

**Error Codes and Messages Keyed to Record Types
Civilian/Uniformed Services**

Error Code	Record Type	Message	Notes
P9J ***1	16, 46	<p>Employee contribution will cause IRS elective deferral limit to be exceeded. Agency automatic (1%) contributions on record have been processed, however agency matching contributions have been rejected.</p> <p>The following variable message will be displayed on the error report for the payroll office to use to resubmit the record:</p> <p>Additional employee contributions allowed for year: \$99,999.99.</p> <p>[Condition: record contains agency automatic (1%) contributions]</p>	FERS Employees Only Stop Contributions
PC3	86, 88	Amount submitted will cause the participant to exceed the annual limit for catch-up contributions. Contributions in excess of the limit have been rejected.	Catch-Up Only Stop Contributions
PN1	16, 46	<p>Employee and/or agency matching contributions submitted for pay date falling within participant's non-contribution period (which resulted from a financial hardship in-service withdrawal). Agency automatic (1%) contributions on record have been processed.</p> <p>[Condition: record contains agency automatic (1%) contributions]</p>	Stop Contributions
PN2	26, 29	<p>Present value of the negative adjustment for at least one of the sources of contributions exceeds the amount currently in that source of contributions attributable to the process date. Requested adjustment for other source(s) has been processed.</p> <p>A variable message will show the source and amount of the rejected adjustment: EC = ; AA =; AM = . Reports 31504 and 31503 show the source and amount of the processed adjustment.</p>	Not Enough \$ to Process Negative Adjustments
PXS	06	<p>Participant is separated and has submitted change(s) to personal information. Payroll office cannot make changes to the participant's name, Social Security number, date of birth, or address.</p> <p>[Other changes have been made as requested.]</p>	Employee Must Update Information via the Website

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PXZ	All Detail Records	Part of the transaction has been rejected. Contact the Agency Technical Support Section for assistance.	System Issues
R01	All Header Records	Payroll office number on Header record is invalid or not established on data base.	Update Submission Information
R02	All Header Records	JV number on Header record is missing or invalid.	Update Submission Information
R03	All Header Records	Journal Voucher report not established on TSP data base.	Update Submission Information
R04	All Header Records	Current pay date on Header is not equal to current pay date on Journal Voucher.	Update Submission Information
R05	DHDR, 2-E, 2-EG	Calculation code on Header record is missing or invalid. Calculation code must equal calculation code on JV.	Update Submission Information
R06	All Header Records	JV number on Header record matches a JV number that has already been posted for this payroll number.	Update Submission Information
R09	All Detail Records	SSN is missing or invalid.	
R11	06	Date of birth (DOB) is not equal to DOB on data base and previous/erroneous DOB is blank.	
R13	06	Previous/erroneous SSN does not match a value on data base. SSN change not made.	Need Previous SSN for Employee
R14	06	Previous/erroneous SSN exists but DOB does not match DOB on data base. SSN change not made.	
R16	06	DOB is missing or invalid. [Invalid is an invalid calendar date.]	

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R17	06, 16, 46, L6, 86,88	<p>“As of” date or current pay date is invalid.</p> <p>[Invalid is a date that is not a calendar date, or is a date later than the current pay date on the Header record.]</p>	
R18	06	Last name of participant is missing.	
R26	06	Department and/or agency code is missing or invalid.	Coordinate with ATS as Necessary
R30	06	<p>TSP-SCD for FERS participant is missing or invalid and there is no TSP-SCD on data base.</p> <p>[Invalid is a date that is not valid calendar date or a date that is later than the current (process) date; If calendar date is valid but it is earlier than 1/1/84, error code is W9Z.]</p>	
R34	06	Employment code is invalid.	
R36	06	<p>Employment code date is missing or invalid. Failure to submit a new 06-Record with valid date may delay processing of a withdrawal.</p> <p>[Condition: EC on record equals T, S, D or B.</p> <p>Invalid is a date that is not a calendar date or a date that is later than the posting date or for a FERS participant, a date that is earlier than the TSP-SCD. In addition, for 06-civ, invalid is a date that is earlier than 1/1/87 and for)^-us, a date that is earlier that 1/1/02.]</p>	
R37	06	<p>Previous/erroneous date of birth (DOB) does not match DOB in data base for SSN.</p> <p>[Condition: DOB on record does not match DOB in data base; if DOB on record matches DOB on data base, issue warning below.]</p>	Need Previous DOB for Employee
R38	06	Previous/erroneous date or birth (DOB) matches DOB in data base, but DOB on record is invalid.	

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R39	All Detail Records	Duplicate record in this payroll submission. [Note: Although the effect of not processing a duplicate 06-Record is meaningless, this information needs to be relayed on the JV summary and error reports.]	
R40	26, 29	Attributable pay date later than current processing date.	
R41	26, 29	No matching payment record found for negative adjustment record.	
R42	26, 29	Attributable pay date prior to January 1, 2000.	
R43	All Detail Records	Data in numeric field is not numeric.	
R46	06	SSN merge/change pending. Employee data record must be resubmitted.	Timing Issue, Resubmit
R47	06	EDR change pending. SSN merge/change must be resubmitted.	Timing Issue, Resubmit
R48	All Detail Records except, 06-Record	Employee data record has not been submitted to establish account for SSN on record.	Personnel Office has to put the Employee in the System
R49	06	First Conversion EDR cannot be used to establish new account. Submit a separate EDR to establish account first, then submit first conversion data.	FERCCA
R84	All Header Records	Header record is missing or invalid.	
R85	All Trailer Records	Trailer record is missing or invalid.	

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R88	16, 46, 51, 71, 72, L6, 86, 88	Record contains negative contribution or loan payment amount.	No Negative (-) Signs Allowed
R94 For TSP ONLY	All JV's	The agency clearing account number is missing or invalid. The agency must provide such number to the ATS to ensure that the correct account is charged.	
R97 ***2	26, 29	Net contribution remaining for attributable pay date (APD) is less than amount of requested adjustment. The following variable message will be displayed on the error report for the payroll office to use if it wants to resubmit the negative adjustment record: Remaining contribution for APD: EC = \$99,999.99; AA = \$99,999.99; AM = \$99,999.99	Payment has Already been Adjusted and There is not Enough \$ to do Another Adjustment
R9E	TSP-2-E, TSP-2- EG	Loan payment cannot be submitted with Form TSP-2-E or TSP-EG.	
R9H	06, 16, 26, 46	"As of" date or attributable pay date reported is 12/31/86. Record must be resubmitted on separate JV reporting a current pay date of 12/31/86. [Condition: record is submitted with a JV containing current pay date other than 12/31/86]	Has to Be on a Separate JV FERCCA – Dealing with 1 st Conversion
R9J	16, 46	Employee contribution will cause IRS elective deferral limit to be exceeded. The following variable message will be displayed on the error report for the payroll office to use if it wants to resubmit the record: Additional employee contributions allowed for year: \$99,999.99. [Condition for 16 and 46 record – record contains <u>no agency automatic (1%) contributions</u>]	CSRS Employee

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R9L	46, 88	"As of" date is missing.	
RA1	26, 29	Negative adjustments with positive values are invalid.	No Positive (+) Signs Allowed
RA2	16, 46, 51, 86, 88	Retirement code is FICA only. Participant cannot make or receive contributions or lost earnings.	Not Eligible for TSP Check to Ensure Employee is FICA
RC1	86, 88	"As of" date is earlier than 08/01/2003. Catch-up contributions started August 2003.	For Catch-Up Contributions
RC2	86, 88	DOB in system indicates participant is not eligible to make catch-up contributions.	For Catch-Up Contributions Cannot be younger than 50 Years Old
RC3	86, 88	The participant has already contributed up to the annual limit for catch-up contributions. The entire amount has been rejected.	
RJV	2, 2-L, 2-G, 2-F	Grand total of dollars on Detail Records is more than grand total of dollars on Journal Voucher.	
RL1	L6	There is no outstanding loan for Social Security number provided.	FRB
RL2	L6	Loan number provided does not match loan number for Social Security number.	FRB
RL4	L6	Loan number missing or invalid but Social Security number has outstanding loan.	FRB
RM1	06	Status code and/or status code date is missing or invalid.	
RM3	06	Retirement code is missing or invalid.	
RMC	06-civ	Personnel office identifier is missing or invalid.	

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Error Code	Record Type	Message	Notes
RNO	DHD6, 16, 26, 46, 06	Current pay date on Header record is 12/31/86, but "as of" date or attributable pay date on Detail Records do not equal 12/31/86.	FERCCA
RN2	26, 29	Present value of the adjustment exceeds the amount currently in that source of contributions.	Hold Until \$ is in the Account; Then Process the Negative Adjustment
RN3 ***4	16, 46,	Contributions on record will cause the Internal Revenue Code limit at section 415(c) to be exceeded and therefore cannot be processed. The following variable message will be displayed on the error report to allow the payroll office to resubmit the record: Additional contributions allowed for the year: \$99,999.99	Primarily UNISERV
RN4	All Detail Records except, 06-Record	Record contains zero dollars. [Although the effect of not processing this is meaningless for participant or agency charge back, this information needs to be relayed on JV summary report.]	
RN5	16, 46, 86, 88	Employee and/or agency matching contributions submitted for pay date falling within participant's non-contribution period (which resulted from a financial hardship in-service withdrawal). [Condition for 16 and 46 record – no agency automatic (1%) contributions on record]	Generally a Late or Make-Up Payment; Probably USERRA
RN6	All Detail Records except, 06-Record	Participant is separated and account balance is zero. Requested transaction cannot be processed.	If A Back Pay Award or Settlement; Change the Separation Code, Deposit the \$, and Change the Code Back
RN7	Detail Records	Invalid record number or record type.	

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RN8	16, 46, L6	“As of” date is earlier than 3/31/87. [Condition: this earlier date is not 12/31/86]	FERCCA 2 nd Conversion
RN9	06	TSP vesting code for FERS participant is missing or invalid. [Condition: Invalid is anything but “2” or “3”]	
RND	06	Record not accompanied by payment record and SSN not on data base.	
RNE	06	Address is missing or invalid.	
RNH	All Header Records	Current pay date on Header record is missing or invalid.	
RNL	46,88 Late Payment Record	“As of” date on record is equal to current pay date on Header record. [Note: If 16 or 86 – Records contain such “as of” dates, all sources of contributions are posted as current contributions but no error is identified and no message goes back to the payroll office.]	Record Isn’t Late
RNR	06	Employee data record with previous erroneous Social Security number and previous erroneous date of birth is not allowed.	Can’t Change Both at the Same Time
RX1	16, 46, 86, 88	Participant allocation percentage not on file. Please contact ATSS.	
RXR	All Headers Records	Invalid record types; submission cannot be processed. [Condition: submission contains no valid record types]	
RXS	06	Participant is separated and has submitted change(s) to personal information. Payroll office cannot make changes to the participant’s name, Social Security number, date of birth or address. [No other changes were requested.]	Employee should submit changes directly to TSP.

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RXZ	All Detail Records	Transaction has been rejected. Contact the Agency Technical Support Section for assistance.	
RY1	16, 46	Employee and/or matching contributions not valid for "as of" date 12/31/1986.	FERCCA 1 st Conversion
RY2	16, 46	Payment record with "as of" date 12/31/1986 must be accompanied by 06 – record.	FERCCA 1 st Conversion
W08	DHDR, 51	Total contributions amount on JV not equal to summarized total on Detail Records.	
W10	06	Submission contained two Records for same Social Security number and both have been processed; the last processed is the resulting account data. <u>Check to see that this account data is correct.</u>	
W11	16, 26, 46, 51, 71, 72, 86, 88, 29	Date of birth (DOB) for SSN is not equal to DOB on data base.	
W18	06	Last name of participant begins with a non-alpha character.	
W29	06	Payroll office number on record is not equal to payroll office number on Header record. Payroll office number on record has been changed to payroll office number on Header record.	
W35	06	Employment code date not required for participant with employment code of blank or 'N'. The employment code date has been changed to 00/00/0000. If employment code is not "N" or blank, submit a new 06 – Record with correct employment code and employment code date.	
W46	TRLR, Detail Records	Total record count on Trailer record not equal to total Detail Records submitted.	

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W48	TRLR, 2-E, 2- EG	Total contributions amount on Trailer record not equal to total contributions amount on JV.	
W49	16, 26, 46, 51, 71, 72, 86, 88, 29	Department and/or agency code is missing or invalid or not equal to such code on Detail record.	
W50	TRLR, 2-E, 2EG	Total record count on Trailer record not equal to count on JV.	
W51	TRLR, 2-E, 2- EG	Total Records on file not equal to count on JV.	
W53	16, 46	Agency contributions submitted for CSRS participant.	Retirement Code Mismatch; Agency \$ Coming In
W67	TRLR for TSP-2, 2-G, 2-E, 2-EG	Employee total from contribution Details does not equal total on Trailer.	Update Submission Information
W69	TRLR for TSP-2, 2-G, 2-E, 2-EG	Agency automatic (1%) total from contribution Details does not equal total on Trailer.	Update Submission Information
W70	TRLR for TSP-2, 2-G, 2-E, 2-EG	Agency matching total from contribution Details does not equal total on Trailer.	Update Submission Information
W71	TRLR for TSP-2, 2-G	Employee total from adjustment Details does not equal total on Trailer.	Update Submission Information

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W73	TRLR for TSP-2, 2-G	Agency automatic (1%) total from adjustment Details does not equal total on Trailer.	Update Submission Information
W74	TRLR for TSP-2, 2-G	Agency matching total from adjustment Details does not equal total on Trailer.	Update Submission Information
W75	TRLR for TSP-2, 2-G	Count of employee data Records submitted does not equal count on Trailer.	Update Submission Information
W76	TRLR for TSP-2, 2-G	Count on current/late payment Records submitted does not equal count on Trailer.	Update Submission Information
W77	TRLR for TSP-2, 2-G	Count on negative adjustment Records submitted does not equal count on Trailer.	Update Submission Information
W78	TRLR for TSP-2, 2-G	Current/late payment record dollars on Trailer not equal to dollars on JV.	Update Submission Information
W79	TRLR for TSP-2, 2-G	Negative adjustment record dollars on Trailer not equal to dollars on JV.	Update Submission Information
W80	TRLR for TSP-2, 2-G	Count of employee data Records on Trailer does not equal count on JV.	Update Submission Information
W81	TRLR for TSP-2, 2-G	Count of current/late payment Records on Trailer not equal to count on JV.	Update Submission Information
W82	TRLR for TSP-2, 2-G	Count on negative adjustment Records on Trailer not equal to count on JV.	Update Submission Information

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Error Code	Record Type	Message	Notes
W87	16	Agency matching contributions should not exceed employee contributions. [Condition: "As of" date on the record must be blank or equal to or later than current pay date on Header record]	Possibly During Error Corrections
W9J	16, 46	Contributions posted caused participant to exceed the IRS elective deferral limit. Submit 26 – record to remove excess employee contributions.	
W9K	16, 46	Contribution posted caused participant to exceed limit imposed by section 415(c) of the Internal Revenue Code. Submit 26 – record to remove excess contributions.	UNISERV
W9N	06	Retirement code changed from CSRS to FERS.	Switching Retirement Codes
W9P	06	Retirement code changed from FERS to CSRS.	Switching Retirement Codes
W9V	06	Employment code has been overlaid with a blank. If the participant is separated, submit a new 06 – Record with correct employment code.	
W9W	06	Date of birth is not at least 16 years before posting date. [This edit should catch DOB greater than posting date also.]	
W9Y	06	TSP vesting code is invalid. The invalid TSP vesting code has been changed to 0. [Condition: retirement code is not equal to FERS]	CSRS Employees Do Not Have Vesting Codes; No Agency 1%
W9Z	06	TSP-SCD submitted for FERS participant is earlier than 1/1/84. A value of 1/1/1984 has been posted to the account. [Condition: TSP-SCD submitted is valid but earlier than 1/1/84 and there is no TSP-SCD on the data base.]	
WA4	06	Retirement code changed to FICA only. Remove agency contributions or they will be forfeited.	Changed Retirement Code from FERS to FICA

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Error Code	Record Type	Message	Notes
WA5	06	Retirement code changed to FICA only. Submit another 06 – Record containing employment code and employment code date to reflect separation of employee who is not eligible to participate in the TSP.	Need “I” Code and Separation Date {On the Web}
WA6	16, 46	Agency contributions submitted for FERS participant with a TSP status code of W or S; this status codes indicates participant is not eligible for agency contributions.	
WA7	16, 86	Employee and/or agency matching contributions submitted for a participant whose status code indicates termination of contributions. [Conditions: “As of” date on payment record must be blank or equal to or greater than current pay date on Header record; the pay date (“as of”) date on the payment record or the current pay date on the Header record does not fall in the participant’s non-contribution period, and the participant’s status code equals T or S. If the pay date for the contribution falls within the non-contribution period, the contributions will be rejected and error code RN5 or PN1 will be generated.]	Employee Has Stopped Contributions
WC3	16,46	Contribution posted caused participant to exceed catch-up limit. Submit 29 – Record to remove excess catch-up contributions. (Senate payroll processing prior year error code.	For Senate Only
WE1	TRLR, 2-E, 2-EG	Total contribution amount on Trailer not equal to control total on JV.	Update Submission Information
WL1	TRLR for TSP-2-L	Loan payment total from Detail Records does not equal total on Trailer.	Loans
WL2	TRLR for TSP-2-L	Count of loan payment Records submitted does not equal count on Trailer.	Loans
WL3	TRLR for TSP-2-L	Count of loan payment Records on Trailer does not equal count on Journal Voucher.	Loans

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WL8	L6	<p>Loan payment submitted for participant with employment code reflecting the participant's separation from service. Submit an Employee Data Record to correct the employment code if the participant is not separated.</p> <p>[Condition: Loan payment is submitted 1 to 45 days after receipt of EDR with employment code of S, B or D]</p>	Loans
WN3	06	<p>Previous/erroneous date of birth (DOB) should be blank because DOB has already been corrected.</p>	
WN4	16	<p>Status code indicates FERS participant should receive agency automatic (1%) contributions.</p> <p>[Conditions: "as of" date on record must be blank or equal to or later than current pay date on Header record; record contains contributions from employee or agency matching source (but not agency automatic (1%) contributions); the participant's status code is E, Y, T (or N)]</p>	Error Correction; Where is Agency 1%
WN5	06	<p>TSP-SCD submitted for FERS participant is earlier than 1/1/84. Consequently, the TSP-SCD submitted was not used to change the TSP-SCD on the data base. If the TSP-SCD on the data base is not correct, submit a new 06 – Record with a date no earlier than 1/1/84 to correct the value on the data base.</p> <p>[Conditions: TSP-SCD submitted is valid but earlier than 1/1/84 and the data base contains a TSP-SCD for the participant]</p>	
WN6	16, 86	<p>Department/agency that submitted current contribution is not the current department/agency in the TSP system.</p> <p>[Condition: "As of" date on record must be blank or equal to or later than current pay date on Header record]</p>	
WP1	16	<p>Status code indicates FERS participant should receive agency matching contributions.</p> <p>[Conditions: "As of" date on record must be blank or equal to or later than current pay date on Header record; record contains employee contributions (but not agency matching contributions); pay date is not within participant's non-contribution period; participant's status code is Y]</p>	Employee Should be Getting Matching \$

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***1 indicates variable message for error report.

***2 indicates variable message for error report.

***3 indicates variable message for error report.

***4 indicates variable message for error report.

06 = Employee Data Record

16 = Payment Record

26 = Negative Adjustment Record

46 = Late Payment Record

W = Warning

P = Partial

R = Rejected