

Exhibit 300: Capital Asset Plan and Business Case Summary**Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

1. Date of Submission: 9/10/2007
2. Agency: Department of State
3. Bureau: A/Opr/Als Office Of Allowances
4. Name of this Capital Asset: Exhibit 300 - A/OPR E-Allowances
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 014-00-01-02-01-1464-00
6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.) Mixed Life Cycle

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The purpose of the eAllowances project is to improve the business performance of the allowances processes that support the 80,000 overseas employees of the Department of State and the 40 other USG agencies. ALS is replacing an archaic system (over 10 years old) that requires costly work-arounds and a large number of manual calculations. The system will greatly shorten the cycle time required for the government, U.S. citizens, and private industry to receive accurate allowance information. As a result of these benefits, the project has a projected positive ROI.

The benefit of the new system is recognized by senior management, approved by the DoS EGovPMO, and is listed as the top priority IT project in the Bureau of Administration. The objectives of the project are to expedite data collection and analysis, eliminate redundant processing, duplicative data entry and off-line processing, implement modern, flexible analytical tools, reduce processing and cycle time, provide appropriate and efficient online data access to stakeholders, provide more efficient data dissemination, streamline business processes, to include the submission and verification process through the use of electronic data transmission/verification, integrate allowance data and information more effectively, increase customer/stakeholder satisfaction, and automate procedures to measure performance.

The system is being implemented in two phases. The first phase (Per Diem) was deployed in January 2006. The second phase, which will provide the remaining four allowances (Living Quarters Analysis, Hardship Differential, Education, and Cost of Living), is currently scheduled to be deployed in December 2007.

ALS continues to maintain management disciplines to increase the probability of success for the project. ALS is following a clearly defined methodology for requirements change control, advocacy/stakeholder involvement, alternatives analysis, business process reengineering, and project controls. To proactively manage risks, a risk and schedule meeting is held each month (or more often as needed).

9. Did the Agency's Executive/Investment Committee approve this request? Yes
- a. If "yes," what was the date of this approval? 8/28/2007
10. Did the Project Manager review this Exhibit? Yes
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? Yes
- a. Will this investment include electronic assets (including computers)? Yes
- b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No
1. If "yes," is an ESPC or UESC being used to help fund this investment?
2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all that apply:

Human Capital
Expanded E-Government

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) No

a. If "yes," does this investment address a weakness found during a PART review? No

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology? Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23) No

19. Is this a financial management system? No

a. If "yes," does this investment address a FFMIA compliance area? No

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? Yes

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Exhibit 300: Exhibit 300 - A/OPR E-Allowances (Revision 6)

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	Strengthening Consular and Management Capabilities	Customer Results	Service Accessibility	Automation	% reduction in paper submission to ALS.	100% of Per Diem surveys are submitted to ALS in paper format.	0% of Per Diem surveys are submitted to ALS in paper format.	Fully accomplished in Sept of 2006. All Per Diem surveys are now submitted electronically.
2006	Strengthening Consular and Management Capabilities	Customer Results	Service Accessibility	Availability	Availability of automated help for the Per Diem function.	No Per Diem help is accessible electronically.	Automation: Increase the automated per diem help function to the users. Increase the availability of electronic help embedded in the application (for per diem) to 100%.	Fully Accomplished in January, 2006. Online Help is 100% available to eAllowances Per Diem users. Updates to Online Help will be made as comments/suggestions to tailor the Help function and better meet eAllowances User needs are received from users.
2006	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	Information Management	% reduction of manually validated Per Diem data.	Currently 100% of per diem data is manually validated.	Reduce the percentage of Per Diem data manually validated to 30%.	Fully Accomplished in January, 2006. 0% of Per Diem surveys are manually validated as all eAllowances Per Diem surveys are now electronically validated.
2006	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	% reduction in offline calculations and workarounds.	50% of allowances analyses requires offline calculations and workarounds due to the current system's limitation.	Reduce to 25% by using the new system. Increase productivity by reducing manual calculations and unnecessary work-arounds.	This has been fully accomplished in January, 2006. 0% of Per Diem surveys require manual calculations.
2006	Strengthening Consular and Management Capabilities	Technology	Information and Data	Data Reliability and Quality	% of Per Diem surveys electronically stored in database.	0% of Per Diem surveys are electronically stored in the database.	100% of Per Diem surveys are electronically stored in the database.	Fully accomplished in Sept of 2006. All Per Diem surveys are now stored electronically.
2007	Strengthening Consular and Management Capabilities	Customer Results	Service Accessibility	Automation	Number of data transmit formats.	No transmittable formats exist. The only system currently available to transmit data to the DoS financial center is by cable and in a flat file to DoD, which is then hand keyed into their databases. Other agencies also hand key in allowances data.	Increase to two transmittable formats - the requirements for the new system will provide at least 2 electronic, standard formats that will integrate with the financial center, DoD and other USG agency databases.	TBD - Remaining surveys will be measured after full system deployment in December, 2007.
2007	Strengthening Consular and Management Capabilities	Customer Results	Service Accessibility	Automation	% of paper submission to ALS.	80% of all allowances surveys are submitted to ALS in paper format.	Reduce the number of paper submissions to ALS to 60% or less - submissions will be primarily electronic and will automatically populate the new ALS system.	TBD - Full system deployment is expected in December, 2007, thus no new allowance surveys will be processed. As a result, the target has not been met and the baseline for 2008 will remain 80%.
2007	Strengthening	Mission and	Information and	Information	% of allowances	0% of published	Increase the	TBD - Remaining

Exhibit 300: Exhibit 300 - A/OPR E-Allowances (Revision 6)

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Consular and Management Capabilities	Business Results	Technology Management	Management	data available electronically.	allowances data is posted (accessible by government personnel and U.S. citizens) using a fully automated process.	percentage of fully automated publication of allowances data to 100%.	surveys will be measured after full system deployment in December, 2007.
2007	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	% reduction in offline calculations and workarounds.	50% of allowances analyses requires offline calculations and workarounds due to the current system's limitation.	Reduce to 10% by using the new system. Increase productivity by reducing manual calculations and unnecessary work-arounds.	TBD - Remaining surveys will be measured after full system deployment in December, 2007.
2007	Strengthening Consular and Management Capabilities	Technology	Information and Data	Data Reliability and Quality	% calculation errors using eAllowances.	20% of allowances calculations contain errors resulting from the current system integrity issues.	Reduce to 0% using the new system. Increase the reliability and accuracy of calculations through the elimination of the current system integrity problems.	TBD - Remaining surveys will be measured after full system deployment in December, 2007.
2007	Strengthening Consular and Management Capabilities	Technology	Quality	Compliance and Deviations	% of satisfied Phase 2 Users.	0% of Phase 2 users will be satisfied as there have been no users to date.	100% of Phase 2 users will be satisfied with the new system.	Phase 2 will be deployed in December of 2007. Thus 0 % of users have evaluated the Phase 2 system.
2008	Strengthening Consular and Management Capabilities	Customer Results	Service Accessibility	Automation	% reduction in paper submission to ALS.	80% of all allowances surveys are submitted to ALS in paper format.	Reduce the number of paper submissions to ALS to 40% or less.	TBD
2008		Mission and Business Results	Information and Technology Management	Information Management	% of Phase 2 allowance data manually validated.	Currently 100% of Phase 2 allowance data is manually validated.	Reduce the percentage of Phase 2 allowance data manually validated to 50%.	TBD
2008	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	System Maintenance	% of time the system is available.	Per Diem module has been available over 90% of the time since deployment. Remaining modules of eAllowances system have yet to be deployed.	Increase to 99%+ through scheduled maintenance in order to minimize likelihood of outages during operating hours.	TBD
2008	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	% reduction in offline calculations and workarounds.	10% of allowances analyses requires offline calculations and workarounds due to the current system's limitation.	Reduce to 0% by using the new system. Increase productivity by eliminating manual calculations and unnecessary work-arounds.	TBD
2008	Strengthening Consular and Management Capabilities	Technology	Effectiveness	User Satisfaction	% reduction in manually entered data for all allowances available through eAllowances system.	80% of Phase 2 allowance data is manually entered into the current system by ALS staff.	An effective system will increase likelihood of user satisfaction, leading to a reduction in manual data entry through the use of automation. Reduce to less than 40% of manual entry.	TBD
2008	Strengthening	Technology	Information and	Data Storage	% of all surveys	20% of all	Increase to 60%	TBD

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Consular and Management Capabilities		Data		electronically stored in database.	surveys are electronically stored in the database.	of all surveys to be electronically stored in the database.	

Section E: Security and Privacy (IT Capital Assets only)

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
eAllowances	Yes	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Electronic.Allowances.pdf	Yes	http://foia.state.gov/issuances/STATE-31.pdf

Details for Text Options:
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes
 - a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes
 - a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. A/OPR E-Allowances
 - b. If "no," please explain why?

4. Service Component Reference Model (SRM) Table:								
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Data Exchange	Support the interchange of information between multiple systems or applications; includes verification that transmitted data was received unaltered	Back Office Services	Data Management	Data Exchange	Data Exchange	014-00-01-05-02-1209-00	Internal	5
Data Recovery	Support the restoration and stabilization of data sets to a consistent, desired state	Back Office Services	Data Management	Data Recovery	Data Recovery	014-00-01-05-02-1209-00	Internal	1

Exhibit 300: Exhibit 300 - A/OPR E-Allowances (Revision 6)

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Extraction and Transformation	Support the manipulation and change of data	Back Office Services	Data Management	Extraction and Transformation			No Reuse	12
Loading and Archiving	Support the restoration and stabilization of data sets to a consistent, desired state	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	014-00-01-05-02-1209-00	Internal	2
Data Integration	Support the organization of data from separate data sources into a single source using middleware or application integration as well as the modification of system data models to capture new information within a single system	Back Office Services	Development and Integration	Data Integration			No Reuse	1
Legacy Integration	Support the communication between newer generation hardware/software applications and the previous, major generation of hardware/software applications	Back Office Services	Development and Integration	Legacy Integration			No Reuse	1
Currency Translation	Support the calculations and difference between multiple mediums of exchange	Back Office Services	Financial Management	Currency Translation			No Reuse	4
Ad Hoc	Reports that are generated by a user to provide specific pieces of information on an as-needed basis	Business Analytical Services	Reporting	Ad Hoc			No Reuse	1
Standardized/Canned	Support the use of pre-conceived or pre-written reports	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	5
Business Rule Management	Manage the enterprise processes that support an organization and its policies	Business Management Services	Management of Processes	Business Rule Management			No Reuse	5
Program/Project Management	Manage and control a particular effort of an organization	Business Management Services	Management of Processes	Program / Project Management			No Reuse	3
Personalization	Change a user interface and how data is displayed	Customer Services	Customer Preferences	Personalization			No Reuse	1
Surveys	Are used to collect useful information from an organization's customers	Customer Services	Customer Relationship Management	Surveys			No Reuse	5
Document Conversion	Support the changing of files from one type of format to another	Digital Asset Services	Document Management	Document Conversion			No Reuse	1

Exhibit 300: Exhibit 300 - A/OPR E-Allowances (Revision 6)

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Document Referencing	Support the redirection to other documents and information for related content	Digital Asset Services	Document Management	Document Referencing			No Reuse	4
Document Review and Approval	Support the editing and commendation of documents before releasing them	Digital Asset Services	Document Management	Document Review and Approval			No Reuse	3
Indexing	Support the rapid retrieval of documents through a structured numbering construct	Digital Asset Services	Document Management	Indexing			No Reuse	2
Information Mapping/Taxonomy	Support the creation and maintenance of relationships between data entities, naming standards and categorization	Digital Asset Services	Knowledge Management	Information Mapping / Taxonomy			No Reuse	8
Information Retrieval	Allow access to data and information for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	2
Information Sharing	Support the use of documents and data in a multi-user environment for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	2
Knowledge Capture	Facilitate collection of data and information	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	014-00-01-05-02-1209-00	Internal	15
Document Retirement	Support the termination or cancellation of documents and artifacts used by an organization and its stakeholders	Digital Asset Services	Records Management	Document Retirement			No Reuse	2
Record Linking/Association	Support the correlation between logical data and information sets	Digital Asset Services	Records Management	Record Linking / Association	Record Linking / Association	014-00-01-05-02-1209-00	Internal	5
Process Tracking	Allow the monitoring of activities within the business cycle	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	3
Task Management	Support a specific undertaking or function assigned to an employee	Support Services	Collaboration	Task Management			No Reuse	3
Access Control	The system is role based and has a set of capabilities that support the administration of computer, application and network accounts within the organization	Support Services	Security Management	Access Control	Access Control	014-00-01-05-02-1209-00	Internal	1
Audit Trail	Support the	Support Services	Security	Audit Trail	Audit Trail	014-00-01-05-	Internal	1

Exhibit 300: Exhibit 300 - A/OPR E-Allowances (Revision 6)

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Capture and Analysis	identification and monitoring of activities within an application, system, or network		Management	Capture and Analysis	Capture and Analysis	02-1209-00		
Software Distribution	Support the propagation, installation and upgrade of written computer programs, applications and components	Support Services	Systems Management	Software Distribution			No Reuse	2

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Document Retirement	Component Framework	Business Logic	Platform Independent	Java Applet
Task Management	Component Framework	Business Logic	Platform Independent	Java Applet
Document Review and Approval	Component Framework	Business Logic	Platform Independent	Java Applet
Information Retrieval	Component Framework	Business Logic	Platform Independent	Java Applet
Process Tracking	Component Framework	Business Logic	Platform Independent	Java Applet
Business Rule Management	Component Framework	Business Logic	Platform Independent	Java Applet
Information Mapping / Taxonomy	Component Framework	Business Logic	Platform Independent	Java Applet
Document Retirement	Component Framework	Business Logic	Platform Independent	Microsoft SQL Server
Information Retrieval	Component Framework	Business Logic	Platform Independent	Microsoft SQL Server
Document Conversion	Component Framework	Data Interchange	Data Exchange	Java Applet
Data Exchange	Component Framework	Data Interchange	Data Exchange	XML Schema
Indexing	Component Framework	Data Management	Database Connectivity	Java Applet
Indexing	Component Framework	Data Management	Database Connectivity	Microsoft SQL Server
Data Recovery	Component Framework	Data Management	Database Connectivity	Microsoft SQL Server
Loading and Archiving	Component Framework	Data Management	Database Connectivity	Microsoft SQL Server
Ad Hoc	Component Framework	Data Management	Reporting and Analysis	Java Applet
Ad Hoc	Component Framework	Data Management	Reporting and Analysis	Microsoft SQL Server
Program / Project Management	Component Framework	Data Management	Reporting and Analysis	Rational Clearquest
Currency Translation	Component Framework	Presentation / Interface	Static Display	Java Applet
Personalization	Component Framework	Presentation / Interface	Static Display	Java Applet
Document Referencing	Component Framework	Presentation / Interface	Static Display	Java Applet
Surveys	Component Framework	Presentation / Interface	Static Display	Java Applet
Information Sharing	Component Framework	Presentation / Interface	Static Display	Java Applet
Document Referencing	Component Framework	Presentation / Interface	Static Display	Microsoft SQL Server
Access Control	Component Framework	Security	Supporting Security Services	Java Applet
Audit Trail Capture and Analysis	Component Framework	Security	Supporting Security Services	Java Applet
Legacy Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	Java Applet
Extraction and Transformation	Service Interface and Integration	Integration	Enterprise Application Integration	Java Applet
Data Integration	Service Interface and	Integration	Enterprise Application	Java Applet

5. Technical Reference Model (TRM) Table:
 To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Integration		Integration	
Standardized / Canned	Service Interface and Integration	Interoperability	Data Types / Validation	XML Schema
Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	Case Management
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Modeling	Unified Modeling Language (UML)
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Change Management
Software Distribution	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Deployment Management
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Issue Management
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Test Management	Rational Clearquest

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes
 - a. If "yes," what is the date of the plan? 8/14/2007
 - b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No
 - c. If "yes," describe any significant changes:

- 2. If there currently is no plan, will a plan be developed?
 - a. If "yes," what is the planned completion date?
 - b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

The Life cycle cost estimate is risk adjusted to account for currently identified program risks and also takes into account the likelihood that additional risks will materialize throughout the project life cycle. The project schedule is based on a conservative estimate of the time required to maintain the Per Diem allowance deployed during Phase 1 of the project and implement the remaining allowances within the system during Phase 2. A detailed analysis of the program's subtasks that takes into account lessons learned was conducted by management and subject matter experts to assess the current and future level of effort required to meet the investment's objectives. This more conservative approach uses realistic expectations, rather than best case scenarios to develop the investment's budget and schedule.