## **Complete Summary**

### **GUIDELINE TITLE**

Screening for chronic obstructive pulmonary disease using spirometry: U.S Preventive Services Task Force recommendation statement.

## **BIBLIOGRAPHIC SOURCE(S)**

U.S. Preventive Services Task Force. Screening for chronic obstructive pulmonary disease using spirometry: U.S. Preventive Services Task Force recommendation statement. Ann Intern Med 2008 Apr 1;148(7):529-34. <a href="PubMed">PubMed</a>

### **GUIDELINE STATUS**

This is the current release of the guideline.

### **COMPLETE SUMMARY CONTENT**

SCOPE

METHODOLOGY - including Rating Scheme and Cost Analysis RECOMMENDATIONS

EVIDENCE SUPPORTING THE RECOMMENDATIONS

BENEFITS/HARMS OF IMPLEMENTING THE GUIDELINE RECOMMENDATIONS QUALIFYING STATEMENTS

IMPLEMENTATION OF THE GUIDELINE

INSTITUTE OF MEDICINE (IOM) NATIONAL HEALTHCARE QUALITY REPORT CATEGORIES

IDENTIFYING INFORMATION AND AVAILABILITY DISCLAIMER

### SCOPE

## **DISEASE/CONDITION(S)**

Chronic obstructive pulmonary disease

## **GUIDELINE CATEGORY**

Prevention Screening

### **CLINICAL SPECIALTY**

Family Practice Internal Medicine Preventive Medicine Pulmonary Medicine

### **INTENDED USERS**

Advanced Practice Nurses Allied Health Personnel Health Care Providers Nurses Physician Assistants Physicians

## **GUIDELINE OBJECTIVE(S)**

To summarize the U.S. Preventive Services Task Force (USPSTF) recommendations and supporting scientific evidence on screening for chronic obstructive pulmonary disease (COPD) using spirometry

### TARGET POPULATION

Adult general population (i.e., healthy adults who do not recognize or report respiratory symptoms to a clinician)

**Note**: This guideline does not apply to individuals with a family history of alpha-1 antitrypsin deficiency.

### INTERVENTIONS AND PRACTICES CONSIDERED

Note: The following was considered but not recommended.

Screening for chronic obstructive pulmonary disease (COPD) using spirometry

### **MAJOR OUTCOMES CONSIDERED**

**Key Question 1**: Does screening for chronic obstructive pulmonary disease (COPD) with spirometry reduce morbidity and mortality?

**Key Question 2**: What is the prevalence of COPD in the general population? Do risk factors reliably discriminate between high-risk and average-risk populations?

**Key Question 3**: What are the adverse effects of screening for COPD with spirometry?

**Key Question 4**: Do individuals with COPD detected by screening spirometry have improved smoking cessation rates compared with usual smokers?

**Key Question 5**: Does pharmacologic treatment, oxygen therapy, or pulmonary rehabilitation for COPD reduce morbidity and mortality?

**Key Question 6**: What are the adverse effects of COPD treatments?

**Key Question 7**: Do influenza and pneumococcal immunizations reduce COPD-associated morbidity and mortality?

**Key Question 8**: What are the adverse effects of influenza and pneumococcal immunizations in patients with COPD?

### METHODOLOGY

## METHODS USED TO COLLECT/SELECT EVIDENCE

Hand-searches of Published Literature (Primary Sources)
Hand-searches of Published Literature (Secondary Sources)
Searches of Electronic Databases

## **DESCRIPTION OF METHODS USED TO COLLECT/SELECT THE EVIDENCE**

**Note from the National Guideline Clearinghouse (NGC)**: A review of the literature and an evidence synthesis were prepared by Agency for Healthcare Research and Quality (AHRQ) staff for use by the U.S. Preventive Services Task Force (USPSTF) (see the "Availability of Companion Documents" field).

### **Methods**

In addition to summarizing evidence previously synthesized in a 2005 AHRQ report and in two subsequent updated reviews, AHRQ staff performed, at the request of the USPSTF, supplemental literature searches for evidence that chronic obstructive pulmonary disease (COPD) screening programs reduce morbidity and mortality, evidence of harms from spirometry and COPD treatments, and new evidence on spirometry's use as an independent motivational tool for smoking cessation. (See the Evidence Synthesis [see "Availability of Companion Documents" field] for information about the previous systematic reviews.)

### **Data Sources**

Supplemental searches were limited to English-language articles identified in PubMed and the Cochrane Library. AHRQ staff searched for studies from 1966 through December 2006 that addressed key questions 1 and 3. They searched for studies published in 2005 and 2006 that addressed key question 4. They searched for systematic reviews published from 1997 through January 2007 that addressed key questions 6, 7, and 8. The Appendix (available at <a href="www.annals.org">www.annals.org</a>) provides detailed search terms. Additional potentially relevant studies were identified by reviewing the reference lists of retrieved articles and consulting with experts.

## **Study Selection**

Two authors independently reviewed all titles, abstracts, and full articles by using explicit inclusion and exclusion criteria for each key question (see Appendix, available at <a href="https://www.annals.org">www.annals.org</a>). Abstracts that were selected by fewer than 2 reviewers were discussed and selected on the basis of consensus. Studies of spirometry were considered regardless of whether the testing was performed in a pulmonary function laboratory or in an office setting.

For questions on benefits of screening and treatment, randomized, controlled trials (RCTs); systematic reviews; and meta-analyses were included. For questions on harms, nonrandomized studies that were generalizable to primary care populations were also included.

#### NUMBER OF SOURCE DOCUMENTS

Not stated

## METHODS USED TO ASSESS THE QUALITY AND STRENGTH OF THE EVIDENCE

**Expert Consensus** 

### RATING SCHEME FOR THE STRENGTH OF THE EVIDENCE

Not applicable

### METHODS USED TO ANALYZE THE EVIDENCE

Review of Published Meta-Analyses Systematic Review with Evidence Tables

### **DESCRIPTION OF THE METHODS USED TO ANALYZE THE EVIDENCE**

**Note from the National Guideline Clearinghouse (NGC)**: A review of the literature and an evidence synthesis were prepared by Agency for Healthcare Research and Quality (AHRQ) staff for use by the U.S. Preventive Services Task Force (USPSTF) (see the "Availability of Companion Documents" field).

## **Data Extraction and Quality Assessment**

Two authors independently reviewed the text of studies selected for full article review to determine whether the studies met eligibility criteria for inclusion. Two authors rated the quality of studies that met inclusion criteria by using established USPSTF methods (Appendix Table 1, available at <a href="https://www.annals.org">www.annals.org</a>). Disagreements in quality rating were resolved by consensus.

### **Data Synthesis and Statistical Analysis**

With one exception, data were synthesized qualitatively in narrative and tabular format because of the heterogeneity of patient characteristics, study methods, and/or outcome assessments. Selected health outcomes of chronic obstructive pulmonary disease (COPD) treatments were synthesized quantitatively in a 2007 review but were not further meta-analyzed for this review.

Projected outcomes of population-based screening for COPD using spirometry were estimated by using data on the prevalence of airflow obstruction in the general U.S. population and pooled effectiveness of inhaled therapies at reducing the absolute risk for COPD exacerbations.

### METHODS USED TO FORMULATE THE RECOMMENDATIONS

Balance Sheets Expert Consensus

## DESCRIPTION OF METHODS USED TO FORMULATE THE RECOMMENDATIONS

The U.S. Preventive Services Task Force (USPSTF) systematically reviews the evidence concerning both the benefits and harms of widespread implementation of a preventive service. It then assesses the certainty of the evidence and the magnitude of the benefits and harms. On the basis of this assessment, the USPSTF assigns a letter grade to each preventive service signifying its recommendation about provision of the service (see Table below). An important, but often challenging, step is determining the balance between benefits and harms to estimate "net benefit" (that is, benefits minus harms).

Table 1. U.S. Preventive Services Task Force Recommendation Grid\*

<b>Certainty of Net Benefit</b>	Magnitude of Net Benefit			
	Substantial	Moderate	Small	Zero/Negative
High	Α	В	C	D
Moderate	В	В	С	D
Low		Insuff	icient	

\*A, B, C, D, and Insufficient represent the letter grades of recommendation or statement of insufficient evidence assigned by the U.S. Preventive Services Task Force after assessing certainty and magnitude of net benefit of the service (see the "Rating Scheme for the Strength of the Recommendations" field.

The overarching question that the Task Force seeks to answer for every preventive service is whether evidence suggests that provision of the service would improve health outcomes if implemented in a general primary care population. For screening topics, this standard could be met by a large randomized, controlled trial (RCT) in a representative asymptomatic population with follow-up of all members of both the group "invited for screening" and the group "not invited for screening."

Direct RCT evidence about screening is often unavailable, so the Task Force considers indirect evidence. To guide its selection of indirect evidence, the Task Force constructs a "chain of evidence" within an analytic framework. Each arrow in the framework defines a key question, and each key question represents a link in the chain of evidence. Rectangles in the framework represent the intermediate outcomes (rounded corners) or the health outcomes (square corners); ovals represent harms. To form an unbroken chain, evidence must support each link in the chain, thereby connecting the target population (far left side of the framework) to the improved health outcome (far right side of the framework). For each key question, the body of pertinent literature is critically appraised, focusing on the following 6 questions:

- 1. Do the studies have the appropriate research design to answer the key question(s)?
- 2. To what extent are the existing studies of high quality? (i.e., what is the internal validity?)
- 3. To what extent are the results of the studies generalizable to the general U.S. primary care population and situation? (i.e., what is the external validity?)
- 4. How many studies have been conducted that address the key question(s)? How large are the studies? (i.e., what is the precision of the evidence?)
- 5. How consistent are the results of the studies?
- 6. Are there additional factors that assist us in drawing conclusions (e.g., presence or absence of dose-response effects, fit within a biologic model)?

The next step in the Task Force process is to use the evidence from the key questions to assess whether there would be net benefit if the service were implemented. In 2001, the USPSTF published an article that documented its systematic processes of evidence evaluation and recommendation development. At that time, the Task Force's overall assessment of evidence was described as good, fair, or poor. The Task Force realized that this rating seemed to apply only to how well studies were conducted and did not fully capture all of the issues that go into an overall assessment of the evidence about net benefit. To avoid confusion, the USPSTF has changed its terminology. Whereas individual study quality will continue to be characterized as good, fair, or poor, the term *certainty* will now be used to describe the Task Force's assessment of the overall body of evidence about net benefit of a preventive service and the likelihood that the assessment is correct. Certainty will be determined by considering all 6 questions listed above; the judgment about certainty will be described as high, moderate, or low.

In making its assessment of certainty about net benefit, the evaluation of the evidence from each key question plays a primary role. It is important to note that the Task Force makes recommendations for real-world medical practice in the United States and must determine to what extent the evidence for each key question—even evidence from screening RCTs or treatment RCTs—can be applied to the general primary care population. Frequently, studies are conducted in highly selected populations under special conditions. The Task Force must consider differences between the general primary care population and the populations studied in RCTs and make judgments about the likelihood of observing the same effect in actual practice.

It is also important to note that 1 of the key questions in the analytic framework refers to the potential harms of the preventive service. The Task Force considers the evidence about the benefits and harms of preventive services separately and equally. Data about harms are often obtained from observational studies because harms observed in RCTs may not be representative of those found in usual practice and because some harms are not completely measured and reported in RCTs.

Putting the body of evidence for all key questions together as a chain, the Task Force assesses the certainty of net benefit of a preventive service by asking the 6 major questions listed above. The Task Force would rate a body of convincing evidence about the benefits of a service that, for example, derives from several RCTs of screening in which the estimate of benefits can be generalized to the

general primary care population as "high" certainty (see the "Rating Scheme for the Strength of Recommendations" field). The Task Force would rate a body of evidence that was not clearly applicable to general practice or has other defects in quality, research design, or consistency of studies as "moderate" certainty. Certainty is "low" when, for example, there are gaps in the evidence linking parts of the analytic framework, when evidence to determine the harms of treatment is unavailable, or when evidence about the benefits of treatment is insufficient. Table 4 in the methodology document listed below (see "Availability of Companion Documents" field) summarizes the current terminology used by the Task Force to describe the critical assessment of evidence at all 3 levels: individual studies, key questions, and overall certainty of net benefit of the preventive service.

Sawaya GF et al., Update on the methods of the U.S. Preventive Services Task Force: estimating certainty and magnitude of net benefit. Ann Intern Med. 2007;147:871-875 [5 references].

## RATING SCHEME FOR THE STRENGTH OF THE RECOMMENDATIONS

# What the United States Preventive Services Task Force (USPSTF) Grades Mean and Suggestions for Practice

Grade	Grade Definitions	Suggestions for Dynatics
		Suggestions for Practice
A	The USPSTF recommends the service. There is high certainty that the net benefit is substantial.	Offer or provide this service.
В	The USPSTF recommends the service. There is high certainty that the net benefit is moderate or there is moderate certainty that the net benefit is moderate to substantial.	Offer or provide this service.
С	The USPSTF recommends against routinely providing the service. There may be considerations that support providing the service in an individual patient. There is moderate or high certainty that the net benefit is small.	Offer/provide this service only if there are other considerations in support of the offering/providing the service in an individual patient.
D	The USPSTF recommends against the service. There is moderate or high certainty that the service has no net benefit or that the harms outweigh the benefits.	Discourage the use of this service.
I Statement	The USPSTF concludes that the current evidence is insufficient to assess the balance of benefits and harms of the service. Evidence is lacking, of poor quality or conflicting, and the balance of benefits and harms cannot be determined.	Read "Clinical Considerations" section of USPSTF Recommendation Statement (see "Major Recommendations" field). If offered, patients should understand the uncertainty about the balance of benefits and harms.

## **USPSTF Levels of Certainty Regarding Net Benefit**

**Definition**: The U.S. Preventive Services Task Force defines certainty as "likelihood that the USPSTF assessment of the net benefit of a preventive service is correct." The net benefit is defined as benefit minus harm of the preventive service as implemented in a general, primary care population. The USPSTF assigns a certainty level based on the nature of the overall evidence available to assess the net benefit of a preventive service.

Level of Certainty	Description
High	The available evidence usually includes consistent results from well-designed, well-conducted studies in representative primary care populations. These studies assess the effects of the preventive service on health outcomes. This conclusion is therefore unlikely to be strongly affected by the results of future studies.
Moderate	The available evidence is sufficient to determine the effects of the preventive service on health outcomes, but confidence in the estimate is constrained by factors such as:
	<ul> <li>The number, size, or quality of individual studies</li> <li>Inconsistency of findings across individual studies</li> <li>Limited generalizability of findings to routine primary care practice</li> <li>Lack of coherence in the chain of evidence</li> </ul>
	As more information becomes available, the magnitude or direction of the observed effect could change, and this change may be large enough to alter the conclusion.
Low	The available evidence is insufficient to assess effects on health outcomes. Evidence is insufficient because of:
	<ul> <li>The limited number or size of studies</li> <li>Important flaws in study design or methods</li> <li>Inconsistency of findings across individual studies</li> <li>Gaps in the chain of evidence</li> <li>Findings not generalizable to routine primary care practice</li> <li>A lack of information on important health outcomes</li> </ul>
	More information may allow an estimation of effects on health outcomes.

### **COST ANALYSIS**

A formal cost analysis was not performed and published cost analyses were not reviewed.

## **METHOD OF GUIDELINE VALIDATION**

Comparison with Guidelines from Other Groups External Peer Review Internal Peer Review

### **DESCRIPTION OF METHOD OF GUIDELINE VALIDATION**

<u>Peer Review</u>. Before the U.S. Preventive Services Task Force makes its final determinations about recommendations on a given preventive service, the Evidence-Based Practice Center and the Agency for Healthcare Research and Quality send a draft evidence review to 4 to 6 external experts and to federal agencies and professional and disease-based health organizations with interests in the topic. They ask the experts to examine the review critically for accuracy and completeness and to respond to a series of specific questions about the document. After assembling these external review comments and documenting the proposed response to key comments, the topic team presents this information to the Task Force in memo form. In this way, the Task Force can consider these external comments and a final version of the systematic review before it votes on its recommendations about the service. Draft recommendation statements are then circulated for comment from reviewers representing professional societies, voluntary organizations and Federal agencies. These comments are discussed before the final recommendations are confirmed.

<u>Comparison with Guidelines from Other Groups</u>. Recommendations for screening from the following groups were discussed: the American College of Physicians, the Global Initiative for Chronic Obstructive Lung Disease, the American Thoracic Society, and the European Respiratory Society.

### **RECOMMENDATIONS**

### **MAJOR RECOMMENDATIONS**

The US Preventive Services Task Force (USPSTF) grades its recommendations (A, B, C, D, or I) and identifies the Levels of Certainty regarding Net Benefit (High, Moderate, and Low). The definitions of these grades can be found at the end of the "Major Recommendations" field.

### **Summary of Recommendations and Evidence**

The USPSTF recommends against screening adults for chronic obstructive pulmonary disease (COPD) using spirometry. **This is a grade D recommendation**.

### **Clinical Considerations**

## **Patient Population**

This recommendation applies to healthy adults who do not recognize or report respiratory symptoms to a clinician. It does not apply to individuals with a family history of alpha1-antitrypsin deficiency. For individuals who present to clinicians reporting chronic cough, increased sputum production, wheezing, or dyspnea, spirometry would be indicated as a diagnostic test for COPD, asthma, and other pulmonary diseases.

## **Risk Assessment**

Screening for COPD would theoretically benefit adults with a high probability of severe airflow obstruction who might benefit from inhaled therapies. Risk factors for COPD include current or past tobacco use, exposure to occupational and environmental pollutants, and older age. However, even in groups with the greatest prevalence of airflow obstruction, hundreds of patients would need to be screened with spirometry to defer 1 exacerbation. For example, under the best-case assumptions about response to therapy, an estimated 455 adults between 60 and 69 years of age would need to be screened to defer one exacerbation.

### **Screening Tests**

Spirometry can be performed in a primary care physician's office or in a pulmonary testing laboratory. The USPSTF did not review evidence comparing the accuracy of spirometry performed in the primary care versus referral settings.

## Other Approaches to Prevention

Regardless of the presence or absence of airflow obstruction, all current smokers should receive smoking cessation counseling and be offered pharmacologic therapies demonstrated to increase cessation rates. All patients 50 years of age or older should be offered influenza vaccine annually. All patients 65 years of age or older should be offered pneumococcal vaccine.

### **Useful Resources**

The USPSTF strongly recommends that clinicians screen all adults for tobacco use and provide tobacco cessation interventions for those who use tobacco products. The USPSTF recommendation on counseling to prevent tobacco use, along with supporting evidence, is available on the Agency for Healthcare Research and Quality's Web site (www.ahrq.qov/clinic/uspstf/uspstbac.htm).

### **Definitions:**

# What the United States Preventive Services Task Force (USPSTF) Grades Mean and Suggestions for Practice

Grade	Grade Definitions	Suggestions for Practice
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В	The USPSTF recommends the service. There is high certainty that the net benefit is moderate or there is moderate certainty that the net benefit is moderate to substantial.	Offer or provide this service.
С	routinely providing the service.	Offer/provide this service only if there are other considerations in support of the offering/providing the service in an individual patient.

Grade	Grade Definitions	Suggestions for Practice
	net benefit is small.	
D	The USPSTF recommends against the service. There is moderate or high certainty that the service has no net benefit or that the harms outweigh the benefits.	Discourage the use of this service.
I Statement	The USPSTF concludes that the current evidence is insufficient to assess the balance of benefits and harms of the service. Evidence is lacking, of poor quality or conflicting, and the balance of benefits and harms cannot be determined.	Read "Clinical Considerations" section of USPSTF Recommendation Statement (see "Major Recommendations" field). If offered, patients should understand the uncertainty about the balance of benefits and harms.

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Level of Certainty	Description
High	The available evidence usually includes consistent results from well-designed, well-conducted studies in representative primary care populations. These studies assess the effects of the preventive service on health outcomes. This conclusion is therefore unlikely to be strongly affected by the results of future studies.
Moderate	The available evidence is sufficient to determine the effects of the preventive service on health outcomes, but confidence in the estimate is constrained by factors such as:  • The number, size, or quality of individual studies • Inconsistency of findings across individual studies • Limited generalizability of findings to routine primary care practice • Lack of coherence in the chain of evidence  As more information becomes available, the magnitude or direction of the observed effect could change, and this change may be large enough to alter the conclusion.
Low	The available evidence is insufficient to assess effects on health outcomes. Evidence is insufficient because of:  • The limited number or size of studies • Important flaws in study design or methods • Inconsistency of findings across individual studies

Level of Certainty	Description		
	<ul> <li>Gaps in the chain of evidence</li> <li>Findings not generalizable to routine primary care practice</li> <li>A lack of information on important health outcomes</li> </ul>		
	More information may allow an estimation of effects on health outcomes		

## **CLINICAL ALGORITHM(S)**

None available

### **EVIDENCE SUPPORTING THE RECOMMENDATIONS**

#### TYPE OF EVIDENCE SUPPORTING THE RECOMMENDATIONS

The type of supporting evidence is not specifically stated for each recommendation.

## BENEFITS/HARMS OF IMPLEMENTING THE GUIDELINE RECOMMENDATIONS

### **POTENTIAL BENEFITS**

### **Benefits of Detection and Early Treatment**

- All individuals with chronic obstructive pulmonary disease (COPD), including
  those with mild or moderate illness, would benefit from smoking cessation
  and annual influenza vaccination. However, fair evidence shows that providing
  smokers with spirometry results does not independently improve cessation
  rates. And although fair evidence suggests that influenza vaccination reduces
  COPD exacerbations, no studies have examined whether performing
  spirometry increases influenza vaccination rates.
- Good evidence suggests that pharmacologic therapy prevents exacerbations (worsening of symptoms, requiring medical care) but does not affect hospitalizations or all-cause mortality among symptomatic individuals who have been smokers in the past ("ever smokers"), who are 40 years of age or older, and who have severe or very severe COPD (forced expiratory volume in 1 second (FEV<sub>1</sub>) <50% of predicted).</li>
- Fair evidence shows that both pharmacologic therapy and pulmonary rehabilitation improve respiratory-related health status measures, but the relationship of these measures to clinically meaningful functional outcomes is not well established. Fair evidence also shows that supplemental oxygen reduces mortality in individuals with resting hypoxia.
- Whether individuals who do not recognize or report symptoms but meet spirometric criteria for a diagnosis of severe to very severe COPD would benefit from pharmacologic treatment to the same degree as symptomatic individuals, or at all, is not known. Benefits experienced by individuals who do not recognize or report symptoms are unlikely to be greater than those in symptomatic individuals.

- The evidence suggests that the potential benefit of spirometry-based screening for COPD is the prevention of 1 exacerbation or more by treating patients with previously undetected airflow obstruction. By definition, an exacerbation requires medical care. Although an unknown proportion of patients who present with clinical symptoms of an exacerbation does not receive a COPD diagnosis, the incremental benefit of early detection over clinical diagnosis for the remainder of patients would, at most, be a deferral of the first exacerbation.
- These incremental benefits are judged to be no greater than small.

### **POTENTIAL HARMS**

## **Harms of Detection and Early Treatment**

- The opportunity costs (time and effort required by both patients and the
  health care system) associated with screening for chronic obstructive
  pulmonary disease (COPD) using spirometry are large even in populations at
  higher risk. The physical performance of spirometry has not been associated
  with adverse effects. Fair evidence indicates that spirometry can lead to
  substantial overdiagnosis of COPD in "never smokers" older than age 70
  years, and that it produces fewer false-positive results in other healthy adults.
- Good evidence suggests that pharmacologic therapies are associated with adverse effects, including oropharyngeal candidiasis, easy bruising, dry mouth, urinary retention, and sinus tachycardia.
- These harms are judged to be no less than small.

## **QUALIFYING STATEMENTS**

### **QUALIFYING STATEMENTS**

- The U.S. Preventive Services Task Force (USPSTF) makes recommendations about preventive care services for patients without recognized signs or symptoms of the target condition.
- Recommendations are based on a systematic review of the evidence of the benefits and harms and an assessment of the net benefit of the service.
- The USPSTF recognizes that clinical or policy decisions involve more considerations than this body of evidence alone. Clinicians and policy-makers should understand the evidence but individualize decision making to the specific patient or situation.

## **IMPLEMENTATION OF THE GUIDELINE**

### **DESCRIPTION OF IMPLEMENTATION STRATEGY**

The experiences of the first and second U.S. Preventive Services Task Force (USPSTF), as well as that of other evidence-based guideline efforts, have highlighted the importance of identifying effective ways to implement clinical recommendations. Practice guidelines are relatively weak tools for changing clinical practice when used in isolation. To effect change, guidelines must be coupled with strategies to improve their acceptance and feasibility. Such strategies include enlisting the support of local opinion leaders, using reminder

systems for clinicians and patients, adopting standing orders, and audit and feedback of information to clinicians about their compliance with recommended practice.

In the case of preventive services guidelines, implementation needs to go beyond traditional dissemination and promotion efforts to recognize the added patient and clinician barriers that affect preventive care. These include clinicians' ambivalence about whether preventive medicine is part of their job, the psychological and practical challenges that patients face in changing behaviors, lack of access to health care or of insurance coverage for preventive services for some patients, competing pressures within the context of shorter office visits, and the lack of organized systems in most practices to ensure the delivery of recommended preventive care.

Dissemination strategies have changed dramatically in this age of electronic information. While recognizing the continuing value of journals and other print formats for dissemination, the Agency for Healthcare Research and Quality will make all U.S. Preventive Services Task Force (USPSTF) products available through its <a href="Web site">Web site</a>. The combination of electronic access and extensive material in the public domain should make it easier for a broad audience of users to access U.S. Preventive Services Task Force materials and adapt them for their local needs. Online access to U.S. Preventive Services Task Force products also opens up new possibilities for the appearance of the annual, pocket-size *Guide to Clinical Preventive Services*.

To be successful, approaches for implementing prevention have to be tailored to the local level and deal with the specific barriers at a given site, typically requiring the redesign of systems of care. Such a systems approach to prevention has had notable success in established staff-model health maintenance organizations, by addressing organization of care, emphasizing a philosophy of prevention, and altering the training and incentives for clinicians. Staff-model plans also benefit from integrated information systems that can track the use of needed services and generate automatic reminders aimed at patients and clinicians, some of the most consistently successful interventions. Information systems remain a major challenge for individual clinicians' offices, however, as well as for looser affiliations of practices in network-model managed care and independent practice associations, where data on patient visits, referrals, and test results are not always centralized.

### **IMPLEMENTATION TOOLS**

Foreign Language Translations
Patient Resources
Personal Digital Assistant (PDA) Downloads
Pocket Guide/Reference Cards
Tool Kits

For information about <u>availability</u>, see the "Availability of Companion Documents" and "Patient Resources" fields below.

# INSTITUTE OF MEDICINE (IOM) NATIONAL HEALTHCARE QUALITY REPORT CATEGORIES

### **IOM CARE NEED**

Staying Healthy

### **IOM DOMAIN**

Effectiveness Patient-centeredness

## **IDENTIFYING INFORMATION AND AVAILABILITY**

## **BIBLIOGRAPHIC SOURCE(S)**

U.S. Preventive Services Task Force. Screening for chronic obstructive pulmonary disease using spirometry: U.S. Preventive Services Task Force recommendation statement. Ann Intern Med 2008 Apr 1;148(7):529-34. PubMed

### **ADAPTATION**

Not applicable: The guideline was not adapted from another source.

## **DATE RELEASED**

2008 Mar

## **GUIDELINE DEVELOPER(S)**

United States Preventive Services Task Force - Independent Expert Panel

### **GUIDELINE DEVELOPER COMMENT**

The U.S. Preventive Services Task Force (USPSTF) is a federally-appointed panel of independent experts. Conclusions of the U.S. Preventive Services Task Force do not necessarily reflect policy of the U.S. Department of Health and Human Services (DHHS) or its agencies.

### **SOURCE(S) OF FUNDING**

United States Government

### **GUIDELINE COMMITTEE**

U.S. Preventive Services Task Force (USPSTF)

### **COMPOSITION OF GROUP THAT AUTHORED THE GUIDELINE**

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\*Members of the Task Force at the time this recommendation was finalized. For a list of current Task Force members, go to <a href="www.ahrq.gov/clinic/uspstfab.htm">www.ahrq.gov/clinic/uspstfab.htm</a>.

## FINANCIAL DISCLOSURES/CONFLICTS OF INTEREST

The U.S. Preventive Services Task Force has an explicit policy concerning conflict of interest. All members disclose at each meeting if they have a significant financial, professional/business, or intellectual conflict for each topic being discussed. Task Force members with conflicts may be recused from discussing or voting on recommendations about the topic in question.

### **GUIDELINE STATUS**

This is the current release of the guideline.

### **GUIDELINE AVAILABILITY**

Electronic copies: Available from the <u>U.S. Preventive Services Task Force</u> (<u>USPSTF</u>) Web site and from the <u>Annals of Internal Medicine Web site</u>.

Print copies: Available from the Agency for Healthcare Research and Quality (AHRQ) Publications Clearinghouse. For more information, go to <a href="http://www.ahrq.gov/news/pubsix.htm">http://www.ahrq.gov/news/pubsix.htm</a> or call 1-800-358-9295 (U.S. only).

### **AVAILABILITY OF COMPANION DOCUMENTS**

The following are available:

Evidence Reviews:

- Lin K, Watkins B, Johnson T, Rodriguez JA, Barton MB. Screening for Chronic Obstructive Pulmonary Disease Using Spirometry: Summary of the Evidence for the U.S. Preventive Services Task Force. Evidence Synthesis No. 59. AHRQ Publication No. 08-05113-EF-1. Rockville, Maryland: Agency for Healthcare Research and Quality, March 008. Electronic copies: Available from the <u>U.S. Preventive Services Task Force (USPSTF) Web site</u> and the <u>Annals of Internal Medicine Web site</u>.
- Screening for chronic obstructive pulmonary disease using spirometry: clinical summary of U.S. Preventive Services Task Force recommendations. 2008.
   Electronic copies: Available in Portable Document Format (PDF) from the U.S. Preventive Services Task Force (USPSTF) Web site. Electronic copies: Available from the U.S. Preventive Services Task Force (USPSTF) Web site.

## Background Articles:

- Barton M et al. How to read the new recommendation statement: methods update from the U.S. Preventive Services Task Force. Ann Intern Med. 2007;147:123-127.
- Guirguis-Blake J et al. Current processes of the U.S. Preventive Services Task Force: refining evidence-based recommendation development. Ann Intern Med. 2007;147:117-122. [2 references]
- Sawaya GF et al., Update on the methods of the U.S. Preventive Services Task Force: estimating certainty and magnitude of net benefit. Ann Intern Med. 2007;147:871-875. [5 references].

Electronic copies: Available from <u>U.S. Preventive Services Task Force (USPSTF)</u> <u>Web site</u>.

## The following is also available:

- The guide to clinical preventive services, 2007. Recommendations of the U.S. Preventive Services Task Force. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ), 2007. 228 p. Electronic copies available from the AHRO Web site.
- A step-by-step guide to delivering clinical preventive services: a systems approach. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ), 2002 May. 189 p. Electronic copies available from the <u>AHRQ Web site</u>. See the related QualityTool summary on the <u>Health Care Innovations Exchange Web site</u>.

Print copies: Available from the Agency for Healthcare Research and Quality Publications Clearinghouse. For more information, go to <a href="http://www.ahrq.gov/news/pubsix.htm">http://www.ahrq.gov/news/pubsix.htm</a> or call 1-800-358-9295 (U.S. only).

The <u>Electronic Preventive Services Selector (ePSS)</u>, available as a PDA application and a web-based tool, is a quick hands-on tool designed to help primary care clinicians identify the screening, counseling, and preventive medication services that are appropriate for their patients. It is based on current recommendations of the USPSTF and can be searched by specific patient characteristics such as age, sex, and selected behavioral risk factors.

### PATIENT RESOURCES

The following are available:

- Men: Stay Healthy at Any Age Checklist for Your Next Checkup. Rockville (MD): Agency for Healthcare Research and Quality. AHRQ Pub. No. 07-IP006-A. February 2007. Electronic copies: Available from the USPSTF Web site.
- Women: Stay Healthy at Any Age Checklist for Your Next Checkup. Rockville (MD): Agency for Healthcare Research and Quality. AHRQ Pub. No. 07-IP005-A. February 2007. Electronic copies: Available from the <u>USPSTF</u> Web site.

Print copies: Available from the Agency for Healthcare Research and Quality (AHRQ) Publications Clearinghouse. For more information, go to <a href="http://www.ahrq.gov/news/pubsix.htm">http://www.ahrq.gov/news/pubsix.htm</a> or call 1-800-358-9295 (U.S. only).

The following is also available:

Screening for chronic obstructive pulmonary disease: US Preventive Services
 Task Force recommendations. Summaries for patients. 2008 Apr. Available
 from the Annals of Internal Medicine Web site.

Please note: This patient information is intended to provide health professionals with information to share with their patients to help them better understand their health and their diagnosed disorders. By providing access to this patient information, it is not the intention of NGC to provide specific medical advice for particular patients. Rather we urge patients and their representatives to review this material and then to consult with a licensed health professional for evaluation of treatment options suitable for them as well as for diagnosis and answers to their personal medical questions. This patient information has been derived and prepared from a guideline for health care professionals included on NGC by the authors or publishers of that original guideline. The patient information is not reviewed by NGC to establish whether or not it accurately reflects the original guideline's content.

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