

8 Marine Protected Areas

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1 **8.1 Summary**

2 Marine protected areas (MPAs) such as national marine sanctuaries provide place-based
3 management of marine ecosystems through various degrees and types of protective actions. A
4 goal of national marine sanctuaries is to maintain natural biological communities by protecting
5 habitats, populations, and ecological processes using community-based approaches. Biodiversity
6 and habitat complexity are key ecosystem characteristics that must be protected to achieve
7 sanctuary goals, and biologically structured habitats (such as coral reefs and kelp forests) are
8 especially susceptible to degradation resulting from climate change. Marine ecosystems are
9 susceptible to the effects of ocean acidification on carbonate chemistry, as well as to direct and
10 indirect effects of increasing temperatures, changing circulation patterns, increasing severity of
11 storms, and other factors.

12 13 **Key Findings**

14
15 *Implementing networks of MPAs may help spread the risks posed by climate change by*
16 *protecting multiple replicates of the full range of habitats and communities within an ecosystem.*
17 Recognizing that the science underlying our understanding of resilience is developing and that
18 climate change will not affect marine species equally everywhere, an element of spreading the
19 risk is needed in MPA design. To avoid the loss of a single habitat type, managers can protect
20 multiple samples of the full range of marine habitat types. In designing networks, managers can
21 consider information on areas that may represent potential refugia from climate change impacts
22 as well as information on connectivity (current patterns that support larval replenishment and
23 recovery) among sites that vary in their sensitivities to climate change. Larger MPAs are
24 necessary for networking to achieve goals such as protecting refugia and planning for
25 connectivity.

26
27 *Managers can increase resilience to climate change by managing other anthropogenic stressors*
28 *that also degrade ecosystems and by protecting key functional groups.* Examples of
29 anthropogenic stressors that can be managed at the site level include overfishing and
30 overexploitation; excessive inputs of nutrients, sediments, and pollutants; and habitat damage
31 and destruction. Reduction of these stressors may boost the ability of species, communities, and
32 ecosystems to tolerate climate-related stresses or recover after impacts have occurred. Resilience
33 is also affected by trophic linkages, which are a key characteristic maintaining ecosystem
34 integrity. Thus, a mechanism that has been identified to maintain resilience is the management of
35 functional groups, specifically herbivores. In one instance on the Great Barrier Reef, recovery
36 from an algae-dominated to a coral-dominated state was driven by a single batfish species, not
37 grazing by dominant parrotfishes or surgeonfishes that normally keep algae in check on reefs.
38 This finding highlights the need to protect a diversity of species within functional groups, and the
39 need for further research on key species and ecological processes that maintain resilience.

40
41 *Overcoming the challenges of climate change will require creative collaboration among a*
42 *variety of stakeholders.* MPAs that reinforce social resilience can provide communities with the
43 opportunity to strengthen social relations and political stability, and diversify economic options.
44 A variety of management actions that have been identified to reinforce social resilience include:
45 (1) providing opportunities for shared leadership roles within government and management

1 systems; (2) integrating MPAs and networks into broader coastal management initiatives to
2 increase public awareness and support of management goals; (3) encouraging local economic
3 diversification so that communities are able to deal with environmental, economic, and social
4 changes; (4) encouraging stakeholder participation and incorporating stakeholders' ecological
5 knowledge in a multi-governance system; and (5) making culturally appropriate conflict
6 resolution mechanisms accessible to local communities.

7
8 *A range of case studies highlight the variety of ecological issues and management challenges*
9 *found across MPAs.* Three case studies are based on coral reef ecosystems, which have
10 experienced coral bleaching events over the past two decades (see Case Study Summaries 8.1,
11 8.2, and 8.3). They span a range of levels of protection, from relatively low (Florida Keys) to
12 moderate (Great Barrier Reef) to complete (Northwestern Hawaiian Islands). The Great Barrier
13 Reef Marine Park is an example of an MPA with a relatively highly developed climate change
14 program in place that can serve as an example to other MPAs. A Coral Bleaching Response Plan
15 is part of its Climate Change Response Program, which is linked to a Representative Areas
16 Program and a Water Quality Protection Plan in a comprehensive approach to support the
17 resilience of the coral reef ecosystem. In contrast, the Florida Keys National Marine Sanctuary is
18 developing a bleaching response plan but does not have staff dedicated to climate-change issues.
19 The Florida Reef Resilience Program, under the leadership of The Nature Conservancy, is
20 implementing a quantitative assessment of coral reefs before and after bleaching events. Finally,
21 the recently established Papahānaumokuākea (Northwestern Hawaiian Islands) Marine National
22 Monument is the largest MPA in the world and provides a unique opportunity to examine the
23 effects of climate change on a nearly intact large-scale marine ecosystem that is fully protected.

24
25 A fourth case study (see Case Study Summary 8.4) examines the Channel Islands National
26 Marine Sanctuary, located off the coast of southern California. The Sanctuary Management Plan
27 for the Channel Islands National Marine Sanctuary mentions, but does not fully address, the
28 issue of climate change. The plan describes a strategy to identify, assess, and respond to
29 emerging issues through consultation with the Sanctuary Advisory Council and local, state, or
30 federal agencies. Emerging issues that are not yet addressed by the management plan include
31 ocean warming, sea level rise, shifts in ocean circulation, ocean acidification, spread of disease,
32 and shifts in species ranges.

33
34 *A number of opportunities exist for addressing barriers to implementation of adaptation options*
35 *in MPAs.* Barriers to implementation of adaptation options include lack of resources, varying
36 degrees of interest in and concern about climate change impacts, and gaps in basic research on
37 marine ecosystems and climate change effects. Opportunities include a growing public concern
38 about the marine environment, recommendations of two ocean commissions, and an increasing
39 dedication of marine scientists to conduct research that is relevant to MPA management.
40 References to climate change as well as MPAs permeate both the Pew Oceans Commission and
41 U.S. Commission on Ocean Policy reports on the state of the oceans. Both commissions held
42 extensive public meetings, and their findings reflect changing public attitudes about protecting
43 marine resources and threats of climate change. The National Marine Sanctuary Program
44 recently formed a Climate Change Working Group that will be developing recommendations as
45 well. Concurrent with public and policy interests, the interests of the marine science community
46 have also evolved, with a shift from basic to applied research over recent decades. Although

1 there is considerable research on physical impacts of climate change in marine systems, there are
2 major opportunities for research on biological effects and ecological consequences of climate
3 change. Attitudes of MPA managers have changed as well, with a growing recognition of the
4 need to better understand ecological processes in order to implement science-based adaptive
5 management in the ocean. Managers also perceive the increasing need to consider regional- and
6 global-scale issues in addition to traditional local-scale approaches.

7
8 *The most effective configuration of MPAs may be a network of highly protected areas nested*
9 *within a broader management framework.* As part of this configuration, areas that are
10 ecologically and physically significant and connected by currents, larval dispersal, and adult
11 movements could be identified and included as a way of enhancing resilience in the context of
12 climate change. Connectivity is an important part of ensuring larval exchange and the
13 replenishment of populations in areas damaged by natural or human-related agents, and thus can
14 enhance recovery following disturbance events. Critical areas to consider include nursery
15 grounds, spawning grounds, areas of high species diversity, areas that contain a variety of habitat
16 types in close proximity, and potential climate refugia. A high level of protection for these types
17 of areas should help protect key ecological processes that enhance resilience such as larval
18 production and recruitment, ecological interactions among full complements of species, and
19 ontogenetic changes in habitat utilization. Management of the areas surrounding MPAs helps
20 increase the likelihood of success of MPAs by creating a buffer zone between areas with high
21 levels of protective actions and those with none.

22

1 **8.2 Background and History**

2 **8.2.1 Introduction**

3 Coastal oceans and marine ecosystems are central to the lives and livelihoods of a large and
4 growing proportion of the U.S. population. They provide extensive areas for recreation and
5 tourism, and support productive fisheries. Some areas produce significant quantities of oil and
6 gas, and commercial shipping crosses coastal waters. In addition, coral reefs and barrier islands
7 provide coastal communities with some protection from storm-generated waves. In their global
8 analysis of the value of ecosystem services, Costanza *et al.* (1997) estimated that coastal marine
9 ecosystem services were worth more than one-third the value of all terrestrial and marine
10 ecosystem services combined (\$12.5 of \$33 trillion). Despite their value, coastal ecosystems and
11 the services they provide are becoming increasingly vulnerable to human pressures, and
12 management of coastal resources and human impacts generally is insufficient or ineffective
13 (Millennium Ecosystem Assessment, 2005).

14
15 As a result of coastal and shore-based human activities, marine ecosystems are exposed to a long
16 list of threats and stressors, including overexploitation of living marine resources, pollution,
17 redistribution of sediments, and habitat damage and destruction. There is an equally long list of
18 regulatory responses, including managing fisheries for sustainability, restricting ocean dumping,
19 reducing loads of nutrients and contaminants, controlling dredge-and-fill operations, managing
20 vessel traffic to reduce large-vessel groundings, and so on. These regulations are managed by
21 coastal states and the federal government, with state jurisdiction extending three nautical miles
22 (nm) offshore (9 nm in the Gulf of Mexico) and federal waters on out to 200 nm or the edge of
23 the continental shelf (the U.S. Exclusive Economic Zone, or U.S. EEZ). The total area of the
24 U.S. EEZ exceeds the total landmass of the coterminous United States by about one-half (Pew
25 Ocean Commission, 2003).

26
27 Broad-scale protections in the U.S. EEZ cover a wide range of types of marine ecosystems, from
28 low to high latitudes and across the Atlantic and Pacific Oceans. Shallow areas of these systems
29 share basic features in the form of biologically generated habitats: temperate kelp forests and salt
30 marshes, tropical coral reefs and mangroves, and seagrass beds. These habitats are fundamental
31 to ecosystem structure and function, and support a range of different community types (Bertness,
32 Gaines, and Hay, 2001). In addition, there are significant deep-water coral formations about
33 which we are just starting to increase our understanding (Rogers, 1999; Watling and Risk, 2002).

34
35 Embedded within the general protections of the U.S. EEZ are hundreds of federal marine
36 protected areas (MPAs) that are designed to provide place-based management at “special” places
37 (Barr, 2004) and other areas that have been identified as meriting protective actions. The term
38 “marine protected area” has been used in many ways (*e.g.*, Kelleher, Bleakley, and Wells, 1995;
39 Agardy, 1997; Palumbi, 2001; National Research Council, 2001; Agardy *et al.*, 2003). We use
40 the following definition: “Marine protected area” means any area of the marine environment that
41 has been reserved by federal, state, territorial, tribal, or local laws or regulations to provide

1 lasting protection for part or all of the natural and cultural resources therein.¹ It is important to
2 emphasize at the onset that MPAs are managed across a wide range of approaches and degrees of
3 protection (Wooninck and Bertrand, 2004). At the highly protective end of the spectrum are fully
4 protected (no-take) marine reserves (Sobel and Dahlgren, 2004). These reserves eliminate fishing
5 and other forms of resource extraction, and enable some degree of recovery of exploited
6 populations and restoration of ecosystem structure and function, generally within relatively small
7 areas. It is also important to highlight at the onset that management of waters surrounding MPAs
8 is critically important both to the effectiveness of the MPAs themselves as well as to the overall
9 resilience of larger marine systems. By “resilience” we refer to the amount of change or
10 disturbance that can be absorbed by a system before the system is redefined by a different set of
11 processes and structures (*i.e.*, the ecosystem recovers from the disturbance without a major phase
12 shift; see Glossary).

13
14 Federal MPAs have been established by the Department of the Interior (National Park Service
15 and U.S. Fish and Wildlife Service) and the Department of Commerce, National Oceanic and
16 Atmospheric Administration (National Marine Fisheries Service, National Estuarine Research
17 Reserve System, and National Marine Sanctuary Program) (Table 8.1). A 2000 executive order
18 established the National Center for Marine Protected Areas² to strengthen and expand a national
19 system of MPAs. The total area of MPAs within the U.S. EEZ is miniscule, and an even smaller
20 area lies within fully protected marine reserves (Table 8.2). Only 3.4% of the U.S. EEZ lies
21 within fully protected marine reserves, with most of this area due to the 2006 Presidential
22 proclamation that designated the Papahānaumokuākea (Northwestern Hawaiian Islands) Marine
23 National Monument; excluding the Monument reduces the percentage to 0.05%.

24
25 Manifestations of climate change are strengthening (IPCC, 2007c) against a background of long-
26 standing alterations to ecological structure and function of marine ecosystems caused by fisheries
27 exploitation, pollution, habitat degradation and destruction, and other factors (Pauly *et al.*, 1998;
28 Jackson *et al.*, 2001; Pew Ocean Commission, 2003; U.S. Commission on Ocean Policy, 2004).
29 Nowhere is the stress of elevated sea surface temperatures more dramatically expressed than in
30 coral reefs, where local-scale coral bleaching has occurred in the Eastern Pacific and Florida for
31 more than two decades (Glynn, 1991; Obura, Causey, and Church, 2006).³ Impacts of climate
32 variability and change in temperate ecosystems have not been as dramatic as coral bleaching.
33 Interestingly, the combined effects of climate change, regime shifts, and El Niño-Southern
34 Oscillation events (ENSOs) can strongly affect kelp forests (Paine, Tegner, and Johnson, 1998;
35 Steneck *et al.*, 2002), but apparently not associated communities (Halpern and Cottenie, 2007).

36
37 The purpose of this chapter is to examine adaptation options for MPAs in the context of climate
38 change. We will focus on the 14 MPAs that compose the National Marine Sanctuary Program
39 (Table 8.3, Fig. 8.1), because they encompass a range of ecosystem types and are the only U.S.
40 MPAs managed under specific enabling legislation. The National Marine Sanctuary Program has

¹ Executive Order 13158 quoted in: **National Center for Marine Protected Areas**, 2006: *Draft Framework for Developing the National System of Marine Protected Areas*. National Center for Marine Protected Areas, Silver Spring, MD.

² <http://mpa.gov/>

³ See also **Causey**, B.D., 2001: Lessons learned from the intensification of coral bleaching from 1980-2000 in the Florida Keys, USA. In: *Proceedings of the Workshop on Mitigating Coral Bleaching Impact Through MPA Design* [Salm, R.V. and S.L. Coles (eds.)]. *Proceedings of the Coral Bleaching and Marine Protected Areas*, pp. 60-66.

1 explicit approaches to and goals for MPA management, which simplify discussion of existing
2 MPA management and how it may be adapted to climate change. Further, a goal of the program
3 is to support ecosystem-based management (EBM) and, as will be discussed, EBM will become
4 increasingly important in the context of climate change.

5
6
7
8 **Figure 8.1.** Locations of the 14 MPAs that compose the National Marine Sanctuary
9 System.⁴

10
11 The chapter provides background information about the historical context and origins of MPAs,
12 with National Marine Sanctuaries highlighted as an example of effectively managed MPAs
13 (Kelleher, Bleakley, and Wells, 1995; Agardy, 1997). MPAs are managed by several federal
14 organizations other than the National Oceanic and Atmospheric Administration (NOAA) (Table
15 8.1), but it is beyond the scope of this chapter to cover all entities. National Marine Sanctuaries
16 were selected to illustrate adaptation options for MPAs that apply broadly with respect to major
17 anthropogenic and climate change stressors.

18
19 It is also beyond the scope of this chapter to cover issues concerning marine ecosystems from
20 tropical to polar climates. This chapter highlights coral reef ecosystems, which have already
21 shown widespread and dramatic responses to oceanic warming and additional global and local
22 stressors. Mass coral reef bleaching events became worldwide in 1998, and have resulted in
23 extensive mortality of reef-building corals (Wilkinson, 1998; 2000; 2002; Turgeon *et al.*, 2002;
24 Wilkinson, 2004; Wadell, 2005). There now exists a substantial and rapidly growing body of
25 research on impacts of climate change on corals (such as bleaching) and coral reef ecosystems
26 (*e.g.*, Smith and Buddemeier, 1992; Glynn, 1993; Hoegh-Guldberg, 1999; Wilkinson, 2004;
27 Buddemeier, Kleypas, and Aronson, 2004; Donner *et al.*, 2005; Phinney *et al.*, 2006; Berkelmans
28 and van Oppen, 2006). Climate change stressors, including effects of ocean acidification on
29 carbonate chemistry (Kleypas *et al.*, 1999; Soto, 2001; The Royal Society, 2005; Caldeira and
30 Wickett, 2005), will be reviewed later in this chapter. Management approaches to coral reef
31 ecosystems in response to mass bleaching and/or climate change have also received some
32 attention (Hughes *et al.*, 2003; Hansen, Biringer, and Hoffman, 2003; West and Salm, 2003;
33 Bellwood *et al.*, 2004; Wooldridge *et al.*, 2005; Marshall and Schuttenberg, 2006).⁵

34
35 Climate-change stressors in and ecological responses of colder-water marine ecosystems only
36 partially overlap those of warmer-water and tropical marine ecosystems (IPCC, 2001; Kennedy
37 *et al.*, 2002). The Channel Islands National Marine Sanctuary is included as a temperate-zone
38 case study (see Case Study Summary 8.4) to contrast with case studies of tropical coral reef

⁴ **National Marine Sanctuary Program**, 2006: National Marine Sanctuary system and field sites. National Marine Sanctuaries Program Webpage, <http://www.sanctuaries.nos.noaa.gov/visit/welcome.html>, accessed on 5-18-2007.

⁵ See also **Salm**, R.V. and S.L. Coles, 2001: Coral bleaching and marine protected areas. In: *Proceedings of the Workshop on Mitigating Coral Bleaching Impact Through MPA Design* [Salm, R.V. and S.L. Coles (eds.)]. Proceedings of the Coral Bleaching and Marine Protected Areas, Volume 102, Asia Pacific Coastal Marine Program Report #0102, The Nature Conservancy, Honolulu, Hawaii, pp. 1-118.

Marshall, P. and H. Schuttenberg, 2006: A Reef Manager's Guide to Coral Bleaching. Great Barrier Reef Marine Park Authority, http://www.coris.noaa.gov/activities/reef_managers_guide/, pp.1-178.

1 ecosystems from the Florida Keys to Hawaii to Australia (Case Study Summaries 8.1–8.3),
2 which differ in extent of no-take protection.

3 **8.2.2 Historical Context and Origins of National Marine Sanctuaries and Other Types of** 4 **Marine Protected Areas**

5 **8.2.2.1 Mounting Environmental Concerns and Congressional Actions**

6 In 1972 the United States acknowledged the dangers and threats of uncontrolled industrial and
7 urban growth and their impacts on coastal and marine habitats through the passage of a number
8 of Congressional acts that focused on conservation of threatened coastal and ocean resources.
9 The Water Pollution Control Act addressed the nation’s threatened water supply and coastal
10 pollution. The Marine Mammal Protection Act imposed a five-year ban on killing whales, seals,
11 sea otters, manatees, and other marine mammals. The Coastal Zone Management Act provided a
12 framework for federal funding of state coastal zone management plans that created a nationwide
13 system of estuarine reserves. A final environmental bill that focused on ocean health, the Marine
14 Protection, Research and Sanctuaries Act of 1972, established a system of marine protected areas
15 —national marine sanctuaries (NMS)—administered by NOAA (Fig. 8.2).
16
17
18

19 **Figure 8.2.** Timeline of the designation of the national marine sanctuaries in the National
20 Marine Sanctuary Program.⁶

21 **8.2.2.2 Types of Federal MPAs and Focus on National Marine Sanctuaries**

22 In addition to the 13 national marine sanctuaries and one marine national monument, there are
23 hundreds of marine managed areas (MMAs) under other, sometimes overlapping jurisdictions
24 (Table 8.2) (National Research Council, 2001).⁷ The National Park System, administered by the
25 National Park Service of the Department of the Interior, includes more than 70 ocean sites
26 (Davis, 2004). Certain national parks such as Everglades (founded in 1947), Biscayne (founded
27 in 1968 as Biscayne National Monument), and Dry Tortugas National Parks (founded in 1935 as
28 Fort Jefferson National Monument) have much longer histories of functioning as MPAs than the
29 35-year history of National Marine Sanctuaries. The National Marine Sanctuary Program and
30 National Park Service have collaborated on ocean stewardship for a number of years (Barr,
31 2004). The U.S. Fish and Wildlife Service, also under the Department of the Interior, manages
32 more than 100 national wildlife refuges that include marine ecosystems (Table 8.2). In some
33 cases, jurisdictions overlap. For example, there are four national wildlife refuges within the
34 Florida Keys National Marine Sanctuary (Keller and Causey, 2005), three of which cover large
35 areas of nearshore waters (Fig. 8.3).
36
37
38

⁶ **National Marine Sanctuary Program**, 2006: History of the national marine sanctuaries. NOAA's National Marine Sanctuaries Website, <http://sanctuaries.noaa.gov/about/history>, accessed on 7-29-2007.

⁷ See also **National Center for Marine Protected Areas**, 2006: *Draft Framework for Developing the National System of Marine Protected Areas*. National Center for Marine Protected Areas, Silver Spring, MD.

1 **Figure 8.3.** Map of the Florida Keys National Marine Sanctuary. The 1990 designation did
2 not include the Tortugas Ecological Reserve, located at the western end of the sanctuary,
3 which was implemented in 2001. The Key Largo NMS corresponded to the Existing
4 Management Area (EMA) just offshore of the John Pennekamp Coral Reef State Park; the
5 Looe Key NMS corresponded to the EMA surrounding the Looe Key Sanctuary
6 Preservation Area and Research Only Area.⁸
7

8 NOAA's National Marine Fisheries Service (NMFS) has jurisdiction over a large number of
9 fishery management areas (Table 8.2). Collectively, these areas are more than an order of
10 magnitude greater in size than all the other MMAs combined, but with a very small area under
11 no-take protection (Table 8.2). NOAA also administers the National Estuarine Research Reserve
12 System, which is a partnership program with coastal states that includes 27 sites.
13

14 This chapter is focused on NOAA's National Marine Sanctuary Program (NMSP), because it is
15 dedicated to place-based protection and management of marine resources at nationally
16 significant locations and has gained international recognition over the years (Barr, 2004) (Fig.
17 8.4). The principles of adaptation of MPA management to climate change (*i.e.*, institutional
18 responses) that are identified will be broadly applicable to MPAs under other jurisdictions and
19 forms of management, such as national parks, national wildlife refuges, and MMAs established
20 by the NMFS, although institutional responses to adaptation likely will differ among the agencies
21 responsible for resource management (Holling, 1995; McClanahan, Polunin, and Done, 2002).
22 As the only federal program specifically mandated to manage MPAs, the NMSP is in a unique
23 position to respond to challenges and recommendations in reports by the U.S. Commission on
24 Ocean Policy (U.S. Commission on Ocean Policy, 2004) and Pew Oceans Commission (Pew
25 Ocean Commission, 2003). Both reports encourage the use of ecosystem-based management,
26 which is one of the hallmarks of the NMSP.
27
28
29

30 **Figure 8.4.** Organizational chart of the National Marine Sanctuary Program.⁹

31 **8.2.2.3 The National Marine Sanctuary Program**

32 The NMSP was established to identify, designate, and manage ocean, coastal, and Great Lakes
33 resources of special national significance to protect their ecological and cultural integrity for the
34 use and enjoyment of current and future generations. In addition to natural resources within
35 national marine sanctuaries, NOAA's Maritime Heritage Program is committed to preserving
36 historical, cultural, and archaeological resources.¹⁰
37

⁸ **National Oceanic and Atmospheric Administration**, 2007: Zones in the Florida Keys National Marine Sanctuary. NOAA Website, NOAA, http://www.floridakeys.noaa.gov/research_monitoring/map.html, accessed on 7-1-2007.

⁹ **NOAA National Ocean Service**, 2006: NOAA's National Ocean Service: program offices. NOAA Website, <http://www.oceanservice.noaa.gov/programs/>, accessed on 7-29-2007.

¹⁰ **National Marine Sanctuary Program**, 2006: Maritime heritage program. National Marine Sanctuaries Program Webpage, <http://www.sanctuaries.nos.noaa.gov/maritime/welcome.html>, accessed on 5-18-2007.

1 The inclusion of consumptive human activities as a major part of the management programs in
2 national marine sanctuaries distinguishes them from other federal or state resource protection
3 programs. Sanctuaries are established for the long-term public benefit, use, and enjoyment, both
4 recreationally and commercially. However, it is critical that sanctuary management policies,
5 practices, and initiatives ensure that human activities in sanctuaries are compatible with long-
6 term protection of sanctuary resources.

7
8 Thirteen national marine sanctuaries and one marine national monument, representing a wide
9 variety of ocean environments as well as one cultural heritage site in the Great Lakes, have been
10 established since 1975 (Table 8.3; Fig. 8.1). The national marine sanctuaries encompass a wide
11 range of temperate and tropical environments: moderately deep banks, coral reef-seagrass-
12 mangrove systems, whale migration corridors, deep sea canyons, and underwater archaeological
13 sites. The sites range in size from 0.66 km² in Fagatele Bay, American Samoa, to more than
14 360,000 km² in the Northwestern Hawaiian Islands (Table 8.3), the largest marine protected area
15 in the world.

16
17 The NMSP has implemented a regional approach to managing the system of sanctuaries.⁴ Four
18 regions have been established to improve support for the sites and to enhance an integrated
19 ecosystem-based approach to management of sanctuaries. An important function of the regions is
20 to provide value-added services to the sites, while taking a broader integrated approach to
21 management. The four regions are the Pacific Islands; West Coast; Northeast-Great Lakes; and
22 the Southeast Atlantic, Gulf of Mexico, and Caribbean. Boundaries for these regions are focused
23 on physical and biological connectivity among sites, rather than political boundaries.

24 **8.2.3 Enabling Legislation**

25 **8.2.3.1 Enabling Legislation for Different Types of MPAs**

26 The U.S. National Park System Organic Act established the National Parks System in 1916.
27 Several parks and national monuments have marine waters within their boundaries or are
28 primarily marine; they were the earliest federal MPAs. Similarly, a large number of national
29 wildlife refuges function as MPAs (Table 8.1) under the authority of the U.S. Fish and Wildlife
30 Service. The 1966 National Wildlife Refuge System Administration Act was the first
31 comprehensive legislation after decades of designations of federal wildlife reservations and
32 refuges.¹¹

33
34 NOAA's National Marine Fisheries Service implements and manages more than 200 fishery
35 management areas (Table 8.1) under several different statutory authorities, with four major
36 categories: Federal Fisheries Management Zones, Federal Fisheries Habitat Conservation Zones,
37 Federal Threatened and Endangered Species Protected Areas, and Federal Marine Mammal
38 Protected Areas.⁷ The purposes of these fishery management areas include rebuilding and
39 maintaining sustainable fisheries, conserving and restoring marine habitats, and promoting the
40 recovery of protected species. NOAA's National Estuarine Research Reserve System was
41 established by the Coastal Zone Management Act of 1972.¹² This system consists of partnerships

¹¹ U.S. Fish and Wildlife Service, 2007: Origins of the U.S. Fish and Wildlife Service. U.S. Fish and Wildlife Service Website, <http://training.fws.gov/history/origins.html>, accessed on 5-18-2007.

¹² 16 U.S.C. 1451-1456 P.L. 92-583

1 between NOAA and coastal states to protect habitat, offer educational opportunities, and provide
2 areas for research. At this time Congress also established a system of national marine
3 sanctuaries.

4 **8.2.3.2 The Marine Protection, Research, and Sanctuaries Act**

5 The Marine Protection, Research, and Sanctuaries Act¹³ established both the NMSP and a
6 regulatory framework for ocean dumping, which was a major issue at the time. In Title III of the
7 Act, later to be known as the National Marine Sanctuaries Act (NMSA)¹⁴, the Secretary of
8 Commerce received the authority to designate national marine sanctuaries for the purpose of
9 preserving or restoring nationally significant areas for their conservation, recreational,
10 ecological, or esthetic values. The NMSA is reauthorized every four to five years, allowing for
11 updating and adaptation as necessary.

12 **8.2.3.3 Legislation Designating Particular National Marine Sanctuaries**

13 On November 16, 1990, the Florida Keys National Marine Sanctuary and Protection Act
14 (FKNMS Act), P.L. 101-605, set out as a note to 16 U.S.C. 1433, became law. The FKNMS Act
15 designated an area of waters and submerged lands, including the living and nonliving resources
16 within those waters, surrounding most of the Florida Keys (Fig. 8.3). This was the first national
17 marine sanctuary to be designated by an act of Congress.

18
19 The FKNMS Act immediately addressed two major concerns of the residents of the Florida
20 Keys. First, it placed an instant prohibition on oil drilling, including mineral and hydrocarbon
21 leasing, exploration, development, or production, within the sanctuary. Second, the Act created
22 an internationally recognized area to be avoided (ATBA) for ships greater than 50 m in length,
23 with special designated access corridors into ports (Fig. 8.3). The ATBA provides a buffer zone
24 along the coral reef tract to protect it from oil spills and groundings by large vessels.

25
26 The FKNMS Act also called for a comprehensive, long-term strategy to protect and preserve the
27 Florida Keys marine environment. The sanctuary seeks to protect marine resources by educating
28 and interpreting for the public the Florida Keys marine environment, and by managing those uses
29 that result in resource degradation. At the time it was thought that the greatest challenge to
30 protecting the natural resources of the Keys and the economy they support was to improve water
31 quality. To address this challenge, the FKNMS Act brought together various agencies to develop
32 a comprehensive Water Quality Protection Program (WQPP). The U.S. Environmental
33 Protection Agency (EPA) is the lead agency in developing and implementing the WQPP, the
34 purpose of which is to “recommend priority corrective actions and compliance schedules
35 addressing point and nonpoint sources of pollution to restore and maintain the chemical,
36 physical, and biological integrity of the sanctuary, including restoration and maintenance of a
37 balanced, indigenous population of corals, shellfish, fish, and wildlife, and recreational activities
38 in and on the water” (U.S. Department of Commerce, 1996).

39
40 The FKNMS Act called for an Interagency Core Group to be established to compile management
41 issues confronting the sanctuary as identified by the public at scoping meetings, from written

¹³ 33 U.S.C. 1401-1445, 16 U.S.C. 1431-1445 P. L. 92-532

¹⁴ 16 U.S.C. 1431-1445 P.L. 106-513

1 comments, and from surveys distributed by NOAA. The Core Group consisted of representatives
2 from several divisions of NOAA, National Park Service, U.S. Fish and Wildlife Service, EPA,
3 U.S Coast Guard, Florida Governor’s Office, Florida Department of Environmental Protection,
4 Florida Department of Community Affairs, South Florida Water Management District, and
5 Monroe County.

6
7 The FKNMS Act also called for the public to be a part of the planning process using a Sanctuary
8 Advisory Council (SAC) to aid in the development of a comprehensive management plan. A 22-
9 member SAC was selected by the Governor of Florida and the Secretary of Commerce. The
10 council consisted of members of various user groups; local, state, and federal agencies;
11 scientists; educators; environmental groups; and private citizens.

12
13 It quickly became evident that the Congressional option to designate national marine sanctuaries
14 would expedite the designation process. In 1992, four other national marine sanctuaries were
15 designated by Congress, including the Flower Garden Banks, Monterey Bay, Hawaiian Islands
16 Humpback Whale, and Stellwagen Bank (Fig. 8.1). These designations were very similar to the
17 FKNMS Act in that they laid out a process by which sanctuary management should proceed.

18 **8.2.3.4 Recent Proclamation of the Papahānaumokuākea (Northwestern Hawaiian Islands)** 19 **Marine National Monument**

20 In 2000 President William J. Clinton signed Executive Orders that created the Northwestern
21 Hawaiian Islands (NWHI) Coral Reef Ecosystem Reserve. The orders also initiated a process to
22 designate the waters of the NWHI as a national marine sanctuary. Scoping meetings for the
23 proposed sanctuary were held in 2002. In 2005 Hawaii Governor Linda Lingle signed regulations
24 establishing a state marine refuge in the nearshore waters of the NWHI (out to 3 nautical miles,
25 except Midway Atoll) that excluded all extractive uses of the region, except those permitted for
26 research or other purposes that benefited management. In 2006, after substantial public comment
27 in support of strong protections for the area, President George W. Bush issued Presidential
28 Proclamation 8031, creating the Northwestern Hawaiian Islands Marine National Monument.
29 The President’s actions followed Governor Lingle’s lead and immediately afforded the NWHI
30 the highest form of marine environmental protection as the world’s largest MPA (360,000 km²).
31 Administrative jurisdiction over the islands and marine waters is shared by NOAA/NMSP, U.S.
32 Fish and Wildlife Service, and the State of Hawaii.

33 **8.2.4 Interpretation of Goals**

34 The mission of the NMSP is to identify, protect, conserve, and enhance natural and cultural
35 resources, values, and qualities. The NMSP has developed a draft strategic plan with a set of
36 goals (Box 8.1) to provide a bridge between the broad mandates of the NMSA and daily
37 operations at the site level.

38
39 At the site level, management and annual operating plans for each national marine sanctuary and
40 the marine national monument identify specific plans and tasks for day-to-day management of
41 the 14 sites. Sanctuaries work closely with their stakeholder Sanctuary Advisory Councils in the
42 processes of developing and revising management plans. Sanctuary staff work with council
43 members to form working groups to analyze each of the action plans that comprise a

1 management plan. There are public scoping meetings to ensure the opportunity for participation
2 by the public. The NMSA stipulates that plans should be reviewed and revised on a five-year
3 time frame, and various sanctuaries are at different phases of this process (Table 8.3). Three
4 Central California sanctuaries are undergoing a joint management plan review, some revisions
5 have been completed, and some are nearing completion. Examples of management plans are
6 provided in the case studies for this chapter.

7 **8.3 Current Status of Management System**

8 **8.3.1 Key Ecosystem Characteristics on Which Goals Depend**

9 In keeping with the goals of the National Marine Sanctuary Program (Box 8.1), sanctuaries
10 within U.S. waters generally are set aside for the preservation of biological or maritime heritage
11 resources. Sites such as the Florida Keys and Channel Islands NMS are of the former, while the
12 Monitor NMS is of the latter. Sites designated to protect marine biological resources have their
13 primary focus on maintaining biodiversity or preserving key species, and are therefore directly
14 related to NMSP Goals 1 and 4. These sites are in particular need of management in response to
15 climate change, yet have management plans that were designed to address local stressors, not to
16 protect flora and fauna from climate change. Management options in the context of climate
17 change will be discussed below (section 8.4).

18 **8.3.1.1 Biodiversity**

19 The extraordinary biodiversity of tropical and subtropical coral reef sites is well recognized (see
20 Case Study Summaries 8.1–8.3), but recent findings underscore the fact that high biodiversity is
21 also characteristic of many temperate sanctuaries. For example, the recent discovery of deep,
22 temperate corals in the Olympic Coast NMS raises the possibility that benthic invertebrate and
23 associated fish diversity is significantly higher than previously thought. Though receiving
24 substantially less attention from the scientific community than their tropical counterparts,
25 subtidal temperate reefs may be no less important in promoting species diversity and enhancing
26 production (Jonsson *et al.*, 2004; Roberts and Hirshfield, 2004). In the past, these reefs have been
27 overlooked and under-studied primarily because of limited accessibility: they often occur in
28 deeper or lower-visibility waters than those of tropical reefs. Recently, and primarily because of
29 greater accessibility to deep-water ecosystems, the importance of temperate reefs as critical
30 habitat has begun to be fully recognized (*e.g.*, Reed, 2002; Jonsson *et al.*, 2004; Roberts and
31 Hirshfield, 2004; Roberts, Wheeler, and Freiwald, 2006). These reefs may host an array of
32 undescribed species, including endemic gorgonians, corals, hydroids, and sponges (Koslow *et al.*,
33 2001; Jonsson *et al.*, 2004). Furthermore, the value of these offshore reefs to fisheries has
34 long been recognized by commercial and recreational fisherman. Fish tend to aggregate on deep-
35 sea reefs (Husebø *et al.*, 2002), and scientific evidence supports the contention by commercial
36 fishermen that damage to temperate reefs affects both the abundance and distribution of fish
37 (Fosså, Mortensen, and Furevik, 2002; Krieger and Wing, 2002).

38 **8.3.1.2 Key Species**

39 Key species within sanctuary boundaries may be resident as well as migratory, and may or may
40 not represent species that are extracted by fishing (*i.e.*, NMSP Goal 5; Box 8.1). For example,
41 three adjacent sanctuaries off the California coast—Cordell Banks, Gulf of the Farallones, and

1 Monterey Bay—are frequented by protected species of blue (*Balaenoptera musculus*) and
2 humpback (*Megaptera novaeangliae*) whales. In contrast, during the spring of each year king
3 mackerel (*Scomberomorus cavalla*) migrate through Gray’s Reef NMS off the coast of Georgia,
4 representing a vibrant and sought-after recreational fishery. Under various climate change
5 scenarios, management strategies employed to protect these key species may differ. For example,
6 marine zones with dynamic boundaries reflecting shifting areas for feeding or reproduction may
7 need to be considered by MPA managers.

8
9 Key species within sanctuaries may not be limited to subtidal marine organisms but, depending
10 on the sanctuary, may also include intertidal species (*e.g.*, *Mytilus californianus* in Monterey Bay
11 NMS) or even sea- and shorebirds. It has been suggested that these intertidal species are more
12 likely to be stressed by climate change and may serve as a bellwether for change in other
13 ecosystems (Helmuth, 2002).

14 **8.3.1.3 Habitat Complexity**

15 National marine sanctuary sites, especially subtidally, are characterized by complexity of habitat
16 that is either biologically or geologically structured. This habitat complexity is an invaluable
17 resource supporting biodiversity. Subtidal habitats in sanctuaries that are biologically structured
18 are represented most notably by temperate kelp forests and tropical coral reefs, whereas
19 geologically structured habitats are centered around sea mounts and rocky outcrops. The
20 topographic complexity of geologically structured habitats, especially in temperate systems, is
21 often enhanced by settlement and growth of sessile benthic invertebrates such as sponges,
22 arborescent bryozoans, and ascidians (*e.g.*, Grays Reef NMS).

23
24 Habitat complexity is a key ecosystem characteristic that must be protected in order to achieve
25 NMSP Goals 1 and 4 (Box 8.1). Biologically structured habitats, rather than geologically
26 structured, are probably most susceptible to degradation resulting from climate change. When
27 habitat-building organisms such as corals are killed by climate change and other sources of
28 mortality, skeletal material increases in susceptibility to bioerosion that may lead to reduced
29 habitat complexity. As indicated in section 8.3.2 (*Stressors of Concern*), excess CO₂ absorbed by
30 sea water lowers pH and results in reduced calcification rates in organisms that provide complex
31 structure, such as arborescent bryozoans, bivalves, coralline algae, and temperate and tropical
32 corals (Hoegh-Guldberg, 1999; Kleypas *et al.*, 1999; Kleypas and Langdon, 2006). Non-
33 calcifying biological structures, such as kelp, as well as all shallow-water structures, are also at
34 risk primarily from changes in storm intensity, ocean warming, and reduced upwelling associated
35 with climate change (see Case Study: Channel Islands National Marine Sanctuary).

36 **8.3.1.4 Trophic Cascades**

37 In addition to biodiversity and habitat complexity, trophic links between the benthos and water
38 column help maintain ecosystem integrity within sanctuaries. In keeping with NMSP Goal 5
39 (Box 8.1) regarding human use, the strength of these benthic-pelagic linkages must be
40 considered when designating fishing restrictions (Grober-Dunsmore, Wooninck, and Wahle,
41 forthcoming).¹⁵ Fishing regulations often involve removal of top predators and have direct

¹⁵ See also **Wahle**, C., R. Grober-Dunsmore, and L. Wooninck, 2006: Managing recreational fishing in MPAs through vertical zoning: the importance of understanding benthic-pelagic linkages. *MPA News*, **7(8)**, 5.

1 impacts on trophic cascades that are defined as: (1) having top-down control of community
2 structure, and (2) having conspicuous indirect effects on two or more links distant from the
3 primary one (Frank *et al.*, 2005). The consequences of ignoring past experiences regarding these
4 trophic cascades could be deleterious to sanctuary goals (Hughes *et al.*, 2005). As highlighted in
5 a recent workshop sponsored by the MPA Science Institute, however, knowledge in this critical
6 area is lacking.¹⁵ Facilitating a better understanding of trophic cascades by supporting scientific
7 inquiry into this topic would do much to enhance understanding of ecosystem processes in
8 marine sanctuaries (NMSP Goal 4). It may also provide insight into how these processes might
9 be affected by climate change.

10 **8.3.1.5 Connectivity**

11 The open nature of marine ecosystems means that they do not function, and likewise should not
12 be managed, in isolation (Palumbi, 2003). Connectivity among marine ecosystems and across
13 biological communities contributes to maintaining the biological integrity of all marine
14 environments (Kaufman *et al.*, 2004). While NMS boundaries are well defined, the separation
15 between ecosystems and communities is blurred because of export and import of resources. At
16 the broadest scale these linkages are manifested as sources and sinks of nutrients and recruits
17 (*e.g.*, Crowder *et al.*, 2000).

18 **8.3.1.6 Nutrient Fluxes**

19 While excess nutrients can lead to degradation of offshore ecosystems (Rabalais, Turner, and
20 Wiseman Jr, 2002), it is also hypothesized that the function of offshore ecosystems is dependent
21 on nutrients that have their origins in upland productivity. Estuaries are thought to represent the
22 conduit through which dissolved and particulate material from the continent passes to offshore
23 areas through rivers (Gattuso, Frankignoulle, and Wollast, 1998). This “outwelling”
24 characteristic was first proposed by Odum¹⁶ and has since been applied to mangroves and
25 seagrasses (Lee, 1995). The direct and indirect trophic links that exist between these ecosystems
26 are thought to be critical to ecosystem function, and highlight the importance of assessing the
27 downstream effects that upland and nearshore activities have on increasing and decreasing
28 nutrient availability offshore. In areas where climate change alters historical rainfall patterns,
29 concomitant alteration of the supply of nutrients to offshore ecosystems might also occur.

30 **8.3.1.7 Larval Dispersal and Recruitment**

31 One of the strengths of the NMSP is protection of entire ecosystems rather than management of
32 single species. As such, a key characteristic of these ecosystems rests in their ability to serve as
33 sources of recruits for both fish and invertebrate species and as foci for fish aggregations. Most
34 benthic marine invertebrates and fish species have a planktonic larval stage that results from
35 spawned gametes (Pechenik, 1999). Successful recruitment of planktonic larvae to the benthos
36 depends on processes that function at multiple spatial scales in contrast to non-planktonic larvae,
37 which generally recruit at a small spatial scale. At the broadest scale, hydrodynamic forces may
38 disperse passive larvae long distances, potentially delivering them to suitable settlement sites far
39 from the source population (Williams, Wolanski, and Andrews, 1984; Lee *et al.*, 1992).

¹⁶ **Odum**, E.P., 1969: A research challenge: evaluating the productivity of coastal and estuarine water. In: *Proceedings of the Second Sea Grant Conference*. University of Rhode Island, Kingston, Rhode Island, pp. 63-64.

1 Alternatively, complex, three-dimensional secondary flows resulting from barriers, such as
2 headlands, islands, and reefs, as well as cyclonic motion can retain passive larvae within
3 estuaries, around islands, or within ocean basins, resulting in more settlement to natal
4 populations (Black, Moran, and Hammond, 1991; Lee *et al.*, 1992; Black *et al.*, 1995; Lugo-
5 Fernandez *et al.*, 2001).

6
7 Because of their small size and limited swimming ability, invertebrate larvae may be passively
8 dispersed at a broad spatial scale (Denny, 1988; Mullineaux and Butman, 1991). Yet larvae of
9 many marine invertebrates, including coral planulae, use swimming behavior, stimulated by
10 chemical or physical cues, to control their position within the water column—thereby increasing
11 the probability that they will be transported to suitable settlement substrates (Scheltema, 1986;
12 Raimondi and Morse, 2000; Gleason, Edmunds, and Gates, 2006; Levin, 2006). In contrast,
13 researchers continue to be surprised by the swimming and sensory capabilities of fish larvae
14 (Stobutzki and Bellwood, 1997; Tolimieri, Jeffs, and Montgomery, 2000; Leis and McCormick,
15 2002; Leis, Carson-Ewart, and Webley, 2002; Lecchini *et al.*, 2005; Lecchini, Planes, and
16 Galzin, 2005). That these larvae orient in the water column and swim directionally either at
17 hatching or soon thereafter may explain recent evidence for localized recruitment (Jones *et al.*,
18 1999; Swearer *et al.*, 1999; Taylor and Hellberg, 2003; Cowen, Paris, and Srinivasan, 2006).

19
20 While connectivity among ecosystems and among biological communities in terms of both
21 nutrients and recruits is an important feature of marine sanctuaries, boundaries of protected areas
22 rarely encompass the continuum of habitats (*e.g.*, rivers to estuaries to mangroves to seagrasses
23 to reefs) or the maximum dispersal distances of critical species. Recent information obtained for
24 dispersal of fish and invertebrates suggests that sanctuaries must be managed for both self-
25 recruitment and larval subsidies from upstream (Roberts, 1997b; Hughes *et al.*, 2005; Cowen,
26 Paris, and Srinivasan, 2006; Steneck, 2006). Effective exchange of offspring is facilitated by
27 MPA networks that are in close proximity [10–50 km apart according to Roberts *et al.* (2001)].
28 This would allow larval exchange among populations and also buffer these populations from
29 climate-driven changes in current regimes. The NMSP should be a critical player in the
30 development of such an MPA network. NMSP Goal 2 provides for the expansion of the
31 nationwide system of MPAs and encourages cooperation among MPAs administered under a
32 range of programs.

33 **8.3.2 Stressors of Concern**

34 Population growth and coastal development increasingly affect U.S. MPAs; an estimated 153
35 million people (53% of the U.S. population) lived in coastal counties in 2003, and that number
36 continues to rise (World Resources Institute, 1996; National Safety Council, 1998; U.S. Census
37 Bureau, 2001; Crossett *et al.*, 2004).¹⁷ Growing human impacts are compounded by the fact that,
38 in contrast to most terrestrial conservation areas, MPAs lack fences or other barricades and are

¹⁷ See also **National Ocean Service**, 2000: Spatial patterns of socioeconomic data from 1970 to 2000: a national research dataset aggregated by watershed and political boundaries. <http://cads.nos.noaa.gov/>.

Hinrichsen, D., B. Robey, and U.D. Upadhyay, 1998: *Solutions for a Water-Short World*. Population Report, Series M, No. 14, Population Information Program, Center for Communication Programs, the Johns Hopkins University School of Public Health, Baltimore, MD, pp.1-60.

World Resources Institute, 2000: *Gridded Population of the World*. Version 2, Center for International Earth Science Information Network, Columbia University, Palisades, NY.

1 subjected to anthropogenic stressors (*e.g.*, coastal development, pollution, unsustainable fishing
2 and aquaculture practices, habitat degradation) that originate externally. MPA management has
3 focused on minimizing impacts of these existing anthropogenic stressors. The addition of climate
4 change may exacerbate effects of existing stressors and require new or modified management
5 approaches, which are discussed in section 8.4.

6
7 The purpose of this section is: (1) to outline major stressors on marine organisms and
8 communities resulting from climate change and (2) to introduce ways in which major
9 “traditional” stressors may interact with climate change stressors.

10
11 There are excellent, extensive reviews of impacts of climate change on marine organisms and
12 communities (*e.g.*, Scavia *et al.*, 2002; Walther *et al.*, 2002; Goldberg and Wilkinson, 2004;
13 Harley *et al.*, 2006). By contrast, the scientific knowledge required to reach general conclusions
14 related to the impact of multiple stressors at community and ecosystem levels is for the most part
15 absent for marine systems. Thus, information concerning interactions among stressors is limited
16 and MPA managers are faced with even higher levels of uncertainty about likely outcomes of
17 management actions as climate change impacts have increasingly strong interactions with
18 existing stressors.

19 **8.3.2.1 Direct Climate Change Stressors**

20 **Ocean Warming**

21 According to Bindoff *et al.* (2007), there is high confidence that an average warming of 0.1°C
22 has occurred in the 0–700 m depth layer of the ocean between 1961 and 2003. Increasing ocean
23 temperatures, especially near the surface, affect physiological processes in organisms ranging
24 from enzyme reactions to reproductive timing (Fields *et al.*, 1993; Roessig *et al.*, 2004; Harley *et al.*
25 *et al.*, 2006). The historical stability of ocean temperatures makes many marine species sensitive to
26 thermal perturbations just a few degrees higher than those experienced over evolutionary time
27 (Wainwright, 1994). However, it is not always intuitive which species might be most intolerant
28 of temperature increases. For example, studies on porcelain crabs (*Petrolisthes*) and intertidal
29 snails (*Tegula*) show that individuals in the mid-intertidal are closer to upper temperature limits
30 and have less capacity to acclimate to temperature perturbations than subtidal congeners in
31 temperature-stable conditions (Tomanek and Somero, 1999; Stillman, 2003; Harley *et al.*, 2006).

32
33 What is clear is that increasing sea temperatures will continue to influence processes such as
34 foraging, growth, and larval duration and dispersal, with ultimate impacts on the geographic
35 ranges of species. In fact, poleward latitudinal shifts in some zooplankton, fish, and intertidal
36 invertebrate communities have already been observed along the California coast and in the North
37 Atlantic (reviewed in Walther *et al.*, 2002). Within marine communities, these temperature
38 changes and range shifts may result in new species assemblages and biological interactions that
39 affect ecological processes such as larval dispersal, competitive interactions, and trophic
40 interactions and webs (Barry *et al.*, 1995; Roessig *et al.*, 2004; Precht and Aronson, 2004;
41 O'Connor *et al.*, 2007). Species that are unable to shift geographic ranges (perhaps due to
42 physical barriers) or compete with other species for resources may face local—and potentially
43 global—extinction. Conversely, some species may find open niches and dominate regions
44 because of release from competition or predation.

45

1 Impacts at the ecosystem or community level are even more difficult to predict. For example,
2 warmer waters stimulate increases in population sizes of the mid-intertidal sea star, *Pisaster*
3 *ochraceus*, and its per capita consumption rates of mussels (Sanford, 1999). Continued warming
4 may enable *P. ochraceus* to clear large sections of mussel beds, indirectly affecting hundreds of
5 species associated with these formations (Harley *et al.*, 2006). How such an outcome affects
6 trophic links and other biological processes within this community is not clear.

7
8 The latest reports from the IPCC (2007b; 2007c) state that temperature increases over the last 50
9 years are nearly twice those for the last 100 years, with projections that temperature will rise 2–
10 4.5°C, largely caused by a doubling of atmospheric carbon dioxide emissions. Increases in
11 seawater surface temperature of about 1–3°C are likely to cause more frequent coral bleaching
12 events that cause widespread mortality, unless thermal adaptation or acclimatization by corals
13 occurs (IPCC, 2007c). However, the ability of corals to adapt or acclimatize to increasing
14 seawater temperature is largely unknown (Berkelmans and van Oppen, 2006) and remains a
15 research topic of paramount importance.

16
17 Consequences of coral bleaching, during which corals lose their symbiotic algae, depend on the
18 severity and duration of the bleaching event. They range from minimal affects on growth and
19 reproduction to widespread mortality. Coral bleaching at the ecosystem level is a relatively
20 recent phenomenon, first receiving widespread attention in 1987 when abnormally high summer
21 seawater surface temperatures throughout the Caribbean resulted in a mass bleaching event
22 (Williams, Goenaga, and Vicente, 1987; Ogden and Wicklund, 1988; Williams and Bunkley-
23 Williams, 1990). Soon after, coral reef scientists identified climate change as a major long-term
24 threat to coral reefs (Glynn, 1991; Smith and Buddemeier, 1992) and determined that irradiance
25 interacts with temperature to cause bleaching (Gleason and Wellington, 1993; see also Hoegh-
26 Guldberg, 1999; and Hoegh-Guldberg *et al.*, 2007). Reciprocity between these two parameters
27 may provide MPA managers with options to alleviate stress during bleaching events (see section
28 8.4.2).

29
30 In 1997–1998, a mass bleaching event in association with an ENSO event caused worldwide
31 bleaching and coral mortality (Wilkinson, 1998; 2000), and in 2005 the most devastating
32 Caribbean-wide coral bleaching event to date occurred that, based on modeling, is highly
33 unlikely to have occurred without anthropogenic forcing (Donner, Knutson, and Oppenheimer,
34 2007). Over the last 20 years, an extensive body of literature has conclusively identified
35 anomalously high summer surface seawater temperatures as the major cause of coral bleaching
36 (Wilkinson, 1998; 2000; Fitt *et al.*, 2001; Wilkinson, 2002; U.S. Climate Change Science
37 Program and Subcommittee on Global Change Research, 2003; Donner *et al.*, 2005; Donner,
38 Knutson, and Oppenheimer, 2007), with widespread agreement that continued warming—as
39 little as 1°C warmer than the average summer maxima is sufficient—will increase the severity
40 and frequency of mass bleaching events (Smith and Buddemeier, 1992; Hoegh-Guldberg, 1999;
41 Hughes *et al.*, 2003; Douglas, 2003; Done and Jones, 2006).

42
43 Effects of coral reef bleaching are both biological, including lost biodiversity and other
44 ecosystem services, and economic, resulting in the decline of fisheries and tourism (Buddemeier,
45 Kleypas, and Aronson, 2004). Coral reefs affected by mass bleaching typically take decades or
46 longer to recover and sometimes may not recover at all. In general, coral reef decline throughout

1 the Caribbean region has been caused by a combination of bleaching, disease, die-off of the sea
2 urchin *Diadema antillarum*, overfishing, pollution, hurricanes, and other factors (Gardner *et al.*,
3 2003; Gardner *et al.*, 2005).

4 **Ocean Acidification**

5 Increased CO₂ concentrations lower oceanic pH, making it more acidic. According to the most
6 recent IPCC report, the total inorganic carbon content of the ocean increased by 118 (±19) billion
7 metric tons of carbon from 1750–1994, and continues to increase through absorption of excess
8 CO₂ (Bindoff *et al.*, 2007). Furthermore, time series data for the last 20 years show a trend of
9 decreasing pH of 0.02 pH units per decade (Bindoff *et al.*, 2007). Long-term exposures to low
10 pH (-0.7 unit) have been shown to reduce metabolic rates, growth, and survivorship of both
11 invertebrates and fishes (Michaelidis *et al.*, 2005; Shirayama and Thornton, 2005; Pane and
12 Barry, 2007), but by far the greatest threat of reducing pH is to organisms that build their
13 external skeletal material out of calcium carbonate (CaCO₃). Calcifying organisms such as sea
14 urchins, cold-water corals, coralline algae, and various plankton that reside in cooler temperate
15 waters appear to be the most threatened by acidification, because CO₂ has greater solubility in
16 cooler waters (Hoegh-Guldberg, 1999; Kleypas *et al.*, 1999; Hughes *et al.*, 2003; Feely *et al.*,
17 2004; Kleypas and Langdon, 2006).

18
19
20 The response of corals and coral reefs to ocean acidification has received substantial attention,
21 and results show that lowering pH results in significant reductions in calcification rates in both
22 reef-building corals and coralline algae (Kleypas *et al.*, 1999; Feely *et al.*, 2004; Orr *et al.*, 2005;
23 Kleypas and Langdon, 2006). Declines in calcification rates of 17–35% by the year 2100 have
24 been estimated based on projected changes in the partial pressure of CO₂ (Hoegh-Guldberg,
25 1999; Kleypas *et al.*, 1999; Hughes *et al.*, 2003; Orr *et al.*, 2005). On the other hand, McNeil,
26 Matear, and Barnes (2004) suggest that net coral reef calcification rates will increase with future
27 ocean warming and exceed pre-industrial rates by the year 2100. Additional research is needed to
28 resolve this issue. Because of the greater solubility of CO₂ in cooler waters, reefs at the
29 latitudinal margins of coral reef development (*e.g.*, Florida Keys and Hawaiian Islands) may
30 show the most rapid and dramatic response to changing pH.

31 **Rising Sea Level**

32 During the last 100 years, global average sea level has risen an estimated 1–2 mm per year and is
33 expected to accelerate due to thermal expansion of the oceans and melting ice-sheets and glaciers
34 (Cabanes, Cazenave, and Le Provost, 2001; Albritton and Filho, 2001; Rignot and
35 Kanagaratnam, 2006; Chen, Wilson, and Tapley, 2006; Shepherd and Wingham, 2007; Bell *et al.*,
36 2007; IPCC, 2007c). Rates of sea level rise at a local scale vary from -2 to 10 mm per year
37 along U.S. coastlines (Nicholls and Leatherman, 1996; Zervas, 2001; Scavia *et al.*, 2002). Low-
38 lying areas, especially intertidal zones, along the eastern and Gulf coasts are at the greatest risk
39 of damage from rising sea level (Scavia *et al.*, 2002). The consequences of sea level rise include
40 inundation of coastal areas, erosion of vulnerable shorelines, and landward shifts in species
41 distributions.

42
43
44 On undeveloped coasts with relatively gentle slopes, it is thought that plant communities such as
45 mangroves and *Spartina* salt marshes will move inland as sea level rises (Scavia *et al.*, 2002;
46 Harley *et al.*, 2006). In contrast, coastline development will interfere with these plant migrations.
47 As a result, wetlands may become submerged and soils may become waterlogged, resulting in

1 plant physiological stress due to chronic and intolerable elevated salinity. Marshes, mangroves
2 and dune plants are critical to the coastal environment because they produce and add nutrients to
3 the coastal systems, stabilize substrates, and serve as refuges and nurseries for many species.
4 Their depletion or loss would therefore affect nutrient flux, energy flow and essential habitat for
5 a multitude of species, with ultimate long-term impacts on biodiversity (Scavia *et al.*, 2002;
6 Galbraith *et al.*, 2002; Harley *et al.*, 2006). The projected 35–70% loss of barrier islands and
7 intertidal and sandy beach habitat over the next 100 years could also drastically reduce nesting
8 grounds for key species such as sea turtles and birds as these critical habitats disappear (Scavia *et*
9 *al.*, 2002).

11 **Climatic Variability and Ocean Circulation**

12 Natural climatic variability resulting from ocean-atmosphere interactions such as the El Niño
13 Southern Oscillation (ENSO), the Pacific Decadal Oscillation (PDO), and the North Atlantic
14 Oscillation/Northern Hemisphere Annular Mode result in changes in open ocean productivity,
15 shifts in the distribution of organisms and modifications in food webs that foreshadow potential
16 consequences of accelerated climate change (*e.g.*, Mantua *et al.*, 1997; McGowan *et al.*, 1998).
17 These recurring patterns of ocean-atmosphere variability have very different behaviors in time.
18 For example, whereas ENSO events persist for 6–18 months and have their major impact in the
19 tropics, the PDO occurs over a much longer time frame of 20–30 years and has primary effects in
20 the northern Pacific (Mantua *et al.*, 1997). Regardless of the temporal scale and region of impact,
21 however, these natural modes of climate variability have existed historically, independent of
22 anthropogenically driven climate change. These climate phenomena may act in tandem with (or
23 in opposition to) human-induced alterations, with consequences that are difficult to predict
24 (Philip and Van Oldenborgh, 2006).

26 Ocean-atmosphere interactions on a warming planet may also result in long-term alterations in
27 the prevailing current and upwelling patterns (Bakun, 1990; McPhaden and Zhang, 2002; Snyder
28 *et al.*, 2003; McGregor *et al.*, 2007). While at present there is no clear indication that ocean
29 circulation patterns have changed (Bindoff *et al.*, 2007), modifications could have large effects
30 within and among ecosystems through impacts on ecosystem and community connectivity in
31 terms of both nutrients and recruits (see section 8.3.1., *Key Ecosystem Characteristics Upon*
32 *Which Goals Depend*). Considering that there is evidence for warming of the Southern Ocean
33 mode waters and Upper Circumpolar Deep Waters from 1960–2000, changes in oceanic current
34 and upwelling patterns are likely in the future (Bindoff *et al.*, 2007). The direction that these
35 changes will take, however, is not evident. For example, it has been hypothesized that the greater
36 temperature differential between the land mass and ocean that will occur with climate warming
37 will increase upwelling because of stronger alongshore winds (Bakun, 1990). In contrast,
38 Gucinski, Lackey, and Spence (1990) proposed that warming at higher latitudes will reduce
39 latitudinal temperature gradients, resulting in decreased wind strength and less upwelling; some
40 models show potential for Atlantic thermohaline circulation to end abruptly if high-latitude
41 waters are no longer able to sink (Stocker and Marchal, 2000).

43 **Storm Intensity**

44 Whether or not storm frequency has changed over time is not clear, due to large natural
45 variability resulting from such climate drivers as ENSO (IPCC, 2007c). However, since the mid
46 1970s there has been a trend toward longer storm duration and greater storm intensity (IPCC,
47 2007c). An increase in storm intensity generally has impacts on two fronts. First, it may increase

1 pulses of fresh water to coastal and near-shore habitats (see below). Second, increasing storm
2 intensity may cause physical damage to coastal ecosystems, especially those in shallow water
3 (IPCC, 2007c).

4
5 Recent hurricanes in the southern United States have caused extensive destruction to homes and
6 businesses; altered near-shore water quality; scoured the ocean bottom; over-washed beaches;
7 produced immense amounts of marine debris (wood, metals, plastics) and pollution (household
8 hazardous wastes, pesticides, metals, oils and other toxic chemicals) from floodwaters; and
9 damaged many mangrove, marsh, and coral reef areas (Davis *et al.*, 1994; Tilmant *et al.*, 1994;
10 McCoy *et al.*, 1996; Lovelace and MacPherson, 1998; Baldwin *et al.*, 2001).¹⁸ Even 30–60 days
11 after the storms, some areas still experienced increased turbidity, breakdown of mangrove peat
12 soils, and elevated concentrations of ammonia, dissolved phosphate, and dissolved organic
13 carbon (Davis *et al.*, 1994; Tilmant *et al.*, 1994; Lovelace and MacPherson, 1998). In some
14 instances, algal blooms from high nutrients further increased turbidity while driving down
15 dissolved-oxygen concentrations (*i.e.*, caused eutrophication), resulting in mortalities in fish and
16 invertebrate populations (Tilmant *et al.*, 1994; Lovelace and MacPherson, 1998). Given that
17 most climate change models project increasing storm intensity as well as higher sea levels in
18 many areas, it is evident that low-lying and shallow marine ecosystems such as mangroves, salt
19 marshes, seagrasses, and coral reefs are at greatest risk of long-term damage.

20 21 **Freshwater Influx**

22 Observations indicate that changes in the amount, intensity, frequency, and type of precipitation
23 are occurring worldwide (IPCC, 2007c). Consistent with observed changes in precipitation and
24 water transport in the atmosphere, large-scale trends in oceanic salinity have become evident for
25 the period 1955–1998 (Bindoff *et al.*, 2007). These trends are manifested as lowered salinities at
26 subpolar latitudes and increased salinities in shallower parts of the tropical and subtropical
27 oceans.

28
29 In addition to altering salinity in major oceanic water masses, changes in precipitation patterns
30 can have significant impacts in estuarine and other nearshore environments. For instance, in
31 regions where climate change results in elevated rainfall, increased runoff may cause greater
32 stratification of water layers within estuaries as fresh water floats out over the top of higher
33 salinity layers (Scavia *et al.*, 2002). One consequence of this stratification may be less water
34 column mixing and thus lower rates of nutrient exchange among water layers. Combining this
35 stratification effect with the shorter water residence times stemming from higher inflow (Moore
36 *et al.*, 1997) may result in significantly reduced productivity, because phytoplankton populations
37 may be flushed from the system at a rate faster than they can grow and reproduce. On the other
38 hand, estuaries that are located in regions with lower rainfall may also show decreased
39 productivity due to lower nutrient influx. Thus, the relationship between precipitation and marine
40 ecosystem health is complex and difficult to predict.

41
42 Another source of fresh water is melting of polar ice (IPCC, 2007c). In the Atlantic Ocean,
43 accelerated melting of Arctic ice and the Greenland ice sheet are predicted to continue producing
44 more freshwater inputs that may alter oceanic circulation patterns (Dickson *et al.*, 2002; Curry,

¹⁸ See also **U.S. Fish and Wildlife Service**, 2005: U.S. Fish and Wildlife Service conducting initial damage assessments to wildlife and National Wildlife Refuges. <http://www.fws.gov/southeast/news/2005/r05-088.html>.

1 Dickson, and Yashayaev, 2003; Curry and Mauritzen, 2005; Peterson *et al.*, 2006; Greene and
2 Pershing, 2007; Boessenkool *et al.*, 2007).

3 **8.3.2.2 Climate Change Interactions with “Traditional” Stressors of Concern**

4 **Pollution**

5 Marine water quality degradation and pollution stem primarily from land-based sources, with
6 major contributions to coastal watershed and water quality deterioration falling into two broad
7 categories: point-source pollution and non-point-source pollution. Point-source pollution from
8 factories, sewage treatment plants, and farms often flows into nearby waters. In contrast, marine
9 non-point source pollution originates from coastal urban runoff where the bulk of the land is
10 paved or covered with buildings. These impervious surfaces prevent soils from capturing runoff,
11 resulting in the input of untreated pollutants (*e.g.*, fuels, oils, plastics, metals, insecticides,
12 antibiotics) to coastal waters. Increased terrestrial runoff due to more intense storm events
13 associated with climate change may increase land-based water pollution from both of these
14 sources. In some areas, increased groundwater outflows may also contribute to coastal pollution.
15

16 Deterioration and pollution of coastal watersheds can have far-reaching effects on marine
17 ecosystems. As an example, the Gulf of Mexico “dead zone” that occurs each summer and
18 extends from the Mississippi River bird-foot delta across the Louisiana shelf and onto the upper
19 Texas coast can range from 1–125 km offshore (Rabalais, Turner, and Wiseman Jr, 2002). This
20 mass of hypoxic (low-oxygen) water has its origins in the increased nitrate flux coincident with
21 the exponential growth of fertilizer use that has occurred since the 1950s in the Mississippi River
22 basin. This hypoxia results in changes in species diversity and community structure of the
23 benthos and has impacts on trophic links that include higher-order consumers in the pelagic zone
24 (Rabalais, Turner, and Wiseman Jr, 2002).
25

26 Until recently, pollution has been the major driver of decreases in the health of marine
27 ecosystems such as coral reefs, seagrasses, and kelp beds (Jackson *et al.*, 2001; Hughes *et al.*,
28 2003; Pandolfi *et al.*, 2003). Because pollution is usually more local in scope, it historically
29 could be managed within individual MPAs; however, the addition of climate change stressors
30 such as increased oceanic temperature, decreased pH, and greater fluctuations in salinity present
31 greater challenges with regard to potentially deleterious effects of pollution (Coe and Rogers,
32 1997; Carpenter *et al.*, 1998; Khamer, Bouya, and Ronneau, 2000; Burton, Jr. and Pitt, 2001;
33 Sobel and Dahlgren, 2004; Orr *et al.*, 2005; Breitbart and Riedel, 2005; O'Connor *et al.*, 2007;
34 IPCC, 2007c). Also, in regions where climate change causes precipitation and freshwater
35 influxes to increase, MPA managers may need to expand the scale at which they attempt to
36 address issues of water quality, for example by forging stronger partnerships with organizations
37 involved in watershed management nearby at more-distant locations.
38

39 For example, coral bleaching from the combined stresses of climate change and local pollution
40 (*e.g.*, high temperature and sedimentation) have already been observed (Jackson *et al.*, 2001;
41 Hughes *et al.*, 2003; Pandolfi *et al.*, 2003). Identifying those stressors with the greatest effect is
42 not trivial. Research in coral genomics may provide diagnostic tools for identifying stressors in
43 coral reefs and other marine communities (*e.g.*, Edge *et al.*, 2005).
44

45 **Commercial Fishing and Aquaculture**

1 Commercial fishing has ecosystem effects on three fronts: through the physical impacts of
2 fishing gear on habitat, over-fishing of commercial stocks, and incidental take of non-targeted
3 species. The use of trawls, seines, mollusk dredges, and other fishing gear can cause damage to
4 living seafloor structures and alterations to geologic structures, reducing habitat complexity
5 (Engel and Kvitek, 1998; Thrush and Dayton, 2002; Dayton, Thrush, and Coleman, 2002; Hixon
6 and Tissot, 2007). Over-fishing is also common in the United States, with a conservative
7 estimate of 26% of fisheries overexploited (Pauly *et al.*, 1998; National Research Council, 1999;
8 Jackson *et al.*, 2001; Pew Ocean Commission, 2003; National Marine Fisheries Service, 2005;
9 Lotze *et al.*, 2006). Meanwhile, non-specific fishing gear (*e.g.*, trawls, seines, dredges) causes
10 considerable mortality of by-catch that includes invertebrates, fishes, sea turtles, marine
11 mammals, birds, and other life stages of commercially targeted species (Condrey and Fuller,
12 1992; Norse, 1993; Sobel and Dahlgren, 2004; Hiddink, Jennings, and Kaiser, 2006).

13
14 Aquaculture has sometimes been introduced to augment fisheries production. Unfortunately,
15 experiences in countries such as Southeast Asia show that aquaculture can have negative
16 environmental impacts, including extensive mangrove and coastal wetland conversion to ponds,
17 changes in hydrologic regimes, and discharge of high levels of organic matter and pollutants into
18 coastal waters (Eng, Paw, and Guarin, 1989; Iwama, 1991; Naylor *et al.*, 2000). Furthermore,
19 many aquacultural practices are not sustainable because farmed species consume natural
20 resources at high rates and the intense culture environment (*e.g.*, overcrowding) creates
21 conditions for disease outbreaks (Eng, Paw, and Guarin, 1989; Iwama, 1991; Pauly *et al.*, 2002;
22 2003).

23
24 Fishery populations that are overstressed and overfished exhibit greater sensitivity to climate
25 change and other anthropogenically derived stressors than do healthy populations (Hughes *et al.*,
26 2005). Overfishing can reduce mean life span as well as lifetime reproductive success and larval
27 quality, making fished species more susceptible to both short- and long-term perturbations (such
28 as changes in prevailing current patterns) that affect recruitment success (Pauly *et al.*, 1998;
29 Jackson *et al.*, 2001; Dayton, Thrush, and Coleman, 2002; Pauly *et al.*, 2003; Sobel and
30 Dahlgren, 2004; Estes, 2005; Law and Stokes, 2005; Steneck and Sala, 2005; O'Connor *et al.*,
31 2007). Changing climatic regimes can also influence species' distributions, which are set by
32 physiological tolerances to temperature, precipitation, dissolved oxygen, pH, and salinity.
33 Because rates of climate change appear to exceed the capacity of many commercial species to
34 adapt, species will shift their ranges in accordance with their physiological thresholds and may
35 ultimately be forced to extend past the boundaries of their "known" native range, becoming
36 invasive elements (Murawski, 1993; Walther *et al.*, 2002; Roessig *et al.*, 2004; Perry *et al.*, 2005;
37 Harley *et al.*, 2006).

38
39 Commercial exploitation of even a single keystone species, such as a top consumer, can
40 destabilize ecosystems by decreasing redundancy and making them more susceptible to climate
41 change stressors (Hughes *et al.*, 2005). Examples of such ecosystem destabilization through
42 overfishing abound, including the formerly cod-dominated system of the western North Atlantic
43 (see Box 8.2), and the fish-grazing community on Caribbean coral reefs (*e.g.*, Frank *et al.*, 2005;
44 Mumby *et al.*, 2006; 2007).

45

1 Interestingly, the theoretical framework that links protection against overfishing (to restore
 2 herbivores that then reduce algae that kill corals or prevent recruitment) using no-take marine
 3 reserves and the cascading effects that result and link to improved coral condition is hotly
 4 debated (Jackson *et al.*, 2001; Grigg *et al.*, 2005; Pandolfi *et al.*, 2005; Aronson and Precht,
 5 2006). This is perhaps surprising because of the strong intuitive sense such arguments make, but
 6 reserves also protect predators, so declines in herbivorous fish might occur, as opposed to
 7 increases. Also, data from field studies provide conflicting results on the role of herbivores.
 8 Mumby *et al.* (2006) showed that increased densities of herbivorous fish in a marine reserve
 9 reduced algal growth after mass bleaching caused extensive coral mortality, but such herbivore
 10 densities do not always increase after protection is provided (Mosquera *et al.*, 2000; Graham,
 11 Evans, and Russ, 2003; Micheli *et al.*, 2004; Robertson *et al.*, 2005). Further, there is widespread
 12 belief that the mass mortality of *Diadema antillarum*—a major grazer on reefs—in 1983–1984
 13 was a significant proximal cause of coral reef decline throughout the Caribbean. However, as
 14 reported in Aronson and Precht (2006), half the coral reef decline throughout the Caribbean
 15 reported by Gardner *et al.* (2003) occurred before the die-off of *D. antillarum*, and immediately
 16 after the die-off coral cover remained unchanged (Fig. 8.5) (Gardner *et al.*, 2003). Subsequent
 17 declines in cover throughout the region were due to coral bleaching (1987, 1997–1998) and
 18 disease. It is important to highlight this complexity, because it emphasizes how much is
 19 unknown about basic ecological processes on coral reefs and consequently how much needs to
 20 be learned about whether no-take marine reserves work effectively to enhance resilience when
 21 disease and bleaching remain significant sources of coral mortality (Aronson and Precht, 2006).

22
 23
 24
 25 **Figure 8.5.** Total observed change in coral cover (%) across the Caribbean basin over the
 26 past 25 years (Gardner *et al.*, 2003). A. Coral cover (%) 1977-2001. Annual estimates (▲)
 27 are weighted means with 95% bootstrap confidence intervals. Also shown are unweighted
 28 estimates (●), unweighted mean coral cover with the Florida Keys Coral Reef Monitoring
 29 Project (1996-2001) omitted (x), and the number of studies each year (○). B. Year-on-year
 30 rate of change (mean $\Delta N \pm SE$) in coral cover (%) for all sites reporting two consecutive
 31 years of data 1975-2000 (●) and the number of studies for each two-year period (○).

34 Nonindigenous/Invasive Species

35 Invasive species threaten all marine and estuarine communities. Currently, an estimated 2% of
 36 extinctions in marine ecosystems are related to invasive species while 6% are the result of other
 37 factors, including climate change, pollution, and disease (Dulvy, Sadovy, and Reynolds, 2003).
 38 Principal mechanisms of introduction vary and have occurred via both accidental and intentional
 39 release (Ruiz *et al.*, 2000; Carlton, 2000).¹⁹ Invasive species are often opportunistic and can
 40 force shifts in the relative abundance and distribution of native species, and cause significant
 41 changes in species richness and community structure (Sousa, 1984; Moyle, 1986; Mills, Soulé,
 42 and Doak, 1993; Baltz and Moyle, 1993; Carlton, 1996; Carlton, 2000; Marchetti, Moyle, and
 43 Levine, 2004).

¹⁹ See also Hare, J.A. and P.E. Whitfield, 2003: *An Integrated Assessment of the Introduction of Lionfish (Pterois Volitans/Miles Complex) to the Western Atlantic Ocean*. NOAA Technical Memorandum NOS NCCOS 2, pp.1-21.

1 Some native species, particularly rare and endangered ones with small population sizes and gene
2 pools, are unlikely to be able to adapt quickly enough or shift their ranges rapidly enough to
3 compensate for the changing climatic regimes proposed by current climate change models
4 (IPCC, 2007c). These native species will likely have their competitive abilities compromised and
5 be more susceptible to displacement by invasive species, and therefore should be considered for
6 stronger protective measures by MPA managers. Increased seawater temperatures resulting from
7 climate change may also allow introduced species to spawn earlier and for longer periods of the
8 year, thus increasing their population growth rates relative to natives while simultaneously
9 expanding their range (Carlton, 2000; McCarty, 2001; Stachowicz *et al.*, 2002; Marchetti,
10 Moyle, and Levine, 2004). Furthermore, the same characteristics that make species successful
11 invaders may also make them pre-adapted to respond to, and capitalize on, climate change. As
12 one example, Indo-Pacific lionfish (*Pterois volitans* and *P. miles*) are now widely distributed off
13 the southeastern coast of the United States and in the Bahamas less than 10 years after being first
14 observed off Florida (Whitfield *et al.*, 2007; Snyder and Burgess, 2007). One of the few factors
15 limiting their spread is intolerance to minimum water temperatures during winter (Kimball *et al.*,
16 2004). Ocean warming could facilitate depth and range expansion in these species.

17 **Diseases**

18 Disease outbreaks alter the structure and function of marine ecosystems by affecting the
19 abundance and diversity of vertebrates (*e.g.*, mammals, turtles, fish), invertebrates (*e.g.*, corals,
20 crustaceans, echinoderms, oysters) and plants (*e.g.*, seagrasses, kelp beds). Pathogen outbreaks or
21 epidemics spread rapidly, due to the lack of dispersal barriers in some parts of the ocean and the
22 potential for long-term survival of pathogens outside the host (Harvell *et al.*, 1999; Harvell *et al.*,
23 2002). Many pathogens of marine taxa such as coral viruses, bacteria, and fungi are positively
24 responsive to temperature increases within their physiological thresholds (Porter *et al.*, 2001;
25 Kim and Harvell, 2004; Munn, 2006; Mydlarz, Jones, and Harvell, 2006; Boyett, Bourne, and
26 Willis, 2007). However, it is noteworthy that white-band disease was the primary cause (though
27 not the only cause) of reduced coral cover on Caribbean reefs from the late 1970s through the
28 early 1990s (Aronson and Precht, 2006). That outbreak did not correspond to a period of
29 particularly elevated temperature (Lesser *et al.*, 2007).

30
31 Exposure to disease compromises the ability of species to resist other anthropogenic stressors,
32 and exposure to other stressors compromises species' ability to resist disease (Harvell *et al.*,
33 1999; Harvell *et al.*, 2002). For example, in 1998, the most geographically extensive and severe
34 coral bleaching ever recorded was associated with the high sea surface temperature anomalies
35 facilitated by an ENSO event (Hoegh-Guldberg, 1999; Wilkinson *et al.*, 1999; Mydlarz, Jones,
36 and Harvell, 2006). In some species of reef-building corals and gorgonians, this bleaching event
37 was thought to be accelerated by opportunistic infections (Harvell *et al.*, 1999; Harvell *et al.*,
38 2001). Several pathogens—such as bacteria, viruses, and fungi that infect such diverse hosts as
39 seals, abalone, and starfish—show possible onset with warmer temperatures (reviewed in Harvell
40 *et al.*, 2002), and some coral species may become more susceptible to disease after bleaching
41 events (Whelan *et al.*, 2007). The mechanisms for pathogenesis, however, are largely unknown.
42 Given that exposure to multiple stressors may compromise the ability of marine species to resist
43 infection, the most effective means of reducing disease incidence under climate change may be
44 to minimize impacts of stressors such as pollution and overfishing.
45

1 **8.3.3 Management Approaches and Sensitivity of Management Goals to Climate Change**

2 Marine protected area programs have been identified as a critical mechanism for protecting
3 marine biodiversity and associated ecosystem services (National Research Council, 2001;
4 Palumbi, 2002; Roberts *et al.*, 2003a; Sobel and Dahlgren, 2004; Palumbi, 2004; Roberts, 2005;
5 Salm, Done, and McLeod, 2006).²⁰ MPA networks are being implemented globally to address
6 multiple threats to the marine environment, and are generally accepted as an improvement over
7 individual MPAs (Salm, Clark, and Siirila, 2000; Allison *et al.*, 2003; Roberts *et al.*, 2003a;
8 Mora *et al.*, 2006). Networks are more effective than single MPAs at protecting the full range of
9 habitat and community types, because they spread the risk of losing a habitat or community type
10 following a disturbance such as a climate-change impact across a larger area. Networks are better
11 able than individual MPAs to protect both short- and long-distance dispersers, and thus have
12 more potential to achieve conservation and fishery objectives (Roberts, 1997a). Networks
13 provide enhanced larval recruitment among adjacent MPAs that are linked by local and regional
14 dispersal patterns, enhanced protection of critical life stages, and enhanced protection of critical
15 processes and functions, *e.g.*, migration corridors (Gerber and Heppell, 2004). Finally, networks
16 allow for protection of marine ecosystems at an appropriate scale. A network of MPAs could
17 cover a large gradient of biogeographic and oceanographic conditions without the need to
18 establish one extremely large reserve, and can provide more inclusive representation of
19 stakeholders (National Research Council, 2001; Hansen, Biringer, and Hoffman, 2003).

20
21 While MPA networks are considered a critical management tool for conserving marine
22 biodiversity, they must be established in conjunction with other management strategies to be
23 effective (Hughes *et al.*, 2003). MPAs are vulnerable to activities beyond their boundaries. For
24 example, uncontrolled pollution and unsustainable fishing outside protected areas can adversely
25 affect the species and ecosystem function within the protected area (Kaiser, 2005). Therefore,
26 MPA networks should be established considering other forms of fisheries management (*e.g.*,
27 catch limits and gear restrictions) (Allison, Lubchenco, and Carr, 1998; Beger, Jones, and
28 Munday, 2003; Kaiser, 2005), as well as coastal management to control land-based threats such
29 as pollution and sedimentation (Cho, 2005). In the long term, the most effective configuration
30 would be a network of highly protected areas nested within a broader management framework
31 (Salm, Done, and McLeod, 2006). Such a framework might include a vast multiple-use area
32 managed for sustainable fisheries as well as protection of biodiversity, integrated with coastal
33 management regimes where appropriate, to enable effective control of threats originating
34 upstream and to maintain high water quality (*e.g.*, Done and Reichelt, 1998).

35
36 The National Marine Sanctuary Program has developed a set of goals (Box 8.1) to help clarify
37 the relationship between operations at individual sanctuaries and the broad directives of the
38 National Marine Sanctuaries Act. A subset of these goals (Goals 1, 4, 5, and 6) are relevant to
39 resource protection and climate change. Box 8.3 expands upon Goals 1, 4, 5, and 6 to display
40 their attendant objectives, which provide guidance for management plans that are developed by
41 sanctuary sites (see Table 8.3). Sanctuary management plans are developed and subsequently
42 reviewed and revised on a five-year cycle as a collaboration between sanctuary staff and local
43 communities. After threats and stressors to resources are identified, action plans are prepared that

²⁰ See also **Ballantine**, B., 1997: Design principles for systems of no-take marine reserves. Proceedings of the the design and monitoring of marine reserves, Fisheries Center, University of British Columbia, Vancouver.

1 identify activities to address them. Threats and stressors may include such things as
2 overexploitation of natural resources, degraded water quality, and habitat damage and
3 destruction. Sanctuary management plans are designed to address additional issues raised by
4 local communities, such as user conflicts, needs for education and outreach, and interest in
5 volunteer programs.

6
7 Fully protected marine reserves within national marine sanctuaries have been implemented at
8 some sites (*e.g.*, Channel Islands and the Florida Keys; Keller and Causey, 2005) to reduce
9 fishing pressure; the entire area of the Papahānaumokuākea Marine National Monument will
10 become no-take within five years. These additional protective actions complement existing
11 fishery regulations. Some sites, such as Monterey Bay and the Florida Keys, have Water Quality
12 Protection Programs to address issues such as watershed pollution, vessel discharges, and, in the
13 case of the Florida Keys, wastewater and stormwater treatment systems. Habitat damage may be
14 addressed using waterway marking programs to reduce vessel groundings and mooring buoys to
15 minimize anchor damage. Many of these activities are supported through education and outreach
16 programs to inform the public, volunteer programs to help distribute information (*e.g.*, Team
17 Ocean²¹), and law enforcement.

18
19 Sanctuary management plans are intended to be comprehensive, and may take years of
20 community involvement to develop. For example, it took more than five years to develop the
21 management plan for the Florida Keys National Marine Sanctuary (Keller and Causey, 2005),
22 and an additional three years were required to prepare a supplemental plan for the Tortugas
23 Ecological Reserve (Cowie-Haskell and Delaney, 2003; Delaney, 2003). However, the focus of
24 sanctuary management plans has been on local stressors and not on additional impacts of climate
25 change. As suggested below, climate change will need to be included in MPA planning,
26 management, and evaluation.

27
28 Effective management and preservation of ecosystem characteristics in the face of climate
29 change projections is relevant to achieving NMSP Goals 1, 2, 4, and 5 (Box 8.1). The NMSP is a
30 leader in the use of stakeholders in the development of new management approaches (Sanctuary
31 Advisory Councils and public scoping meetings at the site level). This model of public
32 involvement should serve well as management strategies adapt under the stresses of climate
33 change. Exporting lessons learned to the general public, managers of other MPAs, and the
34 international community will further address NMSP Goals 2, 3, and 6.

35
36 An additional approach of the NMSP that should further efforts toward adaptive management in
37 the context of climate change is the development of performance measures to help evaluate the
38 success of the program (Box 8.4). Although climate change stressors are not yet explicitly
39 addressed in these performance measures, attainment of a number of these measures clearly will
40 be increasingly affected by climate change. The performance-measure approach should
41 encourage sanctuary managers to address climate change impacts using the public processes of
42 Sanctuary Advisory Councils and public scoping meetings. In addition, national marine

²¹ **Florida Keys National Marine Sanctuary**, 2003: Florida Keys NMS Team OCEAN. Florida Keys National Marine Sanctuary Webpage, <http://floridakeys.noaa.gov/edu/ocean.html>, accessed on 5-21-2007.

1 sanctuaries are preparing Condition Reports,²² which provide summaries of resources, pressures
2 on resources, current condition and trends, and management responses to pressures that threaten
3 the integrity of the marine environment. These reports will provide opportunities for sanctuaries
4 to evaluate climate change as a pressure, and identify management responses on a site-by-site
5 basis as well as across the system of national marine sanctuaries.

6 **8.4 Adapting to Climate Change**

7 MPA managers can respond to challenges of climate change at two scales: actions at individual
8 sites and implementing MPA networks. At particular MPAs, managers can increase efforts to
9 ameliorate existing anthropogenic stressors with a goal of reducing the overall load of multiple
10 stressors (Breitburg and Riedel, 2005). For example, the concept of protecting or enhancing coral
11 reef resilience has been proposed to help ameliorate negative consequences of coral bleaching
12 (Hughes *et al.*, 2003; Hughes *et al.*, 2005).²³ Under this approach, resilience is an ecosystem
13 property that can be managed and is defined as the ability of an ecosystem to resist or absorb
14 disturbance without significantly degrading processes that determine community structure, or if
15 alterations occur, recovery is *not* to an alternate community state (Gunderson, 2000; Nyström,
16 Folke, and Moberg, 2000; Hughes *et al.*, 2003). In short, managing for resilience includes
17 dealing with causes of coral reef disturbance and decline that managers can address at local and
18 regional levels, such as overfishing and pollution. These are the things that managers would want
19 to do anyway, even if climate change were not a threat, because these activities help to maintain
20 the ecological and economic value of the ecosystem.

21
22 In addition to the approach of ameliorating existing stressors, MPA managers can protect
23 putatively resistant and potentially resilient areas, develop networks of MPAs, and integrate
24 climate change into planning efforts. Specific examples of adaptation options from across these
25 approaches are presented in Box 8.5 and elaborated upon further in the sections that follow.

26
27 It is important to emphasize that variable and complex effects of climate on oceanographic
28 processes and production (Soto, 2001; Mann and Lazier, 2006) present MPA managers with
29 major uncertainties about climate change impacts and effective management approaches. An
30 excellent discussion of uncertainty and scenario-based planning is provided in the National Parks
31 chapter, sections 4.4.1 and 4.4.2.

32 **8.4.1 Ameliorate Existing Stressors in Coastal Waters**

33 Managers may be able to increase resilience to climate change within MPAs by reducing impacts
34 of local- and regional-scale stressors, such as overfishing, excessive inputs of nutrients,
35 sediments, and pollutants, and degraded water quality. While this concept is logical and has
36 considerable appeal, evidence in support of this approach is weak at best, which provides an
37 excellent opportunity for adaptive-management research. Kelp forest ecosystems in marine
38 reserves, where no fishing is allowed, are more resilient to ocean warming than those in areas
39 where overfishing occurs (Behrens and Lafferty, 2004). This ecological response is a result of

²² **National Marine Sanctuary Program**, 5-21-2007: National Marine Sanctuaries condition reports. NOAA Website, <http://sanctuaries.noaa.gov/science/condition/>, accessed on 7-27-2007.

²³ See also **Marshall**, P. and H. Schuttenberg, 2006: A Reef Manager's Guide to Coral Bleaching. Great Barrier Reef Marine Park Authority, http://www.coris.noaa.gov/activities/reef_managers_guide/, pp.1-178.

1 changes in trophic structure of communities in and around the reserves. When top predators such
2 as spiny lobster are fished, their prey, herbivorous sea urchins, increase in abundance and
3 consume giant kelp and other algae. When kelp forests are subjected to intense grazing by these
4 herbivores, the density of kelp is reduced, sometimes becoming an “urchin barren,” particularly
5 during ocean warming events such as ENSO cycles. In reserves where fishing is prohibited,
6 lobster populations were larger, urchin populations were diminished, and kelp forests persisted
7 over a period of 20 years—including four ENSO cycles (Behrens and Lafferty, 2004).

8
9 Managing water quality has been identified as a key strategy for maintaining ecological
10 resilience (Salm, Done, and McLeod, 2006).²³ In the Florida Keys National Marine Sanctuary
11 and the Great Barrier Reef Marine Park, water quality protection is recognized as an essential
12 component of management (U.S. Department of Commerce, 1996; The State of Queensland and
13 Commonwealth of Australia, 2003; Grigg *et al.*, 2005, also see the Monterey Bay National
14 Marine Sanctuary's water quality agreements with land-based agencies).²⁴ Strong circumstantial
15 evidence exists linking poor water quality to increased macroalgal abundances, internal
16 bioerosion, and susceptibility to some diseases in corals and octocorals (Fabricius and De'ath,
17 2004). Addressing sources of pollution—especially nutrient enrichment, which can lead to
18 increased algal growth and reduced coral settlement—is critical to maintaining ecosystem health.
19 In addition to controlling point-source pollution within an MPA, managers must also link their
20 MPAs into the governance system of adjacent areas to control sources of pollution beyond the
21 MPA boundaries (*e.g.*, Crowder *et al.*, 2006). Further actions necessary to improve water quality
22 include raising awareness of how land-based activities can adversely affect adjacent marine
23 environments, implementing programs for integrated coastal and watershed management, and
24 developing options for advanced wastewater treatment (The Group of Experts on Scientific
25 Aspects of Marine Environmental Protection, 2001).

26
27 Managers may be able to build resilience to climate change into MPA management strategies by
28 protecting marine habitats such as coral reefs and mangroves from direct threats such as
29 pollution, sedimentation, destructive fishing, and overfishing. Therefore, managers should
30 continue to develop and implement strategies to reduce land-based pollution, decrease nutrient
31 and sediment runoff, eliminate the use of persistent pesticides, and increase filtration of effluent
32 to improve water quality. As noted above, the efficacy of these measures needs research in an
33 adaptive-management context.

34
35 Another mechanism that may maintain resilience is the management of functional groups,
36 specifically herbivores (Hughes *et al.*, 2003; Bellwood *et al.*, 2004). Bellwood *et al.* (2004)
37 identified three functional groups of herbivores that assist in maintaining coral reef resilience:
38 bioeroders, grazers, and scrapers. These groups work together to break down dead coral to allow
39 substrate for recruitment, graze macroalgae, and reduce the development of algal turfs to allow
40 for a clean substrate for coral settlement. Algal biomass must be kept low to maintain healthy
41 coral reefs (Sammarco, 1980; Hatcher and Larkum, 1983; Steneck and Dethier, 1994). Bellwood,
42 Hughes, and Hoey (2006) identify the need to protect both the species that prevent phase shifts
43 from coral-dominated to algal-dominated reefs and the species that help reefs recover from algal

²⁴ **Monterey Bay National Marine Sanctuary**, 2007: Water quality protection program for the MBNMS. Monterey Bay National Marine Sanctuary Website, <http://www.mbnms.nos.noaa.gov/resourcepro/water-pro.html>, accessed on 5-23-2007.

1 dominance. They suggest that while parrotfishes and surgeonfishes appear to play a critical role
2 in preventing phase shifts to macroalgae, their ability to remove algae may be limited if a phase
3 shift to macroalgae has already occurred (Bellwood, Hughes, and Hoey, 2006). In their study on
4 the Great Barrier Reef, the phase shift reversal from macroalgal-dominated to a coral- and
5 epilithic algal-dominated state was driven by a single batfish species (*Platax pinnatus*), not
6 grazing by dominant parrotfishes or surgeonfishes (Bellwood, Hughes, and Hoey, 2006). This
7 finding highlights the need to protect the full range of species to maintain resilience, at least in
8 some systems. For example, Ledlie *et al.* (2007) found that a shift from coral to algal dominance
9 occurred at a marine reserve in the Seychelles after the 1998 mass coral bleaching event, despite
10 the presence of abundant herbivorous fishes. Many herbivorous fishes avoid macroalgae, and
11 more research on functional groups is needed.

12
13 Although protecting functional groups may be a component of MPA management to enhance
14 resilience, understanding which groups should be protected requires a detailed knowledge of
15 species and interactions that is not often available for all species. Therefore, managers should
16 strive to maintain the maximum number of species in the absence of detailed data on ecological
17 and species interactions. For example, for managing coral reefs, regional guidelines identifying
18 key herbivores that reduce macroalgae and encourage coral reef settlement should be developed.
19 For kelp forests, the opposite approach may apply: managers may need to identify key predators
20 on herbivores and limit fishing on those predators to reduce herbivory and promote growth of
21 healthy kelp forests. These guidelines should be field tested at different locations to verify the
22 recommendations.

23 **8.4.2 Protect Apparently Resistant and Potentially Resilient Areas**

24 Marine ecosystems that contain biologically generated habitats face potential loss of habitat
25 structure as climate change progresses (*e.g.*, coral reefs, seagrass beds, kelp forests, and deep
26 coral communities) (see Hoegh-Guldberg, 1999; Steneck *et al.*, 2002; Roberts, Wheeler, and
27 Freiwald, 2006; Orth *et al.*, 2006). As discussed earlier in this chapter, it is likely that climate
28 change contributes to mass coral bleaching events (Reaser, Pomerance, and Thomas, 2000),
29 which became recognized globally in 1997–1998 (Wilkinson, 1998; 2000) and have affected
30 large regions in subsequent years (Wilkinson, 2002; 2004; Whelan *et al.*, 2007). The amount of
31 live coral has declined dramatically in the Caribbean region over the past 30 years as a result of
32 bleaching, diseases, and hurricanes (Gardner *et al.*, 2003; 2005). In the Florida Keys, fore-reef
33 environments that formerly supported dense growths of coral are now nearly depauperate, and
34 the highest coral cover is in patch reef environments (Porter *et al.*, 2002; Lirman and Fong,
35 2007). Irrespective of the mechanism—resistance, resilience, or exposure to relatively low levels
36 of past environmental stress—these patch-reef environments might be good candidates for
37 additional protective measures because they may have high potential to survive climate stress.

38
39 Done²⁵ (see also Marshall and Schuttenberg, 2006) presented a decision tree for identifying areas
40 that would be suitable for MPAs under a climate change scenario. Two types of favorable
41 outcomes included reefs that survived bleaching (*i.e.*, were resilient) and reefs that were not

²⁵ Done, T., 2001: Scientific principles for establishing MPAs to alleviate coral bleaching and promote recovery. In: *Proceedings of the Workshop on Mitigating Coral Bleaching Impact Through MPA Design* [Salm, R.V. and S.L. Coles (eds.)]. Proceedings of the Coral Bleaching and Marine Protected Areas, pp. 60-66.

1 exposed to elevated sea surface temperatures (*e.g.*, may be located within refugia such as areas
2 exposed to upwelling or cooler currents). This type of decision tree has already been adapted to
3 guide site selection for mangroves (McLeod and Salm, 2006), and it could be extended further
4 for other habitat types such as seagrass beds and kelp forests.

5
6 In addition, thermally stressed corals exhibit less bleaching and higher survival if they are shaded
7 during periods of elevated temperatures (Hoegh-Guldberg *et al.*, 2007). On a small scale, MPA
8 managers may be able to shade areas during bleaching events to reduce overall stress. On a
9 larger scale, managers should protect mangrove shorelines and support restoration of areas where
10 mangroves have been damaged or destroyed, because tannins and dissolved organic compounds
11 from decaying mangrove vegetation contribute to absorbing light and reducing stress (Hallock,
12 2005) (see also section 8.4.3.1). Extensive discussions of coral bleaching and management
13 responses are provided in Marshall and Schuttenberg (2006)²³ and Johnson and Marshall.²⁶

14
15 Because climate change impacts on marine systems are patchy (with reefs that avoid bleaching
16 one year potentially bleaching the following year), it is essential that areas that appear to be
17 resistant or resilient to climate change impacts be monitored and tested to ensure that they
18 continue to provide benefits (see section 8.4.4.1 for more on monitoring and research). This
19 allows managers to target potential refugia for MPA design now, while also monitoring these
20 areas over time so that management can be modified as circumstances and habitats change.

21 **8.4.3 Develop Networks of MPAs**

22 The concept of systems or networks of MPAs has considerable appeal because of emergent
23 properties (*i.e.*, representation, replication, sustainability, connectivity) (National Research
24 Council, 2001; Roberts *et al.*, 2003a),²⁰ spreading the risk of catastrophic habitat loss (Palumbi,
25 2002; Allison *et al.*, 2003), and the provision of functional wilderness areas sufficient to resist
26 fundamental changes to entire ecosystems (Kaufman *et al.*, 2004). While MPA networks have
27 been recognized as a valuable tool to conserve marine resources in the face of climate change,
28 there have been a number of challenges to implementation (Pandolfi *et al.*, 2005; Mora *et al.*,
29 2006); nevertheless, a number of principles have been developed and are gradually being applied
30 to aid MPA network design and implementation. These principles are described below.

31 **8.4.3.1 Protect Critical Areas**

32 Critical areas—areas that are biologically or ecologically significant—should be identified and
33 included in MPAs. These critical areas include nursery grounds, spawning grounds, areas of high
34 species diversity, areas that contain a variety of habitat types in close proximity to each other,
35 and climate refugia (Allison, Lubchenco, and Carr, 1998; Sale *et al.*, 2005).²⁷ Coral assemblages
36 that demonstrate resistance or resilience to climate change may be identified and provided
37 additional protection to ensure a secure source of recruitment to support recovery in damaged
38 areas. Managers can analyze how assemblages have responded to past climate events to

²⁶ Johnson, J. and P. Marshall, 2007: *Climate Change and the Great Barrier Reef: a Vulnerability Assessment*. Great Barrier Reef Marine Park Authority.

²⁷ See also Sadovy, Y., 2006: Protecting the spawning and nursery habitats of fish: the use of MPAs to safeguard critical life-history stages for marine life. *MPA News, International News and Analysis on Marine Protected Areas*, 8(2), 1-3.

1 determine likely resilience to climate change impacts. For example, some coral reefs resist
2 bleaching due to genetic characteristics or avoid bleaching due to environmental factors.
3 Managers can fully protect those that either resist or recover quickly from mass bleaching events,
4 as well as those that are located in areas where physical conditions (*e.g.*, currents, shading)
5 afford them some protection from temperature anomalies. Reefs that are resistant and reefs that
6 are located in refugia from climate extremes may play a critical role in reef survival by providing
7 a source of larvae for dispersal to and recovery of affected areas.²⁸ For coral reefs, indicators of
8 potential refugia include a ratio of live to dead coral and a range of colony sizes and ages
9 suggesting persistence over time. Refugia must be large enough to support high species richness
10 to maximize their effectiveness as sources of recruits to replenish areas that have been damaged
11 (Palumbi *et al.*, 1997; Bellwood and Hughes, 2001; Salm, Done, and McLeod, 2006).
12

13 Following extreme events, MPA managers should consider whether actions should be taken to
14 enhance natural recovery processes through active restoration of biologically structured habitats.
15 For example, damaged areas in seagrass beds may recover more rapidly if steps are taken to
16 stabilize sediments (Whitfield *et al.*, 2002). Due to the loss of mangroves from many areas,
17 mangrove restoration is another option for MPA managers that may have multiple benefits,
18 including shoreline protection, expansion of nursery habitat (Nagelkerken, 2007), and release of
19 tannins and other dissolved organic compounds that may reduce photo-oxidative stress in corals
20 (Hallock, 2005).

21 **8.4.3.2 Incorporate Connectivity in Planning MPA Networks**

22 Connectivity is the natural linkage between marine habitats (Crowder *et al.*, 2000; Stewart,
23 Noyce, and Possingham, 2003; Roberts *et al.*, 2003b), which occurs through advection by ocean
24 currents and includes larval dispersal and movements of adults and juveniles. Connectivity is an
25 important part of ensuring larval exchange and the replenishment of populations in areas
26 damaged by natural or human-related agents. Salm *et al.* (2006) recommend that patterns of
27 connectivity be identified among source and sink reefs to inform reef selection in the design of
28 MPA networks and enhance recovery following disturbance events. This principle applies to
29 other marine systems, such as mangroves, as well. For example, healthy mangroves could be
30 selected up-current from areas that may succumb to sea level rise, and areas could be selected
31 that would be suitable habitat for mangroves in the future following sea level rise. These areas of
32 healthy mangroves could provide secure sources of propagules to replenish down-current
33 mangroves following a disturbance event.
34

35 A suspected benefit of MPAs is the dispersal of larvae to areas surrounding MPAs, but there are
36 few data that can be used to estimate the exchange of larvae among local populations (Palumbi,
37 2004). Understanding larval dispersal and transport are critical to determining connectivity, and
38 thus the design of MPAs. The size of an individual MPA should be based on the movement of
39 adults of species of interest (Hastings and Botsford, 2003; Botsford, Micheli, and Hastings,
40 2003). An individual MPA should be large enough to contain the different habitats used and the
41 daily movements of species of interest. The distance between adjacent MPAs should take into

²⁸ Salm, R.V. and S.L. Coles, 2001: Coral bleaching and marine protected areas. In: *Proceedings of the Workshop on Mitigating Coral Bleaching Impact Through MPA Design* [Salm, R.V. and S.L. Coles (eds.)]. Proceedings of the Coral Bleaching and Marine Protected Areas, Volume 102, Asia Pacific Coastal Marine Program Report #0102, The Nature Conservancy, Honolulu, Hawaii, pp. 1-118.

1 account the potential dispersal distances of larvae of fish, invertebrates, and other species of
2 interest.²⁹

3
4 One approach in MPA design has been to establish the size of MPAs based on the spatial scale of
5 movements of adults of heavily fished species, and to space MPAs based on scales of larval
6 dispersal (Palumbi, 2004). However, guidelines for the minimum size of MPAs and no-take
7 reserves, and spacing between adjacent MPAs, vary dramatically depending on the goals for the
8 MPAs (Hastings and Botsford, 2003). Friedlander *et al.* (2003) suggested that no-take zones
9 should measure ca. 10 km² to ensure viable populations of a range of species in the Seaflower
10 Biosphere Reserve, Colombia. Aíramé *et al.* (2003) recommended a network of three to five no-
11 take zones in each biogeographic region of the Channel Islands National Marine Sanctuary,
12 comprising approximately 30–50% of the area, in order to conserve biodiversity and contribute
13 to sustainable fisheries in the region.

14
15 Recent studies confirm that larval dispersal is more localized than previously thought, and short-
16 lived species may require regular recruitment from oceanographically connected sites (Cowen,
17 Paris, and Srinivasan, 2006; Steneck, 2006). Palumbi (2003) concluded that marine reserves tens
18 of km apart may exchange larvae in a single generation. Shanks, Grantham, and Carr (2003)
19 similarly concluded that marine reserves spaced 20 km apart would allow larvae to be carried to
20 adjacent reserves. The Science Advisory Team to California’s Marine Life Protection Act
21 Initiative recommended spacing high protection MPAs, such as marine reserves, within 50–100
22 km in order to accommodate larval dispersal distances of a wide range of species of interest.
23 Halpern *et al.* (2006) corroborated these findings using an uncertainty-modeling approach.

24
25 No-take zones measuring a minimum of 20 km in diameter will accommodate short-distance
26 dispersers in addition to including a significant part of the local benthic fishes, thus generating
27 fisheries benefits (Shanks, Grantham, and Carr, 2003; Fernandes *et al.*, 2005; Mora *et al.*, 2006).
28 While this recommendation is likely to protect the majority of small benthic fish and benthic
29 invertebrates, it is unlikely to protect large pelagic fish and large migratory species (Roberts *et*
30 *al.*, 2003b; Palumbi, 2004). Recommendations to protect highly migratory and pelagic species
31 include designing MPAs to protect predictable breeding and foraging habits, ensuring these have
32 dynamic boundaries and extensive buffers, and establishing dynamic MPAs that are defined by
33 the extent and location of large-scale oceanographic features, such as oceanic fronts, where
34 changes in types and abundances of marine organisms often occur (Hyrenbach, Forney, and
35 Dayton, 2000).

36
37 A system-wide approach should be taken that addresses patterns of connectivity among
38 ecosystems such as mangroves, coral reefs, and seagrass beds (Mumby *et al.*, 2004). For
39 example, mangroves in the Caribbean enhance the biomass of coral reef fish communities
40 because they provide essential nursery habitat. Coral reefs can protect mangroves by buffering
41 the impacts of wave erosion, while mangroves can protect reefs and seagrass beds from siltation.
42 Thus, connectivity among functionally linked habitats helps maintain ecosystem function and
43 resilience (Ogden and Gladfelter, 1983; Roberts, 1996; Nagelkerken *et al.*, 2000). Entire
44 ecological units (*e.g.*, coral reefs with their associated mangroves and seagrasses) should be

²⁹ **California Department of Fish and Game**, 2007: *California Marine Life Protection Act: Master Plan for MPAs*. California Department of Fish and Game.

1 included in MPA design where possible. If entire biological units cannot be included, then larger
2 areas should be chosen over smaller areas to accommodate local-scale recruitment.

3
4 Although maintaining connectivity within and between MPAs may help maintain marine
5 biodiversity, ecosystem function, and resilience, many challenges exist. For example, the same
6 currents and pathways that allow for larval recruitment following a disturbance event can expose
7 an ecosystem to invasive species, pathogens, parasites, or pollutants, which can undermine the
8 resilience of a system (McClanahan, Polunin, and Done, 2002). Numerous challenges also exist
9 in estimating larval dispersal patterns. Although there have been detailed studies addressing
10 dispersal *potential* of marine species based on their larval biology (*e.g.*, Shanks, Grantham, and
11 Carr, 2003; Kinlan and Gaines, 2003), little is known about where in the oceans larvae go and
12 how far they travel. A single network design is unlikely to satisfy the potential dispersal ranges
13 for all species; Roberts *et al.* (2003b) recommended an approach using various sizes and spacing
14 of MPAs in a network to accommodate the diversity of dispersal ranges. Larval duration in the
15 plankton also varies from minutes to years, and the more time that propagules spend in the water
16 column, the farther they tend to be dispersed (Shanks, Grantham, and Carr, 2003; Steneck,
17 2006). Evidence from hydrodynamic models and genetic structure data indicates that, in addition
18 to large variation of larval dispersal distances among species, the average scale of dispersal can
19 vary widely—even within a given species—at different locations in space and time (*e.g.*, Cowen
20 *et al.*, 2003; Sotka *et al.*, 2004; Engie and Klinger, 2007). Some information suggests long-
21 distance dispersal is common, but other emerging information suggests that larval dispersal may
22 be limited (Jones *et al.*, 1999; Swearer *et al.*, 1999; Warner, Swearer, and Caselle, 2000;
23 Thorrold *et al.*, 2001; Palumbi, 2003; Paris and Cowen, 2004; Jones, Planes, and Thorrold,
24 2005). Additional research will be required to better understand where and how far larvae travel
25 in various marine ecosystems.

26 **8.4.3.3 Replicate Multiple Habitat Types in MPA Networks**

27 Recognizing that the science underlying our understanding of resilience is developing and that
28 climate change will not affect marine species equally everywhere, an element of spreading the
29 risk must be built into MPA design. To avoid the loss of a single habitat type, managers can
30 protect multiple samples of the full range of marine habitat types (Hockey and Branch, 1994;
31 Roberts *et al.*, 2001; Friedlander *et al.*, 2003; Roberts *et al.*, 2003b; Salm, Done, and McLeod,
32 2006; Wells, 2006).²⁰ For example, these marine habitat types include coral reefs with varying
33 degrees of exposure to wave energy (*e.g.*, offshore, mid-shelf, and inshore reefs), seagrass beds,
34 and a range of mangrove communities (riverine, basin, and fringe forests in areas of varying
35 salinity, tidal fluctuation, and sea level) (Salm, Done, and McLeod, 2006). Reflecting the current
36 federal goal of protecting at least 30% of lifetime stock spawning potential (Ault, Bohnsack, and
37 Meester, 1998; National Marine Fisheries Service, 2003), it has been recommended that more
38 than 30% of appropriate habitats should be included in no-take zones.³⁰ In 2004, the Great
39 Barrier Reef Marine Park Authority increased the area of no-take zones from less than 5% to

³⁰ **Bohnsack**, J.A., B. Causey, M.P. Crosby, R.B. Griffis, M.A. Hixon, T.F. Hourigan, K.H. Koltjes, J.E. Maragos, A. Simons, and J.T. Tilmant, 2002: A rationale for minimum 20–30% no-take protection. In: *Proceedings of the Ninth International Coral Reef Symposium 23*, October 2000, pp. 615-619.

1 approximately 33% of the area of the Marine Park, ensuring that at least 20% of each bioregion
2 (area of every region of biodiversity) was zoned as no-take (Fernandes *et al.*, 2005).³¹

3
4 For both terrestrial and marine systems, species diversity often increases with habitat diversity,
5 and species richness increases with habitat complexity; the greater the variety of habitats
6 protected, the greater the biodiversity conserved (Friedlander *et al.*, 2003; Carr *et al.*, 2003).
7 High species diversity may increase ecosystem resilience by ensuring sufficient redundancy to
8 maintain ecological processes and protect against environmental disturbance (McNaughton,
9 1977; McClanahan, Polunin, and Done, 2002). This is particularly true in the context of additive
10 or synergistic stressors. Maximizing habitat heterogeneity is critical for maintaining ecological
11 health; thus MPAs should include large areas and depth gradients (Hansen, Biringer, and
12 Hoffman, 2003; Roberts *et al.*, 2003a).²⁵ By protecting a representative range of habitat types
13 and communities, MPAs have a higher potential to protect a region's biodiversity, biological
14 connections between habitats, and ecological functions.³²

15
16 Replication of habitat types in multiple areas provides a further way to spread risks associated
17 with climate change. If a habitat type is destroyed in one area, a replicate of that habitat may
18 survive in another area to provide larvae for recovery. While the number of replicates will be
19 determined by a balance of desired representation and practical concerns such as funding and
20 enforcement capacity (Airamé *et al.*, 2003), generally at least three to five replicates are
21 recommended to effectively protect a particular habitat or community type (Airamé *et al.*, 2003;
22 Roberts *et al.*, 2003b; Fernandes *et al.*, 2005). Wherever possible, multiple samples of each
23 habitat type should be included in MPA networks or larger management frameworks such as
24 multiple-use MPAs or areas under rigorous integrated management regimes (Salm, Done, and
25 McLeod, 2006). This approach has the advantage of protecting essential habitat for a wide
26 variety of commercially valuable fish and macroinvertebrates.

27 While a risk-spreading approach to address the uncertainty of the impacts of climate change
28 makes practical sense, there are challenges to adequate representation. Managers must have
29 access to classification maps of marine habitat types/communities or local knowledge of habitat
30 types/communities for their area to determine which representative examples should be included
31 in MPA design. Replication of habitat types may not always be feasible due to limited
32 monitoring and enforcement resources, conflicting needs of resource users, and existence of
33 certain habitat types within an MPA.

34 **8.4.4 Integrate Climate Change Into MPA Planning, Management, and Evaluation**

35 A number of tools exist to help managers address climate impacts and build resilience into MPA
36 design and management. Ecological changes that are common in marine reserves worldwide and
37 guidelines for marine reserve design are summarized in an educational booklet for policymakers,

³¹ See also Day, J., L. Fernandes, A. Lewis, G. De'ath, S. Slegers, B. Barnett, B. Kerrigan, D. Breen, J. Innes, J. Oliver, T. Ward, and D. Lowe, 2002: The representative areas program for protecting biodiversity in the Great Barrier Reef World Heritage Area. In: *Proceedings of the Ninth International Coral Reef Symposium 23*, October 2000, pp. 687-696.

³² Day, J., L. Fernandes, A. Lewis, G. De'ath, S. Slegers, B. Barnett, B. Kerrigan, D. Breen, J. Innes, J. Oliver, T. Ward, and D. Lowe, 2002: The representative areas program for protecting biodiversity in the Great Barrier Reef World Heritage Area. In: *Proceedings of the Ninth International Coral Reef Symposium 23*, October 2000, pp. 687-696.

1 managers, and educators, entitled “The Science of Marine Reserves.”³³ The Reef Resilience
2 toolkit³⁴ provides marine resource managers with strategies to address coral bleaching and
3 conserve reef fish spawning aggregations, helping to build resilience into coral reef conservation
4 programs. “A Reef Manager’s Guide to Coral Bleaching” provides information on the causes and
5 consequences of coral bleaching and management strategies to help local and regional reef
6 managers reduce this threat to coral reef ecosystems.²³ The application of some of these
7 strategies is discussed in a recent report by the U.S. Environmental Protection Agency, which
8 applies resilience theory in a case study for the reefs of American Samoa and proposes climate
9 adaptation strategies that can be leveraged with existing local management plans, processes, and
10 mandates (U.S. Environmental Protection Agency, 2007).

11
12 In contrast, with regard to the impacts on marine organisms of reductions in ocean pH due to
13 CO₂ emissions (Caldeira and Wickett, 2003), management strategies have not yet been
14 developed. Adding chemicals to counter acidification is not a viable option, as it would likely be
15 only partly effective and, if so, only at a very local scale (The Royal Society, 2005). Therefore,
16 further research is needed on impacts of high concentrations of CO₂ in the oceans, possible
17 acclimation or evolution of organisms in response to changes in ocean chemistry, and how
18 management might respond (The Royal Society, 2005).

19
20 Determining management effectiveness is important for gauging the success of an MPA or
21 network, and also can inform adaptive management strategies to address shortcomings in a
22 particular MPA or network. To help managers improve the management of MPAs, the IUCN
23 World Commission on Protected Areas and the World Wide Fund for Nature developed an MPA
24 management effectiveness guidebook. This guidebook, “How is Your MPA Doing? A
25 Guidebook of Natural and Social Indicators for Evaluating Marine Protected Area Management
26 Effectiveness,” helps managers and other decision-makers assess management effectiveness
27 through the selection and use of biophysical, socioeconomic, and governance indicators.³⁵ The
28 goal of the guidebook is to enhance the capability for adaptive management in MPAs. The
29 “Framework for Measuring Success” (Parks and Salafsky, 2001) also provides a suite of tools to
30 analyze community response to an MPA, and replicable methodologies to assess both social and
31 ecological criteria.

32
33 National marine sanctuaries are preparing a series of Condition Reports for each site, which
34 provide a summary of resources, pressures on those resources, current condition and trends, and
35 management responses to the pressures.²² This information is intended to be used in reviews of
36 management plans and to help sanctuary staff identify monitoring, characterization, and research
37 priorities to address gaps, day-to-day information needs, and new threats.

³³ **Partnership for Interdisciplinary Studies of Coastal Oceans**, 2005: The science of marine reserves. Partnership for Interdisciplinary Studies of Coastal Oceans Website, <http://www.piscoweb.org/outreach/pubs/reserves>, accessed on 5-23-2007.

³⁴ **The Nature Conservancy and Partners**, 2004: *R² - Reef Resilience: Building Resilience into Coral Reef Conservation; Additional Tools for Managers*. Volume 2.0. CD ROM Toolkit, The Nature Conservancy, <http://www.reefresilience.org/>.

³⁵ **Pomeroy**, R.S., J.E. Parks, and L.M. Watson, 2004: *How Is Your MPA Doing? A Guidebook of Natural and Social Indicators for Evaluating Marine Protected Area Management Effectiveness*. <http://effectivempa.noaa.gov/guidebook/guidebook.html>, International Union for Conservation of Nature and Natural Resources, The World Conservation Union, Gland, Switzerland.

1
2 Managers in the United States can benefit from the example set by the Great Barrier Reef Marine
3 Park Authority (GBRMPA), which is implementing a Climate Change Response Program³⁶
4 designed to: (1) understand climate change implications for the Great Barrier Reef; (2) share
5 knowledge about climate change impacts and response options; (3) encourage and support
6 reductions in greenhouse gas emissions; (4) maximize the resilience of the Great Barrier Reef
7 ecosystem; and (5) encourage and support Great Barrier Reef communities and industries to
8 adapt to climate change. To further several of these objectives, GBRMPA has published a
9 thorough assessment of vulnerabilities to climate change.²⁶ This approach is a model for MPAs
10 to consider worldwide.

11 **8.4.4.1 MPA Monitoring and Research**

12 MPAs must be effectively monitored to ensure the success of MPA design and management. If
13 MPA design and management are not successful, then adaptations need to be made to meet the
14 challenges posed by anthropogenic and natural stresses. As the number of pristine areas is
15 decreasing rapidly, establishing baseline data for marine habitats is urgent and essential. Once
16 baseline data are established, managers should monitor to determine the effects of climate
17 change on local resources and populations. Retrospective testing of resistance to climate change
18 impacts is difficult, so rapid response strategies should be in place to assess ecological effects of
19 extreme events as they occur. For coral reefs, coral bleaching patterns either disappear with time
20 or become confounded with other causes of mortality, such as predation by the crown-of-thorns
21 starfish, disease, or multiple other stressors (Salm, Done, and McLeod, 2006). Therefore,
22 response strategies must be implemented immediately following a mass bleaching event or other
23 climate-related event to determine bleaching impacts. For coral reefs, bleaching and mortality
24 responses of corals to heat stress, the recovery rates of coral communities, and the physiological
25 response of certain corals to bleaching should be monitored. After the degree of damage from a
26 mass bleaching or other climate-related event has been evaluated, MPA managers can consider
27 whether active restoration may be an option for supporting natural recovery (Marshall and
28 Schuttenberg, 2006). For coral reefs, restoration efforts may include transplanting coral colonies,
29 introducing large numbers of coral larvae, and increasing densities of herbivores such as the sea
30 urchin *Diadema antillarum*.

31
32 Monitoring also can be an effective way to engage community members and raise awareness of
33 the impacts of climate change on marine systems. For example, the Reef Check program enables
34 community volunteers to collect coral reef monitoring data to supplement other monitoring data
35 from researchers and government agencies. Programs that engage coral reef users (such as local
36 fishermen and tourism operators) in monitoring can help raise awareness of impacts on marine
37 systems and can help support the need to manage for local threats. The Nature Conservancy is
38 managing the Florida Reef Resilience Program to develop strategies to improve the condition of
39 Florida's coral reefs and support human dimensions investigations.³⁷ The program includes
40 annual surveys of coral bleaching effects at reefs along the Florida Keys and the southeast

³⁶ **Great Barrier Reef Marine Park Authority**, 2007: Management responses. Great Barrier Reef Marine Park Authority Website, http://www.gbrmpa.gov.au/corp_site/key_issues/climate_change/management_responses, accessed on 12-24-2007.

³⁷ **The Nature Conservancy**, 2007: Florida Keys reef resilience program. The Nature Conservancy Website, <http://www.nature.org/wherework/northamerica/states/florida/preserves/art17499.html>, accessed on 7-27-2007.

1 Florida coast, using trained divers from agencies, universities, and non-governmental
2 organizations.

3
4 Changes in ocean chemistry (CO₂ and O₂ levels and salinity), hydrography (sea level, currents,
5 vertical mixing, storms, and waves), and temperature should be monitored over long time scales
6 to determine climate changes and possible climate trends. A location that is well isolated from
7 local-scale anthropogenic effects and has a history of relevant investigations, such as Palmyra
8 Atoll, is well-suited for such an analysis of climate change. Such an analysis could help
9 determine the efficacy of MPA management in the context of climate change that is relatively
10 independent of other anthropogenic effects, similar to the situation in the Northwestern Hawaiian
11 Islands (see Case Study Summary 8.3).

12
13 NOAA's Coral Reef Watch program³⁸ provides products that can warn managers of potential
14 impending bleaching events. In addition, Coral Reef Watch is developing bleaching forecasts
15 that will provide outlooks of bleaching potential months in advance. These tools can help
16 managers prepare for bleaching events so that when the event occurs, managers can have the
17 necessary capacity in place to respond. In addition to a number of guides to help managers
18 understand resilience and incorporate the concept in management actions, global information
19 databases exist that consolidate climate change impacts on marine systems such as coral reefs.
20 Reefbase³⁹ is a global information system and is the database of the Global Coral Reef
21 Monitoring Network and the International Coral Reef Action Network. Coral bleaching reports,
22 maps, photographs, and publications are freely available on the website, and bleaching reports
23 can be submitted for inclusion in the database. Reefbase provides an essential mechanism for
24 collecting bleaching data from around the world, thus helping researchers and managers to
25 identify potential patterns in reef vulnerability.

26 **8.4.4.2 Social Resilience, Stakeholder Participation, and Education and Outreach**

27 In addition to identifying and building ecological resilience into MPA design and management, it
28 is equally important for managers to address social resilience (*i.e.*, social, economic, and political
29 factors that influence MPAs and networks). Social resilience is the “ability of groups or
30 communities to cope with external stresses and disturbances as a result of social, political, and
31 environmental change” (Adger, 2000). MPAs that reinforce social resilience can provide
32 communities with the opportunity to strengthen social relations and political stability and
33 diversify economic options (Corrigan, 2006). A variety of management actions have been
34 identified to reinforce social resilience (Corrigan, 2006) including: (1) provide opportunities for
35 shared leadership roles within government and management systems (Adger *et al.*, 2005; Cinner
36 *et al.*, 2005; McClanahan *et al.*, 2006); (2) integrate MPAs and networks into broader coastal
37 management initiatives to increase public awareness and support of management goals (U.S.
38 Environmental Protection Agency, 2007)²³; (3) encourage local economic diversification so that
39 communities are able to deal with environmental, economic, and social changes (Adger *et al.*,
40 2005; Marschke and Berkes, 2006); (4) encourage stakeholder participation and incorporate their
41 ecological knowledge in a multi-governance system (Tompkins and Adger, 2004; Granek and

³⁸ <http://coralreefwatch.noaa.gov/>

³⁹ www.reefbase.org

1 Brown, 2005; Lebel *et al.*, 2006); and (5) make culturally appropriate conflict resolution
2 mechanisms accessible to local communities (Christie, 2004; Marschke and Berkes, 2006).

3
4 Some MPA managers may feel that engaging in supporting human adaptive capacity to climate
5 change impacts is beyond the scope of their work. However, it is important to recognize that
6 resource use patterns will change in response to changing environmental conditions. For
7 example, recent studies suggest that when fishers are meaningfully engaged in natural resource
8 management decision-making processes, their confidence and social resilience to changes in
9 resource access can be increased (Marshall, forthcoming). Furthermore, as management is
10 adapted to address changing conditions, engagement with stakeholders during this process will
11 help MPA managers build the alliances, knowledge, and influence needed to implement adaptive
12 approaches (Schuttenberg and Marshall, 2007). For example, national marine sanctuaries have
13 Sanctuary Advisory Councils composed of a wide range of stakeholder representatives, who
14 provide advice to sanctuary managers and help develop sanctuary management plans.⁴⁰
15 Education and outreach programs can help inform the public about effects of climate change on
16 marine ecosystems and the pressing need to ameliorate existing stressors in coastal waters. Such
17 programs should be strengthened in national marine sanctuaries and all agencies that manage
18 MPAs.

19 **8.5 Conclusions**

20 **8.5.1 Management Considerations**

21 Adaptive management of MPAs in the context of climate change includes the concept that intact
22 marine ecosystems are more resistant and resilient to change than are degraded systems (Harley
23 *et al.*, 2006). Marine reserves develop fully functional communities when populations of heavily
24 fished species recover and less-altered abundance patterns and size structures accrue.

25 Implementing networks of MPAs, including large areas of the ocean, will help “spread the risk”
26 posed by climate change by protecting multiple replicates of the full range of habitats and
27 communities within ecosystems (Soto, 2001; Palumbi, 2003; Halpern, 2003; Halpern and
28 Warner, 2003; Roberts *et al.*, 2003b; Palumbi, 2004; Kaufman *et al.*, 2004; Salm, Done, and
29 McLeod, 2006).

30
31 The most effective configuration of MPAs may be a network of highly protected areas and other
32 types of zones nested within a broader management framework (Botsford, 2005; Hilborn,
33 Micheli, and De Leo, 2006; Crowder *et al.*, 2006; Almany *et al.*, 2007; Young *et al.*, 2007). As
34 part of this configuration, areas that are ecologically and physically significant and connected by
35 currents should be identified and included as a way of enhancing resilience in the context of
36 climate change. Critical areas to consider include nursery grounds, spawning grounds, areas of
37 high species diversity, areas that contain a variety of habitat types in close proximity, and
38 potential climate refugia. At the site level, managers can build resilience to climate change by
39 protecting marine habitats from direct anthropogenic threats such as pollution, sedimentation,
40 destructive fishing, and overfishing; ecosystem-based management, rather than single-species or
41 other less-holistic approaches, will become increasingly important in the context of climate

⁴⁰ **National Marine Sanctuary Program**, 2-6-2007: National Marine Sanctuaries advisory council's information. NOAA Website, <http://sanctuaries.noaa.gov/management/ac/welcome.html>, accessed on 7-27-2007.

1 change. The healthier the ecosystem, the greater the potential will be for resistance to—and
2 recovery from—climate-related disturbances.

3
4 In designing networks, managers should consider information on areas that may represent
5 potential refugia from climate change impacts, as well as information on connectivity (current
6 patterns that support larval replenishment and recovery) among sites that vary in their
7 sensitivities to climate change. Protection of seascapes creates areas sufficiently large to resist
8 basic changes to the entire ecosystem (Kaufman *et al.*, 2004). Large reserves may benefit
9 individual species by enabling them to spend entire adult phases of their life cycle without being
10 captured and killed, with concomitant increases in reproductive output (Sobel and Dahlgren,
11 2004) and quality (Berkeley, Chapman, and Sogard, 2004).

12
13 A key issue for MPA managers concerns achieving the goals and objectives of a local-scale
14 management plan in the context of larger-scale stressors from atmospheric, terrestrial, and
15 marine sources (Jameson, Tupper, and Ridley, 2002). Another issue concerns maintaining a
16 focus on immediate, devastating effects of overexploitation, coastal pollution, and nonindigenous
17 species as climate change impacts increase in magnitude or frequency over time (Paine, 1993).
18 Within sites, managers can increase resilience to climate change by managing other
19 anthropogenic stressors that also degrade ecosystems, such as overfishing and overexploitation;
20 excessive inputs of nutrients, sediments, and pollutants; and habitat damage and destruction.
21 Efforts by MPA managers to enhance resilience and resistance of marine communities may at
22 least “buy some time” against threats of climate change by slowing the rate of decline caused by
23 other, more manageable stressors (Hansen, Biringer, and Hoffman, 2003; Hoffman, 2003;
24 Marshall and Schuttenberg, 2006).

25
26 Resilience is also affected by trophic linkages, which are key characteristics maintaining
27 ecosystem integrity. An approach that has been identified to maintain resilience is the
28 management of functional groups, specifically herbivores. In some cases, the species that are
29 necessary for recovery after a phase shift may be different from the species that had previously
30 maintained the original state (*e.g.*, Bellwood, Hughes, and Hoey, 2006). This highlights the need
31 to protect the full range of species to maintain resilience and the need for further research on key
32 species and ecological processes. However, abundant herbivores may not prevent shifts in algal-
33 coral dominance in coral reef ecosystems (Ledlie *et al.*, 2007), and management for reduced
34 levels of grazing may be necessary in plant-dominated systems such as kelp forests and seagrass
35 beds.

36
37 The challenges of climate change require creative solutions and collaboration among a variety of
38 stakeholders to generate the necessary finances and support to respond to climate change stress.
39 Global, regional, and local partnerships across a range of sectors such as agriculture, tourism,
40 water resource management, conservation, and infrastructure development can help alleviate the
41 financial burdens of responding to climate change in MPAs. Finally, effective implementation of
42 the above strategies in support of ecological resilience will only be possible in the presence of
43 human social resilience.

1 **8.5.2 Research Priorities**

2 The scientific knowledge required to reach general conclusions related to the impact of multiple
3 stressors at community and ecosystem levels is for the most part absent for marine systems, and
4 this gap impedes the ability of MPA managers to take management actions that have predictable
5 outcomes. Existing levels of uncertainty will only increase as impacts of climate change
6 strengthen. Within marine communities, temperature changes may result in new species
7 assemblages and biological interactions that affect ecological processes such as productivity,
8 nutrient fluxes, energy flow, and trophic webs. How such outcomes affect trophic links and other
9 biological processes within communities is not clear, and is a high-priority area of research.

10
11 The extent of larval recruitment from local and longer-distance sources has been and must
12 remain an active area of modeling and empirical investigations. Additional research will be
13 required to better understand where and how far larvae travel in various marine ecosystems, to
14 improve our understanding of where to implement MPAs and MPA networks.

15
16 The ability of corals to adapt or acclimatize to increasing seawater temperature is largely
17 unknown (Berkelmans and van Oppen, 2006). Further, corals are sensitive to light and ultraviolet
18 radiation, and thermal stress exacerbates this sensitivity (Hoegh-Guldberg *et al.*, 2007). The roles
19 of temperature, light, holobiont characteristics and history, and other factors in coral bleaching
20 are research topics of paramount importance.

21
22 Because of the greater solubility of CO₂ in cooler waters and at depth, reefs at the latitudinal
23 margins of coral reef development (*e.g.*, Florida Keys and Hawaiian Islands) and deep-water
24 coral formations may show the most rapid and dramatic response to changing pH. Further
25 research is needed on impacts of high concentrations of CO₂ in the oceans, possible acclimation
26 or evolution of organisms in response to changes in ocean chemistry, and how management
27 might respond (The Royal Society, 2005).

28
29 While at present there is no clear indication that ocean circulation patterns have changed
30 (Bindoff *et al.*, 2007), modifications could have large effects within and among ecosystems
31 through impacts on ecosystem and community connectivity in terms of both nutrients and
32 recruits. Further modeling efforts may elucidate implications of potential changes in ocean
33 circulation to MPA management.

34
35 Because pollution is usually more local in scope, it historically could be managed within
36 individual MPAs; however, the addition of climate change stressors such as increased oceanic
37 temperature, decreased pH, and greater fluctuations in salinity present greater challenges.
38 Research in coral genomics may provide diagnostic tools for identifying stressors in coral reefs
39 and other marine communities (*e.g.*, Edge *et al.*, 2005).

40
41 Research on marine ecosystems and climate change impacts continues to be a high-priority need,
42 particularly in the context of using management actions as experiments in an adaptive-
43 management framework. Although there is considerable research on physical impacts of climate
44 change in marine systems (IPCC, 2007a), research on biological effects and ecological
45 consequences is not as well developed.

46

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15

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22

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- 37 • Bruce Popham, Marathon Boat Yard and Florida Keys National Marine Sanctuary
38 Advisory Council
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8.8 Boxes

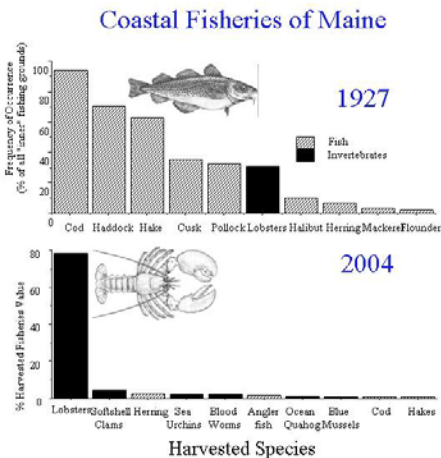
<p>Box 8.1. Draft Goals of the National Marine Sanctuary Program, 2005–2015</p> <p>Goal 1. Identify, designate, and manage sanctuaries to maintain the natural biological communities in sanctuaries and to protect and, where appropriate, restore and enhance natural habitats, populations, and ecological processes, through innovative, coordinated and community-based measures and techniques.</p> <p>Goal 2. Build and strengthen the nation-wide system of marine sanctuaries, maintain and enhance the role of the NMSP’s system in larger MPA networks and help provide both national and international leadership for MPA management and marine resource stewardship.</p> <p>Goal 3. Enhance nation-wide public awareness, understanding, and appreciation of marine and Great Lakes ecosystems and maritime heritage resources through outreach, education, and interpretation efforts.</p> <p>Goal 4. Investigate and enhance the understanding of ecosystem processes through continued scientific research, monitoring, and characterization to support ecosystem-based management in sanctuaries and throughout U.S. waters.</p> <p>Goal 5. Facilitate human use in sanctuaries to the extent such uses are compatible with the primary mandate of resource protection, through innovative public participation and interagency cooperative arrangements.</p> <p>Goal 6. Work with the international community to strengthen global protection of marine resources, investigate and employ appropriate new management approaches, and disseminate NMSP experience and techniques.</p> <p>Goal 7. Build, maintain, and enhance an operational capability and infrastructure that efficiently and effectively support the attainment of the NMSP’s mission and goals.</p>
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Box 8.2 The Western North Atlantic Food Web

Marine carnivores of the western North Atlantic were both more abundant and larger in the past. In Maine, archaeological evidence indicates that coastal people subsisted on Atlantic cod for at least 4,000 years (Jackson *et al.*, 2001).⁴¹ Prey species such as lobsters and crabs were absent from excavated middens in the region, perhaps because large predators had eaten them (Steneck, Vavrinec, and Leland, 2004; Lotze *et al.*, 2006).

Today cod are ecologically extinct from western North Atlantic coastal zones due to overfishing. The abundant lobsters and sea urchins that had formerly been the prey of apex predators became the primary target of local fisheries. By 1993, the value of sea urchins harvested in Maine for their roe was second only to that of lobsters. As sea urchin populations declined, so too did communitywide rates of herbivory. In less than a decade, sea urchins became so rare that they could no longer be found over large areas of the coast (Andrew *et al.*, 2002; Steneck, Vavrinec, and Leland, 2004).

These and other instances of “fishing down food webs” in the Gulf of Maine have resulted in hundreds of kilometers of coast now having dangerously low biological and economic diversity. Today, bloodworms used for bait are worth more to Maine’s economy than cod (see figure below). The trophic level dysfunction (*sensu* Steneck, Vavrinec, and Leland, 2004) of both apex predators and herbivores leave a coastal zone suited for crabs and especially lobsters—the latter attaining staggering population densities exceeding one per square meter along much of the coast of Maine (Steneck and Wilson, 2001). The economic value of lobsters is high, accounting for nearly 80% of the total value of Maine’s fisheries as of 2004 (see figure below). The remaining 42 harvested species account for the remaining 20%. If a disease such as the one that recently decimated Rhode Island’s lobster stocks (Glenn and Pugh, 2006) infects lobsters in the Gulf of Maine, there will be serious socioeconomic implications for the fishing industry. Prospects for such a disease outbreak may increase because of climate-induced changes in the environment such as temperature increases that favor pathogen growth (Harvell *et al.*, 1999; 2002). The figure below is adapted from Steneck and Carlton (2001).



⁴¹ See also Steneck, R.S., 1997: Fisheries-induced biological changes to the structure and function of the Gulf of Maine ecosystem. In: Proceedings of the Gulf of Maine Ecosystem Dynamics Scientific Symposium and Workshop, RARGOM Report 91-1, Regional Association for Research in the Gulf of Maine, Hanover, NH, pp. 151-165.

Box 8.3. Draft Objectives of the Goals of the National Marine Sanctuary Program That Are Relevant to Resource Protection and Climate Change (Goals 1, 4, 5, and 6 from Box 8.1)¹

Goal 1: Protect Resources.

- Objective 1.** Prepare sanctuary-specific management plans and regional and national programs and policies that utilize all program capacities to protect and manage resources.
- Objective 2.** Conduct and maintain routine contingency planning, emergency response, damage assessment, and restoration activities to preserve and restore the integrity of sanctuary ecosystems.
- Objective 3.** Develop and maintain enforcement programs and partnerships to maximize protection of sanctuary resources.
- Objective 4.** Review and evaluate the NMSP’s effectiveness at site, regional, and national levels, through both internal and external mechanisms.
- Objective 5.** Anticipate, characterize, and mitigate threats to resources.
- Objective 6.** Assess and predict changes in the NMSP’s operating, natural, and social environments, and evolve sanctuary management strategies to address them through management plan reviews, reauthorizations, and program regulatory review.
- Objective 7.** Designate new sanctuaries, as appropriate, to ensure the nation’s marine ecosystems and networks achieve national expectations for sustainability.

Goal 4: Improve Sanctuary Science.

- Objective 1.** Expand observing systems and monitoring efforts within and near national marine sanctuaries to fill important gaps in the knowledge and understanding of the ocean and Great Lakes ecosystems.
- Objective 2.** Support directed research activities that support management decision making on challenges and opportunities facing sanctuary ecosystems, processes, and resources.
- Objective 3.** Develop comprehensive characterization products of ocean and Great Lakes ecosystems, processes, and resources.

Goal 5: Facilitate Compatible Use.

- Objective 1.** Work closely with partners, interested parties, community members, stakeholders, and government agencies to assess and manage human use of sanctuary resources.
- Objective 2.** Create, operate, and support community-based sanctuary advisory councils to assist and advise sites and the overall program in the management of their resources, and to serve as liaisons to the community.
- Objective 3.** Consult and coordinate with federal agencies and other partners conducting activities in or near sanctuaries.
- Objective 4.** Use other tools such as policy development, permitting, and regulatory review and improvement to help guide human use of sanctuary resources.

Goal 6: Improve International Work.

- Objective 1.** Develop multilateral program relationships to interact with, share knowledge and experience with, and learn from international partners to improve the NMSP’s management capacity, and bring new experiences to MPA management in the United States.
- Objective 2.** Investigate the use of international legal conventions and other instruments to help protect sanctuary resources, including those that are transboundary or shared.
- Objective 3.** Cooperate to the extent possible with global research initiatives in order to improve the overall understanding of the ocean.
- Objective 4.** Make NMSP education and awareness programs accessible through international efforts to increase the global population’s awareness of ocean issues.

¹Additional goals of the NMSP are in Box 8.1.

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<p>Box 8.4. Draft Natural Resource Performance Measures of the National Marine Sanctuary Program</p> <p>2015: 12 sites with water quality being maintained or improved.</p> <p>2015: 12 sites with habitat being maintained or improved.</p> <p>2015: 12 sites with living marine resources being maintained or improved.</p> <p>2010: 100% of the System is adequately characterized.</p> <p>2010: 6 sites are achieving or maintaining an optimal management rating on the NMSP Report Card.</p> <p>2007: 100% of NMSP permits are handled in a timely fashion and correctly.</p> <p>2010: 100% of sites with zones in place are assessing them for effectiveness.</p>

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- Box 8.5.** Marine Protected Areas: Adaptation Options for Resource Managers
- Manage human stressors such as overfishing and excessive inputs of nutrients, sediments, and pollutants within MPAs.
 - Improve water quality by raising awareness of adverse effects of land-based activities on marine environments, implementing integrated coastal and watershed management, and developing options for advanced wastewater treatment.
 - Manage functional species groups necessary to maintaining the health of reefs and other ecosystems.
 - Identify and protect areas that appear to be resistant to climate change effects or to recover from climate-induced disturbances.
 - Identify and protect ecologically significant (“critical”) areas such as nursery grounds, spawning grounds, and areas of high species diversity.
 - Identify ecological connections among ecosystems and use them to inform the design of MPAs and management decisions such as protecting resistant areas to ensure sources of recruitment for recovery of populations in damaged areas.
 - Design MPAs with dynamic boundaries and buffers to protect breeding and foraging habits of highly migratory and pelagic species.
 - Establish dynamic MPAs defined by large-scale oceanographic features, such as oceanic fronts, where changes in types and abundances of organisms often occur.
 - Maximize habitat heterogeneity within MPAs and consider protecting larger areas to preserve biodiversity, ecological connections among habitats, and ecological functions.
 - Include entire ecological units (*e.g.*, coral reefs with their associated mangroves and seagrasses) in MPA design to help maintain ecosystem function and resilience.
 - Ensure that the full breadth of habitat types is protected (*e.g.*, fringing reef, fore reef, back reef, patch reef).
 - Replicate habitat types in multiple areas to spread risks associated with climate change.
 - Monitor ecosystems and have rapid-response strategies prepared to assess ecological effects of extreme events as they occur.
 - Following extreme events, consider whether actions should be taken to enhance natural recovery processes through active restoration.
 - Consider mangrove restoration for potential benefits including shoreline protection, expansion of nursery habitat, and release of tannins and other dissolved organic compounds that may reduce photo-oxidative stress in corals.

8.9 Case Study Summaries

The summaries below provide an overview of the case studies prepared for this chapter. The case studies are available in Annex A6.

Case Study Summary 8.1

Florida Keys National Marine Sanctuary Southeast United States

Why this case study was chosen

The Florida Keys National Marine Sanctuary:

- Surrounds the Florida Reef Tract, the only system of bank-barrier coral reefs in the coterminous United States and one of the most diverse areas in North America;
- Draws millions of visitors each year due to its ready access to a unique environment, a burgeoning population in southern Florida, and its status as a destination for cruise ships at Key West;
- Is a relatively data-rich environment, with an existing baseline of information for detecting presumptive climate change effects;
- Is an example of a marine protected area with a relatively low level of protection using no-take marine reserves.

Management context

The Florida Keys National Marine Sanctuary encompasses multiple areas with different degrees of protection and management histories, some going back to 1963. It was designated as a national marine sanctuary in 1990, but management regulations did not go into effect until 1997, once the final management plan was approved. There are five types of management zones, with varying degrees of restrictions, including “no-take,” limits on specific types of fishing or vessel access, and research-only access. In addition, a water quality protection program is administered through the U.S. Environmental Protection Agency, working with the State of Florida and the National Oceanic and Atmospheric Administration. Enforcement efforts complement education and outreach programs.

Key climate change impacts

- Projected increase in water temperatures by several degrees in the next 100 years;
- Projected reduction in rates of calcification associated with increased ocean acidification;
- Projected increase in intensity of storms;
- Expected exacerbation of coral bleaching events;
- Potential increased prevalence of diseases;
- Potential changes in ocean circulation patterns;
- Potential geographic range shifts of individual species, and changes in reef community composition, in response to temperature increases.

Opportunities for adaptation

- Bleaching-resistant sites could be targeted for priority protection as refugia and as larval sources for recovery; the National Oceanic and Atmospheric Administration’s Coral Reef Watch program to predict mass bleaching events presents an opportunity for designing before-during-after sampling around bleaching events, which will be crucial for site identification.
- The Florida Reef Resilience Program, led by The Nature Conservancy, is conducting surveys to identify resilient areas and is promoting public awareness and education.
- In the short time since their establishment, no-take zones have been shown to enhance heavily fished populations, which in turn may support resilience through re-establishment of key predators. (Much additional research is needed on the effects of community structure on resilience.)

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- Protecting habitats similar to those that thrived during the middle Holocene, when coral reefs flourished north of their current distribution, could allow for northward range migration. (This would be contingent on mitigation of existing stressors that may otherwise limit the ability of corals to migrate.)
- Mangrove restoration not only provides habitat and shoreline protection, but is also a source of dissolved organic compounds that have been shown to provide protection from photo-oxidative stress in corals.

Conclusions

Environmental problems that spurred the creation of the Florida Keys National Marine Sanctuary are already being exacerbated by climate change, in particular coral bleaching and disease. Some of the management protections to reduce other anthropogenic stressors may also increase coral reef resilience and allow range expansion northward in response to climate change. Monitoring and research can identify bleaching resistant and resilient sites, so that protection efforts can be adjusted for future climate conditions.

1 **Case Study Summary 8.2**
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3 **Great Barrier Reef Marine Park**
4 Northeastern Australia

5
6 **Why this case study was chosen**

7 The Great Barrier Reef Marine Park:

- 8 • Is at the forefront of climate change adaptation planning for marine protected areas (MPAs) and is thus
9 an excellent model for U.S. MPAs;
- 10 • Has exhibited signs of climate change effects, with increases in coral bleaching events and seabird
11 nesting failures correlated with increases in sea and air temperatures;
- 12 • Has a high conservation value as a World Heritage Area and as the largest coral reef ecosystem in the
13 world;
- 14 • Is an example of an MPA with a moderate level of no-take protection.

15
16 **Management context**

17 The Great Barrier Reef (GBR) Marine Park has been under a management regime since 1975. Marine
18 park zoning was revised in 2003 to increase no-take zones to 33% of the total area, with at least 20%
19 protected in each habitat bioregion. Also in 2003, the Reef Water Quality Protection Plan was
20 implemented to manage diffuse sources of pollution entering the GBR from the adjacent large catchment
21 area. Tourism and fishing industries are highly regulated through the GBR Marine Park Authority and the
22 Queensland Government, respectively. The GBR coast is one of the fastest growing regions in Australia,
23 with different aspects of coastal development regulated at the local, state, and federal levels. The GBR
24 Climate Change Response Program developed a Climate Change Action Plan in 2007 to facilitate: 1)
25 targeted science; 2) a resilient GBR ecosystem; 3) adaptation of GBR industries and communities; and 4)
26 reduced climate footprints.

27
28 **Key climate change impacts**

- 29 • Observed increase in regional sea surface temperatures (0.4°C since 1850) and projected further
30 increase of 1–3°C by 2100, which will increase coral bleaching and disease, and will have implications
31 for primary productivity;
- 32 • Projected decrease in ocean pH of 0.4–0.5 units by 2100, which will limit calcification rates of corals,
33 forams, some plankton and molluscs;
- 34 • Projected rise in sea level of 30–60 cm by 2100, which will affect seabird and turtle nesting, island and
35 coastal habitats, light penetration, and connectivity;
- 36 • Projected increase in tropical cyclone intensities, with potentially greater damage to coastal and
37 shallow habitats including coral reefs;
- 38 • Projected changes in rainfall, river flow, and El Niño Southern Oscillation regimes;
- 39 • Expected losses of coral reef habitat, with associated decreases in ecosystem diversity and changes in
40 community composition.

41
42 **Opportunities for adaptation**

- 43 • Areas with high resilience factors (water quality, coral cover, community composition, larval supply,
44 recruitment success, herbivory, disease, and effective management) are being identified as priority
45 areas to protect from other stresses; areas with low resilience are also being identified as candidates
46 for more active management to improve their condition.
- 47 • Landward areas could be conserved through land acquisition and removal of barrier structures to allow
48 migration of mangroves and wetlands as sea level rises.
- 49 • Sites of specific importance could be protected from coral bleaching through artificial shading or water
50 mixing in summer months;
- 51 • Through partnerships with stakeholders to identify impacts on tourism, options for how the industry can
52 respond, and strategies for becoming climate ready, the GBR has developed a Marine Tourism and
53 Climate Change Action Strategy.

SAP 4.4. Adaptation Options for Climate-Sensitive Ecosystems and Resources | **Marine Protected Areas**

- By having a variety of management tools ready as new information becomes available, it may be possible to manage flexibly and respond rapidly to ongoing climatic changes.

Conclusions

The GBR Climate Change Response Program has already documented observed climate change effects, identified likely vulnerabilities, and developed a Climate Change Action Plan. The combination of dramatic potential ecosystem effects and a strong national and international constituency for protection has made the GBR Marine Park an international leader in addressing climate change impacts on coral reefs.

Management examples for other MPAs include initiatives that support local industries and communities in adapting to climate change, management plans that are flexible in the face of uncertainty, and resilience-based management strategies.

1 **Case Study Summary 8.3**
2

3 **Papahānaumokuākea (Northwestern Hawaiian Islands) Marine National**
4 **Monument**

5 Pacific United States

6
7 **Why this case study was chosen**

8 The Papahānaumokuākea (Northwestern Hawaiian Islands) Marine National Monument:

- 9 • Provides an opportunity to assess how a nearly intact, large-scale coral reef ecosystem responds to
10 climate change;
11 • Has a high conservation value due to high levels of endemism, a unique apex-predator-dominated
12 ecosystem, and the occurrence of a number of protected and endangered species;
13 • Is an example of a large Marine Protected Area with a high level of no-take protection.
14

15 **Management context**

16 The Northwestern Hawaiian Islands (NWHI) are an isolated, low lying, primarily uninhabited archipelago
17 that is relatively free from human impacts due to its remoteness. Eight of the 10 NWHI have been
18 protected since 1909 as part of what is now the Hawaiian Islands National Wildlife Refuge. The
19 Papahānaumokuākea Marine National Monument was designated in 2006 as the largest marine
20 protected area in the world, managed jointly by the State of Hawaii, the U.S. Fish and Wildlife Service,
21 and the National Oceanic and Atmospheric Administration. The new protections will phase out
22 commercial fishing over five years, and already ban other types of resource extraction and waste
23 dumping. The dominant stressors are natural ones, including large inter- and intra-annual water
24 temperature variations, seasonally high wave energy, and inter-annual and inter-decadal variability in
25 ocean productivity. Marine debris is the largest anthropogenic stressor; a debris removal program
26 between 1999 and 2003 resulted in a removal of historical debris accumulation, but the current level of
27 effort is not sufficient to keep up with the annual rate of accumulation. The draft Monument Management
28 Plan does not address climate and ocean change management actions specifically, but many of the
29 research, monitoring, and education plans focus on climate, which will provide managers with tools for
30 addressing climate change.
31

32 **Key climate change impacts**

- 33 • Projected increase in the intensity of storm events, which will in turn intensify wave impacts on habitat;
34 • Projected decreases in important habitat for sea turtles, endangered monk seals, and seabirds as sea
35 level rise inundates low-lying emergent areas;
36 • Expected increase in temperature-related coral bleaching events like those observed in 2002 and
37 2004;
38 • Projected increases in ocean temperature that could lead to shifts in the distribution of corals and other
39 organisms; shallow-water species that are adapted to cooler water may see habitat loss, while those
40 adapted to warmer water might extend their range.
41

42 **Opportunities for adaptation**

- 43
44 • Monitoring and research provide an opportunity to evaluate the hypothesis that large, intact predator-
45 dominated ecosystems are more resistant and resilient to stressors, including climate change, and
46 expanded efforts will help better understand how climate change affects an ecosystem in the absence
47 of localized human stressors.
48 • The Coral Reef Ecosystem Integrated Observing System (CREIOS) serves to alert resource managers
49 and researchers to environmental events considered significant to the health of the surrounding coral
50 reef ecosystem, allowing managers to implement response measures in a timely manner and allowing
51 researchers to increase spatial or temporal sampling resolution, if warranted; with supplementary
52 sensors, CREIOS can help to capture climate change impacts at finer spatial and temporal scales than
53 currently exist.

SAP 4.4. Adaptation Options for Climate-Sensitive Ecosystems and Resources | **Marine Protected Areas**

- 1 • The draft monument science plan includes several specific climate change research activities, including
2 determining habitat changes due to sea level rise; mapping areas that will be most affected by extreme
3 wave events; and determining how specific habitat, communities, and populations will be affected by
4 climate change effects.
- 5 • Beach nourishment could counter the effects of sea level rise on the habitats of critical endemic and
6 protected species.

7
8 **Conclusions**

9 The high level of protection, the healthy intact predator-dominated ecosystem, the limited human impact,
10 and the current ocean observing system present a unique research opportunity for studying adaptation to
11 climate change in the Papahānaumokuākea Marine National Monument (PMNM). An increased
12 understanding of natural resistance and resilience in this system will inform management planning in
13 other marine protected areas. To date, management goals for adapting the PMNM to climate change
14 have not looked beyond efforts to understand the system, but as endangered species habitat becomes
15 affected, more active management efforts will be necessary.
16

1 **Case Study Summary 8.4**
2

3 **Channel Islands National Marine Sanctuary**

4 Western United States
5

6 **Why this case study was chosen**

7 The Channel Islands National Marine Sanctuary:

- 8 • Supports a diverse community based around the dominant, habitat-forming, giant kelp forests;
- 9 • Is sensitive to natural variability and has exhibited large responses to El Niño Southern Oscillation events, in particular;
- 10 • Encompasses a biogeographic boundary between the warm waters of the Davidson Current and the
- 11 cool, nutrient-rich waters of the California Current.
- 12
- 13

14 **Management context**

15 The Channel Islands National Marine Sanctuary was designated in 1980 and was managed through
16 overlapping state and federal jurisdictions. In 2003, 10 new fully protected marine reserves and two
17 conservation areas that allow limited take were established to protect marine habitats and species of
18 interest. The network of marine protected areas, which was designed with input from a broad array of
19 stakeholders, offers additional protection to 10% of sanctuary waters. In 2007, the sanctuary implemented
20 a second phase of the network of marine protected areas, by extending seven reserves and one
21 conservation area into federal waters and adding a reserve to form a network of marine protected areas
22 that includes 21% of sanctuary waters. The Sanctuary Management Plan includes a mechanism for
23 addressing emerging issues; climate change has not yet been, but could be, explicitly identified as an
24 emerging issue.
25

26 **Key climate change impacts**

- 27 • Projected increases in storm intensity that may increase damage to kelp stocks and rip kelp holdfasts
28 from their rocky substrate;
- 29 • Projected increase in frequency of El Niño-like conditions, which may suppress kelp growth by lowering
30 nutrient levels due to associated relaxation of coastal winds;
- 31 • Projected increase in water temperature, which will affect metabolism, growth, reproduction, rates of
32 larval development, spread of non-native species, and outbreaks of marine disease;
- 33 • Projected changes in currents and upwelling that may affect the location of biogeographic boundaries,
34 and change primary productivity and species assemblages.
- 35

36 **Opportunities for adaptation**

- 37 • Marine reserves can be used as a management tool to increase resilience of kelp forest communities;
38 in a marine reserve where fishing has been prohibited since 1978, kelp forests were less vulnerable to
39 storms, ocean warming, overgrazing, lower nutrient concentrations, and disease compared with other
40 areas of the sanctuary.
- 41 • With a slight adjustment, monitoring and research can be refocused to capture important information
42 about climate and ocean change; observed changes associated with climate could be used to trigger
43 more intensive observations.
- 44 • Outreach mechanisms such as the Sanctuary Naturalist Corps, Ocean Etiquette program, and
45 sanctuary publications are well positioned to communicate information to the public on climate change
46 impacts, mitigation, and adaptation options.
- 47 • Protection in reserves and more hands-on techniques, such as removal of non-indigenous species,
48 could preserve the integrity of marine communities in the sanctuary.
- 49

50 **Conclusions**

51 The high degree of natural environmental variability in the Channel Islands National Marine Sanctuary
52 supports remarkable biological diversity. Climate change, in concert with anthropogenic stressors, will
53 likely intensify the range of variability of the system. A marine reserve within the sanctuary has allowed
54 kelp forests to flourish and increased their resilience to environmental shifts, such as those associated

SAP 4.4. Adaptation Options for Climate-Sensitive Ecosystems and Resources | **Marine Protected Areas**

1 with El Niño events. Similarly, marine reserves are likely to be effective tools for minimizing the negative
2 ecological impacts of climate change. The Sanctuary Management Plan is an appropriate mechanism for
3 identifying climate change as an emerging issue and developing a strategic plan for management of
4 climate change impacts, and for research, education, and outreach about climate change.
5

1 **8.10 Tables**

2 **Table 8.1.** Types of federal marine protected and marine managed areas, administration, and
 3 legislative mandates. MPAs are intended primarily to protect or conserve marine life and habitat,
 4 and are a subset of marine managed areas (MMAs), which protect, conserve, or otherwise
 5 manage a variety of resources and uses including living marine resources, cultural and historical
 6 resources, and recreational opportunities.⁴²

Type of MPA/MMA	Number of Sites	Administration	Mandate
National Marine Sanctuary	13	NOAA/National Marine Sanctuary Program	National Marine Sanctuaries Act
Fishery Management Areas	216	NOAA/National Marine Fisheries Service	Magnuson-Stevens Act, Endangered Species Act, Marine Mammal Protection Act
National Estuarine Research Reserve ⁴³	27	NOAA/Office of Ocean and Coastal Resource Management	Coastal Zone Management Act
National Park	42	National Park Service	NPS Organic Act
National Monument ⁴⁴	3	National Park Service	NPS Organic Act
National Wildlife Refuge	109	U.S. Fish and Wildlife Service	National Wildlife Refuge System Administration Act

7

⁴² **California Department of Fish and Game**, 2007: Marine life protection act initiatives. California Department of Fish and Game Website, <http://www.dfg.ca.gov/mrd/mlpa/defs.html#mma>, accessed on 7-27-2007.

⁴³ The National Estuarine Research Reserve System is a state partnership program.

⁴⁴ The Papahānaumokuākea Marine National Monument is included here. It is co-managed by NOAA/National Marine Sanctuary Program and National Marine Fisheries Service, the U.S. Fish and Wildlife Service, and the State of Hawaii and was established by Presidential Proclamation 8031.

1 **Table 8.2.** Type, number, area, and no-take area of federal marine managed areas (MMAs) and
 2 areas of Exclusive Economic Zones (EEZs) by region in U.S. waters.⁴⁵

Federal Marine Managed Areas (MMAs) in U.S. Waters (0-200 nm)						
Region	Type of MMA	Number	Total Area (km ²) ⁴⁶	Total Area No Take (km ²)	% Area No Take	Area of EEZ in Region (km ²)
New England	NP	0	0	0	0%	197,227
	NWR	1	30	0	0%	
	NMS	1	2,190	0	0%	
	FMA	30	212,930	0	0%	
	NERR ⁴⁷	1	27	0	0%	
Mid Atlantic	NP	3	36,472	0	0%	218,151
	NWR	22	15	0	0%	
	NMS	0	0	0	0%	
	FMA	9	686,379	0	0%	
	NERR	5	460	0	0%	
South Atlantic	NP	8	1,421	119	8%	525,627
	NWR	19	3,705	564	15%	
	NMS	3	9,853	591	6%	
	FMA	11	974,243	349	<0.1 %	
	NERR	5	928	0	0%	
Caribbean	NP	2	27	1	2%	212,371
	NWR	0	0	0	0%	
	NM ⁴⁸	2	128	76	59%	
	NMS	0	0	0	0%	
	FMA	6	168	55	33%	
Gulf of Mexico	NP	4	4,612	0	0%	695,381
	NWR	24	2,375	2	<0.1%	
	NMS	1	146	0	0%	
	FMA	7	368,446	0	0%	
	NERR	5	2,195	0	0%	
West Coast	NP	6	595	0	0%	823,866
	NWR	15	226	16	7%	
	NMS	5	30,519	257	1%	
	FMA	56	386,869	0	0%	
	NERR	5	57	0	0%	
Alaska	NP	3	29,795	0	0%	3,710,774
	NWR	3	212,620	0	0%	
	NMS	0	0	0	0%	
	FMA	17	1,326,177	0	0%	
	NERR	1	931	0	0%	
Pacific Islands	NP	4	21	< 1	<1%	3,869,806
	NWR	10	281	158	56%	
	NM ⁴⁸	1	352,754	352,754	100%	
	NMS	3	3,556	1	<1%	
	FMA	6	1,467,614	0	0%	
NERR	0	0	0	0%		
National Total						10,413,230

⁴⁵ **National Oceanic and Atmospheric Administration**, 2006: Marine Protected Areas of the United States: marine managed areas inventory. Marine Protected Areas Website, <http://www3.mpa.gov/exploreinv/AlphaSearch.aspx>, accessed on 2006.

⁴⁶ Total area includes only those sites for which data are available.

⁴⁷ NERRs are state/federal partnership sites.

⁴⁸ The Northwestern Hawaiian Islands Marine National Monument is scheduled to become a no-take area in five years when all fishing is phased out. This site has been included in the no-take category and will be the largest no-take MPA in the United States.

SAP 4.4. Adaptation Options for Climate-Sensitive Ecosystems and Resources | **Marine Protected Areas**

NP	42	72,943	120	0.16%
NWR	109	219,252	740	0.34%
NM	3	352,882	352,882	100%
NMS	13	46,264	591	1.3%
FMA	216	5,422,826	488	0.01%
NERR47	27	4,606	0	0.00%
TOTAL ALL FEDERAL MMAS⁴⁹	410	6,118,773	354,820	5.8%

1
2 New England: Maine to Connecticut, Mid Atlantic: New York to Virginia, South Atlantic: North
3 Carolina to Florida. NP: National Parks, NWR: National Wildlife Refuges, NMS: National
4 Marine Sanctuaries, FMA: Fishery Management Areas, NERR: National Estuarine Research
5 Reserves, and NM: National Monuments.

⁴⁹ This total is corrected for overlapping jurisdictions of Federal MMAs.

1 **Table 8.3.** Sites in the National Marine Sanctuary Program. Regions: PC = Pacific Coast, PI =
 2 Pacific Islands, SE = Southeast Atlantic, Gulf of Mexico, and Caribbean, NE = Northeast.⁴
 3
 4

Site	Location	Region	Year Designated	Size (km ²)	Yr of First Mgt Plan	Status of Mgt Plan Revision
Channel Islands	CA	PC	1980	4,263	1983	2007 planned publication
Cordell Bank	CA	PC	1989	1,362	1989	Central CA Joint Mgt Plan Review ⁵⁰
Fagatele Bay	Amer. Samoa	PI	1986	0.66	1984	Ongoing
Florida Keys	FL	SE	1990	9,844	1996	2007 planned publication
Flower Garden Banks	TX	SE	1992	2.0	In preparation	
Gray's Reef	GA	SE	1981	58	1983	Published 2006
Gulf of the Farallones	CA	PC	1981	3,252	1983	Central CA Joint Mgt Plan Review
Hawaiian Islands HW ⁵¹	HI	PI	1992	3,548	1997	Published 2002
Monitor ⁵²	NC	NE	1975	4.1	1997 ⁵³	
Monterey Bay	CA	PC	1992	13,784	1992	Central CA Joint Mgt Plan Review
Olympic Coast	WA	PC	1994	8,573	1994	Ongoing
Papahānaumokuākea MNM ⁵⁴	HI	PI	2006	~360,000	In preparation	
Stellwagen Bank	MA	NE	1992	2,188	1993	2007 planned publication
Thunder Bay	MI	NE	2000	1,160	1999	Ongoing
Key Largo ⁵⁵	FL		1975	353		
Looe Key	FL		1981	18		

5
6

⁵⁰ The Central California Joint Management Plan Review is a coordinated process to obtain public comments on draft management plans, proposed rules, and draft environmental impact statements for the three Central California Sanctuaries.

⁵¹ HW = humpback whale.

⁵² The Monitor (<http://monitor.noaa.gov/>) and Thunder Bay (<http://thunderbay.noaa.gov/>) NMSs were designated for protection of maritime heritage resources.

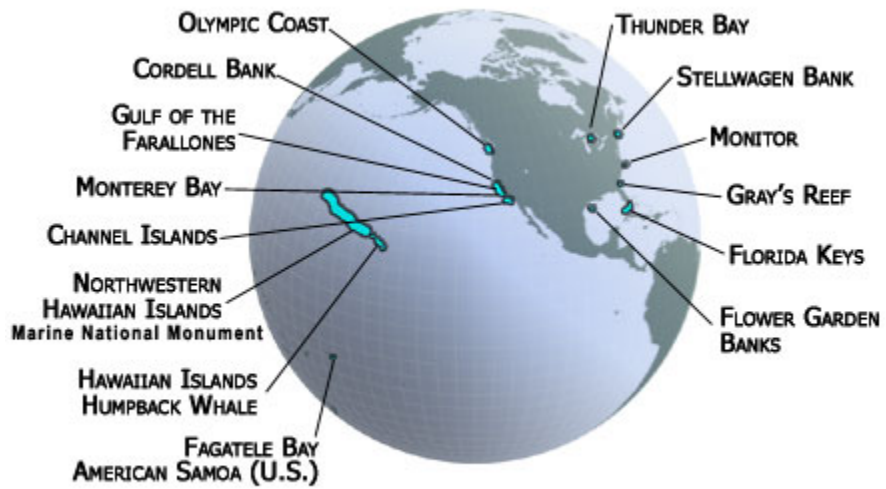
⁵³ This plan is actually a comprehensive, long-range preservation plan for the Civil War ironclad U.S.S. *Monitor*.

⁵⁴ The Papahānaumokuākea Marine National Monument is co-managed by NOAA/National Marine Sanctuary Program and National Marine Fisheries Service, U.S. Fish and Wildlife Service, and the State of Hawaii.

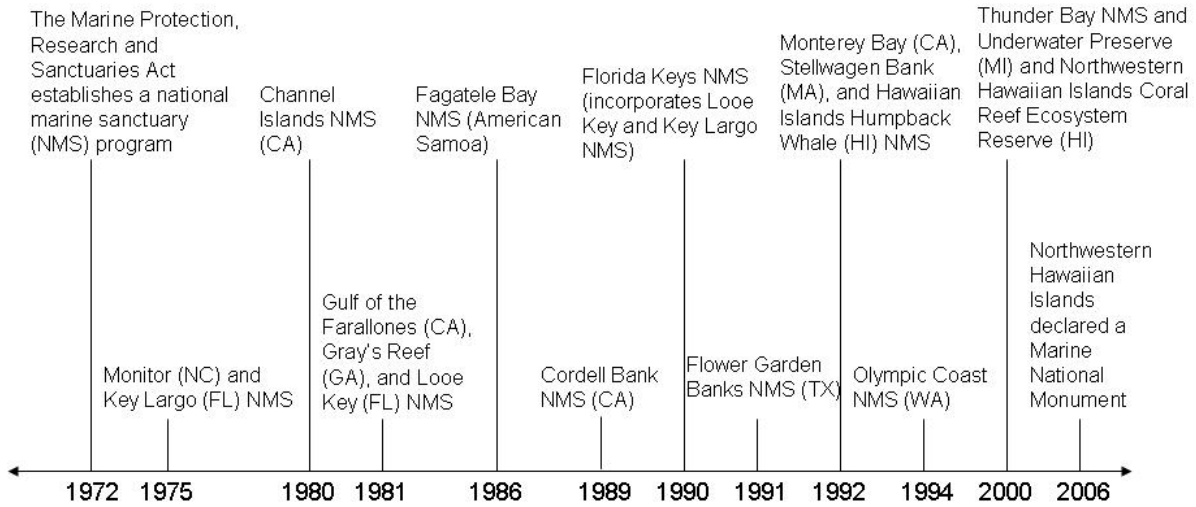
⁵⁵ The Key Largo and Looe Key NMSs were subsumed within the Florida Keys NMS as Existing Management Areas.

1 **8.11 Figures**

2 **Figure 8.1.** Locations of the 14 MPAs that compose the National Marine Sanctuary System.⁴



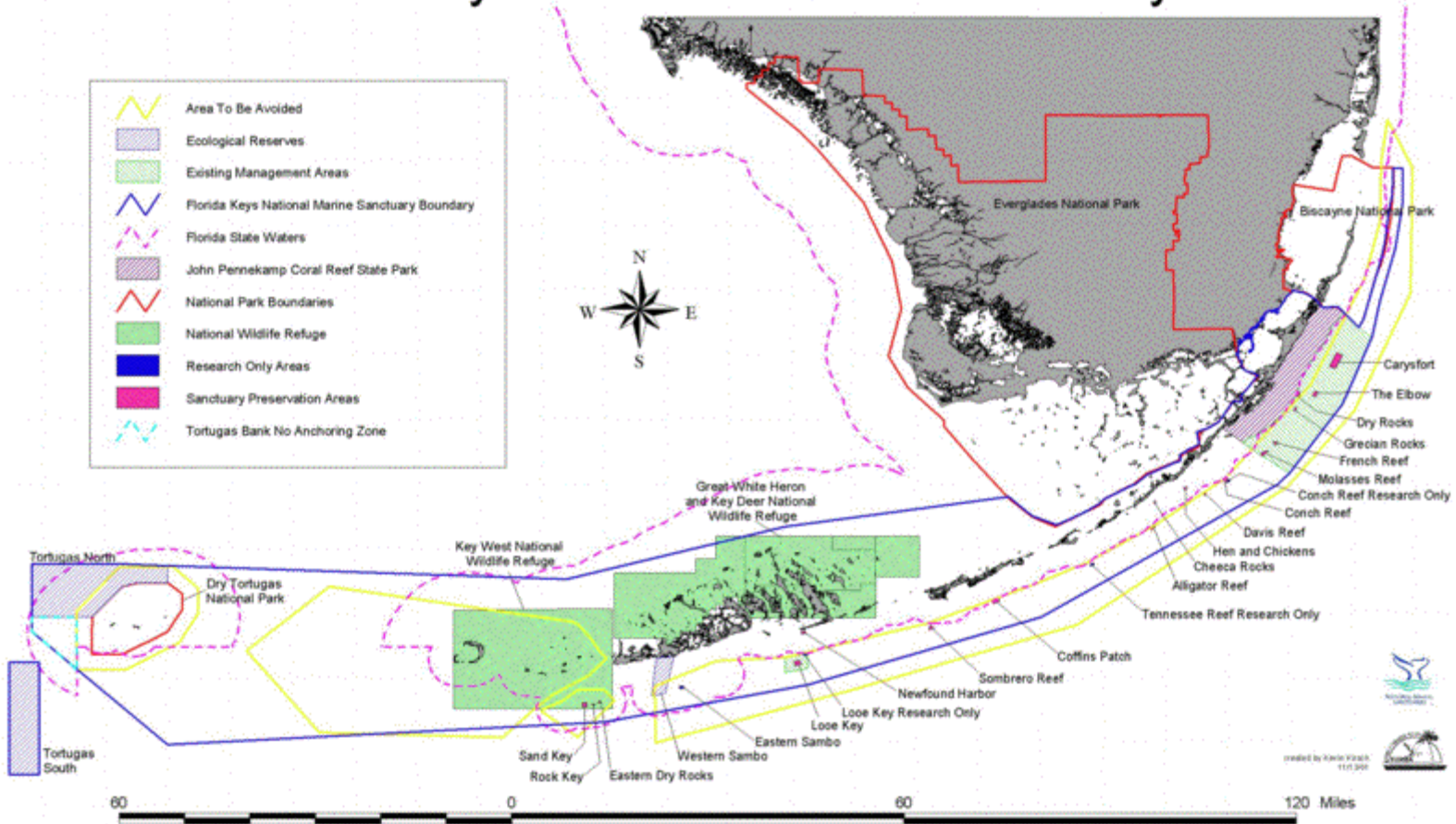
1 **Figure 8.2.** Timeline of the designation of the national marine sanctuaries in the National Marine
2 Sanctuary Program.⁶
3



4
5

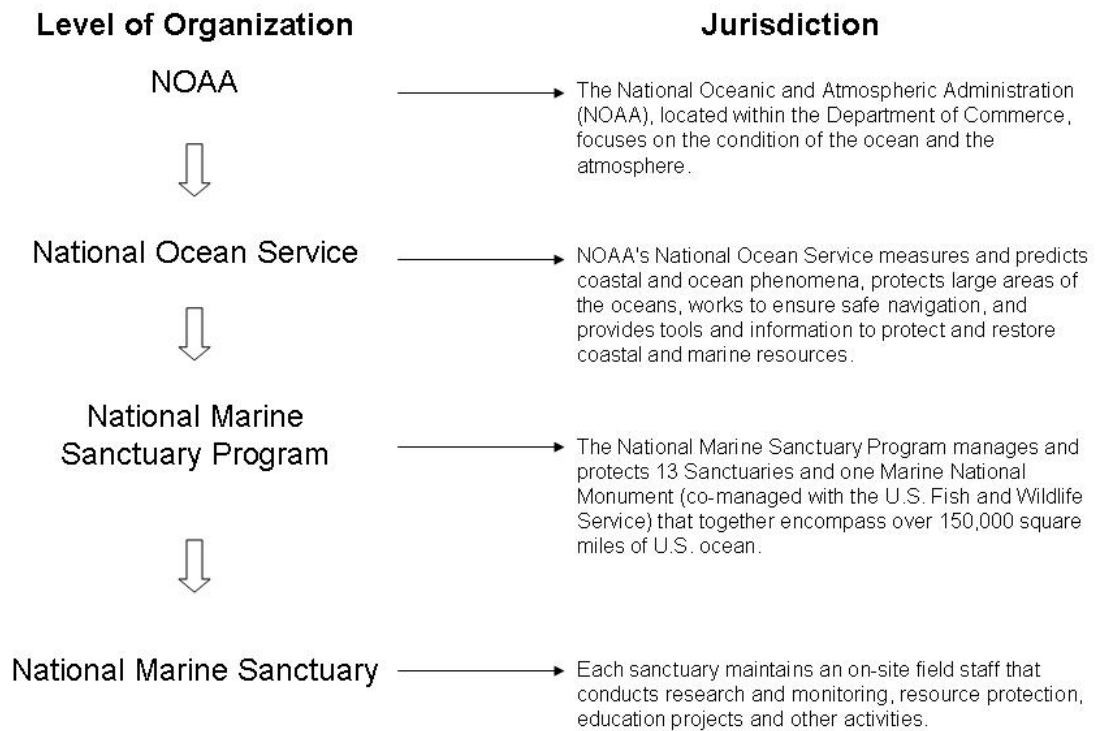
1 **Figure 8.3.** Map of the Florida Keys National Marine Sanctuary. The 1990 designation did not include the Tortugas Ecological
 2 Reserve located at the western end of the sanctuary, which was implemented in 2001. The Key Largo NMS corresponded to the
 3 Existing Management Area (EMA) just offshore of the John Pennekamp Coral Reef State Park; the Looe Key NMS corresponded to
 4 the EMA surrounding the Looe Key Sanctuary Preservation Area and Research Only Area.⁸

Florida Keys National Marine Sanctuary



5
6

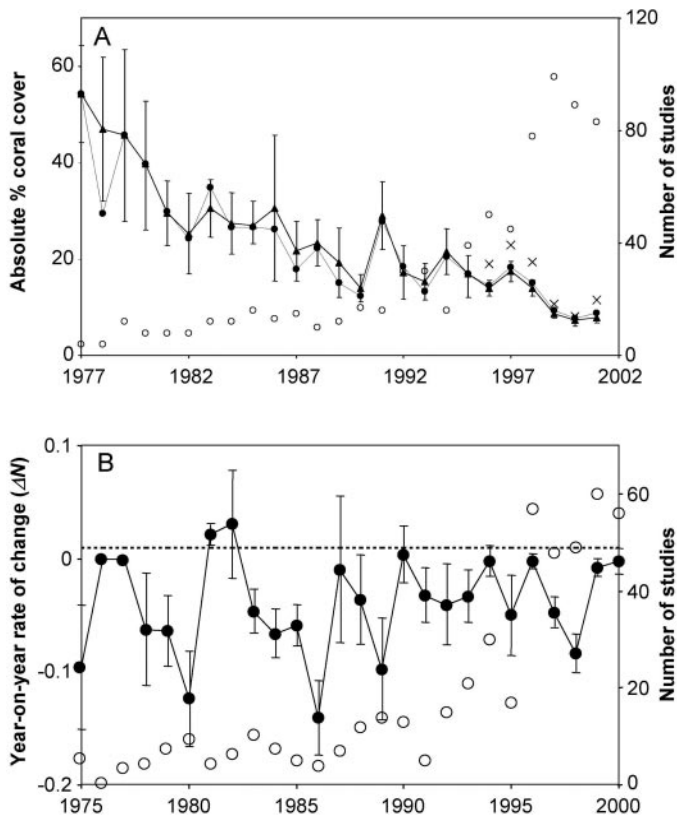
1 **Figure 8.4.** Organizational chart of the National Marine Sanctuary Program.⁹
 2



Adapted from <http://www.oceanservice.noaa.gov/programs/>

3

1 **Figure 8.5.** Total observed change in coral cover (%) across the Caribbean basin over the past 25
 2 years (Gardner *et al.*, 2003). A. Coral cover (%) 1977–2001. Annual estimates (\blacktriangle) are weighted
 3 means with 95% bootstrap confidence intervals. Also shown are unweighted estimates (\bullet),
 4 unweighted mean coral cover with the Florida Keys Coral Reef Monitoring Project (1996-2001)
 5 omitted (\times), and the number of studies each year (\circ). B. Year-on-year rate of change (mean $\Delta N \pm$
 6 SE) in coral cover (%) for all sites reporting two consecutive years of data 1975-2000 (\bullet) and the
 7 number of studies for each two-year period (\circ).
 8



9