

THE COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND

United States Department of the Treasury



CERTIFICATION OF MATERIAL EVENTS

Organization Name: _____
EIN #: _____
Certification Control # (if available): _____

Definition:

A "Material Event" is an occurrence that affects an organization's strategic direction, mission, or business operation and, thereby, its status as a certified Community Development Entity (CDE) and/or Community Development Financial Institution (CDFI), and/or its compliance with the terms and conditions of its assistance/allocation agreement. All terms in *italics* are defined in the CDFI Fund's Glossary, which is available on the CDFI Fund's website at: www.cdfifund.gov.

Instructions:

This form is to be used by certified CDEs and CDFIs, and entities with an application for CDE or CDFI certification pending with the Fund to report Material Events. For additional guidance, refer to the "Frequently Asked Questions (FAQ): Material Event Occurrence and Reporting" document available on the Fund's website.

Applicants for funding (allocation) must comply with the requirements in the appropriate Notice of Funds (Allocation) Availability with respect to submitting this form. The Certification below must be signed by the organization's Authorized Representative. The completed form should be addressed to the attention of the Grants Manager and faxed to (202) 622-9625.

Check the appropriate box for the reporting entity as of the date this form is signed:

- I certify that no Material Event has occurred in the reporting entity since the date of its most recent certification/re-certification application that affects its status as a certified CDE or CDFI.
- A Material Event(s) has (have) occurred in the above-named organization since the date of its most recent certification/re-certification application, that may affect its status as a certified CDE or CDFI. I certify that the events listed below constitute all of such Material Events (attach additional pages if needed).

Date of Material Event:
Explanation of Material Event:
Date of Material Event:
Explanation of Material Event:

To the best of my knowledge and belief, all information contained in this certification statement is true and correct. My signature indicates authorization on behalf of the organization's governing body.

Authorized Representative Signature: _____
Date: _____ Title: _____

This document has been posted to the Community Development Financial Institutions (CDFI) Fund website for informational purposes or specifically expressed use only. Any alteration, modification or unauthorized reproduction of this document is prohibited and may be unlawful. The CDFI Fund is not responsible for any alteration, modification or unauthorized reproduction of this document that has not been expressly approved by the CDFI Fund.