

Exhibit 300: Part I: Summary Information and Justification (All Capital Assets)

I.A. Overview

1. Date of Submission:

9/11/2006

2. Agency:

Social Security Administration

3. Bureau:

Systems

4. Name of this Capital Asset:

Disability Service Improvement

5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)

016-00-01-02-01-2138-00

6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2006

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

In July 2005, the SSA Commissioner announced a notice of proposed rule making (NPRM) in the Federal Register outlining the agency's plan to improve the disability determination process. In formulating a new disability determination process, SSA was guided by three questions that the President of the U.S. posed in the spring of 2002: First, why does it take so long to make a disability decision? Second, why can't people who are obviously disabled get a decision immediately? And third, why would a beneficiary risk attempting to work after having gone through such a long disability determination process only to be found to be disabled? The SSA Deputy Commissioner of Systems (DCS) office, with sponsorship from the Office of Disability and Income Security programs (ODISP), is coordinating the technical development and systems implementation to support the DSI initiative. This investment is aimed at improving the accuracy, consistency, and timeliness of decision making throughout the disability determination process from initial determination to appeals hearing. The business process and technology improvement initiatives focus on achieving five objectives: (1) create a quick decision process for people who are obviously disabled; (2) reduce the total time necessary to process a disability claim by approximately 25%; (3) improve both the accuracy and consistency of claims adjudication decisions; (4) reinforce accountability at each step of the claims processing life cycle; and (5) remove barriers faced by citizens who can and wish to return to work. The core business processes of DSI include Quick Disability Determination (QDD), Medical and Vocational Expert Unit (MVEU), Federal Reviewing Official (FedRO), Administrative Law Judge (ALJ), Decision Review Board (DRB), Document Decision, In-line and End-of-line Quality Assurance (QA). The DSI investment is near the end of the Planning Phase in the Capital Planning Investment Control process. An Initial Concept Exhibit 300 was submitted for the FY 2007 budget year. DSI is in the later stage of a Concept of Operations (CONOPS) phase of the project maturity cycle, and both a Business Process Description (BPD) and Systems Impact Assessment (SIA) have been completed. The IT enhancements of the DSI investment will first be rolled out in the Boston Region in the last quarter of 2006, followed by eventual implementation to the remaining SSA regions.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

a. If "yes," what was the date of this approval?

7/13/2006

10. Did the Project Manager review this Exhibit?

Yes

11. Removed

12. Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.

Yes

a. Will this investment include electronic assets (including computers)?

Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," check all that apply:

Competitive Sourcing, Expanded E-Government, Human Capital, Budget Performance Integration

13a. Briefly describe how this asset directly supports the identified initiative(s)?

Human Capital: Develops computer skills which attracts tech-savvy employees. Expand E-Government: Secure access to SSA data outside of the legacy systems for the MVEUs. Budget Performance Integration: Performance measures are linked to outcomes that impact the public. Work demonstration projects reduce the reliance on trust fund expenditures. Competitive Sourcing: Medical/vocational experts provide expert opinion for cases. Competitively sourced In-line/End-of-line QA, QDD Predictive Model.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes

a. If "yes," does this investment address a weakness found during the PART review?

Yes

b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

See FY 05 DI PART (e-Dib reference) Q 3.4, 3.7; See FY 06 SSI PART (e-Dib reference) Q 2.1, 2.6, 3.4, 3.7

c. If "yes," what PART rating did it receive?

Moderately Effective

15. Is this investment for information technology?

Yes

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

Yes

19. Is this a financial management system?

No

a. If "yes," does this investment address a FFIA compliance area?

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware

0

Software

0

Services

46.811000

Other

53.189000

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

22. Removed

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.B. Summary of Funding

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual

cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Planning Budgetary Resources	3.84	2.709	1.866	1.62
Acquisition Budgetary Resources	2.371	34.413	13.756	23.517
Subtotal Planning & Acquisition Budgetary Resources	6.211	37.122	15.622	25.137
Operations & Maintenance Budgetary Resources	0	0	0.822	1.323
TOTAL Budgetary Resources	6.211	37.122	16.444	26.46
Government FTE Costs Budgetary Resources	1.316	19.958	28.169	27.708
Number of FTE represented by Costs:	13	195	259	238

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes:

I.C. Acquisition/Contract Strategy

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded?	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order	Is this an Interagency Acquisition?	Is it performance based?	Competitively awarded?	What, if any, alternative financing option is being used?	Is EVM in the contract?	Does the contract include the required security and privacy clauses?
0440-03-42036	BPA & FFP	Yes	9/1/2003	10/1/2003	9/30/2008	10000	No	No	Yes	NA	No	Yes
SS00-05-60011	T&M	Yes	11/15/2004	11/15/2004	9/29/2011	525124.153	No	Yes	Yes	NA	No	Yes
0440-03-4225A, Call number 73	FFP	Yes	1/30/2006	1/24/2006	1/23/2007	2108.54	No	No	No	NA	No	Yes
SS00-06-30888	GSA Schedule delivery order	Yes	7/28/2006	7/31/2006	1/30/2007	950	No	Yes	No	NA	No	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's earned value management (EVM) policy has been certified as consistent with OMB guidance and the ANSI standards defining a compliant EVM. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. Earned value management requirements are applied to SSA contractors in two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS). The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

3. Do the contracts ensure Section 508 compliance?

Yes

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

a. If "yes," what is the date?

9/6/2006

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

I.D. Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure. Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2005	Customer Results	Service Quality	Accuracy of Service or Product Delivered	DDS net accuracy rate (allowances & denials combined)	96%	97%	96%
2005	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for initial disability claims	95 days	93 days	93 days
2005	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for hearings	391 days	442 days	415 days
2005	Customer	Timeliness and	Delivery Time	Average	251 days	250 days	242 days

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Results	Responsiveness		processing time for hearings appeals			
2005	Mission and Business Results	Administrative Management	Workplace Policy Development And Management	Get to "green" on the President's Management Agenda (PMA) initiative status scores	Achieve a status score of "green" on three of five PMA initiatives	Achieve a status score of "green" on four of five PMA initiatives	Achieved a status score of "green" on three of five PMA initiatives
2005	Mission and Business Results	Community and Social Services	Social Services	Number of SSI disabled beneficiaries earning at least \$100 per month	232,775	255,637	237,782
2005	Processes and Activities	Productivity and Efficiency	Productivity	Number of initial disability claims processed by DDS	2,574,848	2,677,000	2,617,231
2005	Processes and Activities	Productivity and Efficiency	Productivity	Continue to achieve 2%, on average, annual productivity improvements	2.2%	2%	2.8%
2005	Processes and Activities	Productivity and Efficiency	Productivity	DDS case production per workyear	273	278	260
2005	Processes and Activities	Productivity and Efficiency	Productivity	SSA hearings case production per workyear	100	103	102
2005	Processes and Activities	Productivity and Efficiency	Productivity	Number of SSA hearings processed	497,379	525,000	519,359
2006	Customer Results	Service Quality	Accuracy of Service or Product Delivered	DDS net accuracy rate (allowances & denials combined)	96%	97%	96% (Estimated)
2006	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for initial disability claims	93 days	93 days	88 days
2006	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for hearings appeals	242 days	242 days	203 days
2006	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for hearings	415 days	467 days	483 days
2006	Mission and Business Results	Administrative Management	Workplace Policy Development And	Get to "green" on the President's Management	Achieve a status score of "green" on	Achieve a status score of "green" on four of five	Achieved a status score of "green" on

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
			Management	Agenda (PMA) initiative status scores	three of five PMA initiatives	PMA initiatives	four of five PMA initiatives
2006	Mission and Business Results	Community and Social Services	Social Services	Number of SSI disabled beneficiaries earning at least \$100 per month	237,782	5% over baseline (249,671)	247,143
2006	Processes and Activities	Productivity and Efficiency	Productivity	Number of Initial Disability Claims processed by DDS	2,617,231	2,663,000	2,532,264
2006	Processes and Activities	Productivity and Efficiency	Productivity	DDS case production per workyear	260	262	241
2006	Processes and Activities	Productivity and Efficiency	Productivity	Continue to achieve 2%, on average, annual productivity improvements	2.8%	2%	2.49% on average
2006	Processes and Activities	Productivity and Efficiency	Productivity	Number of SSA hearings processed	519,359	560,000	558,978
2006	Processes and Activities	Productivity and Efficiency	Productivity	SSA hearings case production per workyear	102	104	100
2007	Customer Results	Service Quality	Accuracy of Service or Product Delivered	DDS net accuracy rate (allowances and denials combined)	2006 - 96% (estimated)	97%	
2007	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for initial disability claims	88 days	88 days	
2007	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for hearings	483 days	524 days	
2007	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for hearing appeals	203 days	242 days	
2007	Mission and Business Results	Administrative Management	Workplace Policy Development And Management	Get to "green" on the President's Management Agenda (PMA) initiative status scores	Achieved a status score of "green" on four of five PMA initiatives	Achieve a status score of "green" on five of five PMA initiatives	
2007	Mission and Business Results	Community and Social Services	Social Services	Number of DI and SSI beneficiaries, with tickets	24,784	80% above CY 2004 baseline (44,611)	

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
				assigned, who work			
2007	Mission and Business Results	Community and Social Services	Social Services	Number of SSI disabled beneficiaries earning at least \$100 per month	237,782	10% over baseline (261,560)	
2007	Processes and Activities	Productivity and Efficiency	Productivity	Percent of initial disability claims receipts processed up to the budgeted level	2,532,264	100% (2,530,000)	
2007	Processes and Activities	Productivity and Efficiency	Productivity	Continue to achieve 2%, on average, annual productivity improvements	2006 - 2.49% on average	2% on average	
2007	Processes and Activities	Productivity and Efficiency	Productivity	DDS case production per workyear	241	252	
2007	Processes and Activities	Productivity and Efficiency	Productivity	SSA hearings case production per workyear	100	106	
2007	Processes and Activities	Productivity and Efficiency	Productivity	Number of SSA hearings processed	558,978	541,000	
2008	Customer Results	Service Quality	Accuracy of Service or Product Delivered	DDS net accuracy rate (allowances and denials combined)	TBD	97%	
2008	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for SSA hearings	TBD	541 days	
2008	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for initial disability claims	TBD	90 days	
2008	Customer Results	Timeliness and Responsiveness	Delivery Time	Average processing time for hearing appeals	TBD	290 days	
2008	Mission and Business Results	Administrative Management	Workplace Policy Development And Management	Get to "green" on the President's Management Agenda (PMA) initiative status scores	TBD	Maintain a status score of "green" on five of five PMA initiatives	
2008	Mission and Business Results	Community and Social Services	Social Services	Number of DI and SSI beneficiaries, with tickets assigned, who	TBD	Establish new baseline	

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
				work			
2008	Mission and Business Results	Community and Social Services	Social Services	Number of SSI disabled beneficiaries earning at least \$100 per month	237,782	15% over baseline (273,449)	
2008	Processes and Activities	Productivity and Efficiency	Productivity	Percent of initial disability claims receipts processed up to the budgeted level	TBD	100% (2,462,000)	
2008	Processes and Activities	Productivity and Efficiency	Productivity	Continue to achieve 2%, on average, annual productivity improvements	TBD	2% on average	
2008	Processes and Activities	Productivity and Efficiency	Productivity	DDS case production per workyear	TBD	267	
2008	Processes and Activities	Productivity and Efficiency	Productivity	SSA hearings case production per workyear	TBD	108	
2008	Processes and Activities	Productivity and Efficiency	Productivity	Number of SSA hearings processed	TBD	548,000	

I.E. Security and Privacy

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s. Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:

Yes

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.

Yes

3. Systems in Planning - Security Table:

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date
Electronic Disability System	Government Only	12/18/2006	7/18/2006

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
Electronic	Government	Moderate	Yes	7/18/2006	FIPS 200 /	5/16/2006	1/13/2006

Disability System	Only				NIST 800-53		
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5. Have any weaknesses related to any of the systems part of or supporting this investment been identified by the agency or IG?

No

a. If "yes," have those weaknesses been incorporated agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

No

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, validated by the agency for the contractor systems above?

This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
Electronic Disability System	No	Yes.	Yes.	Yes	No, because the existing Privacy Act system of records was not substantially revised in FY 06.

I.F. Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?

Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Disability Service Improvements

b. If "no," please explain why?

3. Service Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Connect: Direct	Connect: Direct automates the secure movement of large volumes of data between distributed applications	Back Office Services	Data Management	Data Exchange	Data Exchange	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	within and between enterprises.							
RAID	Redundant Array of Independent Disks (RAID) is a disk subsystem architecture that uses multiple hard drives to write data to achieving redundancy and enhancing fault resilience.	Back Office Services	Data Management	Data Recovery	Data Recovery	016-00-01-04-02-2132-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	016-00-02-00-01-2210-00	Internal	0
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Back Office Services	Data Management	Loading and Archiving	Loading and Archiving	016-00-01-04-02-2132-00	Internal	0
DRMS	Data Resource Management System - It is a tool for designers, analysts, and programmers to use during the various phases	Back Office Services	Data Management	Meta Data Management	Meta Data Management	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	of the Software Life Cycle. The DRMS is used to maintain data integrity. It supports programmers working with both CICS and Data Base Architecture applications.							
eView	eView is an application that enables users involved in case processing to view and/or print the disability information contained in the Electronic Folder.	Back Office Services	Development and Integration	Data Integration	Data Integration	016-00-01-02-02-2130-00	Internal	0
AIF, JWICS	The AIF (Application Interface Facility) is a common interface between SSA's application programs and various Database Management Systems such as IDMS and MADAM. Java Websphere Initiated CICS Servers (JWICS) is a set of Java Classes and resources files which allow remote procedure call communication between a Java Websphere Application Server Client and a CICS Cobol Server program.	Back Office Services	Development and Integration	Legacy Integration	Legacy Integration	016-00-01-04-02-2132-00	Internal	0
QDD Predictive Model	QDD Predictive Model is a product that screens new claims to help	Business Analytical Services	Knowledge Discovery	Modeling	Modeling	016-00-01-02-02-2130-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	identify the likelihood of an award that can be decided quickly.							
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Business Analytical Services	Visualization	Imagery	Imagery	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Business Management Services	Management of Processes	Change Management	Change Management	016-00-02-00-01-2210-00	Internal	0
MKS	Mortice Kern Systems (MKS) Source Integrity Enterprise (SIE) is a comprehensive, project-oriented, software configuration management (SCM) tool that provides version control over changes to application software.	Business Management Services	Management of Processes	Change Management	Change Management	016-00-01-04-02-2132-00	Internal	0
QA2	QA2 enforces the completion of an System Release Certification through its interface with the online and batch release processes.	Business Management Services	Management of Processes	Configuration Management	Configuration Management	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Content Management	Tagging and Aggregation	Tagging and Aggregation	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Classification	Classification	016-00-02-00-01-2210-00	Internal	0
TIFF	Tagged Image File Format (TIFF) is a file format for mainly storing images. It is a flexible and adaptable file format and can handle multiple images and data in a single file through the inclusion of "tags" in the file header.	Digital Asset Services	Document Management	Document Conversion	Document Conversion	016-00-01-04-02-2132-00	Internal	0
FECS	FECS is the software used to provide the front-end capture capabilities needed to process the DMA unstructured data.	Digital Asset Services	Document Management	Document Imaging and OCR	Document Imaging and OCR	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the	Digital Asset Services	Document Management	Document Revisions	Document Revisions	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.							
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Indexing	Indexing	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Indexing	Indexing	016-00-02-00-01-2210-00	Internal	0
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless	Digital Asset Services	Document Management	Indexing	Indexing	016-00-01-04-02-2132-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	environment.							
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Library / Storage	Library / Storage	016-00-02-00-01-2210-00	Internal	0
MADAM	The Master File Data Access Method (MADAM) is an in-house access method designed to access SSA's major master records.	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-04-02-2132-00	Internal	0
EDCS	The Electronic Disability Collect System (EDCS) is the electronic version of the paper disability forms. Information is collected in the same sequence, using the same questions as the paper forms.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-01-02-02-2130-00	Internal	0
FECS	FECS is the software used to provide the front-end capture capabilities needed to process the DMA unstructured data.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-02-00-01-2210-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that	Digital Asset Services	Records Management	Document Classification	Document Classification	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	previously have been housed in paper folders.							
CFRMS	The Claim File Records Management System (CFRMS) provides a consolidated view of the electronic claims file for the purpose of records and content management.	Digital Asset Services	Records Management	Document Retirement	Document Retirement	016-00-01-02-02-2130-00	Internal	0
Electronic Records Express	Electronic Records Express is the method by which medical providers submit medical records electronically. Once electronically submitted, medical records become a part of the Electronic Folder. DDS examiners have the ability to view medical records online along with disability data collected by the Field Office.	Process Automation Services	Routing and Scheduling	Inbound Correspondence Management	Inbound Correspondence Management	016-00-01-02-02-2130-00	Internal	0
CFRMS	The Claim File Records Management System (CFRMS) provides a consolidated view of the electronic claims file for the purpose of records and content management.	Process Automation Services	Tracking and Workflow	Case Management	Case Management	016-00-01-02-02-2130-00	Internal	0
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images,	Support Services	Collaboration	Document Library	Document Library	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	documents, and forms that previously have been housed in paper folders.							
ORS	The Online Retrieval System (ORS) provides the ability to view any notice that has been sent to a customer. ORS also stores the notices in an exact image of the original, thus allowing SSA to adhere to Federal regulations on retention of documents, and move closer to an efficient, paperless environment.	Support Services	Collaboration	Document Library	Document Library	016-00-01-04-02-2132-00	Internal	0
eTrust, Top Secret, iESI	eTrust SSO provides internal SSA end users a login option (leveraging Microsoft Active Directory login) that allows them to more effectively manage UserIDs and passwords for multiple applications (Internet, Intranet and/or CISC) each one with unique sign-on requirements. TOP SECRET is the security software running on all of SSA's mainframe systems. iESI is Internet/Intranet Enterprise Security Interface.	Support Services	Security Management	Access Control	Access Control	016-00-02-00-01-2210-00	Internal	0
Top Secret	TOP SECRET is the security software running on all of SSA's	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	mainframe systems.							
CDW	The Consolidated Development Worksheet (CDW) allows users to view the issues, remarks and reports of contact from active Modernized Claim System, Modernized Supplemental Security Income Claim Systems and Representative Payee System records.	Support Services	Systems Management	Issue Tracking	Issue Tracking	016-00-01-02-02-2130-00	Internal	0

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Legacy Integration	Component Framework	Business Logic	Platform Dependent	ALC
Legacy Integration	Component Framework	Business Logic	Platform Dependent	COBOL 3
Issue Tracking	Component Framework	Business Logic	Platform Dependent	COBOL 3
Information Retrieval	Component Framework	Business Logic	Platform Dependent	Java Servlet (JSR 53)
Configuration Management	Component Framework	Business Logic	Platform Dependent	Visual Basic .Net (VB.Net)
Knowledge Capture	Component Framework	Business Logic	Platform Independent	Enterprise Java Beans (EJB)
Inbound Correspondence	Component Framework	Business Logic	Platform Independent	Enterprise Java Beans (EJB)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Management				
Legacy Integration	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Inbound Correspondence Management	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Access Control	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Modeling	Component Framework	Business Logic	Platform Independent	Java Servlet (JSR 53)
Document Retirement	Component Framework	Data Interchange	Data Exchange	Simple Object Access Protocol (SOAP)
Inbound Correspondence Management	Component Framework	Data Interchange	Data Exchange	Web Services User Interface (WSUI)
Configuration Management	Component Framework	Data Management	Database Connectivity	Active Data Objects .Net (ADO.Net)
Information Retrieval	Component Framework	Data Management	Database Connectivity	BDAM
Document Retirement	Component Framework	Data Management	Database Connectivity	DB2 Connector
Library / Storage	Component Framework	Data Management	Database Connectivity	DB2 Connector
Document Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Indexing	Component Framework	Data Management	Database Connectivity	DB2 Connector
Change Management	Component Framework	Data Management	Database Connectivity	DB2 Connector
Classification	Component Framework	Data Management	Database Connectivity	DB2 Connector
Meta Data Management	Component Framework	Data Management	Database Connectivity	DB2 Connector
Loading and Archiving	Component Framework	Data Management	Database Connectivity	DB2 Connector
Library / Storage	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Indexing	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Imagery	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Document Revisions	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Tagging and Aggregation	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Change Management	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Classification	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Inbound Correspondence Management	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Configuration Management	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Data Exchange	Component Framework	Data Management	Database Connectivity	Resource Description Framework (RDF)
Case Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Configuration	Component	Presentation /	Dynamic Server-	Active Server Pages .Net

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Management	Framework	Interface	Side Display	(ASP.Net)
Inbound Correspondence Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extensions (S/MIME)
Information Retrieval	Component Framework	Security	Supporting Security Services	TopSecret
Meta Data Management	Component Framework	Security	Supporting Security Services	TopSecret
Identification and Authentication	Component Framework	Security	Supporting Security Services	TopSecret
Document Imaging and OCR	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Legacy Integration	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	Facsimile (Fax)
Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Legacy Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Case Management	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Knowledge Capture	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Knowledge Capture	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Imagery	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Loading and Archiving	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Document Conversion	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Modeling	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service
Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Tagging and Aggregation	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Document Revisions	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Case Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Internet	
Modeling	Service Access and Delivery	Delivery Channels	Intranet	
Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	
Document Retirement	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Information Retrieval	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Imaging	Service Access and Delivery	Service	Hosting	Internal (within Agency)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
and OCR	Delivery	Requirements		
Knowledge Capture	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Imagery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Tagging and Aggregation	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Revisions	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Indexing	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Library / Storage	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Classification	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Loading and Archiving	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Document Library	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Legacy Integration	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Issue Tracking	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Data Recovery	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Modeling	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Document Imaging and OCR	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Inbound Correspondence Management	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Inbound Correspondence Management	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Legacy Integration	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Inbound Correspondence Management	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Multipurpose Internet Mail Extensions (MIME)
Document Imaging and OCR	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Issue Tracking	Service Interface	Integration	Middleware	CICS

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	and Integration			
Access Control	Service Interface and Integration	Integration	Middleware	CICS
Identification and Authentication	Service Interface and Integration	Integration	Middleware	CICS
Data Exchange	Service Interface and Integration	Integration	Middleware	Message-Oriented Middleware (MOM): IBM Websphere MQ
Inbound Correspondence Management	Service Interface and Integration	Integration	Middleware	Message-Oriented Middleware (MOM): IBM Websphere MQ
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Inbound Correspondence Management	Service Interface and Integration	Interface	Service Description / Interface	Web Services Description Language (WSDL)
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	BDAM
Imagery	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Tagging and Aggregation	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Revisions	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Classification	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Change Management	Service Platform and Infrastructure	Database / Storage	Database	Content Manager
Document Retirement	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Indexing	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Document Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Change Management	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Classification	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Meta Data Management	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Issue Tracking	Service Platform and Infrastructure	Database / Storage	Database	IDMS
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Document Conversion	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Case Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Document Retirement	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Indexing	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Revisions	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Tagging and Aggregation	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Imagery	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Issue Tracking	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Meta Data Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Loading and Archiving	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Identification and Authentication	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Direct Access Storage Device (DASD)
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Scanner
Knowledge Capture	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Modeling	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Document Retirement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Indexing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Legacy Integration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Issue Tracking	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Change Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Classification	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Inbound Correspondence Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Document Library	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Identification and Authentication	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Mainframe
Case Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows.Net
Imagery	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Tagging and Aggregation	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Classification	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Legacy Integration	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Knowledge Capture	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Inbound Correspondence Management	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Access Control	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Document Conversion	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)
Modeling	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications
In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

a. If "yes," please describe.

6. Does this investment provide the public with access to a government automated information system?

No

a. If "yes," does customer access require specific software (e.g., a specific web browser version)?

1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Exhibit 300: Part II: Planning, Acquisition and Performance Information

II.A. Alternatives Analysis

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A- 94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?

Yes

a. If "yes," provide the date the analysis was completed?

2/7/2006

b. If "no," what is the anticipated date this analysis will be completed?

c. If no analysis is planned, please briefly explain why:

2. Removed

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

Corresponding to the phased implementation of the DSI investment, a sequential business case evaluation process was outlined to assess the value-added of project initiatives in terms of IT capability, process efficiencies, and financial benefits. Phase One of the CBA centered on a cost analysis completed in June 2005. Phase Two, completed in February 2006, utilized a best practices Quality Function Deployment (QFD) study to compare the IT functionality and capability of the Status Quo environment to the enhanced, To Be DSI environment. Using an Analytical Hierarchy Process (AHP), The QFD analysis produced a capabilities-based ROI multiple of 6.82, representing the value of functional IT capabilities for the To Be DSI environment (485.23) in comparison to the Status Quo environment (62.06). Phase Three of the CBA will estimate the quantified financial benefits of the DSI investment which were outlined in the QFD. This final phase of the CBA will leverage the data and findings derived from the Boston rollout, scheduled in the last quarter of FY 2006, as well as data from the rollout to the Denver region, scheduled to follow the Boston region. Alternative 1, the Hybrid solution, was selected as the Preferred Alternative because it recognizes the cross-cutting dependencies of existing systems and that DSI must be implemented as more of an "umbrella project" that leverages existing system interfaces. This alternative embraces a "building block" approach of capabilities that support a fully electronic disability file process through the entire life of a disability case. The Hybrid solution builds upon a suite of infrastructure components including the Electronic Disability Collection System (EDCS), Electronic Viewer (eView), Electronic Forms (eForms), and the Electronic Folder Interface (EFI) to support processing of reopened claims/cases, and systems which allow SSA disability office components to track and manage Electronic Folder (EF) workload tasks.

4. What specific qualitative benefits will be realized?

DSI will provide the following high level qualitative benefits: -Significantly reduce average disability determination processing time -Increase decisional consistency and accuracy -Augment and strengthen medical and vocational expertise for disability adjudicators at all levels of the disability determination process -Ensure that the right determination or decision is made as early as possible -Strengthen quality review mechanisms of the disability determination process Process re-engineering will be highlighted by the creation of key processing units and stronger quality review mechanisms. -Quick Disability Determination (QDD): QDD is an initial determination made on certain cases/claims selected by a predictive model that, based upon certain information provided during intake of the claim, was able to identify cases that reflect a high degree of probability that the claimant will be found disabled and that the claimant has readily available medical evidence. -Medical and Vocational Expert Unit (MVEU): Will provide medical and vocational expertise (state, federal and private experts) for adjudicators at each level for the disability determination process. -Federal Reviewing Official (FedRO): Will review initial State agency denials if a claimant requested such review. The FedRO will not conduct hearings but will issue decisions based on a review of the record. This role will be supported by the implementation of a new case processing system. -Decision Review Board (DRB): A DRB will be established to select and review all Administrative Law Judge (ALJ) decisions and to handle dismissals. The DRB will assume other review functions currently performed by the Appeals Council. This will be supported by the implementation of a new case processing system. -In-Line and End-of-Line: The current Disability Quality Branch review of state agency claims will be replaced with a centrally-managed quality assurance system that will perform independent end-of-line reviews of targeted cases; perform a random sample of all cases; and provide for an in-line quality process performed by state agencies. -Decisional Support Tools: Currently, the eDib system lacks decision support tools. DSI will permit integration of existing tools with the electronic folder in the near term. Longer-term decision support tools will be aimed at guiding case development and at supporting uniform claim and decisional documentation at all levels of the disability process.

II.B. Risk Management

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?

Yes

a. If "yes," what is the date of the plan?

1/26/2006

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event required.

II.C. Cost and Schedule Performance

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?

Yes

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

a. What is the Planned Value (PV)?

27.648000

b. What is the Earned Value (EV)?

26.954000

c. What is the actual cost of work performed (AC)?

26.861000

d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?

Contractor and Government

e. "As of" date:

9/30/2006

3. What is the calculated Schedule Performance Index (SPI = EV/PV)?

0.970000

4. What is the schedule variance (SV = EV-PV)?

-0.694000

5. What is the calculated Cost Performance Index (CPI = EV/AC)?

1.000000

6. What is the cost variance (CV=EV-AC)?

0.093000

7. Is the CV% or SV% greater than +/- 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)

No

a. If "yes," was it the?

b. If "yes," explain the variance:

c. If "yes," what corrective actions are being taken?

8. Have any significant changes been made to the baseline during the past fiscal year?

Yes

8. If "yes," when was it approved by OMB?

09/2006