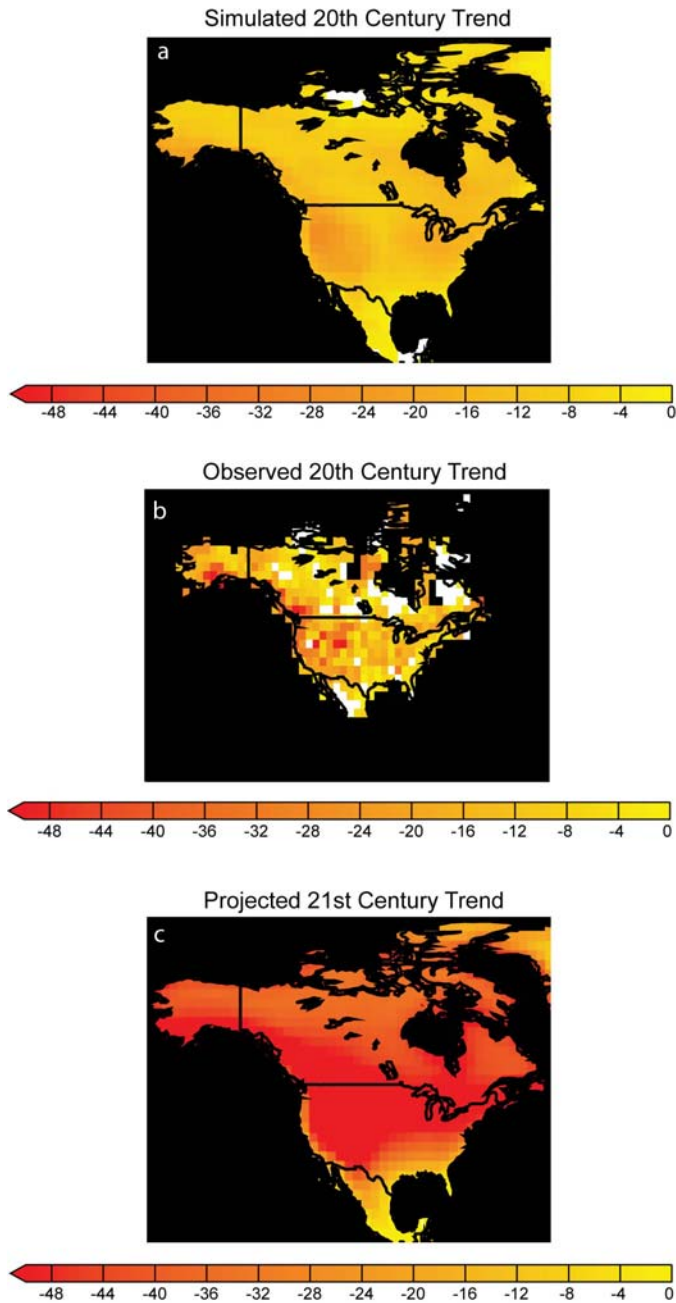
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8077 **Figure 3.1** Indices (Frich et al. ,2002) averaged over North America for model
 8078 simulations and observations for the 20th and 21st centuries showing changes relative to
 8079 1961-1990 in the a) percentage of days in a year for which daily low temperature is in the
 8080 top 10% of warm nights for the period 1961-1990, b) number of frost days per year, c)
 8081 growing season length (days) and d) sum of precipitation on days in the top 5% of heavy
 8082 precipitation days for the period 1961-1990. In the 20th century, the 1- σ and 2- σ bounds
 8083 are computed from the ensemble of 20th century simulations. In the future, the bounds are

8084 from an ensemble of simulations that used the A1B, A2 or B1 scenarios^{*}. The bounds are
8085 the max (or min) standard deviation plus (or minus) signal over all three scenarios. The
8086 model plots are obtained from the CMIP-3 multi-model data set at PCMDI and the
8087 observations are from Peterson et al. (2007).

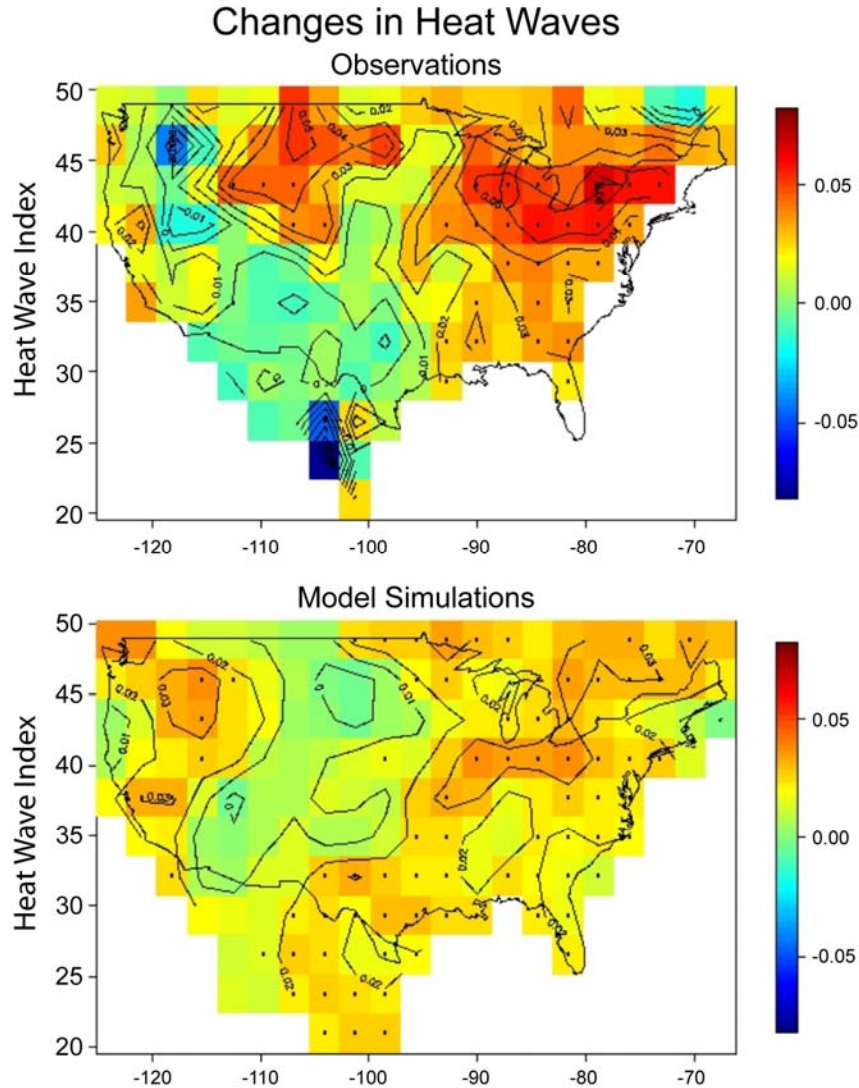
^{*}3 future emission scenarios from the IPCC Special Report on Emissions Scenarios:
B1 blue line: emissions increase very slowly for a few more decades, then level off and decline
A2 black line: emissions continue to increase rapidly and steadily throughout this century
A1B red line: emissions increase rapidly until 2050 and then decline.
There are more details on these emission scenarios in the glossary.

Decrease in Number of Frost Days Per Year



8089 **Figure 3.2** Indices (Frich et al., 2002) for frost days over North America for model
 8090 simulations and observations: a) 20th century trend for model ensemble, b) Observed 20th
 8091 century trend and c) 21st century trend for emission scenario A2 from model ensemble.

8092 The model plots are obtained from the CMIP-3 multi-model data set at PCMDI and the
 8093 observations are from Peterson et al. (2007).

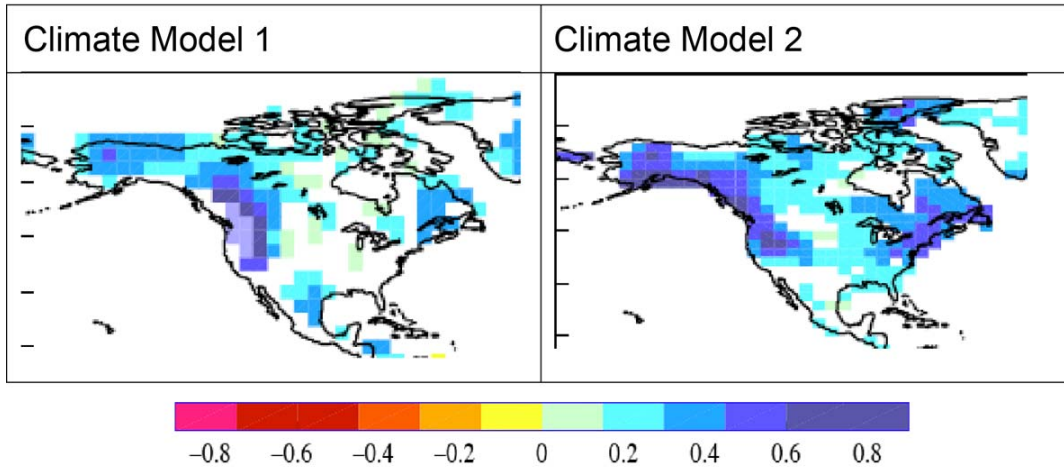


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8096 **Figure 3.3** Trends in the Karl-Knight heat-wave index (Karl and Knight, 1997) for 1961-
 8097 1990 in observations (top panel) and in an ensemble of climate simulations by the
 8098 Parallel Climate Model (bottom panel). Dots mark trends that are significant at the 95%
 8099 level.

Projected Increase in Very Heavy Rainfall Events

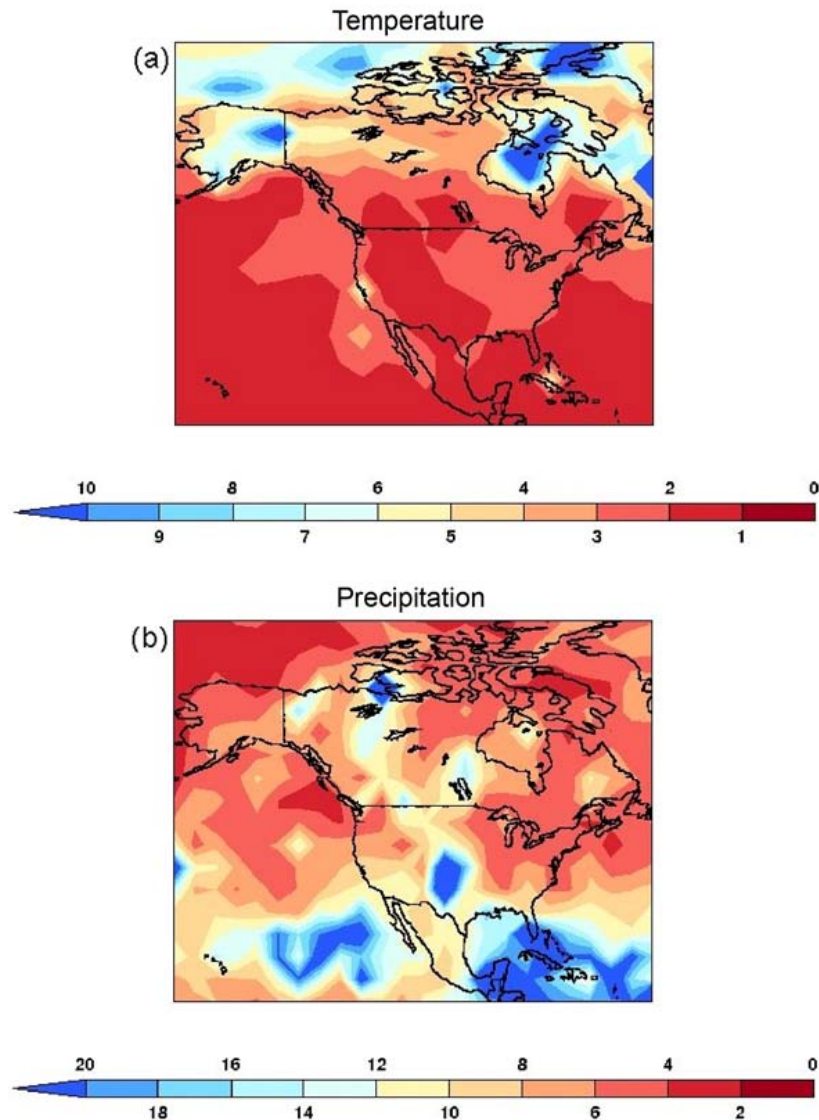


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8101

8102 **Figure 3.4** Comparison between regions with disproportionate trends in the number of
8103 exceedances of the heaviest rainfall events (99.7th percentile) in two climate models at the
8104 time of CO₂ doubling. See figure 2.8 for areas of N. America which show observed
8105 increases in very heavy rainfall Model 1 is the CGCM2 and model 2 is the HadCM3.
8106 After Groisman et al. (2005).

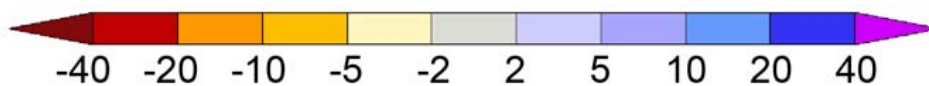
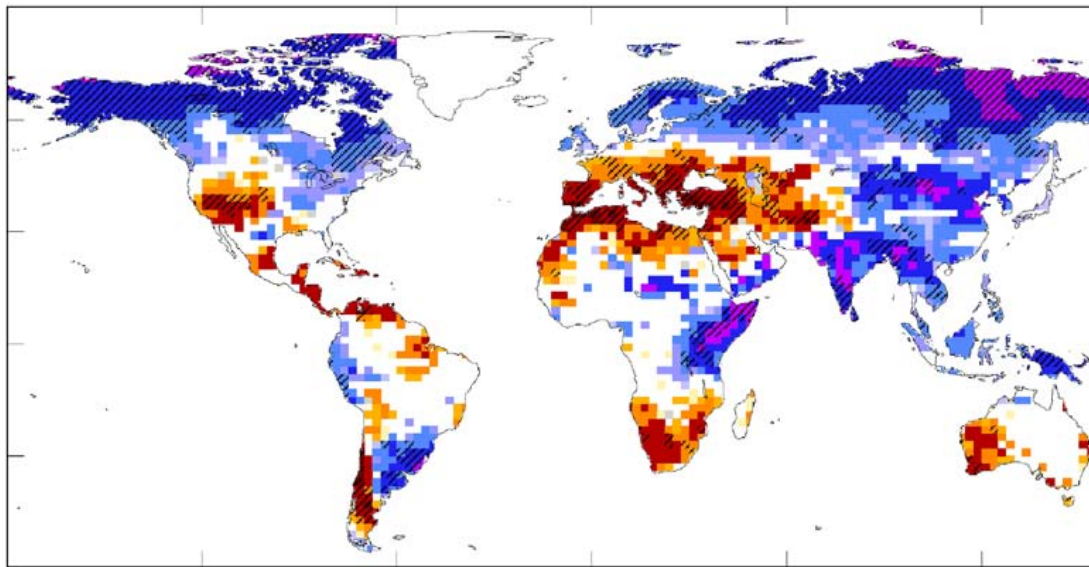
Projected Increase in Occurrence of a 1-in-20 Year Event



8107

8108 **Figure 3.5** Simulations for 2090-2099 indicating how currently rare extremes (a 1-in-20-
 8109 year event) are projected to become more commonplace. a) Temperature - a day so hot
 8110 that it is currently experienced once every 20 years would occur every other year or more
 8111 by the end of the century, (b) daily total precipitation events that occur on average every
 8112 20 years in the present climate would, for example, occur once in every 4-6 years for
 8113 N.E. North America. These results are based on a multi-model ensemble of global
 8114 climate models simulating the midrange A1B emission scenario*. (from Wehner 2005).
 8115 [units: years].

Percentage Change in Annual Runoff (2090-2099)



8116

8117

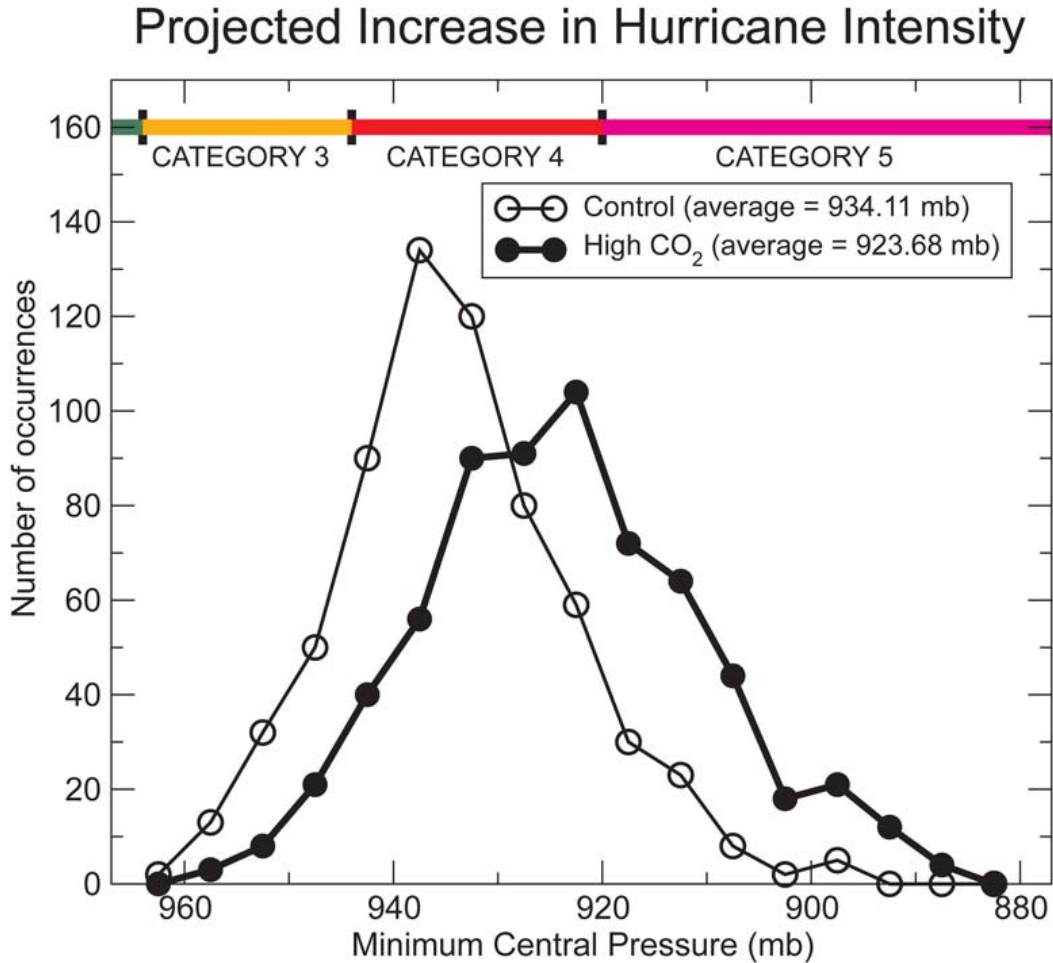
8118 **Figure 3.6** Change in annual runoff (%) for the period 2090-2099, relative to 1980-1999.

8119 Values are obtained from the median in a multi-model dataset that used the A1B emission

8120 scenario. White areas are where less than 66% of the models agree in the sign of change

8121 and stippled areas are where more than 90% models agree in the sign of change. [Derived

8122 from the analysis of Milly et al. (2005)]



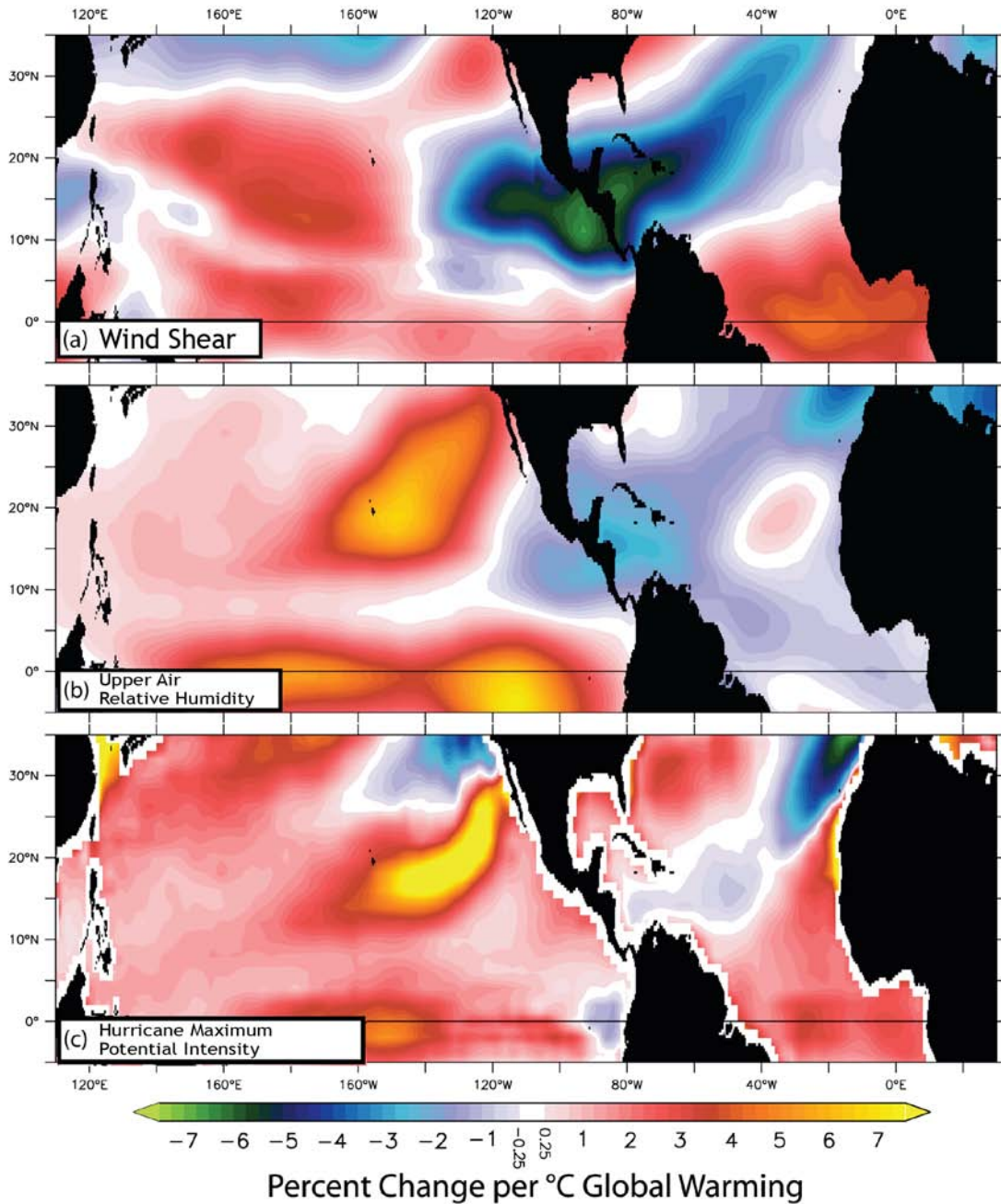
8123

8124

8125 **Figure 3.7** Frequency histograms of hurricane intensities in terms of central pressure
 8126 (mb) aggregated across all idealized hurricane experiments in the Knutson and Tuleya
 8127 (2004) study. The light curve shows the histogram from the experiments with present-day
 8128 conditions, while the dark curve is for high CO₂ conditions (after an 80 yr warming trend
 8129 in a +1%/yr CO₂ experiment). The results indicate that hurricanes in a CO₂-warmed
 8130 climate will have significantly higher intensities (lower central pressures) than hurricanes
 8131 in the present climate.

8132

Changes in Aspects of Climate that Regulate Hurricane Development



8133

8134

8135 **Figure 3.8** Percent changes in June-November ensemble mean a) vertical wind shear, b)

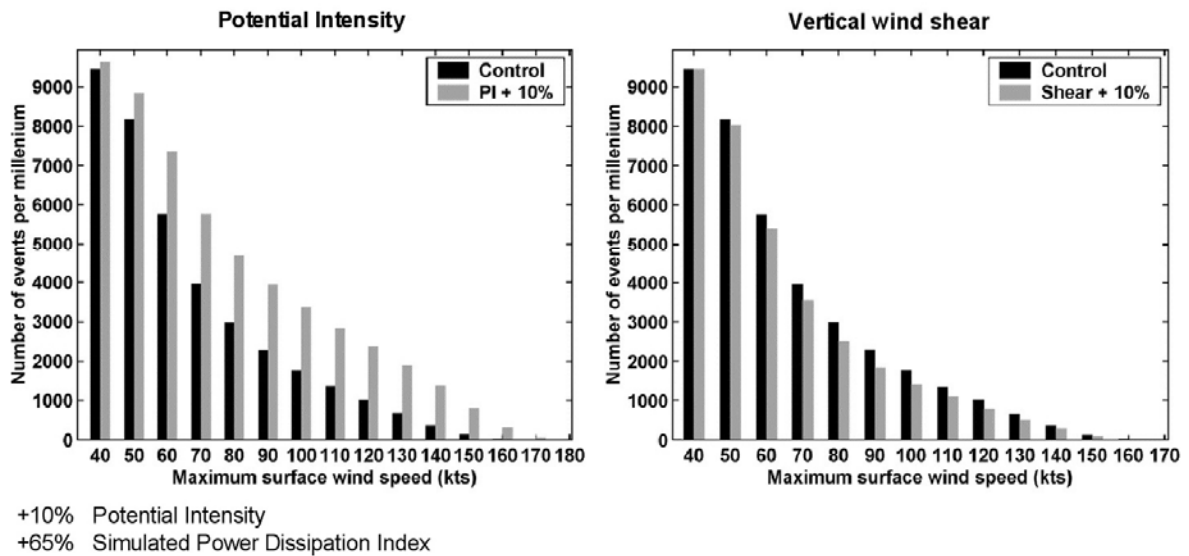
8136 mid-tropospheric relative humidity, and c) maximum potential intensity of tropical

8137 cyclones for the period 2081-2100 minus the period 2001-2021 for an ensemble of 18

8138 GCMs, available in the IPCC AR4 archive, using the A1B scenario. The percentage
8139 changes are normalized by the global surface air temperature increase projected by the
8140 models. From Vecchi and Soden (2007).

8141

Influence of Climatic Factors that Contribute to Hurricane Development



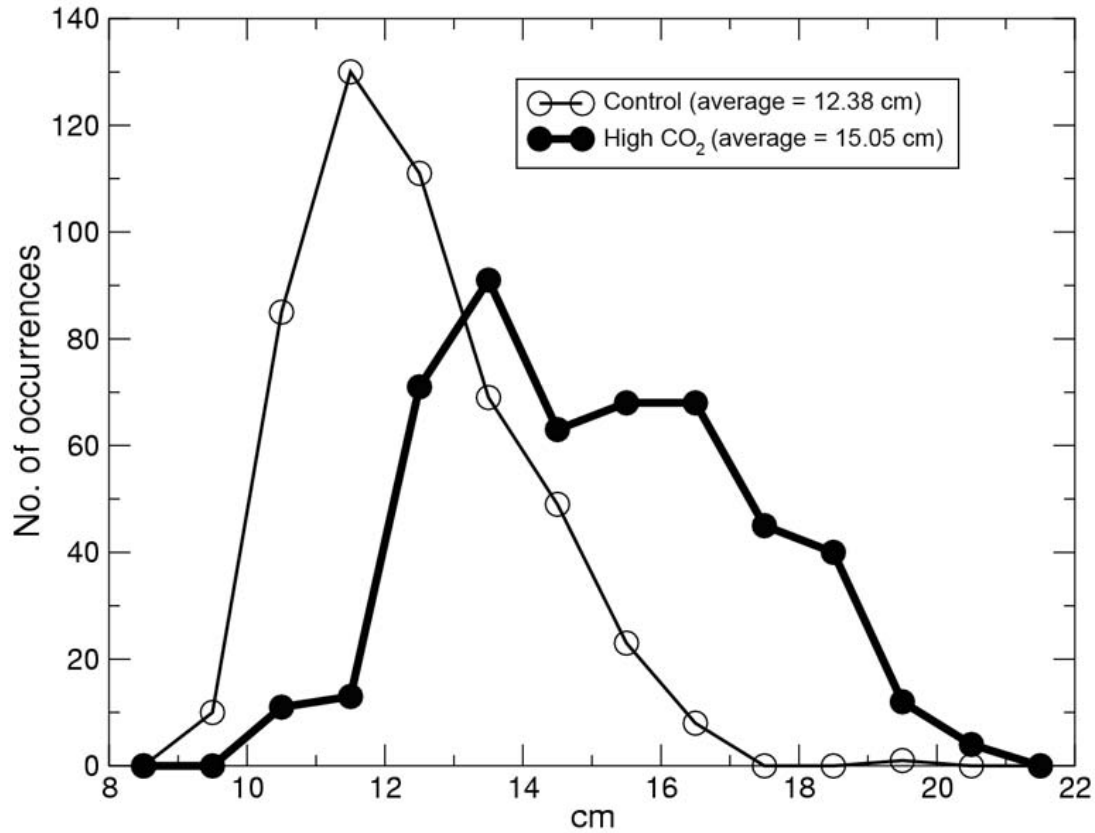
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8144 **Figure 3.9** Number of events per 1000 yrs with peak wind speeds exceeding the value
 8145 on the x-axis. Results obtained by running a simple coupled hurricane intensity prediction
 8146 model over a set of 3000 synthetic storm tracks for the North Atlantic. The grey bars
 8147 depict storms for present day climate conditions. The black bars depict storms for similar
 8148 conditions except that the potential intensity (a) or vertical wind shear (b) of the
 8149 environment is increased everywhere by 10%. From Emanuel (2006).

8150

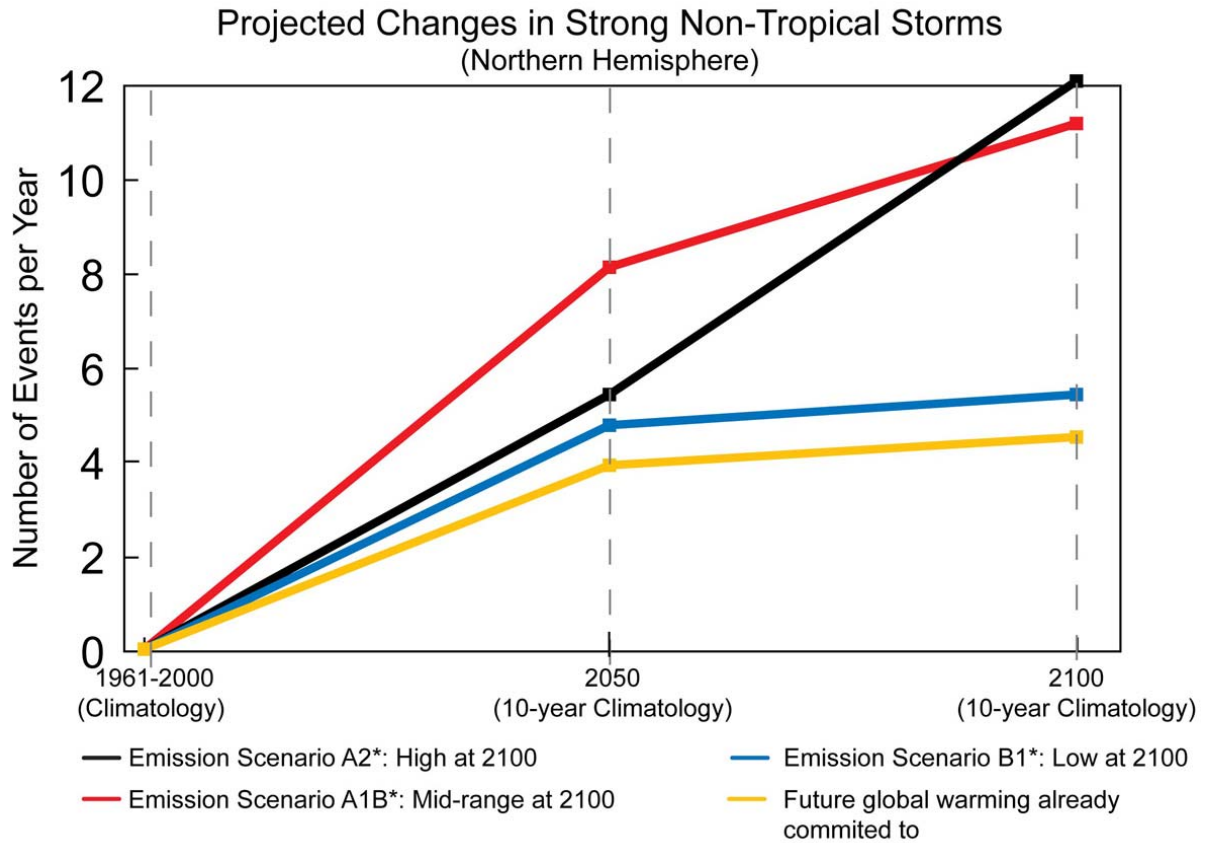
Increase in Hurricane (Near-Storm) Rainfall



8151

8152

8153 **Figure 3.10** As in Fig. 3.7, but for near-hurricane precipitation, estimated as the average
 8154 precipitation rate for the 102 model grid points (32,700 km² area) with highest
 8155 accumulated rainfall over the last 6 hours of the 5-day idealized hurricane experiments in
 8156 Knutson and Tuleya (2004). The results indicate that hurricanes in a CO₂-warmed
 8157 climate will have substantially higher core rainfall rates than those in the present climate.
 8158 (From Knutson and Tuleya, 2007).



8159
8160

8161 **Figure 3.11** The projected change in intense low pressure systems (strong storms) during
 8162 the cold season for the Northern Hemisphere for various emission scenarios* (adapted
 8163 from Lambert and Fyfe; 2006). Storms counted have central pressures less than 970 mb
 8164 and occur poleward of 30°N during 120-day season starting November 15. Adapted from
 8165 Lambert and Fyfe (2006).