# Polar stratospheric clouds as deduced from MLS and CLAES measurements

P.D. Ricaud, E.S. Carr, R.S. Harwood, and W.A. Lahoz Edinburgh University, UK

L. Froidevaux, W.G. Read, and J.W. Waters Jet Propulsion Laboratory, USA

J.L. Mergenthaler, J.B. Kumer, and A.E. Roche Lockheed Palo Alto Research Laboratory, USA

G.E. Peckham Heriot-Watt University, UK

Abstract. From 30 August 1992 to 3 September 1992 a supersaturated area at 465 K potential temperature ( $\sim 50$  hPa) is deduced from MLS water vapour measurements over western Antarctica, where high extinction coefficients measured by CLAES indicate Polar Stratospheric Clouds (PSCs). These PSCs are attributed partly to the effect of an anticyclone located over South America and partly to localized orographic waves, which raise the isentropes and generate rapid adiabatic cooling. A local minimum in column  $O_3$  ( $\leq$  200 DU) is observed in this area, which is believed to be a consequence of the dynamics. Enhanced ClO abundances downstream of the region indicate PSC processing and chlorine activation.

#### Introduction

The importance of Polar Stratospheric Clouds (PSCs) to the  $O_3$ -depleting mechanisms in the Antarctic polar vortex is well known (see WMO, 1992). They allow heterogeneous conversion of chlorine from reservoir to reactive forms.

For several days in August and in September 1992 data from the Microwave Limb Sounder (MLS) aboard the Upper Atmosphere Research Satellite (UARS) show an area at 46 hPa in the southern vortex which is supersaturated with respect to ice, i.e. this area is one in which clouds can be anticipated. An examination of aerosols measured by the UARS Cryogenic Limb Array Etalon Spectrometer (CLAES) reveals high extinction coefficients within or in the neighbourhood of this region. In particular the presence of PSCs over western Antarctica is indicated from 30 August 1992 (hereafter denoted by 920830) to 3 September 1992 (920903). We shall show that this episode is related to weather systems in the troposphere and we study its effects upon  $O_3$  and heterogeneous activation of chlorine, in conjunc-

Copyright 1995 by the American Geophysical Union.

Paper number 95GL00479 0094-8534/95/95GL-00479\$03.00 tion with UK Meteorological Office (UKMO) geopotential heights, winds, temperature and derived Potential Vorticity (PV).

In general,  $H_2O$  measurements at 46 hPa from the MLS 183 GHz radiometer processed with the latest version of the retrieval software (files denoted as "Version 3" in the publicly available data) have an accuracy of ~ 25% and a precision of  $\sim 0.2$  ppmv (Lahoz et al., 1994). However, error estimates associated with retrievals indicate that within the polar vortex in winter the Version 3 data at 46 hPa are dominated by the contribution from climatology. Accordingly, retrievals of  $H_2O$  poleward of 50°S have been reprocessed using a non-linear algorithm which can incorporate measurements having high opacity.  $O_3$  and ClO are measured by the MLS 205 GHz radiometer with an accuracy of ~ 20% (Froidevaux et al., 1994) and ~ 15% (Waters et al., 1994), respectively at 46 hPa, and individual profile precisions of ~ 0.2 ppmv for  $O_3$  and  $\sim$  0.4 ppbv for ClO at 46 hPa for Version 3 files. CLAES aerosol measurements are from the UARS processed files (Version 8, not yet publicly available). Extinction coefficients the majority of which are measured with an accuracy of 10-30% at 790 cm<sup>-1</sup> using blocker 8 (B8) offer the possibility of determining aerosols and PSC events as reported by Mergenthaler et al. (1993) while optically thick clouds have larger systematic uncertainties.

MLS and CLAES perform measurements at almost the same locations and local times with a horizontal resolution of  $\sim 400$  km. Profiles are retrieved with vertical resolutions of  $\sim 5$  km and  $\sim 2.5$  km, respectively. Data from MLS and UKMO used in this letter have been linearly interpolated onto the same fixed latitude-longitude grid.

#### Polar Stratospheric Clouds

We have used the empirical formula from the Smithsonian Tables (1958) to estimate  $e_i$ , the saturation vapour pressure of water over a plane surface of pure ice. In our analysis, an area is labelled as 'supersaturated' when the MLS  $H_2O$  partial pressure,  $P_{H2O}$ , exceeds  $e_i$ . Figure 1 shows supersaturation (%) (defined as  $s_i=100\times((P_{H2O}/e_i)-1)$ ) and  $H_2O$  in excess of supersaturation (ppmv) at 465 K ( $\sim$  50 hPa) for the 5-day period: 920830-920903 within a box area defined in Figure 2 over the Palmer Peninsula. Supersaturated

<sup>&</sup>lt;sup>1</sup>Now at Bordeaux Observatory, CNRS/INSU, France

<sup>&</sup>lt;sup>2</sup>Now at Reading University, UK

air is first found on 920830 over a large area  $(2\times10^6)$ km<sup>2</sup>) over the Bellinghausen Sea with a tongue extending over the southern Palmer Peninsula. The supersaturated area then moves eastward over the Palmer Peninsula (920831) to lie east of the Palmer Peninsula on 920901, over the Weddell Sea on 920902. It disappears over the Weddell Sea by 920903.

 $H_2O$  exceeds supersaturation by more than 0.5 ppmv (maximum of 1 ppmv) and the associated supersaturation ranges between 20% and 40%. Toon et al. (1989) showed theoretically that supersaturation of 20-30% is possible in the stratosphere, dependent upon several parameters such as energy barrier and mean radius of the crystal.

Some points should be borne in mind in the context of the analysis. i) The actual temperature fields over Antarctica may be colder than both UKMO and NMC data sets at 50 hPa (Salter and Merrick, 1989): a 2-K decrease (~ 1%) in temperature dramatically increases the estimated supersaturation to 50-70%. ii) e. has been defined with respect to a plane of pure ice; it is evident that the structure of aerosol crystals is far from planar (implying that our estimated supersaturations are overestimates). Also ice present in the strato-sphere certainly cannot be considered 'pure', because of the presence of NAT crystals for instance which act as impurities (this would mean that our inferred supersaturation are underestimates, see e.g. Tabazadeh et al., 1994). iii) Using a different empirical formula taken from Marti and Mauersberger (1993) slightly reduces supersaturation values by 2-3 percentage units. iv) Finally,  $HNO_3.3H_2O$  or  $H_2O$  crystals falling into the lower stratosphere could evaporate within relatively warm layers but thinner than the vertical resolution of MLS (5 km) and this may also alter the calculated supersaturation.

In order to validate the location of our inferred supersaturated areas, we have compared them with the location of measurements of high extinction coefficients by the CLAES instrument, indicative of the presence of PSCs. Extinction coefficients at 46 hPa measured at 790 cm<sup>-1</sup> are shown in Figure 1. To show the great temperature sensitivity of the spatial extent of the inferred saturated region we have calculated it using both temperature from UKMO (black curve in Figure I) and

UKMO temperature minus 2 K (red curve).

Firstly, we note that the spatial extent of the inferred supersaturated area is much bigger using "T-2 K" than using "T" (T being UKMO temperature). Both areas move eastward and whilst the supersaturated area based on "T" disappears in 920903 that based on "T-2 K" remains over the Weddel Sea. We label extinction coefficients as 'high' when they are greater than  $10\times10^{-4}$  km<sup>-1</sup>. On 920830, high extinction coefficients are almost all located within the "T" and "T-2 K" supersaturated areas. On 920831, the agreement is less impressive maybe because CLAES performed less measurements within the two areas. But high extinction coefficients still appear at the edge of the two supersaturated areas. On 920901, the small number of CLAES measurements prevents any reliable conclusion since there are no measurements within the supersaturated areas. On 920902, the highest extinction coefficients are all located within the "T-2 K" supersaturated area and some of them are even centered within the "T" supersaturated area. On 920903, the agreement is again very good with respect to the "T-2 K" supersaturated

Although high CLAES extinction coefficients do not coincide exactly with the supersaturation areas inferred

using "T" or "T-2 K", they nevertheless move with the area. "Patchiness" of PSC observations may be coupled to unresolved true "patchiness" in the temperature field. On the other hand, using values of  $HNO_3$ retrieved by MLS (but not yet publicly available) in the formula given by Fahey et al. (1989) we calculate that in the neighbourhood of the supersaturated area saturation occurs for typical values of temperature ranging from 184 to 188 K, of  $H_2O$  ranging from 2.2 to 3.4 ppmv and of  $HNO_3$  ranging from 1.5 to 4.5 ppbv. The spatial extent of the PSC could therefore be wider than the area strictly defined by supersaturation w.r.t. ice at the analyzed temperature. In conclusion, the extent of the measured supersaturated area w.r.t. ice compared with CLAES aerosol measurements can be resolved by: i) a change in the temperature field of about 2 K and/or ii) the existence of a HNO3-saturated area around the supersaturated one.

### Formation of the cloud

In general, clouds over western Antarctica may be caused by synoptic scale disturbances in the troposphere (McKenna et al., 1989) and/or orographic waves (Cariolle et al., 1989) that uplift the isentropes and generate rapid adiabatic cooling. UKMO geopotential heights at 500 hPa and temperatures interpolated to 465 K potential temperature (~ 50 hPa) are shown in Figure 2 for the 5-day period 920830-920903, together with the newly-reprocessed MLS  $H_2O$  measurements interpolated to 465 K. The black and red lines represent the supersaturated area when using UKMO temperatures and UKMO temperatures minus 2 K, respectively, while the white one represents the edge of the conservative vortex defined as the PV contour value of  $-4 \times 10^{-5} \text{ Km}^{-2} \text{kg}^{-1} \text{s}^{-1}$ . The 500-hPa pressure surface is assumed to be representative of the mid-tropospheric weather regimes.

By mid-August 1992, an anticyclone (heights  $\geq 53$ hm) developed over New Zealand (not shown), travelled eastward over South America on 920830 (Figure 2) and over the Atlantic Ocean on 920903. Cyclone-anticyclone pairs are known to play a key role in fluctuations of the tropopause by uplifting (depressing) stratospheric isentropes over anticyclones (cyclones) (see e.g. McKenna et al. (1989), Salby and Callaghan, 1993). It is particularly obvious that, as the anticyclone pushes below the stratospheric vortex during this 5-day period, isentropes are raised, giving a localised minimum in temperature. Since the center of the vortex is on average dehydrated, the gradient in water vapour is quite strong at the vortex edge. There is some evidence of an intrusion of  $H_2O$ -rich air at the vortex edge as can be seen on Figure 2 along the 60°S latitude circle moving eastward from 920830 to 920903 near the location of the northernmost part of our estimated supersaturated area. In addition, at the jet core, MLS  $H_2O$  is less than 4.6 ppmv. This is consistent with measurements reported by Kelly et al. (1989) in August 1987 over Antarctica. It also supports the claim (Kelly et al., 1990) that southern hemisphere winters in the lower stratosphere are drier than the northern hemisphere winters.

We note that the southernmost area where MLS shows supersaturation and where CLAES aerosols have high extinction coefficients on 920830 and 920831 extends over the southernmost part of the Palmer Peninsula. This is situated quite deeply within the vortex and probably cannot be attributed to the anticyclonic influence, although Salter and Merrick (1989) showed an

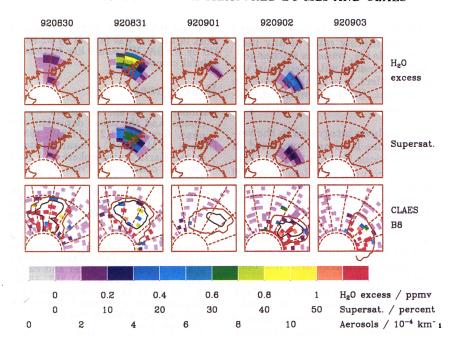
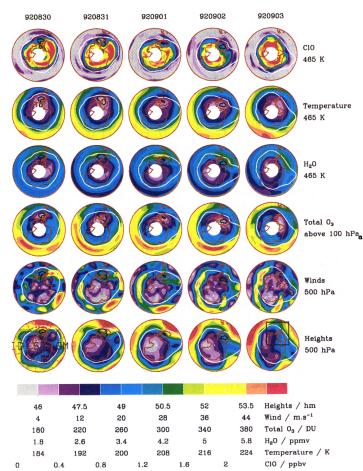


Figure 1.  $H_2O$  in excess of supersaturation (ppmv) and supersaturation (%) as deduced from MLS  $H_2O$  and UKMO temperature fields from 30 August 1992 to 3 September 1992 at 465 K isentropic temperature (~50 hPa). Aerosol extinction coefficients measured by CLAES using blocker 8 (B8) at 790 cm<sup>-1</sup> (×10<sup>-4</sup> km<sup>-1</sup>) during the same period are superimposed over the supersaturated area at 46 hPa using temperature from UKMO (black line) and temperature from UKMO minus 2 K (red line). Polar stereographic projection from 50°S to 79°S where data are plotted only within the black square of the bottom-right map of the figure 2. The Greenwich Meridian coincides with horizontal half-axis at bottom (towards right side).



example of an anticyclonic ridge near the tropopause which extended all the way to the pole. However, UKMO winds at 500 hPa show a strong jet (winds ≥ 28 m.s<sup>-1</sup>) located over the Ellsworth mountains and the elevated Palmer Peninsula. This may have generated mountain waves and produced clouds downstream of the mountains (lee-wave clouds).

## Effects upon column $O_3$ and ClO

The direct effect of the tropospherically-induced adiabatic lifting of the stratospheric isentropes is the lessening of columnar  $O_3$  (e.g. Dobson et al., 1928 and

Figure 2. From 30 August 1992 to 3 September 1992, polar stereographic maps from 50°S to the South Pole of: geopotential heights (hm) and winds (m.s-1) provided by the UKMO at 500 hPa; MLS column O<sub>3</sub> (DU) integrated upward from the 100-hPa pressure level; MLS  $H_2O$  (ppmv) interpolated to 465 K; temperature (K) provided by the UKMO interpolated to 465 K; MLS ClO (ppbv) measurements performed in the descending mode ('daytime' period) interpolated to 465 K. The white line represents the 'edge' of the conservative vortex at 465 K defined by the PV contour value of  $-4 \times 10^{-5}$ K.m<sup>2</sup>.Kg<sup>-1</sup>.s<sup>-1</sup> and the black and red lines represent the supersaturated areas calculated using temperature from UKMO and temperature from UKMO minus 2 K, respectively, except black square in 920903 height map which shows location of figure 1 maps.

Salby and Callaghan, 1993). Air parcels move upward to lower pressures while the mixing ratio of any individual constituent, for example  $O_3$ , remains unchanged. Since the  $O_3$  mixing ratio increases with height in the lower stratosphere, the net effect is a local decrease in the integrated column amount. Such a dynamically induced  $O_3$  reduction is a short-lived event and likely to be reversible.

Profiles of MLS ozone have been integrated in the vertical from the 100 hPa pressure level and expressed in Dobson Units (DU). It is obvious that on 920830 (Figure 2) the area of the ozone column field less than 200 DU coincides with the area of supersaturation i.e. over the Bellinghausen Sea and the Palmer Peninsula. The eastward motion of the supersaturated area over five days correlates quite well with that of the minimum values in the  $O_3$  column field. This provides further evidence that the cloud we have deduced from the supersaturated, high extinction coefficient area has been generated by tropospheric forcing.

ClO observations by MLS during the 1992 southern winter have been summarised by Waters et al. (1993). The ClO field for 920830 (Figure 2) is representative of our current broad understanding of chlorine partitioning. Large ClO abundances within the vortex are generally consistent with chlorine activation by heterogeneous processes on Polar Stratospheric Clouds (PSCs) which form at low temperatures within the vortex. It is probable that the motions responsible for the local maximum of  $H_2O$  near the Palmer Peninsula also contribute to the formation of the ClO 'notch' reported by Waters et al. (1993) just poleward of this region. The ClO 'notch' can be seen just starting to develop on 920830, and becomes more pronounced later on 920901.

920830, and becomes more pronounced later on 920901. If we focus now on the ClO field located downstream of the cloud from 920830 to 920901, we can see clearly that it shows a local maximum. Since measurements are all made during daytime and have local times which do not significantly vary along a latitude circle, the strong gradient in the ClO field cannot be attributed to diurnal variation. A likely explanation of the ClO increase is that chlorine has been activated by heterogeneous processes taking place within the cloud region giving further evidence of the presence of PSCs.

## **Conclusions**

Measurements from both MLS and CLAES instruments aboard UARS together with UKMO assimilated data sets from 30 August 1992 to 3 September 1992 provide the opportunity of studying: (1) the presence of PSCs at 465 K over western Antarctica, (2) their tropospherically-induced formation, (3) locally-depleted O3 column fields believed to be a consequence of the dynamics and (4) the chlorine activation by heterogeneous processes through this cloud. Whilst the evidence strongly suggests that at this time and place the clouds we observed are ice-clouds, the spatial relationship between CLAES aerosols and supersaturated areas inferred from MLS/UKMO data shows that either the temperature is colder by 1-2 K than that assimilated by the UKMO or that  $HNO_3$  saturates around the supersaturated area, or a combination of the two.

Acknowledgments. We thank many colleagues who have contributed to the MLS and CLAES experiments: NASA, the UARS project office; colleagues at JPL, EU, H-

WU and RAL; A. O'Neill for meteorological analyses. The work in the UK was funded by SERC and NERC, and in the US by NASA. We would like to thank one of the referees for helpful comments.

## References

Cariolle, D., et al., Mountain waves, polar stratospheric clouds, and the ozone depletion over Antarctica, J. Geophys. Res., 94, 11,233-11,240, 1989.

Dobson, G.M., et al., Measurements of the amount of ozone in the Earth's atmosphere and its relation to other geophysical conditions, *Proc. R. Soc. London*, Ser. A, 122, 456-486, 1928

456-486, 1928.
Fahey, D.W., et al., In situ measurements of total reactive nitrogen, total water, and aerosol in polar stratospheric cloud in the Antarctic, J. Geophys. Res., 94, 11,299-11,315, 1989.

Froidevaux, L., et al., Validation of UARS MLS ozone measurements, submitted to J. Geophys. Res., 1994.

Kelly, K.K., et al., Dehydration in the lower antarctic stratosphere during late winter and early spring, 1987, J. Geophys. Res., 94, 11,317-11,357, 1989.

phys. Res., 94, 11,317-11,357, 1989.

Kelly, K.K., et al., A comparison of ER-2 measurements of stratospheric water vapor between the 1987 antarctic and 1989 arctic airborne missions, Geophys. Res. Lett., 17, 465, 468, 1990.

465-468, 1990.

Lahoz, W.A., et al., Validation of UARS MLS 183 H<sub>2</sub>O measurements, submitted to J. Geophys. Res., 1994.

Marti, J., and K. Mauersberger, A survey and new measurements.

ments of ice vapor pressure at temperatures between 170 and 250 K, Geophys. Res. Lett., 20, 363-366, 1993. McKenna, D.S., et al., Diagnostic studies of the antarctic

vortex during the 1987 Airborne Antactic Ozone Experiment: ozone miniholes, J. Geophys. Res., 94, 11,641-11,668, 1989.

Mergenthaler, J.L., et al., CLAES south-looking aerosol observations for 1992, Geophys. Res. Lett., 20, 1295-1298, 1993.

Salby, M.L., and P.F. Callaghan, Fluctuations of total ozone and their relationship to stratospheric air motions, J. Geophys. Res., 98, 2715-2727, 1993.

phys. Res., 98, 2715-2727, 1993.
Salter, P.R.S., and S.D. Merrick, A note on forecasting for the Airborne Antarctic Ozone Experiment, Met. Mag., 118, 59-63, 1989.

118, 59-63, 1989. Smithsonian Meteorological Tables, 6th revised edition, pp 360-361, Edited by R.J. List. Washington D.C.: Smithsonian Institute, 1958.

Tabazadeh, A., et al., A study of Type I polar stratospheric cloud formation, Geophys. Res. Lett., 21, 1619-1622, 1994

Toon, O.B., et al., Physical processes in polar stratospheric ice clouds, J. Geophys. Res., 94, 11,359-11,380, 1989.
Waters, J.W., et al., MLS observations of lower stratospheric

ClO and O<sub>3</sub> in the 1992 southern hemisphere winter, Geophys. Res. Lett., 20, 1219-1222, 1993. Vaters, J.W., et al., Validation of UARS MLS ClO mea-

surements, submitted to *J. Geophys. Res.*, 1994. WMO, Scientific assessment of ozone depletion: 1991, Report No. 25, 1992.

P.D. Ricaud, Bordeaux Observatory, CNRS/INSU, BP 89, 33270, Floirac, France.

(received August 8, 1994; revised November 29, 1994; accepted January 3, 1995.)

E.S. Carr, R.S. Harwood, and W.A. Lahoz, Department of Meteorology, Edinburgh University, Scotland UK EH9 3JZ.

L. Froidevaux, W.G. Read, and J.W. Waters, Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California USA 91109.

J.B. Kumer, J.L. Mergenthaler, and A.E. Roche, Lockheed Palo Alto Research Laboratory, Palo Alto, California, USA 94304.

G.E. Peckham, Department of Physics, Heriot-Watt University, Scotland UK EH14 4AS.