



**Positional Accuracy of Airborne Integrated
Global Positioning and Inertial Navigation Systems
for Mapping in Glen Canyon, Arizona**

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by

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ABSTRACT

High-resolution airborne and satellite image sensor systems integrated with onboard data collection based on the Global Positioning System (GPS) and inertial navigation systems (INS) may offer a quick and cost-effective way to gather accurate topographic map information without ground control or aerial triangulation. The Applanix Corporation's Position and Orientation Solutions for Direct Georeferencing of aerial photography was used in this project to examine the positional accuracy of integrated GPS/INS for terrain mapping in Glen Canyon, Arizona. The research application in this study yielded important information on the usefulness and limits of airborne integrated GPS/INS data-capture systems for mapping.

INTRODUCTION

Over the past decade, several publications have confirmed the potential of utilizing the Global Positioning System (GPS) and inertial navigation system (INS) technology for the direct observation of exterior orientation data (Schwarz, 1993; Ackerman, 1995; Skaloud, 1996). Increasingly, onboard GPS/INS data collection is part of the service offered by aerial companies. Many software systems can now access such data to reduce or eliminate the need for ground control points. In many cases, it is the enabling technology for the collection of light detection and ranging (lidar), synthetic aperture radar, and multibeam sonar data. It was not until recently that the remote sensing and photogrammetric mapping community gave serious attention to using integrated GPS/INS technology to measure camera attitude to an accuracy that permits photogrammetric mapping without conventionally determining the camera exterior orientation parameters from aerial triangulation. The process of direct observation of exterior orientation data with airborne integrated GPS/INS is often referred to as "direct measurement," "direct sensor orientation," "direct exterior orientation," and "direct geocoding." However, throughout this paper, the authors will refer to this process by the more widely used term "direct georeferencing."

Many earth science mapping applications, especially in rural or remote areas, can be realized more efficiently and economically with the reduction of ground control and tie point data. This can be achieved by direct georeferencing of the exterior orientation of an imaging sensor using an integrated system comprising a GPS receiver and an INS component. The GPS produces precise positions that are subject to errors arising from loss of satellite lock and resolution of phase ambiguities. Information from the INS can be used to correct these errors while the GPS data are used to continuously calibrate the INS. Hence, when used together, the two components may provide an appealing solution to positioning and orientation problems in mapping applications. Nevertheless, the use of this technology is not without its own technical problems, and an understanding of its limits and usefulness is critical for addressing mapping applications. A crucial issue to mapping applications and direct georeferencing is the accuracy, scale, and consistency achievable by an integrated system.

Numerous documented GPS/INS-related field tests have been conducted over the years (Cramer, 1999; Cramer, Stallmann, and Haala, 2000). These tests were flown over well-surveyed sites and were carefully evaluated by private and public institutions in collaboration with Applanix Corp. The results from these tests, which measured the difference between the Applanix

Position and Orientation Solutions for Direct Georeferencing (POS/DG)-computed camera orientation and the camera orientation obtained from aerial triangulation, demonstrated reliable performance. Although the difference of GPS/INS-derived omega, phi, kappa, (ω , Φ , κ) from aerial triangulation angles gives a good measure of GPS/INS performance, it does not allow the separation of GPS/INS errors from aerial triangulation errors (Hutton, Savina, and Lithopoulos, 1997). A better gage of performance is to apply GPS/INS-derived values to the exterior camera orientation plus the camera interior report parameters using digital photogrammetric software and then to compare the accuracy of terrain mapping with the well-surveyed reference points visible in the image (Abdullah, 1997). The purpose of this project was to test the terrain-mapping accuracy of Applanix POS/DG in an area of rapidly changing relief using this approach.

Project Test Area

The regional location of the project area was in the southernmost part of Glen Canyon, a section of the Colorado Plateau and canyon lands of Arizona and Utah formed by the Colorado River (fig.1). The marked change in relief in this area provided an excellent test for measuring the potential of GPS/INS for terrain mapping and ultimately providing agencies like the USGS's Grand Canyon Monitoring and Research Center (GCMRC) a continuous source of reliable data

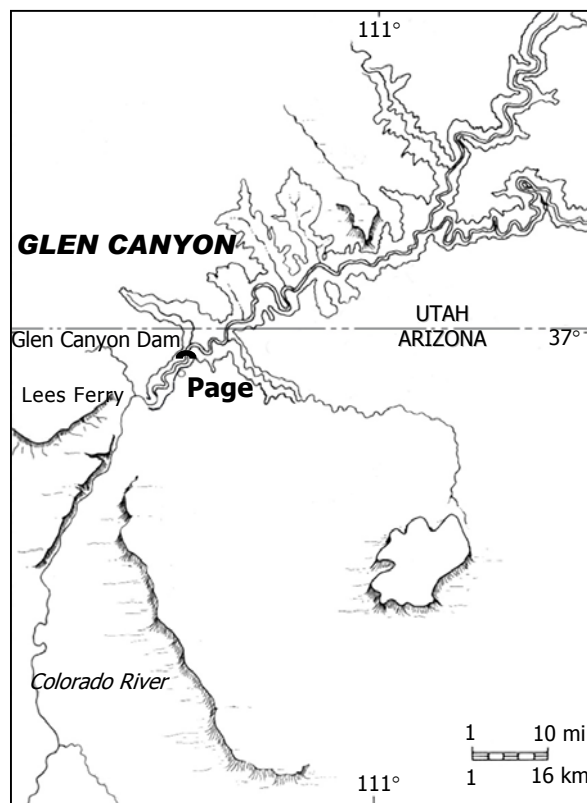


Figure 1. Project area is located between Page and Lees Ferry, Arizona.

to study landscape and habitat changes along the Colorado River corridor. The canyon lands, which date back nearly 2 billion years on the bottom and 250 million years at the top, are a rough succession of resistant beds forming deep, narrow gaps with vertical and overhanging cliffs separated by slopes and valleys carved out by the Colorado River beginning 5 to 6 million years ago (Zimmer, 2001). During 1869 to 1872, U.S. Army Major John Wesley Powell explored the Glen Canyon as part of the expedition of the Colorado River (Powell, 1875). As many a fisherman and passer-by will affirm, the cliffs, riverbanks, and sandbars of the canyon offer nesting places to rock wrens, canyon wrens, peregrine falcons, ravens, and high-flying condors. Since the completion of the Glen Canyon Dam in 1963, the water temperature downriver averages 46° F (8° C) year round. This constant flow of clear, cold tail water, along with the introduction of trout and shrimp-like scuds, eliminated most of the native fish, such as the Colorado squawfish and the humpback chub (Ray Hall, U.S. Park Ranger, oral commun., September 26, 2001). The dam-regulated flows decreased the sediment supply and have affected the habitats of the endangered willow fly-catcher and Kanab ambersnail. The canyon's ecosystem and desert environment are also home to deer mice, pocket mice, packrats, spotted skunks, ringtail cats, gray foxes, beavers, bobcats, coyotes, jackrabbits, antelopes, squirrels, badgers, and mule deer. Seasonal wildlife includes a large population of ducks and some Canadian Geese. Plants such as joint-fir or Mormon Tea (*Ephedra*), Horsetail (*Equisetum L.*), salt cedar or Tamarix (*Tamarix gallica*), and varieties of cacti dominate the canyon ecosystem (Gaines, 1957).

DIRECT GEOREFERENCING CONCEPT

The determination of the exterior orientation parameters is a fundamental requirement for the geometric evaluation of terrestrial and remotely sensed images. Conventionally, this is accomplished by an indirect approach of applying a number of known ground control points and their corresponding image coordinates. Using a mathematical model for the transformation between object and image space, we can calculate the exterior orientation to relate the local image coordinates to the global reference coordinate system. This process is accomplished with the spatial resection of single images, a method that is generalized to an aerial triangulation of multiple frames or images (Skaloud and others, 1996). The photogrammetric collinearity equations are applied to connect overlapping images by means of tie points and to relate the local model coordinates to the global reference coordinate system through control points. Consequently, exterior orientation parameters for the perspective center of each image can be estimated as one group of the unknown parameters within a least squares adjustment.

In the direct approach, the GPS and the inertial measurement unit (IMU) provides measurement of the true physical position and orientation of the camera or sensor (Schwarz and others, 1993). Unlike the indirect approach of aerial triangulation, the exterior orientation parameters are determined independently of the camera or sensor. Before using the position and orientation components (GPS antenna and IMU) for sensor orientation, we must determine the correct time, spatial eccentricity, and boresight alignment between the camera coordinate frame and IMU. The calibration of the GPS/IMU and camera is vital since minor errors will cause major inaccuracies in object point determination.

SYSTEM CONFIGURATION AND CALIBRATION

Sensor Configuration

The commercial airborne integrated GPS/INS used in this project is the POS AV 310 from Applanix Corp., Richmond Hill, Ontario, Canada. The POS AV-DG package comprises four main components: (1) a dual-frequency L1/L2 carrier phase embedded GPS receiver (NovAtel MiLLennium), (2) a POS IMU, (3) the POS computer system, and (4) the POS/DG postprocessing software. Several occupied geodetic monuments (Airport, T96, Davian, Signal Hill, Navajo Point, Flagstaff NCMN, and L404) along the canyon rim served as base stations. In addition, 11 aerial panel points with documented horizontal and vertical coordinates were placed along the flight corridor to test the accuracy of the POS/DG position and height information later. For the test, the POS IMU was rigidly mounted on a Wild RC30 aerial camera. The GPS antenna was centered above the camera on top of the fuselage of the National Oceanic and Atmospheric Administration's (NOAA) Cessna Citation jet. The integration of the collected POS/DG raw data was computed at the camera perspective center using the Applanix POS/DG Post Processing software.

Boresight Calibration

The spatial offsets between the different sensor components have to be identified to relate the position and orientation information provided by the GPS/IMU to the perspective center of the camera. The angular and linear misalignments between the POS IMU body frame and the imaging sensor are referred to as "boresight" components. Immediately after the actual fly-over of the Glen Canyon project area, the test flight for the boresight calibration was carried out over a well-surveyed range in the nearby Hopi Reservation. Aerial photographs were collected at a flying height of 1,524 m (5,000 ft) and a photographic scale of 1:10,000. Three rows of 7 targets consisting of 21 photographs with 60 percent forward and 30 percent side overlap were collected. The test range covered a 4.5- x 14.8-km (2.8- x 9.2-mi) area. Static GPS data were collected using several base stations (Airport, T96, Davian, Signal Hill, Navajo Point, Flagstaff NCMN, and L404) located near the test range to check for any systematic errors caused by different baseline length. To resolve the boresight transformation, the National Geodetic Survey (NGS) compared the GPS/IMU positioning/orientation results with the aerial triangulation solution. The NGS then used data from the POS/DG and aerial triangulation from the flight to resolve the fixed misalignment angles between the IMU and the camera.

TERRAIN MAPPING

The NOAA carried out the overflight of the project area on September 6, 1999, at altitudes between 3,200 to 3,500 meters (10,500 to 11,500ft). The acquired misalignment angles from the Hopi Range test flight were applied to the POS/DG data, and the camera perspective center coordinates (in easting, northing, and elevation) and the camera orientation parameters (in angles ω , Φ , κ) were computed by Applanix. The POS/DG-computed data at camera perspective center, as well as the camera's internal geometry and lens characteristics, were then applied by the USGS to geometrically correct the scanned aerial frames (4027 through 4032, see fig. 2) using

the Softcopy Exploitation Tool Set (Socet Set) software (Socet Set® is a trademark of BAE Systems Solutions, Inc.).

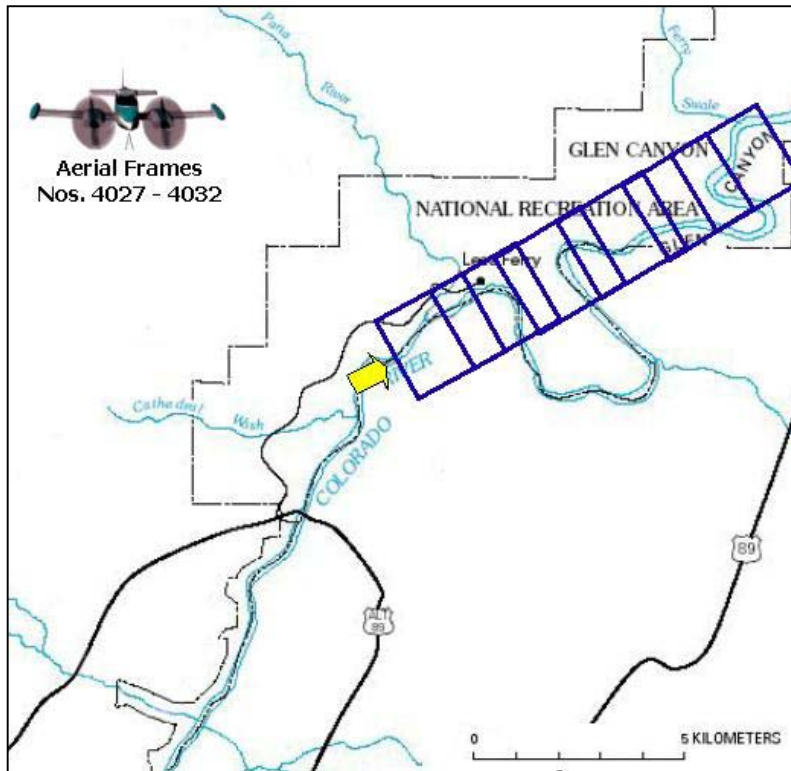


Figure 2. Coverage of aerial frames applied in the evaluation.

Surveyed Reference Points

To synchronize with the POS/DG data collection and to know the precise grid coordinate of any point in the project area, a field team directed by the GCMRC placed 15 aerial panels along the corridor of the Glen Canyon before the overflight. Many of these panels were placed over old survey markers. To validate the positional accuracy of the panels, the U.S. Geological Survey (USGS) conducted static surveys of these old monuments in September 2001. The selected points were occupied for over 30 minutes at 5-second intervals using two Ashtech Z-12 receivers. Simultaneous collection from the Flagstaff (FST1) Continuous Operating Reference Station (CORS) at 5-second intervals provided the RINEX files (range and carrier phase or binary measurements, predicted orbital coordinates or ephemeris data, and site information files) used in the postprocessing with Ashtech Solutions version 2.5. Traditional setup of the antenna over the survey marker was used in these static surveys. For geodetic coordinates and details about each survey marker revisited, see appendix A of this report.

In addition to their use as panel points, the survey markers in the Lees Ferry area proved invaluable for checking the accuracy of auxiliary GPS instruments. The Ashtech Z12 GPS rover and the simultaneous collection of FST1 CORS produced postprocessed differentially corrected

positions that were used in determining the horizontal and vertical accuracy levels of the auxiliary GPS instruments. Experimentation with an OmniSTAR Model 3000LR12 (an integrated RT-DGPS system for GPS observations and reception of broadcast range correction) produced differentially corrected positions with horizontal and vertical accuracies at the submeter level. The Rockwell PLGR II with PPS for point positions determined by autonomous methods produced horizontal and vertical accuracies in the decimeter-to-meter range. (See appendix B).

Comparison with Survey Reference Points

Three stereoscopic models were generated with Socet Set photogrammetric software using the POS/DG computed data and the camera’s report parameters (fig. 3).

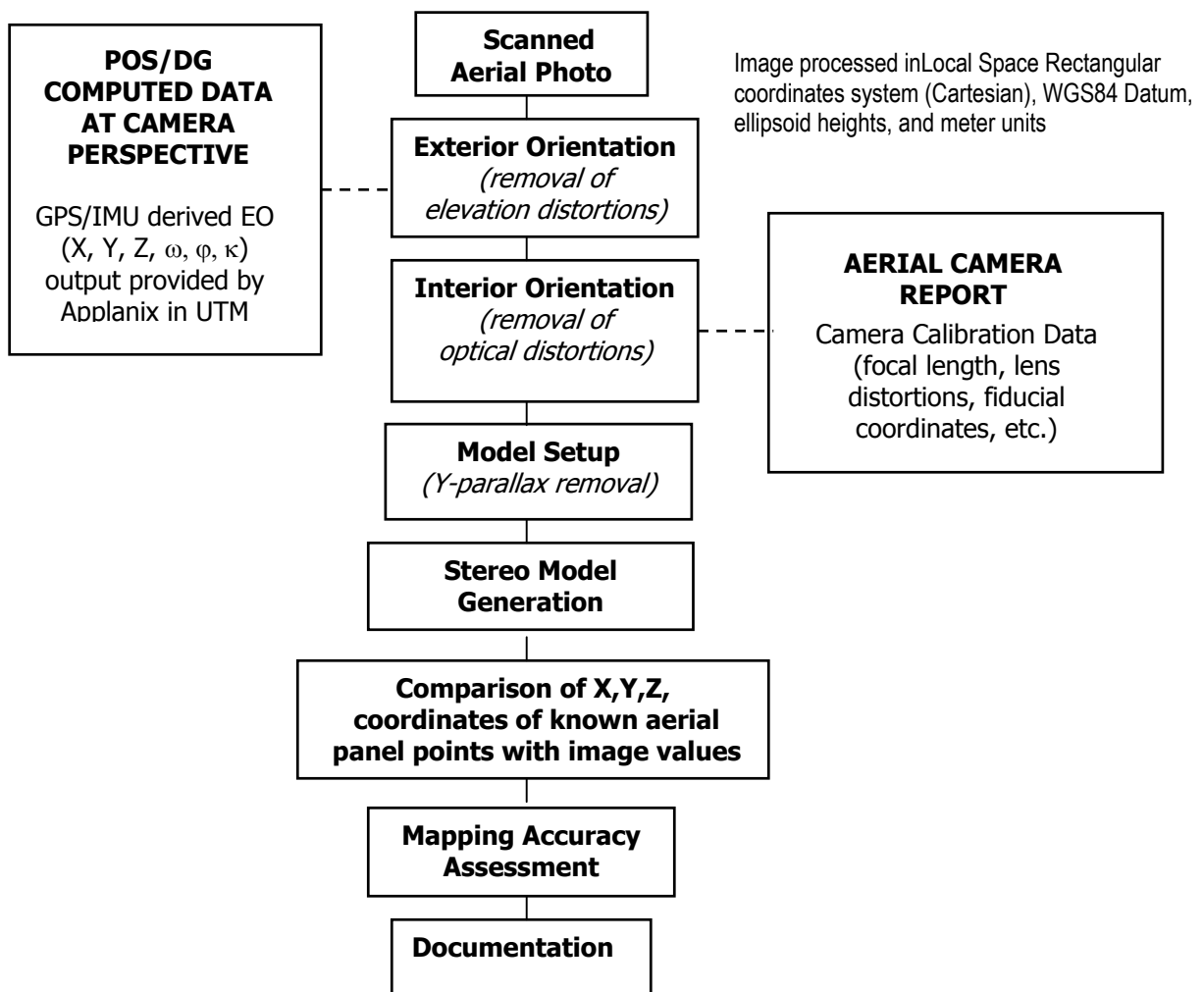


Figure 3. The flow process applied in this study for generating the stereomodels for testing the accuracy of Position and Orientation Solutions for Direct Georeferencing of aerial photographs.

In each stereomodel, a thorough examination for undesirable y-parallax or disparity was conducted by moving between the river corridor and the canyon rim of the interior and corners of the stereomodel, respectively. The results varied from negligible to excessive. In one model the effect of y-parallax made it difficult to perform reliable measurements. Absolute orientation was then examined using the horizontal and vertical coordinates of the visible panel point in the three models and the values of their corresponding surveyed reference positions. The difference between the logged surveyed reference positions and corresponding panel points displayed in the stereomodel were measured on the digital photogrammetric workstation. The difference was determined by subtracting the values of the panel point from the surveyed reference position. The measured panel point values in the stereomodel were roughly parallel to the ground level at an average vertical positional bias of +2.59 m. Figure 4 and table 1 show the results of the comparison of the panel point coordinates in the stereomodels against the values of the logged survey referenced positions.

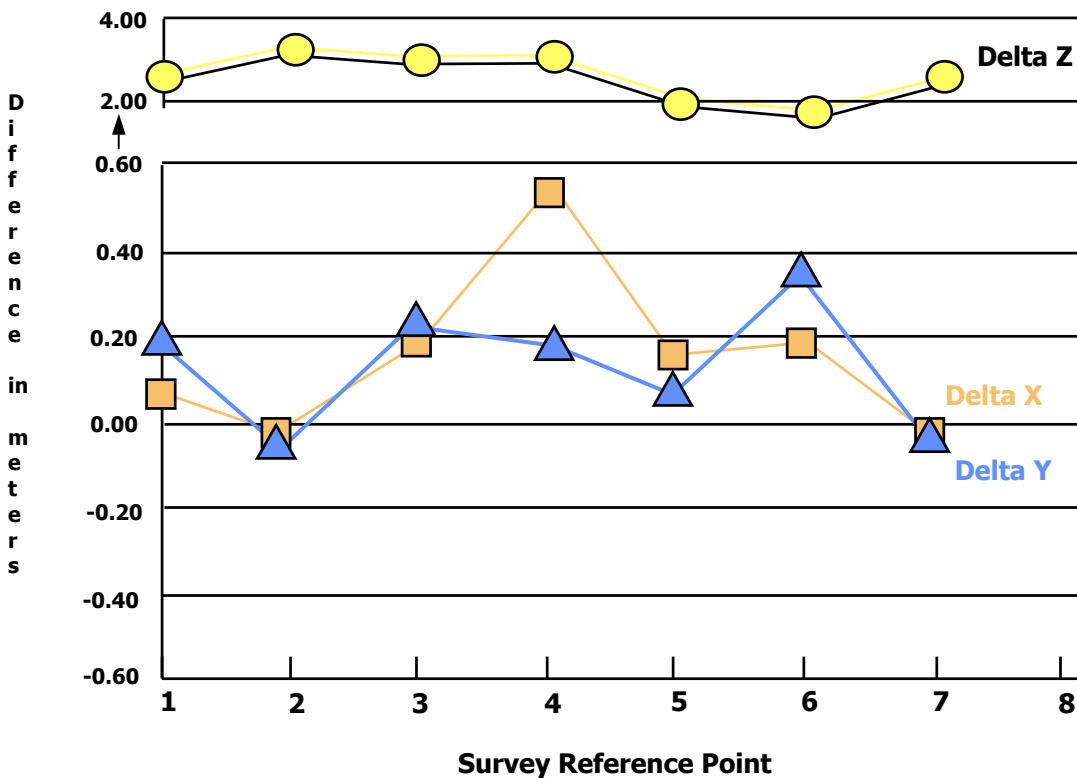


Figure 4. Difference, in meters, between coordinate values of ground-surveyed reference points and corresponding panel points measured on the digital photogrammetric workstation.

REF.	PANEL #	Delta X	Delta Y	Delta Z
1	6BC	+0.07	+0.19	+2.48
2	114	- 0.02	- 0.05	+3.28
3	115	+0.19	+0.24	+3.02
4	211	+0.56	+0.16	+3.11
5	212	+0.16	+0.08	+1.88
6	214	+0.19	+0.35	+1.78
7	G307B	- 0.02	- 0.03	+2.58
Average		+0.16 m	+0.14 m	+2.59 m

Table 1. Statistical rundown of the difference between the ground-surveyed reference points and corresponding panel points measured on the digital photogrammetric workstation.

A comparison using the same ground-surveyed reference points shown above with AerSCAN ALMS lidar data collected by the GCMRC in late March 2000 at a flight altitude of 3,048 m (10,000 ft), with a pulse rate of 15 kHz and a scan rate of 13 Hz, showed a lower vertical positional bias (0.21 m to 0.55 m) than those found in the processed POS/DG of aerial photographs. Although there was some improvement in the vertical accuracy of the DG lidar system measurements, the lower vertical positional bias of the lidar data still does not meet accuracy standards for large-scale mapping and could result in significant errors in studies related to volume determination.

DISCUSSION OF RESULTS

Although the horizontal positioning results proved accurate, the higher than normal vertical positional bias results of this study do not meet National Mapping Accuracy Standards (NMAS) or American Society of Photogrammetry and Remote Sensing (ASPRS) accuracy standards for large-scale mapping. Other authors made comparable findings (for example, Cramer, 1999; Colomina, 1999; and Greening and others, 2000). Greening suggested 1:8,000 scale as the “lower limit below which airborne GPS errors can become relatively dominant to the point where a significant reduction in the number of ground control is not possible.”

Several factors may have contributed to the higher than normal vertical positional bias between the coordinate values of surveyed reference points and corresponding aerial panel positions in the image. The most demanding applications of large-scale airborne mapping, with required mapping accuracy at the 10- to 20-cm range, call for higher precision of the exterior orientation, which is largely dependent on the imaging sensor and flight altitude. In this study, a 153-mm calibrated focal length of the Wild RC30 and high-flying height of 3,200 to 3,500 m (10,500 to 11,500 ft) was used. In accordance with a typical soft C-factor (Light, 1999), a suggested minimum of 1,000 m (3,300 ft) flight altitude with the 153-mm focal length camera may have

resulted in lower RMS values and achieved a higher precision desirable in large-scale mapping. Further, the aerial photographs flown separately for the boresight calibration were collected with the same camera, but at a flying height of 1,524 m (5,000 ft), which may have caused a shift in the Z value. The influence of ground position errors resulting from imaging sensors and flight altitudes have been dealt with in detail (Grejner-Brzezinska, 1999).

Another problematic concern of GPS/INS-derived position orientation data is the stereo-model residual parallax issue. During the setup of the stereomodels, it was found that the y-parallax varied significantly. In one of the models, the effect of y-parallax made it awkward to take reliable measurements. The y-parallax disparity took the form of an unusually high average difference between the coordinate values of surveyed reference points and corresponding panel points, as well as imperfect, out-of-focus three-dimensional images in the display of the digital photogrammetric workstation.

Several geodetic factors also may have contributed to the high RMS values in the exterior orientation solution. Because of the marked difference in canyon relief and changes in bedrock Densities, there exists a “deflection of the vertical” (that is, an angle of departure of the gravity vector from the corresponding ellipsoidal normal). In the canyon area where there are marked geoidal undulations, the separation between the geoid and ellipsoid can vary rapidly and in a nonlinear manner.

Although the lidar data results were lower in vertical positional bias than those found in the processed POS/DG of aerial photographs, several factors may have played a part in their high elevation measurement. These factors range from the lidar processing algorithms to the source of beam. Factors originating from the source of the beam include problems with the 1) lever arm and GPS position, 2) INS gyros (drift and alignment), 3) laser frame (misalignment in INS), 4) scanning (mirror), and 5) range (timing, bias, noise). Any of these factors not being adjusted properly may have resulted in mismatched profiles and introduced elevation errors.

RECOMMENDATIONS

The higher than normal vertical positional bias results of this study did not meet large-scale mapping accuracy standards. It is important to keep in mind the limitations of airborne integrated GPS/INS mapping technology and to balance the criteria for its use against practical considerations of large-scale mapping in canyon-like or mountainous terrain. For the time being, precision large-scale mapping of 1:8,000 scale or better will require a combination of airborne GPS/INS and aerial triangulation to exploit the benefits offered by direct external orientation data and minimize potential mapping accuracy limitations.

Additional research is needed to examine the (1) influence on mapping accuracy of geodetic complexities in areas of marked changes in relief and the relationship of IMU performance to significant gravity anomalies and deflection of the vertical; (2) output of POS/DG computed data at camera perspective center in a Cartesian coordinate system to replicate the true spatial geometry of the object space; (3) large scale mapping application of the “Total Orientation Procedure” concept (Colomina, 2000) for optimal sensor orientation using a combination GPS/INS and aerial triangulation; (4) adequate rigidity in the relationship between IMU and

camera reference frame; and (5) accuracy limits of GPS-aided INS of LiDAR data for meeting NMAS and ASPRS standards

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APPENDIX A

FIELD RECORD SUMMARY – GLEN CANYON 9/24-27/01

SITE NAME	COORDINATES¹	SURVEY MARKER	STAMP MARKING
GCP 6BC	LAT: 36° 52' 28.66827" N. LON: 111° 33' 27.78332" W. ELLIP. HT: 939.401 meters MSL: 962.824 meters	92.5 mm in diameter brass survey marker	BUREAU OF RECLAMATION
GCP 211	LAT: 36° 51' 48.14314" N. LON: 111° 34' 37.31705" W. ELLIP. HT: 936.652 meters MSL: 960.055 meters	92.5 mm in diameter brass survey marker	U.S. COAST & GEODETIC SURVEY
GCP 212	LAT: 36° 51' 57.56024" N. LON: 111° 35' 12.31421" W. ELLIP. HT: 930.269 meters MSL: 953.664 meters	60 mm in diameter aluminum survey marker	BANNER INC.
GCP 214	LAT: 36° 51' 48.23613" N. LON: 111° 35' 55.43807" W. ELLIP. HT: 939.976 meters MSL: 963.352 meters	12.7 mm in diameter unthreaded rebar	NO STAMP MARKING
GCP 114	LAT: 36° 53' 11.18031" N. LON: 111° 31' 51.77007" W. ELLIP. HT: 951.340 meters MSL: 977.784 meters	92.5 mm in diameter brass survey marker	BUREAU OF RECLAMATION
GCP 115	LAT: 36° 53' 10.41023" N. LON: 111° 31' 10.30107" W. ELLIP. HT: 947.940 meters MSL: 971.383 meters	No survey marker; X 10x10 Bldr RL 9-mile	NO STAMP MARKING
GCP G307B	LAT: 36° 52' 28.89023" N. LON: 111° 34' 00.98043" W. ELLIP. HT: 935.380 meters MSL: 958.799 meters	No survey marker; photo location RL	NO STAMP MARKING

¹ NAD83/GRS80; mean sea level (MSL) heights derived from NAVD88 values (NAD83 + Geoid99)

APPENDIX B
POSITIONAL COMPARISON
OF GROUND CONTROL POINT #214
(NAD83/GRS-80)

Ashtech Z-12* <i>(STATIC SURVEY)</i>	OmniSTAR LR12 <i>(RT-DGPS)</i>	Difference, in meters
Lat: 36° 51' 48.23613" N	36° 51' 48.195" N	0.662
Long: 111°35' 55.43807"W.	111° 35' 55.463" W.	-0.583
Ellip. Height: 939.976 m	939.692 m	-0.284



GCP #214

PLGR II <i>(PPS)</i>	
36° 51' 48.15" N.	1.386
111° 35' 55.60" W.	-3.779
945 m	-5.024

GCP #214 Old Coordinates
(Unknown method)

36° 51' 48.23" N	0.099
111° 35' 55.44" W.	-0.045
939.280 m	-0.684

Processed Image Display Coordinates
(Socet Set Workstation)

36° 51' 48.258" N	-0.354
111° 35' 55.446" W.	-0.187
941.760 m	-1.784

* *GPS static data collected at 5-second data rate on September 25, 2001; post-processed with corresponding RINEX file downloaded from NGS National FTS1 CORS Web Site*