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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The organization may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2001

For calendar year 2001, or tax year beginning 7/01/01, and ending 6/30/02

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type See Specific Instructions

Name of organization
JOHN LOCKE FOUNDATION INC.

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
200 W. MORGAN STREET, STE. 200

City or town state and ZIP code
RALEIGH NC 27601

A Employer identification number
56-1656943

B Telephone number (see page 10 of the instructions)
919-828-3876

C If exemption application is pending check here ▶ ☐

D 1 Foreign organizations check here ▶ ☐

2 Foreign org meeting the 85% test check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ ☒

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c) line 16) ▶$

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amount in col (b) (c) & (d) may not necessarily equal the amounts in column (a) (see pg 10 of the instr))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions gifts grants etc received (attach schedule)	1,514,215			
	Check ▶ ☐ if the foundation is not required to att. Sch B				
2	Distributions from split-interest trusts				
3	Interest on savings and temporary cash investments	6	6	6	
4	Dividends and interest from securities				
5a	Gross rents				
b	(Net rental income or (loss) ______)				
6a	Net gain or (loss) from sale of assets not on line 10	-4,367			
b	Gross sales price for all assets on line 6a				
7	Capital gain net inc (from Part IV line 2) Stmt 1				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (att schedule)				
11	Other income (attach schedule) Stmt 2	27,344		27,344	
12	**Total** Add lines 1 through 11	1,537,198	6	27,350	
13	Compensation of officers directors trustees etc	170,647			170,647
14	Other employee salaries and wages	576,276			517,631
15	Pension plans, employee benefits	122,646			117,963
16a	Legal fees (attach schedule) Stmt 3	6,275			6,275
b	Accounting fees (attach schedule) Stmt 4	5,111			4,702
c	Other professional fees (att sch) Stmt 5	82,406			76,949
17	Interest	852			767
18	Taxes (att sch) (see pg 14 of the instr)				
19	Depreciation (att sch) & depletion	10,466			
20	Occupancy	85,302			78,478
21	Travel, conferences, and meetings	133,102		27,350	108,045
22	Printing and publications	139,934			110,900
23	Other expenses (attach schedule) Stmt 6	179,340	6		144,210
24	**Total operating and administrative expenses** Add lines 13 through 23	1,512,357	6	27,350	1,336,567
25	Contributions gifts, grants paid				
26	**Total expenses and disbursements** Add lines 24 and 25	1,512,357	6	27,350	1,336,567
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	24,841			
b	**Net investment income** (if negative, enter -0-)		0		
c	**Adjusted net income** (if negative, enter -0-)			0	

(Revenue: lines 1–12; Operating and Administrative Expenses: lines 13–26)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash-non-interest-bearing	20,569	35,782	
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ 500			
		Less allowance for doubtful accounts ▶	15,000	500	
	5	Grants receivable			
	6	Receivables due from officers directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes & loans receivable ▶ See Wrk 15,246			
		Less allowance for doubtful accounts ▶	9,116	15,246	
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	551	400	
	10a	Investments-U S and state government obligations (att. schedule)			
	b	Investments-corporate stock (attach schedule)			
	c	Investments-corporate bonds (attach schedule)			
	11	Investments-land buildings, & equipment basis ▶ 70,678			
		Less accumulated depreciation ▶ Stmt 7 52,064		18,614	
	12	Investments-mortgage loans			
	13	Investments-other (attach schedule)			
	14	Land, buildings and equipment basis ▶			
		Less accumulated depreciation ▶	29,015		
	15	Other assets (describe ▶ See Stmt 8)	1,241		
	16	**Total assets** (to be completed by all filers-see page 16 of the instructions Also, see page 1 item I)	75,492	70,542	0
Liabilities	17	Accounts payable and accrued expenses	78,855	52,471	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors trustees, and other disqualified persons			
	21	Mortgages and other notes payable (att sch)			
	22	Other liabilities (describe ▶ See Stmt 9)	8,632	5,225	
	23	**Total liabilities** (add lines 17 through 22)	87,487	57,696	
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted	-26,995	12,346	
	25	Temporarily restricted	15,000	500	
	26	Permanently restricted			
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land bldg , and equipment fund			
	29	Retained earnings, accumulated income endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	-11,995	12,846	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	75,492	70,542	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year-Part II, column (a) line 30 (must agree with end-of-year figure reported on prior year's return)	1	-11,995
2	Enter amount from Part I line 27a	2	24,841
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1 2 and 3	4	12,846
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)-Part II, column (b), line 30	6	12,846

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g real estate 2-story brick warehouse or common stock 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day yr)
1a	N/A			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8 column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 } 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2000	1,273,812	67,181	1,896.089668
1999	1,306,160	19,188	6,807.171149
1998	918,233	15,944	5,759.113146
1997	614,105	47,344	1,297.112623
1996	428,693	26,504	1,617.465288

2 Total of line 1, column (d)	2	17376.951874
3 Average distribution ratio for the 5-year base period-divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	3,475.390375
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	27,753
5 Multiply line 4 by line 3	5	964,525
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	964,525
8 Enter qualifying distributions from Part XII, line 4	8	1,336,567

If line 8 is equal to or greater than line 7 check the box in Part VI line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948-see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling letter (attach copy of ruling letter if necessary-see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	
c	All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I line 12 col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less enter -0-	5	
6	Credits/Payments		
a	2001 estimated tax payments and 2000 overpayment credited to 2001 — 6a		
b	Exempt foreign organizations-tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2002 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities			
c	Did the organization file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization ▶ $ ______ (2) On organization managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers ▶ $ ______			
2	Has the organization engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes " attach a conformed copy of the changes	3		X
4a	Did the organization have unrelated business gross income of $1 000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the organization have at least $5 000 in assets at any time during the year? If "Yes " complete Part II col (c) and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ None			
b	If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," att explanation N/A	8b		
9	Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV on page 25)? If "Yes " complete Part XIV	9	X	
10	Did any persons become substantial contributors during the tax year? If "Yes " att a sch listing their names & addr	10		X
11	Did the org comply with the public inspection requirements for its annual returns & exemption application?	11	X	

Web site address ▶ WWW.JOHNLOCKE.ORG

12 The books are in care of ▶ MARILYN AVILA Telephone no ▶ 919-828-3876
Located at ▶ RALEIGH, NC ZIP + 4 ▶ 27601

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** -Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 13

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

				Yes	No
1a	During the year did the organization (either directly or indirectly)				
	(1) Engage in the sale or exchange or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the organization engage in a prior year in any of the acts described in 1a other than excepted acts that were not corrected before the first day of the tax year beginning in 2001?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	☐ Yes ☒ No			
	If "Yes " list the years ▶ 20 , 19 19 , 19				
b	Are there any years listed in 2a for which the organization is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement-see page 19 of the instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 19 19 , 19				
3a	Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2001 as a result of **(1)** any purchase by the organization or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15- or 20-year first phase holding period? (Use Schedule C, Form 4720 to determine if the organization had excess business holdings in 2001)	N/A	3b		
4a	Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?		4b		X
5a	During the year did the organization pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1) (2), or (3) or section 4940(d)(2)?	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious charitable, scientific, literary, or educational purposes or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the organization during the year, pay premiums directly or indirectly on a personal benefit contract?		6b		X
	If you answered "Yes" to 6b also file Form 8870				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contrib to employee benefit plans and deferred compensation	(e) Expense account other allowances
JOHN HOOD RALEIGH NC	PRESIDENT FULL TIME	81,184	4,034	0
DON CARRINGTON RALEIGH NC	VP FULL TIME	61,205	6,043	0
MARC ROTTERMAN RALEIGH NC	SR. FELLOW FULL TIME	28,258	4,258	0
OTHERS - SEE ATTACHED		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1-see page 21 of the instructions) If none, enter "NONE"

(a) Name and address of each employee paid more than $50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
KORY SWANSON RALEIGH, NC	NONE 40	71,164	8,844	0
R. CORDATO RALEIGH, NC	NONE 40	57,866	4,013	0

Total number of other employees paid over $50 000 ▶ 0

3 Five highest-paid independent contractors for professional services-(see page 21 of the instructions) If none, enter "NONE"

(a) Name and address of each person paid more than $50 000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served conferences convened research papers produced etc	Expenses
1 PUBLICATIONS AND HEADLINER LUNCHES; SEMINARS.	1,336,567
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 22 of the instructions 3	
Total Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 22 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc purposes			
a	Average monthly fair market value of securities		1a	
b	Average of monthly cash balances		1b	28,176
c	Fair market value of all other assets (see page 22 of the instructions)		1c	
d	**Total** (add lines 1a b and c)		1d	28,176
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	28,176
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)		4	423
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V line 4		5	27,753
6	**Minimum investment return** Enter 5% of line 5		6	1,388

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☒ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	
2a	Tax on investment income for 2001 from Part VI, line 5	2a		
b	Income tax for 2001 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	
3	Distributable amount before adjustments Subtract line 2c from line 1		3	
4a	Recoveries of amounts treated as qualifying distributions	4a		
b	Income distributions from section 4947(a)(2) trusts	4b		
c	Add lines 4a and 4b		4c	
5	Add lines 3 and 4c		5	
6	Deduction from distributable amount (see page 23 of the instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	

Part XII Qualifying Distributions (see page 23 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable etc , purposes		
a	Expenses contributions gifts, etc -total from Part I, column (d) line 26	1a	1,336,567
b	Program-related investments-Total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8 and Part XIII line 4	4	1,336,567
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I line 27b (see page 24 of the instructions)	5	0
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	1,336,567

Note The amount on line 6 will be used in Part V column (b) in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII . Undistributed Income (see page 24 of the instructions)

		(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7					
2 Undistributed income, if any, as of the end of 2000					
a Enter amount for 2000 only					
b Total for prior years 19 ____ 19 ____ , 19 ____					
3 Excess distributions carryover, if any, to 2001					
a From 1996					
b From 1997					
c From 1998					
d From 1999					
e From 2000					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2001 from Part XII line 4 ▶$ ________					
a Applied to 2000, but not more than line 2a					
b Applied to undistributed income of prior years (Election required-see page 24 of the instructions)					
c Treated as distributions out of corpus (Election required-see page 24 of the instructions)					
d Applied to 2001 distributable amount					
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below**					
a Corpus Add lines 3f 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount-see page 25 of the instructions					
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount-see page 25 of the instructions					
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)					
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see page 25 of the instructions)					
9 **Excess distributions carryover to 2002** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 1997					
b Excess from 1998					
c Excess from 1999					
d Excess from 2000					
e Excess from 2001					

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation and the ruling is effective for 2001, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed	1,336,567	1,273,812	1,306,160	918,234	4,834,773
d Amounts included in line 2c not used directly for active conduct of exempt activities			193,406	68,687	262,093
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	1,336,567	1,273,812	1,112,754	849,547	4,572,680
3 Complete 3a, b or c for the alternative test relied upon					
a "Assets" alternative test-enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-Enter 2/3 of min investment return shown in Part X line 6 for each year listed	925	2,239	639	531	4,334
c "Support" alternative test-enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year-see page 26 of the instructions)

1 Information Regarding Foundation Managers

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5 000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs

Check here ▶ [ ] if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the organization makes gifts, grants etc (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year N/A				
Total			▶ 3a	
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by sec 512 513 or 514		(e) Related or exempt function income (See page 26 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a LUNCHEONS, SEMINARS					26,849
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-4,367
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b MISCELLANEOUS					495
c					
d					
e					
12 Subtotal Add columns (b), (d) and (e)		0		6	22,977
13 Total Add line 12, columns (b), (d) and (e)				▶ 13	22,983

(See worksheet in line 13 instructions on page 26 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ●	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 27 of the instructions)
1a	THE LUNCHEONS, SUBSCRIPTIONS, POLICY REPORTS, ETC. SERVE AS THE EDUCATIONAL OUTLET FOR THE FOUNDATION'S MAIN PURPOSE. AT THE LUNCHEONS, SPEAKERS DISCUSS THE NATIONAL, STATE AND LOCAL ISSUES THAT THE FOUNDATION WILL PRINT IN THE PUBLICATIONS FOR DISTRIBUTION.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527 relating to political organizations?			
a	Transfers from the reporting organization to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other Transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes " complete the following schedule Column **(b)** should always show the fair market value of the goods other assets or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions and sharing arrangements
N/A			

2a Is the organization directly or indirectly affiliated with, or related to one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		
[redacted]		

[redacted] mpanying schedules and statements and to the best of my knowledge and [redacted]

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization: JOHN LOCKE FOUNDATION INC.

Employer identification number: 56-1656943

Organization type (check one)

Filers of	**Section**
Form 990 or 990-EZ	☐ 501(c) ( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (**Note** Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)

General Rule-

☒ For organizations filing Form 990 990-EZ or 990-PF that received, during the year, $5 000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules-

☐ For a section 501(c)(3) organization filing Form 990 or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of $5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a section 501(c)(7), (8) or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use exclusively for religious, charitable scientific, literary or educational purposes or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

☐ For a section 501(c)(7), (8) or (10) organization filing Form 990, or Form 990-EZ that received from any one contributor, during the year some contributions for use exclusively for religious, charitable, etc purposes but these contributions did not aggregate to more than $1,000 (If this box is checked enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable etc contributions of $5,000 or more during the year) ▶ $ ____________

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** check the box in the heading of their Form 990 Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ or 990-PF)

Name of organization: JOHN LOCKE FOUNDATION INC.

Employer identification number: 56-1656943

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ATLAS ECONOMIC RESEARCH FOUNDATION 4084 UNIVERSITY DR. STE. 103 FAIRFAX, VA 22030-6812	$ 10,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	CEED 5600 S. BELL, STE. 105-306 AMARILLO, TX	$ 6,500	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
3	CHASE FOUNDATION 300 PRESTON AVE. STE 403 CHARLOTTESVILLE, NC 22902	$ 7,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
4	EA MORRIS FOUNDATION 3802 SWARTHMORE RD. DURHAM, NC 27707-5438	$ 69,128	Person [ ] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)
5	PETERS FOUNDATION 81 OLD ORCHARD RD. CHESTNUT HILL, MA 02467-1213	$ 7,500	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
6	JOHN WILLIAMS POPE FOUNDATION P. O. BOX 17800 RALEIGH, NC 27619	$ 1,251,825	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization: JOHN LOCKE FOUNDATION INC.

Employer identification number: 56-1656943

Part I **Contributors** (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	ROE FOUNDATION 712 CRESCENT AVE. GREENVILLE, NC 29601	$ 20,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
8	BROYHILL FAMILY FOUNDATION P. O. BOX 500 LENOIR, NC 28645	$ 5,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
9	HANES CHARITABLE LEAD TRUST 380 KNOLLWOOD, STE 520 WINSTON-SALEM, NC 27103	$ 5,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
10	GLAXOSMITHKLINE 4011 WESTCHASE BLVD., STE 450 RALEIGH, NC 27607	$ 5,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
11	RJ REYNOLDS TOBACCO CO. P. O. BOX 2959 WINSTON-SALEM, NC 27102	$ 5,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
12	BORDEN FOUNDATION	$ 5,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization: JOHN LOCKE FOUNDATION INC.

Employer identification number: 56-1656943

Part II **Noncash Property** (See Specific Insructions)

(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	1168 SHARES ADP COMMON	$ 69,128	
		$	
		$	
		$	
		$	
		$	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Desc	Date Acquired	Date Sold	Sale Price	How Rec'd / Cost & Expense	Whom Sold / Deprec	Net G/L
HP C3530A FAX MACHINE	10/23/95	7/01/01	$	Purchase $ 550	$ 550	$
APPLE POWERMAC 6500	12/19/97	7/01/01		Purchase 1,595	1,327	-268
POPE - 3 GATEWAY COMPUTERS	9/14/98	7/01/01		Purchase 7,282	5,604	-1,678
POPE - VARIOUS SOFTWARE	7/31/98	7/01/01		Purchase 712	693	-19
POPE - NETWORK EXTRAS	8/31/98	7/01/01		Purchase 695	535	-160
APPLE POWERBOOK	9/09/99	7/01/01		Purchase 1,324	816	-508
APPLE POWERBOOK	1/07/00	7/01/01		Purchase 1,124	692	-432
OPTIQUEST 15" MONITOR	12/19/97	7/01/01		Purchase 248	206	-42
COMPUTERS	12/31/98	7/01/01		Purchase 5,470	4,210	-1,260
Total			$ 0	$ 19,000	$ 14,633	$ -4,367

Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Amount
LUNCHEONS, SEMINARS	$ 26,849
MISCELLANEOUS	495
Total	$ 27,344

Statement 3 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect legal fees	$ 6,275	$	$	$ 6,275
Total	$ 6,275	$ 0	$ 0	$ 6,275

Statement 4 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect accounting fees	$ 5,111	$	$	$ 4,702
Total	$ 5,111	$ 0	$ 0	$ 4,702

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
3rd Party Compensation	$ 60,854	$	$	$ 60,854
SPEAKERS	14,500			14,500
OTHER PROFESSIONAL	7,052			1,595
Total	$ 82,406	$ 0	$ 0	$ 76,949

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
POSTAGE	59,891			59,891
POSTAGE - FUNDRAISING	24,421			
POSTAGE - INDIRECT	1,932			1,381
EQUIPMENT RENTAL	2,666			2,666
EQUIP RENTAL - INDIRECT	519			519
EQUIP RENTAL - FUNDRAISIN	277			
EQUIPMENT MAINTENANCE	11,886			14,817
EQUIP M/R - INDIRECT	2,315			2,315
EQUIP M/R - FUND	1,235			
SUBSCRIPTIONS	3,341			3,341
SUBSCRIPTIONS - WEB PAGE	13,659			13,659
SUBSCRIPTIONS - INDIRECT	7,433			7,433
SUPPLIES	3,868			4,924
SUPPLIES - FUNDRAISING	110			
SUPPLIES - INDIRECT	10,024			10,024
TELEPHONE	19,338			15,222
TELEPHONE - FUNDRAISING	1,043			
TELEPHONE - INDIRECT	1,043			
MISCELLANEOUS	3,532	6		8,018
MISC - INDIRECT	1,563			
BANK CHARGES	793			
LIST DEVELOPMENT - FR	8,451			
Total	$ 179,340	$ 6	$ 0	$ 144,210

Statement 7 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost/Basis	End Accum Deprec	Net Fair Mkt Value
FURNITURE & FIXTURES	$	$ 59,411	$	$
WEBSITE - RALEIGH		4,000		
OFFICE FURNITURE - CSF		7,267		
ACCUMULATED DEPRC.			52,064	
Total	$ 0	$ 70,678	$ 52,064	$ 0

Statement 8 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
DEPOSITS	$ 1,241	$	$
Total	$ 1,241	$ 0	$ 0

Statement 9 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	Beginning of Year	End of Year
DEFERRED FINANCE LEASE OBLIGATION	$ 8,632	$ 5,225
Total	$ 8,632	$ 5,225

John LOCKE FOUNDATION

For Truth • For Freedom • For the Future of North Carolina

JOHN LOCKE FOUNDATION
Board of Directors
FY2002-2003

200 W. Morgan, #200
Raleigh, N.C. 27601
919-828-3876 (phone)
919-821-5117 (facsimile)
www.johnlocke.org (web site)

Form **4562** (Rev March 2002) Department of the Treasury Internal Revenue Service

Depreciation and Amortization

(Including Information on Listed Property)

▶ See separate instructions ▶ Attach to your tax return

OMB No 1545-0172 **2001** Attachment Sequence No **67**

Name(s) shown on return: JOHN LOCKE FOUNDATION INC.

Identifying number: 56-1656943

Business or activity to which this form relates: Indirect Depreciation

Part I Election To Expense Certain Tangible Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount See page 2 of the instructions for a higher limit for certain businesses	1	$24 000
2	Total cost of section 179 property placed in service (see page 3 of the instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	$200,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less enter -0- If married filing separately see pg 3 of the instr	5	

	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
6			

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2000 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10 but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2002 Add lines 9 and 10 less line 12 ▶	13	

Note Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)

14	Special depreciation allowance for certain property (other than listed property) acquired after Sept 10 2001 (see pg 3 of the instr)	14	130
15	Property subject to section 168(f)(1) election (see page 4 of the instructions)	15	
16	Other depreciation (including ACRS) (see page 4 of the instructions)	16	

Part III MACRS Depreciation (Do not include listed property) (See page 4 of the instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2001	17	10,381
18	If you are electing under section 168(i)(4) to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B-Assets Placed in Service During 2001 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only-see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		303	5.0	HY	200DB	61
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental			27 5 yrs	MM	S/L	
property			27 5 yrs	MM	S/L	
i Nonresidential real			39 yrs	MM	S/L	
property				MM	S/L	

Section C-Assets Placed in Service During 2001 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See page 6 of the instructions)

21	Listed property Enter amount from line 28	21	
22	**Total** Add amounts from line 12, lines 14 through 17 lines 19 and 20 in column (g) and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations-see instr	22	10,572
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions

DAA

There are no amounts for Page 2

Form **8868** (December 2000) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545 1709

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ▶ ☒
- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time- Only submit original (no copies needed)

Note Form 990-T corporations requesting an automatic 6-month extension-check this box and complete Part I only ▶ ☐

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization JOHN LOCKE FOUNDATION INC.	Employer identification number 56-1656943
	Number, street, and room or suite no If a P O box, see instructions 200 W. MORGAN STREET, STE. 200	
	City, town or post office state, and ZIP code For a foreign address, see instructions RALEIGH NC 27601	

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until 2/17/03 to file the exempt organization return for the organization named above The extension is for the organization s return for

▶ ☐ calendar year ______ or

▶ ☒ tax year beginning 7/01/01 , and ending 6/30/02

2 If this tax year is for less than 12 months check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T 4720, or 6069 enter the tentative tax, less any nonrefundable credits See instructions $ ______

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit $ ______

c Balance Due Subtract line 3b from line 3a Include your payment with this form or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions $

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Julie C Robert Title ▶ CPA Date ▶ 11/15/02

For Paperwork Reduction Act Notice, see Instruction

DAA