

11th PCIE Hurricane Katrina Report: To Date As of 09-30-06

	CNCS	DHS	DOC	DOD	DOE(3)	DOI	DOJ	DOL	DOT	ED	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	TREAS	USDA	USPS	VA	TOTAL
Section 05. PURCHASE CARD: OIG REVIEWS																							
A. % of PCard Transaction \$ reviewed to date	0%	100%	0%	see DOD comments	0%	0%	63%	0%	0%	0%	50%	0%	0%	0%	91%	0%	0%	0%	0%	0%	100%	0%	

Section 06. NON-CONTRACT: OIG REVIEWS																							
A. # Grant Reviews																							
1. In-Process	22	1	-	-	-	-	-	3	2	7	-	-	1	-	-	-	-	-	-	1	-	-	-
2. Completed	32	-	-	-	-	-	1	5	2	1	-	-	-	-	-	-	-	-	-	1	-	-	-
B. # Mission Assignment Reviews																							
1. In-Process	-	-	-	2	1	-	-	-	2	-	-	5	-	-	1	-	-	-	-	10	1	-	-
2. Completed	-	-	-	-	-	-	-	-	4	-	-	-	-	-	-	-	-	-	-	4	-	-	-
C. # Other Non-Contract Reviews																							
1. In-Process	-	-	-	8	1	1	1	5	1	-	-	-	2	3	-	7	-	1	2	11	10	-	3
2. Completed	-	-	-	4	1	-	1	8	2	-	-	1	1	-	-	5	-	9	-	1	1	10	-
Section 07. OIG REPORTING																							
A. # Reports Issued	58																						
B. Reported \$ Value (in thousands)																							
1. Questioned Costs	\$ -	\$ -	\$ 20,320.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(a). Unsupported Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Funds Put to Better Use	\$ 2,918	\$ -	\$ 77,803.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,292	\$ -	\$ 184	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Section 08. OIG CONGRESSIONAL RESPONSES																							
A. # Congressionals Received	29																						
B. # OIG Congressional Responses																							
1. In-Process	8																						
2. Completed	21																						
Section 09. OIG INVESTIGATIVE STATISTICS																							
A. # Hotline Complaints (2)	12,711																						
B. # Cases Opened	1,239																						
C. # Arrests	315																						
D. # Indictments	338																						
E. # Convictions	203																						

LEGEND:
 (1) DOD = over \$1 million
 (2) DOD = # complaints received on Katrina Hotline;
 (3) DOE = To date, DOE has received only a small portion of the funds allocated for hurricane relief-

Section 07. OIG REPORTING	115																					
Section 08. OIG CONGRESSIONAL RESPONSES	44																					
Section 09. OIG INVESTIGATIVE STATISTICS	22,647																					
A. # Hotline Complaints (2)	1,756																					
B. # Cases Opened	407																					
C. # Arrests	439																					
D. # Indictments	255																					
E. # Convictions	255																					

Section 01. OIG STAFF ASSIGNED - Managers are reported within the appropriate staff category

Agency:	Comment/Footnote:
DOD	Numbers reported reflect staff assigned not FTEs
DOL/OIG/OA	A.2 represents staff assigned as of 9-30-06. Figure is not annualized
DOL/OIG/OLRFI	A.5/A.6 represent cumulative staff through 9-30-06. Figures are not annualized.
GSA	The 3.75 FTE for auditors includes two GS-13s, and one GS-12 assigned to the audit full-time, as well as two GS-15 part time.
GSA	The one FTE investigator is comprised of two part-time investigators.
HUD	A.2 Staff consists of 5 permanent auditors including the Director and 5 detailed auditors for a total of 10
HUD	A.6 Other: The 6 specialists (other) listed are forensic auditors assigned to the Office of Investigations.

Section 02. CONTRACT: AGENCY ACTIONS

Agency:	Comment/Footnote:
DOD	The total dollar value of contracts or contract actions was based on data provided by the Defense Management Data Center and is current as of March 30, 2006. The reported dollar amount has not been audited and does not include contract data from the U.S. Army Corp of Engineers.
DOI	The total \$ value of contract awarded excludes those transactions completed by purchase card. This figure also includes transactions completed by DOI for other agencies.
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG
EPA	Number of contracts awarded includes new awards and other actions on existing contracts such as delivery and purchase orders.
EPA	Data as of 6/30/06 - EPA OIG has not reviewed any additional contract actions during the quarter ending 9/30/06.
GSA	Under Emergency Support Function 7 (ESF-7), GSA awards contracts on behalf of FEMA and FEMA is responsible for receiving orders and paying the contractor directly. As these contracts do not affect GSA financially, they are not input into any of GSA's procurement or financial systems. As GSA has no central data repository for these awards, we are using data from the Federal Procurement Data System as the basis for reporting as this is the only data that includes the data elements being reported. However, this data may include GSA procurements in addition to procurements made in support of FEMA under ESF-7.
GSA	Some adjustments to the data have been made to the FPDS data to provide a better assessment. For example, FPDS line items with \$0 and negative amounts were removed from counts of contract actions (but were included in "\$ Value Contracts Awarded") as these are primarily modifications to contract actions already being reported.
GSA	Independent of the FPDS information, GSA has maintained informal procurement logs at ESF-7 locations. The logs are not uniform and vary with regard to the information included.
HUD	According to the logs we have obtained, GSA made over 1,300 procurements for FEMA valued at over \$900 million.
HUD	B.2 Noted - the HUD OIG has completed 3 audits two external audits and one internal audit. The external audits involved 2 M&M contractors Cityside (\$1.1 mil) and SW Alliance(\$3.8 mil) with the objective of evaluating the reasonableness of subcontractor costs. The internal audit looked at all disaster contract dollars (\$17.9 mil) to ensure that effective contracting practices are being used. The M&M contract amt is included in the total contract amt, but the reviews are separate and have different objectives. However, because of this overlapping, the total of these reviews is \$19 mil which exceeds the total contract dollars of \$17.9 mil because of the \$ value of the individual reviews. The M&M audit reports were issued on 6-23-06 and 8-31-06.
HHS	The total \$ value of reviews totaled \$22.8 mil (1.1 + 3.8 + 17.9). 2.B.2 10th PCIE overstated in error.

Section 03. CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DOD OIG/AAA/NAS	Completed contract reviews include one Naval Audit Service review; two DoD OIG contract reviews and one Army Audit Agency review
DOD AAA	The dollar value of contract reviews in-process reflects audits involving the US Corps of Engineers. These dollars are not included in Section 2 of this report regarding agency actions.
DOD AAA/NAS	The dollar value of contract reviews completed reflects audits involving the US Corps of Engineers and Navy's Military Sealift Command. The US Army Corp of Engineer dollars are not included in Section 2 of this report regarding agency actions.
DOD	Value of reviews is adjusted downward due to changes in Army Audit Agency input. Prior reports' figures were estimated.
EPA	Number of contracts reviewed includes new awards and other actions on existing contracts such as delivery and purchase orders.

11th PCIE Hurricane Katrina Report: To Date As of 09-30-06

GSA The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety, including GSA's procurements for FEMA under ESF-7. This review will include an examination of procurements for price reasonableness, the level of competition used for the award, and management controls related to the procurement award. In accordance with guidelines from the PCIE Katrina Audit Task Force, all contract actions valued over \$500,000 are being reviewed. These contracts were awarded by GSA under ESF-7 at the joint field offices (JFO) in Jackson, MS, and Baton Rouge, LA, as well as in Chicago, IL, Atlanta, GA, and Fort Worth, TX. These contracts were identified primarily from procurement logs kept at the contracting locations. To date, there are 255 contract actions under review valued at over \$695 million.

Section 04. PURCHASE CARD: AGENCY TRANSACTIONS**Agency:**

Comment/Footnote: A total universe cannot be derived for purchase card transactions related to Hurricane Katrina.

DOI The purchase card total is a total for all "charge cards - travel and purchase" and includes both reimbursable and non-reimbursable transactions. The totals are lower than previous reports due to adjustments for non-hurricane charges identified after the fact.

DOJ The amount reported as total purchase card expenditures is less than the amount shown in earlier reports. During our audit of purchase card expenditures, we determined that some expenditures for the period August 2005 through December 2005 were incorrectly reported as purchase card expenditures.

DOT These numbers were reported by DOT management and have not been verified or audited by the OIG

EPA Data is as of 6/30/06 - EPA OIG has not conducted any review of purchase card transactions during the quarter ending 9/30/06.

GSA Under the procurement flexibilities allowed for contracting in response to Hurricane Katrina, GSA raised the procurement ceiling for charge cards to \$15,000 and only for a limited number of cardholders. Generally, GSA does not utilize purchase cards to make procurements in support of FEMA. The data reported is based on the FPDS database.

Section 05. PURCHASE CARD: OIG REVIEWS**Agency:**

Comment/Footnote: Without a total universe, we cannot determine the percentage of purchase card transactions reviewed.

DOI We are reviewing purchase card transactions as part of a larger audit effort and details of transactions reviewed are not published as of this date.

EPA Data is as of 6/30/06 - EPA OIG has not conducted any review of purchase card transactions during the quarter ending 9/30/06.

GSA There have been no reviews to date.

Section 06. NON-CONTRACT: OIG REVIEWS**Agency:**

Comment/Footnote: Although we reported only 3 ongoing reviews related to mission assignments, each review encompasses multiple mission assignments.

DOJ Audit Report No. N2006-0015, Chartered Cruise Ships, 16 February 2006

DOJ This number reflects only final audit reports issued and does not include draft reports issued.

DOJ The audit report listed in this section is entitled *DOJ Purchase Card Expenditures Related to Hurricane Recovery Efforts*.

ED Impact Aid and Re-Start Program audits (2) have been moved to planned work.

EPA "Lessons Learned: EPA's Response to Hurricane Katrina," Report No. 2006-P-00033, dated September 14, 2006

GSA The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety. This review will include a look at GSA's procurements for FEMA under ESF-7, charges against mission assignments, as well as management controls and processes internal to GSA.

HHS Medicaid Services and Payments under Hurricane Katrina Waivers (OEI-05-06-00140)

HHS Reviewing the Use of Purchase Cards in Response to Hurricane Katrina (OEI-07-06-00150)

HHS Review of Commissioned Corps Deployment in Response to Hurricane Katrina (OEI-09-06-00030)

HUD Reviews include the Homeownership review for Miss & Louis, (2) & the KDHAP voucher program (1)

NASA One OIG review has been established to review the 5 FEMA mission assignments reported in the #10 06/30/06 report.

11th PCIE Hurricane Katrina Report: To Date As of 09-30-06

Section 07. OIG REPORTING

Agency:	Comment/Footnote:
Dod OIG	The 9 Congressional received include 6 audit requests and 3 requests for testimony.
Dod OIG	The 1 completed Congressional was a request that was transferred to the Department of Homeland Security. The Dod OIG considered this request completed as it does not require further action of behalf of the Dod OIG.
Dod OIG	Congressional data being reported is cumulative.
DOI	A comprehensive audit report looking at hurricane-related issues for DOI is scheduled to be issued in January 2007.
EPA	"Existing Contracts Enabled EPA to Quickly Respond to Hurricane Katrina: Future Improvement Opportunities Exist," Report No. 2006-P-00038, dated September 27, 2006
GSA	The GSA OIG has issued an interim audit memorandum regarding standard operating procedures for GSA as reported in our 9th report, contractor responsibility determinations as reported in the 10th report, and procurement processes that are being reported this period.
HHS	Audit of Selected Procurements Under Contract 200-2005-11531 with Sanofi Pasteur, Inc. (Report Number A-04-06-01024)
HHS	Audit of Selected Procurements Under Contract 200-2005-11533 with Merck Vaccine Division (Report Number A-04-06-01025)
HHS	Audit of Selected Procurements Under Contract 200-2005-12567 with Merck Vaccine Division (Report Number A-04-06-01026)
HHS	Audit of Selected Procurements Under Contract 200-2005-12645 with GlaxoSmithKline (Report Number A-04-06-01027)
HHS	Audit of Selected Procurements Under Contract 200-2005-11532 with GlaxoSmithKline (Report Number A-04-06-01028)
HHS	Audit of Selected Procurements Under Contract 200-2005-11534 with Wyeth (Report Number A-04-06-01029)
HHS	Audit of Program Support Center's Procurement Process for Contract HHS233200500328A with Cardinal Health 200, Inc. (A-03-06-00503)
HHS	Audit of Program Support Center's Procurement Process for Contract Action HHS233200500508G with Alaska Structures, Inc. (A-03-06-00504)
HHS	Audit of Program Support Center's Procurement Process for Contract Action HHS233200600085U with Analytic Services, Inc (A-03-06-00511)

Section 08. OIG CONGRESSIONAL RESPONSES

Agency:	Comment/Footnote:
Dod OIG	The Hotline statistics being reported are cumulative and are current as of last reporting period. The Hurricane Relief Fraud Hotline was transferred from the Dod OIG to the Hurricane Katrina Fraud Task Force Command Center in Baton Rouge, LA on March 20, 2006.
Dod OIG	In addition to the one arrest reported, a second defendant surrendered to authorities.
Dod OIG	The two reported convictions are not the result of an indictment but rather the filing of criminal information.
HHS	In our report, "Nursing Home Evacuation Planning and Execution" (OEI-06-06-00020), we recommended that the Centers for Medicare & Medicaid Services (CMS) consider strengthening Federal certification standards for nursing home emergency plans after OIG's study of emergency preparedness for homes in five Gulf Coast States found that the selected nursing homes all experienced problems during the 2004 and 2005 hurricanes, whether evacuating or sheltering in place. Nursing home administrators and staff often did not follow their emergency plans, the plans were often missing suggested provisions, and a lack of collaboration between State and local emergency entities and nursing homes impeded emergency planning and response. CMS concurred with these recommendations and is exploring ways to strengthen Federal certification standards for emergency preparedness and to promote better coordination among Federal, State, and local emergency management entities.

Section 09. OIG INVESTIGATIVE STATISTICS

Agency:	Comment/Footnote:
Dod OIG	The Hotline statistics being reported are cumulative and are current as of last reporting period. The Hurricane Relief Fraud Hotline was transferred from the Dod OIG to the Hurricane Katrina Fraud Task Force Command Center in Baton Rouge, LA on March 20, 2006.
Dod OIG	In addition to the one arrest reported, a second defendant surrendered to authorities.
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