

SELECTED FCM FINANCIAL DATA  
FROM REPORTS FILED BY  
November 30, 2003

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
	(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital	(d)	(e)	(f)
1 ABN AMRO INCORPORATED	Y	CBOT	10/31/2003	1,282,598,426	162,022,518	106,270,329	1,120,575,909	1,742,304,549	113,235,294
2 ABN AMRO SAGE CORPORATION	Y	NFA	10/31/2003	36,345,669	1,602,043	1,592,634	34,743,626	58,704,488	0
3 ADM INVESTOR SERVICES INC	N	CBOT	10/31/2003	72,315,768	22,321,314	20,009,101	49,994,454	588,768,381	12,608,716
4 ADVANTAGE FUTURES LLC	N	CME	10/31/2003	5,705,303	2,493,214	1,196,464	3,212,089	58,123,010	10,382,934
5 ADVEST INC	Y	NFA	10/31/2003	55,541,725	1,000,000	0	54,541,725	0	0
6 AG EDWARDS & SONS INC	Y	CBOT	10/31/2003	606,371,617	44,537,413	4,702,386	561,834,204	117,486,520	5,004
7 AGE COMMODITY CLEARING CORP	N	CBOT	10/31/2003	13,717,873	3,439,969	4,619,512	9,098,361	100,522,538	0
8 AIG CLEARING CORPORATION	N	NYME	10/31/2003	181,031,718	250,000	9,890,028	171,141,690	0	0
9 ALARON TRADING CORPORATION	N	CME	10/31/2003	5,050,432	2,551,923	1,554,809	2,498,509	72,281,839	126,920
10 ALCO COMMODITIES INC	N	NFA	10/31/2003	470,272	250,000	0	220,272	0	0
11 AMERICAN NATIONAL TRADING CORP	N	NFA	10/31/2003	1,274,797	584,263	375,780	690,534	17,190,650	0
12 BANC OF AMERICA FUTURES	Y	CME	10/31/2003	62,753,586	8,202,478	36,882,504	25,871,082	205,061,943	0
13 BANC ONE CAPITAL MARKETS INC	Y	CBOT	10/31/2003	437,992,921	11,065,830	24,740,614	413,252,307	488,584,665	61,884,381
14 BARCLAYS CAPITAL INC	Y	CBOT	10/31/2003	412,187,599	76,775,404	124,660,321	287,527,278	1,725,037,335	276,356,501
15 BEAR STEARNS & CO INC	Y	CBOT	10/31/2003	1,982,581,886	46,110,465	0	1,936,471,421	0	0
16 BEAR STEARNS SECURITIES CORP	Y	CME	10/31/2003	3,208,495,526	916,619,572	109,350,046	2,291,875,954	1,788,388,969	312,623,596
17 BIELFELDT & COMPANY LLC	N	CBOT	10/31/2003	387,781	250,000	0	137,781	0	0
18 BLAYLOCK AND PARTNERS LP	Y	NFA	10/31/2003	18,131,491	305,483	0	17,826,008	0	0
19 BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	10/31/2003	38,538,358	20,755,818	16,234,028	17,782,540	518,895,458	0
20 BNP PARIBAS COMMODITY FUTURES INC	N	NYME	10/31/2003	51,476,691	4,115,090	13,633,651	37,843,040	213,180,193	20,475,698
21 BNP PARIBAS SECURITIES CORP	Y	CBOT	10/31/2003	242,580,692	385,157	0	242,195,535	0	0
22 BNY CLEARING SERVICES LLC	Y	NFA	10/31/2003	93,627,799	6,382,588	0	87,245,211	0	0
23 C CZARNIKOW SUGAR FUTURES INC	N	NFA	10/31/2003	1,607,740	250,000	154,607	1,357,740	1,847,542	0
24 CADENT FINANCIAL SERVICES LLC	N	CME	10/31/2003	2,533,005	250,000	5,006	2,283,005	28,493	0
25 CANTOR FITZGERALD & CO	Y	CBOT	10/31/2003	66,867,993	684,210	150,029	66,183,783	960,022	0
26 CAPITAL MARKET SERVICES LLC	N	NFA	10/31/2003	1,609,020	250,000	0	1,359,020	0	0
27 GARGILL INVESTOR SERVICES INC	N	CBOT	10/31/2003	88,748,969	49,629,318	67,274,224	21,474,745	1,259,389,907	101,423,932
28 CARR FUTURES INC	Y	CME	10/31/2003	245,131,772	125,380,459	178,135,718	66,996,054	3,042,685,138	1,598,025,851
29 CDC SECURITIES	Y	NFA	10/31/2003	78,768,816	250,000	0	78,518,816	0	0
30 CIBC WORLD MARKETS CORP	Y	CME	10/31/2003	957,864,111	35,973,290	11,638,765	921,890,821	0	0
31 CITIGROUP GLOBAL MARKETS INC.	Y	CBOT	10/31/2003	4,442,366,755	513,063,359	233,215,233	3,929,303,396	5,876,972,928	96,384,225
32 CLIFDEN FUTURES LLC	N	NFA	10/31/2003	548,565	250,000	4,570	298,565	2,086,895	0
33 CLIFF LARSON COMPANY THE	N	NFA	10/31/2003	386,549	250,000	47,836	136,549	2,046,091	0
34 CMC GROUP PLC	N	NFA	10/31/2003	6,412,981	250,000	0	6,162,981	0	0
35 COESfx CLEARING INC	N	NFA	10/31/2003	422,968	250,000	0	172,968	0	0
36 COMMERZ FUTURES LLC	N	CME	10/31/2003	5,564,988	3,162,533	5,675,836	-110,848	93,541,347	4,518,292
37 COUNTRY HEDGING INC	N	NFA	10/31/2003	5,771,634	1,330,525	2,373,233	3,398,401	37,095,495	22,851
38 CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	10/31/2003	27,917,364	4,444,580	14,973,375	12,943,989	119,989,939	332,689
39 CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	10/31/2003	3,156,250,565	136,715,908	111,470,420	3,019,534,657	1,548,749,561	626,257,319
40 CRESSWELL CAPITAL LLC	N	NFA	10/31/2003	749,114	250,000	279,464	469,650	6,618,123	17,259
41 CROSSLAND LLC	N	CBOT	10/31/2003	3,013,840	329,793	114,803	2,684,047	8,313,000	0
42 CUNNINGHAM COMMODITIES INC	N	CBOT	10/31/2003	1,894,189	375,816	210,343	1,518,373	9,330,617	99,685
43 DAIWA SECURITIES AMERICA INC	Y	CME	10/31/2003	197,795,638	3,158,559	3,110,687	194,637,079	45,851,482	140,360
44 DAVID A NOYES & CO	Y	NFA	10/31/2003	2,014,029	250,000	0	1,764,029	0	0
45 DEUTSCHE BANK SECURITIES INC	Y	CBOT	10/31/2003	2,628,339,354	96,574,237	180,579,178	2,447,760,176	1,490,511,782	499,561,737
46 DORMAN TRADING LLC	N	CME	10/31/2003	4,697,037	711,025	315,008	3,986,012	17,778,887	0
47 DUNAVANT COMMODITY CORP	N	NYCE	09/30/2003	20,321,229	951,272	785,938	19,369,957	34,983,344	0
48 EAGLE MARKET MAKERS INC	N	CBOT	10/31/2003	2,020,845	250,000	66,990	1,770,845	3,973,728	68,363
49 ED & F MAN COMMODITY ADVISORS INC	N	NFA	10/31/2003	390,559	250,000	0	140,559	0	0
50 EM COMBS & SON	N	CBOT	10/31/2003	2,393,929	250,000	56,670	2,143,929	6,027,382	0
51 FARR FINANCIAL INC	N	NFA	10/31/2003	1,158,307	738,254	351,217	420,053	19,261,509	111,754

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				Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital	(d)	(e)	Pt. 30 Required (f)
52	FC STONE LLC	N	CME	10/31/2003	15,052,552	9,855,905	12,055,543	2,997,009	313,346,444	664,345
53	FCT GROUP LLC	N	CME	10/31/2003	7,560,043	250,000	631,564	6,928,479	8,051,446	40,000
54	FIMAT USA INC	Y	CME	10/31/2003	209,446,465	116,117,073	138,392,618	71,053,847	3,108,788,046	578,150,303
55	FIRST CAPITOL GROUP LLC	N	NFA	10/31/2003	1,897,591	1,249,168	710,851	648,423	37,380,027	34,094
56	FIRST OPTIONS OF CHICAGO INC	Y	CME	10/31/2003	255,709,345	10,008,144	20,303,439	235,405,906	466,254,944	5,648,067
57	FOREX CAPITAL MARKETS LLC	N	NFA	10/31/2003	16,462,311	250,000	0	16,212,311	0	0
58	FORTIS CLEARING CHICAGO LLC	N	CBOT	10/31/2003	18,135,667	2,263,278	1,500,402	15,872,389	47,768,937	10,093,449
59	FRIEDBERG MERCANTILE GROUP INC	N	NFA	10/31/2003	1,737,127	250,000	135,454	1,487,127	4,398,823	0
60	FRONTIER FUTURES INC	N	NFA	10/31/2003	948,057	535,750	445,470	412,307	17,192,168	0
61	FUTURES TECH LLC	N	NFA	10/31/2003	391,673	250,000	0	141,673	0	0
62	FX SOLUTIONS LLC	N	NFA	10/31/2003	1,250,022	250,000	0	1,000,022	0	0
63	GAIN CAPITAL INC.	N	NFA	10/31/2003	4,106,705	250,000	0	3,856,705	0	0
64	GELBER GROUP LLC	N	CBOT	10/31/2003	17,349,641	620,636	312,620	16,729,005	16,623,976	400,359
65	GFS SECURITIES & FUTURES INC	N	NFA	10/31/2003	334,770	250,000	0	84,770	0	0
66	GILDER GAGNON HOWE AND CO LLC	Y	NFA	10/31/2003	33,225,289	250,000	0	32,975,289	0	0
67	GLOBAL FUTURES & FOREX LTD	N	NFA	10/31/2003	8,486,908	250,000	0	8,236,908	0	0
68	GOLDENBERG HEHMEYER & CO	Y	CBOT	10/31/2003	16,024,107	2,122,569	1,288,542	13,901,538	64,804,114	4,196,617
69	GOLDMAN SACHS & CO	Y	CBOT	10/31/2003	4,317,661,733	830,229,750	454,163,373	3,487,431,983	8,023,462,808	3,535,814,529
70	GREENWICH CAPITAL MARKETS INC	Y	CBOT	10/31/2003	888,458,000	30,401,000	23,075,400	858,057,000	278,622,000	8,374,000
71	HAGERTY GRAIN CO INC	N	CBOT	10/31/2003	1,620,420	250,000	200,453	1,370,420	6,116,082	0
72	HORNBLOWER FISCHER & CO	Y	NFA	10/31/2003	1,118,460	250,000	99,281	868,460	3,874,297	0
73	HOTSPOT FX INC	N	NFA	10/31/2003	2,842,874	250,000	0	2,592,874	0	0
74	HSBC SECURITIES USA INC	Y	CME	10/31/2003	302,153,564	15,145,552	35,783,802	266,369,762	349,547,743	31,940,312
75	IFSC USA INC	N	NFA	10/31/2003	396,756	250,000	0	146,756	115,646	0
76	IFX MARKETS INC	N	NFA	10/31/2003	499,942	250,000	0	249,942	0	0
77	INTEGRATED BROKERAGE SERVICES INC	N	NFA	10/31/2003	928,117	250,000	93,060	678,117	1,492,229	0
78	INTERACTIVE BROKERS LLC	Y	NFA	10/31/2003	125,983,626	4,615,284	2,146,991	121,368,342	26,186,695	8,417,900
79	INVESTEC ERNST & COMPANY	Y	NFA	10/31/2003	16,827,961	250,000	0	16,577,961	0	0
80	IOWA GRAIN CO	N	CBOT	10/31/2003	8,447,707	4,451,628	4,152,146	3,996,079	126,412,377	135,268
81	ISB CLEARING CORPORATION	N	NFA	10/31/2003	474,056	250,000	0	224,056	0	0
82	JP MORGAN FUTURES INC	N	NYME	10/31/2003	547,196,444	214,267,386	325,425,634	221,770,810	5,358,699,213	591,306,308
83	JULIUS BAER SECURITIES INC	Y	NFA	10/31/2003	6,338,249	1,798,159	0	4,540,090	0	0
84	KOTTKE ASSOCIATES LLC	N	CBOT	10/31/2003	6,892,345	360,457	158,091	6,531,888	13,751,119	0
85	LADENBURG THALMANN & CO INC	Y	NFA	10/31/2003	8,369,015	250,000	0	8,119,015	0	0
86	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	10/31/2003	2,965,741	250,000	47,505	2,715,741	4,036,509	0
87	LBS LIMITED PARTNERSHIP	N	CBOT	10/31/2003	376,529	250,000	0	126,529	0	0
88	LEADER INVESTMENTS INC	Y	NFA	10/31/2003	448,310	250,000	0	198,310	0	0
89	LEGG MASON WOOD WALKER INC	Y	NYME	10/31/2003	359,631,696	23,321,590	0	336,310,106	0	0
90	LEHMAN BROTHERS INC	Y	CBOT	10/31/2003	2,443,645,000	218,094,460	123,856,320	2,225,550,540	1,997,715,000	49,855,000
91	LINN GROUP ( THE )	N	NFA	10/31/2003	484,395	250,000	0	234,395	0	0
92	LINSCO/PRIVATE LEDGER CORP	Y	NFA	10/31/2003	88,126,679	4,288,545	0	83,838,134	0	0
93	LOEB PARTNERS CORPORATION	Y	NFA	10/31/2003	21,915,127	250,000	0	21,665,127	0	0
94	MAN FINANCIAL INC	N	CME	10/31/2003	196,527,753	121,360,666	135,539,445	60,988,308	3,007,728,374	667,372,649
95	MARQUETTE ELECTRONIC BROKERAGE	N	NFA	10/31/2003	5,640,669	250,000	0	5,390,669	0	0
96	MAXCOR FINANCIAL INC	Y	NFA	10/31/2003	47,002,871	250,000	0	46,752,871	0	0
97	MBF CLEARING CORP	N	NYME	10/31/2003	6,504,037	2,642,839	974,609	3,861,198	66,773,994	313,156
98	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	10/31/2003	16,594,805	10,635,316	1,828,363	5,959,489	271,612,702	0
99	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	10/31/2003	2,825,852,527	280,694,470	235,921,606	2,545,158,057	4,991,638,986	532,614,742
100	MERRILL LYNCH PROFESSIONAL CLEARING	Y	NFA	10/31/2003	630,515,073	10,910,849	24,426,940	606,088,133	328,616,069	0
101	MID-CO COMMODITIES INC	N	NFA	10/31/2003	3,431,093	712,341	1,258,554	2,172,539	19,158,680	0
102	MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	10/31/2003	638,466	250,000	0	388,466	0	0
103	MIZUHO SECURITIES USA INC	Y	CME	10/31/2003	156,378,448	20,188,805	25,669,675	130,708,773	404,041,729	31,712,909

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		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital	(d)	4d(2) (e)	Pt. 30 Required (f)
104	MONEY GARDEN CORPORATION, THE	N	NFA	10/31/2003	3,397,623	250,000	0	3,147,623	0	0
105	MORGAN KEEGAN & COMPANY INC	Y	NFA	10/31/2003	247,000,347	10,556,865	0	236,443,482	0	0
106	MORGAN STANLEY & CO INCORPORATED	Y	CME	10/31/2003	3,917,920,160	762,606,673	191,570,459	3,155,313,488	2,301,806,290	1,243,700,819
107	MORGAN STANLEY DW INC	Y	CBOT	10/31/2003	996,708,974	98,053,580	6,405,531	898,655,394	819,799,393	1,458,761,820
108	NATIONAL COMMODITIES CORPORATION	N	NFA	10/31/2003	1,447,659	250,000	84,642	1,197,659	13,675,496	0
109	NEUBERGER BERMAN LLC	Y	NFA	10/31/2003	303,471,622	19,165,956	654,304	284,305,666	14,743,275	0
110	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	10/31/2003	13,729,036	250,000	0	13,479,036	0	0
111	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	10/31/2003	482,698,170	5,161,213	883,143	477,536,957	107,337,347	11,798
112	OANDA CORPORATION	N	NFA	10/31/2003	3,698,882	250,000	0	3,448,882	0	0
113	OCONNOR & COMPANY LLC	Y	CBOT	10/31/2003	32,555,481	2,500,000	1,604,988	30,055,481	64,606,799	383,882
114	OPPENHEIMER & CO. INC.	Y	NFA	10/31/2003	134,820,868	22,132,248	0	112,688,620	9,423,582	0
115	PACIFIC CAPITAL MARKETS	N	NFA	10/31/2003	422,606	250,000	0	172,606	0	0
116	PATTERSON CAPITAL MARKETS LTD	N	CBOT	10/31/2003	938,449	250,000	0	688,449	0	0
117	PAX CLEARING CORPORATION	Y	CME	10/31/2003	52,798,358	2,423,573	3,718,519	49,079,839	75,317,970	0
118	PENSON FINANCIAL FUTURES INC	N	NFA	10/31/2003	436,684	250,000	55,072	186,684	959,072	0
119	PEREGRINE FINANCIAL GROUP INC	N	NFA	10/31/2003	20,104,027	3,426,377	700,483	16,677,650	108,650,741	1,239,855
120	PERSHING LLC	Y	CBOT	10/31/2003	750,060,000	160,423,460	0	589,636,540	0	0
121	PIONEER FUTURES INC	N	NYME	10/31/2003	8,752,329	3,718,358	2,626,196	5,033,971	108,958,422	105,946
122	PREFERRED TRADE INC	Y	NFA	10/31/2003	8,597,775	693,751	0	7,904,024	838,700	0
123	PRUDENTIAL EQUITY GROUP INC	Y	CBOT	10/31/2003	547,838,000	50,185,000	59,347,840	488,490,160	1,264,304,000	126,912,000
124	PRUDENTIAL FINANCIAL DERIVATIVES LLC	N	NFA	10/31/2003	1,000,100	250,000	0	750,100	0	0
125	RAND FINANCIAL SERVICES INC	N	CME	10/31/2003	13,936,900	5,840,764	8,715,950	5,220,950	163,868,600	13,995,300
126	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	10/31/2003	352,211,457	23,299,606	15,785	328,911,851	0	0
127	RB&H FINANCIAL SERVICES LP	N	CME	10/31/2003	4,359,862	2,006,483	1,251,808	2,353,379	56,950,089	9,102
128	RBC DAIN RAUSCHER INC	Y	NFA	10/31/2003	337,453,673	29,119,059	0	308,334,614	0	0
129	RBC DOMINION SECURITIES CORPORATION	Y	CME	10/31/2003	156,217,011	1,500,000	39,892,739	116,324,272	0	0
130	REDSKY SECURITIES LLC	Y	NFA	10/31/2003	703,692	250,000	0	453,692	0	0
131	REFCO LLC	N	CME	10/31/2003	189,605,133	113,188,587	116,384,909	73,220,224	3,118,933,552	75,303,197
132	RJ OBRIEN ASSOCIATES INC	N	CME	10/31/2003	45,781,664	26,959,669	28,816,030	16,965,634	743,622,680	4,603,737
133	ROBBINS FUTURES INC	N	NFA	10/31/2003	434,581	250,000	110,197	184,581	5,994,561	0
134	ROBECO USA LLC	Y	NFA	10/31/2003	73,059,201	5,112,298	0	67,946,903	0	0
135	ROSENTHAL COLLINS GROUP LLC	N	CME	10/31/2003	42,793,443	14,614,985	12,467,965	28,178,458	428,332,561	1,250,094
136	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	10/31/2003	12,348,793	1,332,941	0	11,015,852	0	0
137	ROTHSCHILD INC	Y	NFA	10/31/2003	23,800,874	250,000	0	23,550,874	0	0
138	SANFORD C BERNSTEIN & CO LLC	Y	NFA	10/31/2003	150,959,839	13,665,231	0	137,294,608	0	0



