Exhibit 300: Part I: Summary Information and Justification (All Capital Assets)

I.A. Overview

1. Date of Submission:
9/11/2006
2. Agency:
Social Security Administration
3. Bureau:
Systems
4. Name of this Capital Asset:
Financial Accounting System (FACTS)
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)
016-00-01-01-01-2015-00
6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)
Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?
FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:
SSA's accounting system has been replaced through this effort. The replacement system, Social Security Online Accounting and Reporting System (SSOARS), provides a commercial, off-the-shelf comprehensive financial accounting system certified by the Federal Systems Integration Office (FSIO). SSOARS includes accounts payable, accounts receivable, purchasing, and general ledger functions and reporting, and utilizes state of the art technology so that SSA can take advantage of modern efficiencies. Overall, SSOARS provides better control of Agency funds and provides data consistency across the Agency. The initial release of this project provided for the new system to become SSA's system of record as of 10/1/2003. Additional releases implemented system interfaces to other feeder systems, such as SSA's Streamlined Acquisition System (SSASy) and Travel System (Travel Manager). The existing Financial Information System (FIS), which is used by all SSA components (especially the field users), to track spending against funding limitations was replaced by SSOARS FIS. SSOARS FIS eliminates the need to provide accounting updates to a separate database and provides the same level of detail directly from the accounting system and on a more current basis. A FIS portal is used to provide SSA users with more timely information upon which to make decisions.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
  a. If "yes," what was the date of this approval?
7/13/2006
10. Did the Project Manager review this Exhibit?
Yes
11. Removed
12. Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.
Yes
  a. Will this investment include electronic assets (including computers)?
Yes
  b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No
    1. If "yes," is an ESPC or UESC being used to help fund this investment?

    2. If "yes," will this investment meet sustainable design principles?

    3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," check all that apply:

Financial Performance

13a. Briefly describe how this asset directly supports the identified initiative(s)?

This initiative allows SSA to soundly manage Agency assets and invest in infrastructure needed to sustain superior performance in to the future. Additionally, the new accounting system directly supports the Presidential Management Agenda Initiative #3, Improved Financial Performance-"To ensure that federal financial systems produce accurate and timely information to support operating, budget, and policy decisions."

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes

a. If "yes," does this investment address a weakness found during the PART review?

Yes

b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

See FY 05 DI PART Q 3.4

c. If "yes," what PART rating did it receive?

Moderately Effective

15. Is this investment for information technology?

Yes

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

Yes

a. If "yes," does this investment address a FFMIA compliance area?

Yes

1. If "yes," which compliance area:

Financial Management Systems Requirements and Federal Accounting Standards

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

Social Security Online Accounting and reporting System - SSOARS

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware

4.685000

Software

0.683000

Services

80.812000

Other

13.820000

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

22. Removed

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
Yes

I.B. Summary of Funding

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Planning Budgetary Resources	30.611	0	0	0
Acquisition Budgetary Resources	15.458	0	0	0
Subtotal Planning & Acquisition Budgetary Resources	46.069	0	0	0
Operations & Maintenance Budgetary Resources	15.368	10.086	9.674	8.474
TOTAL Budgetary Resources	61.437	10.086	9.674	8.474
Government FTE Costs Budgetary Resources	21.641	2.152	1.325	1.416
Number of FTE represented by Costs:	222	21	13	13

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?
No
   a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes:

I.C. Acquisition/Contract Strategy

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contract	Type of	Has the	If so what	Start date	End date	Total	Is this an	Is it	Competitively	What, if	Is EVM	Does

or Task Order Number	Contract/ Task Order	contract been awarded?	is the date of the award? If not, what is the planned award date?	of Contract/ Task Order	of Contract/ Task Order	Value of Contract/ Task Order	Interagency Acquisition?	performance based?	awarded?	any, alternative financing option is being used?	in the contract?	the contract include the required security and privacy clauses?
0440-02-42005	Time and Materials.	Yes	12/6/2001	12/6/2001	12/5/2011	73	No	No	Yes	NA	No	Yes
SS00-04-30518	Time and Materials.	Yes	4/1/2004	4/1/2004	3/31/2009	2.5	No	No	No	NA	No	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's earned value management (EVM) policy has been certified as consistent with OMB guidance and the ANSI standards defining a compliant EVM. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. Earned value management requirements are applied to SSA contractors in two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS). The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

3. Do the contracts ensure Section 508 compliance?
Yes
  a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?
Yes
  a. If "yes," what is the date?
9/4/2006
  b. If "no," will an acquisition plan be developed?

   1. If "no," briefly explain why:

I.D. Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.
Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2003	To ensure superior stewardship of Social Security programs and resources.	Complete the planning, design, development, and implementation of the new accounting system.	Current accounting system was FACTS.	Configurations and extensions have been completed for the new system.	Configurations and extensions are completed.
2004	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Receive an unqualified opinion on SSA's financial statements from the auditors	SSA received an unqualified audit opinion on the financial statements in 2003.	Receive an unqualified opinion	SSA received an unqualified audit opinion on the financial statements in 2004.
2004	To ensure superior stewardship of Social Security Programs and resources.	Achieve System of Record Status.	Current accounting system is SSOARS.	Oracle Federal Financials is SSA's Accounting system of record.	Achieved System of Record Status.
2004	To ensure superior stewardship of Social Security programs and resources.	Contractor support for the development, design and implementation of selected Release I enhancements, and Release II system interfaces with SSOARS. Several tasks were deferred from Release I (System of Record) to Release II. Release II will also determine the feasibility of subsuming or interfacing with other SSOARS feeder systems.	Release I of SSOARS - System of Record status.	Develop, design and implement selected enhancements to Release I, and selected interfaces with SSOARS. Possible systems for interfaces or subsumption include: Social Security Acquisition System (SSASy); Third Party Payment System; Credit Card System	Several enhancements were implemented to provide additional functionality beyond Release I status.
2004	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Continue to achieve 2%, on average, annual productivity improvements	2003 - 2.1%	2004 - 2%	Agency productivity increased by 2.2%.
2004	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets to link resources effectively to	Get to "green" on four of five President's Management Agenda (PMA) initiatives.	2003 - one "green"	2004 - Achieve an overall rating of "green" on four of five PMA initiatives.	SSA achieved an overall rating of "green" on three of five PMA initiatives.

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	performance outcomes.				
2005	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Receive an unqualified opinion on SSA's financial statements from the auditors	SSA received an unqualified audit opinion on the financial statements in 2004.	Receive an unqualified opinion	SSA continued to receive an unqualified opinion on the financial statements in 2005.
2005	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Continue to achieve 2%, on average, annual productivity improvements	2004 - 2.2% 2003 - 2.1%	2005 - 2%	Agency productivity increased by 2.8%.
2005	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets to link resources effectively to performance outcomes.	Get to "green" on four of five President's Management Agenda (PMA) initiatives.	2004 - Achieved a status score of "green" on three of five PMA initiatives.	2005 - Maintain an overall rating of "green" on four of five PMA initiatives.	SSA achieved an overall rating of "green" on three of five PMA initiatives.
2006	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Receive an unqualified opinion on SSA's financial statements from the auditors	SSA received an unqualified audit opinion on the financial statements in 2004.	Receive an unqualified opinion	Received a unqualified opinion
2006	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Continue to achieve 2%, on average, annual productivity improvements	2.8%	2006 - 2%	2.49% on average
2006	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances	Get to "green" on four of five President's Management Agenda (PMA) initiatives.	2004 - Achieved a status score of "green" on three of five PMA initiatives.	2006 - Achieve a status score of "green" on four of five PMA initiatives.	Achieved a status score of "green" on four of five PMA initiatives

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	and assets to link resources effectively to performance outcomes.				
2007	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Receive an unqualified opinion on SSA's financial statements from the auditors	Received an unqualified opinion	Receive an unqualified opinion	
2007	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Continue to achieve 2%, on average, annual productivity improvements	2006 - 2.49% on average	2007 - 2%	
2007	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets to link resources effectively to performance outcomes.	Get to "green" on the President's Management Agenda (PMA) initiatives status scores.	Achieved a status score of "green" on four of five PMA initiatives	2007 - Achieve a status score of "green" on five of five PMA initiatives.	
2008	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Receive an unqualified opinion on SSA's financial statements from the auditors		Receive an unqualified opinion	
2008	To ensure superior stewardship of Social Security programs and resources: Manage Agency finances and assets; to link resources effectively to performance outcomes.	Continue to achieve 2%, on average, annual productivity improvements		Increase Agency productivity by 2% annually on average.	
2008	To ensure superior stewardship of Social Security	Get to "green" on the President's Management		2008 - Maintain a status score of "green" on five of	

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	programs and resources: Manage Agency finances and assets to link resources effectively to performance outcomes.	Agenda (PMA) initiatives status scores.		five PMA initiatives.	

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results

I.E. Security and Privacy

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:
Yes

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.
Yes

3. Systems in Planning - Security Table:

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated	NIST FIPS 199 Risk	Has C&A been Completed, using NIST	Date C&A Complete	What standards were used for	Date Complete(d): Security	Date the contingency plan tested

	System?	Impact level	800-37?		the Security Controls tests?	Control Testing	
Social Security Online Accounting and Reporting System - SSOARS	Government Only	Low	Yes	7/23/2004	NIST 800-26	7/27/2006	1/9/2006

5. Have any weaknesses related to any of the systems part of or supporting this investment been identified by the agency or IG?
No
   a. If "yes," have those weaknesses been incorporated agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?
No
   a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, validated by the agency for the contractor systems above?
This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
Social Security Online Accounting and Reporting System - SSOARS	No	No.	No, because the PIA has not been prepared.	Yes	Yes, because the existing Privacy Act system of records was substantially revised in FY 06.

I.F. Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.
1. Is this investment included in your agency's target enterprise architecture?
Yes
   a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?
Yes
   a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.
Social Security Online Accounting and Reporting System (SSOARS)
   b. If "no," please explain why?

3. Service Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Oracle Data Recovery System	Oracle Data Recovery System	Back Office Services	Data Management	Data Recovery	Data Recovery	016-00-01-01-02-2129-00	Internal	0
SSA Financial Data Warehouse	SSA Financial Data Warehouse	Back Office Services	Data Management	Data Warehouse	Data Warehouse	016-00-01-01-02-2129-00	Internal	0
RAC	Oracle Real Application Cluster	Back Office Services	Development and Integration	Instrumentation and Testing	Instrumentation and Testing	016-00-01-01-02-2129-00	Internal	0
SSOARS Data Exchange Utility	Social Security Online Accounting and Reporting System data exchange utility	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-01-01-02-2129-00	Internal	0
Oracle Federal Financials	SSA's accounting system	Back Office Services	Financial Management	Billing and Accounting	Billing and Accounting	016-00-01-01-02-2129-00	Internal	0
SSOARS Accounts Payable	Social Security Online Accounting and Reporting System	Back Office Services	Financial Management	Credit / Charge	Credit / Charge	016-00-01-01-02-2129-00	Internal	0
SSOARS Accounts Receivable	Social Security Online Accounting and Reporting System	Back Office Services	Financial Management	Debt Collection	Debt Collection	016-00-01-01-02-2129-00	Internal	0
SSOARS Internal Controls	SSOARS Internal Controls	Back Office Services	Financial Management	Internal Controls	Internal Controls	016-00-01-01-02-2129-00	Internal	0
SSOARS Accounts Payable	Social Security Online Accounting and Reporting System	Back Office Services	Financial Management	Payment / Settlement	Payment / Settlement	016-00-01-01-02-2129-00	Internal	0
Oracle Federal Financials Revenue Management	Oracle Federal Financials Revenue Management	Back Office Services	Financial Management	Revenue Management	Revenue Management	016-00-01-01-02-2129-00	Internal	0
SSOARS FIS Reports	Social Security Online Accounting and Reporting System (SSOARS) Financial Information System (FIS) reports.	Business Analytical Services	Reporting	Ad Hoc	Ad Hoc	016-00-01-01-02-2129-00	Internal	0
SSOARS FIS Reports	Social Security Online Accounting and	Business Analytical Services	Reporting	OLAP	OLAP	016-00-01-01-02-2129-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	Reporting System (SSOARS) Financial Information System (FIS) reports.							
SSOARS FIS Reports	Social Security Online Accounting and Reporting System (SSOARS) Financial Information System (FIS) reports.	Business Analytical Services	Reporting	Standardized / Canned	Standardized / Canned	016-00-01-01-02-2129-00	Internal	0
PolicyNet	PolicyNet is a one-stop guide to SSA policy and reference material on the Intranet.	Business Management Services	Management of Processes	Business Rule Management	Business Rule Management	016-00-01-01-02-2130-00	Internal	0
MKS SIE	Mortice Kern Systems (MKS) Source Integrity Enterprise (SIE) is a comprehensive, project-oriented, software configuration management tool that provides version control over changes to application software	Business Management Services	Management of Processes	Change Management	Change Management	016-00-01-04-02-2132-00	Internal	0
Oracle Federal Financials Document Tracking	Oracle Federal Financials Document Tracking	Business Management Services	Supply Chain Management	Invoice / Requisition Tracking and Approval	Invoice / Requisition Tracking and Approval	016-00-01-01-02-2129-00	Internal	0
SSOARS FIS Reports	Oracle Reports Services	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-02-02-2130-00	Internal	0
SSOARS FIS Portal	Real time web access financial management information	Digital Asset Services	Knowledge Management	Information Sharing	Information Sharing	016-00-01-01-02-2129-00	Internal	0
Oracle Federal Financials Search	Oracle Federal Financials Search	Support Services	Search	Classification	Classification	016-00-01-01-02-2129-00	Internal	0
Oracle Pattern Matching	Oracle Pattern Matching is the search for various patterns in long texts	Support Services	Search	Pattern Matching	Pattern Matching	016-00-01-01-02-2129-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
SSOARS FIS Query	Social Security Online Accounting and Reporting System - Financial Information System Query facility	Support Services	Search	Query	Query	016-00-01-01-02-2129-00	Internal	0
Top Secret	TOP SECRET provides user information with the purpose of restricting access among SSA components.	Support Services	Security Management	Access Control	Access Control	016-00-02-00-01-2210-00	Internal	0
Oracle Roles and Responsibilities	Oracle Roles and Responsibilities uses Oracle Single Sign On (SSO) using Microsoft Active Directory and Oracle Identity Management	Support Services	Security Management	Access Control	Access Control	016-00-01-01-02-2129-00	Internal	0
Oracle Audit Trail Reporting	Oracle Audit Trail Reporting	Support Services	Security Management	Audit Trail Capture and Analysis	Audit Trail Capture and Analysis	016-00-01-01-02-2129-00	Internal	0
Oracle Audit Trail Reporting	Oracle Audit Trail Reporting	Support Services	Security Management	Audit Trail Capture and Analysis	Audit Trail Capture and Analysis	016-00-01-01-02-2129-00	Internal	0
Oracle Roles and Responsibilities	Oracle Roles and Responsibilities uses Oracle Single Sign On (SSO) using Microsoft Active Directory and Oracle Identity Management.	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-01-01-02-2129-00	Internal	0

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.
A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Legacy Integration	Component Framework	Data Interchange	Data Exchange	SSOARS Data Exchange Utility
OLAP	Component Framework	Data Management	Reporting and Analysis	Oracle Discoverer Reports; Oracle Portal technology.
Data Warehouse	Component Framework	Data Management	Reporting and Analysis	Oracle Financial Data Warehouse
Access Control	Component Framework	Security	Supporting Security Services	Oracle Roles and Responsibilities; Oracle Profile Settings
Access Control	Component Framework	Security	Supporting Security Services	TopSecret
Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications	SSOARSFIS; Oracle Portal technology
Instrumentation and Testing	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Revenue Management	Service Access and Delivery	Access Channels	Web Browser	Oracle Federal Financials, Oracle Portal Technology
Invoice / Requisition Tracking and Approval	Service Access and Delivery	Access Channels	Web Browser	Oracle Federal Financials; Document Tracking Capability
Credit / Charge	Service Access and Delivery	Access Channels	Web Browser	Oracle Federal Financials; Oracle Portal Technology
Payment / Settlement	Service Access and Delivery	Access Channels	Web Browser	Oracle Federal Financials; Oracle Portal Technology
Business Rule Management	Service Access and Delivery	Delivery Channels	Intranet	
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	
Business Rule Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Instrumentation and Testing	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Audit Trail Capture and Analysis	Service Access and Delivery	Service Requirements	Legislative / Compliance	Oracle Audit Trail Reporting.
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Pin and Password Access to the LAN; Oracle pin and password authentication; Password rules and restrictions; Oracle Profile Settings.
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Query	Service Access and Delivery	Service Requirements	Legislative / Compliance	SSOARSFIS; Oracle Portal technology
Billing and Accounting	Service Access and Delivery	Service Transport	Supporting Network Services	Oracle Federal Financials
Debt Collection	Service Access and Delivery	Service Transport	Supporting Network Services	Oracle Federal Financials

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Access Control	Service Interface and Integration	Integration	Middleware	CICS
Business Rule Management	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Classification	Service Interface and Integration	Interoperability	Data Format / Classification	Oracle API (Application Program Interface); Batch Input Process.
Business Rule Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Business Rule Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Auditing	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Oracle Audit Trail reporting; C&A testing; Independent Audits
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Ad Hoc	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Oracle Discoverer reports; Oracle Portal technology
Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Oracle Discoverer reports; Oracle Portal technology
Internal Controls	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Dedicated Servers; Oracle Real Application Clusters
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Dedicated Servers; Oracle Real Application Clusters; Separate Test/validation servers and pre-production/production servers
Pattern Matching	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Dedicated Servers; Separate Oracle Instances; Batch Processing and Scheduling.
Data Recovery	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Dedicated Servers; Separate Oracle Instances; Oracle Real Application Clusters
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Domino Enterprise Server
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	MKS; Mercury Test Director; SSA Change Management Policy
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Separate Oracle Instances for test, validation, pre-production and production.
Business Rule Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows .Net

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications
In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?
No
   a. If "yes," please describe.

6. Does this investment provide the public with access to a government automated information system?
No
   a. If "yes," does customer access require specific software (e.g., a specific web browser version)?

     1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)

III.A. Risk Management

Part III should be completed only for investments which will be in "Operation and Maintenance" (Steady State) in FY 2008, i.e., selected the "Operation and Maintenance" choice in response to Question 6 in Part I, Section A above.
You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.
Answer the following questions to describe how you are managing investment risks.
1. Does the investment have a Risk Management Plan?
Yes
   a. If "yes," what is the date of the plan?
6/30/2006
   b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
No
   c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

   a. If "yes," what is the planned completion date?

   b. If "no," what is the strategy for managing the risks?

III.B. Cost and Schedule Performance

1. Was operational analysis conducted?
Yes
   a. If "yes," provide the date the analysis was completed.
7/14/2006
   b. If "yes," what were the results?
An independent contractor was engaged to review the current state of SSA's financial operations. There were seven areas of analysis: (1) Business Processes (2) Infrastructure (3) Performance Measurement (4) Financial Policies and Practices (5) Customers and Customer Service (6) Organization/Human Capital and (7) Service Offerings. The contractor interviewed various SSA executives and managers and discussed the scope and functions within the organizations performing financial and supporting operations. Additionally, the systems aspects of financial operations, such as the use of Oracle Federal Financials and the current hardware configurations, were reviewed. A gap analysis was performed according to the seven areas cited above to identify any deficiencies. There were no deficiencies noted and SSOARS is meeting performance expectations. The review of the "as is" state of SSA's financial operations concluded that SSA excels in its

current business and core mission. SSA operational financial management has a centralized organizational structure and strong culture with a highly qualified staff and low turnover. This culture has a strong focus on results, service excellence and improvement. This is evidenced by strong PMA scores in the area of financial management. SSA's core financial system infrastructure is a multi-tier architecture with dedicated servers for applications and database tiers and has established the appropriate level of technology security and disaster recovery in its data center. The analysis reviewed the financial organization which use or support the operation of SSOARS as well as other organizations which supply or receive information from SSOARS. These organizations include activities, such as budget, procurement, systems, and human resources. External systems which supply to or receive data from SSOARS were also reviewed. These were systems, such as procurement, cost accounting, financial reporting, time and attendance, supply, travel, and third party payment. The level of integration of these systems with SSOARS was also examined. SSOARS uses the latest Oracle application and database software versions and has continually met project timelines within the budget cost projections. Interfaces with feeder systems have been established to the maximum extent possible. In addition to this review of SSOARS, there are several audits and reviews performed by outside certified public accounting firms conducted annually

c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: