

The shortwave radiative forcing bias of liquid and ice clouds from MODIS observations

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Abstract

1
2 We analyze the plane-parallel bias of the shortwave cloud radiative forcing SWCRF of
3 liquid and ice clouds at 1 deg scales using global MODIS (Terra and Aqua) cloud optical
4 property retrievals for four months of 2005 representative of the meteorological seasons.
5 The (negative) bias is estimated as the difference of the SWCRF calculated using the
6 Plane-Parallel Homogeneous (PPH) method and the Independent Column Approximation
7 (ICA). These calculations require MODIS-derived means (for PPH calculations) and
8 distributions (for ICA calculations) of cloud optical thickness and effective radius as well
9 as ancillary surface albedo and atmospheric information consistent with the MODIS
10 retrievals, that are inserted into a broadband solar radiative transfer code. The absolute
11 value of global SWCRF bias of liquid clouds at the top of the atmosphere is $\sim 6 \text{ Wm}^{-2}$ for
12 MODIS overpass times while the SWCRF bias for ice clouds is smaller in absolute terms
13 by $\sim 0.7 \text{ Wm}^{-2}$, but with stronger spatial variability. If effective radius variability is
14 neglected (only optical thickness horizontal variations are accounted for), the absolute
15 SWCRF biases increase by about $0.3\text{-}0.4 \text{ Wm}^{-2}$ on average. Marine clouds of both phases
16 exhibit greater (more negative) SWCRF biases than continental clouds. Finally, morning
17 (Terra)–afternoon (Aqua) differences in SWCRF bias are much more pronounced for ice
18 than liquid clouds, up to about $\sim 15\%$ (Aqua producing stronger negative bias) on global
19 scales, with virtually all contribution to the difference coming from land areas. The
20 substantial magnitude of the SWCRF bias, which for clouds of both phases is collectively
21 about 4 Wm^{-2} for *diurnal averages*, should be a strong motivation to accelerate efforts
22 that link cloud schemes accounting for subgrid condensate variability with appropriate
23 radiative transfer schemes in global climate models.

1 **1. Introduction**

2 In a recent study Oreopoulos et al. (2007) examined the albedo bias from neglecting the
3 variability of MODIS (Moderate Resolution Imaging Spectroradiometer)-inferred liquid cloud
4 optical thickness and effective radius within 1° regions and using mean values instead. This so
5 called Plane-Parallel Homogeneous (PPH) bias (Cahalan et al., 1994a) assumed values close to
6 0.03, i.e., about 10% of the liquid cloud albedo calculated with spatial cloud variations included.
7 The motivation behind that study was the lack of an extensive global mapping of the PPH bias,
8 with previous published satellite studies being mainly assessments of the visible PPH bias over
9 limited parts of the globe (Barker 1996, Oreopoulos and Davies 1998; Pincus et al., 1999), or
10 focusing rather on parameters quantifying the underlying cloud horizontal inhomogeneity
11 (Rossow, 2002; Oreopoulos and Cahalan 2005) instead of the broadband bias itself. The current
12 study seeks to expand the Oreopoulos et al. (2007) study by providing better seasonal coverage
13 (using one representative months for each season instead of only winter-summer coverage) and
14 by also including clouds classified by MODIS to be of ice phase (near their top). A newer,
15 improved version of MODIS cloud data is used, and emphasis is placed on the shortwave (SW)
16 Cloud Radiative Forcing (SWCRF) bias which takes into account the areal coverage and
17 frequency of occurrence of the two (liquid and ice) cloud types and relates directly the
18 magnitude of the bias to the radiative energy budget. The SWCRF bias features presented here
19 along with the online collection of PPH albedo biases from ISCCP (International Satellite Cloud
20 Climatology Project) at <http://isccp.giss.nasa.gov> (from larger reference areas and assuming a
21 different cloud classification of low, middle and high clouds) provides a fairly comprehensive
22 picture of the radiative effects of neglecting horizontal cloud inhomogeneity. Any global models

23 that aspire to produce clouds with known subgrid properties, and super-parameterization
24 approaches (Khairoutdinov et al., 2005) should find these datasets valuable for validation.

25 The dataset and computational details are provided in the next section; the various
26 dependencies of the global and local SWCRF biases are detailed in the five subsections of
27 section 3, while conclusions, along with suggestions on how to exploit the results for global
28 model validation, are provided in section 4.

29 **2. Dataset and radiative transfer calculations**

30 As in Oreopoulos et al. (2007), we use daily MODIS Level-3 (1° resolution gridded) daytime
31 data from both the Terra ($\sim 10:30$ local time overpass) and Aqua ($\sim 13:30$ overpass) satellites
32 (datasets MOD08_D3 and MYD08_D3, respectively). This time we use products from the most
33 recent processing stream, Collection 5, and extend the study to four months, January, April, July,
34 and October 2005. We extract the mean daily values of vertically integrated optical thickness
35 ($\bar{\tau}$), effective radius (\bar{r}_e), cloud fraction of successful cloud retrievals (A_c), and solar zenith
36 angle (SZA), as well as one-dimensional (1D) histograms of τ and joint (2D) histograms of τ - r_e
37 and τ -cloud top temperature (T_c), constructed by sampling every 5^{th} pixel of the original 1 km
38 resolution retrieval (King et al., 2003). The 1D histograms of τ are resolved in 45 bins for liquid
39 clouds and 30 bins for ice clouds. The 2D histograms of τ and r_e are resolved in 110 bins (11 for
40 τ covering the range 0.1-100 and 10 for r_e covering the range 3 to 30 μm) for liquid clouds, and
41 143 bins for ice clouds (11 for τ covering the range 0.1-100 and 13 for r_e covering the range 5 to
42 90 μm); the joint histograms of τ and T_c are resolved in 143 bins (11 for τ and 13 for T_c) for both
43 phases. Except for high latitudes where gridboxes can be revisited within the same day due to

44 orbital swath overlap, the daily histograms represent instantaneous spatial variability of τ and r_e
45 within the $1^\circ \times 1^\circ$ gridbox.

46 The radiative transfer calculations yielding daily atmospheric column albedo,
47 transmittance, and absorptance are performed with a version of the broadband (BB) SW Column
48 Radiation Model (CORAM) of Chou et al. (1998). The salient features of this code and the
49 manner in which it is interfaced with the MODIS retrievals, Global Data Assimilation System
50 (GDAS) atmospheric information (Derber et al., 1991) and MODIS-derived surface albedo
51 (Moody et al., 2005) is described in Oreopoulos et al. (2007) and Oreopoulos and Platnick
52 (2008). In our radiative transfer calculations, the cloud is placed in the layer whose top
53 temperature is closest to the mean cloud top temperature (\bar{T}_c) as derived from the joint
54 histogram of τ and T_c . Since the MODIS-inferred cloud properties are placed in a single layer of
55 our atmospheric profile, there is no need to deal with cloud overlap which is in any case not
56 resolved by the passive MODIS observations.

57 An important modification in our version of the CORAM is the introduction of a new
58 method of calculating cloud optical properties (extinction, single-scattering albedo, asymmetry
59 factor). The changes implemented for liquid clouds are described in Oreopoulos et al. (2007) and
60 Oreopoulos and Platnick (2008). One of the reasons the original parameterization was changed
61 was to extend its applicable range above the upper limit 20 μm for which it was designed
62 originally, considering that MODIS liquid effective radius retrievals can be as high as 30 μm .
63 The retrieved τ from MODIS was used in the broad ultraviolet-visible (UV-VIS) band of the
64 CORAM which assumes a constant cloud extinction coefficient. The spectral values of τ for the
65 remaining three bands in the SW infrared and near infrared (also with flat extinction coefficients)
66 were found by rescaling the MODIS τ with the ratio of the extinction coefficient for those bands

67 with its counterpart in the UV-VIS band for the appropriate retrieved value of r_e . For ice clouds a
 68 new parameterization of scattering properties is used, based on the ice particle single-scattering
 69 properties of Yang et al. (2000, 2005). The ice habit distribution is consistent with that used for
 70 the MODIS retrieval look-up tables of Collection 5, which come from Baum et al. (2005). The
 71 particle size distributions of ice clouds come from several compaigns (see details in Baum et al.,
 72 2005) and from 21 of the 30 distributions in Fu (1996). The cloud mass extinction coefficient
 73 (β), single scattering co-albedo ($1-\omega$) and asymmetry factor (g) are fitted as a function of the
 74 effective ice crystal diameter D_e of the particle size distribution as follows:

$$75 \quad \beta = a_0 + \frac{a_1}{D_e} + \frac{a_2}{D_e^2} \quad (1a)$$

$$76 \quad 1 - \omega = b_0 + b_1 D_e + b_2 D_e^2 + b_3 D_e^3 + b_4 D_e^4 + b_5 D_e^5 \quad (1b)$$

$$77 \quad g = \begin{cases} c_0 + c_1 D_e & \text{for } D_e \leq 40 \mu\text{m} \text{ and } D_e \geq 200 \mu\text{m} \\ c_0 + c_1 D_e + c_2 D_e^2 + c_3 D_e^3 + c_4 D_e^4 + c_5 D_e^5 & \text{for } 40 \mu\text{m} < D_e < 200 \mu\text{m} \end{cases} \quad (1c)$$

78 where the fitting coefficients a_0 , a_1 , a_2 , b_0 , b_1 , etc., are found from regression. There is one set
 79 of coefficients for each SW infrared and near infrared band (i.e, flat single-scattering properties
 80 are again asumed for these bands) and 8 sets of coefficients for the UV-VIS band, one for each
 81 of its 8 sub-bands. Thus, there are 11 sets of fitting coefficients in total. The ice optical thickness
 82 retrieved by MODIS was assigned to sub-band 8 of the model's band 1 which covers the visible
 83 spectral range. The spectral optical thicknesses in the remaining model bands were found using
 84 the same rescaling procedure described above for liquid clouds.

85 Similar to Oreopoulos et al. (2007), three different albedos (R) are calculated with the SW
 86 code: (1) albedos using the $\bar{\tau}$ and \bar{r}_e values of the gridbox (the PPH albedo R_{PPH}); (2) albedos

87 using the 1D histogram of τ and the gridbox mean value of effective radius \bar{r}_e (type 1 ICA
88 albedo R_{ICA1}), i.e., obtained from multiple albedo calculations weighted by the relative frequency
89 in each τ bin; and (3) albedos using the 2D histogram (type 2 ICA albedo R_{ICA2}), i.e., obtained
90 from multiple albedo calculations weighted by the relative frequency in each (τ, r_e) bin. The
91 albedo calculated from the first method minus that calculated from the second gives the classic
92 plane-parallel albedo bias with constant microphysics ($B_1^R > 0$). The albedo calculated from the
93 first method minus that calculated from the third gives the albedo bias due to horizontal
94 variations of both τ and r_e ($B_2^R > 0$). Mathematically, the biases can be expressed as follows:

$$95 \quad B_1^R(\bar{\tau}, \bar{r}_e, \nu, \mu_0) = R_{PPH} - R_{ICA1} \equiv \mathbf{R}(\bar{\tau}, \bar{r}_e, \mu_0) - \int \mathbf{R}(\tau, \bar{r}_e, \mu_0) p(\tau) d\tau \quad (2a)$$

$$96 \quad B_2^R(\bar{\tau}, \bar{r}_e, \nu, \mu_0) = R_{PPH} - R_{ICA2} \equiv \mathbf{R}(\bar{\tau}, \bar{r}_e, \mu_0) - \int \int \mathbf{R}(\tau, r_e, \mu_0) p(\tau, r_e) d\tau dr_e \quad (2b)$$

97 where μ_0 is the cosine of the solar zenith angle, ν is a measure of either τ or joint τ - r_e variability
98 (e.g., a shape parameter of the 1-D probability density function $p(\tau)$ or the 2-D probability
99 density function $p(\tau, r_e)$), and \mathbf{R} is the reflectance function (e.g., the analytical solution of the
100 two-stream approximation). The dependencies of the albedo bias on molecular absorption,
101 Rayleigh scattering, and surface albedo are not explicitly shown in the above equations, so Eqs.
102 (2a) and (2b) strictly refer to isolated clouds only. It should be understood, however, that all
103 these factors (assumed to be homogeneous within the 1° gridbox) are accounted for in our
104 calculations. Note that the ICA calculations are subject to errors due to the discretization of the
105 1D and 2D histograms, but these errors are of random nature. Still, they may result in occasional
106 negative values of bias which are set back to zero whenever they occur. Since ICA albedos are

107 based on 1D radiative transfer calculations, they also suffer, of course, from errors due to neglect
108 of real-world horizontal photon transfer (e.g., Cahalan et al., 1994b).

109 Oreopoulos et al. (2007) has shown that the albedo bias and the bias in the top-of-the-
110 atmosphere (TOA) shortwave cloud radiative forcing $\Delta SWCRF^{TOA}$ are simply related via:

$$111 \quad \Delta SWCRF^{TOA} (< 0) \equiv SWCRF_{PPH}^{TOA} - SWCRF_{ICA}^{TOA} = -A_c B^R \mu_0 S_0 \quad (3)$$

112 where $SWCRF^{TOA}$ is simply defined as the difference in reflected solar fluxes between cloudless
113 and all-sky (i.e., including clear-cloud mixtures) conditions (Ramanathan et al., 1989), μ_0 is the
114 cosine of the solar zenith angle, and S_0 is the incident solar irradiance at TOA. Note that since B^R
115 > 0 , $\Delta SWCRF^{TOA}$ is a negative quantity that requires no separate estimations of the individual
116 PPH and ICA SWCRFs because the cloudless sky fluxes are identical and cancel out. With all
117 forcing calculations referring to TOA in this paper, the particular superscript will be dropped for
118 simplicity. Moreover, the negative sign of the SWCRF bias is also dropped and all magnitude
119 comparisons are discussed in terms of absolute values.

120 The SWCRF bias estimates are performed for each day of the month in each gridbox where
121 illumination conditions allow MODIS cloud property retrievals, and are then arithmetically
122 averaged to monthly values (the impact of some averaging choices is examined later). Zonal and
123 global averages of the gridpoint monthly values are trivially estimated as in Oreopoulos et al.
124 (2007), but with gridpoints not receiving solar illumination contributing zero to the averages.
125 Except for subsection 3e where we explicitly examine Terra-Aqua differences, all other results
126 presented are averages from the two satellites.

127 **3. Results**

128 *a. Overpass vs. daily and diurnal SWCRF bias*

129 The simplest calculation of $\Delta SWCRF$ for a particular day involves combining the Terra and Aqua
130 PPH albedo bias B^R with the insolation corresponding to the gridbox mean SZA for that day as
131 extracted from the MOD08_D3 and MYD08_D3 files. This SZA for most gridpoints
132 corresponds to the SZA of the only daylight overpass for that day and is thus ~ 90 min removed
133 from the SZA at local noon. We call the SWCRF bias obtained this way “overpass” $\Delta SWCRF$.
134 Since it corresponds to relatively high sun conditions it does not portray accurately the true
135 energy impact of the neglect of horizontal cloud inhomogeneity for the duration of the entire day
136 (sunrise to sunset). An accurate, true diurnal estimate of $\Delta SWCRF$ is on the other hand not
137 possible since the diurnal variation of cloud properties (cloud fraction and cloud properties that
138 determine their albedo) is not properly resolved with only the two measurements available within
139 daytime. To be able to assess, however, even crudely the influence of variable solar illumination
140 throughout the day, we adopt the methodology of Oreopoulos et al. (2007) for calculating
141 “daytime” $\Delta SWCRF$'s, i.e., we pair the instantaneous PPH albedo with the instantaneous
142 insolation at 2-hour intervals, and integrate over the points in time when the sun is above the
143 horizon. For the time period between sunrise and noon the Terra cloud retrievals are used while
144 from noon to sunset Aqua retrievals are used, both assumed constant within their respective
145 daytime half. These calculations of daytime $\Delta SWCRF$ are significantly more expensive
146 computationally than the overpass $\Delta SWCRF$ calculations, involving multiple bias calculations
147 per day for each gridbox.

148 But for the SWCRF biases to be comparable with other biases or forcings that operate
149 uninterrupted (e.g., counterpart infrared CRF biases due to neglect of horizontal cloud

150 condensate or cloud-top temperature variations), even the daytime $\Delta SWCRF$'s are not proper
151 measures of the energetic impact of cloud inhomogeneities. Rather 24-h (“diurnal”) estimate of
152 the SWCRF biases are needed, and those can be obtained (again, as in Oreopoulos et al., 2007)
153 by scaling the daytime biases further down by the fraction of the 24-h period that the sun is
154 above the horizon for each gridpoint.

155 The mean Terra-Aqua global biases of all three types of $\Delta SWCRF$ due to the combined
156 optical thickness and effective radius horizontal variability (i.e., B_2^R used in Eq. 3) are shown in
157 stack-bar graph form in Fig. 1. The values in parentheses indicate the ratio of global mean to
158 standard deviation for the overpass case. The ice cloud $\Delta SWCRF$ is more spatially variable than
159 that of liquid clouds and there is a slight but distinct tendency of greater dispersion for the vernal
160 and autumnal months compared to the winter and summer months. Due to the seasonal changes
161 in the geographical distribution of the SWCRF bias, the latitudinal dependence of daytime
162 length, and the non-linear nature of the global calculation, an empirical conversion of global
163 overpass bias to global daytime or diurnal bias does not exist: the ratio of daytime to overpass
164 global bias ranges from ~ 0.65 to 0.78 , while the ratio of diurnal to overpass global bias spans an
165 approximate 0.32 to 0.42 range. These values are similar to those of Oreopoulos et al. (2007) for
166 liquid clouds.

167 Overall, liquid clouds exhibit larger $\Delta SWCRF$ than ice clouds (~ 6.1 vs. 5.4 Wm^{-2} for
168 overpass bias), with the largest disparity in January ($> 1 \text{ Wm}^{-2}$ for overpass bias) and the
169 smallest in April ($< 0.25 \text{ Wm}^{-2}$ for overpass bias, increasing interestingly to about 0.5 Wm^{-2} for
170 daytime bias). The seasonal variability of bias is relatively stronger for liquid than for ice clouds,
171 especially for daytime and diurnal averages. Further analysis liquid/ice $\Delta SWCRF$ contrasts is

172 presented in subsection 3c where cloud fraction and frequency of occurrence contributions to the
173 bias are discussed.

174 *b. SWCRF bias with and without r_e variability*

175 As explained in Oreopoulos et al. (2007) for liquid clouds, inclusion of r_e horizontal variability
176 in addition to τ variability, reduces $\Delta SWCRF$ because of the negative contribution to the PPH
177 bias stemming from the weak concavity of the albedo vs. r_e curve under constant τ . Essentially,
178 once τ variability is specified from the combined τ - r_e MODIS retrievals, the r_e spatial variability
179 is only generating asymmetry factor and single-scattering albedo variability. A similar influence
180 also exists for ice clouds. Figure 2 contrasts liquid and ice clouds in terms of the global $\Delta SWCRF$
181 reduction arising from r_e spatial variability contributions, i.e., $|A_c(B_1^R - B_2^R)\mu_0 S_0|$. The global
182 effect of r_e spatial variability is a reduction of the combined Terra-Aqua absolute value of the
183 overpass bias by about 0.4 Wm^{-2} ($\sim 7\%$) for liquid clouds and about 0.25 Wm^{-2} ($\sim 5\%$) for ice
184 clouds. Other than this, there are no major impacts in the qualitative behavior of $\Delta SWCRF$ by
185 neglecting r_e horizontal inhomogeneity in the calculations. For example, the ratio of global mean
186 to standard deviation decreases only very slightly when r_e variability is neglected suggesting
187 only minor effects in the spatial patterns of the $\Delta SWCRF$ distribution. Henceforth, all SWCRF
188 bias results will be B_2^R -based.

189 *c. Cloud fraction and frequency of occurrence contributions to monthly SWCRF bias*

190 Equation (3) clearly indicates that the daily SWCRF bias of a gridpoint depends on three factors:
191 (1) the PPH albedo bias B^R of the cloudy portion of the gridpoint; (2) the cloud fraction A_c , and
192 (3) the solar irradiance $\mu_0 S_0$ received by the gridpoint. Upon dividing $\Delta SWCRF$ by A_c , the

193 forcing bias becomes the bias of the reflected TOA flux for the cloudy portion of the gridpoint.
194 For a given incident solar flux, this allows to examine whether high (low) $\Delta SWCRF$'s come from
195 high (low) PPH albedo biases or high (low) cloud fractions or a combination of both. Here, we
196 identify these “per unit cloud fraction” SWCRF biases as “no CF” biases, as in “no cloud
197 fraction was accounted for in the calculation”.

198 Furthermore, when calculating a gridpoint’s monthly mean SWCRF bias, averaging can be
199 performed either over the number of days when a cloud of a particular phase was encountered
200 during an overpass, making such an observation possible, or over the total number of days within
201 the month with an overpass. The latter calculation thus assigns zero contributions to the monthly
202 $\Delta SWCRF$ from days where no cloud of the particular phase was observed. If, for example, for a
203 gridpoint with 25 possible observations within a month, only 14 of those had liquid cloud and
204 therefore allowed estimates of liquid SWCRF bias, a monthly value of $\Delta SWCRF$ can be obtained
205 by dividing either by 25 or by 14, with the latter calculation reflecting the monthly SWCRF bias
206 of liquid clouds for that gridpoint “when present”. This method of not accounting for the
207 frequency of occurrence (FO) of clouds, which obviously gives higher monthly values of
208 $\Delta SWCRF$, was used by Oreopoulos et al. (2007) and is identified here as the “no FO” method for
209 calculating monthly values of SWCRF bias. Our default choice in this paper (used for the results
210 shown so far and all the results that follow, unless specifically stated otherwise) of including the
211 zero contributions of days without clouds of a particular phase gives a fairer estimate of monthly
212 SWCRF biases, since the ultimate impact of a forcing (and therefore its bias) depends on its
213 frequency of occurrence. Finally, one may also be interested in the mean SWCRF bias of the
214 cloudy portion of the gridpoint only for those days when cloud was present in the gridpoint. We
215 call this the “no CF/no FO” SWCRF bias because neither cloud fraction nor frequency of

216 occurrence is accounted for. Such a “SWCRF bias” is more closely associated with the
217 fundamental cloud inhomogeneity properties that give rise to the plane-parallel albedo bias.

218 The global values of all the above types of monthly $\Delta SWCRF$ are compared in the stack bar
219 plot of Fig. 3. The white bars are correspond to the same overpass values shown in Figure 1.
220 Cloud fraction and method of monthly averaging have distinctly different impact on liquid and
221 ice clouds. For instance, if averaging is performed only over the days of the month with clouds
222 of a particular phase present within the gridpoint, the sign of the liquid-ice $\Delta SWCRF$ difference
223 is reversed with ice clouds now having greater biases than liquid clouds (black “CF/no FO”
224 bars). This means that, when present, ice clouds give overall larger biases than liquid clouds,
225 partly due to larger cloud fraction as will be explained shortly, but their overall monthly
226 $\Delta SWCRF$ is reduced because they occur less frequently. When cloud frequency of occurrence is
227 accounted for (averaging over all days of the month with possible observations), but the bias is
228 normalized by the cloud fraction, i.e., when the reflected flux bias of the cloudy portion is
229 examined, the dominance of liquid over ice SWCRF bias is restored and widened (gray “no
230 CF/FO” bars). Evidently, liquid clouds form more frequently (at the time of the satellite overpass
231 at least) and are more inhomogeneous (more accurately: produce large PPH cloud albedo bias)
232 when present. When neither days devoid of clouds of a particular phase nor the cloud fraction is
233 accounted for in monthly estimates $\Delta SWCRF$ (striped “no CF/no FO” bars), the disparity of
234 liquid and ice cloud tapers again because the larger frequency of occurrence of liquid clouds no
235 longer contributes to the monthly bias; nevertheless, overall, the reflected flux bias in areas
236 covered by liquid clouds exceeds that in ice cloud-covered areas, and this is more prominent in
237 January and least in July.

238 *d. Geographical distributions of the SWCRF bias*

239 Figure 4 shows the geographical distribution of liquid and ice cloud overpass SWCRF bias from
240 combined Terra-Aqua results for all four months. The figures reflect known patterns and regimes
241 of the clouds of each phase and have obvious correlations with cloud inhomogeneity and PPH
242 albedo bias maps in Oreopoulos and Cahalan (2005) and Oreopoulos et al. (2007), respectively
243 (the latter only for liquid clouds). The largest liquid $\Delta SWCRF$'s occur in January in the vicinity
244 of sea of Japan and the Korean peninsula where mid-latitude winter storm systems form, and in
245 the eastern equatorial Pacific extending to the broader Colombia/Equador region, where
246 cloudiness is of convective origin (Figure 4a). Neither of these two regions stands out in the
247 other three months. The marine stratocumulus regions in the eastern parts of the major oceans
248 also exhibit strong seasonality in $\Delta SWCRF$, with October having in general the largest values,
249 coinciding with the seasonal peak in cloud fraction (Oreopoulos and Davies, 1993). Mid-latitude
250 oceans are quasi-permanent areas of large liquid SWRCF bias, but with values that are largely
251 dependent on available solar illumination (contrast January and July southern oceans). The ice
252 $\Delta SWCRF$ maxima on the other hand are more clearly confined in convective areas and follow the
253 movement of the ITCZ (Figure 4b). The mid-latitude $\Delta SWCRF$'s of ice clouds generally stay
254 below $\sim 15 \text{ Wm}^{-2}$ and are mostly smaller than their liquid counterparts, but not by as much as
255 suggested by their color designation which is partly a result of the wider range of the ice cloud
256 colorbar.

257 The zonal distribution of monthly $\Delta SWCRF$ (Terra-Aqua averages) is shown only for
258 January and July (Figure 5). In this case we chose to show the 24-h biases to capture latitudinal
259 changes in sunlight duration. Features that also stood out in the full geographical distribution are
260 prominent, such as the summer peaks in mid-latitude liquid SWCRF bias which assume values

261 close to 7 Wm^{-2} . The ice $\Delta SWCRF$ peaks are somewhat smaller and appear in the equatorial
262 zone, shifting with the seasonal movement of the ITCZ. Even though plentiful solar illumination
263 is available, minima occur in broad subtropical zones of descending portions of the Hadley cell
264 where deserts and marine stratocumulus regions are prevalent.

265 Land-ocean global overpass $\Delta SWCRF$ differences are highlighted in Figure 6. The bias is
266 clearly greater over oceans for both cloud types and all months with the exception of July where
267 liquid cloud biases are very similar over land and ocean. The main reason for this seems to be the
268 dramatic decrease of $\Delta SWCRF$ over the southern midlatitude oceans (Fig. 4a), due to the lower
269 winter solar illumination. Peaks of $\Delta SWCRF$ over certain land areas such as over south Asia
270 probably play only minor role in determining this July near-parity of liquid cloud biases. Besides
271 differences in cloud heterogeneity, cloud fraction, and availability of solar insolation, the overall
272 lower land $SWCRF$ bias is probably also partly attributable to the brighter land surfaces which
273 tend to reduce the cloud albedo contribution to the TOA albedo and therefore dampen albedo
274 differences between homogeneous and inhomogeneous clouds.

275 *e. Terra vs. Aqua differences*

276 Figure 7 show the percentage differences (normalized by the combined Terra-Aqua $SWCRF$
277 bias) of Terra minus Aqua overpass $SWCRF$ biases. Differences are in general negative (Aqua
278 bias greater in absolute value than Terra bias), but this is much more pronounced for ice clouds,
279 suggesting a stronger diurnal cycle with an afternoon increase in cloud inhomogeneity for this
280 type of clouds. Liquid clouds bias differences are either near-zero (April and July) or of opposite
281 sign (January and October). To isolate the morning-afternoon differences in cloud
282 inhomogeneity from cloud fraction and frequency of cloud occurrence effects, the “no CF/no FO

283 (see subsection 3c) overpass $\Delta SWCRF$ relative differences are also plotted. The latter differences
284 are now always negative for the liquid clouds too. Clearly, cloud fraction and frequency of
285 occurrence reduces morning-afternoon differences due to cloud inhomogeneity alone, i.e.,
286 similar to ice clouds, liquid clouds tend also to be more heterogeneous in the afternoon. This is
287 consistent with the cloud inhomogeneity factor results presented by Oreopoulos and Cahalan
288 (2005) and the PPH albedo bias results of Oreopoulos et al. (2007).

289 Because global means do not necessarily give the complete picture of Terra and Aqua
290 $\Delta SWCRF$'s differences, we performed additional analysis on the July 2005 liquid case exhibiting
291 near-zero $\Delta SWCRF$ difference and the January 2005 ice case exhibiting the greatest negative bias
292 difference. Figure 8 plots frequency distributions of Terra and Aqua $\Delta SWCRF$ for these cases. It
293 is apparent that the near parity of Terra and Aqua July liquid $\Delta SWCRF$ is not the result of
294 cancellations from different segments of the bias distribution. The Aqua and Terra bias
295 histograms overlap almost perfectly before starting to diverge only at the rightmost tail of the
296 distribution representing rare occurrences of large $\Delta SWCRF$ values (top panel). On the other
297 hand, for the January ice case histogram divergence starts at higher normalized frequencies (even
298 though the separation point is again around the 20 Wm^{-2} bin as in the liquid case). Aqua forcing
299 biases for this case are not only overall greater, but their distribution is wider as evidenced both
300 by the shape of the histogram and the magnitude of the standard deviation of the bias distribution
301 (given in parentheses) which is about 20% larger than that of Terra.

302 Finally, we also examined whether ocean-land contrasts exist in the Terra-Aqua $\Delta SWCRF$
303 differences. Figure 9 reveals how the global differences of Fig. 7 are ultimately determined. For
304 liquid clouds, Terra $\Delta SWCRF$ absolute values systematically exceed (fall behind) those of Aqua

305 over ocean (over land); for ice clouds $\Delta SWCRF$ differences are negligible over ocean and quite
306 substantial over land with the latter obviously responsible for the negative global values in Fig.
307 7. That this behaviour is driven almost exclusively by morning-afternoon differences in cloud
308 inhomogeneity over land and ocean was confirmed by plotting the counterpart of Fig. 9 for “no
309 CF/no FO” $\Delta SWCRF$'s (not shown): oceanic differences hovered around zero while continental
310 differences were strongly negative (Aqua $\Delta SWCRF$'s larger in absolute magnitude), for clouds of
311 both phases.

312 **4. Summary and conclusions**

313 The global plane-parallel bias of the shortwave cloud radiative forcing SWCRF (also known as
314 the shortwave cloud radiative effect) at 1 deg scales is examined using global MODIS (Terra and
315 Aqua) cloud optical property retrievals for four months of 2005 representative of the
316 meteorological seasons and a broadband shortwave radiative transfer code. The absolute value of
317 the (negative) global SWCRF bias of liquid clouds at the top of the atmosphere is $\sim 6 \text{ Wm}^{-2}$ for
318 MODIS overpass times skewed towards near solar noon conditions, while the SWCRF bias for
319 ice clouds is smaller in absolute terms by $\sim 0.7 \text{ Wm}^{-2}$, but with stronger spatial variability. A
320 significant contributor to liquid cloud SWCRF biases being greater is the higher frequency of
321 occurrence of liquid clouds, which in conjunction with the higher average plane-parallel albedo
322 bias, overcompensate for the higher cloud fraction of ice clouds, when present. If effective radius
323 variability is neglected (only optical thickness horizontal variations are accounted for), SWCRF
324 biases increase in absolute values by about $0.3\text{-}0.4 \text{ Wm}^{-2}$ on average. Rough conversions of these
325 biases to daytime and diurnal (24-h) values yield values that are $\sim 25\text{-}35 \%$ and $\sim 60\text{-}70 \%$
326 smaller, respectively. Oceanic clouds of both phases assume larger (more negative) SWCRF

327 biases than continental clouds. Finally, morning (Terra)–afternoon (Aqua) differences in
328 SWCRF bias are much more pronounced for ice than liquid clouds, reaching about ~15% (Aqua
329 producing stronger negative bias) on global scales, with almost all contribution to the difference
330 coming from land areas.

331 If one wants to distill the present analysis to a single representative number of the lower
332 limit of global SWCRF bias, then the diurnal “24 h” values of Fig. 1, corresponding to combined
333 optical thickness and effective radius variability and accounting for both cloud fraction and
334 frequency of occurrence, are appropriate. Taking the arithmetic mean of the four monthly values
335 yields a SWCRF bias is 2.37 Wm^{-2} for liquid clouds and 1.83 Wm^{-2} for ice clouds. Due to the
336 nature of MODIS observations where liquid and ice clouds cover non-overlapping portions of
337 the gridpoint, these numbers must be added. Their total of 4.2 Wm^{-2} serves then as an estimate of
338 the lower bound of global SWCRF bias. Characterizing this as lower bound is justified mainly by
339 the inclusion of zero contributions from cloudless and non-illuminated areas, and to a lesser
340 extent by the omission of the relatively small fraction of clouds classified by MODIS as “mixed”
341 and “undetermined”. Still, a more accurate assessment requires knowledge of the full diurnal
342 variation of cloud properties, and perhaps more sophisticated treatments of atmospheric (e.g.,
343 accounting for aerosols) and surface albedo effects.

344 Our global SWCRF bias values, along with the more detailed breakdown of bias behaviour
345 seen in our full suite of results should provide a valuable validation reference for global
346 modeling approaches that are able to generate mesoscale cloud inhomogeneity, provided that
347 some effort is extended to simulate the MODIS worldview. This would ideally entail use of some
348 type of “MODIS simulator” where the most basic characteristics of passive radiometry retrievals,
349 such as presumably unobscured views for low cloud retrievals, vertical integration of optical

350 thickness, and strong dependence of cloud microphysics and phase characterization to near cloud
351 top conditions, are imitated. The temporal and spatial sampling of MODIS should also be
352 properly taken into account. Furthermore, it is important to keep in mind that any calculations of
353 plane-parallel albedo or forcing bias are tied to the spatial scale at which the horizontal
354 variability of cloud properties is considered, so that any global model–MODIS comparison
355 should be performed on identical grids.

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Figure Captions

Figure 1. Stack-bar plot showing the combined MODIS Terra-Aqua global monthly-averaged SWCRF bias using B_2^R in Eq. (3) for liquid (lower four bars) and ice (upper four bars) clouds for the four months used in this study. Overpass, daytime, and diurnal (24-hour) values are shown (see text). The values in parentheses indicate the ratio of global mean to standard deviation for the overpass case.

Figure 2. Absolute (Wm^{-2}) and percentage reduction of the combined Terra-Aqua global monthly overpass SWCRF bias from using B_2^R (r_e spatial variability included in PPH bias estimates) instead of B_1^R in Eq. (3).

Figure 3. Stack-bar plot showing global monthly B_2^R -based overpass SWCRF biases for our default calculation (black) and for three other methods that ignore cloud fraction and/or frequency of occurrence of clouds of the respective phase (see text for details). As in the previous plots, the values shown here are Terra-Aqua averages.

Figure 4a. Geographical distribution of the combined Terra-Aqua monthly overpass SWCRF bias of liquid clouds from combined optical thickness and effective radius variability for the four months examined in this paper. Black areas indicate no data availability. Clockwise from top: January 2005, April 2005, October 2005, and July 2005.

Figure 4b. As Fig. 4a, but for ice clouds.

Figure 5. Zonal dependence of the combined Terra-Aqua monthly 24-h SWCRF bias (B_2^R -based) for January and July 2005.

Figure 6. Monthly Terra-Aqua combined B_2^R -based overpass SWCRF bias averaged separately over the globe's land and ocean gridpoints.

Figure 7. Percentage difference (normalized by their combined value) of Terra minus Aqua global monthly overpass SWCRF biases (B_2^R -based). Along with the default regular SWCRF biases, results from the “no CF/no FO” (see subsection 3c) bias calculation are also shown. These reveal the extent to which the Terra-Aqua SWCRF biases are due to differences in cloud fraction (CF) and frequency of cloud occurrence (FO).

Figure 8. Logarithmic normalized frequency of occurrence of monthly overpass SWCRF biases (B_2^R -based) for July 2005 liquid clouds (top) and January 2005 ice clouds (bottom). The global mean SWCRF biases and their standard deviations (in parentheses) are also given.

Figure 9. Absolute difference of Terra minus Aqua monthly overpass SWCRF biases (B_2^R -based) averaged separately over the globe’s ocean and land gridpoints.

Terra-Aqua average

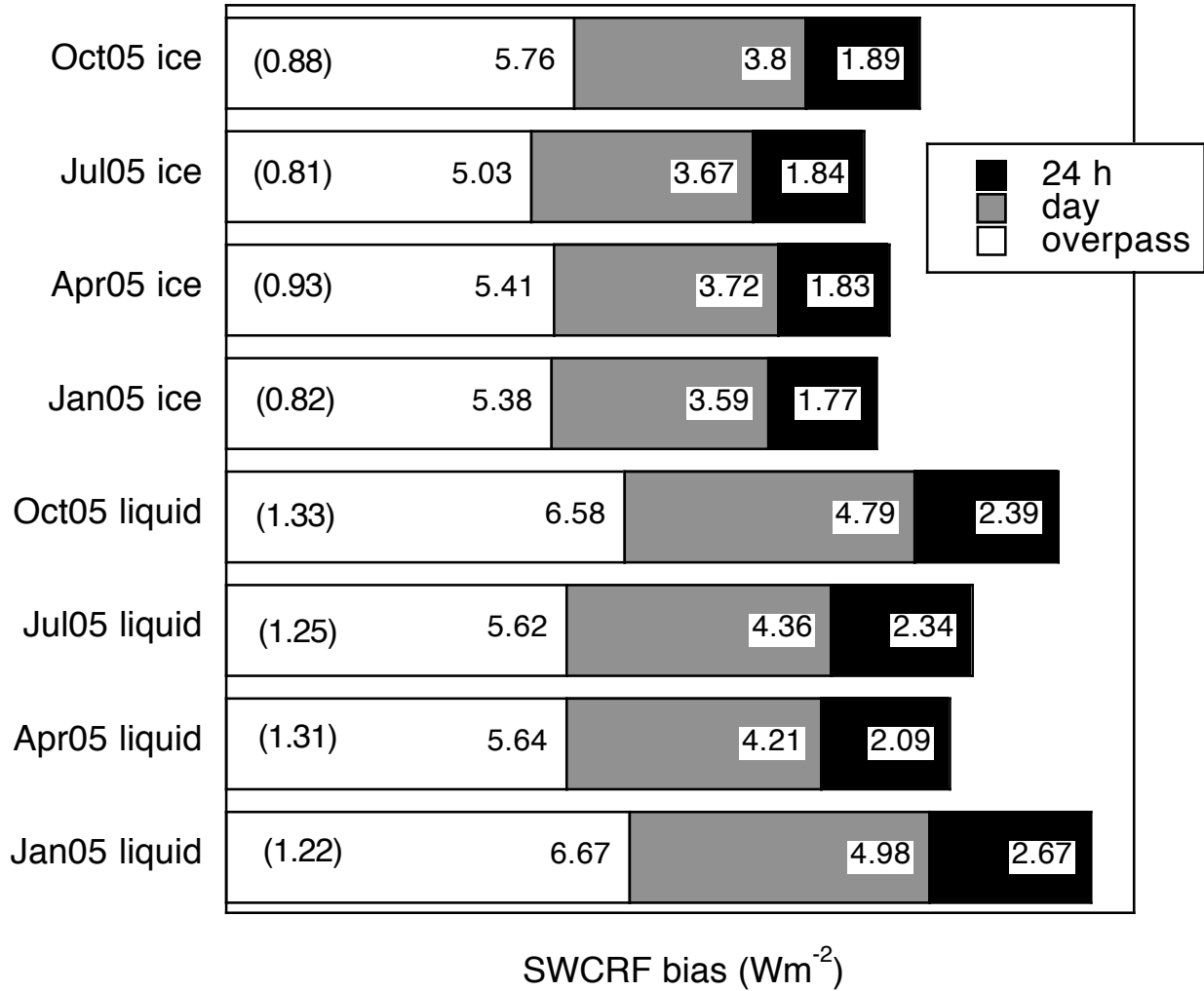


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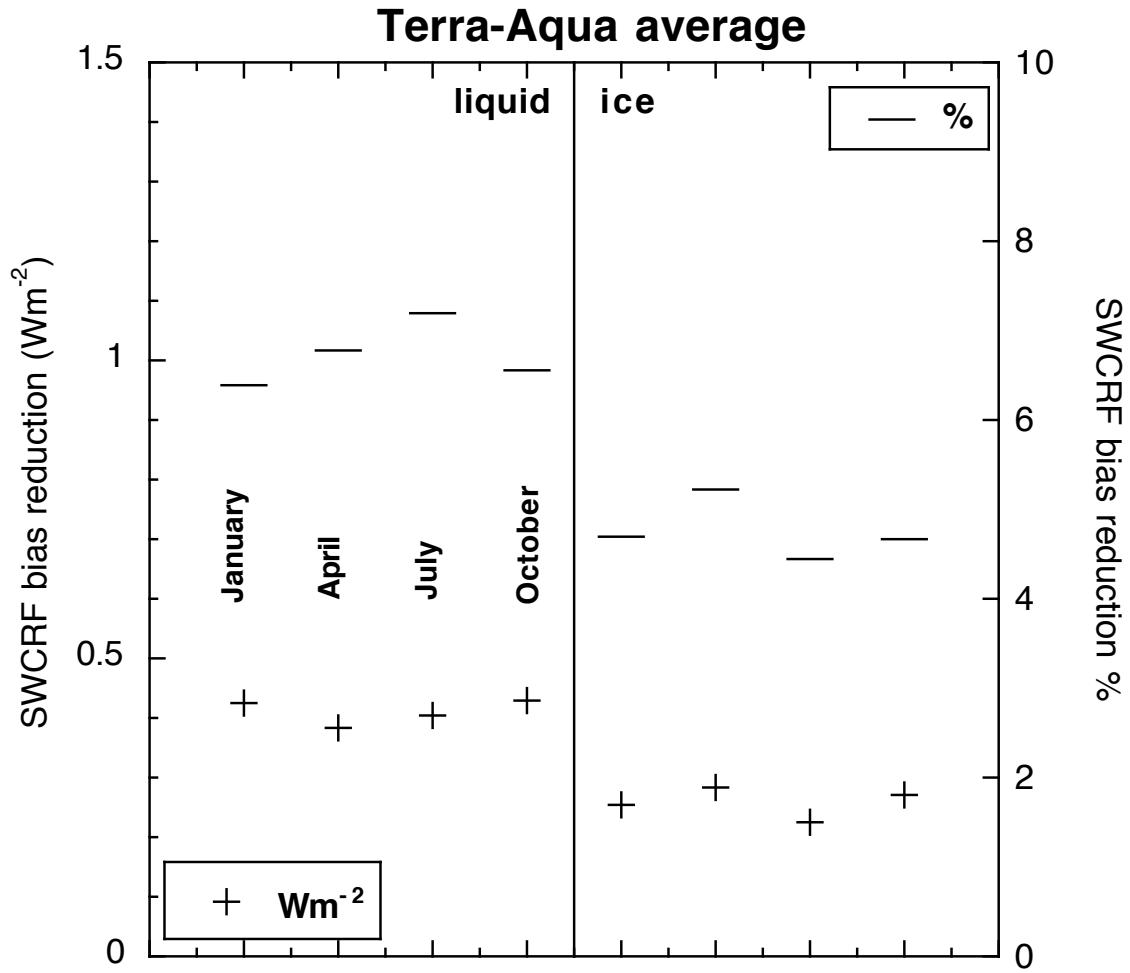


Figure 2. Absolute (Wm^{-2}) and percentage reduction of the combined Terra-Aqua global monthly overpass SWCRF bias from using B_2^R (r_e spatial variability included in PPH bias estimates) instead of B_1^R in Eq. (3).

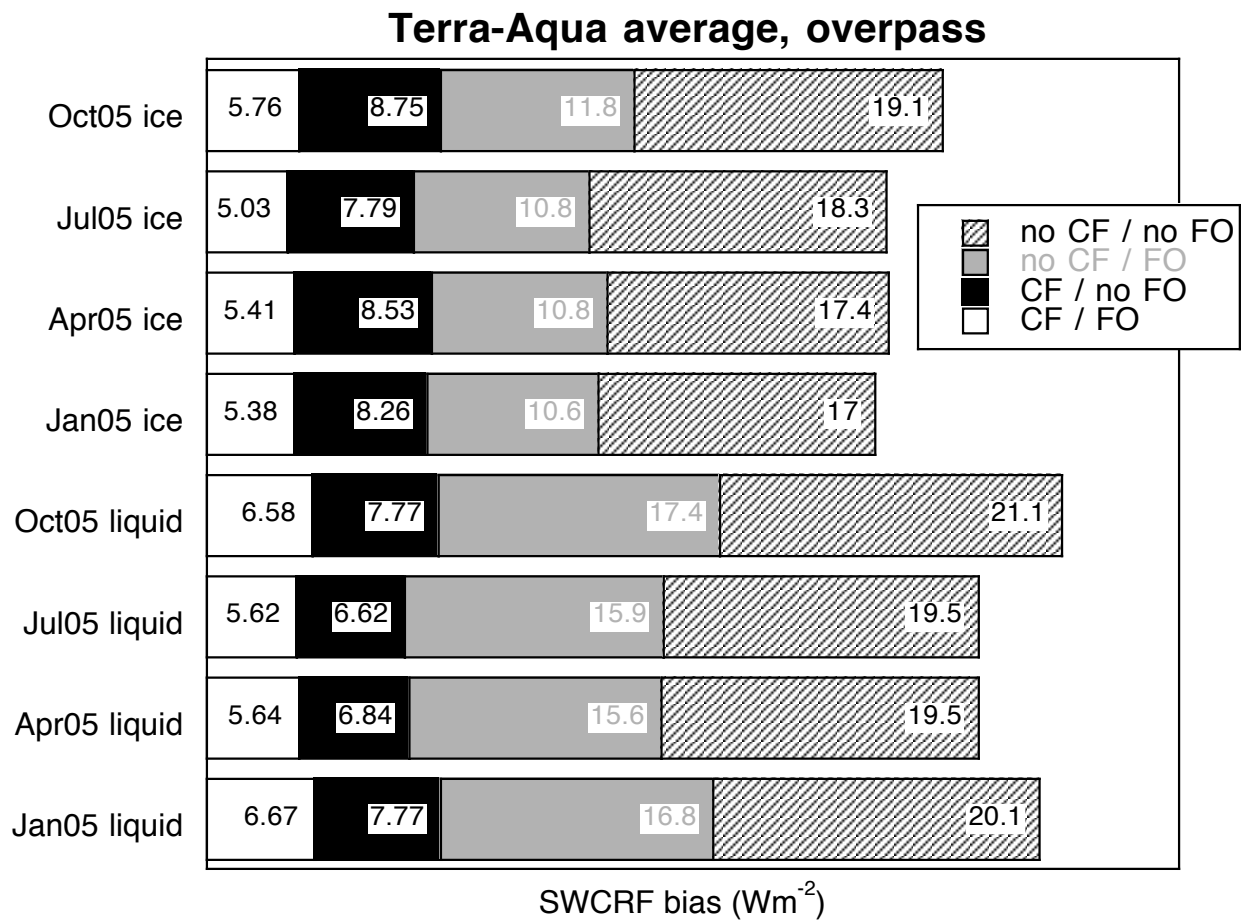


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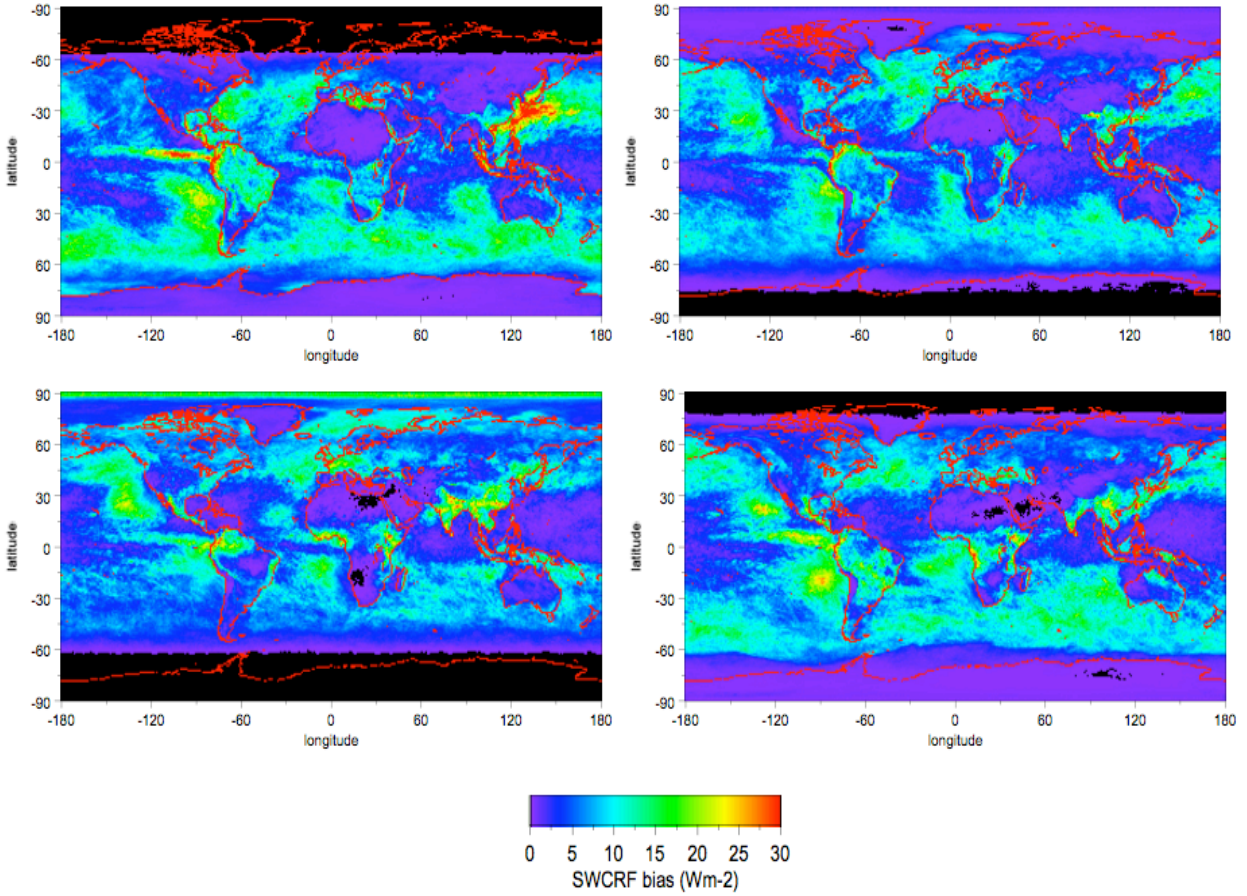


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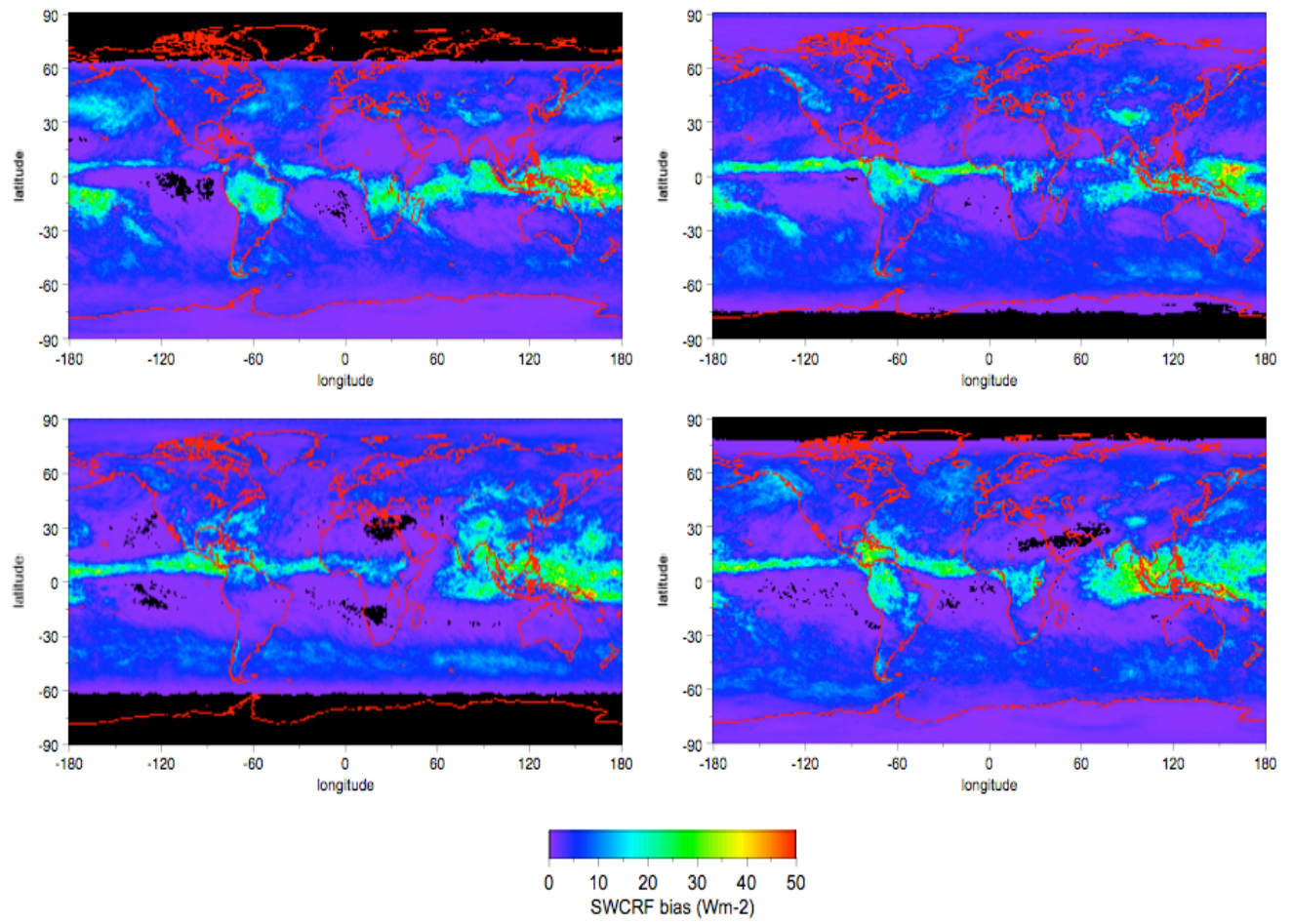


Figure 4b. As Fig. 4a, but for ice clouds.

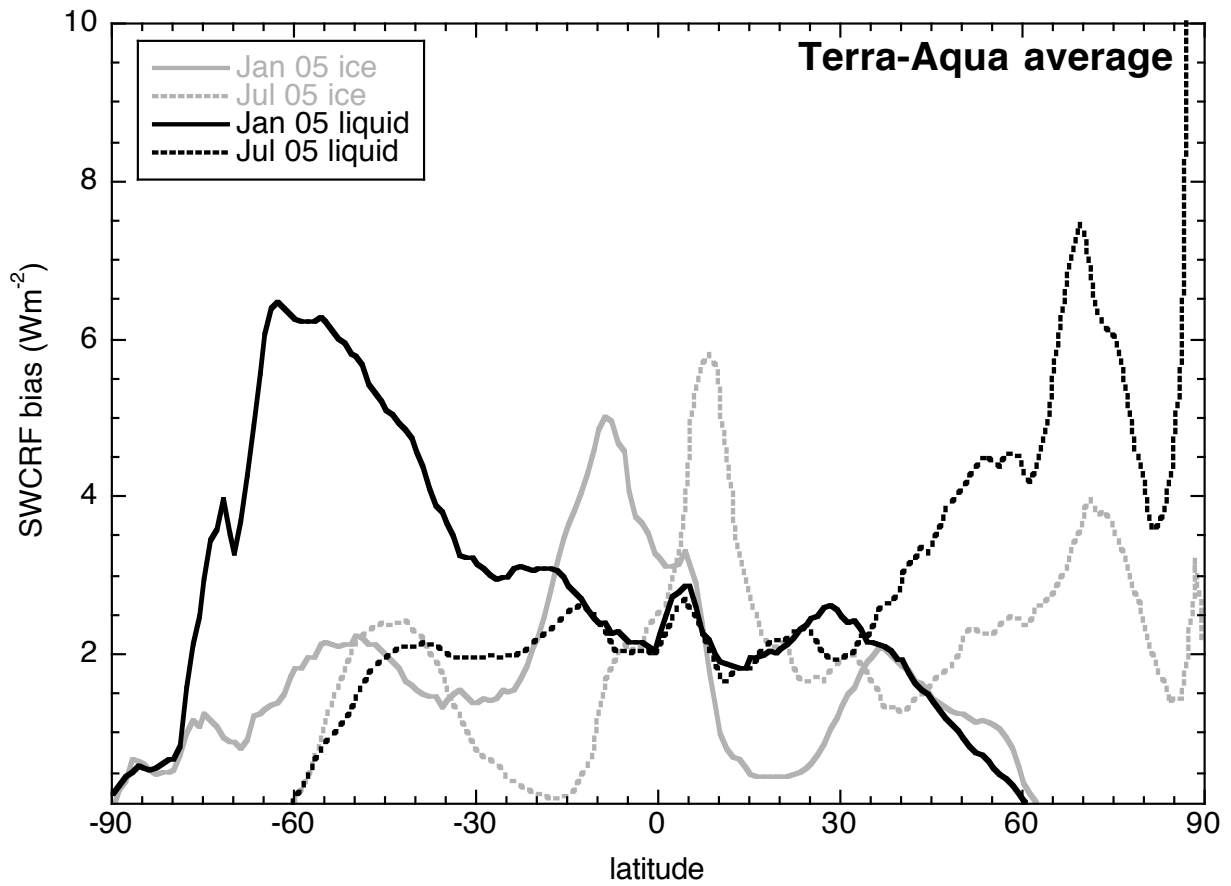


Figure 5. Zonal dependence of the combined Terra-Aqua monthly 24-h SWCRF bias (B_2^R -based) for January and July 2005.

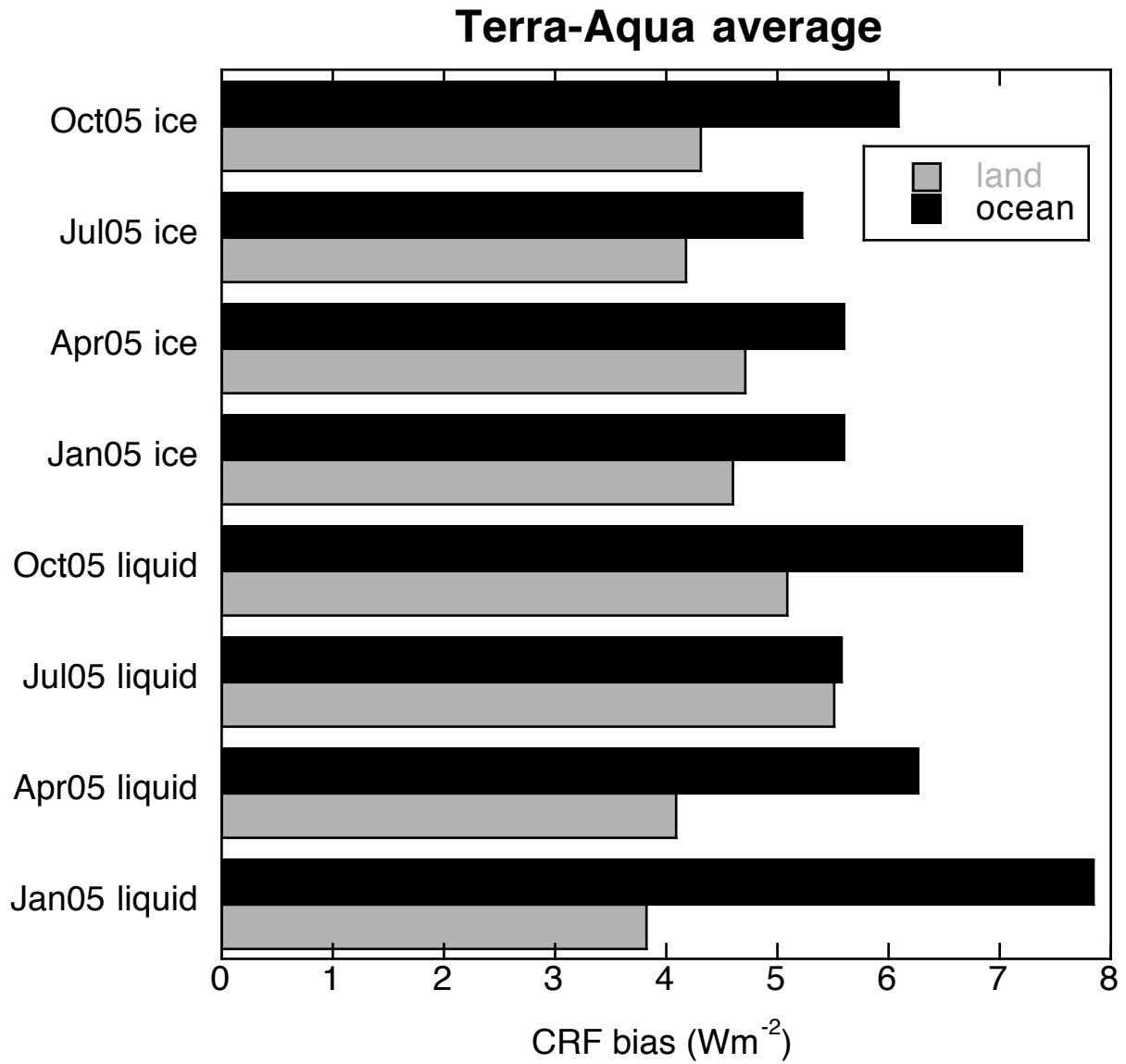


Figure 6. Monthly combined Terra-Aqua B_2^R -based overpass SWCRF bias averaged separately over the globe's land and ocean gridpoints.

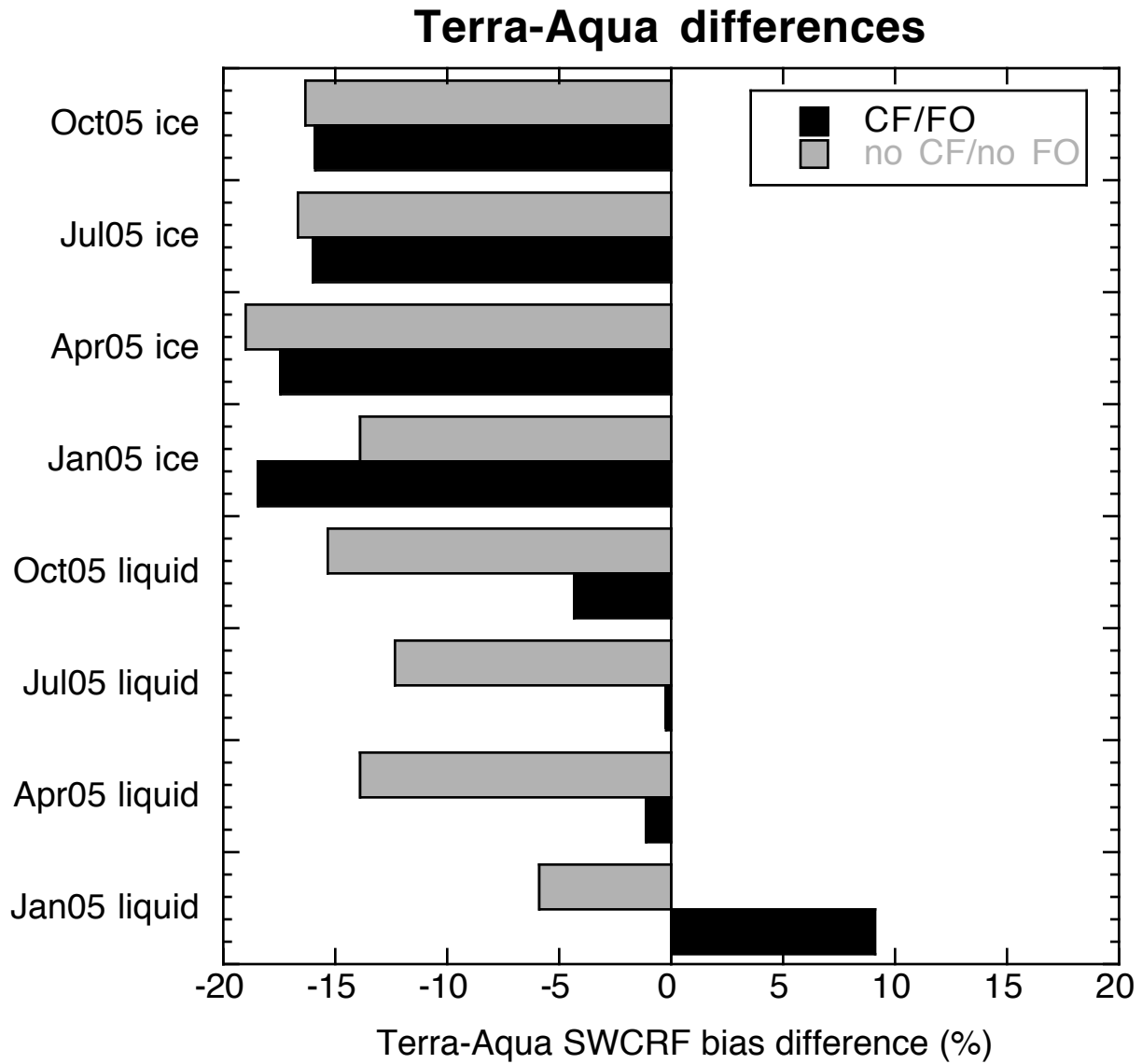


Figure 7. Percentage difference (normalized by their combined value) of Terra minus Aqua global monthly overpass SWCRF biases (B_2^R -based). Along with the default regular SWCRF biases, results from the “no CF/no FO” (see subsection 3c) bias calculation are also shown. These reveal the extent to which the Terra-Aqua SWCRF biases are due to differences in cloud fraction (CF) and frequency of cloud occurrence (FO).

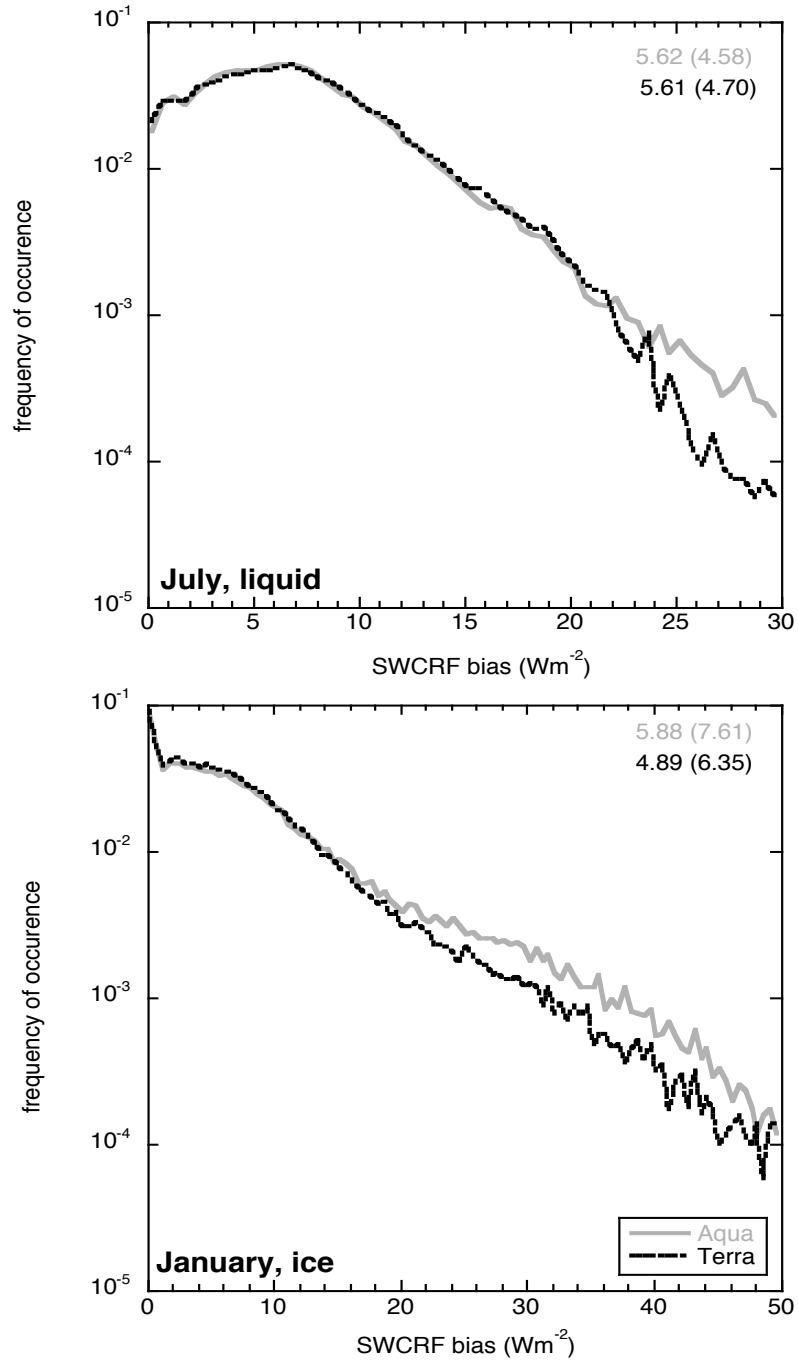


Figure 8. Logarithmic normalized frequency of occurrence of monthly overpass SWCRF biases (B_2^R -based) for July 2005 liquid clouds (top) and January 2005 ice clouds (bottom). The global mean SWCRF biases and their standard deviations (in parentheses) are also given.

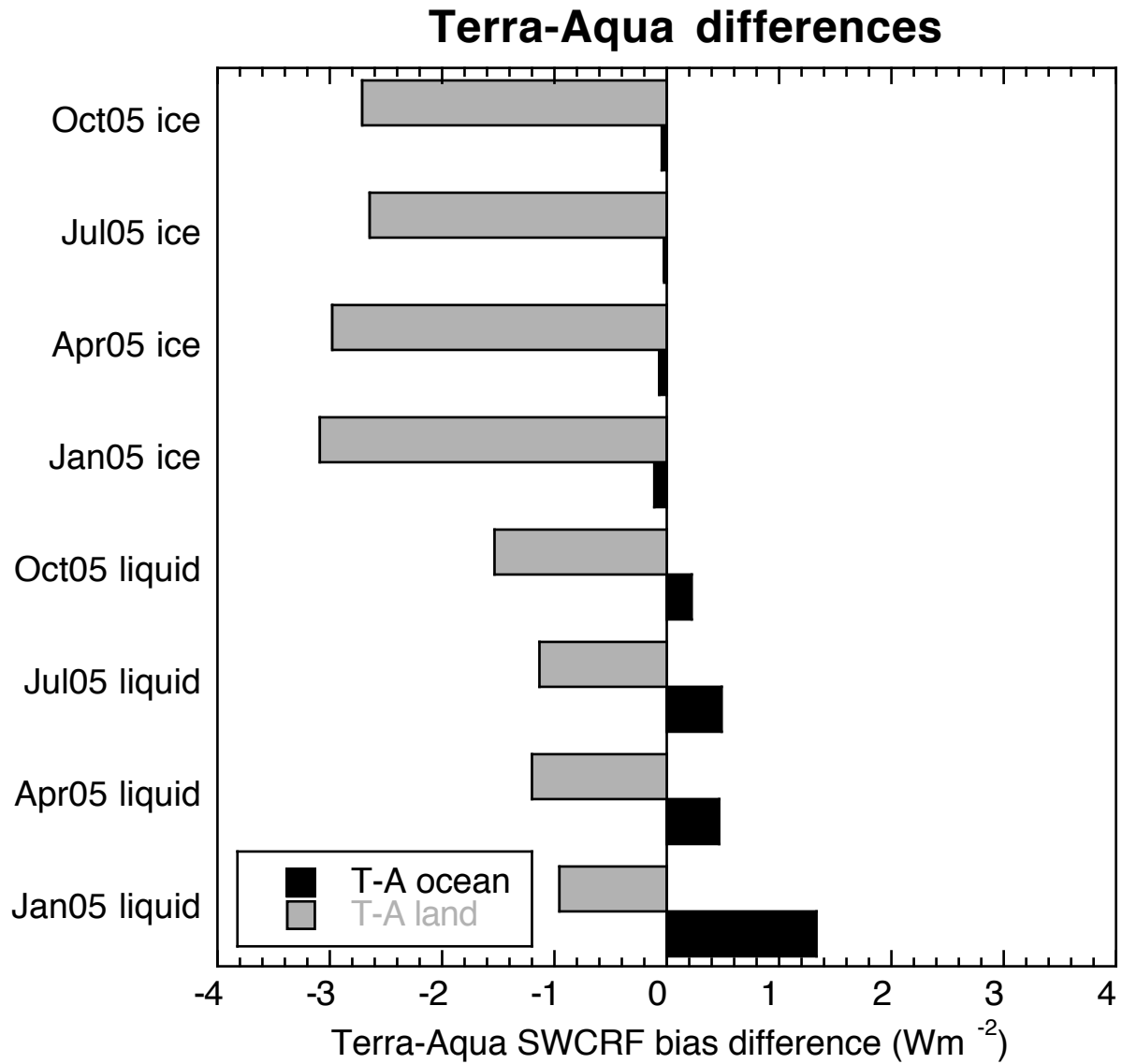


Figure 9. Absolute difference of Terra minus Aqua monthly overpass SWCRF biases (B_2^R -based) averaged separately over the globe's ocean and land gridpoints.